

# Supernova neutrinos

*production, propagation and oscillations*

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Tata Institute of Fundamental Research, Mumbai

Neutrino 2004, College de France, Paris, June 19, 2004

## • Production

- Neutrino emission during the core collapse and cooling
- Primary neutrino spectra and their model dependence

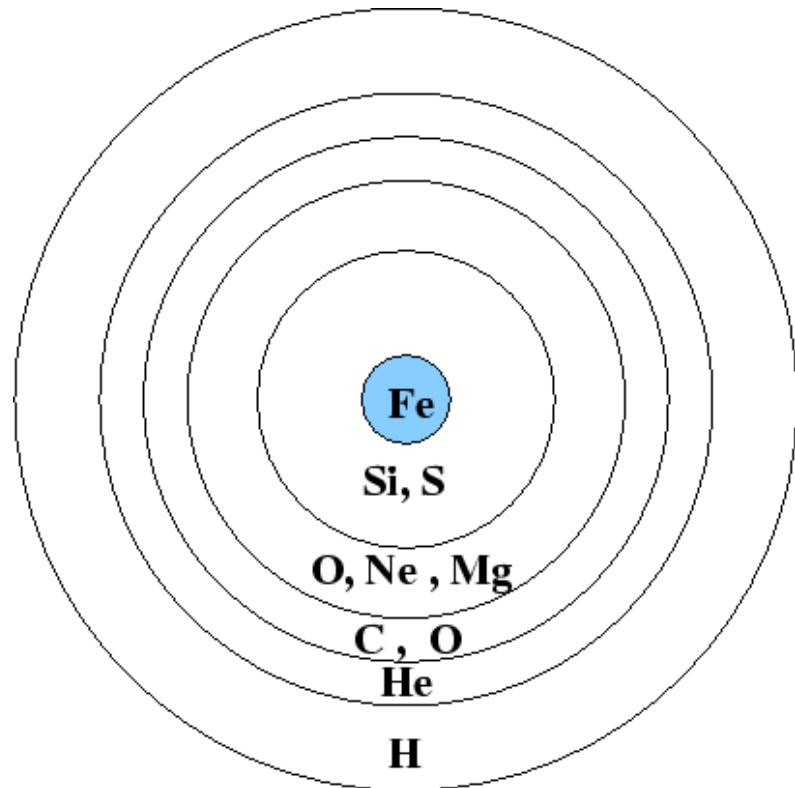
## • Propagation

- Role of neutrinos in SN explosion
- Neutrino flavour conversions in SN mantle and envelope
- Neutrino mixing scenarios and observed neutrino spectra

## • Oscillations

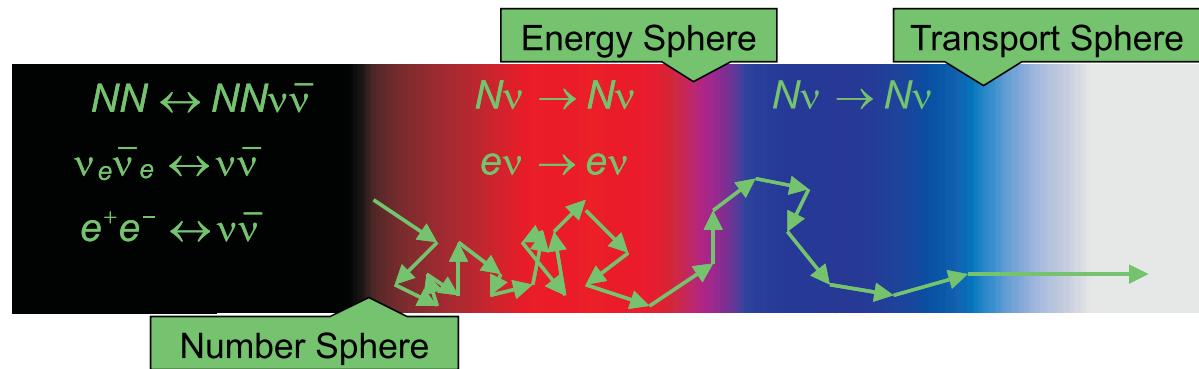
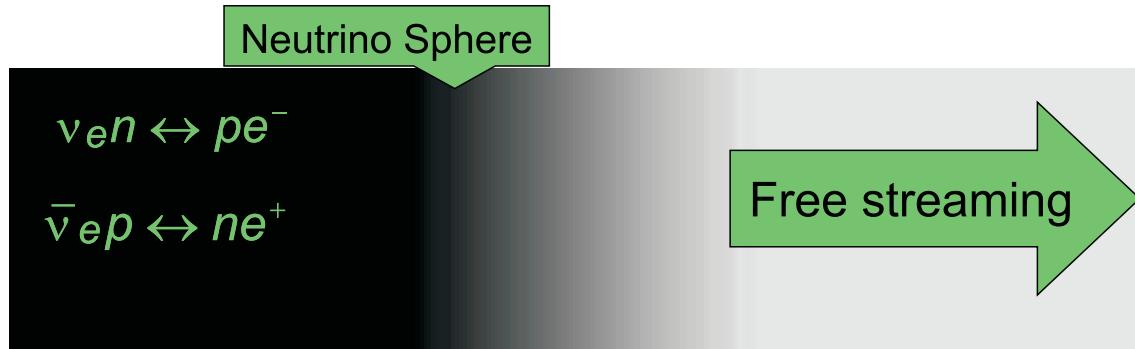
- Earth matter effects on neutrino spectra
- Identification of neutrino mixing scenario
- Learning about shock propagation

# Neutrino production in core collapse SN



# Before the collapse

- Neutrinos trapped inside “neutrinospheres” around  $\rho \sim 10^{10} \text{ g/cc}$ .



- Escaping neutrinos:  $\langle E_{\nu_e} \rangle < \langle E_{\bar{\nu}_e} \rangle < \langle E_{\nu_x} \rangle$

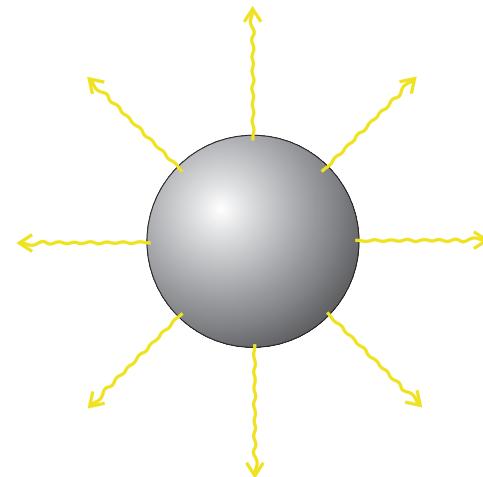
# During the core collapse

- Neutronization burst:  
Shock wave breaks up the nuclei  $\Rightarrow e^-$  capture enhanced  
 $\nu_e$  emitted at the  $\nu_e$  neutrinosphere.  
Duration: The first  $\sim 10$  ms

# During the core collapse

- Neutronization burst:  
Shock wave breaks up the nuclei  $\Rightarrow e^-$  capture enhanced  
 $\nu_e$  emitted at the  $\nu_e$  neutrinosphere.  
Duration: The first  $\sim 10$  ms
- Cooling through neutrino emission:  $\nu_e, \bar{\nu}_e, \nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$   
Duration: About 10 sec  
Emission of 99% of the SN energy in neutrinos

Can be used for “pointing” to the SN  
in advance. (“Early warning”)  
A few hours before the explosion  
(SNEWS)



# Initial neutrino spectra

Neutrino fluxes:

$$F_{\nu_i}^0 = \frac{\Phi_0}{E_0} \frac{(1 + \alpha)^{1+\alpha}}{\Gamma(1 + \alpha)} \left(\frac{E}{E_0}\right)^\alpha \exp\left[-(\alpha + 1)\frac{E}{E_0}\right]$$

$E_0, \alpha$ : in general time dependent

Known properties of the spectra:

- Energy hierarchy:  $E_0(\nu_e) < E_0(\bar{\nu}_e) < E_0(\nu_x)$
- Spectral pinching:  $\alpha_{\nu_i} > 2$

