

The Baikal Experiment -

Status, Results and Perspectives

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The Baikal Collaboration

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 2. Kurchatov Institute, Moscow, Russia.

Deep underwater/underice particle detection

1960 - M. Markov: neutrino detection in natural transparent media (lakes, ocean, ice):

- - huge size (up to km³ scale) detectors
(AMANDA II ~ 1.5 Mton)
- - given optical parameters of medium, detection volume/area depends on cascades/muons energy
(Baikal (NT-200) ~ (0.1 - 6) Mton for (10³ - 10⁶) GeV cascades energies)

Cherenkov radiation intensity $F_\gamma(L) \sim I_\gamma(E) \exp(-L/L_{at})$
 $I_\gamma \sim 0.6 E_\mu/\text{TeV}$, $I_\gamma \sim 10^8 E_{sh}/\text{TeV}$

Nowadays operating neutrino telescopes:

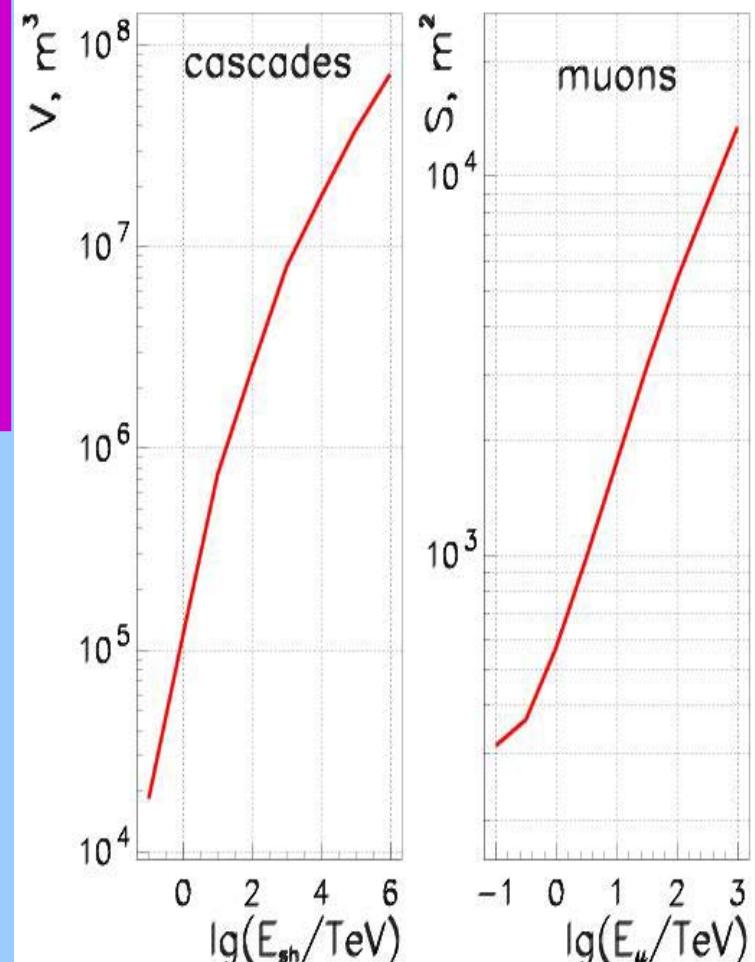
Baikal (NT-200) - Lake Baikal

AMANDA II - South Pole

Optical properties

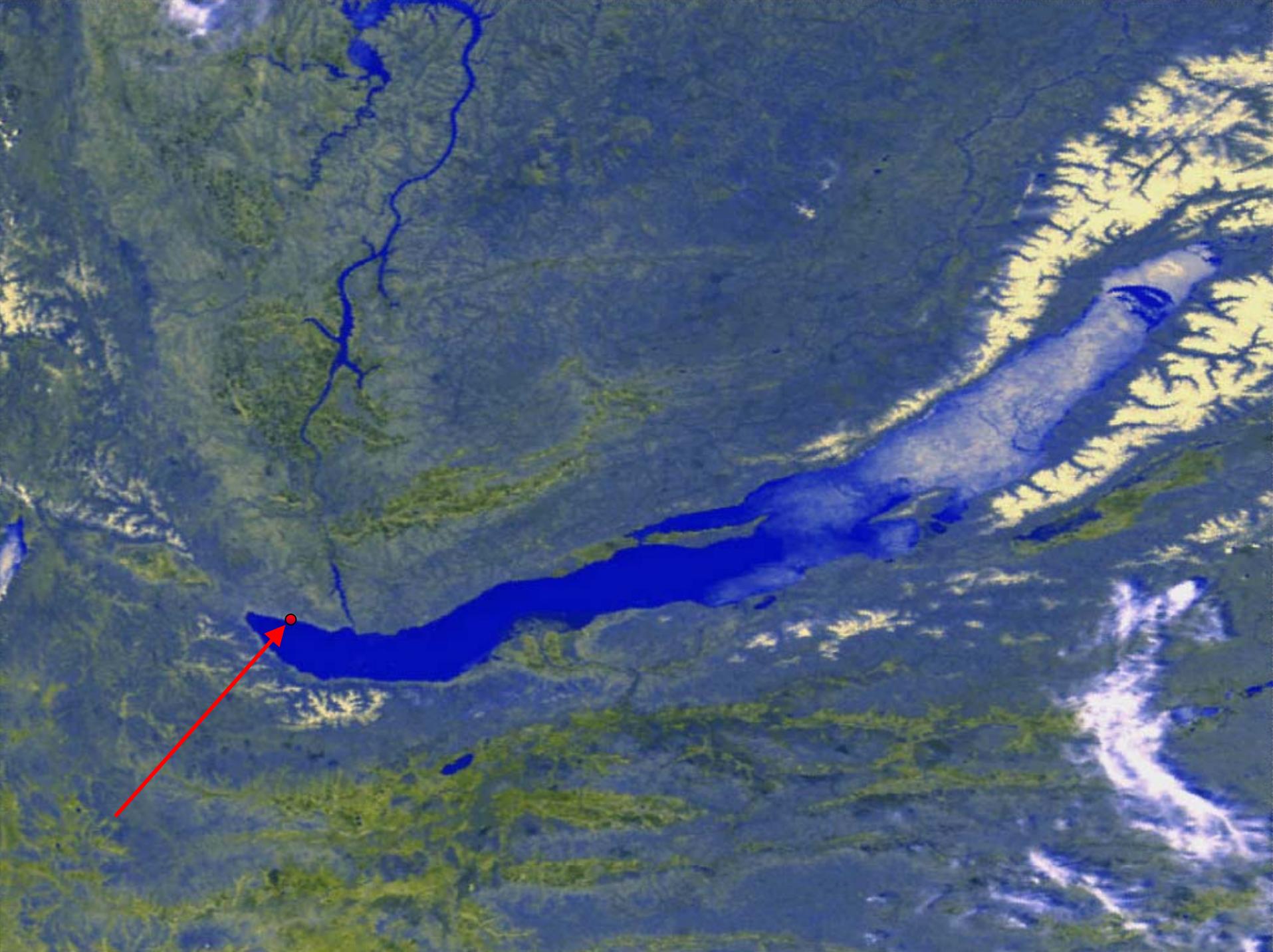
	abs., m	scatt., m	Las, m	dt, ns (60 m from μ)
Baikal	22-24	30-50	18-20	1.5
AMANDA	~100	~3	24-27	250-400

Optical Module sensitivity
(Baikal experiment)

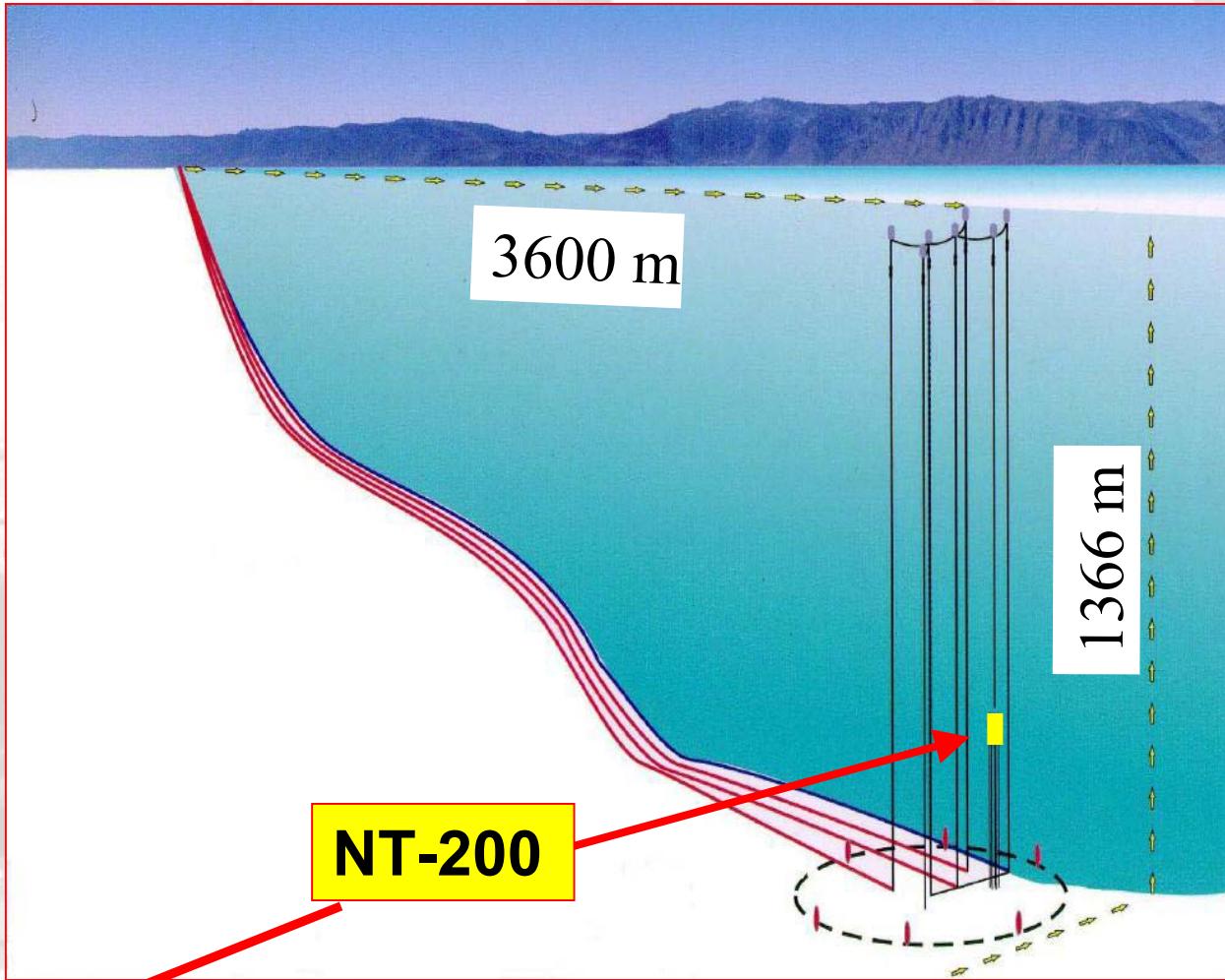
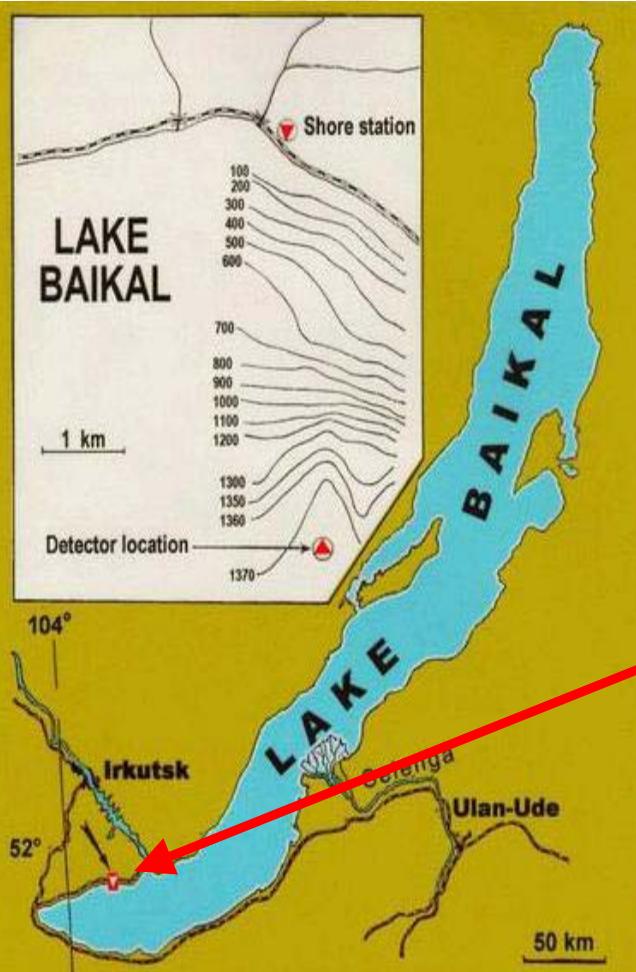


The Baikal Telescope NT-200

- NT-200 location and design
- Selected results
- NT-200+ status
- Conclusion



The Site



- 4 cables x 4km to shore.
- 1070m depth

Ice as a natural deployment platform

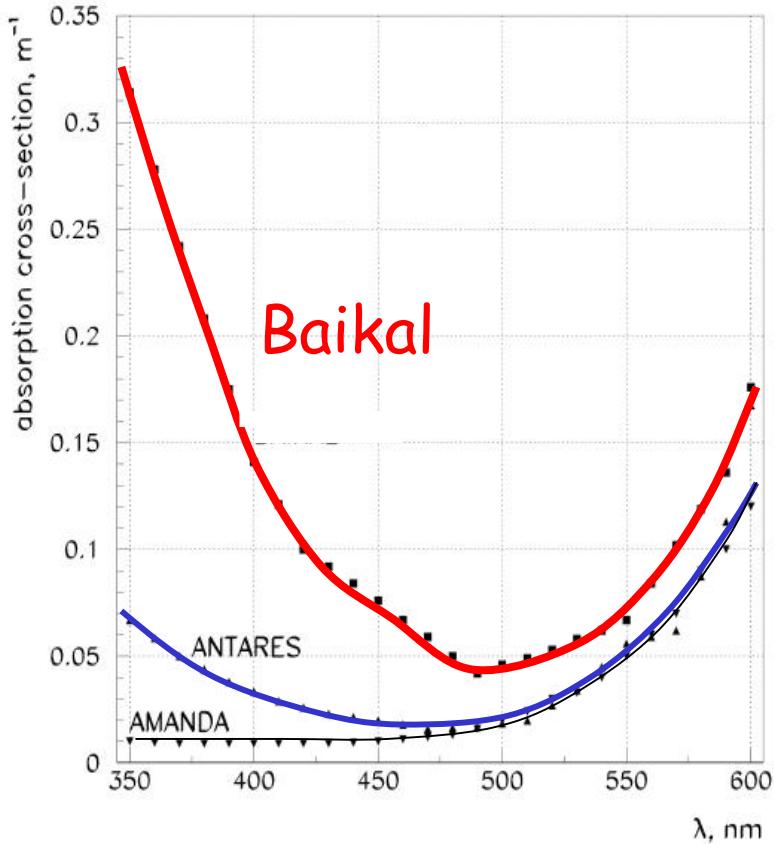
- Ice stable for 6-8 weeks/year:
 - Maintenance & upgrades
 - Test & installation of new equipment
 - Operation of surface detectors (E&S)

