

Sterile Neutrinos as Dark Matter



Kalliopi Petraki

(University of Melbourne)

Particle physics

neutrinos have mass → new particles

Cosmology

unknown Dark Matter particle

Astrophysics

unknown supernova physics

Common explanation?



Neutrino Masses

Neutrino masses suggests the existence of right-handed degrees of freedom, the **sterile neutrinos**

$$\nu_e, \nu_\mu, \nu_\tau, N_1, N_2, N_3, \dots$$

The SM Lagrangian extended to include the new states

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \bar{N}_a i \not{\partial} N_a - y_{\alpha a} \epsilon^{ij} H_i (\bar{L}_\alpha)_j N_a - \frac{M_a}{2} \bar{N}_a^c N_a + h.c.$$



What
is ***M***?



Seesaw mechanism

New mass-mixing matrix

$$\widetilde{M} = \begin{pmatrix} 0 & \textcolor{blue}{D}_{3 \times n} \\ \textcolor{blue}{D}_{3 \times n}^T & \textcolor{red}{M}_{n \times n} \end{pmatrix}$$

Eigenvalues separate into two groups:

$$\textcolor{brown}{m}_\nu \sim \frac{\textcolor{brown}{D}^2}{\textcolor{brown}{M}} \quad \text{and} \quad \textcolor{red}{M}$$

The smallness of active neutrino masses can be due to

large $\textcolor{blue}{D}$ $\textcolor{red}{D}$ small
large $\textcolor{blue}{M}$ $\textcolor{red}{M}$ small
or



Majorana masses

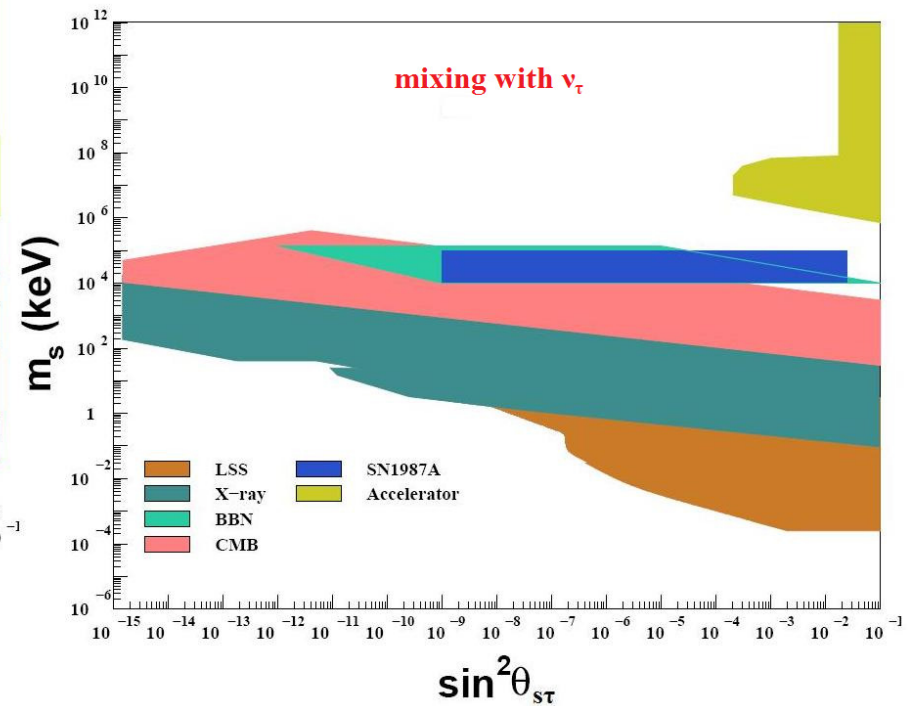
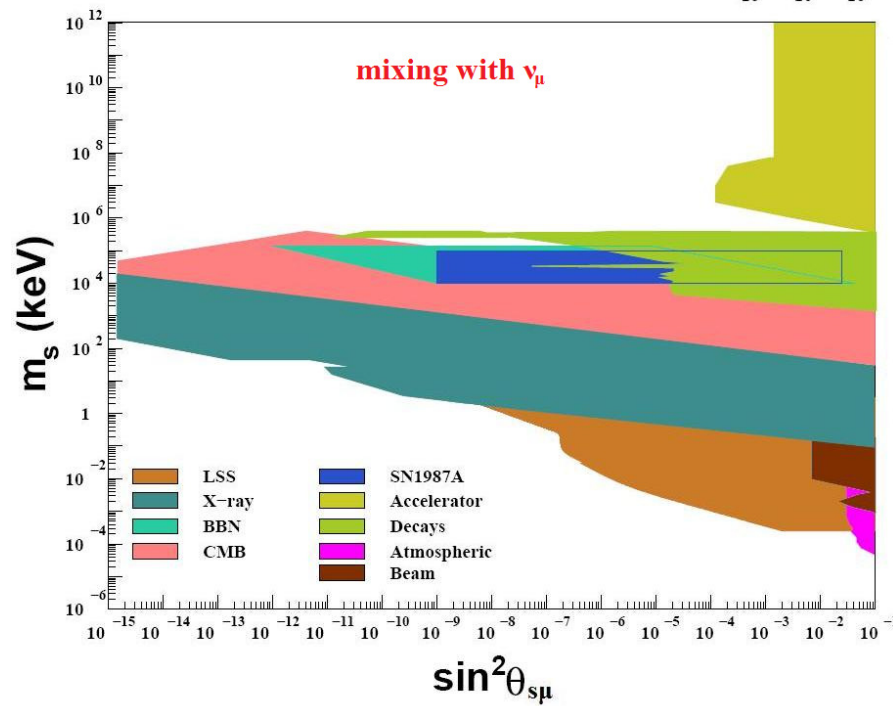
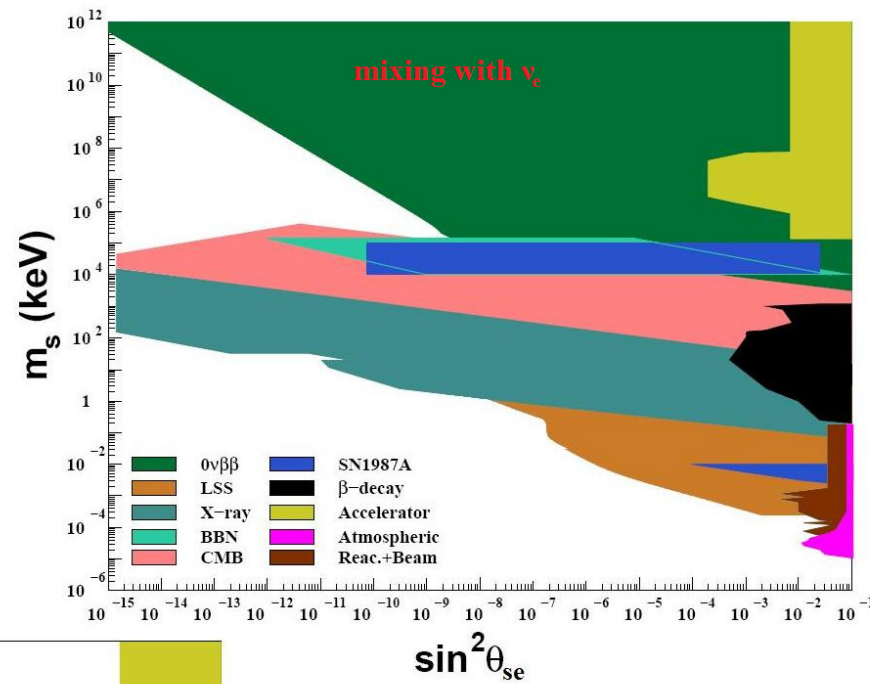
$$D_{\alpha\alpha} = y_{\alpha\alpha} \langle H \rangle$$