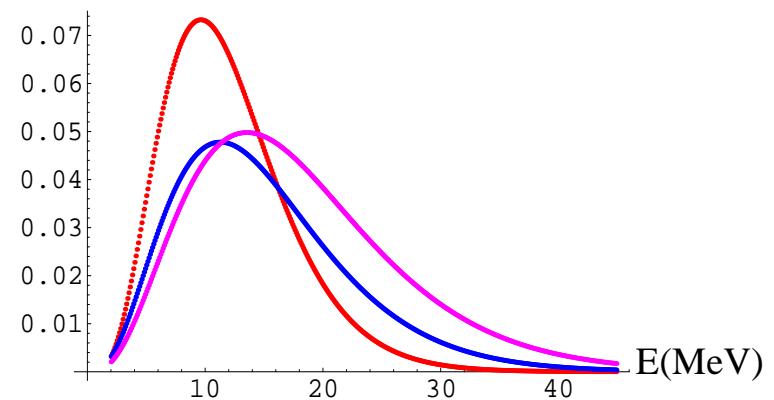
$$E_0(\nu_e) \approx 10\text{--}12 \text{ MeV}$$

$$E_0(\bar{\nu}_e) \approx 13\text{--}16 \text{ MeV}$$

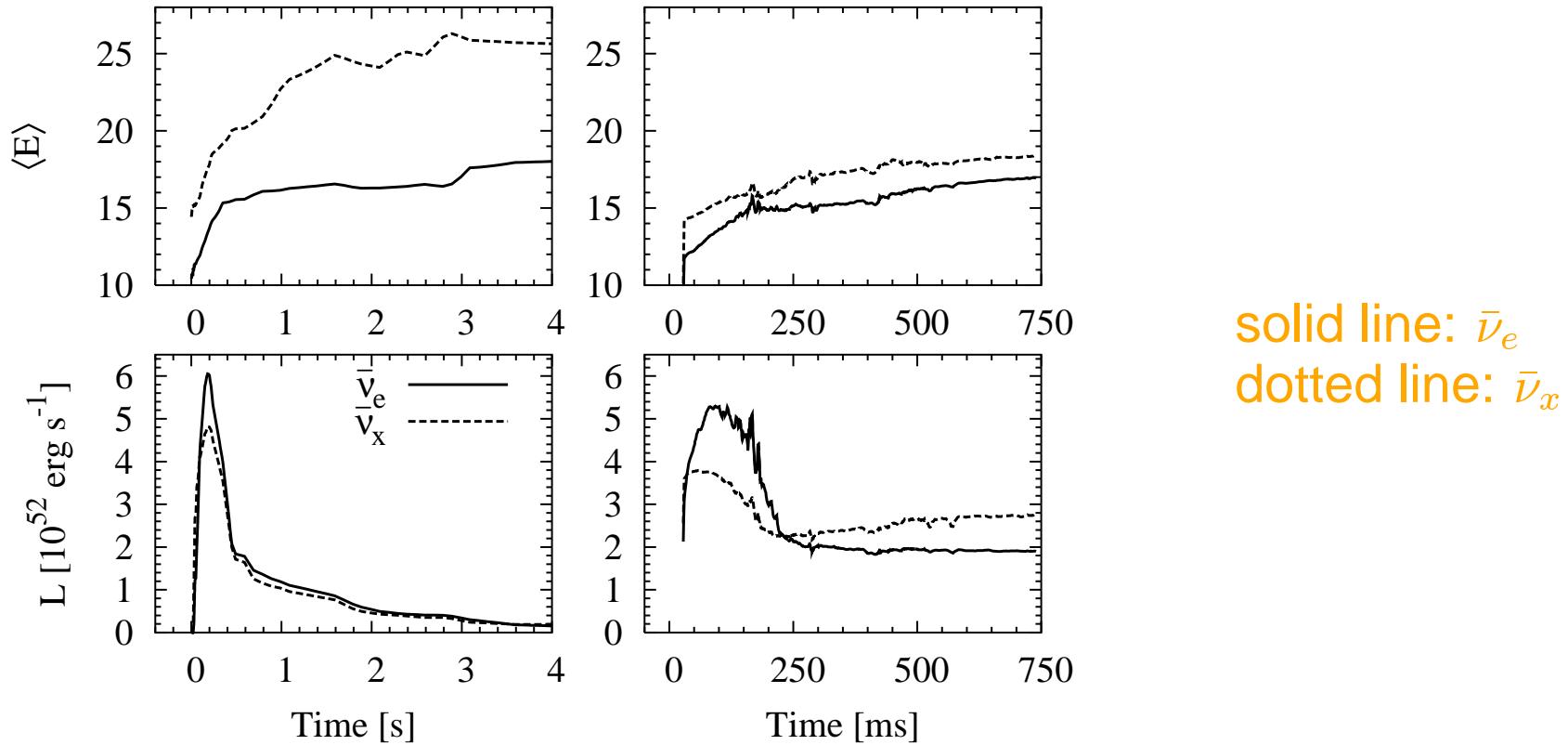
$$E_0(\nu_x) \approx 15\text{--}20 \text{ MeV}$$

$$\alpha_{\nu_i} \approx 2\text{--}4$$

G. G. Raffelt, M. T. Keil, R. Buras, H. T. Janka  
and M. Rampp, astro-ph/0303226



# Model dependent neutrino fluxes



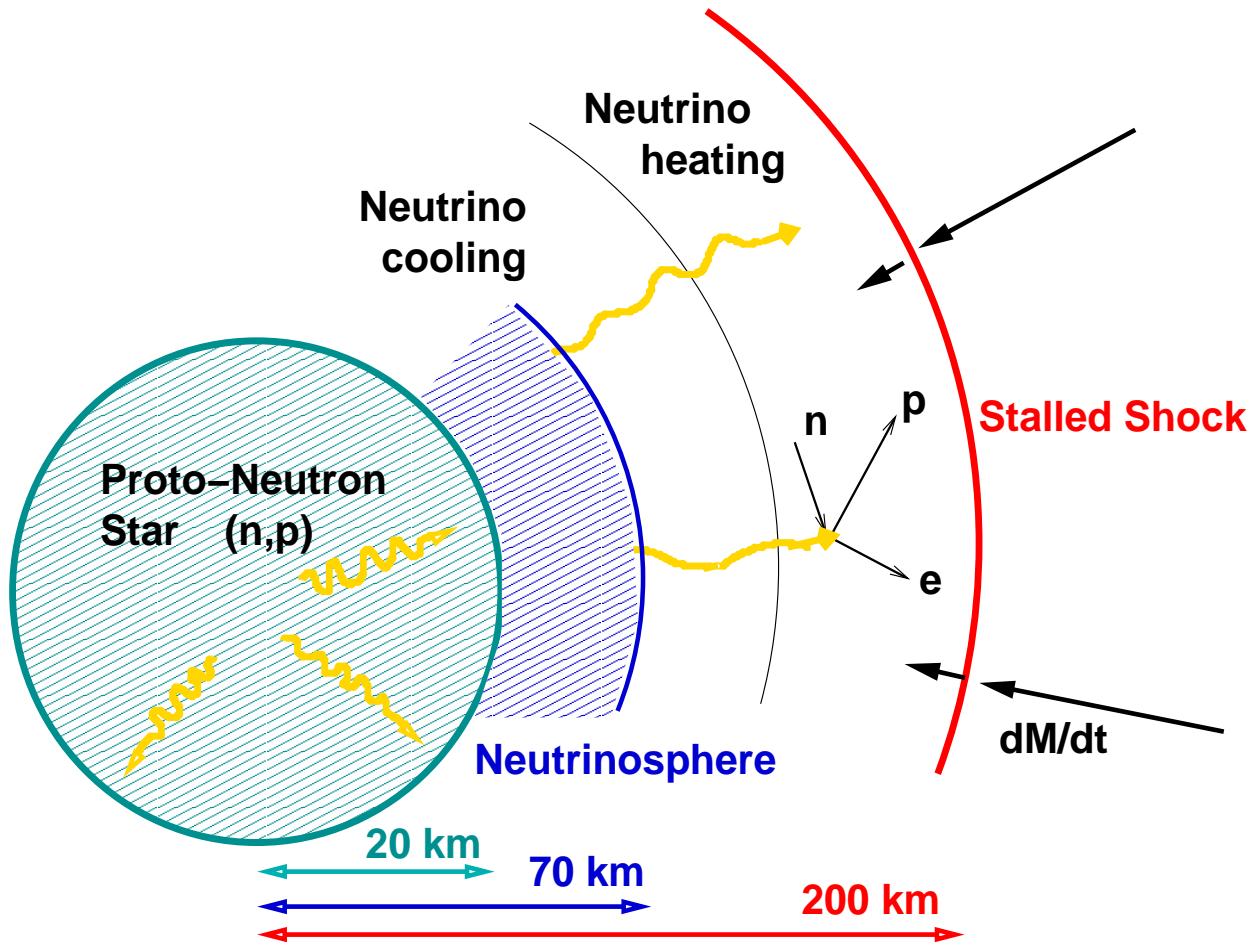
solid line:  $\bar{\nu}_e$   
dotted line:  $\bar{\nu}_x$

Model	$\langle E_0(\nu_e) \rangle$	$\langle E_0(\bar{\nu}_e) \rangle$	$\langle E_0(\nu_x) \rangle$	$\frac{\Phi_0(\nu_e)}{\Phi_0(\nu_x)}$	$\frac{\Phi_0(\bar{\nu}_e)}{\Phi_0(\nu_x)}$
Garching (G)	12	15	18	0.8	0.8
Livermore (L)	12	15	24	2.0	1.6

G. G. Raffelt, M. T. Keil, R. Buras, H. T. Janka and M. Rampp, astro-ph/0303226  
T. Totani, K. Sato, H. E. Dalhed and J. R. Wilson, Astrophys. J. 496, 216 (1998)