- Winches used for deployment

operations
→

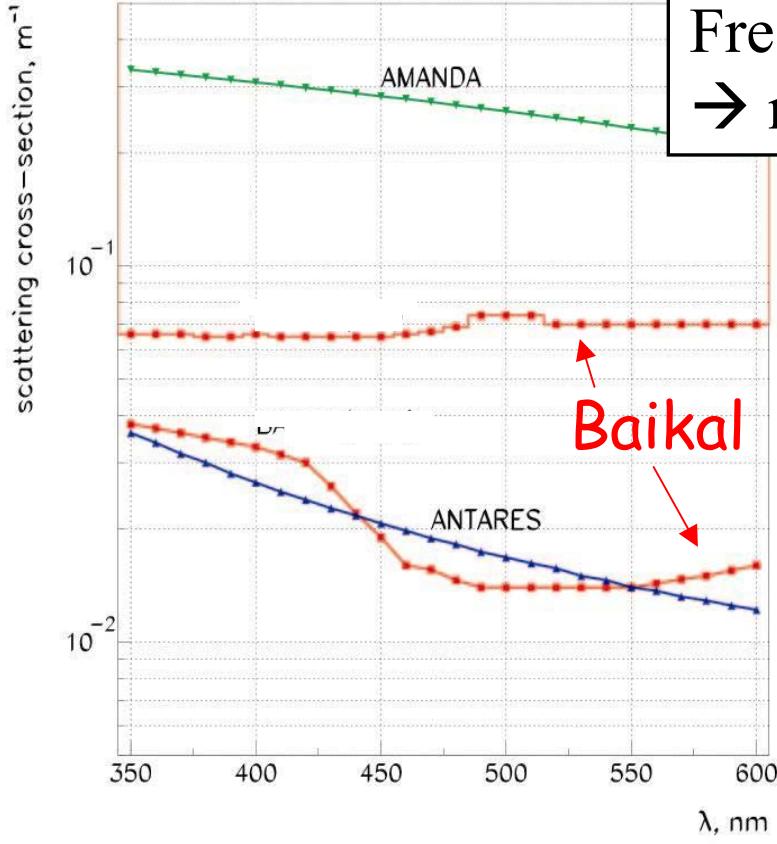


Baikal - Optical Properties



Abs. Length: $22 \pm 2 \text{ m}$

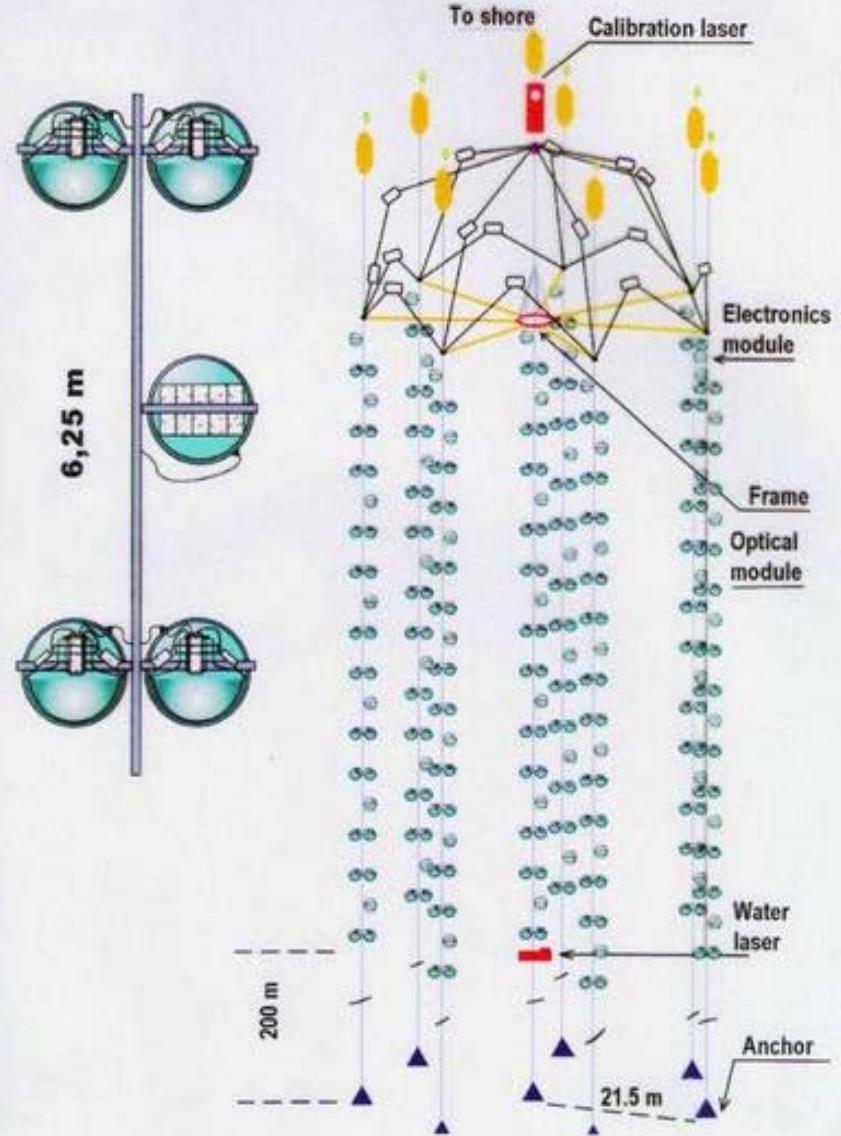
In-situ measurements



Scatt. Length (geom) $\sim 30\text{-}50 \text{ m}$
 $\langle \cos \Theta \rangle \sim 0.85\text{-}0.9$

FreshWater
→ no K40 BC

NEUTRINO TELESCOPE NT-200



Height $\times \&$ = 70m \times 40m, $V=10^5\text{m}^3$

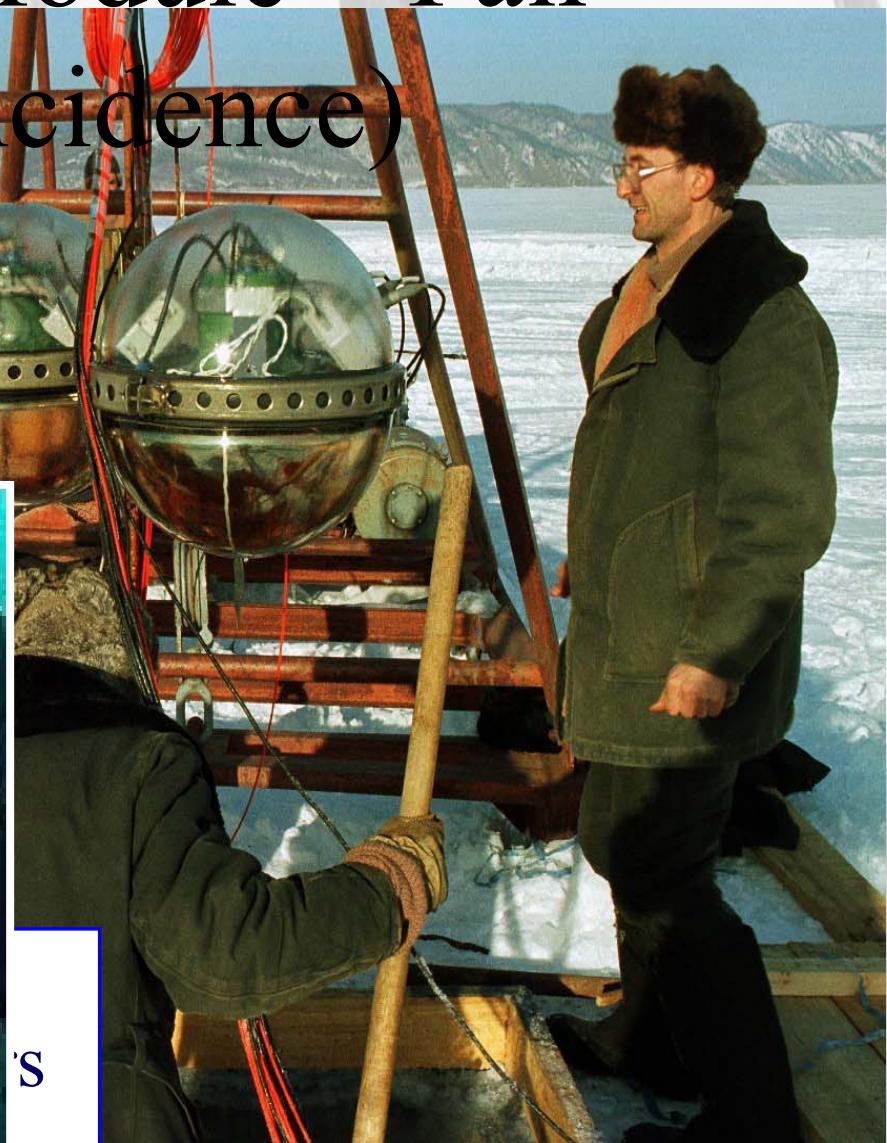
- 8 strings: 72m height
- 192 optical modules
- pairwise coincidence
→ 96 space points
- calibration with N-lasers
- timing ~ 1 nsec
- Dyn. Range ~ 1000 pe

Effective area: 1 TeV $\sim 2000\text{ m}^2$
Eff. shower volume: 10TeV $\sim 0.2\text{ Mt}$



Quasar PMT: $d = 37\text{cm}$

Optical Module – Pair (Coincidence)

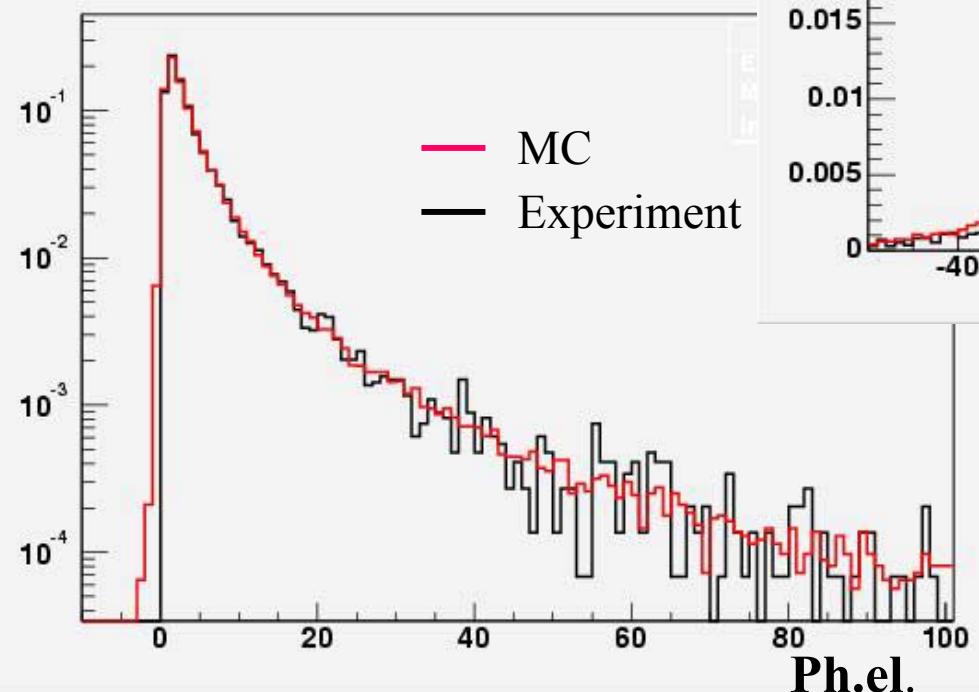


'S

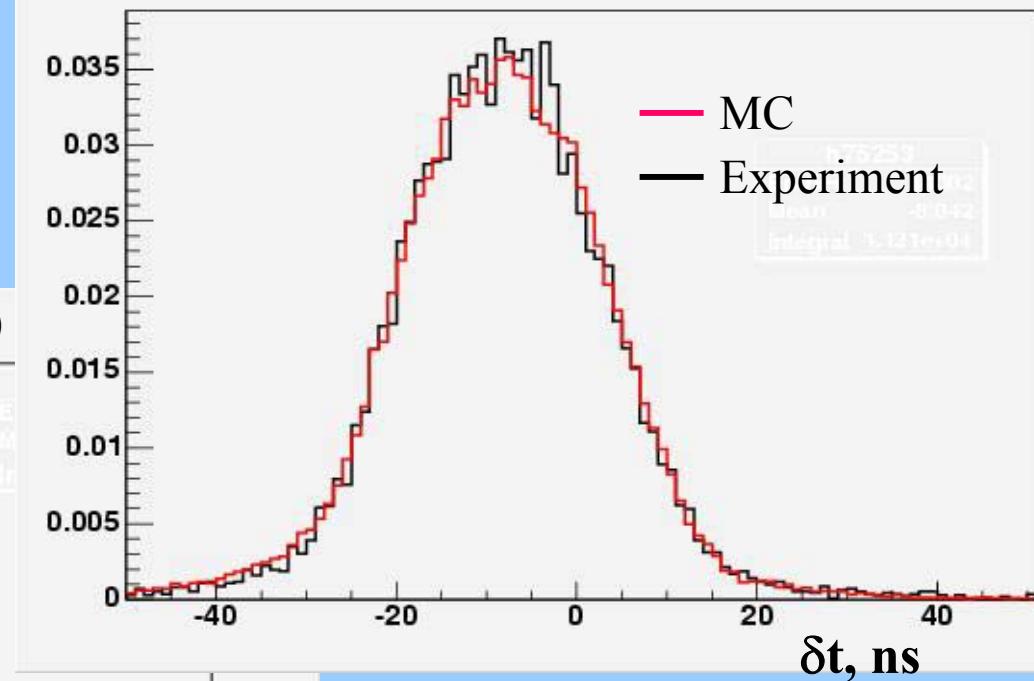
“bats“ against

Atmospheric muon flux as a calibration source

Amplitude distributions (ch.12)



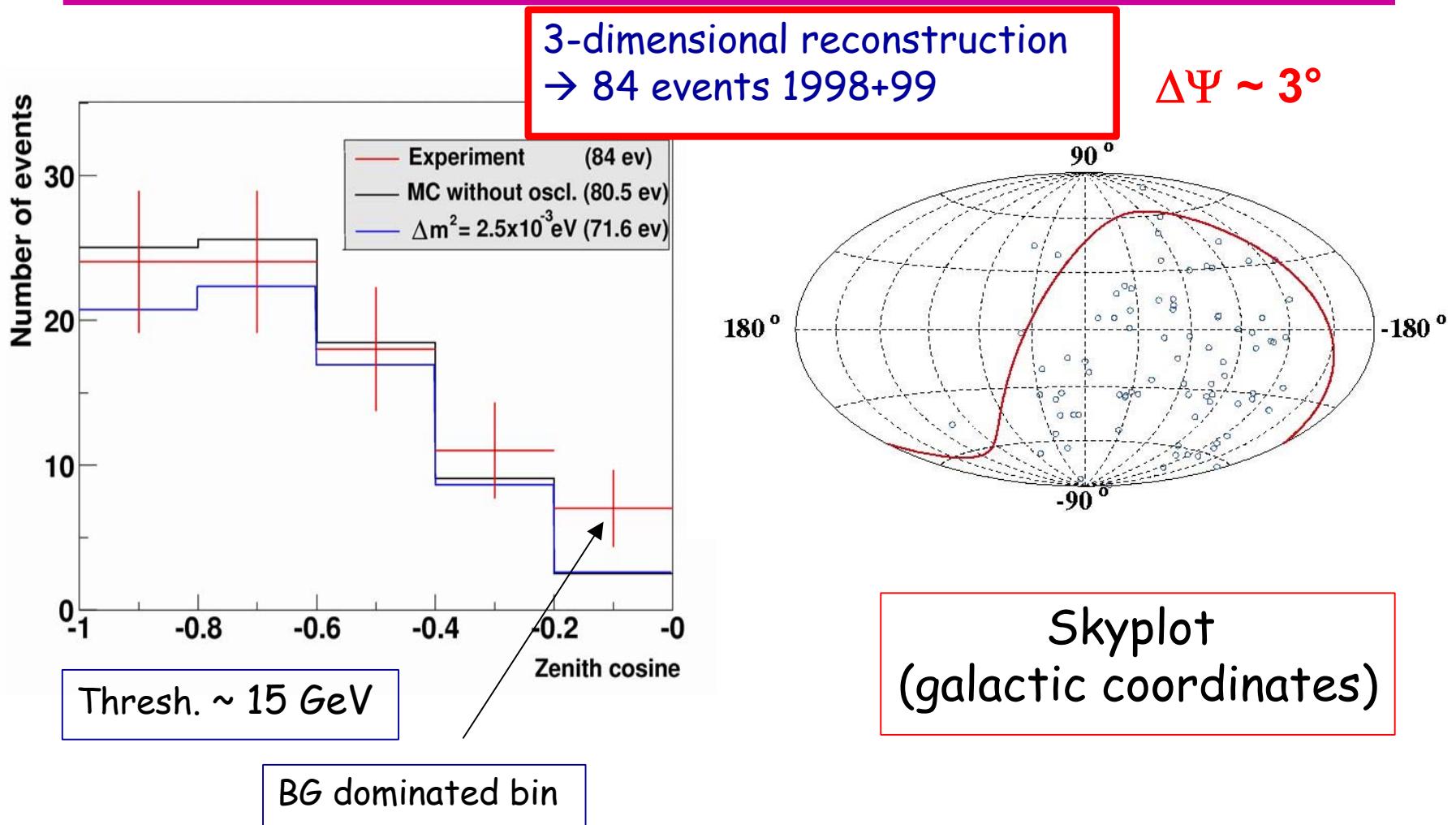
Time difference distributions ($\delta t = t_{52} - t_{53}$)



