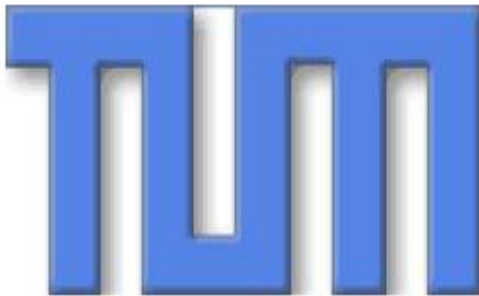


# Neutrino Mass Models



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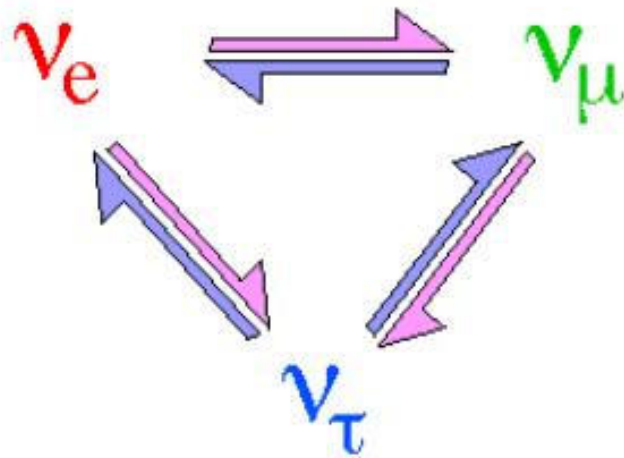
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# Introduction

- Atmospheric data is well described by **active neutrino oscillations**  $\nu_\mu \leftrightarrow \nu_\tau$
- Solar data is well described by **active neutrino oscillations**  $\nu_e \leftrightarrow \nu_\mu; \nu_\tau$  (LMA MSW preferred)
- **LSND** requires **sterile neutrino** or something non-standard – wait for **MiniBooNE**
- E.g. Large extra dimension models have infinite tower of sterile neutrinos in the “bulk” which could be useful if steriles are required

(Arkani-Hamed, Dimopoulos, Dvali, March-Russell; Dienes, Dudas, Gherghetta; Dvali, Smirnov, Ioannissian, Valle; Lukas, Ramond, Romanino, Ross; Mohapatra, ... many others...)

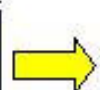
In this talk we shall focus on the  
three neutrino paradigm:



# The Maki-Nakagawa-Sakata matrix

$$V^{E_L} m_{LR}^E V^{E_R \dagger} = \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix} \quad \text{Majorana matrix} \quad V^{\nu_L} m_{LL}^\nu V^{\nu_L \dagger} = \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix}$$

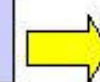
Constructing



$$U_{MNS} = V^{E_L} V^{\nu_L \dagger}$$

Three physical phases  
give CP violation

Parametrising



$$U_{MNS} = R_{23} R_{13} P_1 R_{12} P_2$$

$$R_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

$$R_{13} = \begin{pmatrix} c_{13} & 0 & s_{13} \\ 0 & 1 & 0 \\ -s_{13} & 0 & c_{13} \end{pmatrix}$$

$$R_{12} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

# The Large Mixing Angle MNS matrix:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R_{23} R_{13} R_{12} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