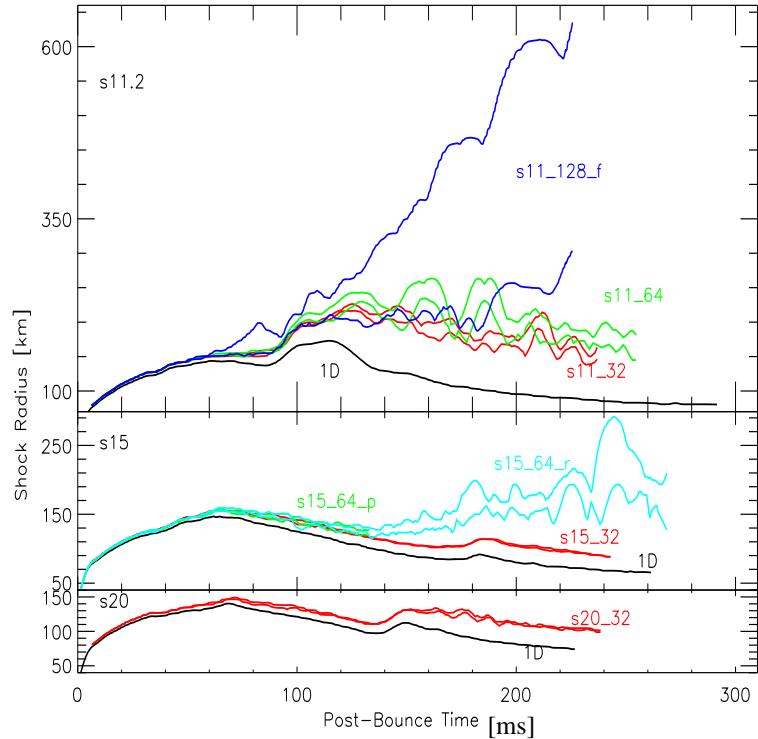
# Neutrino propagation inside SN

# Role of neutrinos in explosion



- Neutrino heating essential, but not enough
- No spherically symmetric (1-D) simulations show robust explosions

# Ingredients required for explosion

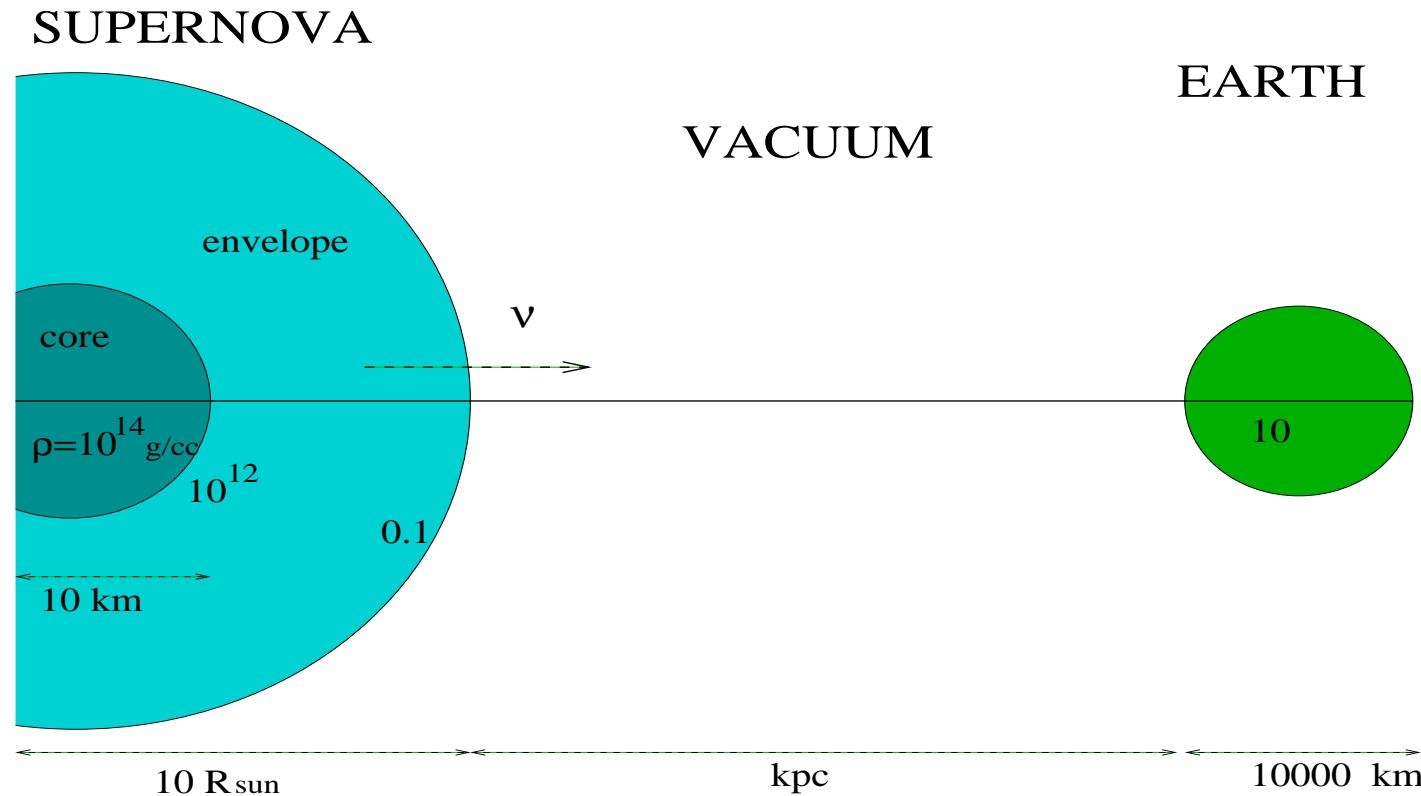


R. Buras, H.-T. Janka, M. Rampp,  
K. Kifonidis, astro-ph/0303171

- Neutrino heating: higher neutrino opacity
- Large scale convection modes
- Stiffer equation of state for the core
- Rotation of the star

O. E. Bronson Messer, S. Bruenn, C. Cardall, M. Liebendoerfer,  
A. Mezzacappa, W. Raphael Hix, F.-K. Thielemann *et al*

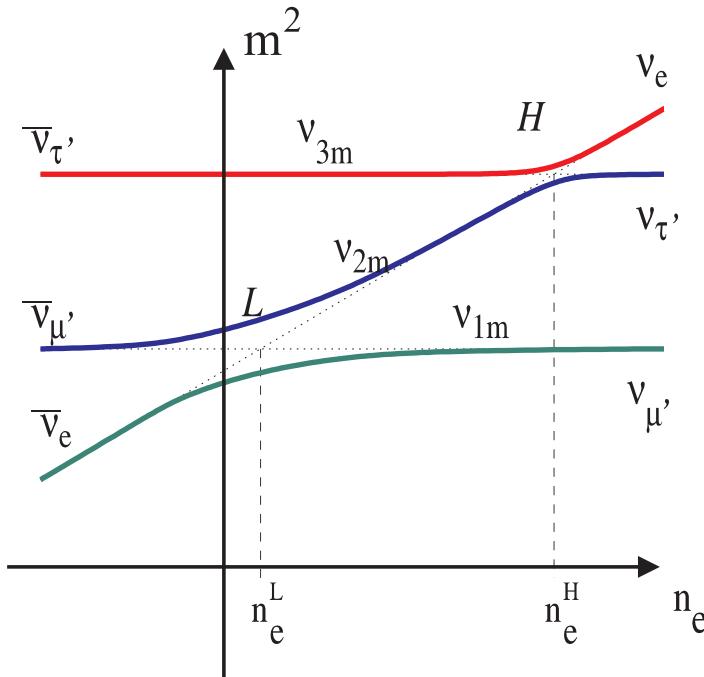
# Propagation through matter



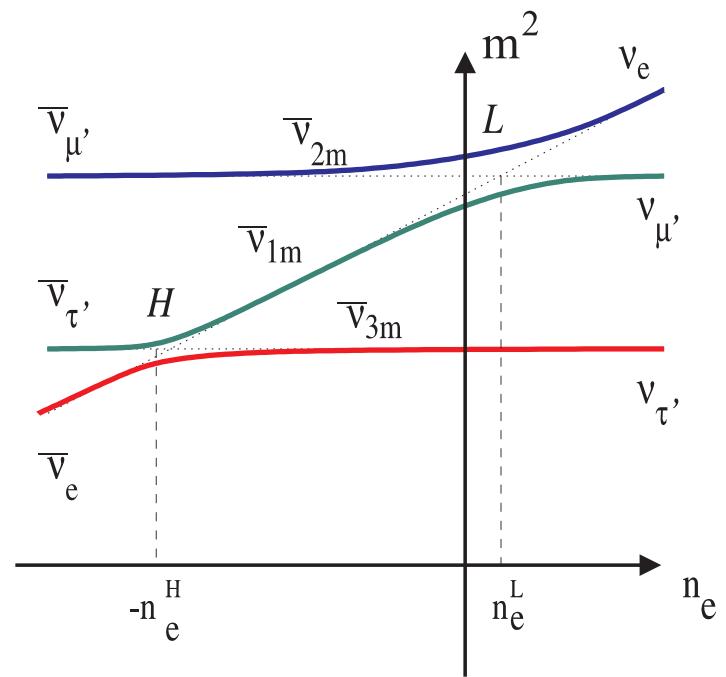
- Matter effects on neutrino mixing **crucial**
- Flavor conversions at resonances / level crossings