Selected Results

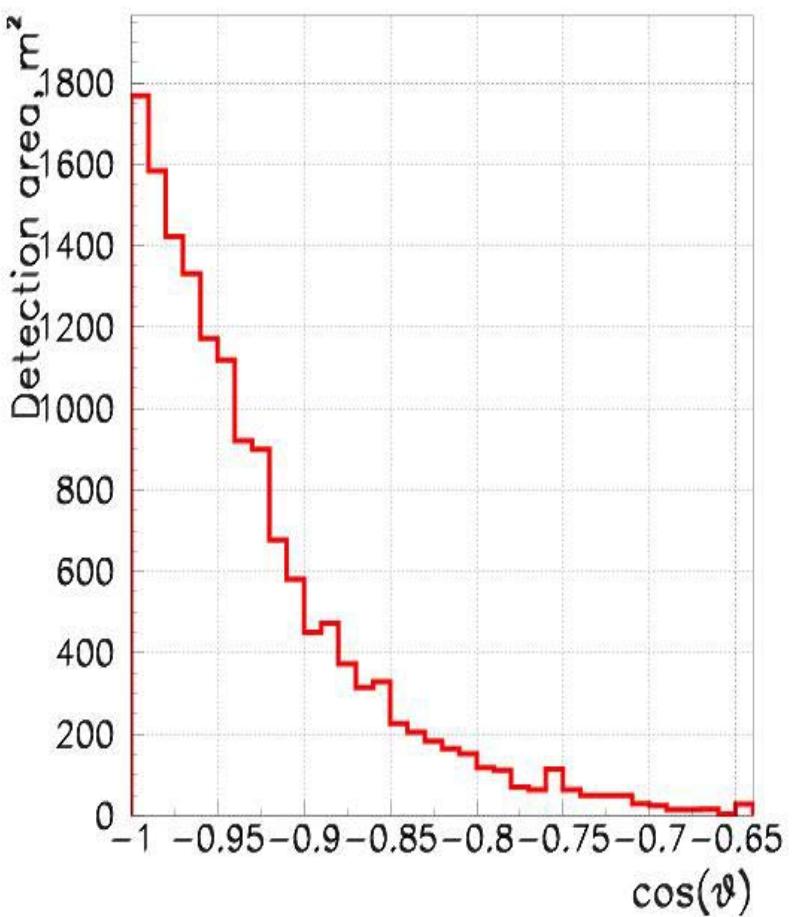
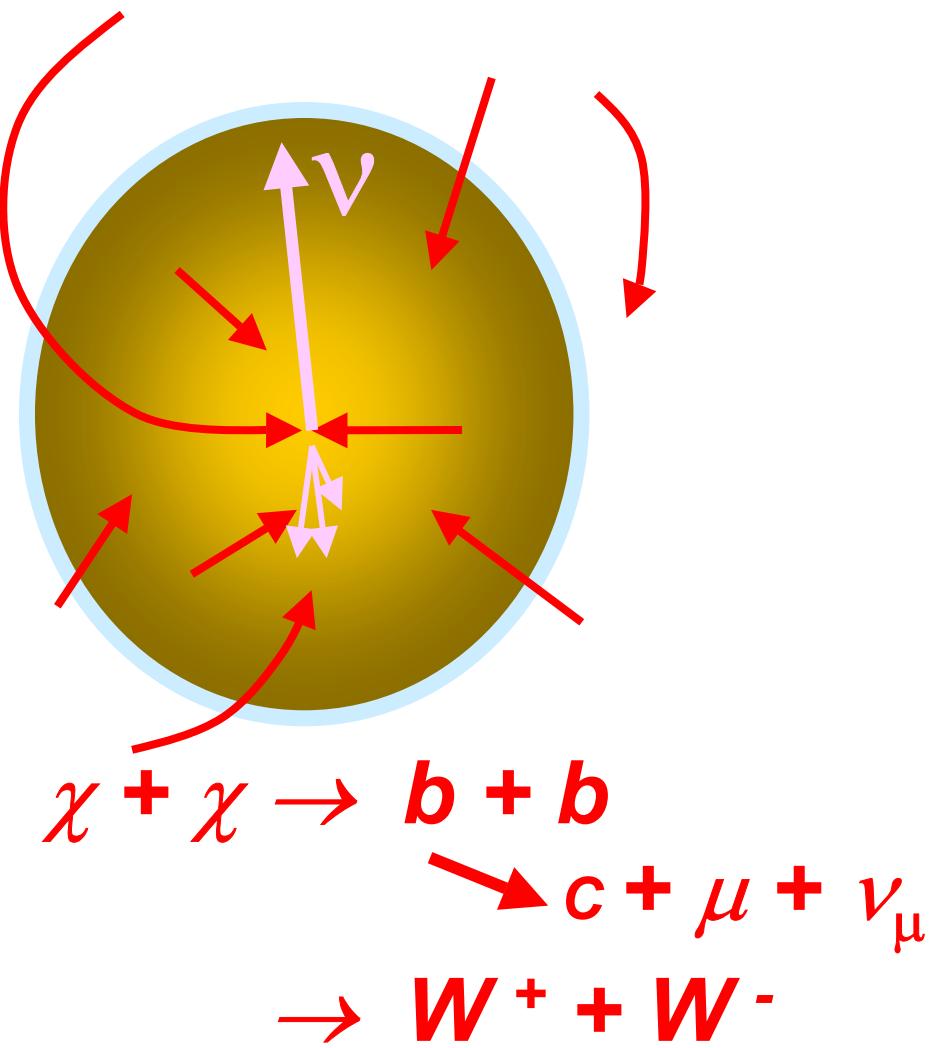
- *Low energy phenomena*
 - atmospheric neutrinos
 - neutrino signal from WIMP annihilation
- *Search for exotic particles*
 - magnetic monopoles
- *High energy phenomena*
 - neutrinos from GRB
 - prompt muons and neutrinos
 - diffuse neutrino flux

Atmospheric Muon-Neutrinos



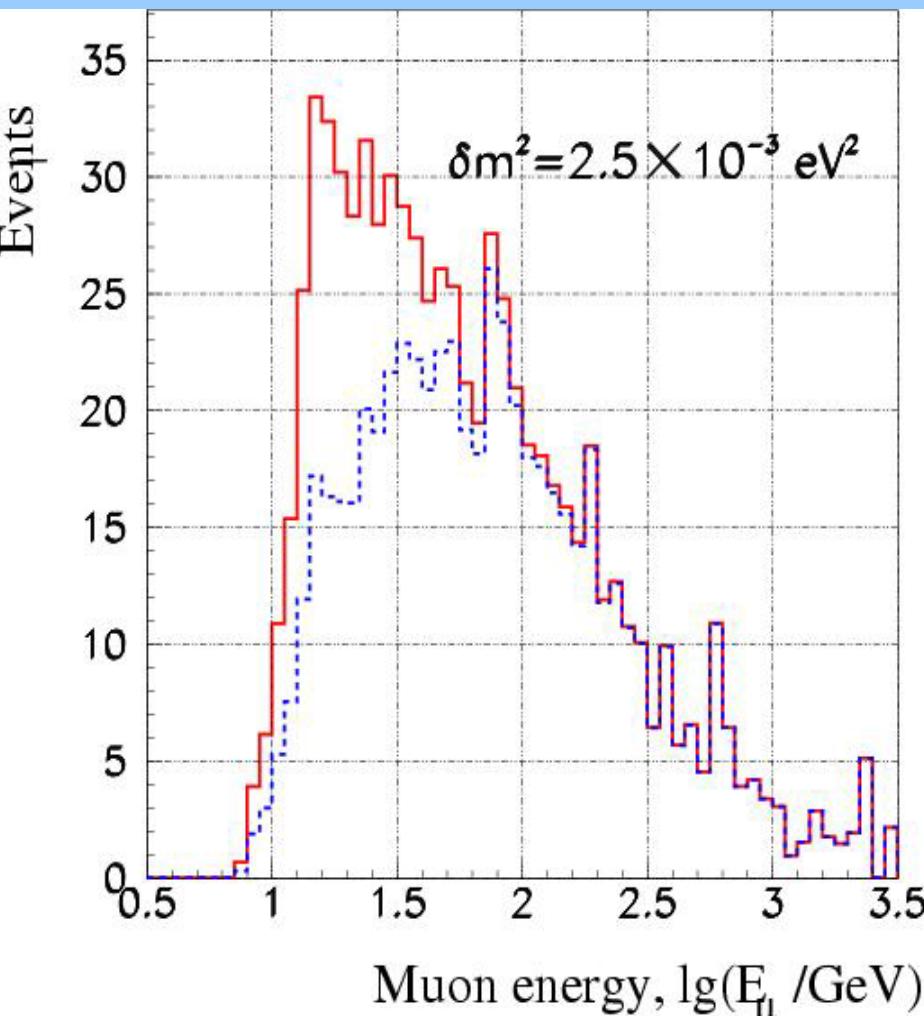
WIMP Neutrinos from the Center of the Earth

Detection area of NT-200 for vertically up-going muons detection (after all cuts)

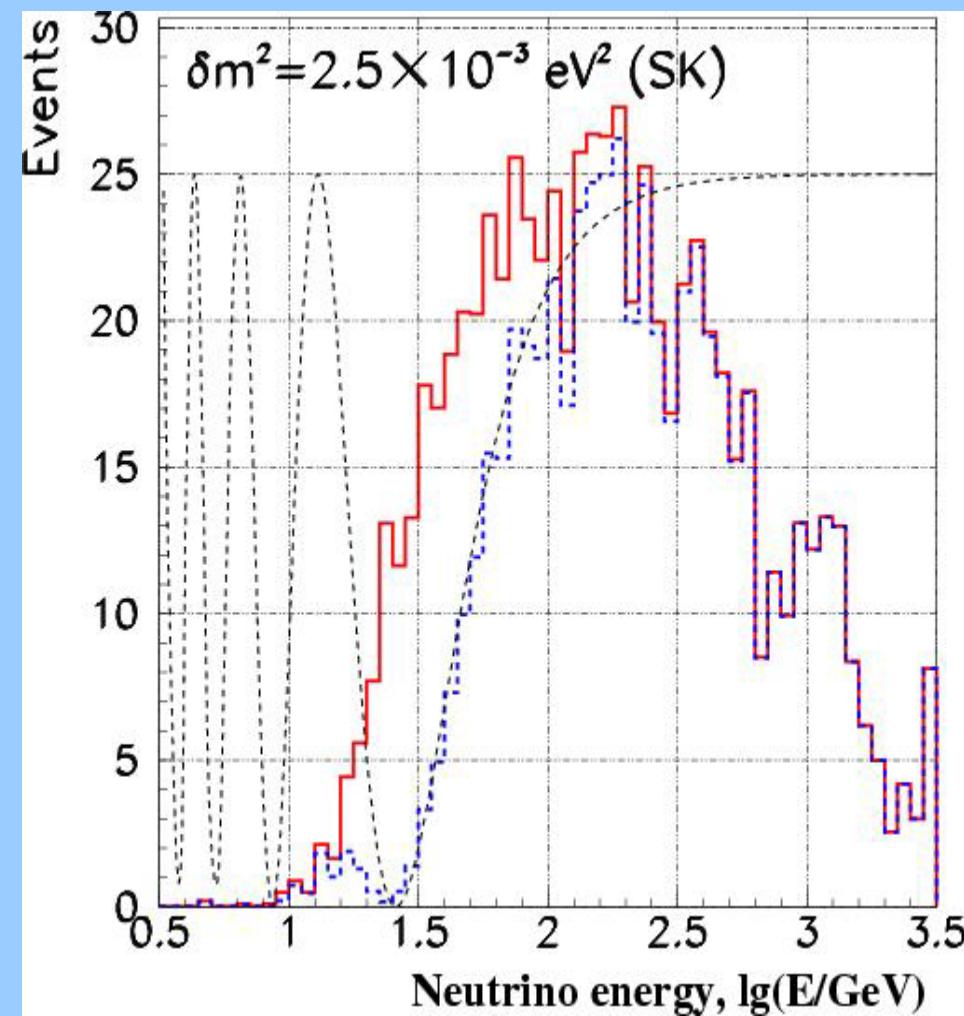


WIMP Search

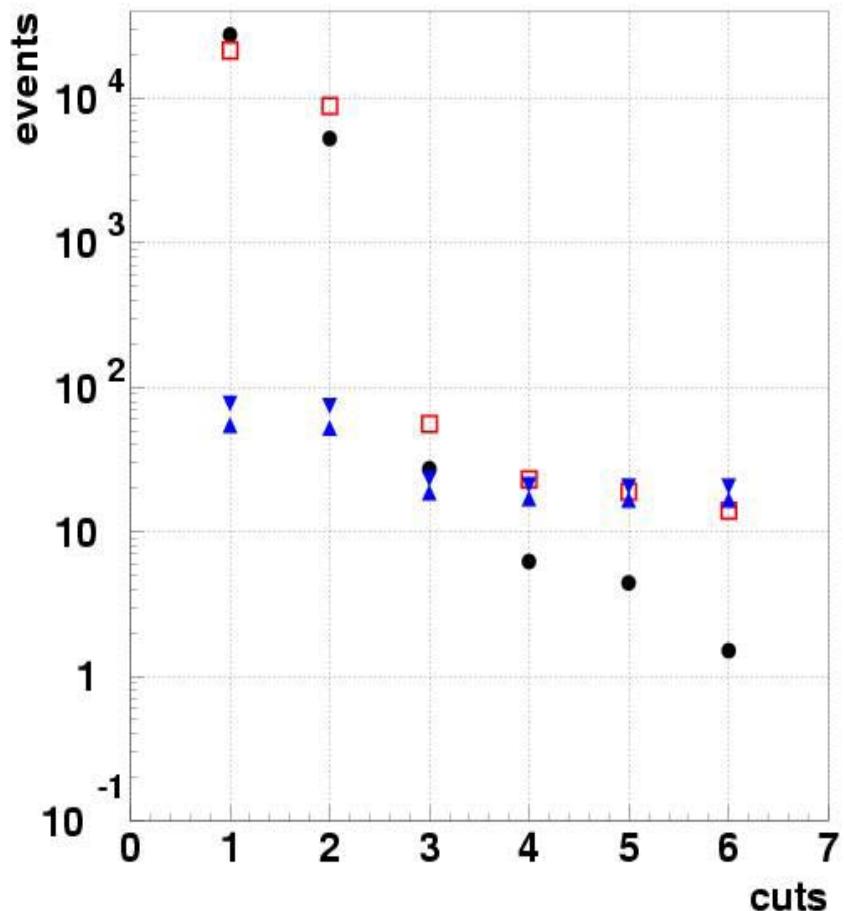
Neutrino induced muons
 $E_{\text{thr}} = 10 \text{ GeV}$