solar LMA MSW

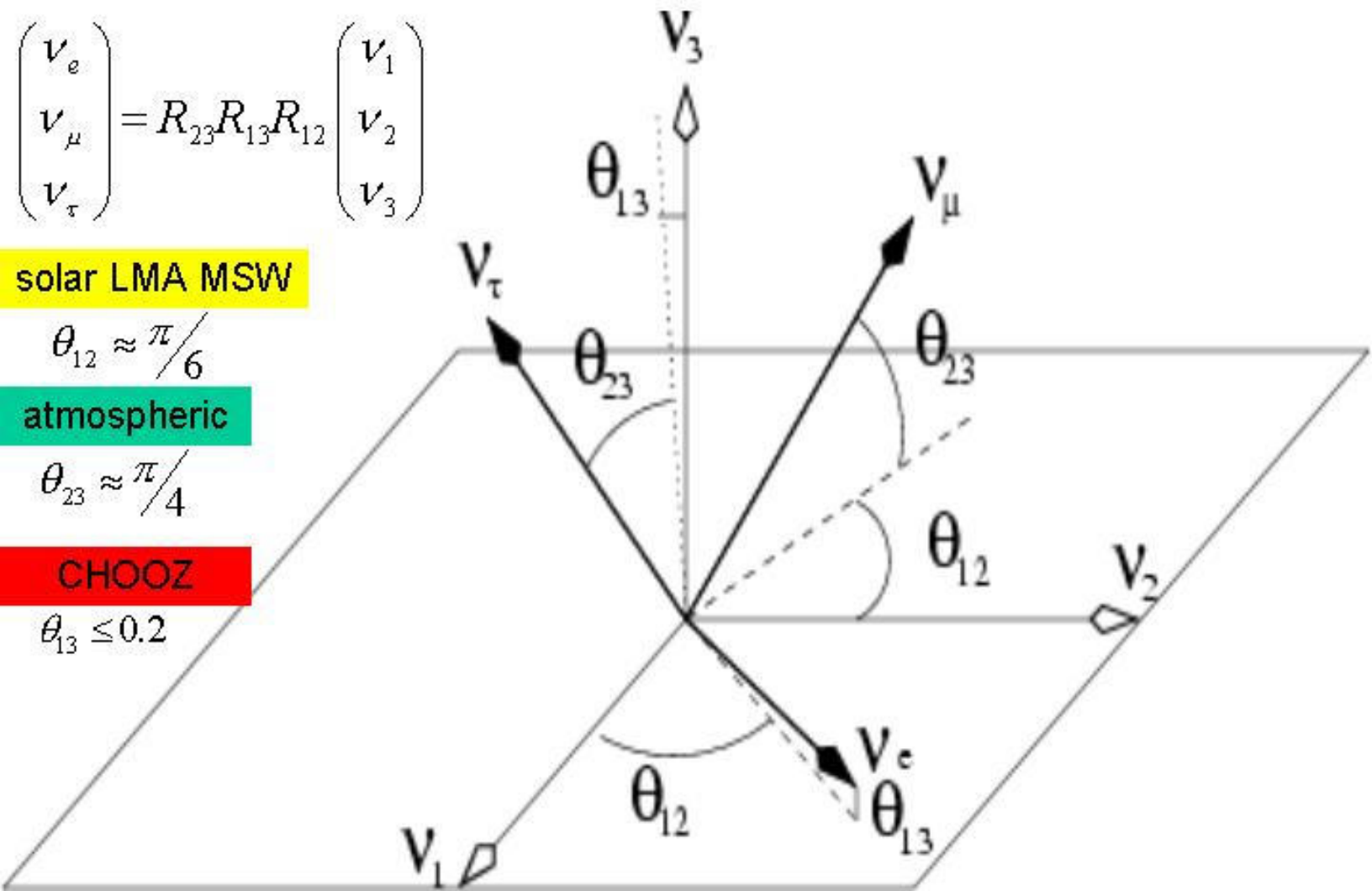
$$\theta_{12} \approx \pi/6$$

atmospheric

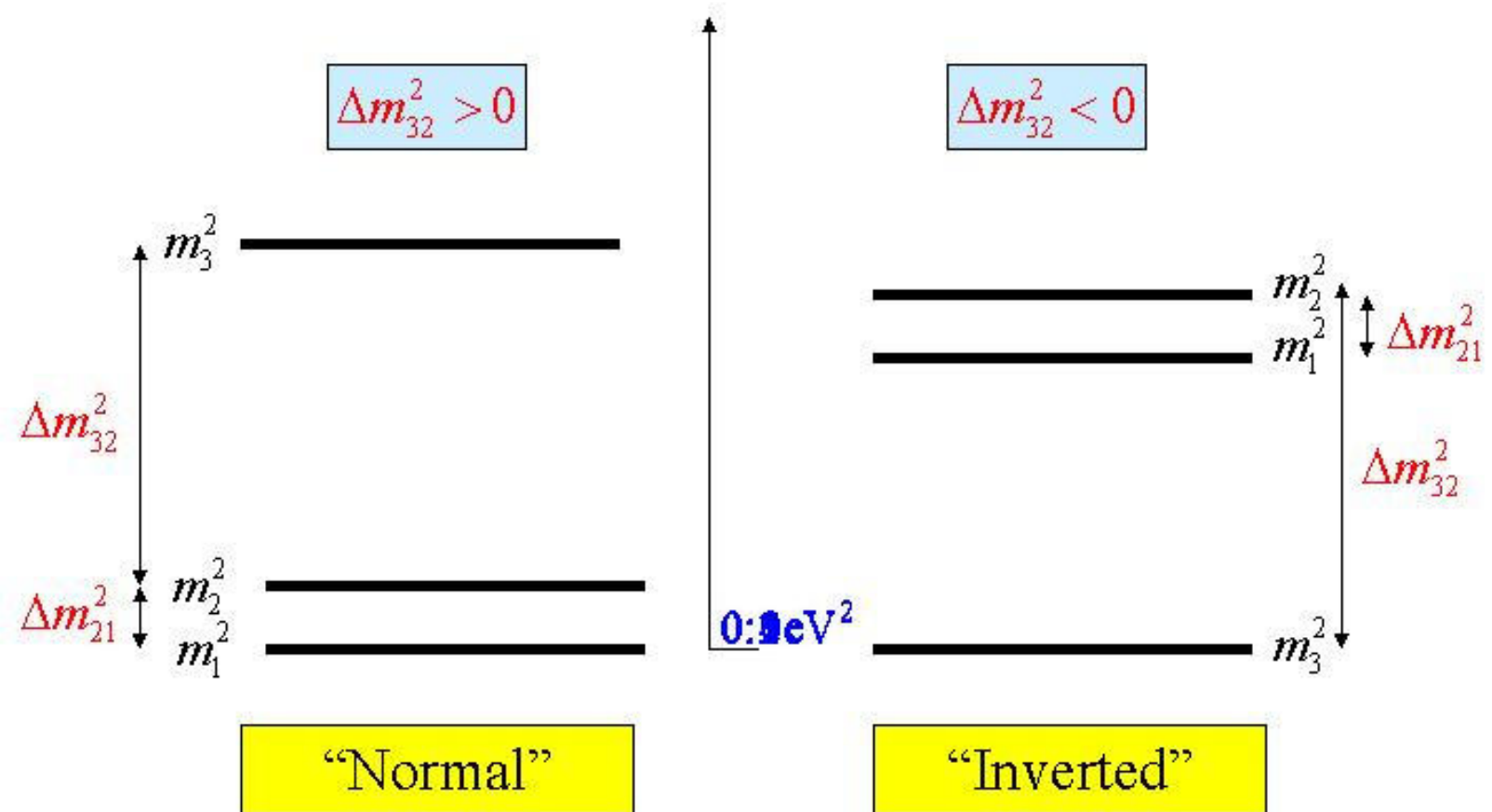
$$\theta_{23} \approx \pi/4$$

CHOOZ

$$\theta_{13} \leq 0.2$$



# Possible three neutrino mass patterns:





# Origin of neutrino mass

In the **Standard Model** neutrinos are **massless**, and a neutrino and anti-neutrino are distinguished by a (total) **conserved lepton number L**.

Majorana or Dirac?

CP conjugate of left-handed neutrino

Majorana mass

$$m_{LL} \bar{\nu}_L \nu_L^c$$

How?

(violates L)

1. Higgs triplets
2.  $\frac{1}{M} LLHH$  (Valle talk)
3. See-saw (this talk)

$$M_{RR} \bar{\nu}_R \nu_R^c$$

Right-handed neutrinos

Dirac mass

$$m_{LR} \bar{\nu}_L \nu_R$$

from Yukawa  $\delta \bar{\nu}_L H \nu_R$

(conserves L)

# See-saw mechanism

Gell-Mann, Ramond, Slansky and Yanagida ; Mohapatra and Senjanovic, Schechter and Valle,...

$$\begin{pmatrix} \bar{\nu}_L & \bar{\nu}_R^c \end{pmatrix} \begin{pmatrix} 0 & m_{LR} \\ m_{LR}^T & M_{RR} \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$$

Diracmatrix ↓  
Heavy Majorana matrix ↗

Light Majorana matrix

Diagonalise

$$\rightarrow m_{LL} \bar{\nu}_L \nu_L^c$$

$$m_{LL} = m_{LR} M_{RR}^{-1} m_{LR}^T$$

L is violated by right-handed Majorana mass. L may be global or a part of gauged B-L which is spontaneously broken.