# Level crossings during propagation

Normal mass hierarchy

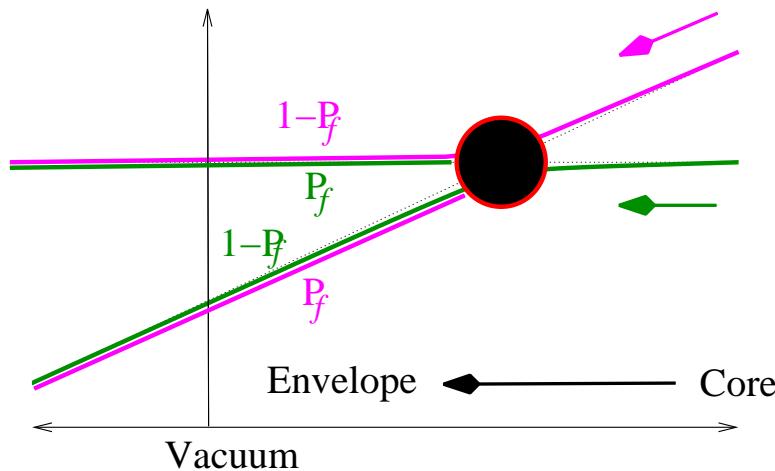


Inverted mass hierarchy



- $H$  resonance:  $(\Delta m_{\text{atm}}^2, \theta_{13})$ ,  $\rho \sim 10^3$  g/cc  
In  $\nu$  channel for normal hierarchy,  $\bar{\nu}$  channel for inverted hierarchy
- $L$  resonance:  $(\Delta m_\odot^2, \theta_\odot)$ ,  $\rho \sim 10$  g/cc  
Always in  $\nu$  channel
- $\Delta m^2$  hierarchy  $\Rightarrow$  Independent dynamics at resonances

# Conversion probability at resonance



$$P_f \approx \exp\left(-\frac{\pi}{2}\gamma\right) \quad , \quad \gamma \equiv \frac{\Delta m^2}{2E} \frac{\sin^2 2\theta}{\cos 2\theta} \left(\frac{1}{n_e} \frac{dn_e}{dr}\right)^{-1}$$

$\gamma \gg 1 \Rightarrow P_f \ll 1 \Rightarrow$  Adiabatic resonance

Landau'1932, Zener'1932

- $L$  resonance always adiabatic
- $H$  resonance adiabatic for  $|U_{e3}|^2 \gtrsim 10^{-3}$ ,  
non-adiabatic for  $|U_{e3}|^2 \lesssim 10^{-5}$

# Fluxes arriving at the Earth

Mixture of initial fluxes:

$$F_{\nu_e} = p F_{\nu_e}^0 + (1 - p) F_{\nu_x}^0 ,$$

$$F_{\bar{\nu}_e} = \bar{p} F_{\bar{\nu}_e}^0 + (1 - \bar{p}) F_{\nu_x}^0 ,$$

$$4F_{\nu_x} = (1 - p) F_{\nu_e}^0 + (1 - \bar{p}) F_{\bar{\nu}_e}^0 + (2 + p + \bar{p}) F_{\nu_x}^0 .$$

Survival probabilities in different scenarios:

Case	Hierarchy	$\sin^2 \Theta_{13}$	$p$	$\bar{p}$
A	Normal	large	0	$\cos^2 \Theta_\odot$
B	Inverted	large	$\sin^2 \Theta_\odot$	0
C	Any	small	$\sin^2 \Theta_\odot$	$\cos^2 \Theta_\odot$

- “Small”:  $\sin^2 \Theta_{13} \lesssim 10^{-3}$ , “Large”:  $\sin^2 \Theta_{13} \gtrsim 10^{-3}$ .

AD, A. Smirnov, PRD 62, 033007 (2000)

# SN87A



- Confirmed the SN cooling mechanism through neutrinos
- Number of events too small to say anything concrete about neutrino mixing
- Some constraints on SN parameters obtained

(Hubble image)

J. Arafune, J. Bahcall, V. Barger, M. Fukugita, B. Jegerlehner, M Kachelrieß,  
C. Lunardini, D. Marfatia, H. Minakata, F. Neubig, D. Nötzold, H. Nunokawa,  
G. G. Raffelt, K. Shiraishi, A. Smirnov, D. Spergel, A. Strumia, H. Suzuki,  
R. Tomàs, J. V. F. Valle, B. Wood, T. Yanagida, M. Yoshimura, *et al*

# Detecting a galactic SN

Events expected at Super-Kamiokande with a SN at 10 kpc:

- $\bar{\nu}_e p \rightarrow n e^+ : \approx 7000 - 12000$
- $\nu e^- \rightarrow \nu e^- : \approx 200 - 300$
- $\nu_e + ^{16} O \rightarrow X + e^- : \approx 150 - 800$

Some useful reactions at other detectors:

- Carbon-based scintillator:  $\nu + ^{12}C \rightarrow \nu + X + \gamma$  (15.11 MeV)
- Liquid Ar:  $\nu_e + ^{40}Ar \rightarrow ^{40}K^* + e^-$

# Distinguishing neutrino mixing scenarios

# The task at hand

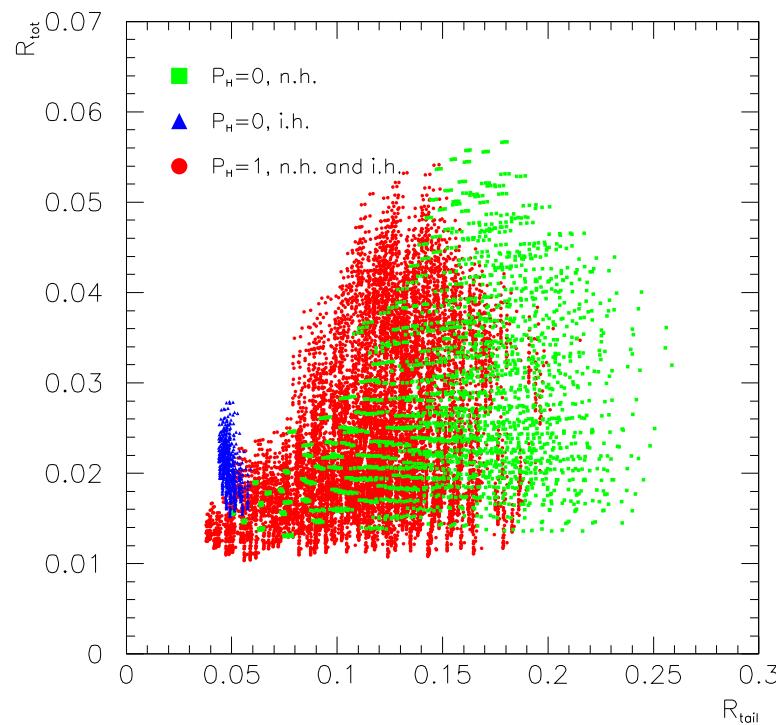
Measure the spectra, determine the mixing scenario.

A. Bandyopadhyay, S. Choubey, I. Gil-Botella, S. Goswami, M. Kachelrieß,  
K. Kar, C. Lunardini, H. Minakata, H. Nunokawa, G. Raffelt, A. Rubbia,  
K. Sato, A. Smirnov, K. Takahashi, R. Tomàs, J. Valle, *et al*

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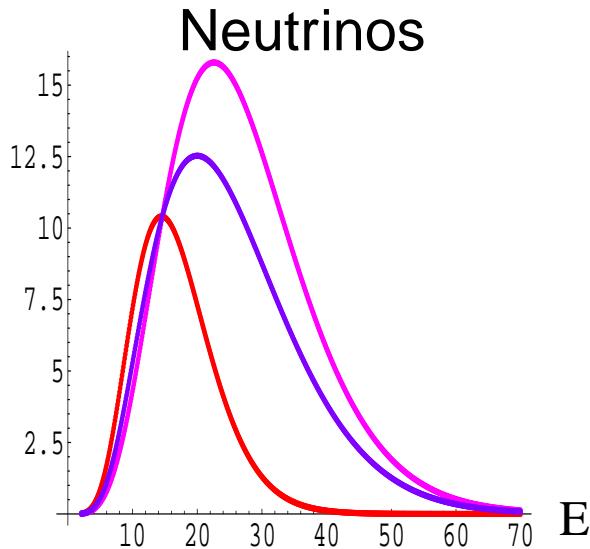
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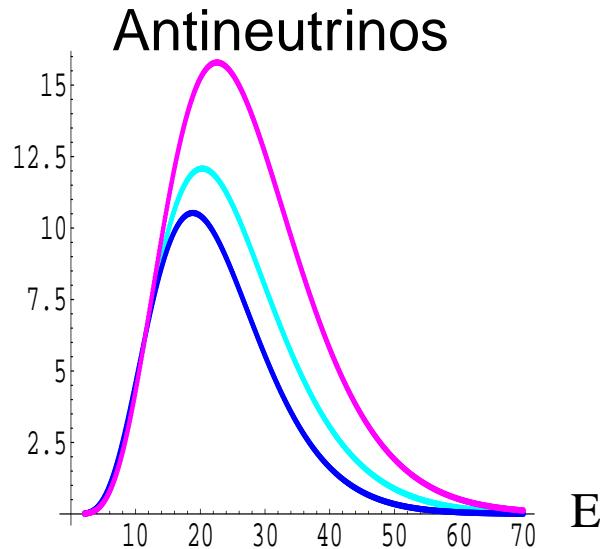


- Poorly known initial spectra
- Only final  $\bar{\nu}_e$  spectrum cleanly available.
- Difficult to find a “clean” observable, i.e. one independent of some assumptions about the initial spectra