Atmospheric neutrinos
(Bartol-96 flux, oscillations - SK, K2K)



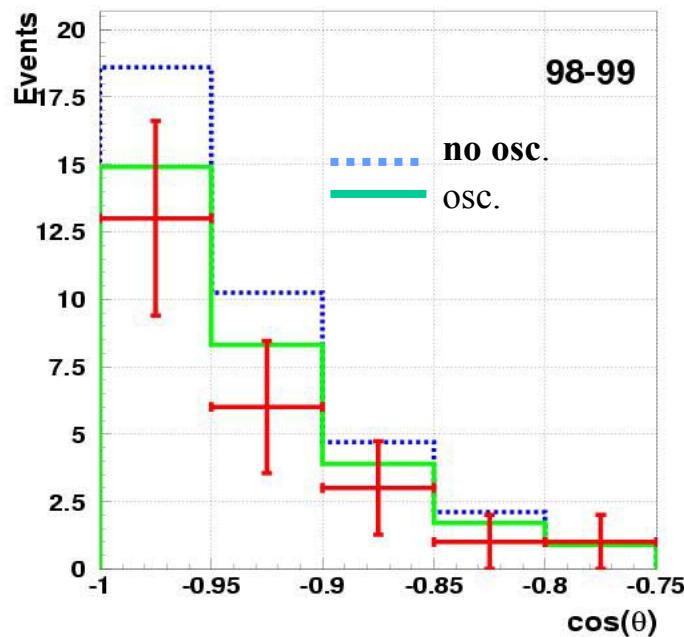
Applied cut's efficiency



- - experiment
- - atm. muons (expectation)
- ▲ - neutrinos (with oscillations)
- ▼ - neutrinos (without oscillations)

WIMP Search

Angular distributions (502 days, NT-200)



atm. neutrino flux - Bartol-96

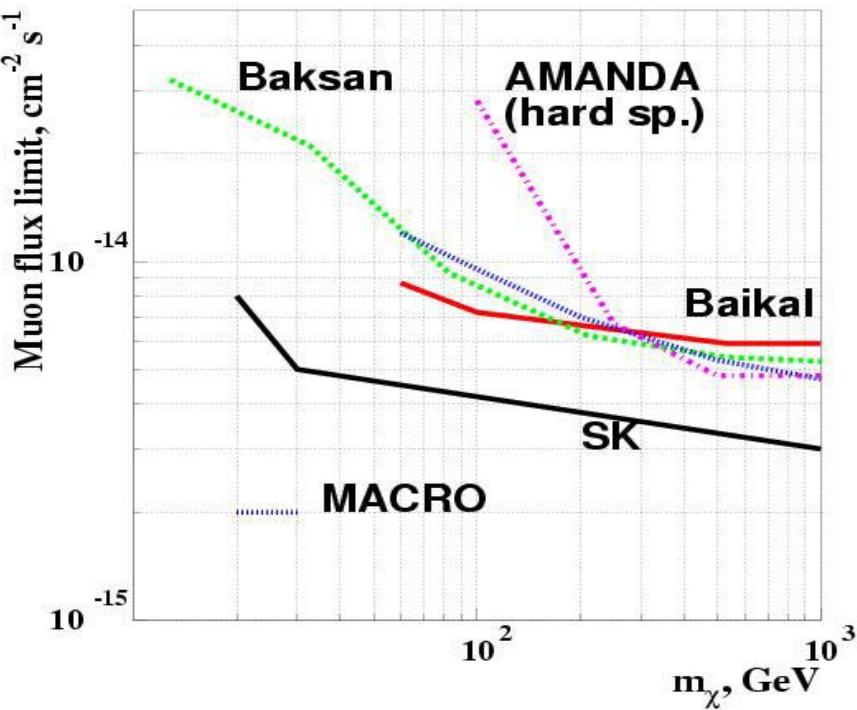
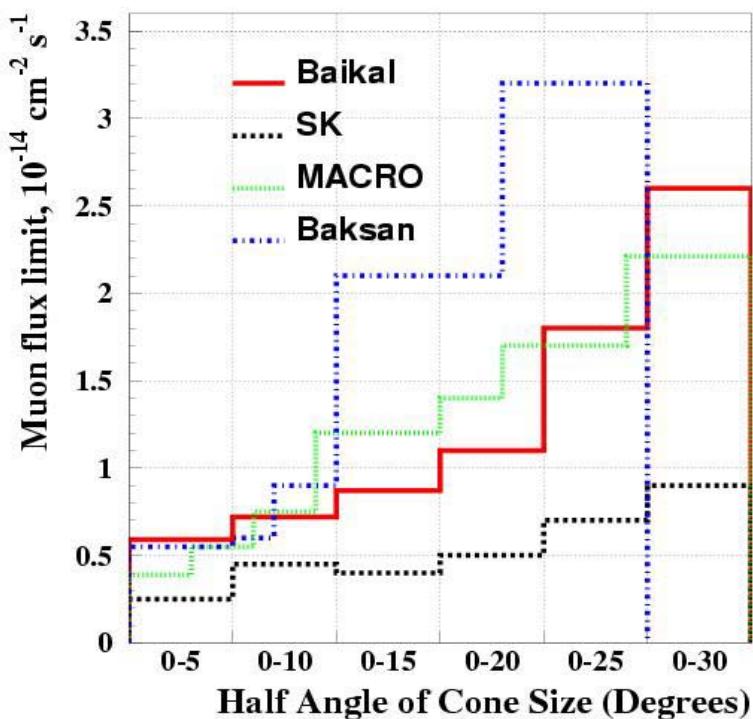
$$F_\nu(\text{Honda et al. - 04}) = 0.7 F_\nu(\text{Bartol-96})$$

24 events - experiment
36.6 events - expected without oscillations
29.7 events - expected with oscillations

WIMP Search

Excess neutrino induced upward muon flux 90% c.l. limits from the Earth
 (502 days of NT-200 livetime, $E_\mu > 10$ GeV)

	Baikal	Amanda	SK	Baksan	MACRO
T, days	502	130	1680	5402	1298



Search for fast monopoles ($\beta > 0.8$)

$$N_\gamma(\lambda) = n^2 (g/e)^2 N_{\gamma\mu}(\lambda) = 8300 N_{\gamma\mu}(\lambda)$$
$$g = 137/2, \quad n = 1.33$$

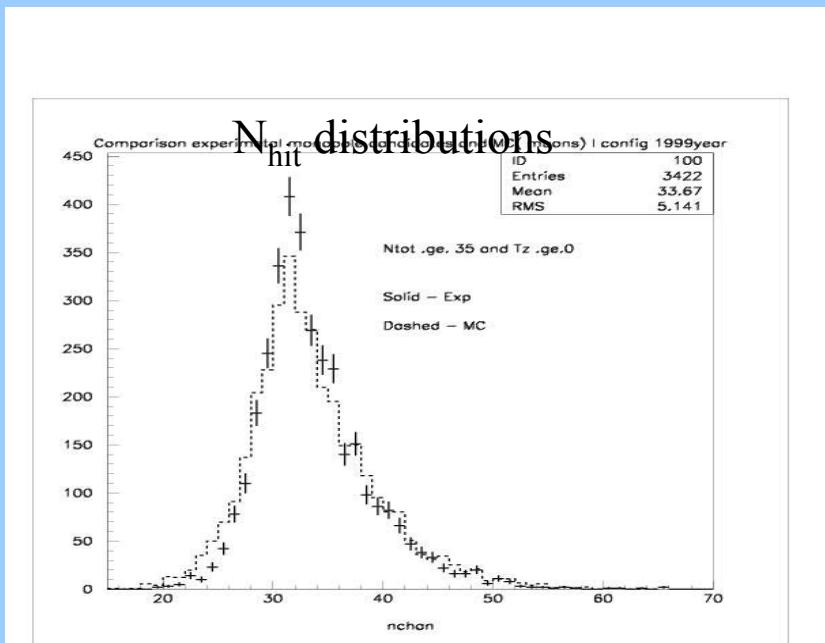
Event selection criteria:

hit channel multiplicity - $N_{\text{hit}} > 35$ ch,

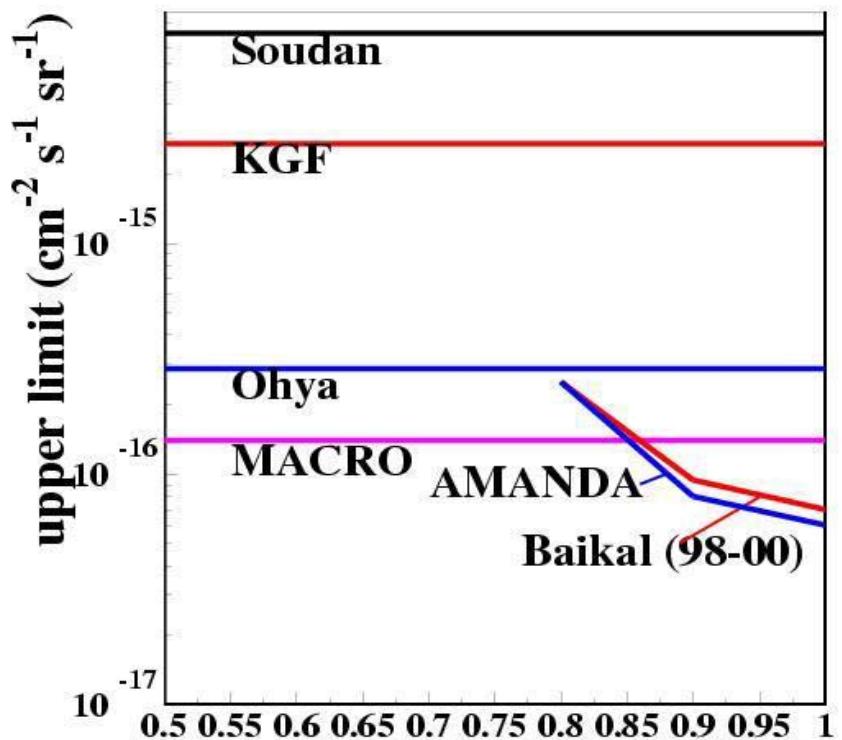
upward-going monopole -

$\sum(z_i - z)(t_i - t)/(\sigma_t \sigma_z) > 0.45$ & $\theta > 100^\circ$

Background - atmospheric muons



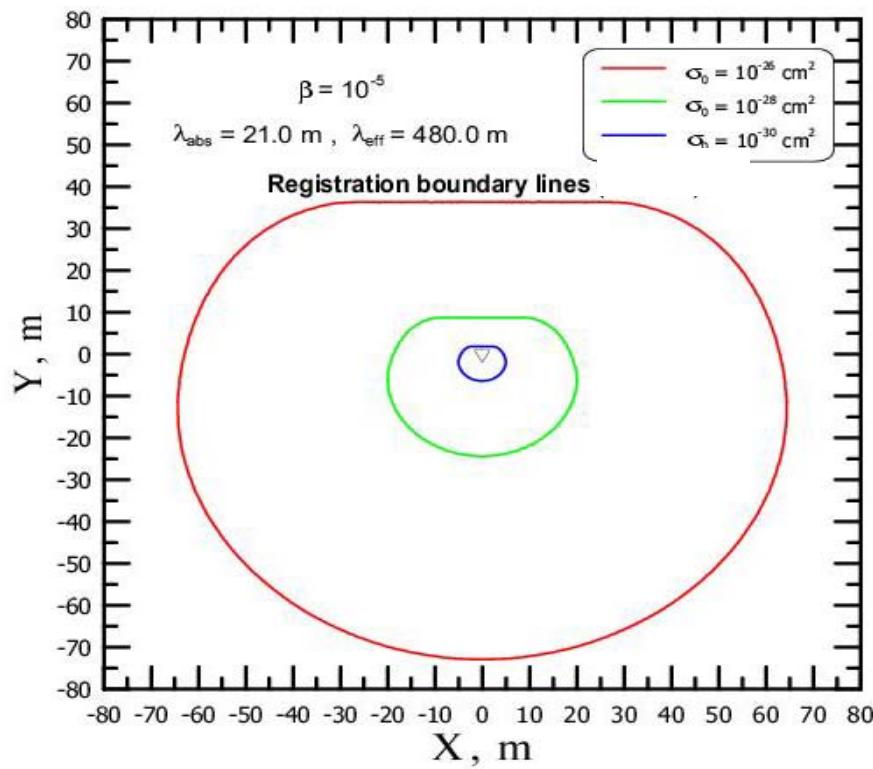
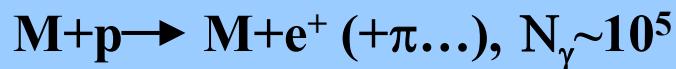
780 livedays
Monopole limit (90% C.L.)