The goal is to reproduce a successful light Majorana matrix



# Successful leading order Majorana matrices

Barbieri, Hall, Smith, Strumia, Weiner; Altarelli, Feruglio; many others...

Type A (zero in 11)

Type B (non-zero 11)

Hierarchy

$$m_1^2, m_2^2 \ll m_3^2$$

$$m_{LL}^{HI} \approx \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} \frac{m}{2}$$

Large neutrinoless double beta decay

Inverted hierarchy

$$m_1^2 \ll m_2^2 \approx m_3^2$$

$$m_{LL}^{IH(A)} \approx \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \frac{m}{\sqrt{2}}$$

$$m_{LL}^{IH(B)} \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1/2 & 1/2 \\ 0 & 1/2 & 1/2 \end{pmatrix} m$$

Degenerate

$$m_1^2 \approx m_2^2 \approx m_3^2$$

$$m_{LL}^{DEG(A)} \approx \begin{pmatrix} 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{1}{2} & \frac{1}{2} \\ \frac{1}{\sqrt{2}} & \frac{1}{2} & \frac{1}{2} \end{pmatrix} m$$

Pseudo-Dirac

$$m_{LL}^{DEG(B1)} \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} m$$

$$m_{LL}^{DEG(B2)} \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} m$$

## Which Majorana matrix do we shoot for?

Ultimately an experimental question:

- ❑ **Neutrinoless double beta** decay resolves type A from B.
- ❑ **Neutrino factory** can resolve “normal” from “inverted”.
- ❑ **Galaxy structure** can constrain “degenerate” mass scale.