# Exploiting Earth matter effects

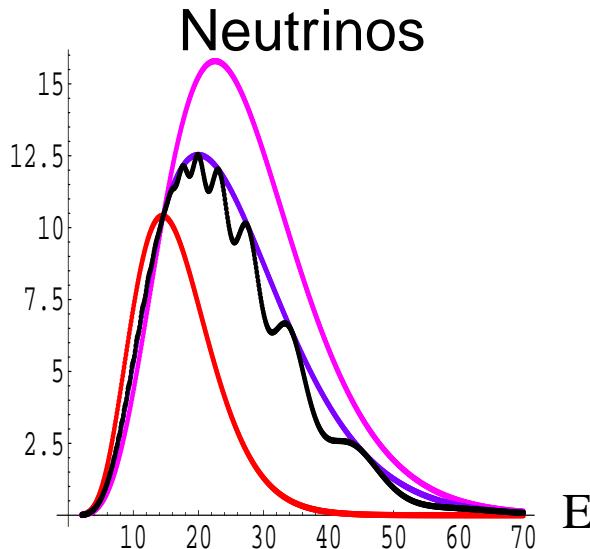


$(\nu_e, \nu_x, \text{mixed } \nu)$

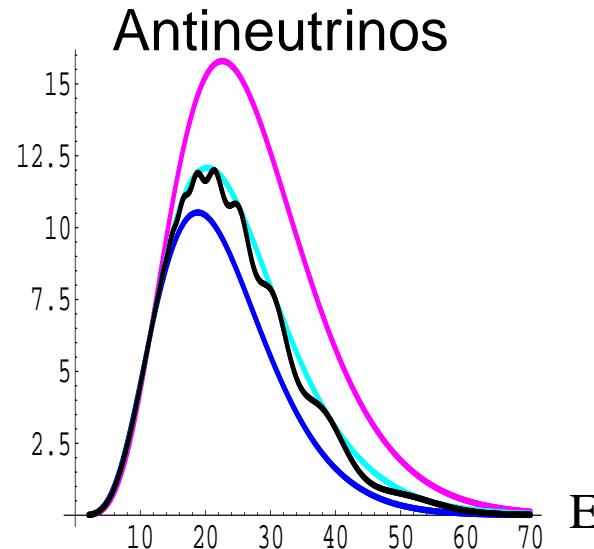


$(\bar{\nu}_e, \bar{\nu}_x, \text{mixed } \bar{\nu})$

# Exploiting Earth matter effects



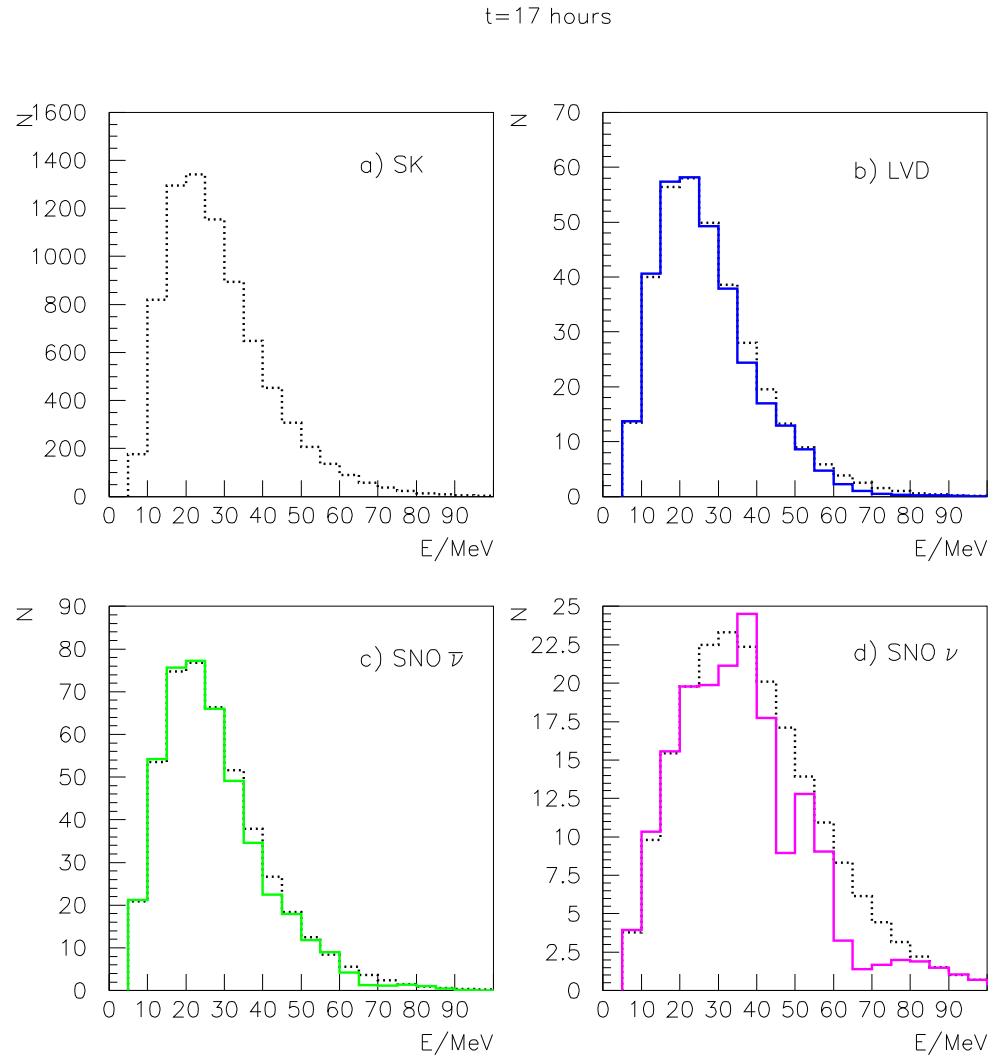
$(\nu_e, \nu_x, \text{mixed } \nu)$



$(\bar{\nu}_e, \bar{\nu}_x, \text{mixed } \bar{\nu})$

- Total number of events (in general) decreases
  - Compare signals at two detectors
- “Earth effect” oscillations are introduced
  - Scenarios B, C for  $\nu_e$ , scenarios A, C for  $\bar{\nu}_e$

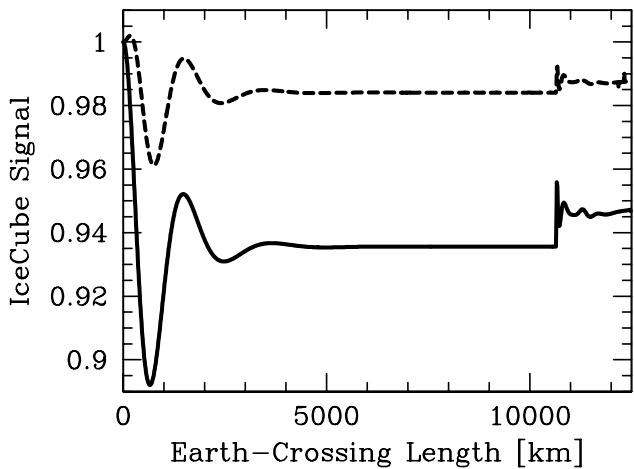
# Comparing spectra at multiple detectors



C. Lunardini and A. Smirnov, NPB616:307 (2001)

# IceCube as a co-detector with SK/HK

- IceCube primarily meant for individual neutrinos with energy  $\gtrsim 150 \text{ GeV}$
- For a SN burst at 10 kpc, the luminosity can be determined to a statistical accuracy of  $\sim 0.25\%$  over and above the statistical background fluctuations
- The Earth effects may change the signal by  $\sim 0\text{--}10\%$ .



- The extent of Earth effects changes by 3–4 % between the accretion phase (first 0.5 sec) and the cooling phase.
- Absolute calibration not essential.

AD, M. Keil, G. Raffelt, JCAP 0306:005 (2003)

# At a single detector

## (Identifying Earth oscillation frequency)

$$F_{\bar{\nu}_e} = \sin^2 \Theta_{12} F_{\nu_x}^0 + \cos^2 \Theta_{12} F_{\bar{\nu}_e}^0 + \Delta F^0 \bar{A}_{\oplus} \sin^2(\overline{\Delta m_{\oplus}^2} L y)$$

$$(F_{\bar{\nu}_e}^0 - F_{\nu_x}^0) \quad \sin 2\bar{\Theta}_{12}^+ \sin(2\bar{\Theta}_{12}^+ - 2\Theta_{12}) \quad (12.5/E)$$