Theory of Yukawa couplings unknown.

They can be $y \ll 1$ or $y \sim 1$

⇒ M can be anything

Consider all allowed
values for sterile
neutrino masses





Majorana masses

Some may be small, some may be large:

- If $M \gg M_W$, sterile neutrinos are practically unobservable.
- If $M < M_W$, sterile neutrinos can take part in a lot of observable phenomena → study phenomenology.



Sterile-neutrino Dark Matter

A sterile neutrino
of a few keV
can be
Dark Matter

Interesting
candidate:

- Light and stable
- Warm Dark Matter candidate

It may also
play a role in:

- Pulsar Kicks
[Kusenko, Segre]
- Star Formation
[Biermann, Kusenko;
Stasielak et al.]



Sterile-Neutrino Dark Matter

Production mechanisms.

Small-scale galactic structure. Limits.

Detection and Bounds.

Astrophysical role of keV sterile neutrinos.



Production Mechanisms

Sterile neutrinos can be produced in the early universe via:

- **Oscillations**, @ $T_{\text{prod}} \sim 150 \text{ MeV}$
 - Off-resonance [**Dodelson, Widrow**]; almost thermal spectrum.
 - On-resonance, if lepton asymmetry large [**Fuller, Shi**]; non-thermal spectrum → “Cool DM”



Production Mechanisms

Sterile neutrinos can be produced in the early universe via:

- **Oscillations**, at $T_{\text{prod}} \sim 150 \text{ MeV}$
 - Off-resonance [Dodelson, Widrow]; almost thermal spectrum.
 - On-resonance, if lepton asymmetry large [Fuller, Shi]; non-thermal spectrum \rightarrow "Cool DM"
- **Decays** of heavy bosons
 - inflaton decays [Shaposhnikov, Tkachev]
 - Higgs decays at the electroweak scale [Kusenko, KP]



Sterile neutrino production via decays

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \bar{N} i \not{\partial} N - y H \bar{L} N - \frac{M}{2} \bar{N}^c N + h.c.$$

In the SM, fermion masses arise via the [Higgs mechanism](#). Can the Majorana masses of sterile neutrinos arise in the same way?