In the meantime we have two guiding theoretical principles:

- I. **Naturalness** – want to avoid fine-tuning and produce a light Majorana matrix that is stable under quantum corrections
- II. **Symmetry** - SUSY, GUTs and Family Symmetry are elements of realistic models of quark and lepton masses and mixing angles

# I. Naturalness

- **Type B matrices** involve **fine-tuning** or **carefully broken symmetries** to achieve  $\delta m_{21}^2 \rightarrow$  **large quantum corrections**.
- **Degenerate type A** involve **fine-tuning** or **carefully broken symmetries** to achieve  $\delta m_{32}^2 \rightarrow$  **large quantum corrections**.
- The most **natural** possibilities appear to be the **hierarchy** and **Type A inverted hierarchy**, but ...
- **Type A inverted hierarchy** has problem that  $\delta_{12} = \delta = 4$  is inconsistent with **LMA MNS** which requires  $\delta_{12} = \delta = 6$   
Problem can be resolved when charged lepton contributions are included (SFK, Singh;...)

**Hierarchy** has the puzzle of why  $m_2 \ll m_3$  and how to construct the **LMA MNS** matrix?...focus on this...

## Hierarchy

$$m_{LL}^{HI} \approx \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} \frac{m}{2}$$

Natural eigenvalues are  $m_2 \approx m_3$  why  $m_2 \approx m_3$  ?

Technically need a small 23 sub-determinant:

$$\det \begin{pmatrix} m_{22} & m_{23} \\ m_{23} & m_{33} \end{pmatrix} \ll m^2 \quad \rightarrow m_2 \ll m_3$$

- ❖ But why should the sub-determinant be small ?
- ❖ How can we construct the LMA MNS matrix ?



## See-saw with diagonal heavy Majorana matrix

Heavy Majorana  $\rightarrow M_{RR} = \begin{pmatrix} X' & 0 & 0 \\ 0 & X & 0 \\ 0 & 0 & Y \end{pmatrix}$       Dirac  $\downarrow m_{LR} = \begin{pmatrix} a' & a & d \\ b' & b & e \\ c' & c & f \end{pmatrix}$

Light Majorana  $\downarrow m_{LL} = m_{LR} M_{RR}^{-1} m_{LR}^T = \begin{pmatrix} \left( \frac{a'^2}{X'} + \frac{a^2}{X} + \frac{d^2}{Y} \right) & \left( \frac{a'b'}{X'} + \frac{ab}{X} + \frac{de}{Y} \right) & \left( \frac{a'c'}{X'} + \frac{ac}{X} + \frac{df}{Y} \right) \\ \cdot & \left( \frac{b'^2}{X'} + \frac{b^2}{X} + \frac{e^2}{Y} \right) & \left( \frac{b'c'}{X'} + \frac{bc}{X} + \frac{ef}{Y} \right) \\ \cdot & \cdot & \left( \frac{c'^2}{X'} + \frac{c^2}{X} + \frac{f^2}{Y} \right) \end{pmatrix}$

Each element has three contributions, one from each right-handed neutrino. If third right-handed neutrino terms (red) dominate then sub-determinant is naturally small!!! (SFK 98-)

# Right-handed neutrino dominance

SFK hep-ph/0204360

Assume  $\frac{|e|^2, |f|^2, |ef|}{Y} \ll \frac{|xy|}{X} \ll \frac{|x'y'|}{X'}$ , (sequential dominance)  
 $x, y \in a, b, c, \quad x', y' \in a', b', c'$  and  $|d| \ll |e| \approx |f|$

$$m_3 \approx \frac{|e|^2 + |f|^2}{Y} \quad m_2 \approx \left| \frac{a^2}{X} + \frac{e^{i\omega} (c_{23}^{\nu} b - s_{23}^{\nu} c e^{i\phi})^2}{X} \right| \quad m_1 \ll m_2 \ll m_3 \quad \text{Full hierarchy}$$

Maximal atmospheric angle for  $e=f$

$$\tan \theta_{23}^{\nu} \approx \frac{|e|}{|f|}$$

$$m_{LR} = \begin{pmatrix} d' & a & d \\ b' & b & e \\ c' & c & f \end{pmatrix}$$

Large solar angle for  $a \sim b \sim c$

$$\tan \theta_{12}^{\nu} \approx \frac{|a|}{c_{23}^{\nu} |b| \cos \beta - s_{23}^{\nu} |c| \cos \gamma}$$

