



Atmospheric neutrino fluxes

Status of the calculations

based on work with M. Honda

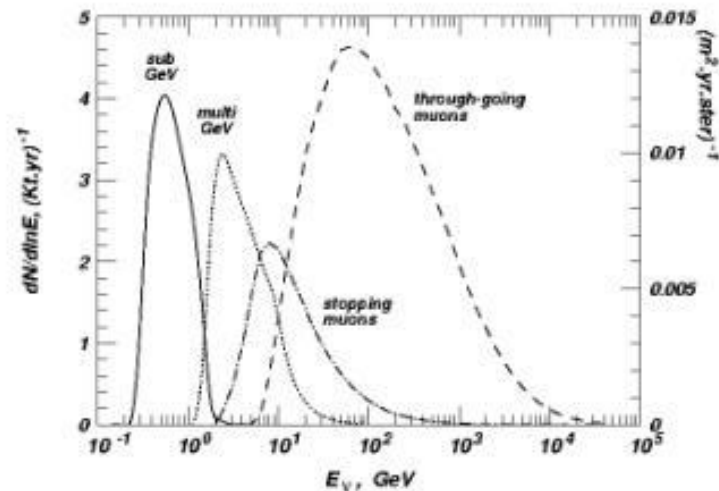
May 26, 2002

Thomas K. Gaisser



Outline

- Overview of calculations
- $E_\nu < 10$ GeV (contained)
 - Geomagnetic effects
 - Response functions
 - Primary spectrum
 - Hadronic interactions
 - Comparison of calculations
 - 3 D effects
- High energy
 - Importance of kaons
 - Dependence of ν_μ / ν_e ratio on energy and angle
 - Calibration of ν - telescopes
 - Prompt background
- Summary



Distribution of E_ν for 4 classes of events

Overview of the calculation

$$\Phi_{\nu_i} = \text{primary flux} \otimes \text{cutoffs} \otimes \text{Yield}$$

$$= \underbrace{\Phi_P \otimes R_P \otimes Y_{P \rightarrow \nu_i}}_{\substack{\uparrow \\ \text{protons}}} + \sum_A \left\{ \underbrace{\Phi_A \otimes R_A \otimes Y_{A \rightarrow \nu_i}}_{\substack{\uparrow \\ \text{nuclei}}} \right\}$$

$$\text{Yield: } p \rightarrow \pi^\pm (K^\pm) \rightarrow \mu^\pm + \nu_\mu (\bar{\nu}_\mu) \\ \searrow \bar{\nu}_\mu (\nu_\mu) + \nu_e (\bar{\nu}_e) + e^\pm$$

$$[\text{Signal} \sim \Phi_{\nu_i} \otimes \sigma_{\nu_i}]$$

Calculations of atmospheric ν

o 1 dimensional

- * Bartol flux: V. Agrawal et al., Phys. Rev. D53 (1996) 1314
- * HKKM: M. Honda et al.: Phys. Rev. D52 (1995) 4985
- TKG et al., Hamburg ICRC (2001) p. 1381
- HKKM, Hamburg ICRC (2001) p. 1162
- Fiorentini, Naumov, Villante, Phys. Lett. B510 (2001) 173
- * Used in analysis of Super-K

o 3 dimensional

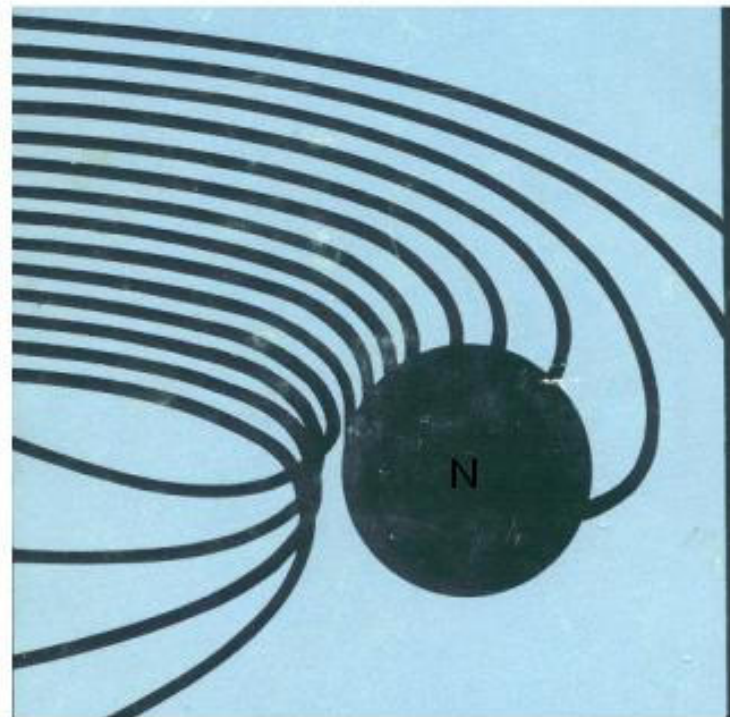
- G. Battistoni et al., Astropart. Phys. 12 (2000) 315 -- first 3D calculation
- Y. Tserkovnyak et al., Hamburg ICRC (2001) p. 1196
- J. Wentz, Hamburg, p. 1167 (complete but preliminary)
- Y. Liu et al., Hamburg, p. 1033 (low result ?)
- V. Plyaskin, Phys. Lett. B516 (2001) 213 (just revised)

See poster session

Geomagnetic cutoffs & E-W effect as a consistency check

Picture shows:

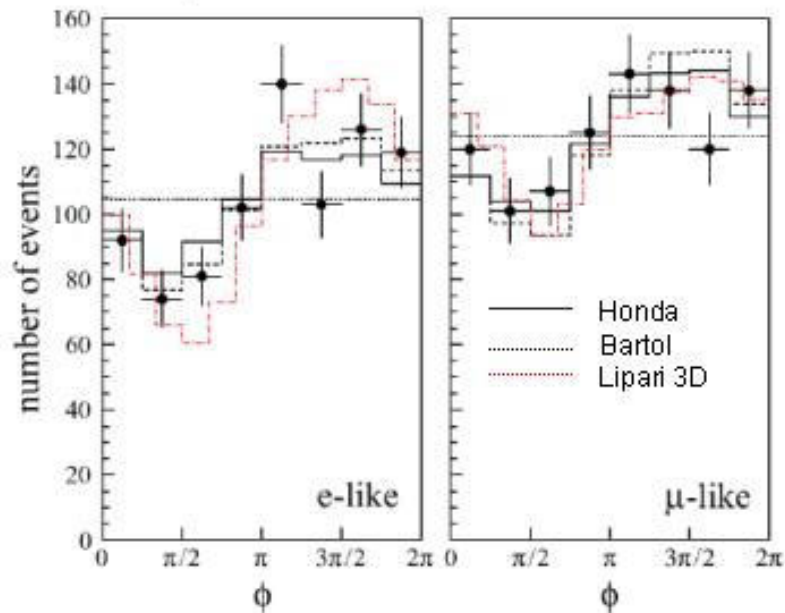
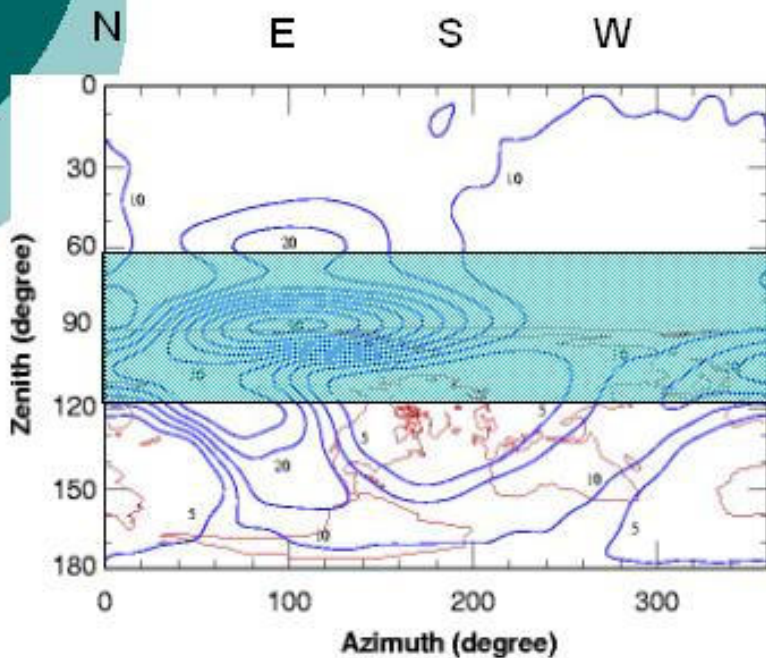
- 20 GeV protons in geomagnetic equatorial plane
 - arrive from West and from near the vertical
 - but not from East
- Comparison to data:
- provides consistency test of data & analysis



From cover of "*Cosmic Rays*" by A.M. Hillas (1972)

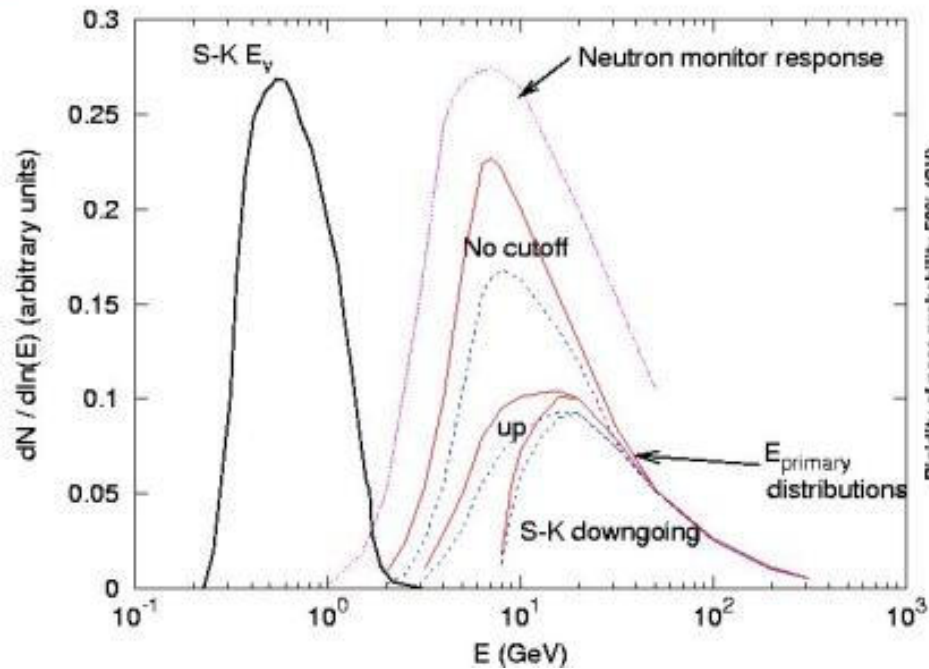
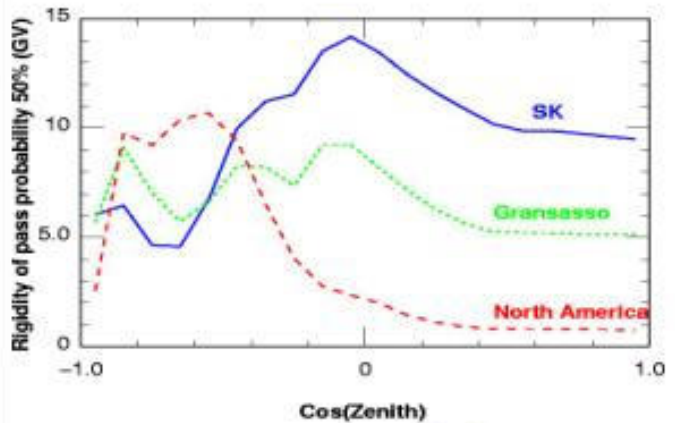
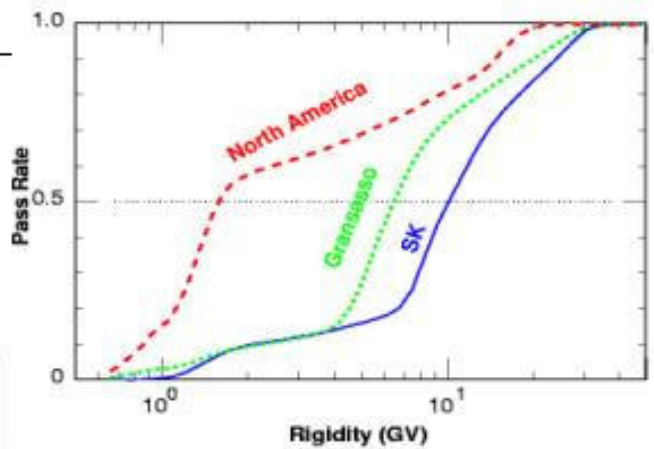
Cutoffs at Super-K

ν flux, $0.4 < E_\nu < 3 \text{ GeV}$
 $-0.5 < \cos(\theta) < 0.5$
 measured by Super-K and compared to 3 calculations