Oscillation frequency:  $k_{\oplus} \equiv 2\overline{\Delta m_{\oplus}^2} L$

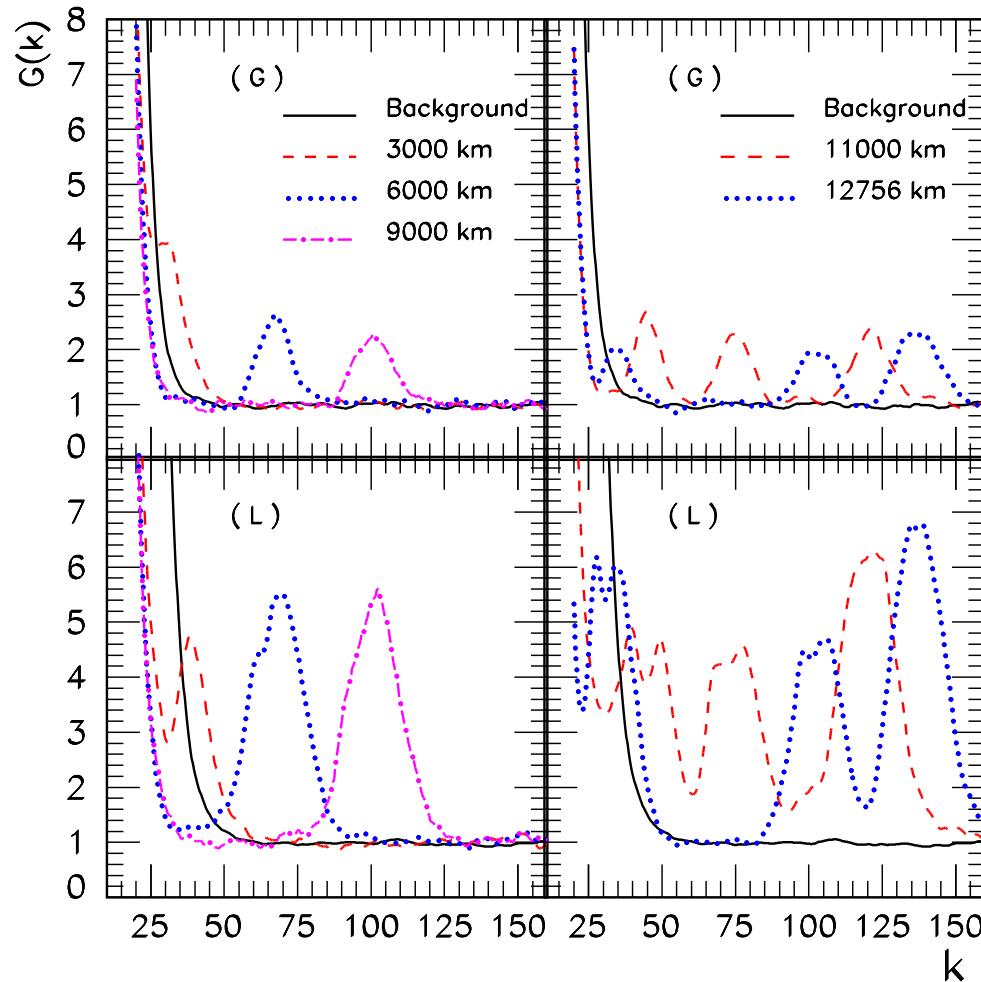
- The **highest** frequency in the “inverse energy” dependence of the spectrum
- Completely **independent** of the primary neutrino spectra: depends only on **solar oscillation parameters**, **Earth density** and **the distance travelled through the Earth**
- **Fourier transform:** peak in the power spectrum

$$G_N(k) = \frac{1}{N} \left| \sum_{events} e^{iky} \right|^2$$

AD, M. Keil, G. Raffelt, JCAP 0306:006 (2003)

# At a scintillation detector

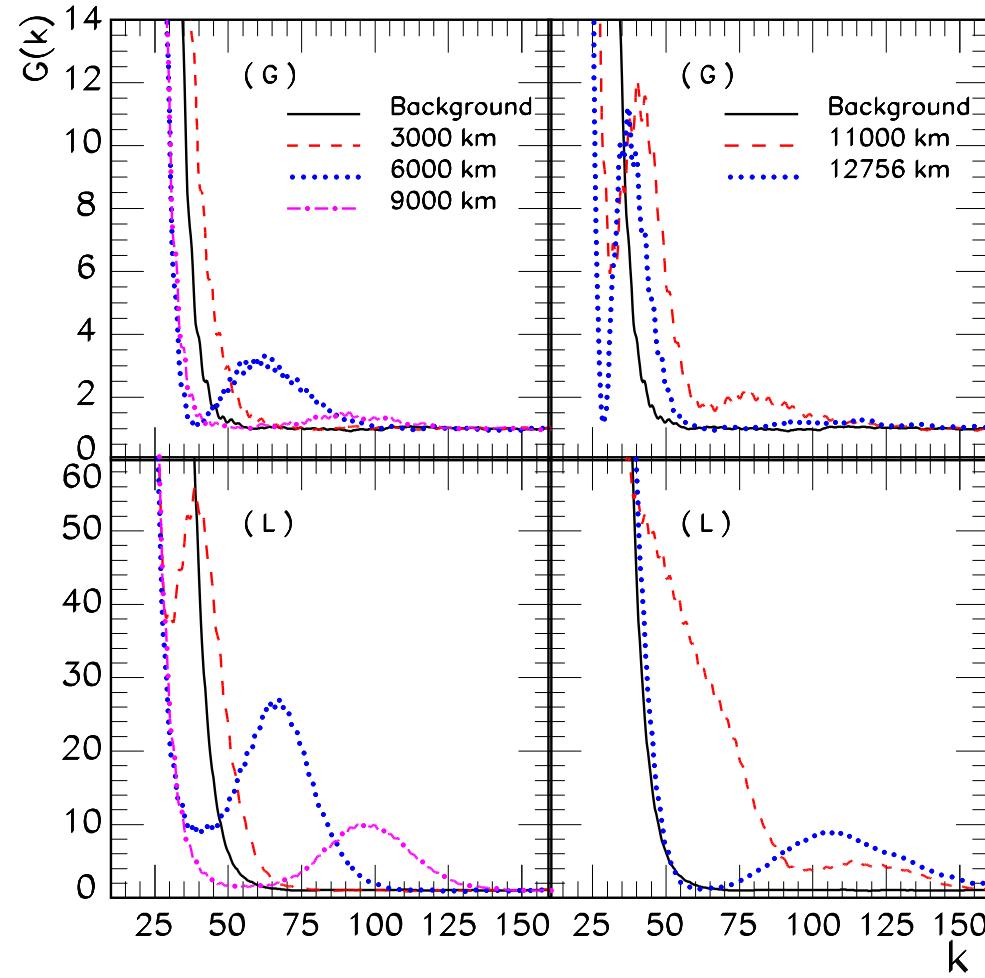
- Passage through the Earth core gives rise to extra peaks.
- Model independence of peak positions:



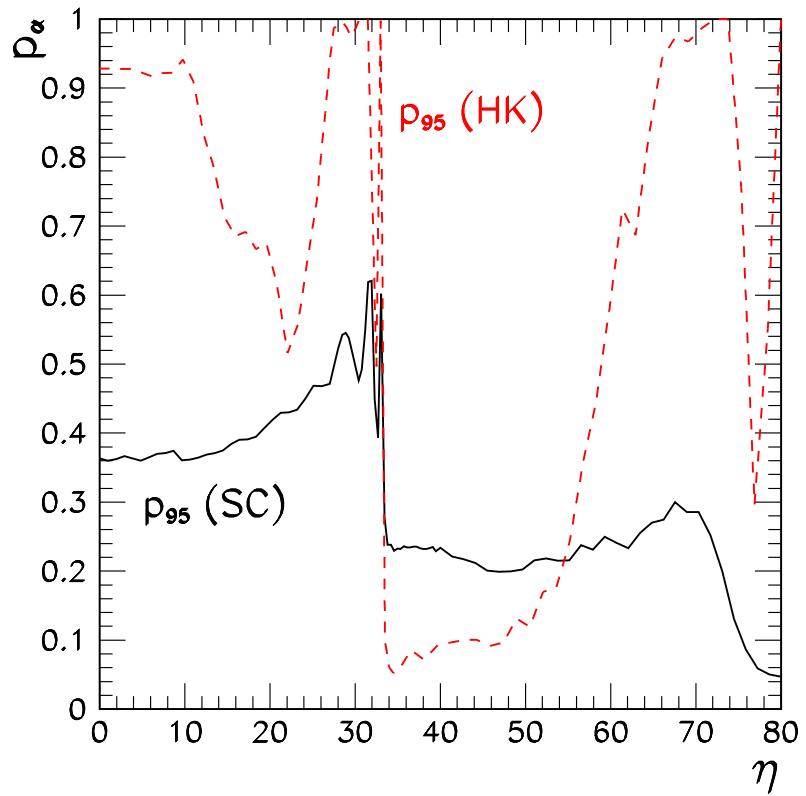
AD, M. Kachelrieß, G. Raffelt, R. Tomàs, JCAP 0401:004 (2004)

# At a water Cherenkov detector

- High- $k$  suppression:



# Efficiencies of detectors



- High- $k$  suppression affects the efficiency of HK for  $35^\circ < \eta < 55^\circ$ . ( $\eta$ : nadir angle)
- Large number of events compensates for poorer energy resolution

AD, M. Kachelrieß, G. Raffelt, R. Tomàs, JCAP 0401:004 (2004)

- Observation of a Fourier peak in  $\bar{\nu}_e \Rightarrow$  Eliminate scenario B independently of SN models !!!