Sterile neutrino production via decays

$$\mathcal{L} = \mathcal{L}_{SM} + \bar{N} i \not{\partial} N + \frac{1}{2} (\partial S)^2 - y H \bar{L} N - \frac{f}{2} S \bar{N}^c N - V(H, S) + h.c.$$

In the SM, fermion masses arise via the **Higgs mechanism**. Can the Majorana masses of sterile neutrinos arise in the same way?



Sterile neutrino production via decays

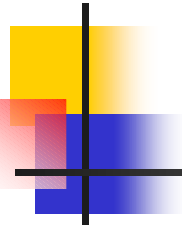
$$\mathcal{L} = \mathcal{L}_{SM} + \bar{N} i \not{\partial} N + \frac{1}{2} (\partial S)^2 - y H \bar{L} N - \frac{f}{2} S \bar{N}^c N - V(H, S) + h.c.$$

The Majorana masses arise after SSB

$$M = f \langle S \rangle$$

Sterile neutrinos are produced by S decays

$$S \rightarrow N N$$



The features of the model

- I. Ω_N : No dependence on the mixing angle.



The features of the model

- I. Ω_N : No dependence on the mixing angle.
DM particle mass and production scale are correlated.

$$\begin{aligned} m_N &= f \langle S \rangle \\ T_{\text{prod}} &\sim m_s \sim \langle S \rangle \Rightarrow \Omega_N \sim 0.2 \left(\frac{f}{10^{-8}} \right)^3 \left(\frac{\langle S \rangle}{m_s} \right) \left(\frac{33}{\xi} \right) \\ \Gamma_{S \rightarrow N N} &= \frac{f^2 m_s}{16\pi} \end{aligned}$$

The result is

$m_N \sim \text{few keV}$
$\langle S \rangle \sim 10^2 \text{ GeV}$

Implications for

- Electroweak phase transition.
- Small-scale structure properties of sterile neutrino DM.



The features of the model

- I. Ω_N : No dependence on the mixing angle.
DM particle mass and production scale are correlated.
- II. Simple extension of the Higgs sector, with a real singlet field, that has been studied for
 - Baryon Asymmetry of the Universe (1st order phase trans.)
 - Dark Matter (scalar)
 - LHC signatures



The extended Higgs sector

$$V(H, S) = -\mu_H^2 |H|^2 + \lambda_H |H|^4 - \frac{1}{2} \mu_S^2 S^2 + \frac{1}{4} \lambda_S S^4 + 2\lambda_{HS} |H|^2 S^2 + \frac{1}{6} \alpha S^3 + \omega |H|^2 S$$

If *SNN* coupling not included

- No Z_2 symmetry

- 1st order phase transition possible → **BAU**
- S boson unstable → **no DM candidate**
- LHC signatures

- Z_2 symmetry imposed

- no 1st order phase transition possible → **no BAU**
- S boson stable → **DM candidate**
- LHC signatures

[Profumo, Ramsey-Musolf, G. Shaughnessy (2007); Barger et al. (2008)]



The extended Higgs sector

$$V(H, S) = -\mu_H^2 |H|^2 + \lambda_H |H|^4 - \frac{1}{2} \mu_S^2 S^2 + \frac{1}{4} \lambda_S S^4 + 2\lambda_{HS} |H|^2 S^2 + \frac{1}{6} \alpha S^3 + \omega |H|^2 S$$

If *SNN* coupling not included

- **No Z_2 symmetry**

- **1st order phase transition possible → BAU**
- **S boson unstable → no DM candidate**
- **LHC signatures**

- **Z_2 symmetry imposed**

- **no 1st order phase transition possible → no BAU**
- **S boson stable → DM candidate**
- **LHC signatures**

[Profumo, Ramsey-Musolf, G. Shaughnessy (2007); Barger et al. (2008)]