Response functions, sub-GeV ν

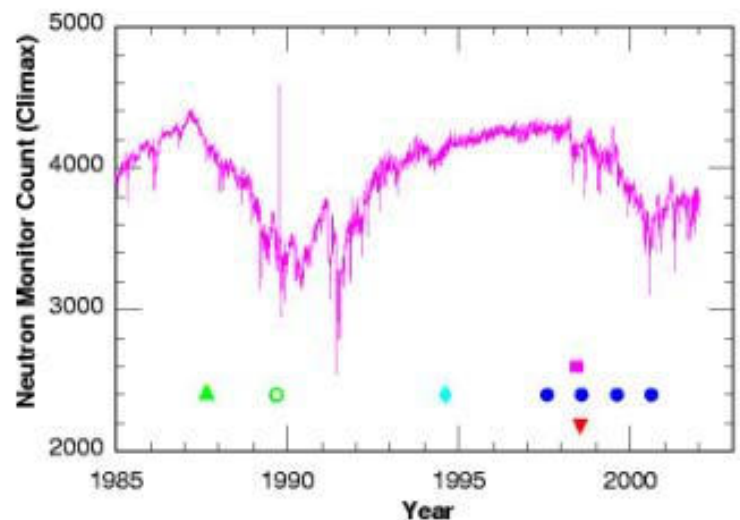
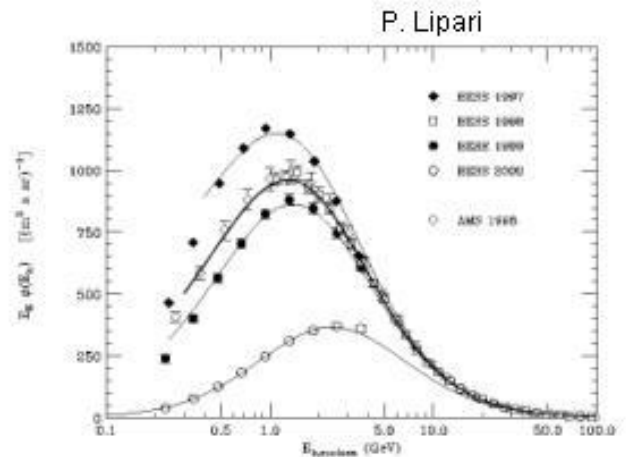
- $E_{\text{primary}} \sim (10) \times E_{\nu}$
- Up/down ratio opposite at Kamioka vs Soudan/SNO



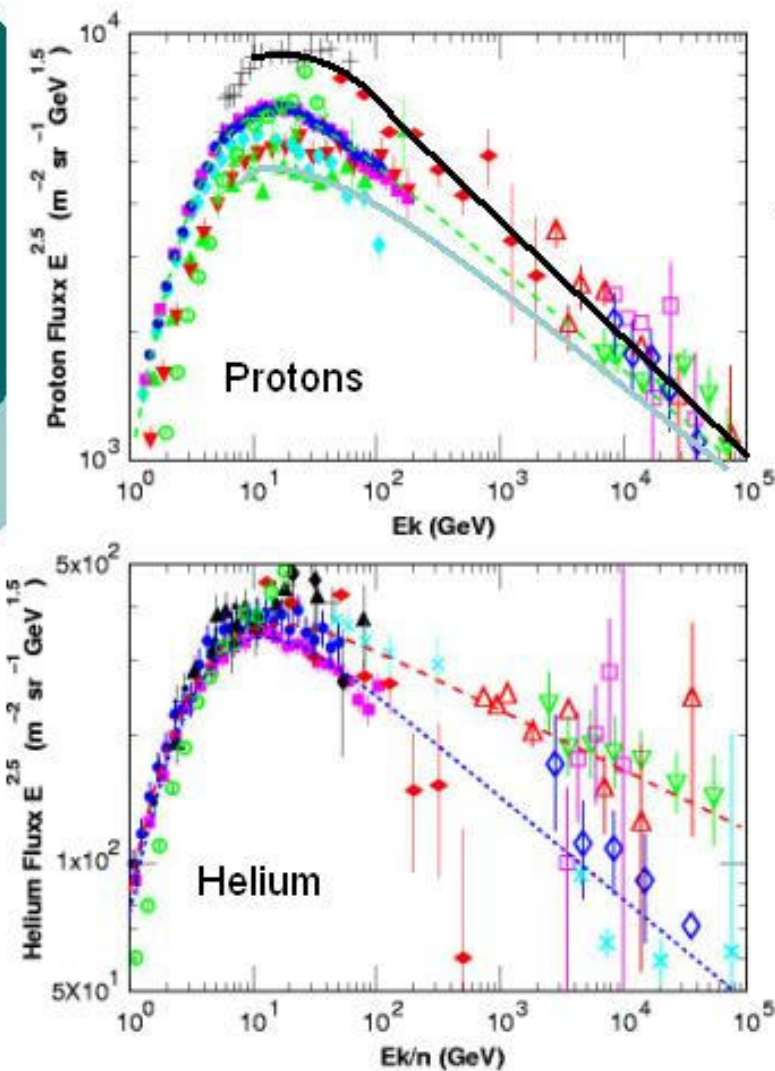
Solar modulation

Neutron monitors

- well correlated with cosmic-ray flux
- provide continuous monitor
- response like sub-GeV neutrinos with no cutoff
- SNO, Soudan: <20% variation
- Kamioka: <5% (10 %) for downward (upward)



Primary spectrum



- Largest source of overall uncertainty
 - 1995: experiments differ by 50% (see lines)
 - Present: AMS, BESS within 5% for protons
 - discrepancy for He larger, but He only 20% of nucleon flux
 - overall range (neglect highest and lowest):
 - +/- 15%, $E < 100$ GeV
 - +/- 30%, $E \sim \text{TeV}$

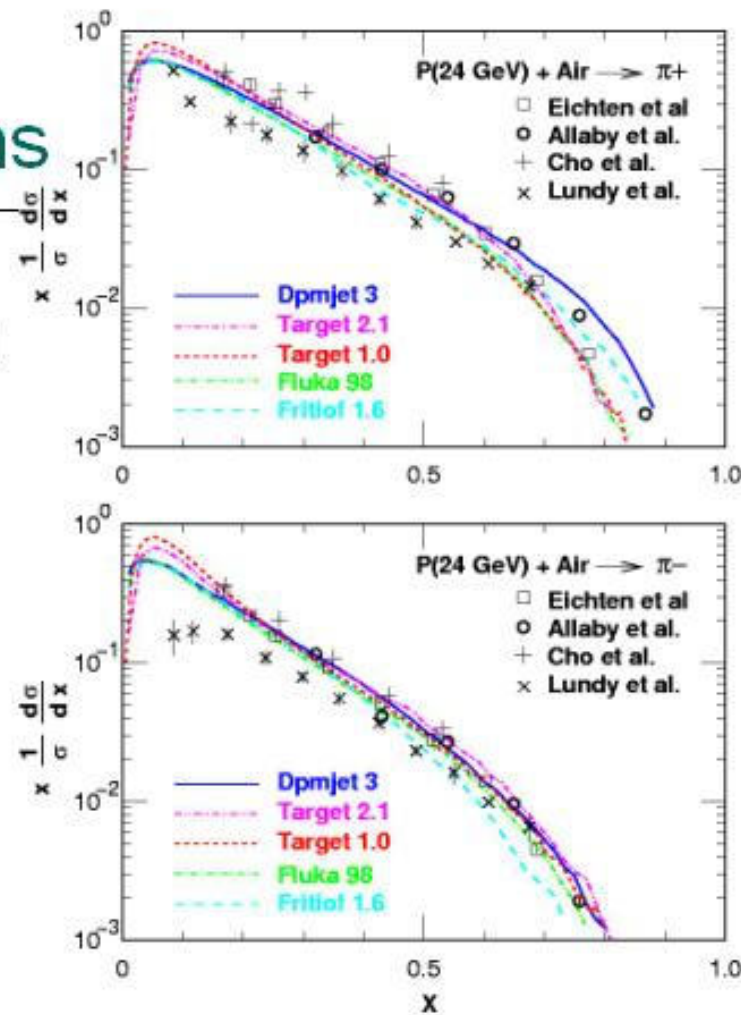
Hadronic interactions

ν -yields depend most on treatment of π production

○ Compare 3 calculations:

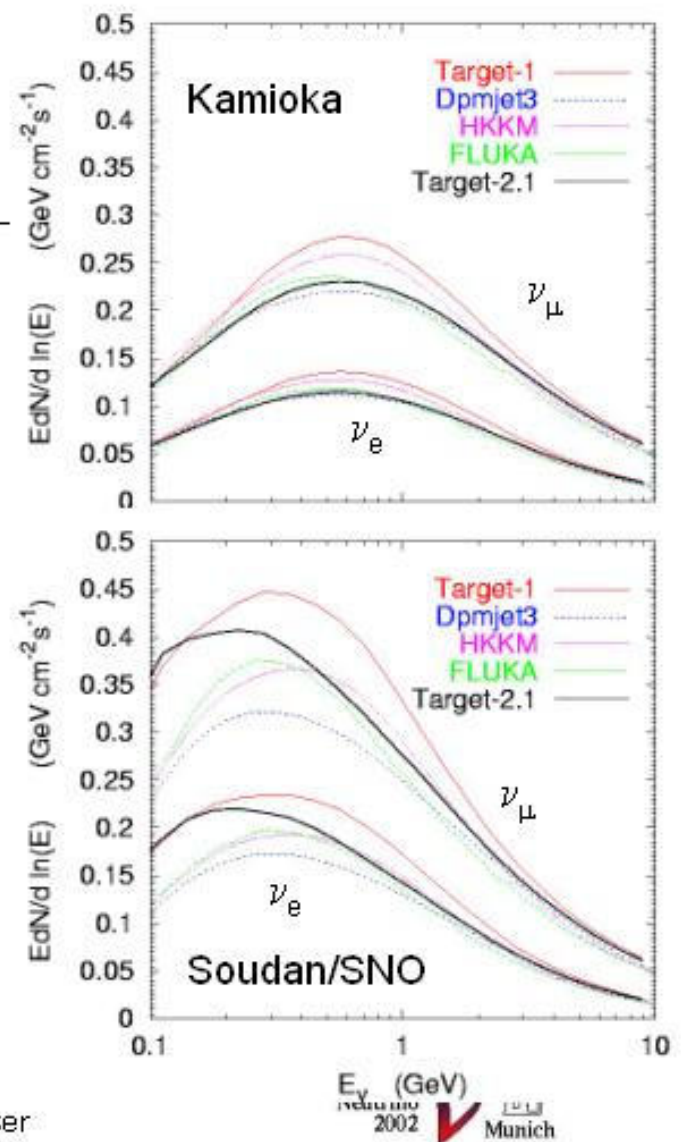
- Bartol (Target)
- Honda et al. (1995: Fritiof; present: Dpmjet3)
- Battistoni et al. (Fluka)

○ Uncertainties from interactions $\sim \pm 15\%$



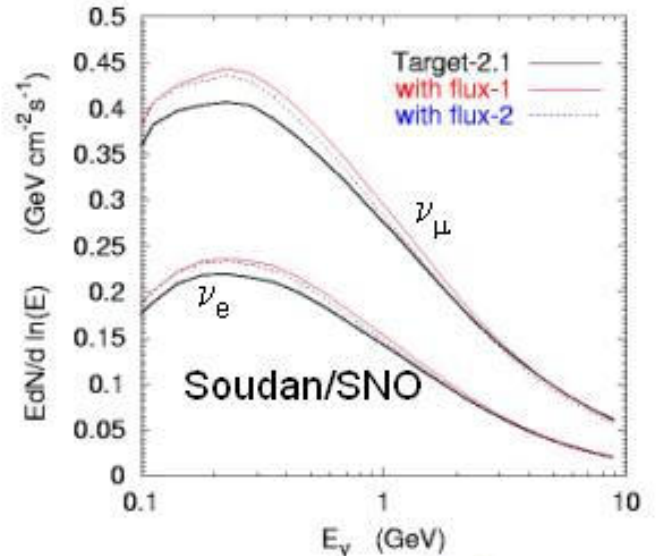
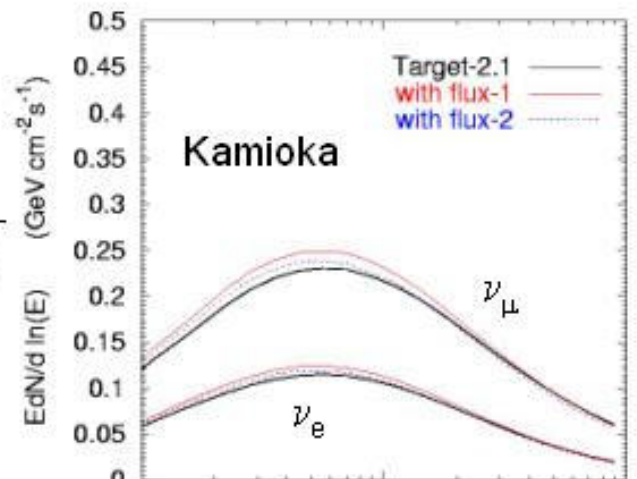
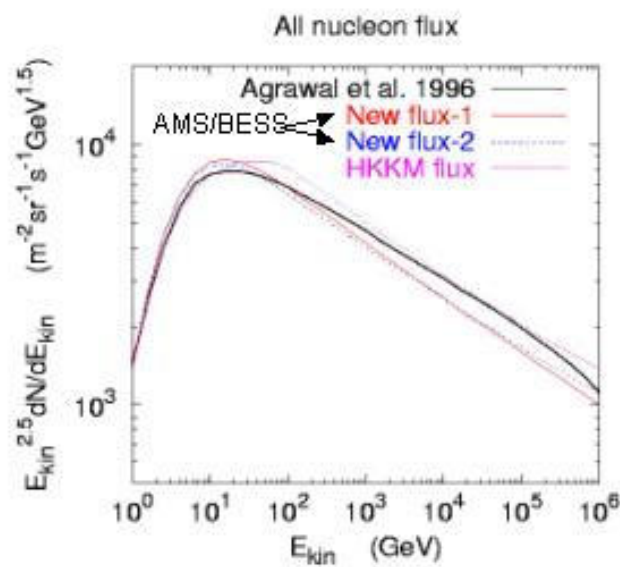
Comparison (using same flux)

