

Solar Neutrinos: Interpretation of Results

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- * Looking at the experimental results
- * Profile of the effect
- * Global solutions
- * Leading and sub-leading ν_e in solar neutrinos
- * sterile component
- * Spin-flavor Flip
- * Non-standard neutrino interactions



Solar Neutrinos: 2000 - 2002



Breakthrough:
First direct evidence of
flavor conversion

Main conclusion:

Solar neutrinos undergo flavor conversion

$$\nu_e \rightarrow \nu_\mu, \nu_\tau \text{ or/and } \bar{\nu}_\mu, \bar{\nu}_\tau$$

Key word:

Appearance

- Appearance of the muon and tau neutrino flux from the Sun
- Non-electron neutrinos compose main part of the flux at high energies $E > 5 \text{ MeV}$

Main issue:

Mechanism of conversion?

Profile of the Effect

Reconstructing the energy dependence
of the survival probability

1. SK/SNO
SNO NC/CC \rightarrow $P_{ee}(> 5 \text{ MeV})$

Suppression of Boron neutrinos
independently on SSM

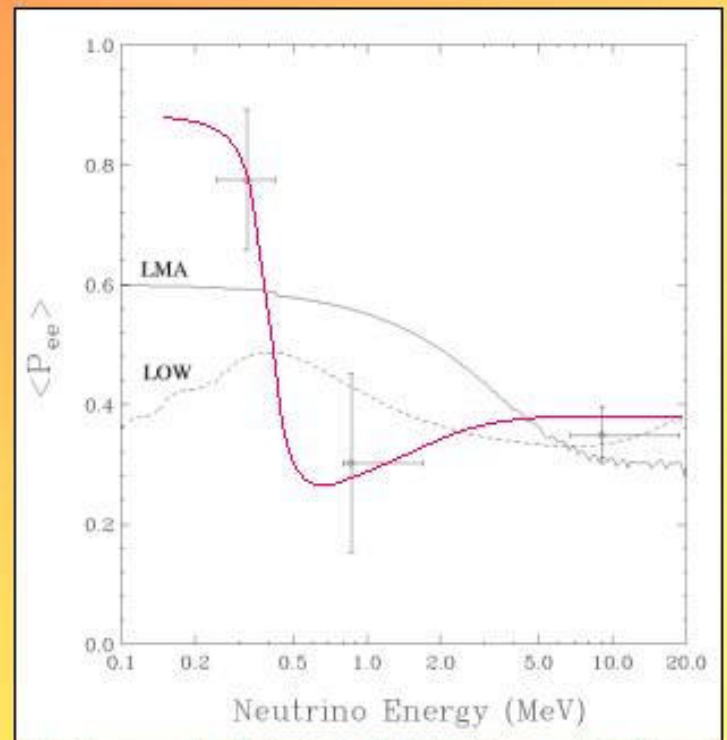
2. Cl - SK/SNO
over SSM \rightarrow $P_{ee}(0.8 - 1.5 \text{ MeV})$

Probability in the range of Be, CNO

3. Ga - Cl - SK/SNO
over SSM \rightarrow $P_{ee}(0.2 - 0.4 \text{ MeV})$

Probability for pp neutrinos

V. S. Berezinsky, M. Lissia
G.L. Fogli, E. Lisi,
D.Montanino, A. Palazzo



V. Barger, D Marfatia, K. Whisnant B. Wood

Time variations

Day-Night

SK:

$$A_{\text{DN}} = -2.1 \pm 2.0^{+1.3}_{-1.2} \%$$

SNO (CC):

$$A_{\text{DN}} = -7.0 \pm 4.9^{+1.5}_{-1.4} \%$$

Weeks

Gallium
experiments: ?

P.A. Sturrock, M.A. Webber
J. D. Scargle

Seasonal

SK:

in agreement with geometrical
(eccentricity effect)

SAGE:

no statistically significant variations

Galex, GNO:

11 years

Homestake: ?

SK: no

SAGE no

GALLEX

No strong identification
in the fits: upper bounds
play important role

SNO 2002: Implications

- Q. R. Ahmad et al., SNO collaboration nucl-ex/0204009
1. V. Barger, D. Marfatia, K. Whisnant, B. P. Wood, hep-ph/0204253
 2. A. Bandyopadhyay, S. Choubey, S. Goswami, D.P. Roy, hep-ph/0204286
 3. P. Creminelli, G. Signorelli, A. Strumia, addendum n2 (April 22, 2002)
hep-ph/0102234
 4. J. N. Bahcall, M.C. Gonzalez-Garcia, C. Pena-Garay, hep-ph/0204314
 5. P. Aliani, V. Antonelli, M. Picariello, E. Torrente-Lujan, hep-ph/0205053
V. Antonelli (poster session)
 6. M. Smy, SK collaboration

Global Fit

P. de Holanda, A.S.
hep-ph/0205241

Data

Neutrino fluxes

Neutrino model

- Homestake
 - SAGE
 - GNO+GALLEX
- rates
- SuperKamiokande:
Zenith spectra
 - SNO:
Day and Night spectra

- BP2000
- Boron neutrino flux:
 $f_B = F_B / F_B^{SSM}$
free parameter
- $F_{\text{hep}} = 0.93 \cdot 10^4 \text{ cm}^{-2} \text{ c}^{-1}$

Two neutrino
mixing

Oscillation
parameters:

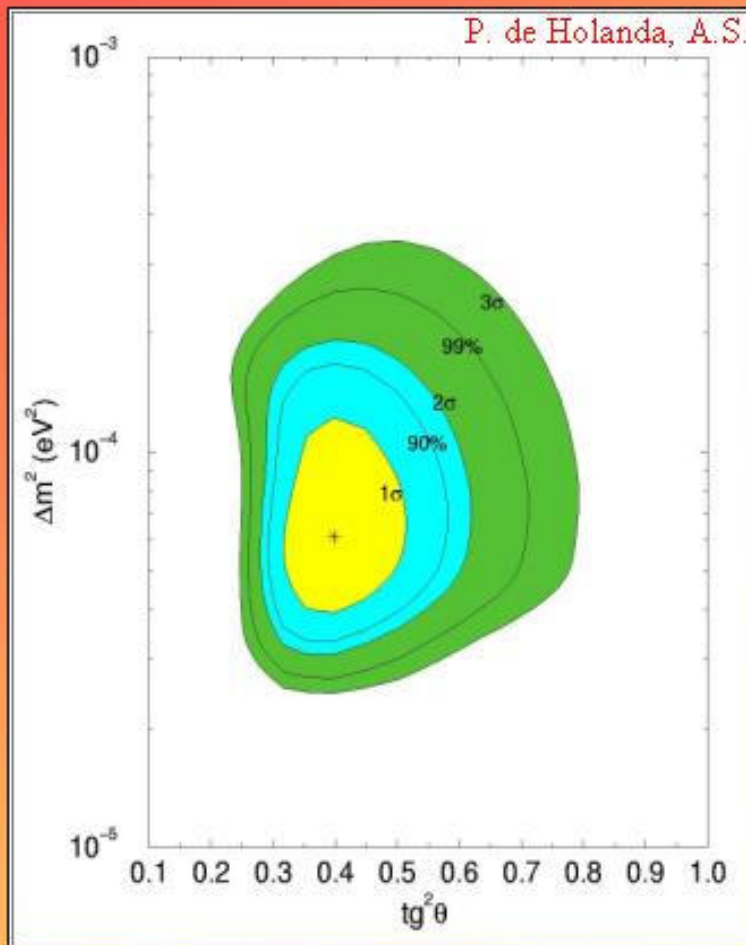
$\Delta m^2, \tan^2\theta$

81 data points

79 d.o.f

LMA MSW

P. de Holanda, A.S.



■ Best fit point:

$$\begin{aligned}\Delta m^2 &= 6.2 \cdot 10^{-5} \text{ eV}^2 \\ \tan^2 \theta &= 0.40 \\ f_B &= 1.06\end{aligned}$$

■ 1 σ - intervals:

$$\begin{aligned}\Delta m^2 &= (4 - 12) \cdot 10^{-5} \text{ eV}^2 \\ \tan^2 \theta &= 0.32 - 0.51\end{aligned}$$

■ 3 σ - bounds:

$$\Delta m^2 < 3.3 \cdot 10^{-4} \text{ eV}^2$$

$$\tan^2 \theta < 0.80$$

How large is the Large mixing

Upper bound on mixing angle controlled by

$$\frac{CC}{NC} \sim \sin^2\theta$$

$$Q_{Ar} \sim f_B \sin^2\theta$$

$$Q_{Ge} \sim 1 - 0.5 \sin^2 2\theta$$



P. de Holanda, A.S.

$$\tan^2\theta < \begin{cases} 0.51 & 1\sigma \\ 0.62 & 2\sigma \\ 0.79 & 3\sigma \end{cases}$$

■ 3σ - bounds from different analyses:

$$\tan^2\theta < \begin{cases} 0.55 & \text{SNO} \\ 0.64 & \text{Barger et al} \\ 0.89 & \text{Bahcall et al} \end{cases}$$

Deviation from maximal

$$\varepsilon = 0.5 - \sin^2\theta$$

$$\varepsilon > 0.06, \quad 3\sigma$$



$$\varepsilon > \lambda = \sin^2\theta_C$$

Expansion parameter Cabibbo angle



Maximal mixing: Accepted at 4σ

$$\frac{NC}{CC} < 2 \quad (-2.2\sigma)$$

$$Q_{Ge} \sim 64 \text{ SNU} \quad (-1.8\sigma)$$

$$Q_{Ar} \sim 3.2 \text{ SNU} \quad (+2.8\sigma) \quad (f_B = 0.85)$$

For maximal mixing

Important implications for theory
Double beta decay, determination of the absolute scale of neutrino masses

How high is the high Δm^2

P. de Holanda, A.S.

Upper bound on Δm^2

Implications for

- measurements of Δm^2
- future LBL experiments
- determination of CP violation phase
- theory of neutrino mass

$$\Delta m^2 < \begin{cases} 1.2 \cdot 10^{-4} \text{ eV}^2, & 1\sigma \\ 1.9 \cdot 10^{-4} \text{ eV}^2, & 2\sigma \\ 3.4 \cdot 10^{-4} \text{ eV}^2, & 3\sigma \end{cases}$$

■ 3σ - bounds:

$$\Delta m^2 < \begin{cases} 1.9 \cdot 10^{-4} \text{ eV}^2, & \text{SNO} \\ 2.3 \cdot 10^{-4} \text{ eV}^2, & \text{Barger et al} \\ 3.7 \cdot 10^{-4} \text{ eV}^2, & \text{Bahcall et al} \end{cases}$$

The upper bound is controlled by

■ Day-Night asymmetry:

$$A_{\text{DN}} \sim (\Delta m^2)^{-1}$$

■ NC/CC

■ Q_{Ar}

■ At maximal allowed value

$$\Delta m^2 \sim 3.4 \cdot 10^{-4} \text{ eV}^2$$

$$A_{\text{DN}}^{\text{ES}} \sim 0.01\% \quad A_{\text{DN}}^{\text{CC}} \sim 0.01\%$$

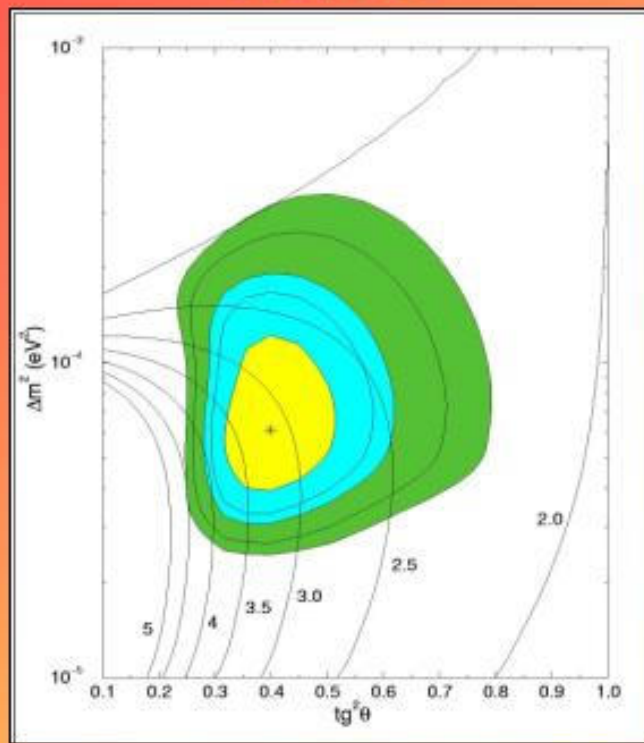
$$\text{NC/CC} \sim 2$$

$$Q_{\text{Ar}} \sim 3.25 \text{ SNU}$$

HLMA?