The extended Higgs sector

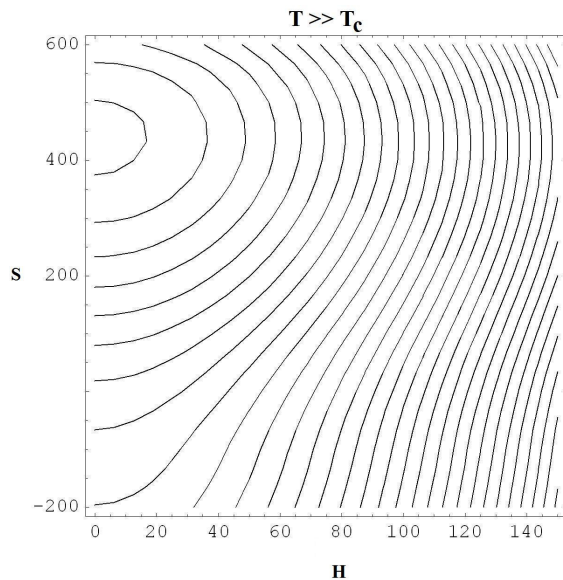
$$V(H, S) = -\mu_H^2 |H|^2 + \lambda_H |H|^4 - \frac{1}{2} \mu_S^2 S^2 + \frac{1}{4} \lambda_S S^4 + 2\lambda_{HS} |H|^2 S^2 + \frac{1}{6} \alpha S^3 + \omega |H|^2 S$$

If SNN coupling included

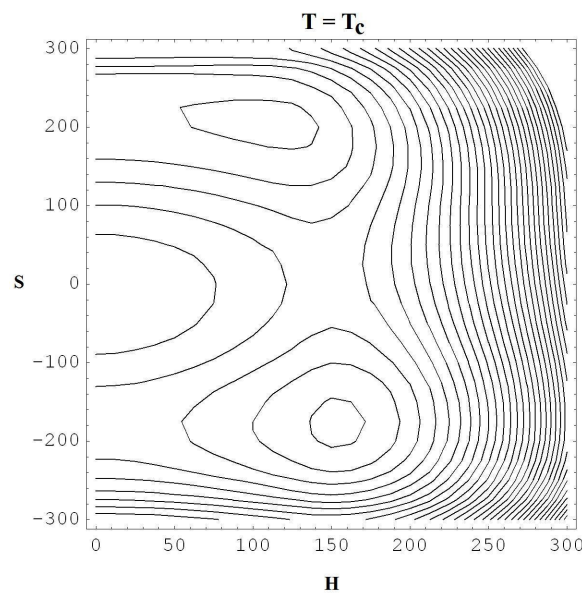
- **No Z_2 symmetry**

- **1st order phase transition possible → BAU**
- **S boson unstable → sterile neutrinos can be DM**
- **LHC signatures**

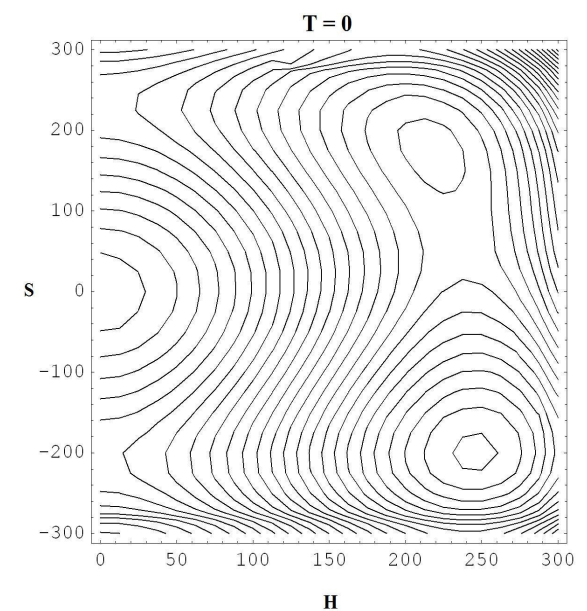
The Electroweak Phase Transition



$$S \neq 0, H = 0$$



2nd order PT to
 $H \neq 0$



1st order PT to
the true vacuum

[KP, Kusenko (2008)]



The features of the model

- I. Ω_N : No dependence on the mixing angle.
DM particle mass and production scale are correlated.
- II. Simple extension of the Higgs sector, with a real singlet field, that provides for BAU, DM and LHC signatures.
- III. Sterile-neutrino DM produced is colder than DM produced via oscillations, and thus has different small-scale structure properties.



Thermal content of DM sterile neutrinos

Producing the right amount of DM fixes the coupling of sterile neutrinos to the Higgs sector

$$f \sim 10^{-8}$$

This is very **weak coupling** and sterile neutrinos are produced and remain always **out of equilibrium**.

At the EW scale all of the SM degrees of freedom are thermally coupled to the universe. As they decouple, they **release entropy**, which reheats the universe but not the out-of-equilibrium species, e.g. sterile neutrinos.

Sterile neutrinos are diluted and redshifted.