- New calculations lower than old, e.g.:
 - Target-2.1/ -1
 - Dpmjet3 / HKKM
 - 3 new calculations agree at Kamioka but not for Soudan/SNO
- Larger uncertainty at high geomagnetic λ
 - Interactions < 10 GeV are important



Comparison (using same event generator)

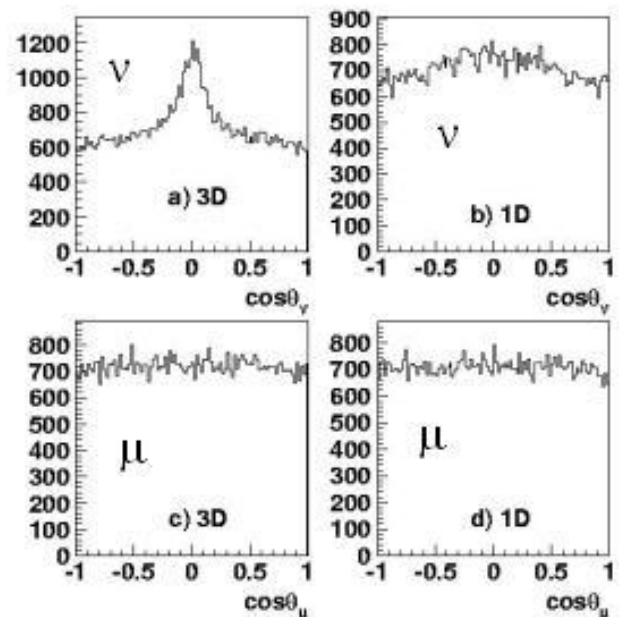
- sub GeV flux increases slightly using new flux from AMS & BESS
 - (starting from Agrawal)



3-dimensional effects

Characteristic 3D feature:

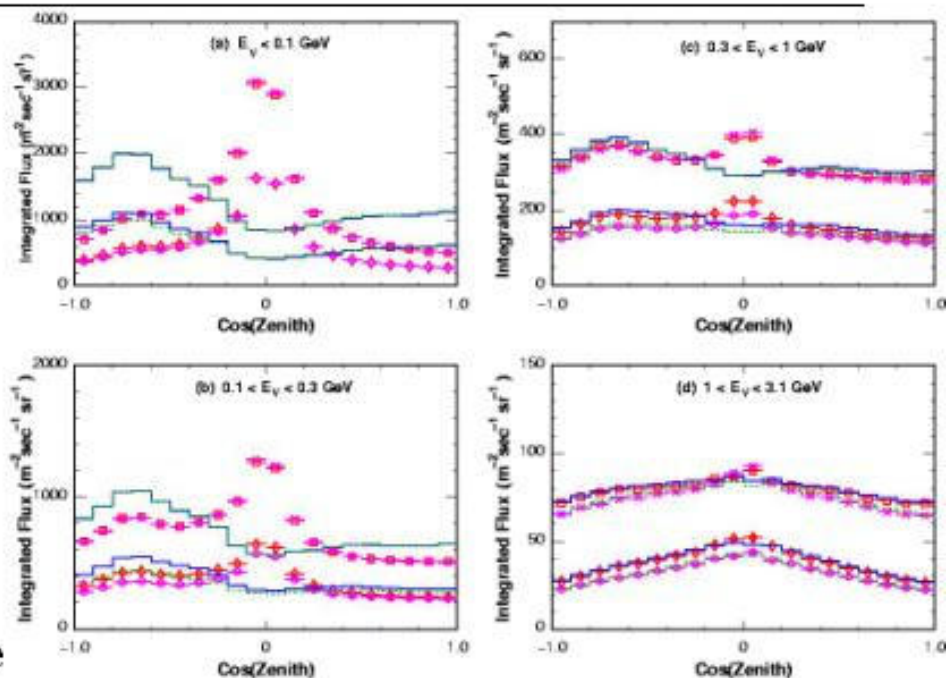
- excess of ν near horizon
 - shown in top, left panel
 - lower panels show directions of μ and e
 - cannot see 3D effect directly; however:
- Horizontal excess is associated with a change in path-length distribution



From Battistoni et al., *Astropart. Phys.* 12 (2000) 315

3-dimensional effects

- 3D vs 1D comparison at Kamioka (3D: pink; 1D: blue/green)
- Dip near horizon (1D):
 - due to high local horizontal cutoffs
- Size of effect:
 - $p_T(\pi)/E_\pi$ sets scale
 - $\sim 0.1 \text{ GeV} / E_\nu$
 - therefore negligible for $E_\nu > 1 \text{ GeV}$



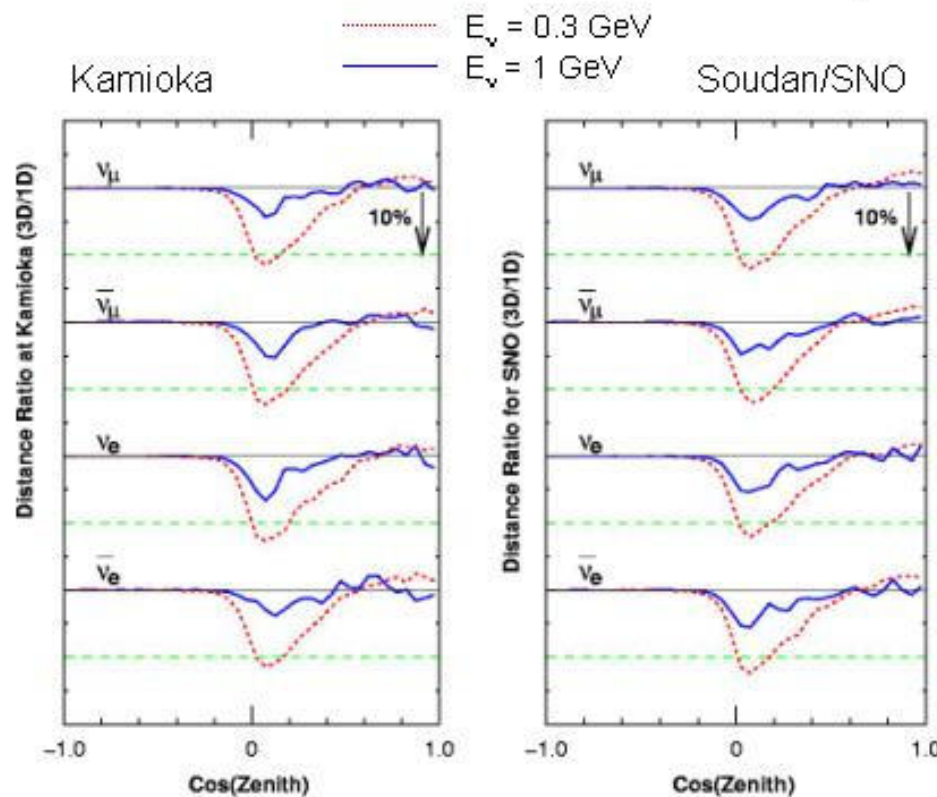
from M. Honda et al., Phys. Rev. D64 (2001) 053001