Grids of predictions

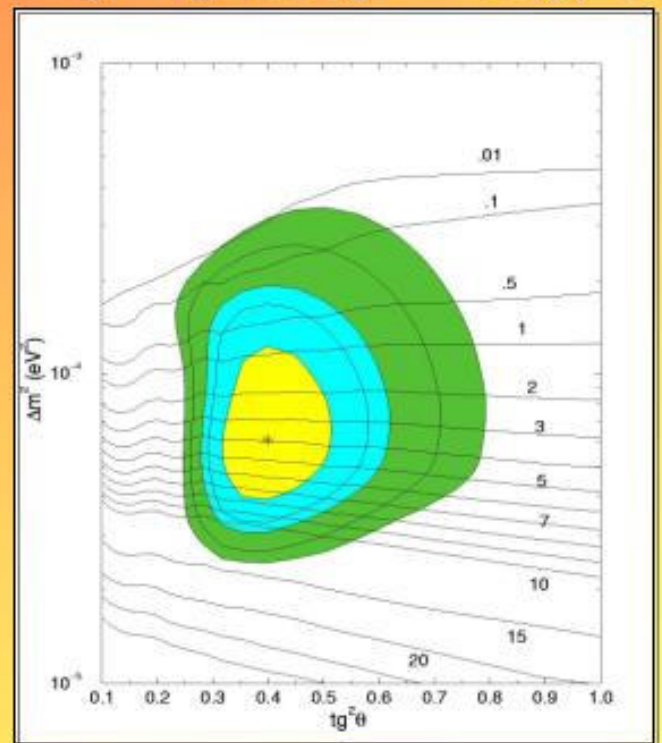
NC / CC



$$\text{NC/CC (b.f.)} = 3.15$$

$$3\sigma: \sim - (2.0 - 4.5) \%$$

Day-Night asymmetry, %

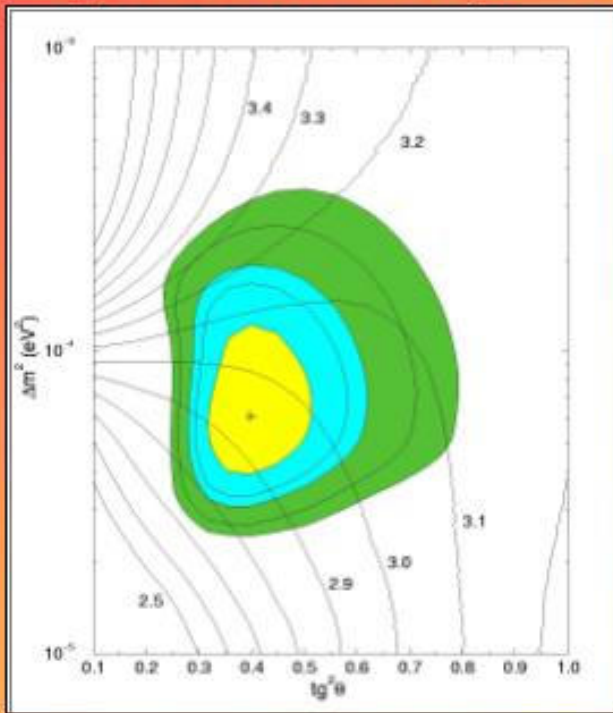


$$A_{\text{DN}}^{\text{CC}} \text{ (b.f.)} = - 3.9 \%$$

$$3\sigma: - (\sim 0 - 14) \%$$

Grids of predictions

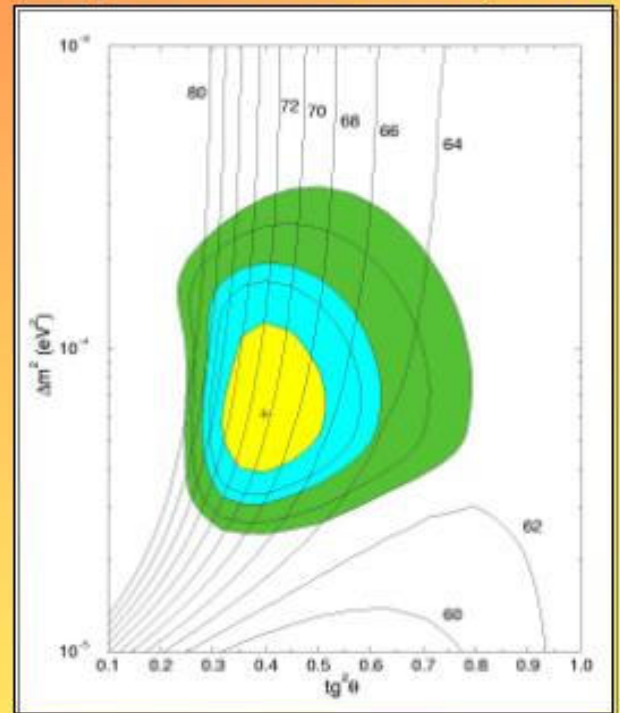
Ar-production rate, SNU



b.f.: $Q_{\text{Ar}} = 2.95$ SNU

3σ : $Q_{\text{Ar}} = (2.7 - 3.35)$ SNU

Ge-production rate, SNU



b.f.: $Q_{\text{Ge}} = 70.5$ SNU

3σ : $Q_{\text{Ge}} = (63 - 84)$ SNU

Alternatives?



RSFP

**in convective
zone**

**in radiative
zone**

non-RSFP

LOW

VO-QVO

FCNC

Alternatives?



LOW disappears?

■ Best fit point

$$\Delta m^2 = 0.93 \cdot 10^{-7} \text{ eV}^2$$

$$\tan^2 \theta = 0.63$$

$$f_B = 0.91$$

$$\chi^2 / \text{d.o.f} = 78.9/78$$

$$\chi^2 (\text{LOW}) - \chi^2 (\text{LMA}) = 13.2$$

➔ Accepted at 99.89% CL
Does not appear at 3σ - level

■ Features of the fit

Day - Night asymmetries:

$$A_{\text{DN}}^{\text{CC}} = 3.6 \% \quad A_{\text{DN}}^{\text{ES}} = 2.7 \%$$

Rates:

$$Q_{\text{Ar}} = 3.1 \text{ SNU}$$

$$Q_{\text{Ge}} = 66.5 \text{ SNU}$$

$$\text{NC/CC} = 2.35$$

Zenith spectra at SK:
important for quality of
the fit

SMA: any chance?