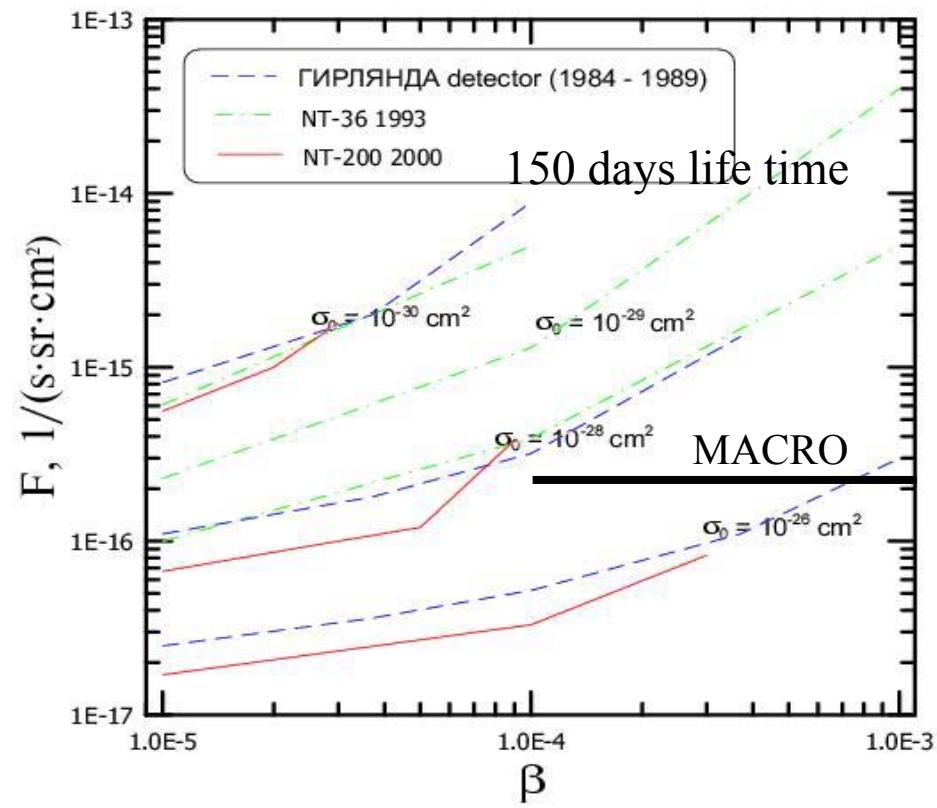
$$\beta = v/c$$

Search for slow massive monopoles ($10^{-5} < \beta < 10^{-3}$)

$$\sigma_{\text{cat}} = 0.17 \sigma_0 / \beta^2, \quad 10^{-5} < \beta < 10^{-3}$$



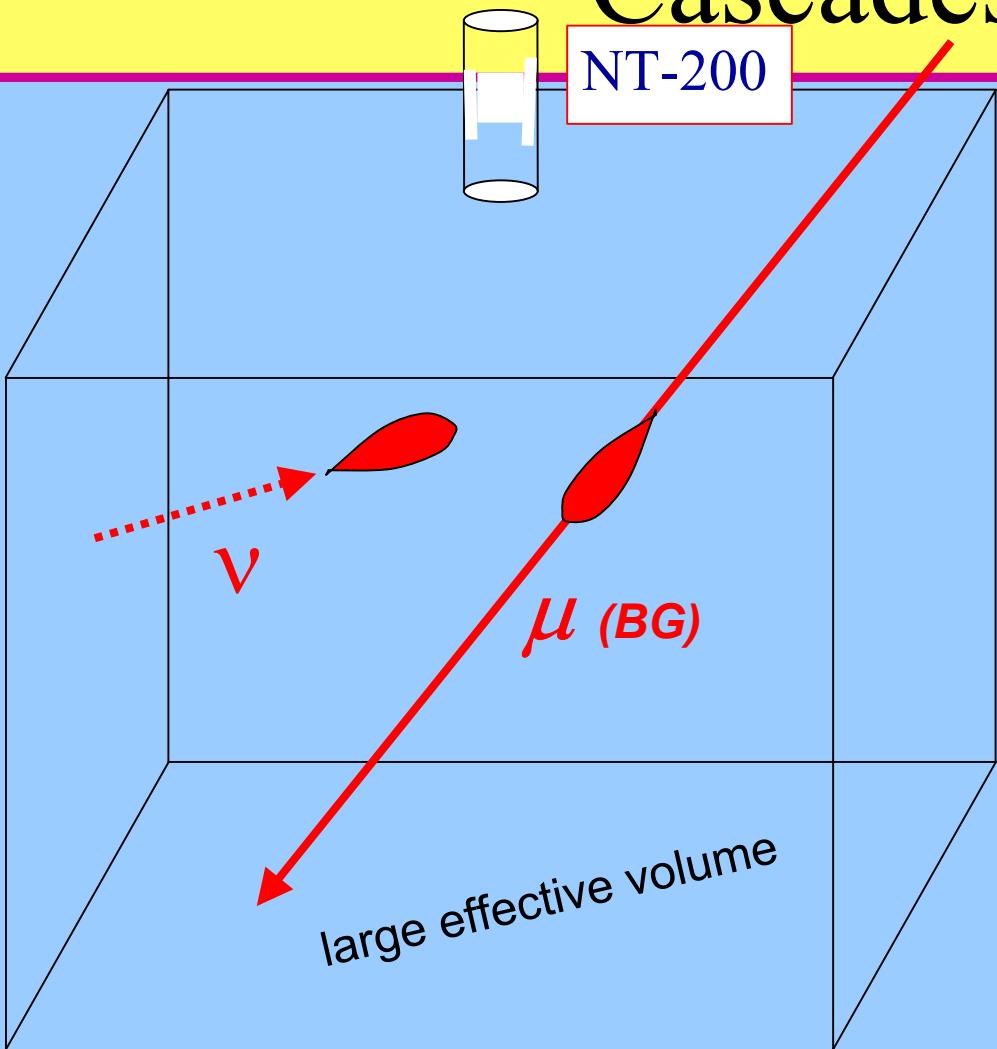
NT-200 - detection of massive bright objects (GUT-monopoles, nuclearites, Q-balls ...)
monopole trigger: $N_{\text{hit}} > 4$ within $dt = 500 \mu\text{sec}$
selection requirements - $N_{\text{ch}} > 1$ with $N_{\text{hit}} > 14$



Magnetic monopole detection boundaries

Magnetic monopole flux limits

Search for High Energy - Cascades



Look for upward moving light fronts.

Signal:

isolated cascades from neutrino interactions

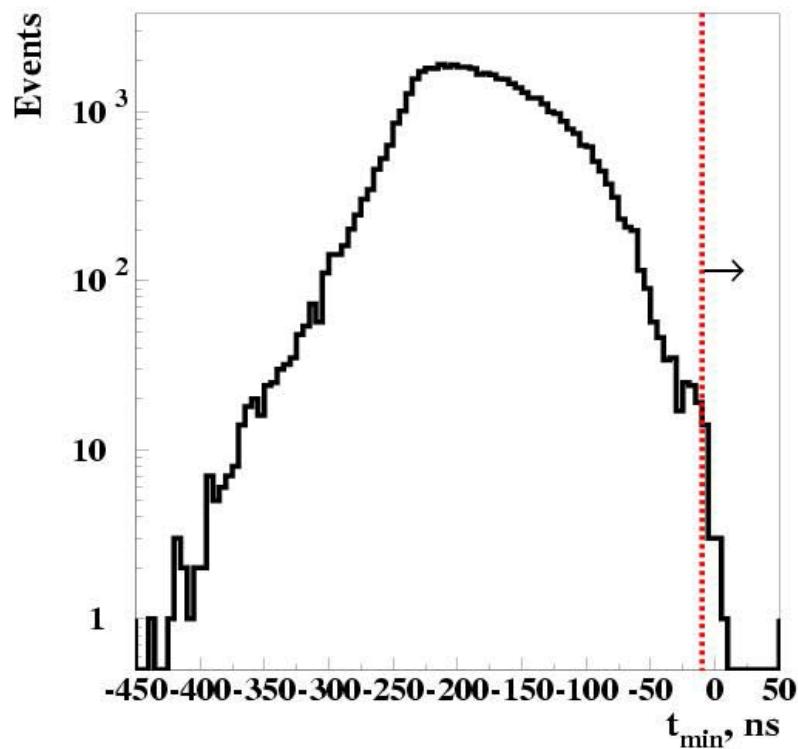
Background:

Bremsshowers from h.e. downward muons

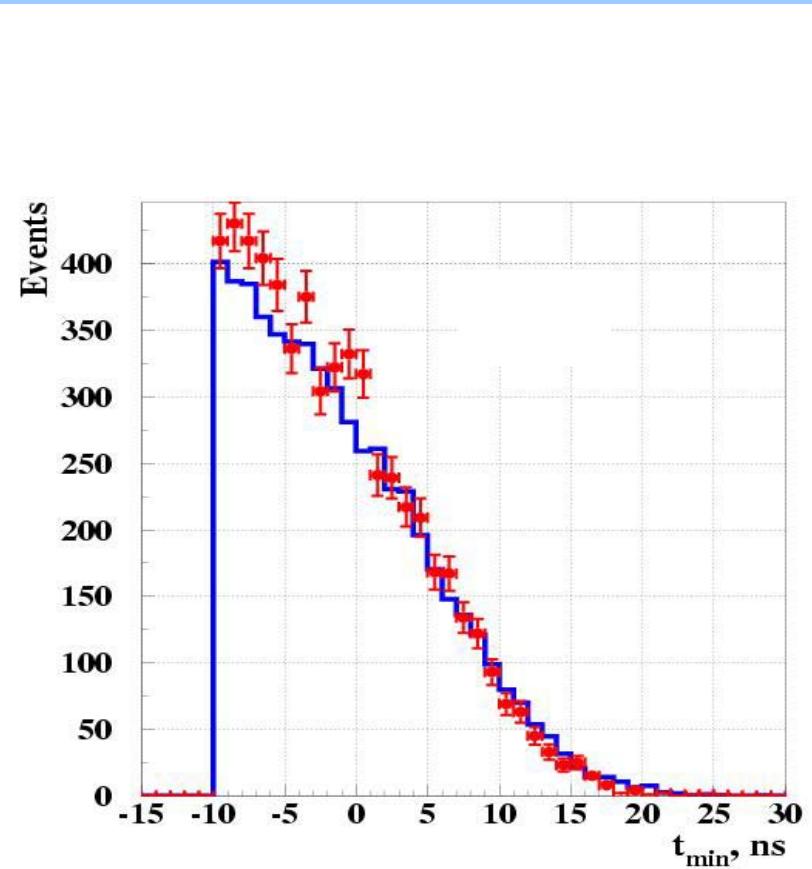
Final rejection of background by „energy cut“ (N_{channel})

High energy cascade selection:

$t_{\min} = \min(t_i - t_j), \quad j > i$ - cascades below NT-200
 $N_{\text{hit}} > 15 \text{ ch.}$ - hit channel multiplicity



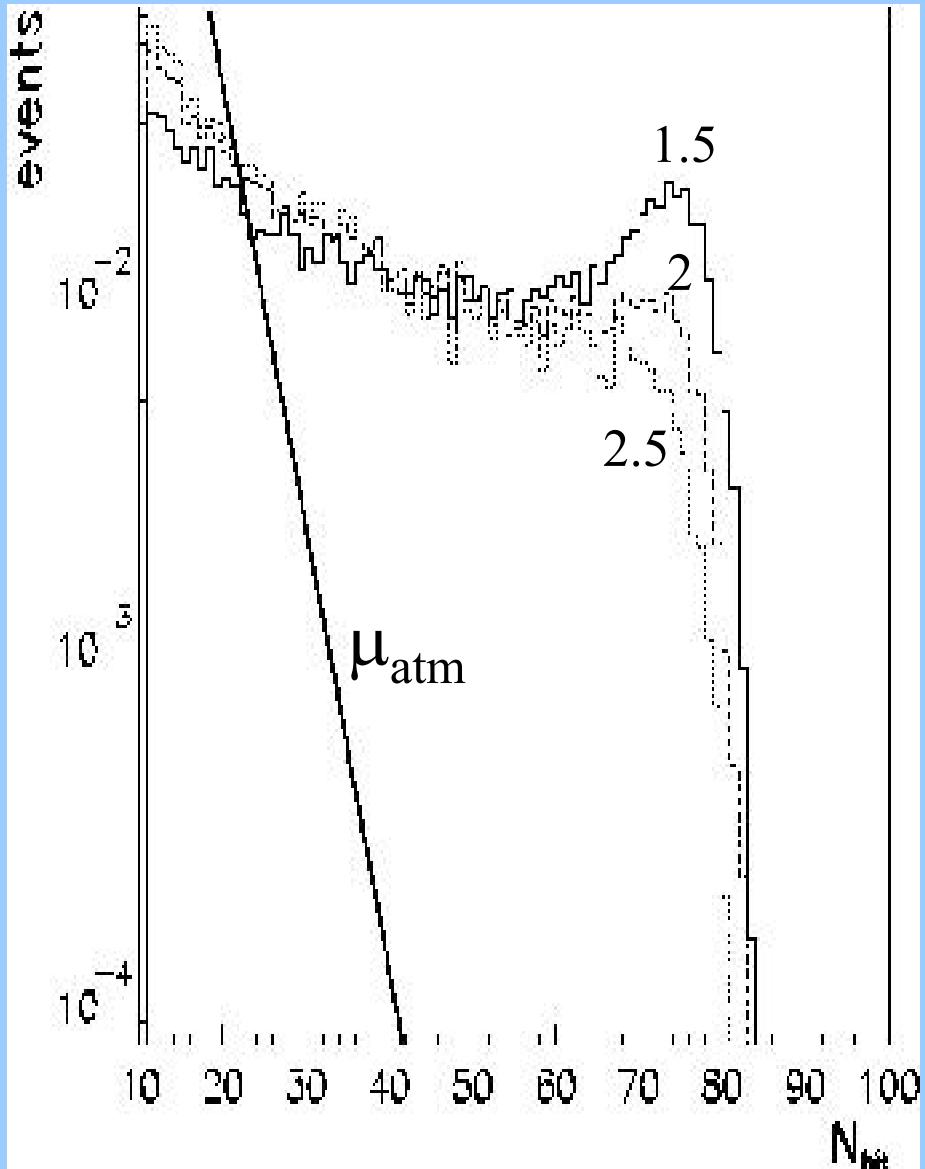
Data ($N_{\text{hit}} > 40 \text{ ch.}$)



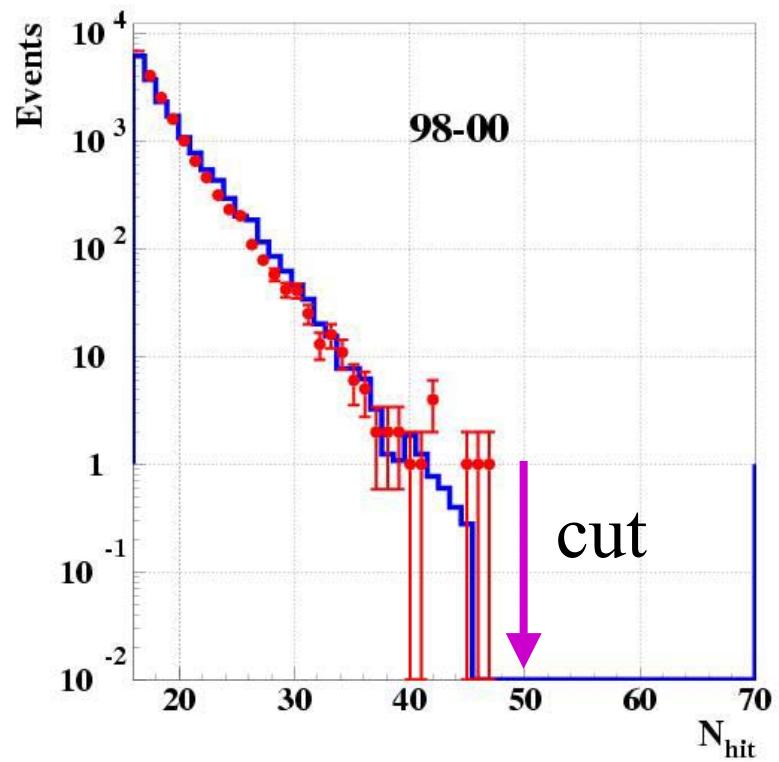
Data ($t_{\min} > -10 \text{ ns}$)

$$\Phi_v = AE^{-\gamma}$$

hit channel multiplicity



Hit channel multiplicity
(experiment)



Search for neutrinos correlated to Gamma Ray Bursts

April 1998 - February 2001:

$N_{\text{tot}} = 722$ BATSE events

NT-200: $N_{\text{hit}} > 10$ & $t_{\text{min}} > -10 \text{ ns}$ &
 $t_{\text{BATSE}} - 100 \text{ ns} < t < t_{\text{BATSE}} + 100 \text{ ns}$

90% C.L. limits on neutrino flux from GRBs:

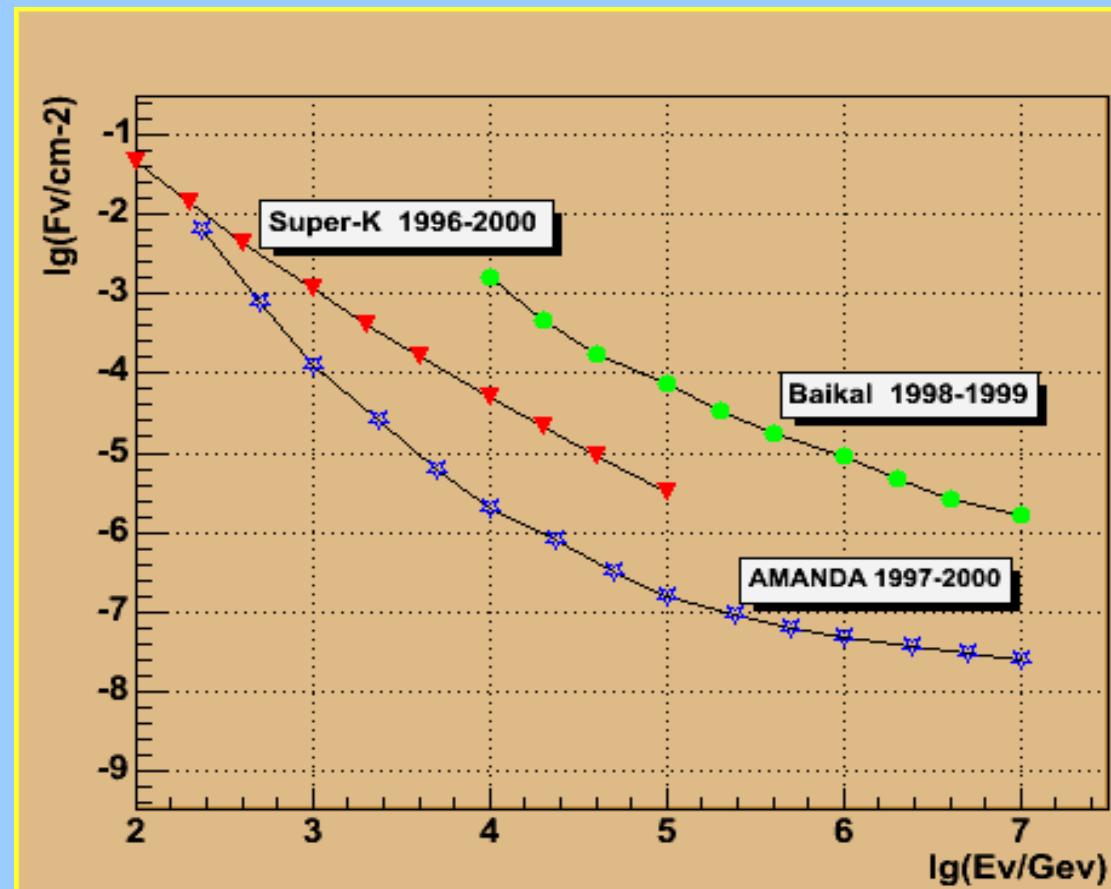
$N_{\text{hit}} > 34 \rightarrow 1$ selected event, $N_{\text{bg}} = 0.47$

$F(E) = A_{\text{tot}} \delta(E - E_0)$, $A_{\text{tot}} = N_{90}/S(E_0)$

$$A_{\text{GRB}} = A_{\text{tot}}/N_{\text{tot}}$$

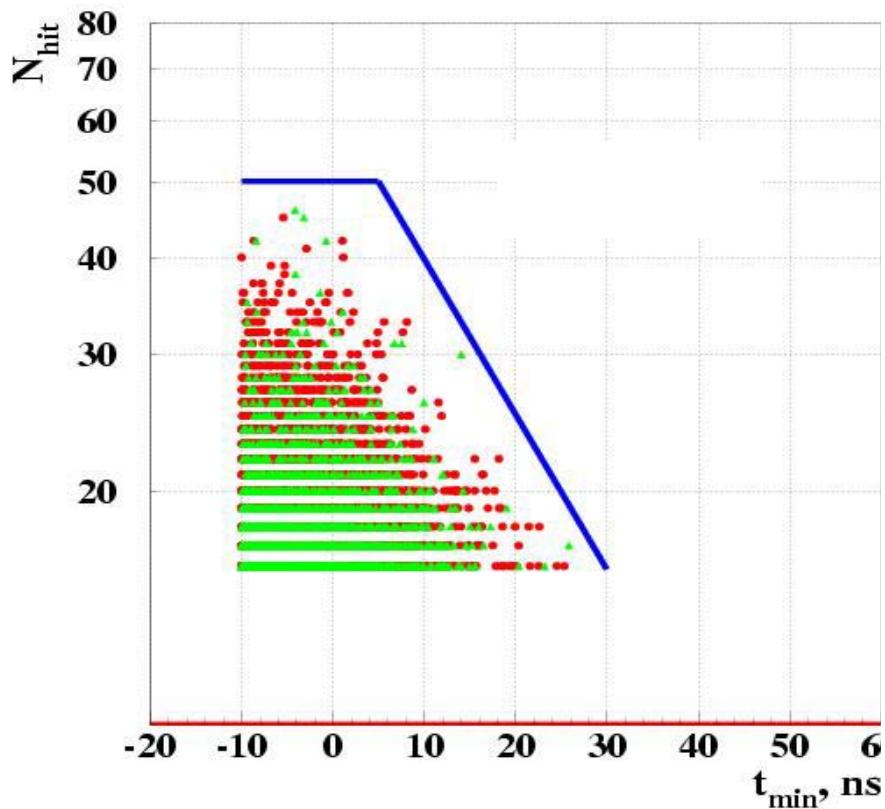
N	Triggered GRB		All GRB	
	Signal	Backgr.	Signal	Backgr.
15	91	94	172	167
20	11	13	22	23
25	1	2.8	5	5.2
30	1	0.86	3	1.6
35	0	0.28	1	0.47
40	0	0	0	0.056

Data consistent with expected background from atm. muons

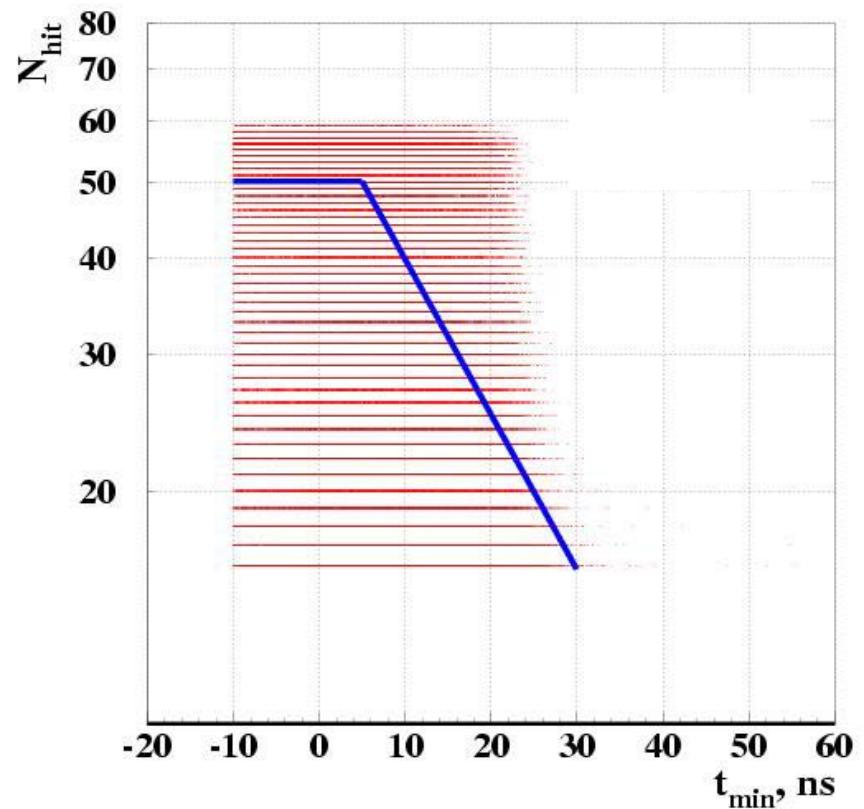


Event selection criteria

- - experiment
- - atm. muons background



High energy cascades

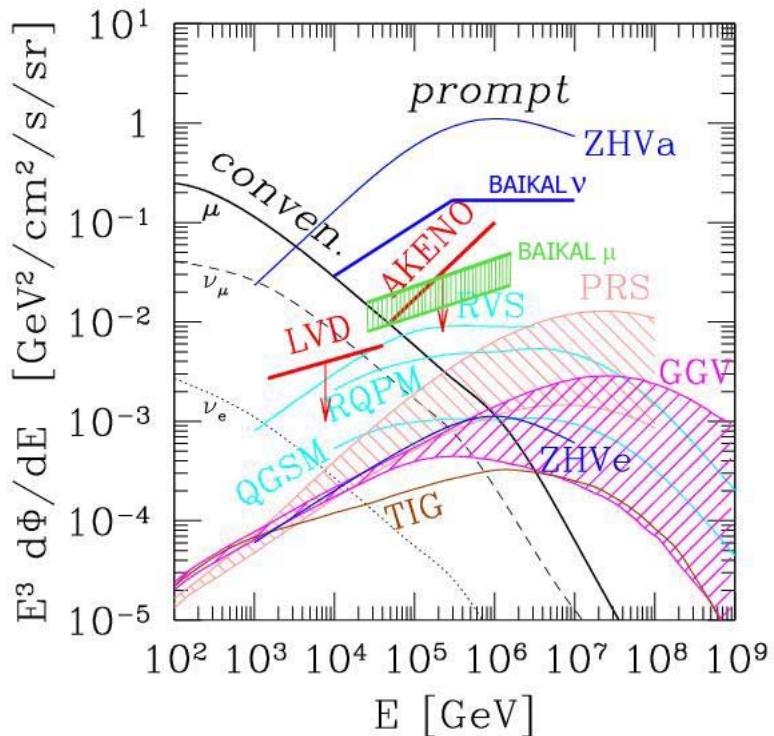


Prompt atmospheric muons and neutrinos (source of background for neutrino telescopes)

Sources - decays of short-lived particles (Λ , D, ...)

$\Phi_\mu \sim \Phi_\nu$ – isotropic for $E < 10^7$ GeV

90% c.l. limits for prompt μ^- and ν_e fluxes (780 life days)



Neutrinos $-\nu_\mu, \nu_e$: cascades (CC, NC)

$$\Phi_\nu = \begin{cases} A_\nu E^{-2.6}, & E < E_b = 3 \cdot 10^5 \text{ GeV} \\ A_\nu E_b^{0.4} E^{-3}, & E > E_b = 3 \cdot 10^5 \text{ GeV} \end{cases}$$

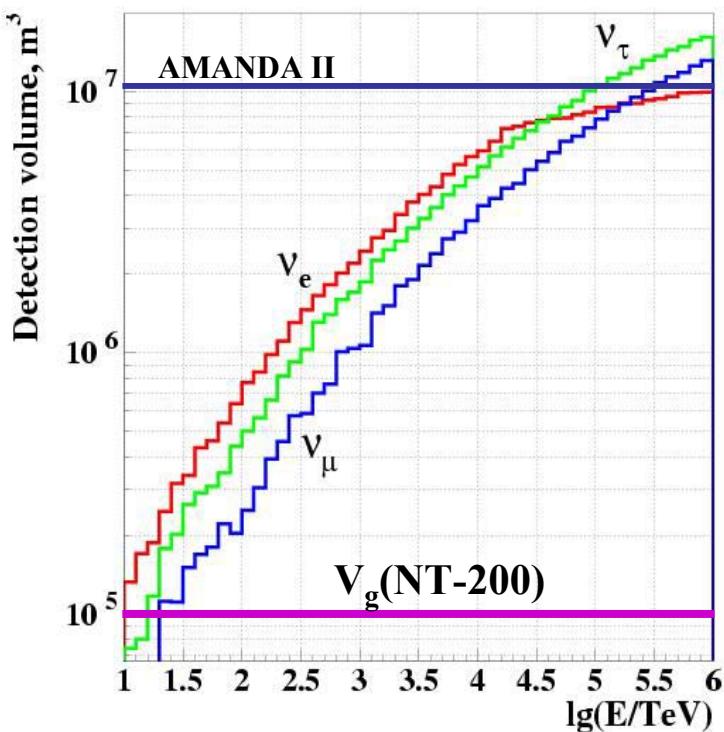
Muons: cascades (e^+e^- , brem, ph.-nucl.)

$$\Phi_\mu = A_\mu E^{-2.6}$$

Predictions:

- ZHV - E.Zas, F.Halzen, R.Vazquez-93
- RVS - O.Ryazhskaya, L.Volkova, O.Saavedra-02
- QGSM, RQPM - E.Bugaev et al.-89
- TIG - M.Thunman, G.Ingelman, P.Gondolo-96
- GGV - G.Gelmini, P.Gondolo, G.Varieschi-02
[\(hep-ph/0209111\)](https://arxiv.org/abs/hep-ph/0209111)

Diffuse flux of ν_e , ν_τ , ν_μ : cascades



The 90% C.L. Limits Obtained With
NT-200 (780 days)

DIFFUSE NEUTRINO FLUX

$$(\Phi_\nu \sim E^{-2}, 10 \text{ TeV} < E < 10^4 \text{ TeV})$$

$$\nu_e : \nu_\mu : \nu_\tau = 1 : 2 : 0 \quad (\text{AGN})$$

$$\nu_e : \nu_\mu : \nu_\tau = 1 : 1 : 1 \quad (\text{Earth})$$

$$E^2 \Phi_\nu < 1.0 \cdot 10^{-6} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

$$E^2 \Phi_\nu < 8.6 \cdot 10^{-7} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \quad (\text{AMANDA04})$$

W-RESONANCE

$$(E = 6.3 \text{ PeV}, \sigma = 5.3 \cdot 10^{-31} \text{ cm}^2)$$

$$\Phi_{\nu e} < 4.2 \cdot 10^{-20} (\text{cm}^2 \cdot \text{s} \cdot \text{sr} \cdot \text{GeV})^{-1}$$

$$\Phi_{\nu e} < 5.0 \cdot 10^{-20} (\text{cm}^2 \cdot \text{s} \cdot \text{sr} \cdot \text{GeV})^{-1} \quad (\text{AMANDA04})$$