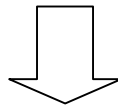


Dilution

$$\xi = \frac{g_*(T_{\text{prod}})}{g_*(T_{\text{today}})} = \frac{110}{3.36} \simeq 33$$

$$\left. \frac{\langle p_{\text{N}} \rangle}{3.15T} \right|_{T_{\text{prod}}} = 0.8$$

Redshift



$$\left. \frac{\langle p_{\text{N}} \rangle}{3.15T} \right|_{T_{\text{today}}} = \frac{0.8}{\xi^{\frac{1}{3}}} \simeq 0.2$$



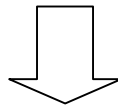
Chilling

Dilution

$$\xi = \frac{g_*(T_{\text{prod}})}{g_*(T_{\text{today}})} = \frac{110}{3.36} \simeq 33$$

Redshift

$$\left. \frac{\langle p_N \rangle}{3.15T} \right|_{T_{\text{prod}}} = 0.8$$



$$\left. \frac{\langle p_N \rangle}{3.15T} \right|_{T_{\text{today}}} = \frac{0.8}{\xi^{\frac{1}{3}}} \simeq 0.2$$

chilled dark matter

This weakens the small-scale structure limits [Kusenko (2006)]



Structure Formation and DM thermal velocities

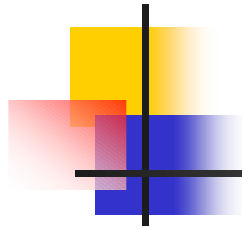
DM consists of collisionless particles: clustering properties depend only on the primordial thermal content of DM.

CDM: “Bottom-up” formation, small-scale structure favored.

WDM: structure erased below some scale due to free-streaming out of potential wells.

At **large scales**, CDM and WDM reproduce observed structure equally well.

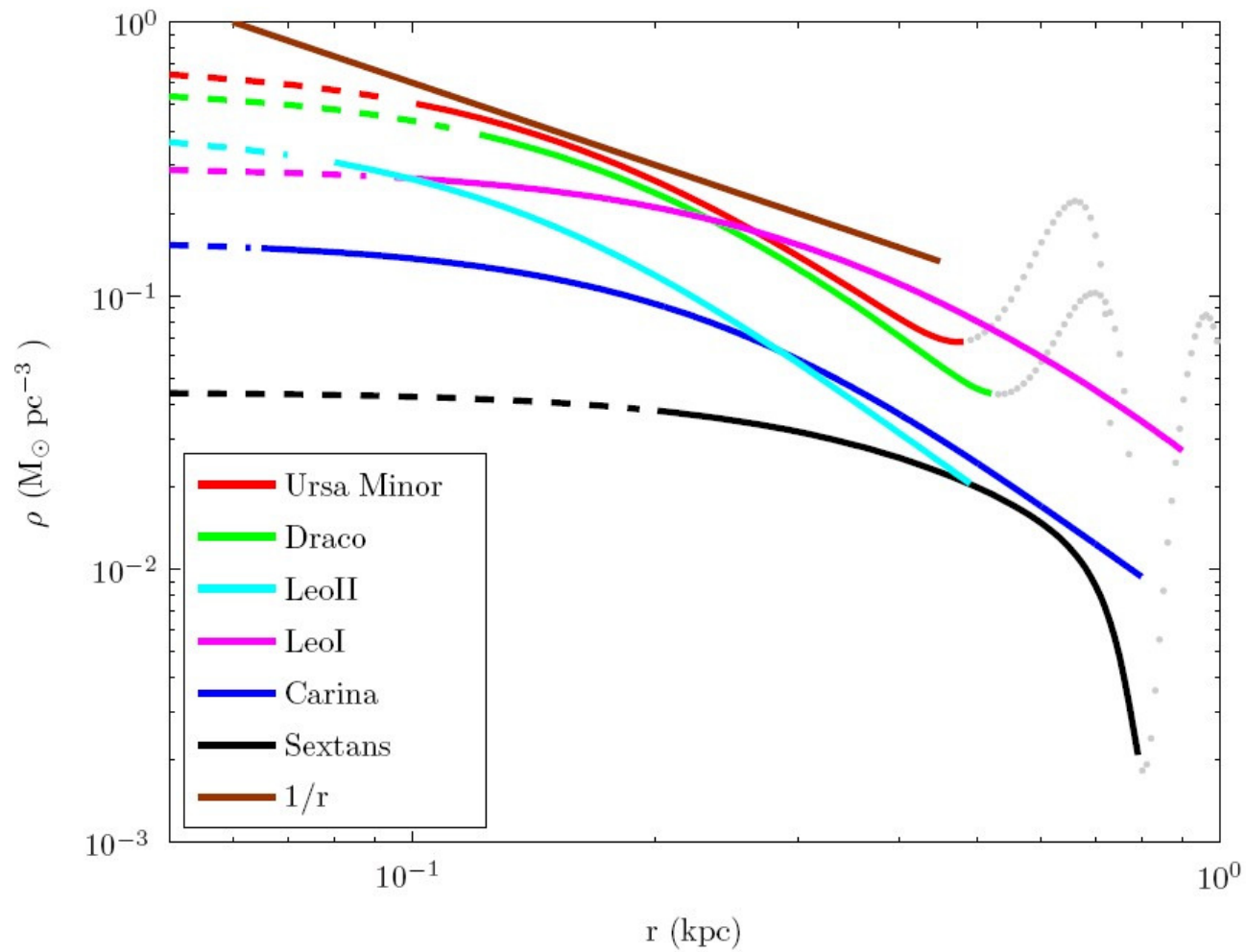
At **small scales**, some CDM predictions do not match observations.