■ Best fit point

$$\begin{aligned}\Delta m^2 &= 5.0 \cdot 10^{-6} \text{ eV}^2 \\ \tan^2 \theta &= 5.0 \cdot 10^{-4} \\ f_B &= 0.58\end{aligned}$$

$$\chi^2 / \text{d.o.f} = 99.9/79$$

$$\chi^2 (\text{LOW}) - \chi^2 (\text{LMA}) = 34.2$$

➔ Appears at 5.5σ - level

$$\begin{aligned}\text{For } \tan^2 \theta &= 1.5 \cdot 10^{-3} \\ \chi^2 / \text{d.o.f} &= 105/79\end{aligned}$$

■ Features

Reasonable fit of SK data
Very bad fit of the day and night spectra at SNO

$$\text{NC/CC} \sim 1.4$$

➔ Suppressed contribution of the NC events to the total rate
distortion of spectrum

$$A_{\text{DN}}^{\text{CC}} = -0.86\%$$

3σ lower boron neutrino flux

Excluded, unless some systematic error will be found in the SNO or/and SK results

VO-QVO

■ Best fit point

$$\Delta m^2 = 4.5 \cdot 10^{-10} \text{ eV}^2$$

$$\tan^2 \theta = 2.06$$

$$f_B = 0.72 \quad \blacktriangleright$$

In the "dark" side: some matter effect is present

■ Features

- no D-N asymmetry
- 2 σ - lower boron neutrino flux

$$Q_{Ar} = 3.2 \text{ SNU}$$

$$Q_{Ar} = 67.5 \text{ SNU}$$

$$NC/CC = 1.86$$

$$\chi^2 / \text{d.o.f} = 76.1/79$$

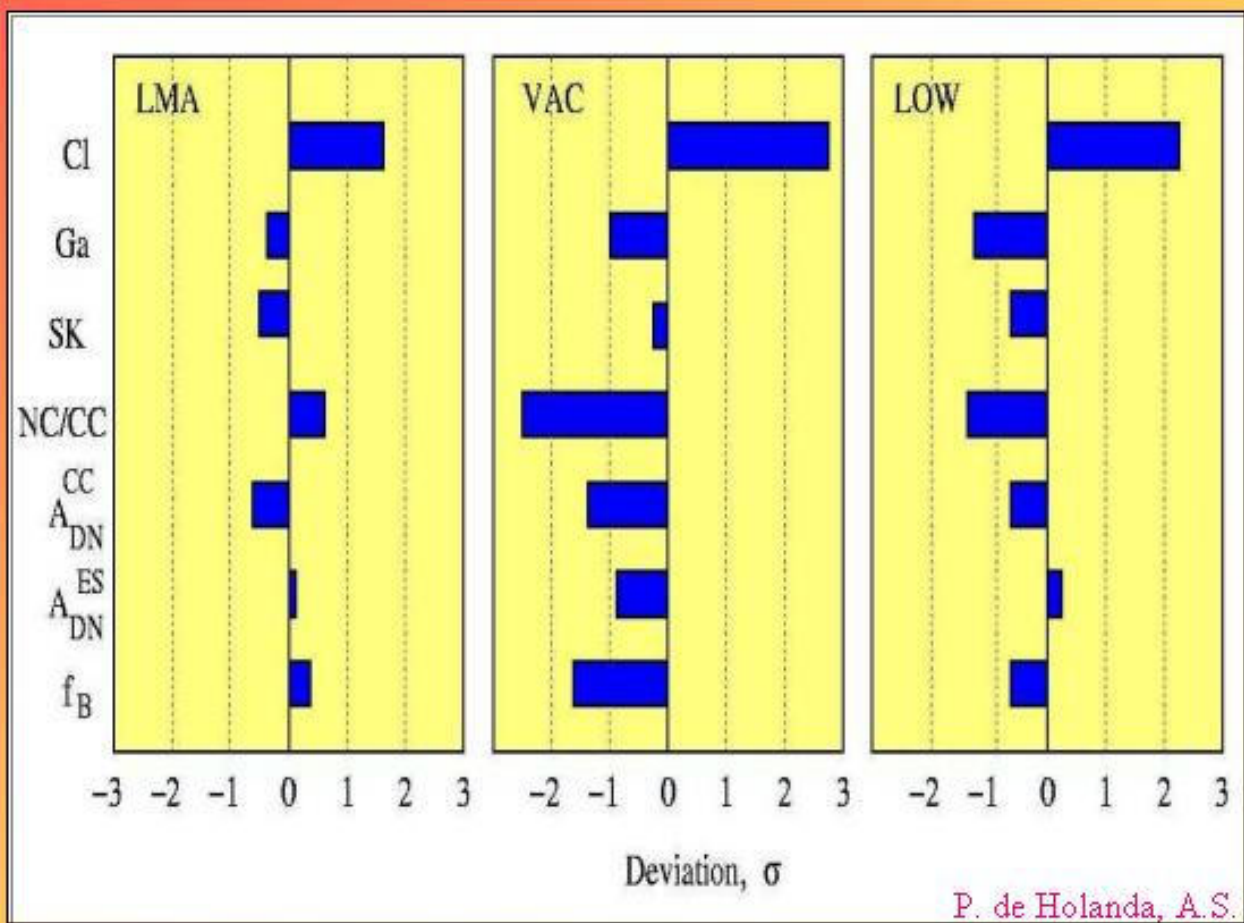
$$\chi^2 (\text{QVAC}) - \chi^2 (\text{LMA}) = 10.4$$

\blacktriangleright accepted at 3 σ - level:

Spot in the parameters plane

SSM restriction on f_B
exclusion at 3 σ -level

Pull-off diagrams



P. de Holanda, A.S.

D-N Asymmetry: SK vs. SNO

$$A_{\text{ND}}^{\text{CC}} = \left[1 + \frac{r}{(1-r)P} \right] A_{\text{ND}}^{\text{ES}}$$