Prediction (assuming LMA)

$$\theta_{13}^{\nu} \gtrsim \frac{m_2}{m_3} \approx 0.1$$



## II. Symmetry

Symmetry structure commonly assumed is N=1 SUSY with:

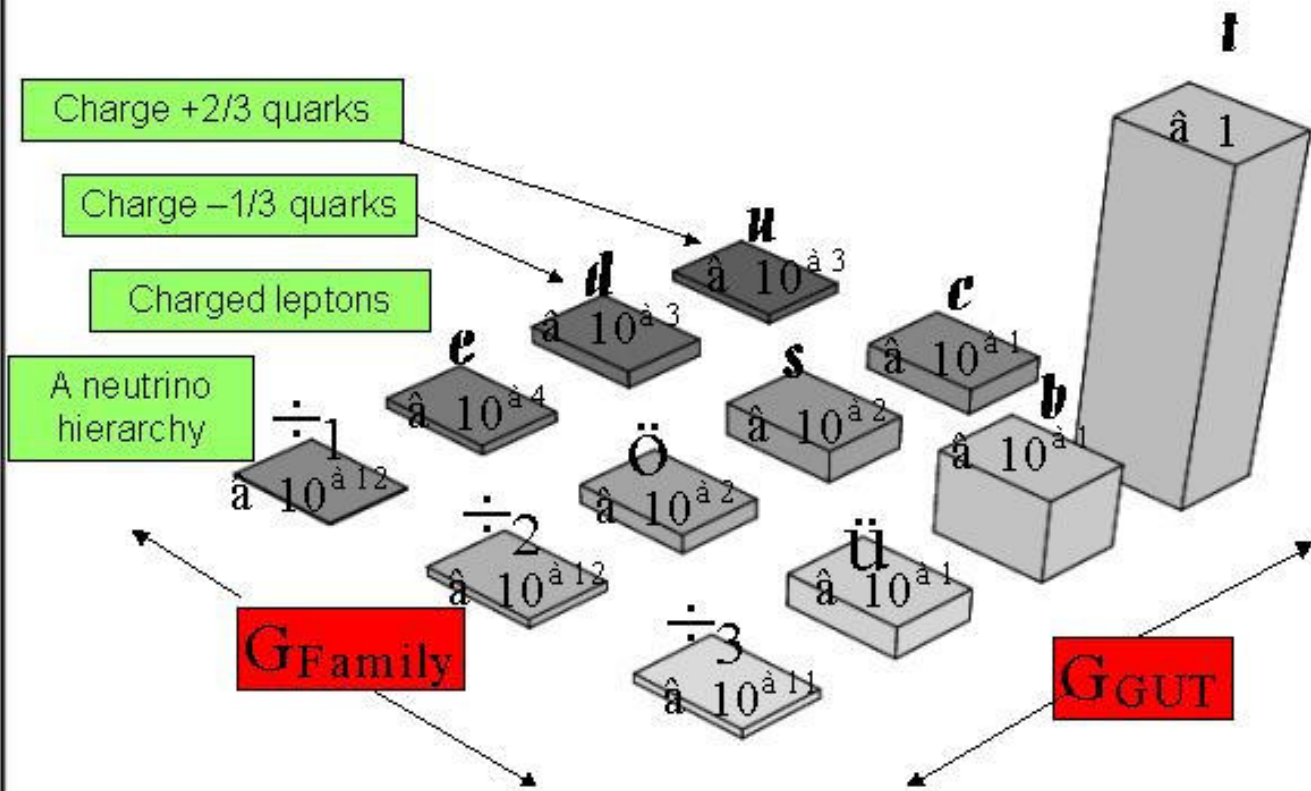
$G_{GUT} \hat{=} G_{Family}$

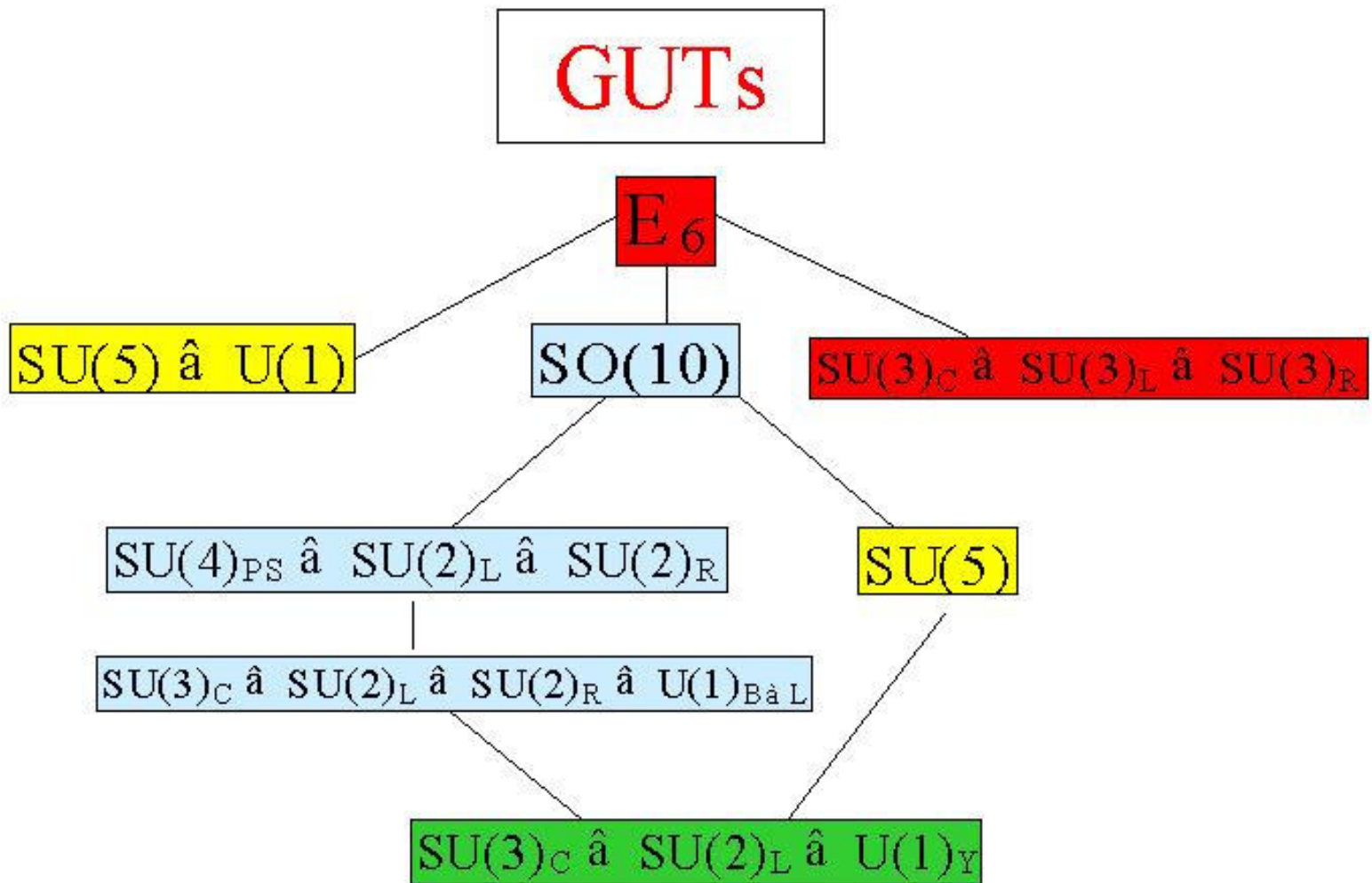
Further model dependence: Higgs/vacuum alignment/orbifold.

Many many proposals in the literature (with apologies for omissions):

*Akhmedov, Albright, Allanach, Altarelli, Anderson, Babu, Baltz, Barbieri, Barr, Barger, Berezhiani, Bijnens, Binetruy, Blazek, Branco, Davidson, Dimopoulos, De Gouvea, Di Clemente, Dudas, Ellis, Feruglio, Froggatt, Fritzsche, Georgi, Glashow, Gibson, Greiner, Grossman, Hall, Harvey, Ibanez, Ibarra, Irges, Jezabek, Joaquim, Joshipura, Kane, Kang, Kaus, Kim, Lavignac, Lola, Leontaris, Lindner, March-Russell, Ma, Masina, Matsuda, Meshkov, Mohapatra, Murayama, Nanopoulos, Nielsen, Nomura, Nussinov, Ohlsson, Pakvasa, Pati, Pokorski, Nielsen, Nir, Raby, Ramond, Reiss, Roberts, Romanino, Ross, Rossi, Roy, Savoy, Seidl, Singh, Shadmi, Shafi, Silva-Marcos, Skadhauge, Smith, Starkman, Stech, Strumia, Sumino, Tamimoto, Velasco-Sevilla, Valle, Vergados, Vempati, Volkas, Weiler, Weiner, Wetterich, Whisnant, Wilczek, Wu, Yanagida*

# The goal is to describe the quark and lepton mass hierarchies





# Family Symmetry

$$m^{hier} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$m^{dem} = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

Hierarchy  
Froggatt, Nielsen

**SU(3)**

**O(3)<sub>L</sub>  $\hat{=}$  O(3)<sub>R</sub>**

Democracy,  
Fritzsch, ...