Path-length dependence

Path length shorter near horizon on average in 3D case

- $\cos(\theta) > 0$ only,
- phase space favors
- nearby interaction scattering to large angle
- 5-10% ($E_\nu \sim 0.3-1$ GeV)

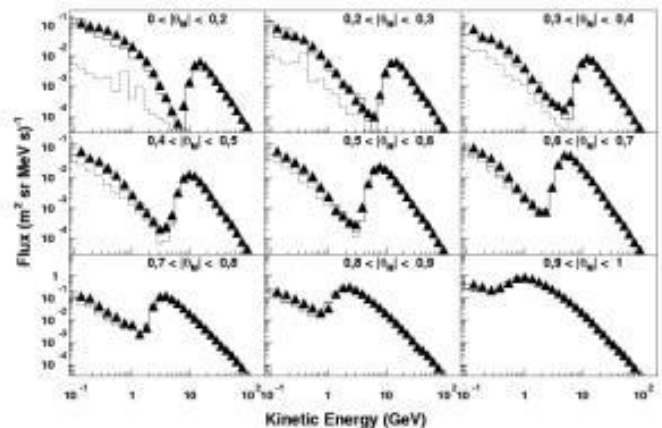
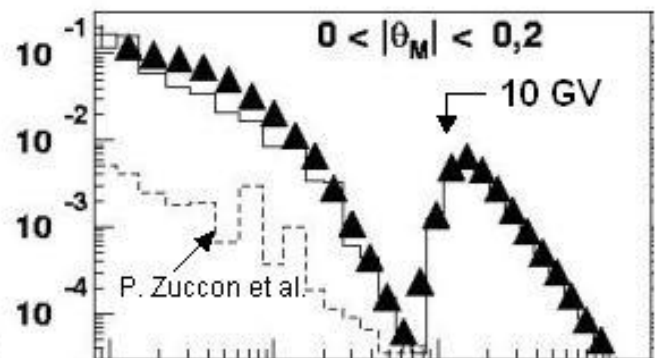
○ Effect not yet included in Super-K analysis



Is the second spectrum important for atmospheric ν ?

Cosmic-ray albedo beautifully measured by AMS at 380 km
Biggest effect near geomagnetic equator (vertical cutoff ~ 10 GV)

- Albedo: sub-cutoff protons from grazing interactions of cosmic rays $>$ cutoff (S.B. Treiman, 1953)
- trapped for several cycles
- Re-entry rate (dashed line) is lower than rate at 380 km