Bahcall
Krastev, A.S

“dumping” factor
for ES

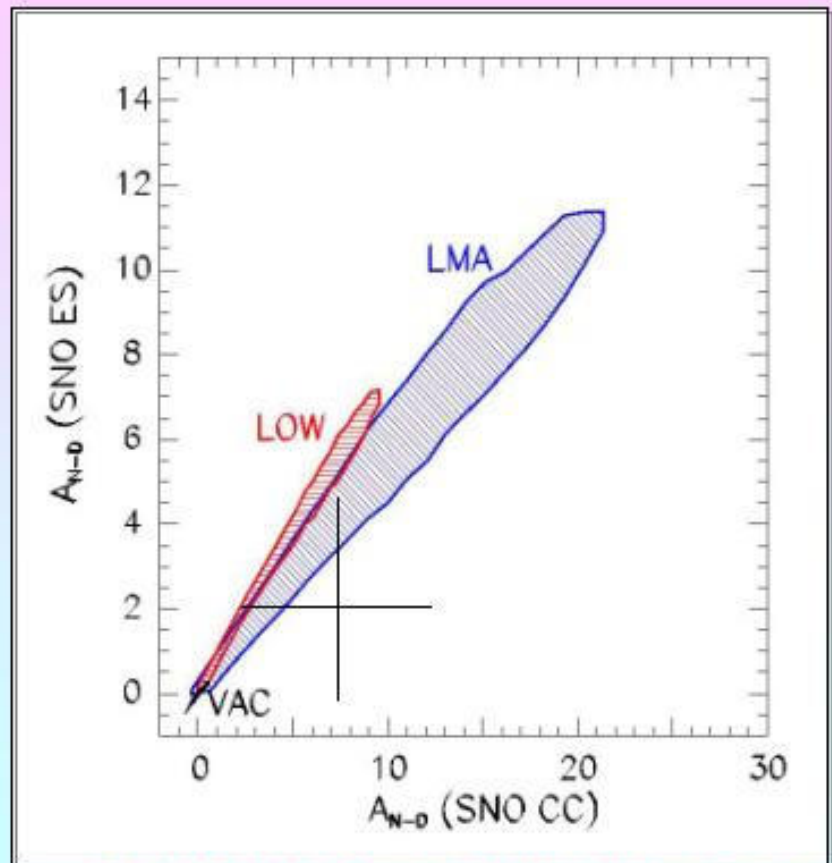
P is the averaged survival probability

$P \sim \sin^2 \theta$ (LMA, LOW)

$$r = \sigma(\nu_{\mu} e) / \sigma(\nu_e e)$$

The asymmetry in ES is suppressed
due to effect of ν_{μ}/ν_{τ} neutrinos

The effect is significant (in spite of
smaller cross-section) since the
 ν_{μ}/ν_{τ} flux is 2 - 4 larger than
 ν_e -flux



J.N. Bahcall, C. Gonzalez-Garcia, C. Pena-Garay

Leading and sub-Leading

LMA
or ...?

U_{e3}
sterile neutrino
decay

Leading or
sub-Leading?

magnetic moment
non-standard interactions

hybrid solutions?

Solar neutrinos and U_{e3}

Context: 3ν scheme solar + atmospheric

$$\Delta m_{13}^2 \gg 2E\nu$$

Propagation basis: $(\nu_e \ \nu_\mu \ \nu_\tau) \rightarrow (\tilde{\nu}_e \ \tilde{\nu}_\mu \ \nu_3)$

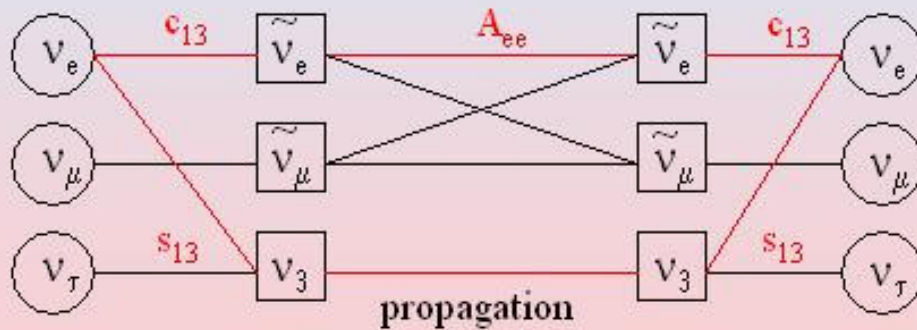
$$\tilde{\nu}_e = (U_{e1} \nu_1 + U_{e2} \nu_2) / \sqrt{1 + U_{e3}^2}$$

$$\tilde{\nu}_\mu = (U_{e1} \nu_2 - U_{e2} \nu_1) / \sqrt{1 + U_{e3}^2}$$

ν_3 - "decouples" producing averaged oscillation effect

$\tilde{\nu}_e - \tilde{\nu}_\mu$ mix, and convert

scheme of transition

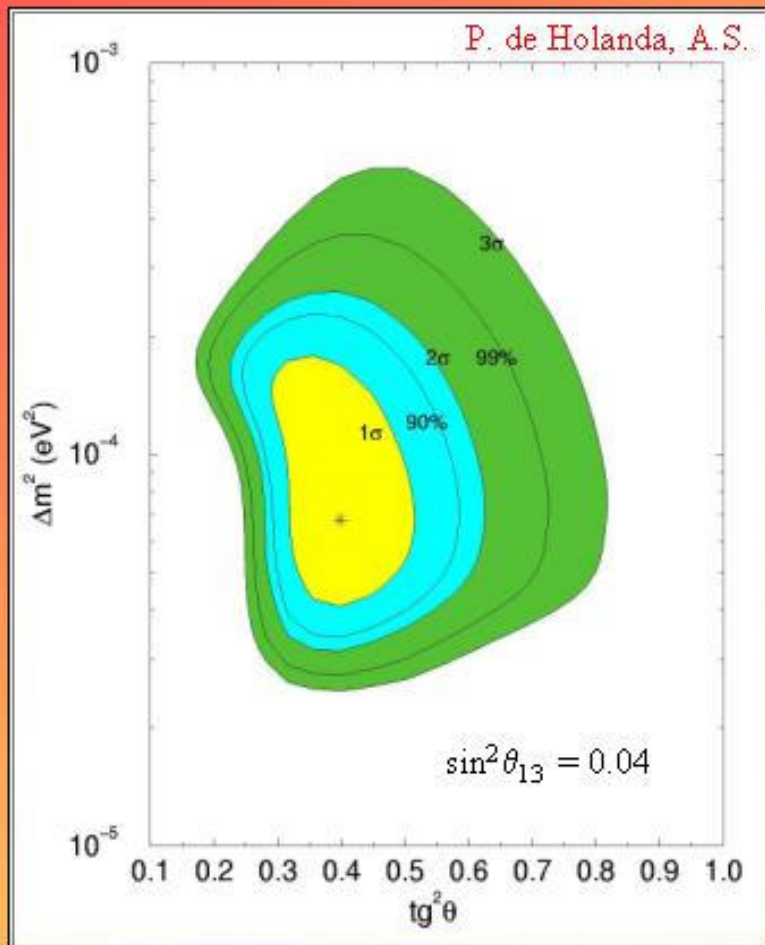


$$P_{ee} = \cos^4 \theta_{13} P_2 + \sin^4 \theta_{13}$$

where $P_2 = P(\tilde{\nu}_e \rightarrow \tilde{\nu}_e) = |A_{ee}|^2$

is determined by Δm_{12}^2 $\tan^2 \theta_{12} = \frac{U_{e2}^2}{U_{e1}^2}$ $V = \cos^2 \theta_{13} V_e$

LMA and U_{e3}



- Best fit point:

$$\begin{aligned}\Delta m^2 &= 6.8 \cdot 10^{-5} \text{ eV}^2 \\ \tan^2 \theta &= 0.40 \\ f_B &= 1.096\end{aligned}$$

- $\chi^2 / \text{d.o.f.} = 67.3/79$
 $\Delta \chi^2 = 1.7$
 θ_{13} worsen the LMA fit