Diffuse flux of ν_e , ν_τ , ν_μ : cascades

Experimental limits and theoretical predictions

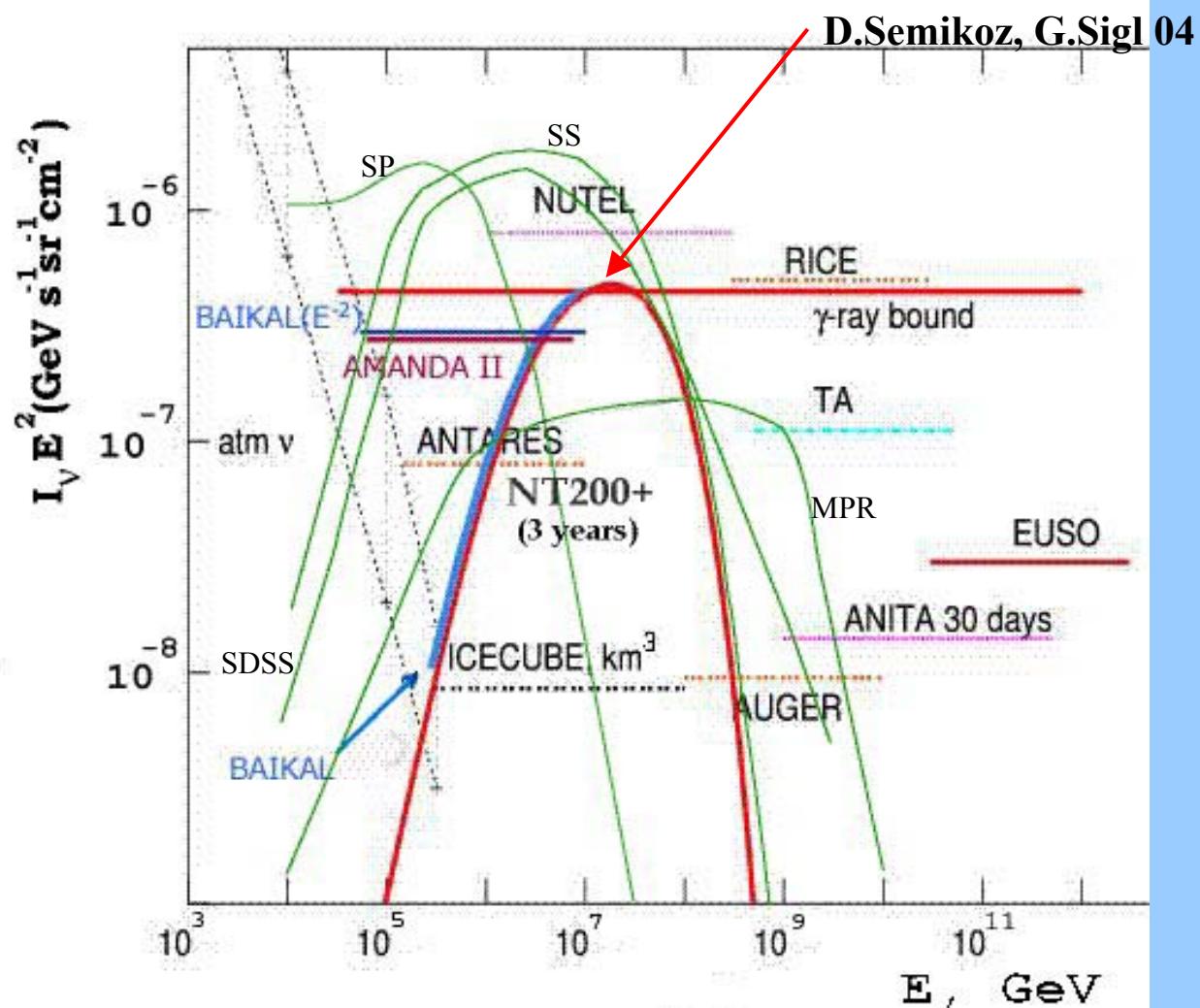
Models ruled out by AMANDA04

SDSS - Stecker et al.92

SS - Stecker, Salamon96

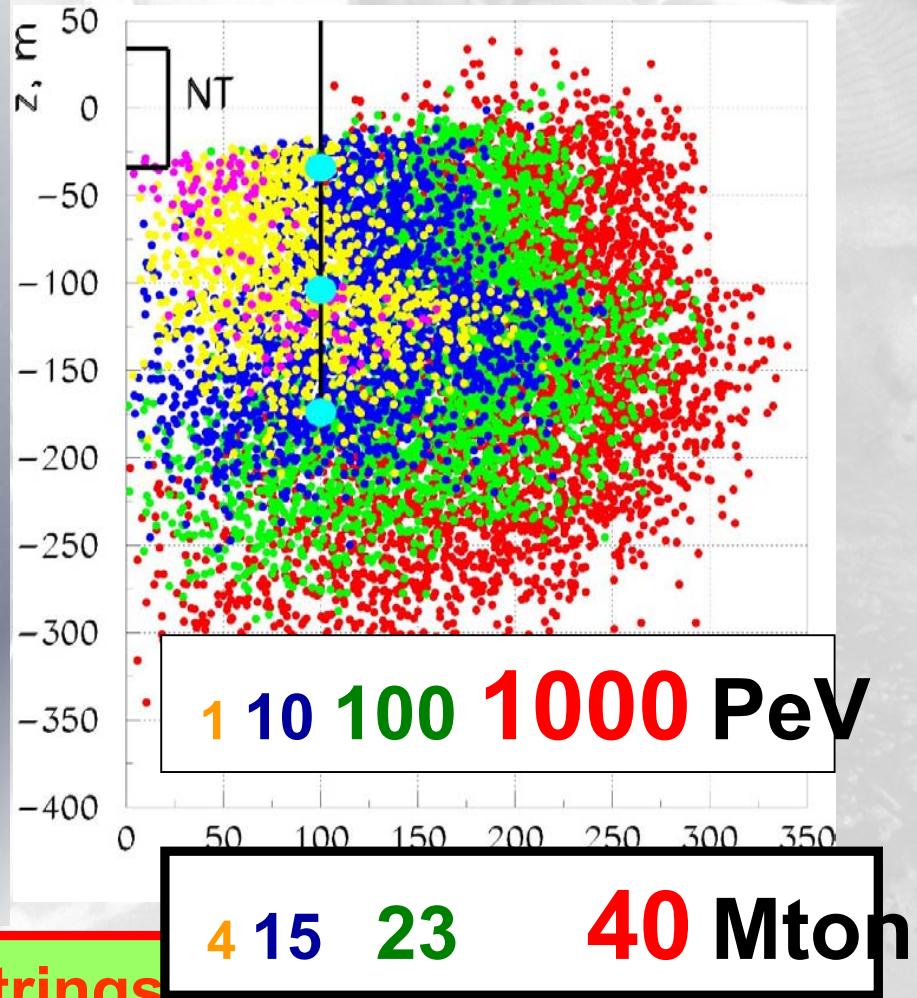
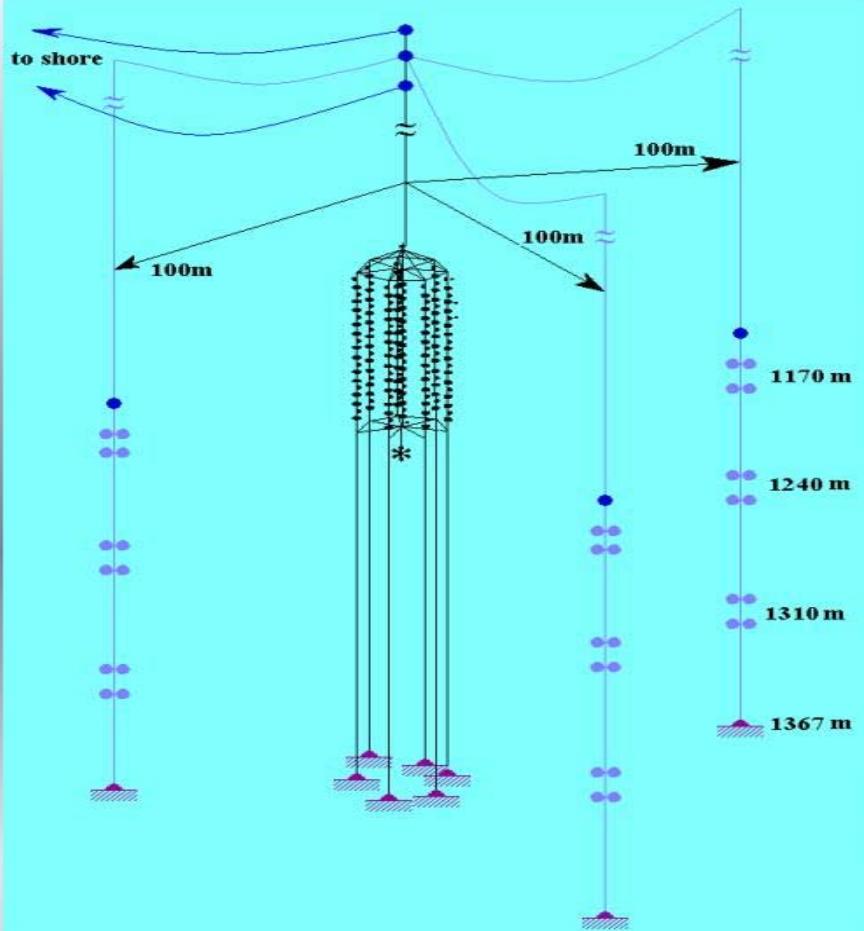
SP - Szabo, Protheroe92

MPR - Mannheim, Protheroe,
Rachen



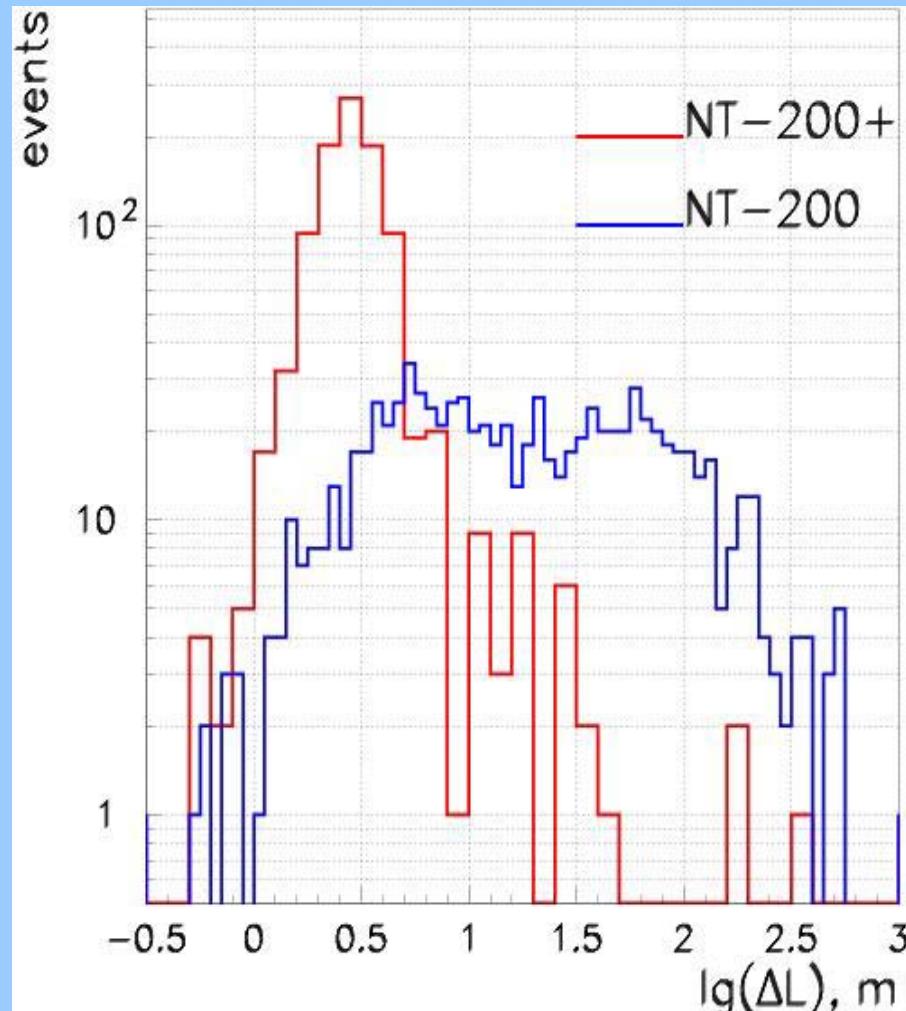
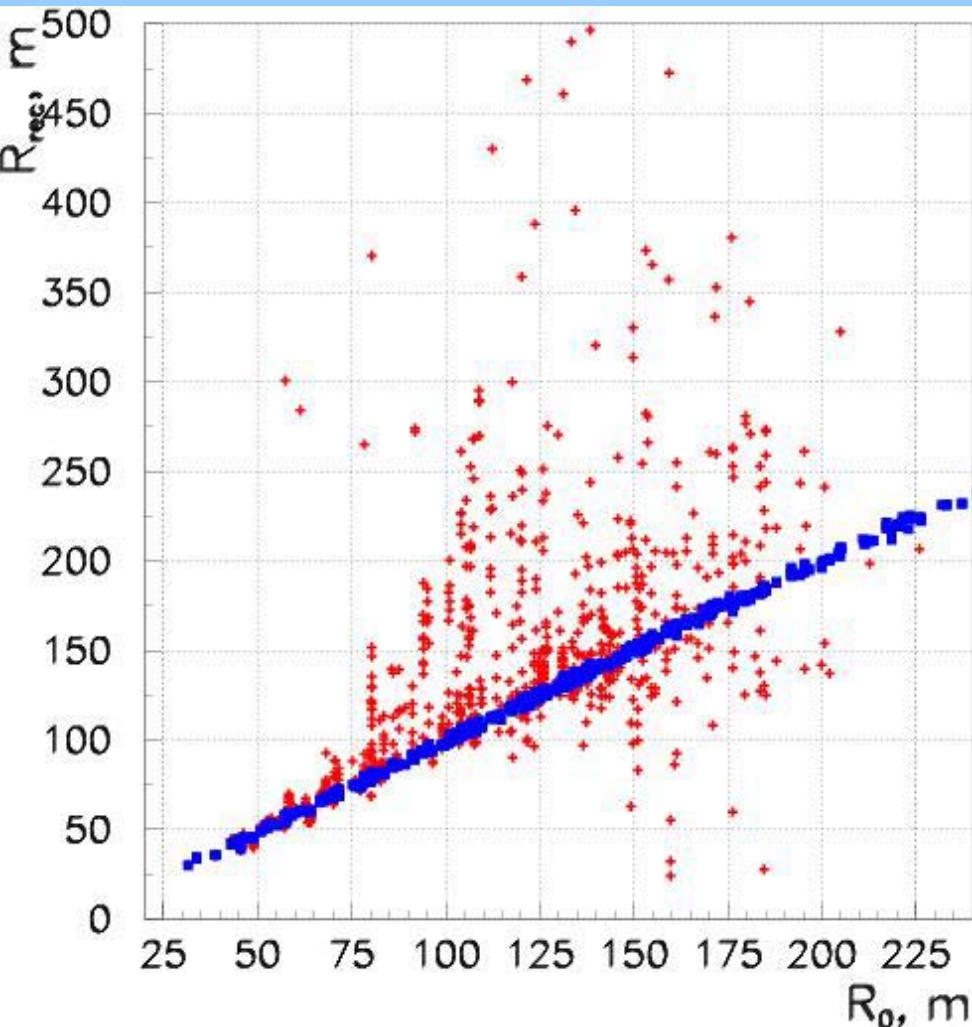
Upgrade to NT-200+

2004: two distant test string
2005: completion



36 additional PMTs on 3 far 'strings'
→ 4 times better sensitivity !

