

Neutrino Oscillations Beyond Two Flavours

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3 neutrino flavours are known to exist – ν_e, ν_μ, ν_τ

If LSND is right \Rightarrow light $\nu_{sterile}$

But:

Until recently – All analyses in 2-flavour framework

Reasons:

(1) Simplicity

(2) Hierarchy of Δm^2

2f analyses of solar and atm. ν data a good first approximation
– a consequence of smallness of $|U_{e3}|$ and $\Delta m_{\odot}^2 \ll \Delta m_{atm}^2$

These days:

- The data more accurate
- LMA favoured – the hierarchy of Δm^2 may be not too strong
- Effects specific to ≥ 3 – flavour ν oscillations widely discussed

3f (4f) analyses becoming a must!

Some theoretical issues pertaining to ≥ 3 – flavour neutrino oscillations

- 3-flavour oscillations in matter – approximate analytic descriptions
- Matter effects in $\nu_\mu \leftrightarrow \nu_\tau$ oscillations
- 3f effects in atmospheric, solar, and reactor ν oscillations and in LBL experiments
- 3f effects in oscillations of supernova neutrinos
- \mathcal{CP} and \mathcal{T} in ν oscillations in vacuum
- \mathcal{CP} and \mathcal{T} in ν oscillations in matter
- The problem of U_{e3}
- 4f oscillations

Lepton mixing and neutrino oscillations in vacuum

$$\nu_\alpha = U_{\alpha i} \nu_i$$

ν_α – flavour eigenstates, ν_i – mass eigenstates

Transition probability:

$$P(\nu_\alpha \rightarrow \nu_\beta; t) = \left| \sum_i U_{\beta i} e^{-iE_i t} U_{\alpha i}^* \right|^2$$

Can be obtained from the evolution equation

$$i \frac{d}{dt} \begin{pmatrix} \nu_\alpha \\ \nu_\beta \\ \cdot \\ \cdot \\ \cdot \end{pmatrix} = U \begin{pmatrix} E_1 & & & & \\ & E_2 & & & \\ & & \cdot & & \\ & & & \cdot & \\ & & & & \cdot \end{pmatrix} U^\dagger \begin{pmatrix} \nu_\alpha \\ \nu_\beta \\ \cdot \\ \cdot \\ \cdot \end{pmatrix}$$

2-flavour case:

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$P(\nu_\alpha \rightarrow \nu_\beta; t) = \sin^2 2\theta \cdot \sin^2 \left(\frac{\Delta m_{ij}^2}{4E} L \right)$$

Neutrino oscillations in matter (3f)

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \left[U \begin{pmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{pmatrix} U^\dagger + \begin{pmatrix} V(t) & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

$$E_i = \sqrt{p^2 + m_i^2} \simeq p + \frac{m_i^2}{2p}; \quad t \simeq r$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_1} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_1} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$V(t) = [V(\nu_e)]_{CC} = \sqrt{2}G_F N_e(t)$$

$$[V(\nu_e)]_{NC} = [V(\nu_\mu)]_{NC} = [V(\nu_\tau)]_{NC} - \text{do not contribute}$$

But: Radiative corrections induce a tiny $\nu_\mu - \nu_\tau$ potential difference $\simeq 10^{-5} V$ – may be important for supernova neutrinos! (Botella, Lim & Marciano, 1987)