- 3σ - bounds:

$$\Delta m^2 < 5.4 \cdot 10^{-4} \text{ eV}^2$$

$$\tan^2 \theta < 0.82$$

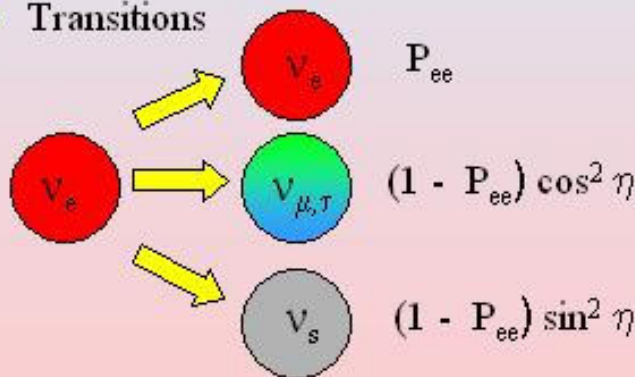
Nu_s and solar neutrinos

- Pure oscillations $\nu_e - \nu_s$ are excluded at about 5σ level

- Oscillations $\nu_e - \nu_x$ where

$$\nu_x = \cos\eta \nu_{\mu,\tau} + \sin\eta \nu_s$$

- Transitions



Degeneracy of parameters:

two combinations $f_B P_{ee}$ $f_B (1 - P_{ee}) \cos^2 \eta$

Barger et al,

- Matter potential is modified:

$$V = \sqrt{2} G_F (n_e - \sin^2 \eta \frac{n_n}{2})$$

- Fluxes detected by different reactions
charged currents:

$$\Phi_{CC} = f_B P_{ee}$$

neutral currents:

$$\Phi_{NC} = f_B [1 - (1 - P_{ee}) \sin^2 \eta]$$

neutrino-electron scattering

$$\Phi_{ES} = f_B [P_{ee} - r (1 - P_{ee}) \cos^2 \eta]$$

where f_B is the boron neutrino flux

$$r = \sigma(\nu_{\mu} e) / \sigma(\nu_e e)$$

Bound on sterile

Global fit of the solar neutrino data

For each pair of values of $\cos^2 \eta$ and f_B χ^2 is minimized with respect to Δm^2 , $\tan^2 \theta$

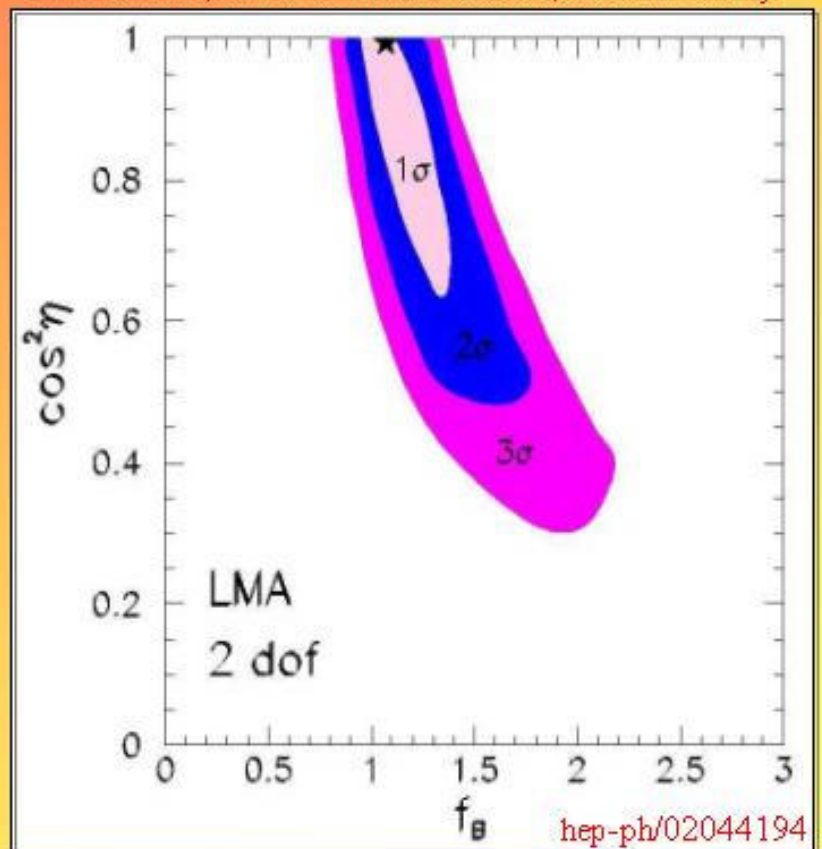
→ $\chi^2(\cos^2 \eta, f_B)$

χ^2_{\min}

$$\sin^2 \eta = 0, \quad f_B = 1.07$$

$$\sin^2 \eta < \begin{cases} 0.35, & 1\sigma \\ 0.70, & 3\sigma \end{cases}$$

J.N.Bahcall, M.C. Gonzalez-Garcia, C Pena-Garay



Spin-Flavor Precession

Resonance spin-flavor precession:
unique possibility to reconcile

Strong suppression
at intermediate
energies



no distortion
at high energies

C.S. Lim, W. J. Marciano
E. Kh. Akhmedov

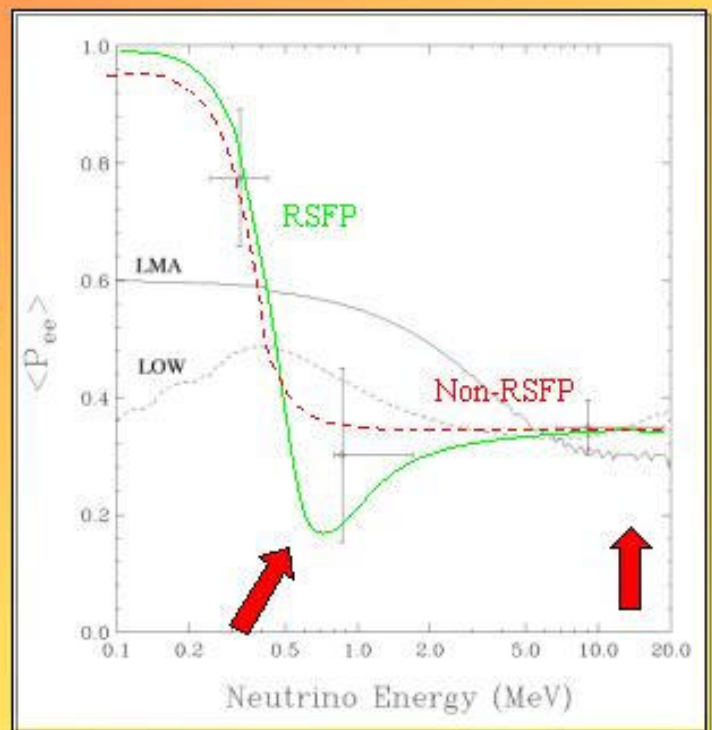
Non-resonance spin flavor precession:
profile similar to LMA