# Neutrinos for SN astrophysics

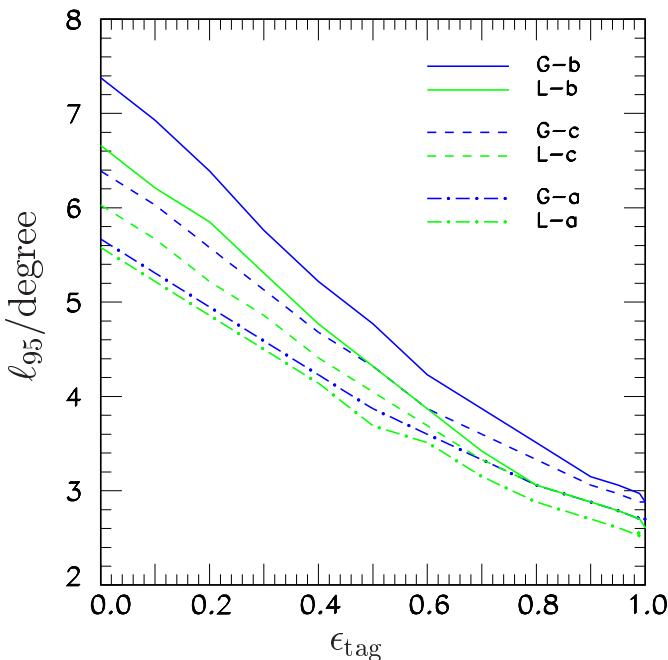
- Pointing to the SN in advance
- Learning about the shock wave

# Pointing to the SN in advance (at SK)

J. Beacom and P. Vogel, PRD60:033007 (1999)

- Needed if no optical observation
- $\bar{\nu}_e p \rightarrow n e^+$ : nearly isotropic background
- $\nu e^- \rightarrow \nu e^-$ : forward-peaked “signal”
- Background-to-signal ratio:  $N_B/N_S \approx 30\text{--}50$
- Decrease  $N_B/N_S$ : neutron tagging with Gd

1



Pointing accuracy improved 2–3 times using Gd

R. Tomàs, D. Semikoz, G. Raffelt,

M. Kachelrieß, AD, PRD 68, 093013 (2003).

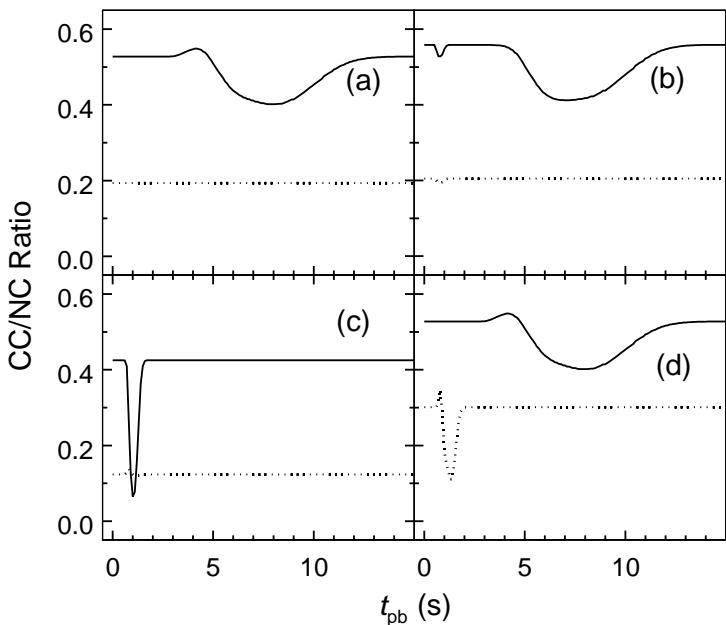
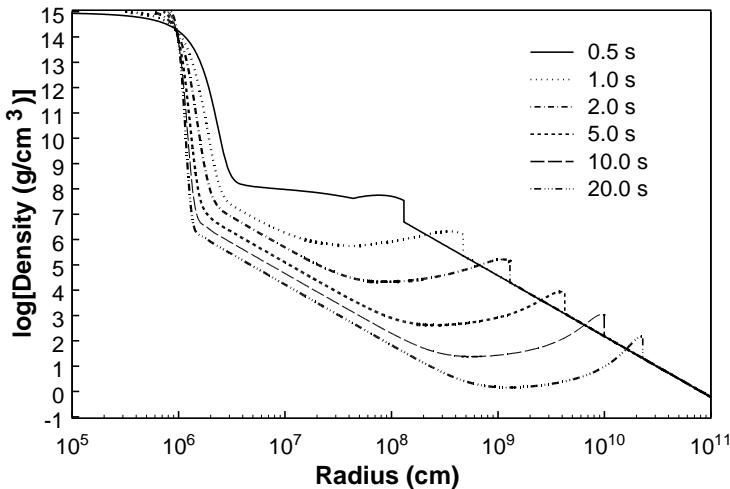
## GADZOOKS

(Gadolinium Antineutrino Detector Zealously Outperforming Old Kamiokande, Super!)

J. F. Beacom and M. R. Vagins,

hep-ph/0309300

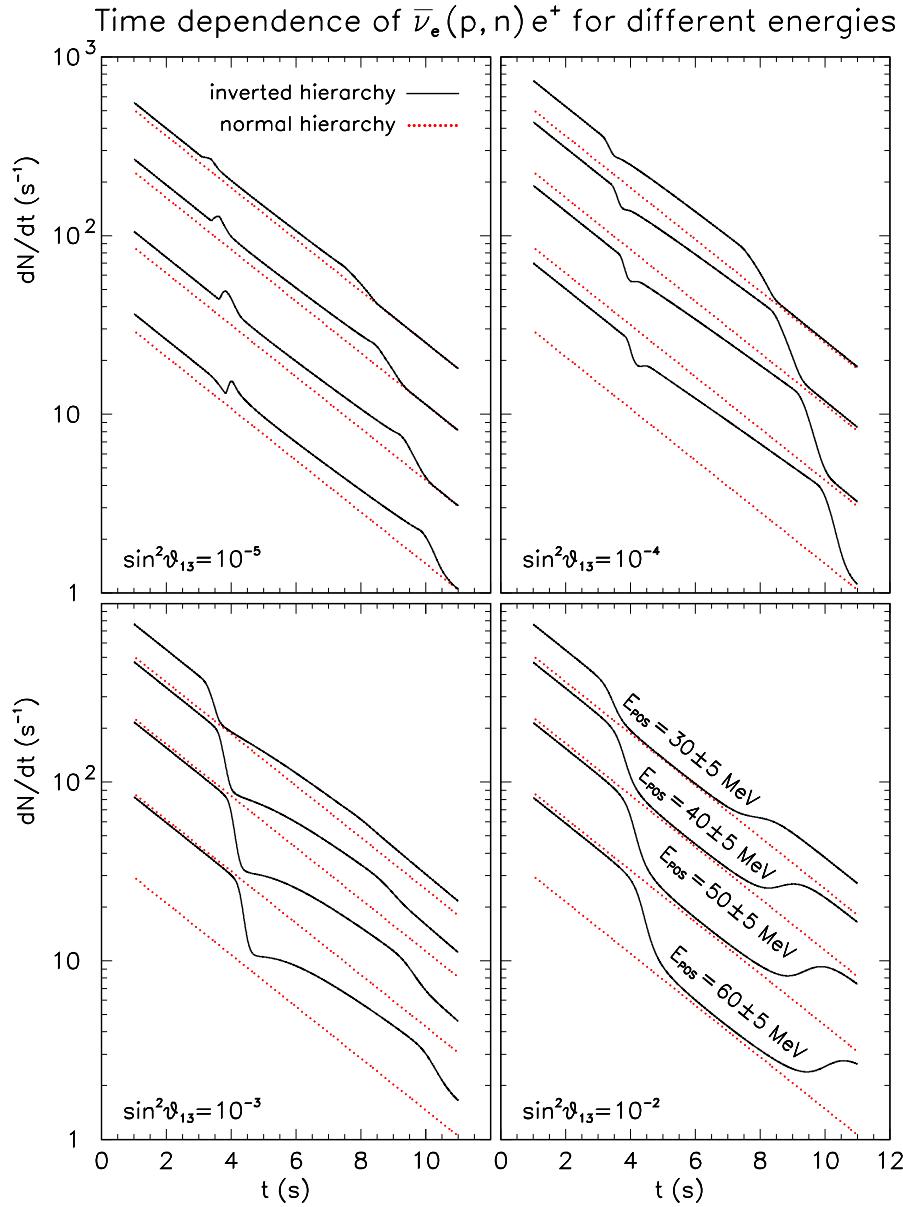
# Observing the shock wave “in neutrinos”



- When shock wave passes through a resonance region, **adiabatic resonances may become non-adiabatic** for some time
  - scenario A → scenario C
  - scenario B → scenario C
- May cause **sharp changes in the final spectra** even if the primary spectra are unchanged / smoothly changing