We focus on  
hierarchy

**SU(2)**

**SO(3)**

**S(3)<sub>L</sub>  $\hat{=}$  S(3)<sub>R</sub>**

Branco et al

**U(1)**

**S(3)**

**Nothing**



# Natural Models with Symmetry

**SU(5)  $\hat{a}$  U(1)<sub>Q</sub>**

Altarelli, Feruglio

$$Q_i^{\bar{5}} = Q_i^{(L,D^c)} = (3; 0; 0) \quad Q_i^{10} = Q_i^{(Q,U^c,E^c)} = (3; 2; 0) \quad Q_i^1 = Q_i^{(+9)} = (1; 1; 0)$$

$$m_{LR}^{\nu} = \begin{pmatrix} \lambda^4 & \lambda^2 & \lambda^3 \\ \lambda & \lambda & 1 \\ \lambda & \lambda & 1 \end{pmatrix} \quad M_{RR} = \begin{pmatrix} \lambda^2 & 1 & \lambda \\ 1 & \lambda^2 & \lambda \\ \lambda & \lambda & 1 \end{pmatrix} M \quad \left[ m_{LR} = \begin{pmatrix} a' & a & d' \\ b' & b & e \\ c' & c & f \end{pmatrix} \right]$$

$$m_{LR}^D = \begin{pmatrix} \lambda^6 & \lambda^3 & \lambda^3 \\ \lambda^5 & \lambda^2 & \lambda^2 \\ \lambda^3 & 1 & 1 \end{pmatrix} \quad m_{LR}^U = \begin{pmatrix} \lambda^6 & \lambda^5 & \lambda^3 \\ \lambda^5 & \lambda^4 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix}$$

This model has single right-handed neutrino dominance and hence a natural hierarchy.

SMA MSW is predicted. In general many such models predict SMA MSW.

Quarks diagonalised by small left-handed rotations

$$SU(4)_{PS} \times SU(2)_L \times SU(2)_R \times U(1)_Q$$

SFK, Oliveira

$$F_{L,R}^i = \begin{pmatrix} u & u & u & \nu \\ d & d & d & e^- \end{pmatrix}_{L,R}^i \quad m_{LR} = \begin{pmatrix} E^5 & E^3 & E \\ E^4 & E^2 & 1 \\ E^4 & E^2 & 1 \end{pmatrix} \quad M_{RR} = \begin{pmatrix} E^8 & E^6 & E^4 \\ E^6 & E^4 & E^2 \\ E^4 & E^2 & 1 \end{pmatrix} M \quad \begin{matrix} Q_i^F = (1; 0; 0) \\ Q_i^{F^c} = (4; 2; 0) \end{matrix}$$

$$m_{LR}^U = \begin{pmatrix} \delta^3 \varepsilon^3 & \delta^2 \varepsilon^3 & \delta^2 \varepsilon \\ \delta^3 \varepsilon^4 & \delta^2 \varepsilon^2 & \delta^3 1 \\ \delta^3 \varepsilon^4 & \delta^2 \varepsilon^2 & 1 \end{pmatrix} \quad m_{LR}^D = \begin{pmatrix} \delta \varepsilon^5 & \delta^2 \varepsilon^3 & \delta^2 \varepsilon \\ \delta \varepsilon^4 & \delta \varepsilon^2 & \delta^2 1 \\ \delta \varepsilon^4 & \delta \varepsilon^2 & 1 \end{pmatrix} \quad m_{LR}^E = \begin{pmatrix} \delta \varepsilon^3 & \delta \varepsilon^3 & \delta \varepsilon \\ \delta \varepsilon^4 & \delta \varepsilon^2 & \delta^2 1 \\ \delta \varepsilon^4 & \delta \varepsilon^2 & 1 \end{pmatrix} \quad m_{LR}^N = \begin{pmatrix} \delta^3 \varepsilon^3 & \delta \varepsilon^3 & \delta \varepsilon \\ \delta^3 \varepsilon^4 & \delta^2 \varepsilon^2 & \delta 1 \\ \delta^3 \varepsilon^4 & \delta^2 \varepsilon^2 & 1 \end{pmatrix}$$

•Gauged B-L predicts three right-handed neutrinos

$$m_{LR} = \begin{pmatrix} a' & a & d \\ b' & b & e \\ c' & c & f \end{pmatrix}$$

•Heaviest right-handed neutrino dominates, LMA MSW predicted

•Third family unification with fermion masses from group theory delta's

•Gives acceptable leptogenesis (Hirsch,SFK – Yanagida talk)