O. G. Miranda, C. Pena-Garay, T. I. Rashba,
V. B. Semikoz, J. W. F. Valle, hep-ph/0108145

$$\mu_\nu = (0.3 - 1) 10^{-11} \mu_B$$

$$B_{\max} \sim 100 \text{ kG}$$

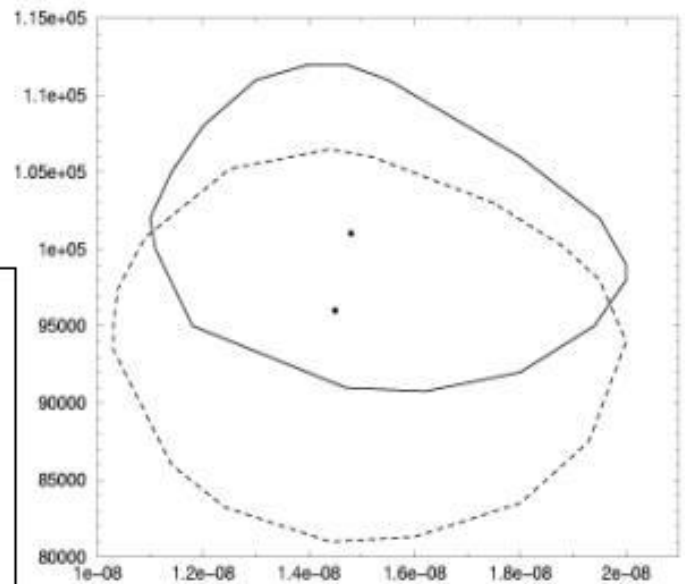
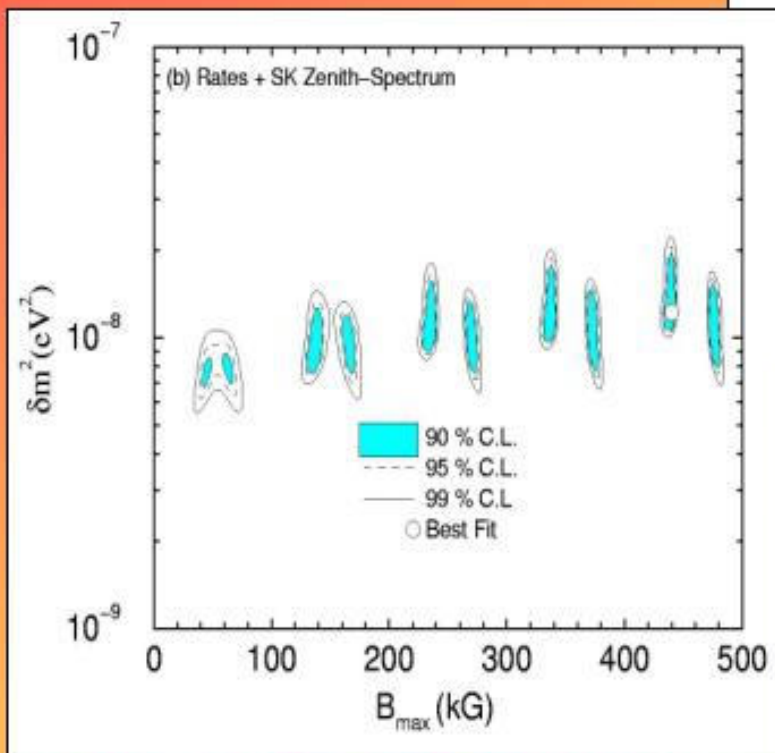
Specific $B(r)$ dependence



Resonance
region

VVO
region

Solutions



E. Kh. Akhmedov, J. Pulido, *Astropart. Phys.* 13 (2000)

$$\Delta m^2 = (1 - 2) 10^{-8} \text{ eV}^2$$
$$B_{\max} > 80 \text{ kG}$$

A.M. Gago et al, *Phys. Rev. D*65:073012 (2002)

Time variations of signals

A. Friedland, A. Gruzinov
hep-ph/0202095

Generic consequence
of the SFP in the
convective zone



11 years
annual
semiannual
27 days

No significant variations of neutrino signals have been found

- Surface magnetic field: 11 years variations

There is no model with:

Constant large scale
field in the convective zone

and

Variations of
surface field

- Large scale field
in the convective zone



Convective
mixing



Should be seen
at the surface

- Equatorial gap

Eccentricity of
the Earth orbit



Semiannual
variations

Voloshin
Vysotsky
Okun

Spin-Flip in the radiative zone

A. Friedland, A. Gruzinov, hep-ph/0202095

Strong relic field in the radiative zone
frozen configuration \rightarrow constant

$$B = (0.4 - 0.6) \text{ MG}$$

toroidal

Survival probability:

$$P_{ee} = 0.5 [1 - (1 - 2P_c) \cos 2\theta_0]$$

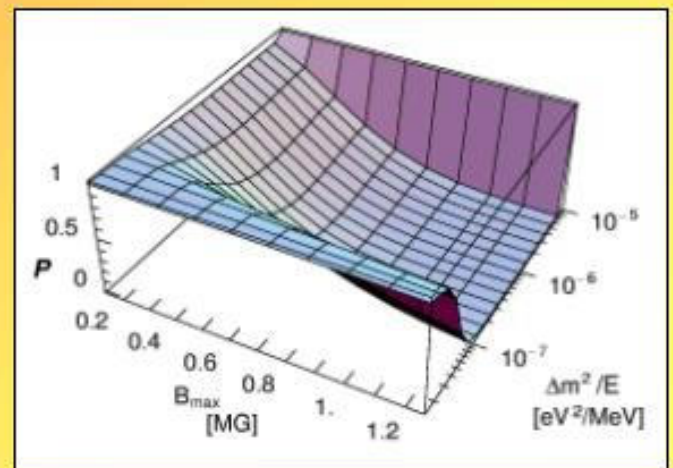
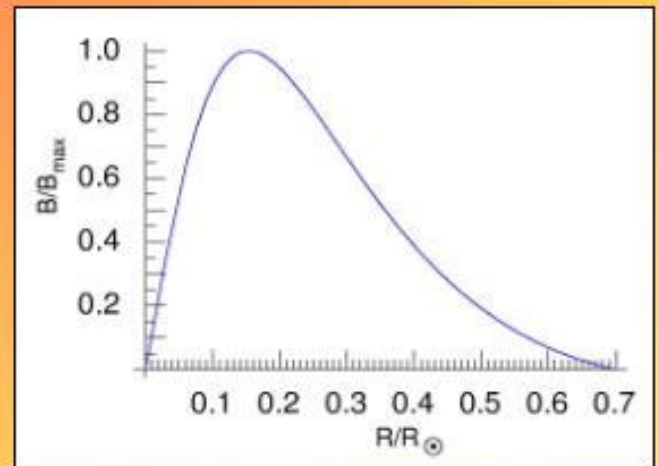
Mixing in the
production point