R. C. Schirato, G. M. Fuller,  
astro-ph/0205390

# Detection of the shock wave in the mantle

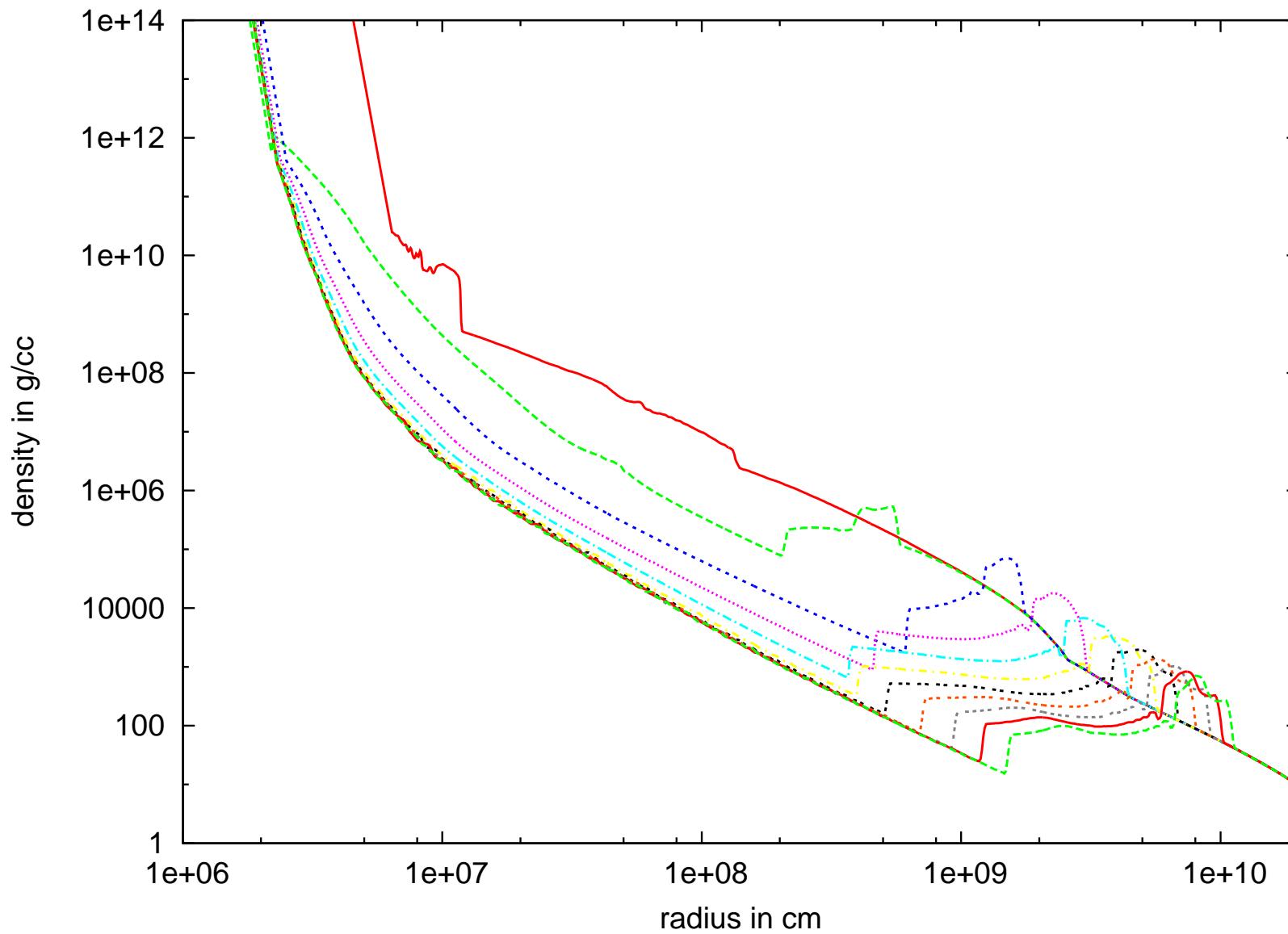


Sudden jumps in the neutrino spectra  $\Rightarrow$

- neutrino mixing scenario
- Time the shock wave passed through the region  $\rho \sim 10^3 \text{ g/cc.}$

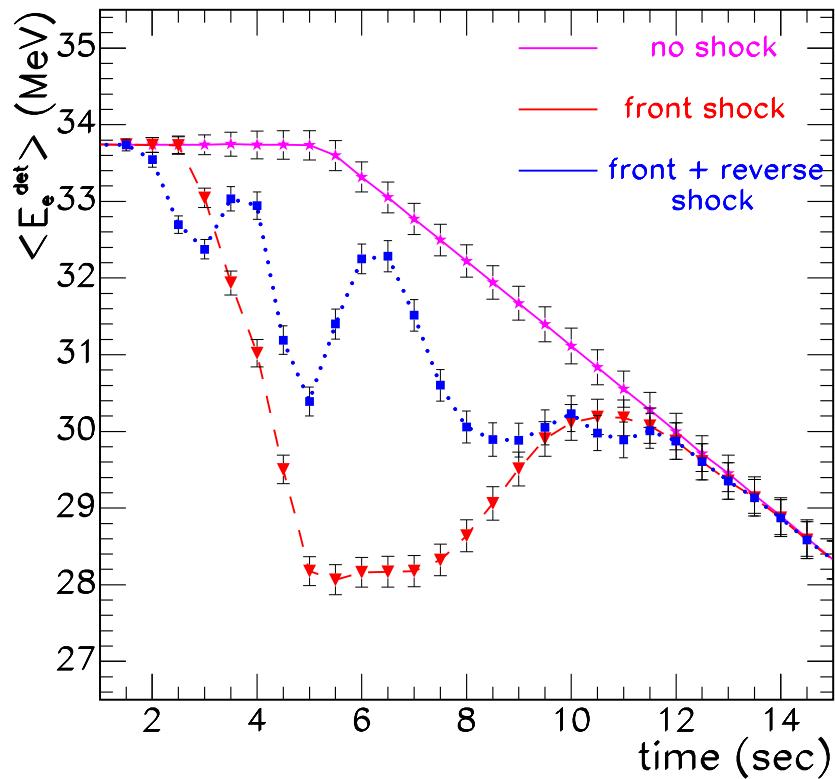
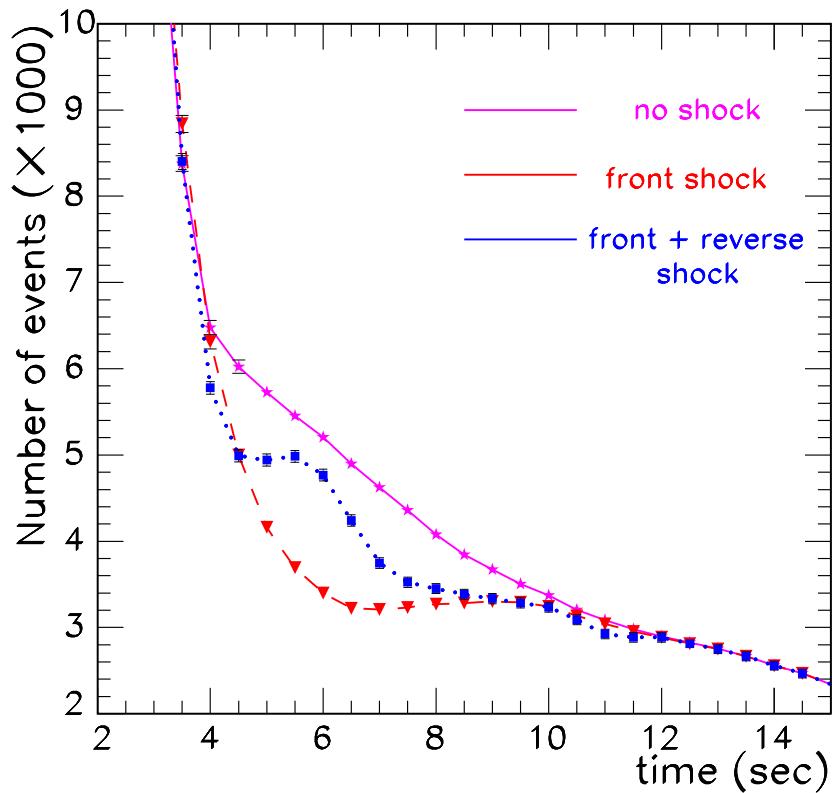
G. L. Fogli, E. Lisi, D. Montanino and A. Mirizzi, PRD 68, 033005 (2003)

# Reverse shock



H.-T. Janka, L. Scheck

# Signatures of a reverse shock (at HK)



- Only for scenario B !!

AD, H.-T. Janka, M. Kachelrieß, G. Raffelt, L. Scheck, R. Tomàs

# Summary

- Primary neutrino spectra: flavor and model dependence
- Role of neutrinos in SN explosion: important, but more ingredients needed for a successful explosion
- Flavor conversions inside SN sensitive to normal vs. inverted hierarchy and “small” vs. “large” mixing angle  $\theta_{13}$ .
- A positive identification of Earth effects on antineutrinos: a model independent way of ruling out inverted hierarchy and large  $\theta_{13}$ .
  - Comparison of signals at multiple detectors (HK & IceCube)
  - Identifying modulations in a single detector (energy resolution vs. size)
- Advance SN pointing accuracy with neutrinos less than  $10^\circ$   
Improved 2–3 times using Gd to tag neutrons.
- Observing the shock wave in neutrinos  $\Rightarrow$  features of shock wave and neutrino mixing scenarios

# Things to do while waiting for a SN

- Better theoretical understanding of neutrino transport inside the SN and the explosion mechanism
- More accurate measurements of the neutrino mixing parameters
- Tuning of long-term detectors to observe SN neutrino signals

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A rare event is a lifetime opportunity – Anon