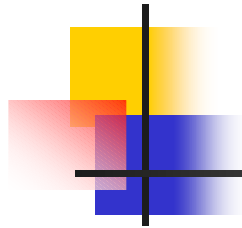
CDM problems

- Overprediction of **satellite galaxies** [Klypin; Moore]
- Galactic density profiles: **central cusps** rather than cores [Gilmore, Wyse; Strigari et al.]
- No **pure-disk galaxies** predicted [Governato et al.; Kormendy et al.]
- Overprediction of **halos in low-density voids** [Peebles]
- The **angular momentum problem**: gas condenses early and loses too much angular momentum [Dolgov]

Galactic density profiles of six Dwarf Spheroidal Galaxies



[Gilmore et al. (2007)]



CDM problems

- Overprediction of **satellite galaxies** [Klypin; Moore]
- Galactic density profiles: **central cusps** rather than cores [Gilmore, Wyse; Strigari et al.]
- No **pure-disk galaxies** predicted [Governato et al.; Kormendy et al.]
- Overprediction of **halos in low-density voids** [Peebles]
- The **angular momentum problem**: gas condenses early and loses too much angular momentum [Dolgov]



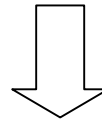
Possible solutions

- Simulations and observations improve, discrepancies go away.
- **Gastrophysics:** complicated astrophysical solutions for individual problems
 - ❑ Star formation suppressed in small halos
 - ❑ Central velocities underestimated
 - ❑ Baryonic feedback
- **Warm Dark Matter**
 - ❑ Suppression of structure at small scales
 - ❑ Smaller merger rate



How warm can WDM be?

Need **high-resolution simulations** for different WDM candidates, a formidable task.



Shortcut: employ quantities that are both calculable and observable.

Compare DM candidates with observations, or different DM candidates among themselves. Obtain limits.

Free-streaming length, Phase-space density

Free-streaming length

Cutoff scale of the power spectrum of density perturbations

$$\lambda_{\text{FS}} \simeq 13 \text{ kpc} \sqrt{1+z} \frac{1}{\xi^{\frac{1}{3}}} \left(\frac{\langle p^{-2} \rangle^{-\frac{1}{2}}}{1.61 T} \right)_{T_{\text{prod}}} \left(\frac{\text{keV}}{m_{\text{DM}}} \right) \left(\frac{0.2}{\Omega_{\text{DM}}} \right)^{\frac{1}{2}}$$

[Boyanovsky (2008)]

$\lambda > \lambda_{\text{FS}}$: perturbations begin to grow

$\lambda < \lambda_{\text{FS}}$: perturbations are damped

- For **CDM**, $\lambda_{\text{FS}}=0$ and there is **no small-scale suppression**.
- For **WDM**, suppression depends on **mass**, **primordial momentum distribution** and the **chilling** effect.
- Currently not directly observable. Useful for comparing different DM candidates among themselves.

Phase-space density

$$Q \equiv \varrho / \left\langle \frac{p^2}{m^2} \right\rangle^{\frac{3}{2}}$$

[Dalcanton, Hogan (2001); Boyanovsky, Vega, Sanchez (2008)]

- Encodes **thermal content** of DM.
- **Calculable** for DM models; **observable** from galactic structure (Dwarf Spheroidal Galaxies).
- **Liouville invariant**, until epoch of gravitational clustering.
- Can only decrease due to gravitational interactions, which reflects the entropy increase:

$$Q_{\text{obs}} < Q_{\text{prim}} \Rightarrow \text{Limits!}$$

Phase-space density

$$Q \equiv \varrho / \left\langle \frac{p^2}{m^2} \right\rangle^{\frac{3}{2}}$$
$$Q_{\text{obs}} < Q_{\text{prim}}$$

$$Q_{\text{obs}} \sim 10^{-5} - 10^{-4} \frac{M_{\odot} / \text{pc}^3}{(\text{km/s})^3}$$

[Gilmore, Wyse]

- Primordial Q sets an **upper limit on the density of DM** in halos.
For CDM, $Q_{\text{prim}}^{\text{CDM}} = \infty$ and no such limit exists \Rightarrow central cusps
- Observable Q limits p/m
i.e. how relativistic DM particles could have been at production.
This results in a **lower limit in mass**.