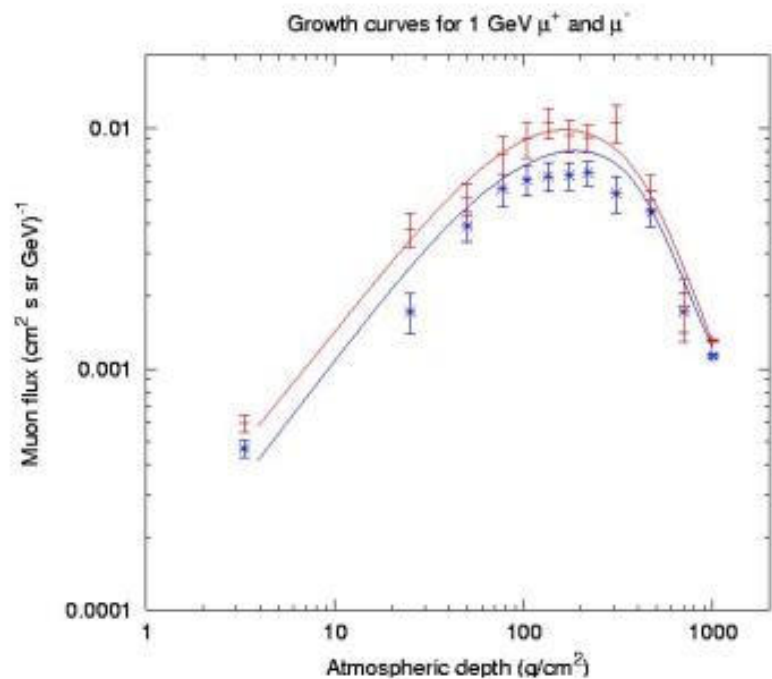


Comparison to muons

μ^+ , μ^- vs atmospheric depth

newer measurements lower by 10-15% than earlier

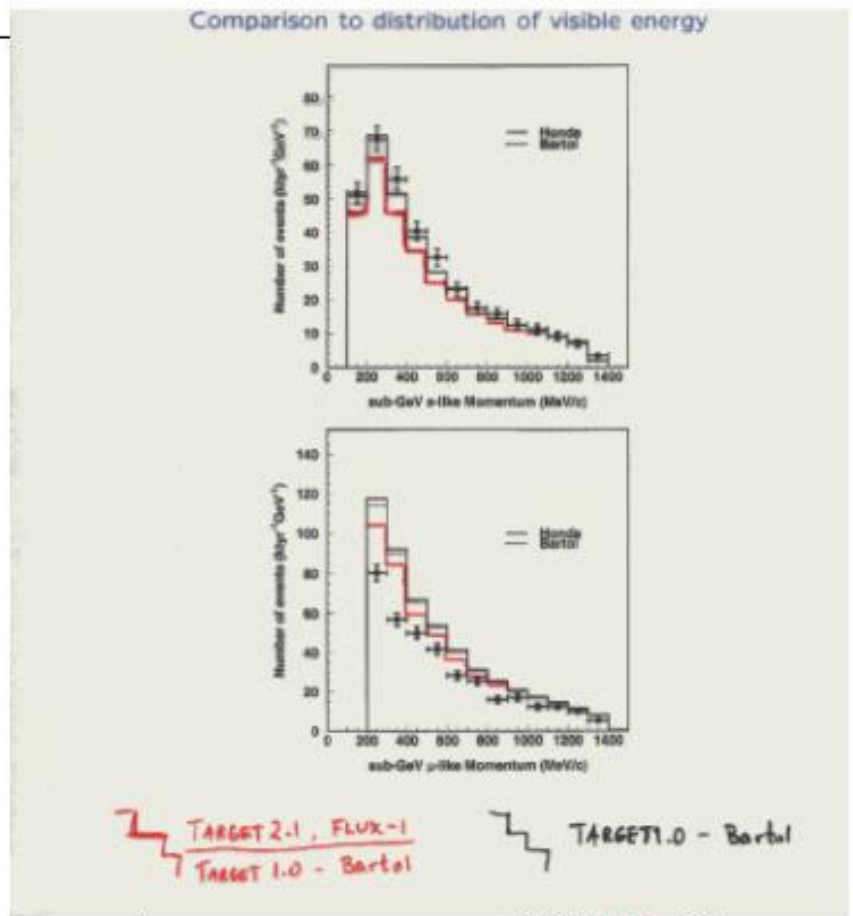
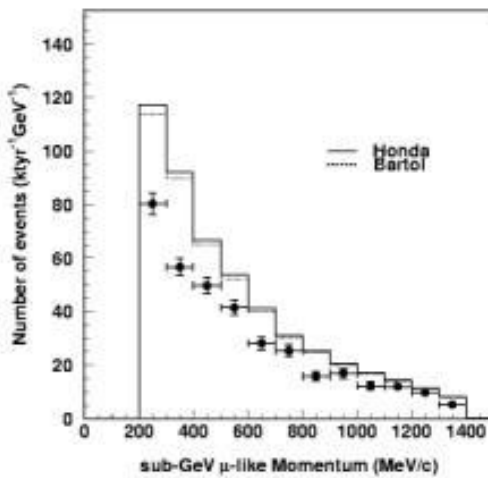
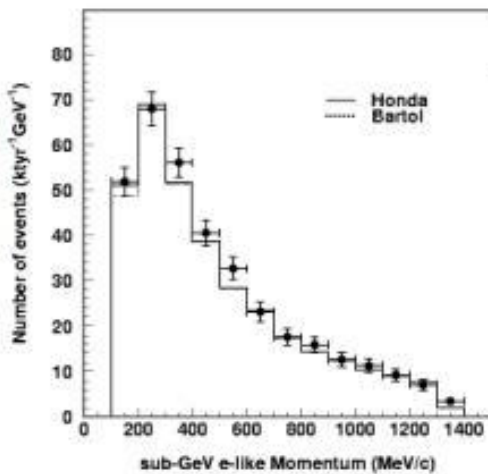
- comparison not completely internally consistent:
 - ascent vs float
 - balloons rise rapidly
 - fraction detected is small compared to μ decayed to ν



Data from CAPRICE, 3D calculation of Engel et al. (2001)

Absolute comparison

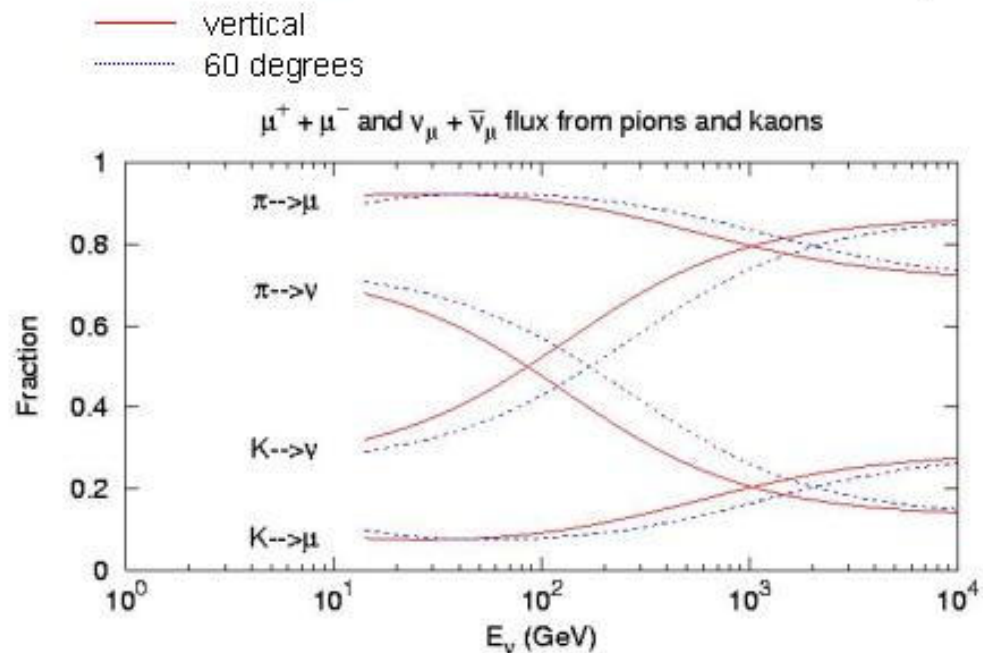
— shows new calculation using new flux



High energy (e.g. $\nu_\mu \rightarrow \mu$)

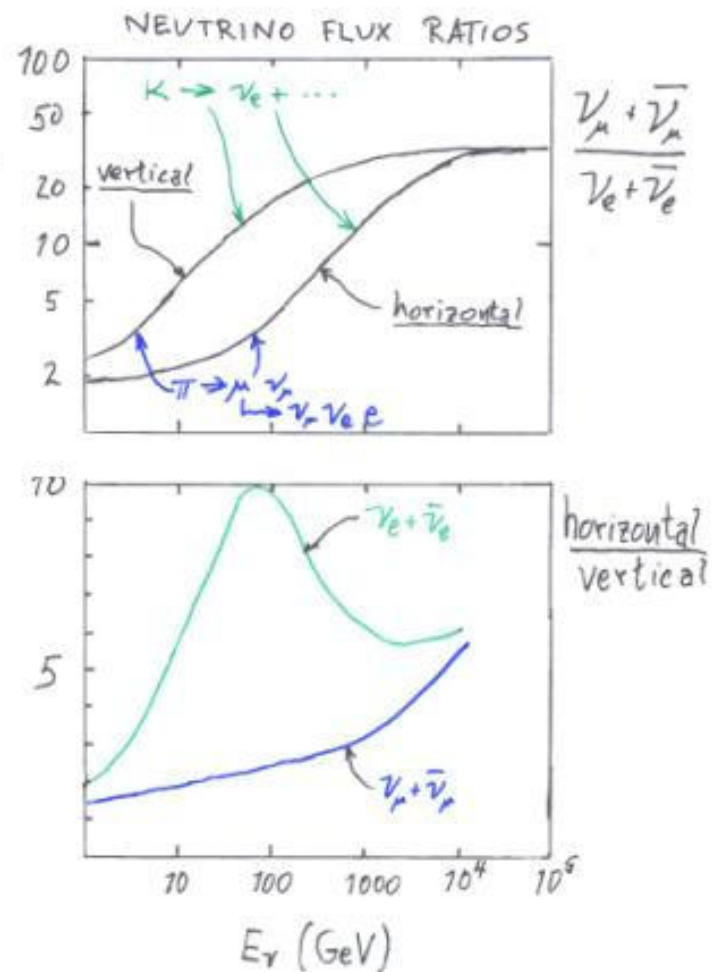
Importance of kaons

- main source of $\nu > 100$ GeV
- $p \rightarrow K^+ + \Lambda$ important
- Charmed analog may be important for prompt leptons