P_c is the level crossing probability

$P_{ee} \sim 0.3 \Rightarrow$ the flip should be
non-adiabatic

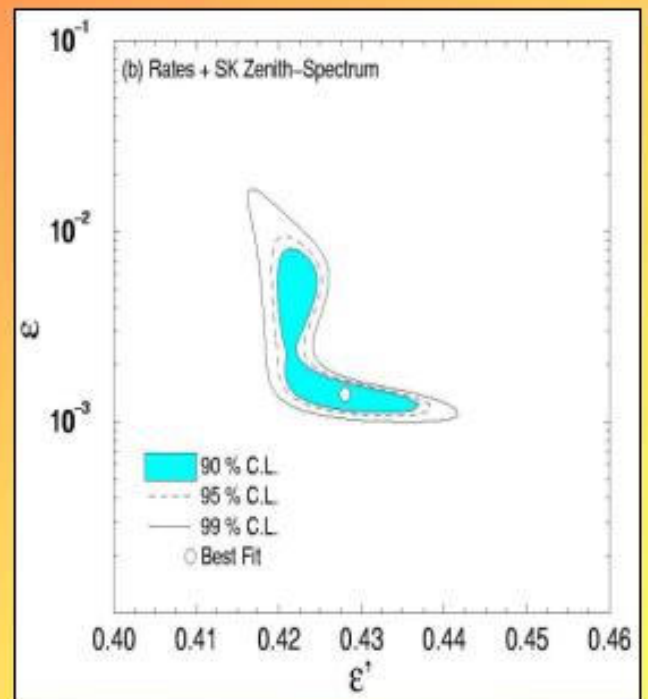
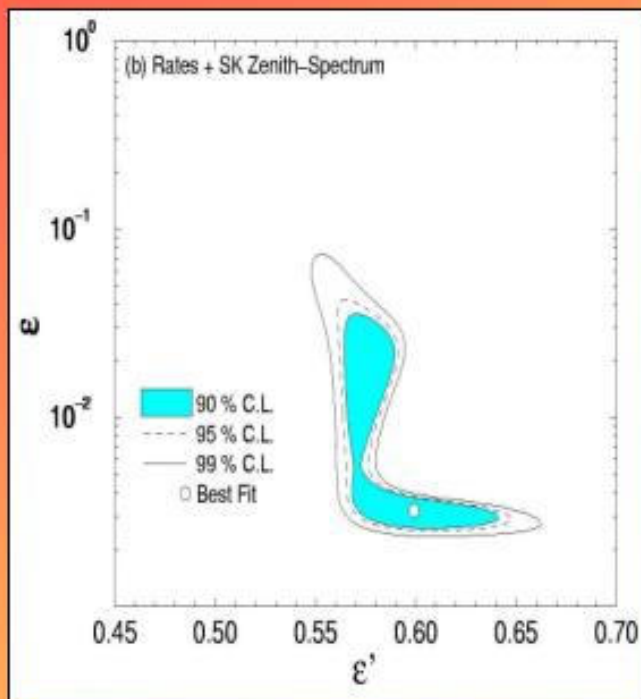
Non-trivial to avoid
distortion of spectrum

$$\Delta m^2 = (1.5 - 5.0) 10^{-6} \text{ eV}^2$$



Solutions

A.M. Gago, et al, hep-ph/0112060



Very good fit

Too large value of ϵ'

Non-standard neutrino interactions

L. Wolfenstein

Dynamics

Level splitting:

Mixing:

$$H = \sqrt{2} G_F [n_e(r) - \varepsilon^s n_f(r)]$$

$$H = \sqrt{2} G_F \varepsilon n_f(r)$$

f = u, d

No dependence of probability on energy

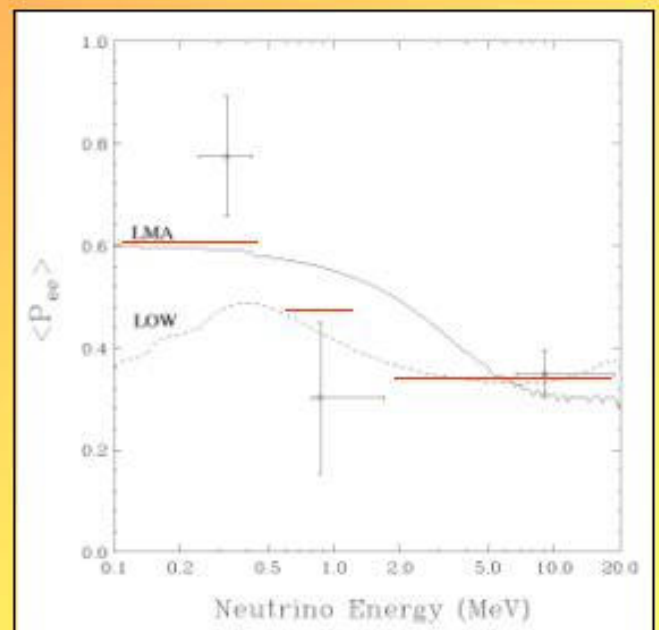
$$P_{ee} = \text{const}$$

Dependence on energy appears due to difference of productions regions for pp, Be, B neutrinos:

In the production region

$$n(pp) < n(\text{Be}) < n(\text{B})$$

The larger density the stronger conversion



Summary (before KamLAND)

- LMA gives the best fit

$$\Delta m^2 = (5 - 7) 10^{-5} \text{ eV}^2$$
$$\tan^2 \theta = 0.35 - 0.45$$

- With new SNO data important upper bound

$$\tan^2 \theta < 0.8 \quad (3 \sigma)$$

Implications for double beta decay absolute mass scale, theory

- Sub-leading effects:

U_{e3} produces small effect

Sterile component is further restricted: $\sin^2 \eta < 0.35$

Searches for new Δm^2

- LOW and VO survive at $\sim 3\sigma$ level SMA is excluded at $\sim 5\sigma$

- A number of other possible mechanisms which can reproduce the energy profile of the effect

Time variations?

- Solutions based on neutrino spin-flip in the solar magnetic fields or on non-standard flavor changing interactions give good description of data

- Hybrid solutions ?