• SUSY Pati-Salam appears in type I string constructions

Everett, Kane, SFK, Rigolin, Lian-Tao, Wang



Symmetric/antisymmetric mass matrices with universal structure

$$m_{LR}^U = \begin{pmatrix} \varepsilon^8 & \lambda_\nu \varepsilon^3 & \lambda_\nu \varepsilon^3 \\ -\lambda_\nu \varepsilon^3 & \varepsilon^2 & \varepsilon^2 \\ -\lambda_\nu \varepsilon^3 & \varepsilon^2 & 1 \end{pmatrix} \quad m_{LR}^D = \begin{pmatrix} \bar{\varepsilon}^8 & \lambda_D \bar{\varepsilon}^3 & \lambda_D \bar{\varepsilon}^3 \\ -\lambda_D \bar{\varepsilon}^3 & \bar{\varepsilon}^2 & \bar{\varepsilon}^2 \\ -\lambda_D \bar{\varepsilon}^3 & \bar{\varepsilon}^2 & 1 \end{pmatrix} \quad m_{LR}^E = \begin{pmatrix} \bar{\varepsilon}^8 & \lambda_E \bar{\varepsilon}^3 & \lambda_E \bar{\varepsilon}^3 \\ -\lambda_E \bar{\varepsilon}^3 & 3\bar{\varepsilon}^2 & 3\bar{\varepsilon}^2 \\ -\lambda_E \bar{\varepsilon}^3 & 3\bar{\varepsilon}^2 & 1 \end{pmatrix}$$

$\varepsilon^2 \square \varepsilon = 0.05 \square \bar{\varepsilon} = 0.15$

$$m_{LR}^V = \begin{pmatrix} \varepsilon^8 & \lambda_\nu \varepsilon^3 & \lambda_\nu \varepsilon^3 \\ -\lambda_\nu \varepsilon^3 & a_\nu \varepsilon^2 & a_\nu \varepsilon^2 + \lambda'_\nu \varepsilon^3 \\ -\lambda_\nu \varepsilon^3 & a_\nu \varepsilon^2 + \lambda'_\nu \varepsilon^3 & 1 \end{pmatrix} \quad M_{RR} = \begin{pmatrix} \varepsilon_\nu^4 & 0 & \varepsilon_\nu^2 \\ 0 & \varepsilon_\nu^3 & \varepsilon_\nu^3 \\ \varepsilon_\nu^2 & \varepsilon_\nu^3 & 1 \end{pmatrix} M \quad \left[ m_{LR} = \begin{pmatrix} d & a & a' \\ e & b & b' \\ f & c & c' \end{pmatrix} \right]$$

Lightest right-handed neutrino dominates, allows a universal form for mass matrices, predicts LOW solar solution

$$\frac{m_2}{m_3} \square 10^{-3}, \tan \theta_{23} = 1.0, \tan \theta_{12} \square 1, \theta_{13} \square 0.03$$

# Charged lepton mixing angles

- Charged lepton mixing angles in general contribute to the MNS mixing angles in addition to the **neutrino mixing angles** (including phases SFK hep-ph/0204360 )
- Models exist in which the **atmospheric angle** originates entirely in the **charged lepton** sector  $SO(10) \times U(1) \times Z_2 \times Z_2$  - predicts small  $U_{e3}$  and small CPV Albright, Barr
- **Inverted hierarchy models** have been proposed which are consistent **LMA** with due to the **charged lepton** contributions e.g. Ohlsson and Seidl (poster session)

# SUSY and LFV

If SUSY is present then neutrino masses inevitably lead to lepton flavour violation due to radiatively generated off-diagonal slepton masses

$$\delta m_L^2 \approx -\frac{1}{16\pi^2 v^2} \ln\left(\frac{M_{GUT}^2}{M^2}\right) (3m_0^2 + A^2) [m_{LR}^\nu m_{LR}^{\nu\dagger}]$$

Borzumati, Masiero; Hisano, Moroi, Tobe, Yamaguchi; SFK, Oliveira, Casas, Ibarra; Lavignac, Masina, Savoy, many others...

e.g. 
$$m_{LR}^\nu = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 1 \end{pmatrix} \longrightarrow m_{LR}^\nu m_{LR}^{\nu\dagger} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix}$$

This will lead to large  $\tau \rightarrow \mu \gamma$  lepton flavour violation and  $\mu \rightarrow e \gamma$

Blazek, SFK

## Final Remarks

- Old models predicted **small 23 angle** – **excluded after Super-K!**
- Recent models predicted **small 12 angle** – **excluded after SNO!**
- Some current models predict **small 13 angle** – go measure!
- **Naturalness + LMA** predicts  $U_{e3} \sim 0.1$
- **Naturalness** also predicts **small neutrinoless double beta decay** – go measure!
- **See-saw** looks good, with **right-handed neutrino dominance** it looks better – **large 23,12 angles** from simple relations
- Ideas fit with **SUSY, GUTs, family symmetry**; most models prefer **normal hierarchy** not inverted – go measure!
- **CP violation** is **expected** in lepton sector and **required** for **leptogenesis**; some models predict **small CPV** – go measure!