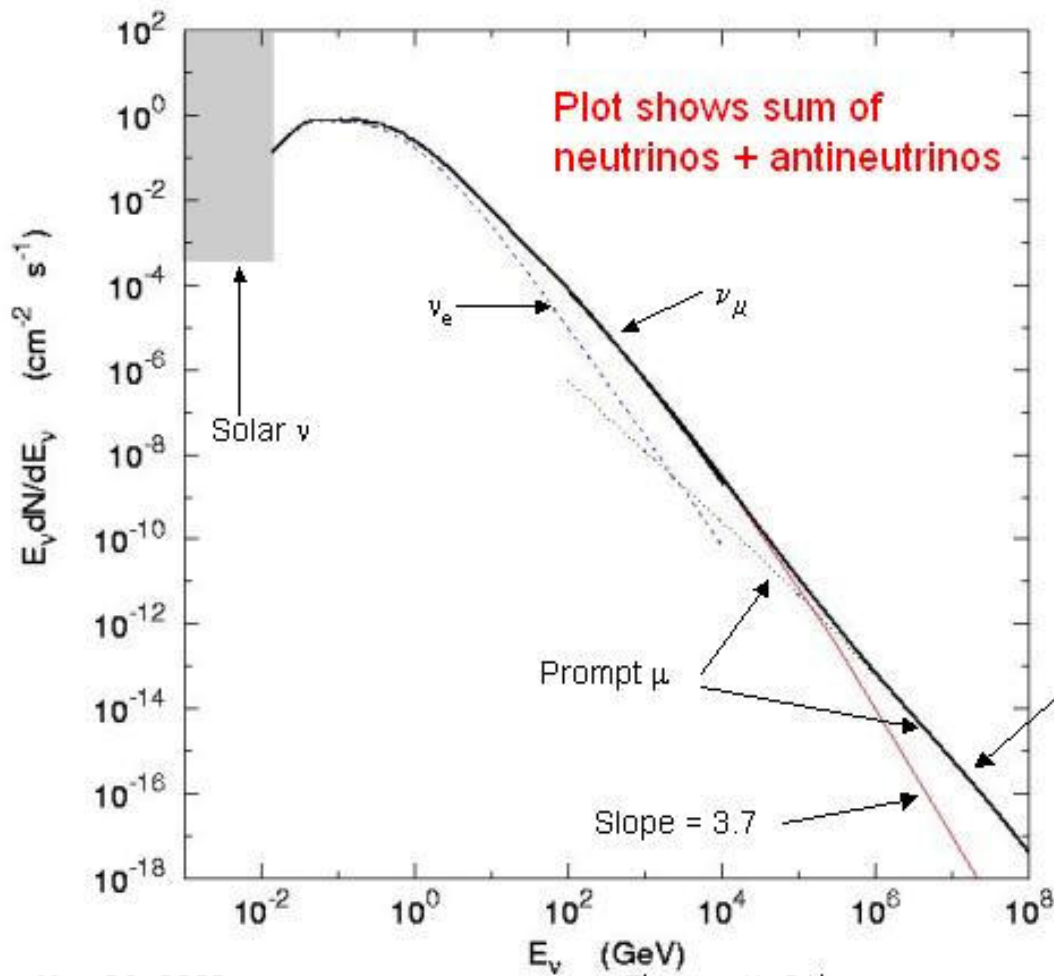
Transition to high energy

- ν_μ / ν_e ratio changes with energy, angle
- K to $\pi^0 e \nu_e$ becomes important
- Calibration of neutrino telescopes
 - Example*** of ν_μ / ν_e
 - flavor ratio
 - angular dependence



***Note: plots show maximal effect:
horizontal = 85 - 90 deg in plots

Global view of atmospheric ν spectrum



Plot shows sum of neutrinos + antineutrinos

Uncertainty in level of charm a potential problem for finding diffuse neutrinos

Slope = 2.7

Slope = 3.7

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Uncertainties & absolute normalization

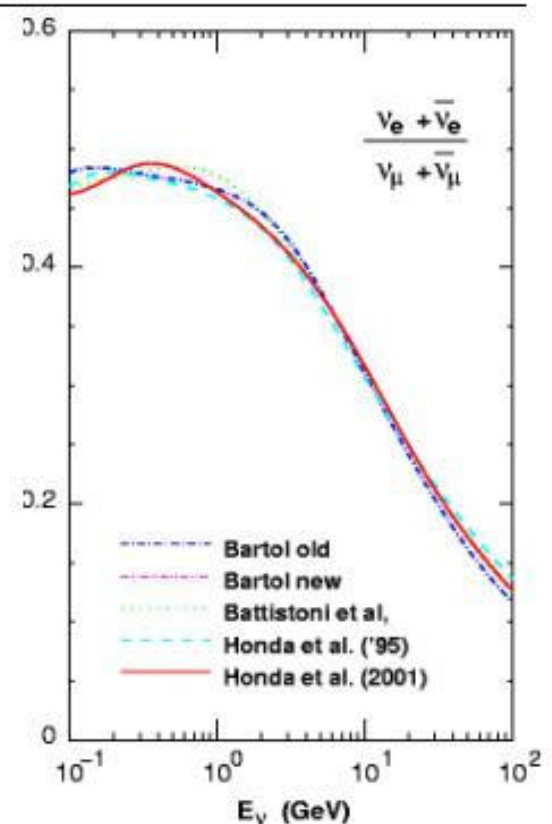
Primary spectrum

- +/- 10% up to 100 GeV (using AMS, BESS only)
- +/- 20% below 100 GeV, +/- 30% ~TeV (all data)
- Note lack of measurements in TeV range
- Hadronic interactions
 - +/- 15% below 100 GeV
 - 1D o.k. for comparing calculations and for tracking effects of uncertainties in input
 - Other sources at per cent level
 - (local terrain, seasonal variations, anisotropy outside heliosphere)
 - New measurements: HARP, E907
- Uncertainty in σ_{ν}

Summary (low energy)

Evidence for ν oscillation uses ratios:

- Contained events
 - $(\nu_e / \nu_\mu)_{\text{data}} / (\nu_e / \nu_\mu)_{\text{calculated}}$
 - upward / downward
- Neutrino-induced upward muons
 - stopping / through-going
 - vertical / horizontal
- Broad response functions minimize dependence on slope of primary spectrum
- Uncertainties tend to cancel in comparison of ratios
 - (plots here show ratio at production)
- Observation of geomagnetic effects confirms experiment & interpretation
- Path length dependence of 3D a small effect but needs to be included in analysis



Summary (high energy)

- Kaon decays dominate atmospheric ν_{μ} ν_e above 100 GeV
- Well-understood atmospheric ν_{μ} ν_e useful for calibration of neutrino telescopes
- Uncertainty in level of prompt neutrinos (from charm decay) will limit search for diffuse astrophysical neutrinos