Cascade coordinates (energy) reconstruction efficiency

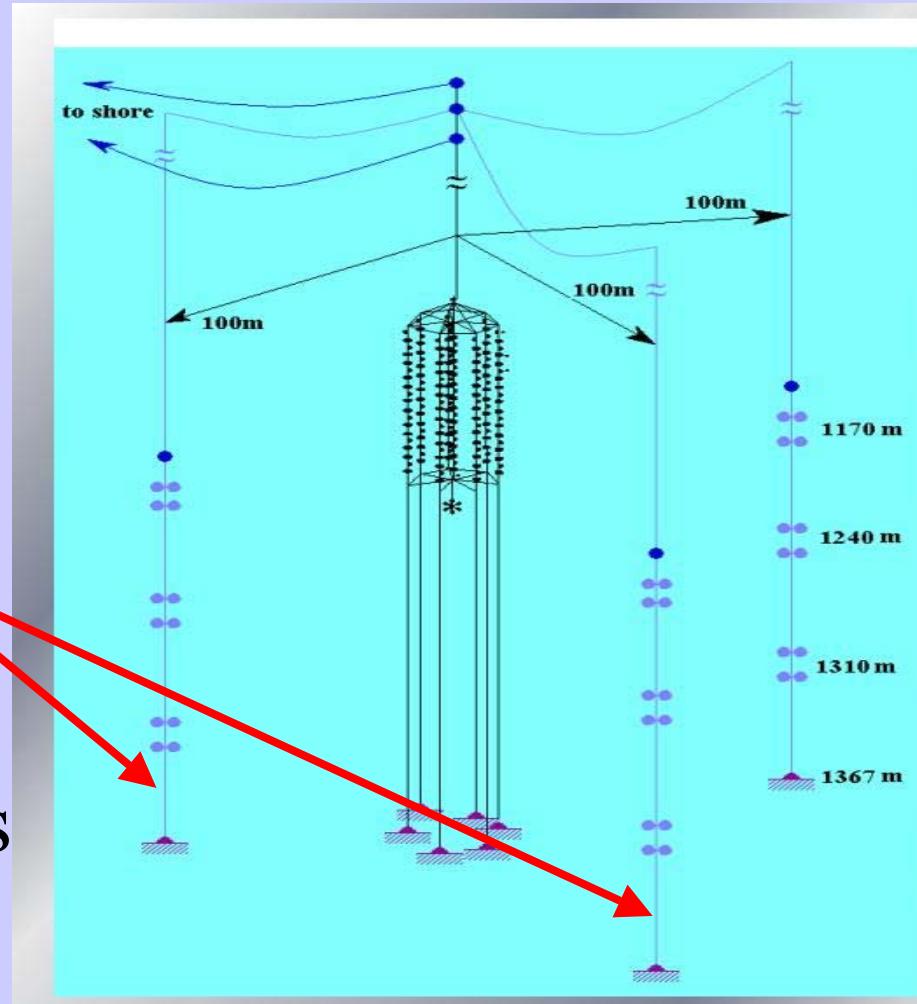


NT-200+ status

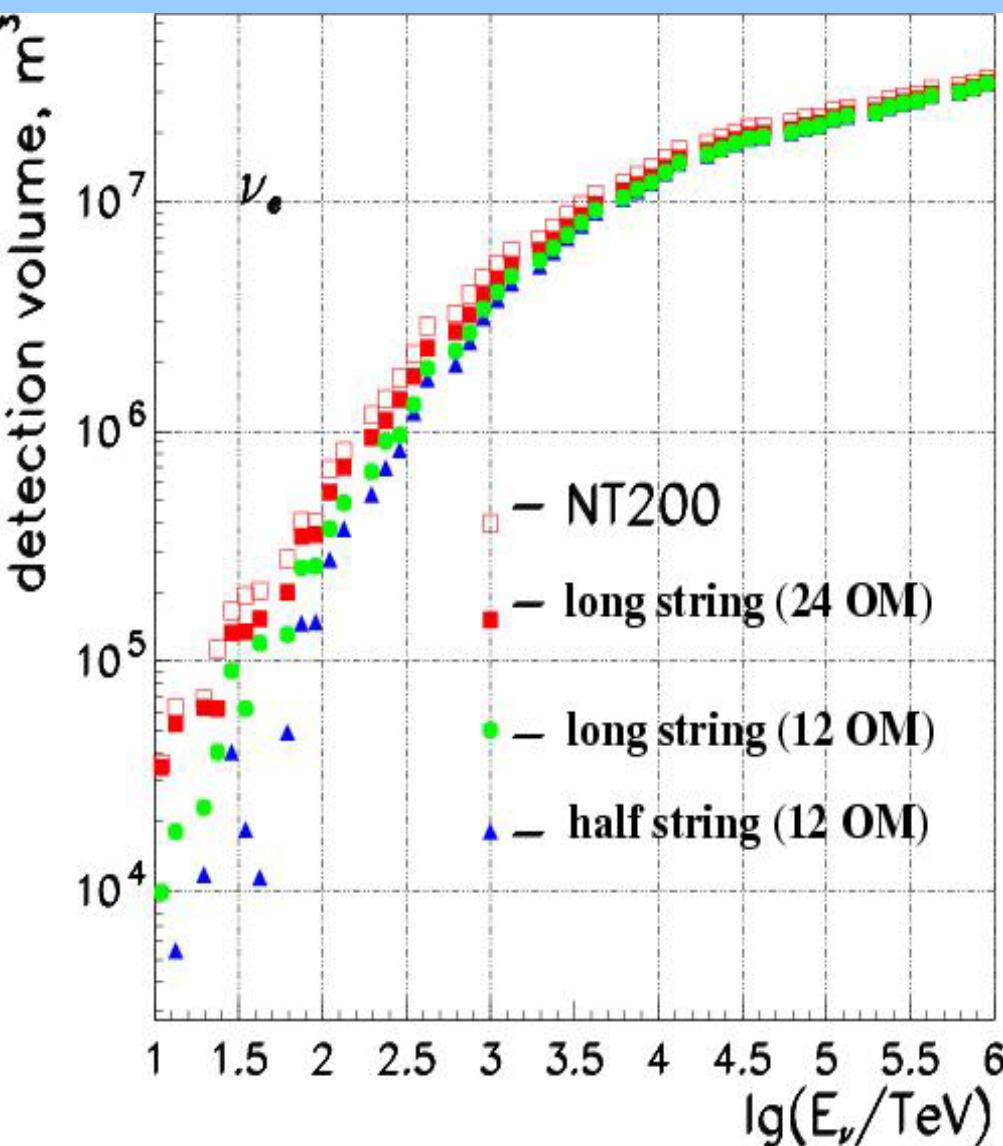
2004:

- new cable to shore
- DAQ system has been improved
- two of three outer strings are installed

2.3×10^4 common events
are taken during 364 hours
life time (0.017 Hz)



NT - 200+



NT - 200+ as subunit
for a Gton scale detector

A Gigaton (km³) Detector in Lake Baikal.

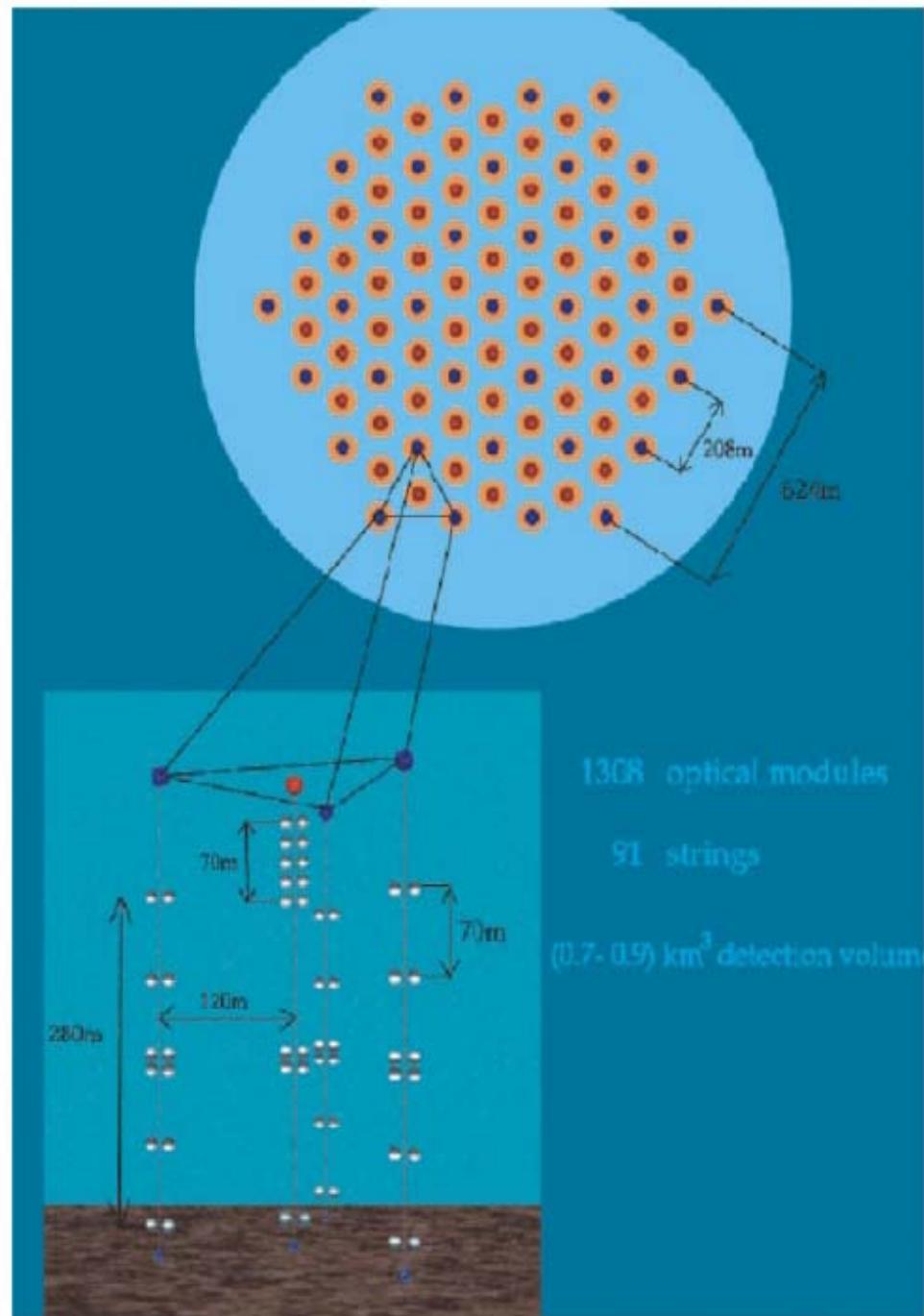
Sparse instrumentation:

91 strings with 12 OM
= 1308 OMs



→ effective volume for
100 TeV cascades
~ 0.5 -1.0 km³!

→ muon threshold
between
10 and 100 TeV



CONCLUSION

- successfully running since 10 years
- strong in HE-diffuse search (shower) and exotic particles (monopoles): “Mton detector”
- good GRB-sensitivity, complementary to AMANDA
- relevant other results: WIMP
 - upgrade to NT-200+ in 2005
 - R&D Gigaton Volume Detector (km³)

10 year anniversary celebration



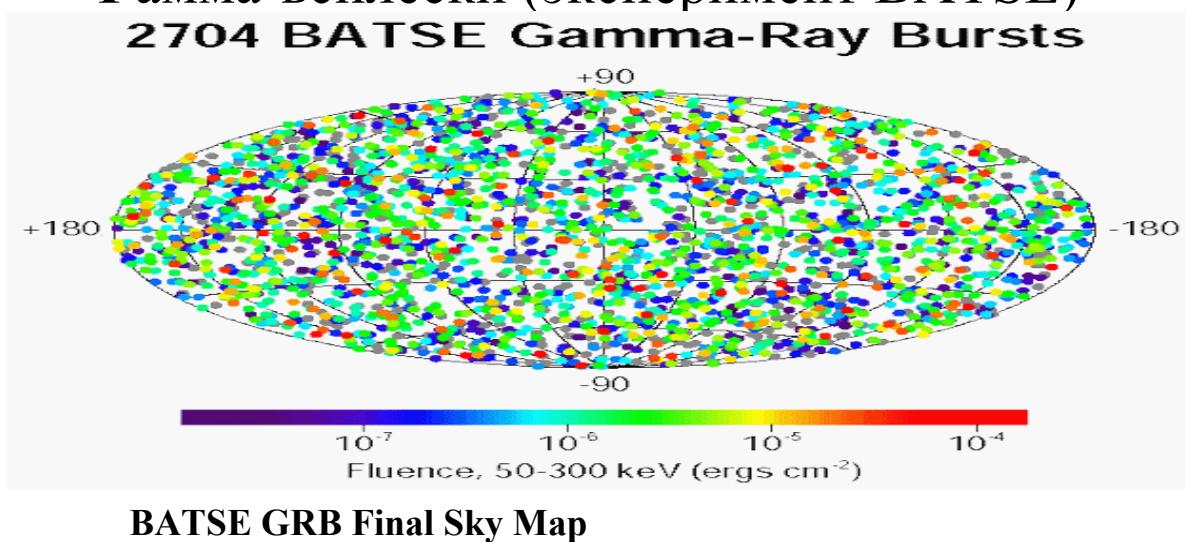
- 1993 - 2003



Экспериментальный материал

Гамма-всплески (эксперимент BATSE)

2704 BATSE Gamma-Ray Bursts



Основные параметры GRB

- время регистрации
- длительность
- направление на вспышку
- энергия вспышки

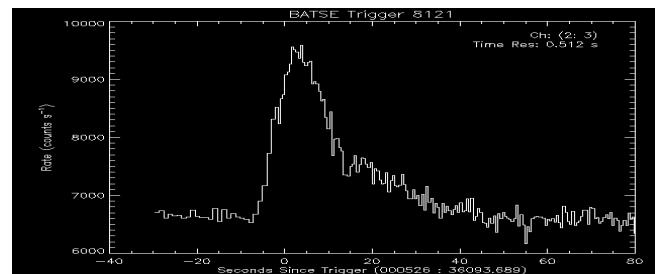
T_90

Темп регистрации ~ 1 событие/день

Основной каталог BATSE (triggered GRB)

Каталог Штерна (non-triggered GRB) $\sim 80\%$

Данные с 1991 по 2000 год



Экспериментальный материал События NT-200

Основные параметры событий

T - время регистрации

M - множественность триггированных ОМ
(характеризует энергию ливня)

Δt - разность времен срабатываний ОМ
(характеризует направление прихода)

Критерии отбора событий

$$M > 10 \text{ OM}$$

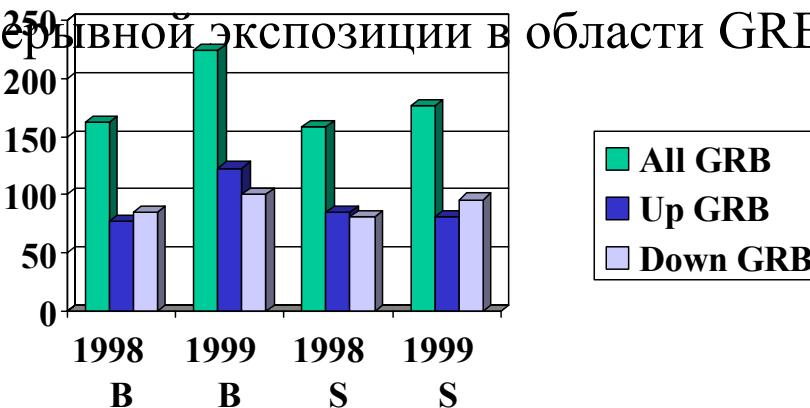
$$\Delta t < 10 \text{ ns}$$

**Эффективно отбираются горизонтальные ливни и ливни из
Темп регистрации - $\sim 10^2$ нижней полусферы**
Данные апрель 1998 - февраль 2000

Поиск временных корреляций

Статистика экспериментальных данных

В анализируемый период апрель 1998 - февраль 2000 с периодом чувствительности NT-200 совпало 386 GRB по основному каталогу BATSE и 336 по каталогу Штерна (>1 часа непрерывной экспозиции в области GRB).



Количество GRB из основного каталога
BATSE (B) и каталога Штерна (S)
Up, Down – GRB из верхней и нижней полусфера

Поиск временных корреляций

Поиск коррелированных во времени событий в области GRB

Оценивалось количество событий в интервалах

$dt = 1, 2, \dots 5$ секунд в области GRB (поиск кластеров событий с множественностью K)

dt	K=2		K=3	
	Сигнал	Фон	Сигнал	Фон
1	8	5.6	0	0.045
2	14	16.8	1	0.40
3	23	27.9	1	1.4
4	34	38.9	1	2.0
5	50	49.9	2	3.4

Поиск временных корреляций

Анализ корреляций для различных порогов регистрации

Оценивалось суммарное количество событий для всех GRB при различных множественностях M

M	Triggered GRB		All GRB	
	Сигнал	Фон	Сигнал	Фон
15	91	94	172	167
20	11	13	22	23
25	1	2.8	5	5.2
30	1	0.86	3	1.6
35	0	0.28	1	0.47
40	0	0	0	0.056

Предел на поток нейтрино

$$F = \frac{N_{90}}{S}$$

$$f(E_\nu) = \frac{N_{90}}{s(E_\nu)}$$

$$F^{-1} = \int \frac{\lambda(E_\nu)}{f(E_\nu)} dE_\nu$$

N_{90} - верхний 90% предел на количество событий

S - эффективная площадь

λ - нормированный поток нейтрино

Sef