Dark Matters

sterile neutrino production mechanism	Free- streaming length λ_{fs}	Phase-space density $Q_{\text{prim}} \left(\frac{M_{\odot} / \text{pc}^3}{(\text{km/s})^3} \right)$	sterile neutrino lower mass limit m_{min}
Warm DM off-resonance oscillations	$7 \text{ kpc} \left(\frac{\text{keV}}{m} \right)$	$2.2 \cdot 10^{-5} \left(\frac{m}{\text{keV}} \right)^3$	$0.76 \text{ keV} \left[\frac{Q_{\text{obs}}}{10^{-5} \frac{M_{\odot} / \text{pc}^3}{(\text{km/s})^3}} \right]^{\frac{1}{3}}$
Cool DM resonant oscillations	$1.7 \text{ kpc} \left(\frac{\text{keV}}{m} \right)$	$3.7 \cdot 10^{-3} \left(\frac{m}{\text{keV}} \right)^4$	$0.23 \text{ keV} \left[\frac{Q_{\text{obs}}}{10^{-5} \frac{M_{\odot} / \text{pc}^3}{(\text{km/s})^3}} \right]^{\frac{1}{4}}$
Chilled DM Decays at the electroweak scale	$2 \text{ kpc} \left(\frac{\text{keV}}{m} \right)$	$2.4 \cdot 10^{-4} \left(\frac{m}{\text{keV}} \right)^3$	$0.35 \text{ keV} \left[\frac{Q_{\text{obs}}}{10^{-5} \frac{M_{\odot} / \text{pc}^3}{(\text{km/s})^3}} \right]^{\frac{1}{3}}$

[Boyanovsky, Vega, Sanchez (2008); Boyanovsky (2008); KP (2008)]

Detection

Sterile neutrinos with $m_N \sim \text{keV}$ have lifetime larger than the age of the universe, but they do decay into a lighter neutrino state and a photon.



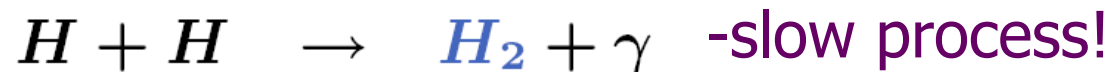
Decay rate very small, but large lumps of dark matter emit some X-rays. [Abazajian, Fuller, Tucker; Dolgov, Hansen; Shaposhnikov et al.]

Photon energy is $m_N/2$: detection with X-ray telescopes

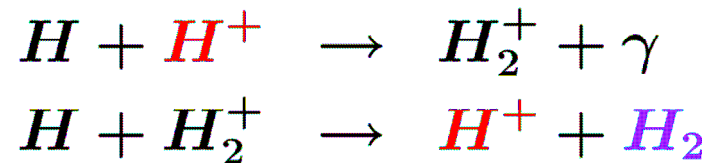


Star Formation

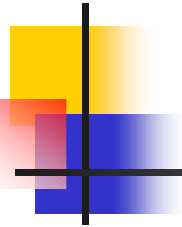
Molecular Hydrogen is a very important cooling agent and necessary for star formation.



In the presence of ions the following reactions are faster



The X-ray photons produced by DM sterile neutrino decays ionize H. H^+ catalyzes the formation of H_2 .
[Biermann, Kusenko; Stasielak, Biermann, Kusenko]



Astrophysical Hints

Where else can sterile neutrinos be important?

Supernovae!



Pulsar Kicks

Pulsars have very large velocities $v \sim 250 - 500$ km/s.

99% of the gravitational energy, $\sim 10^{53}$ erg is emitted in neutrinos.

1% asymmetry in neutrino emission can explain pulsar velocities.

Active neutrinos are produced asymmetrically in the presence of magnetic field



but asymmetry is washed out as they escape from supernova.

If a more weakly-interacting particle, a sterile neutrino, is produced in the same processes, asymmetry in production will be asymmetry in emission and result in a pulsar kick.

[Kusenko, Segre]

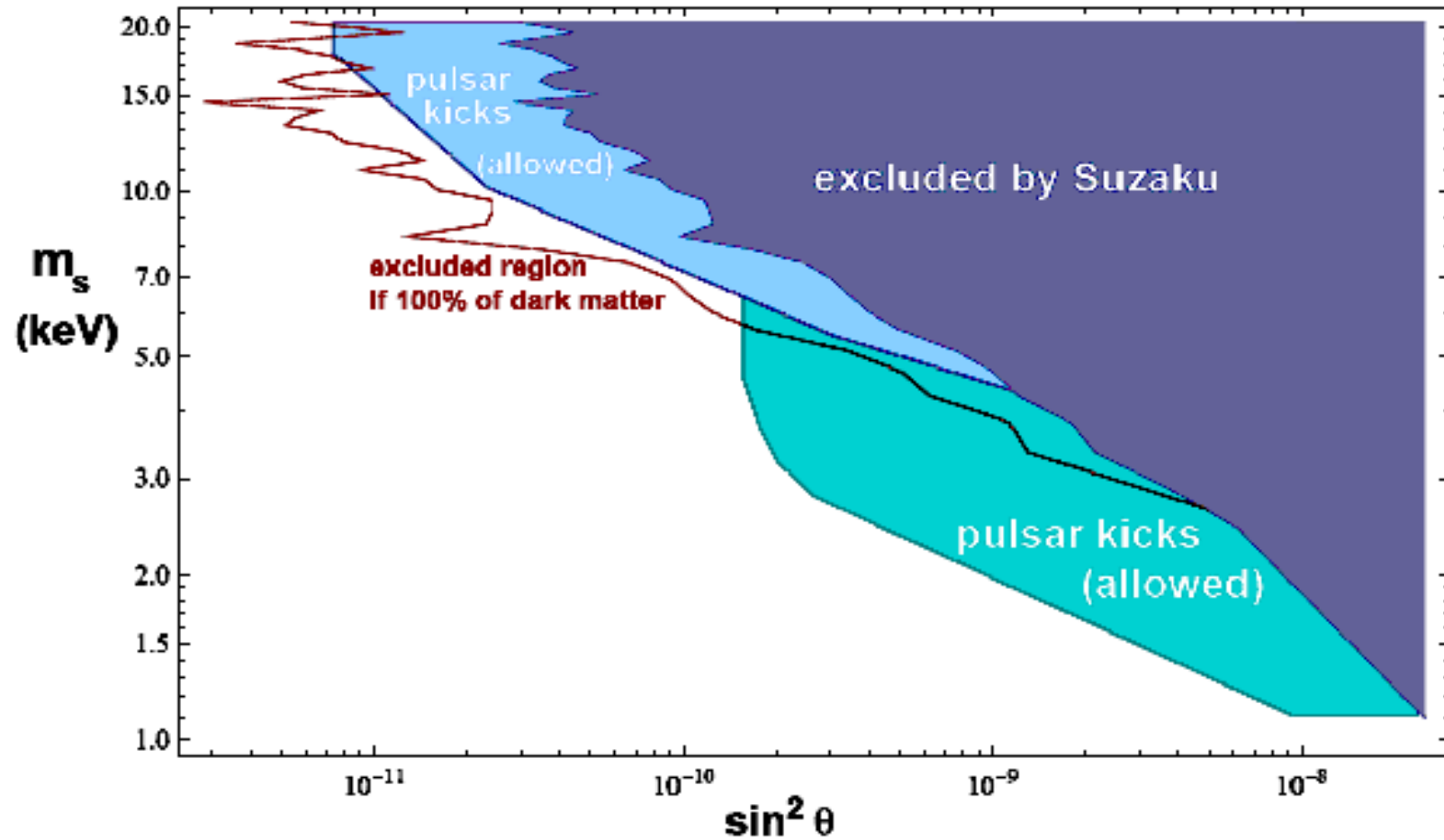


Observational Bounds

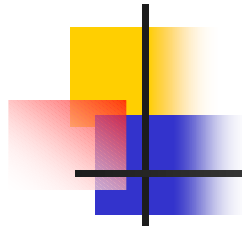
Given the various possible productions mechanisms, observational bounds correspond to two different questions:

- I. Can a sterile neutrino of a given mass and mixing angle **exist**, independently of what fraction of dark matter it forms? (based on the oscillation production channel)
- II. Can sterile neutrinos constitute **all of the dark matter**, independently of what mechanism they were produced by?

Suzaku X-ray observations (Ursa Minor) and pulsar kick parameter space



[Loewenstein, Biermann, Kusenko (2009)]



Happy Note

Mike Loewenstein and Alex Kusenko are analyzing a *candidate line!* (Chandra observation of Willman 1).

The line is consistent with 100% of dark matter abundance, and within the region of parameters favored by the pulsar kicks.



Conclusions

Sterile neutrinos are introduced to explain the observed **neutrino masses**. These particles can be of great cosmological and astrophysical significance.

If one of them is light, $m_s \sim \text{keV}$, it can be the **dark matter**. Different production mechanisms result in “colder” or “warmer” DM.

The same particle can explain the **large velocities of pulsars** and facilitate **star formation**.