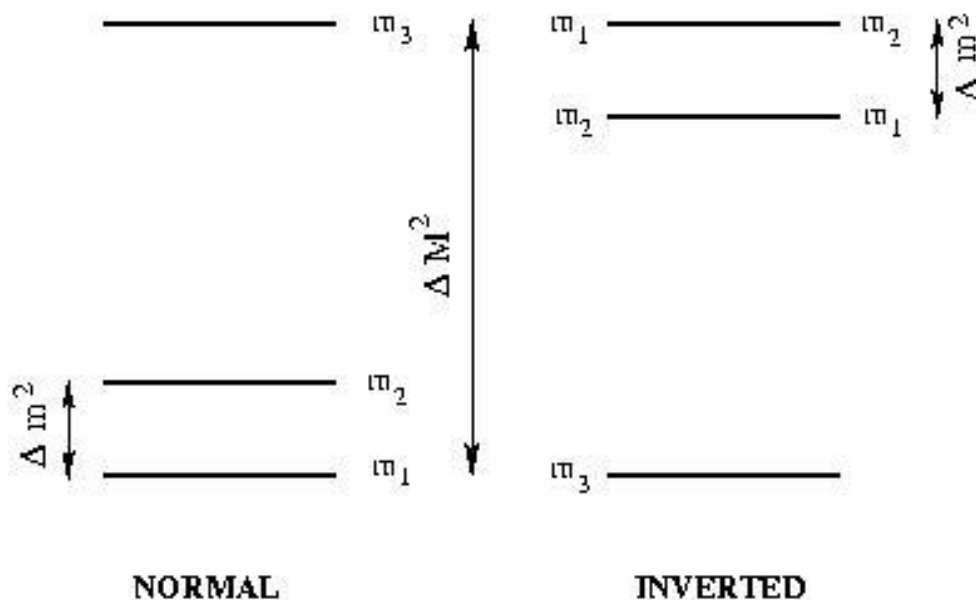
For constant-density matter closed form solutions can be found (Barger, Whisnant, Pakvasa & Phillips, 1980; Zaglauer & Schwartz, 1988; Ohlsson & Snellman, 1999; Xing, 2000; Kimura, Takamura & Yokomakura, 2002)

But: Expressions rather complicated and not easily tractable
 For a general $N_e \neq \text{const}$ no closed form solutions exist
 Approximate analytic solutions desirable

Two kinds of approximations, use

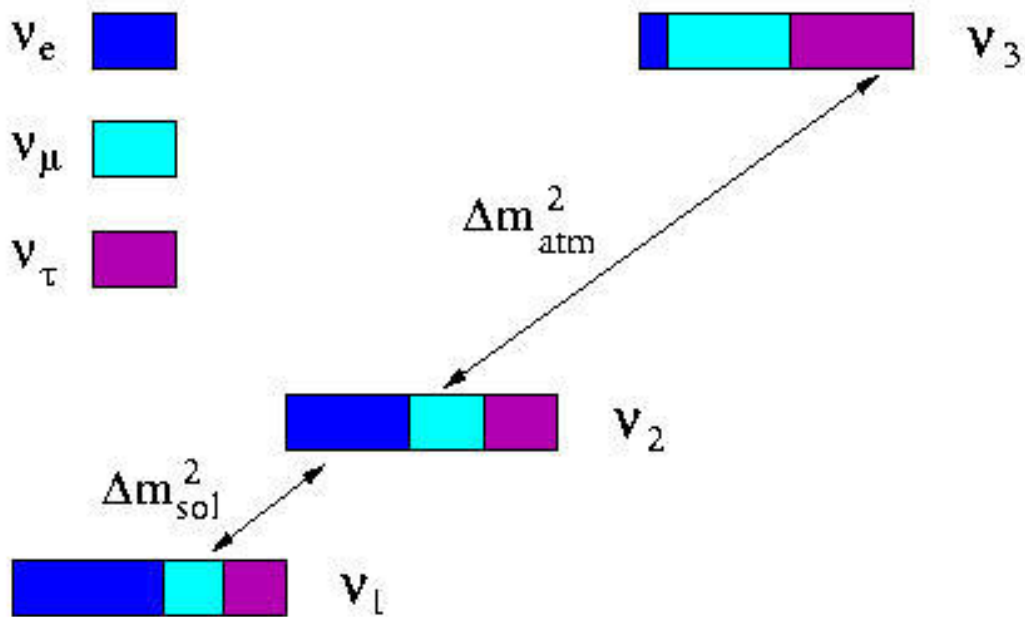
$$(1) \quad \frac{\Delta m_{\odot}^2}{\Delta m_{\text{atm}}^2} = \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \lesssim 0.1$$

$$(2) \quad |U_{e3}| = |\sin \theta_{13}| \lesssim 0.2 \quad (\text{CHOOZ})$$

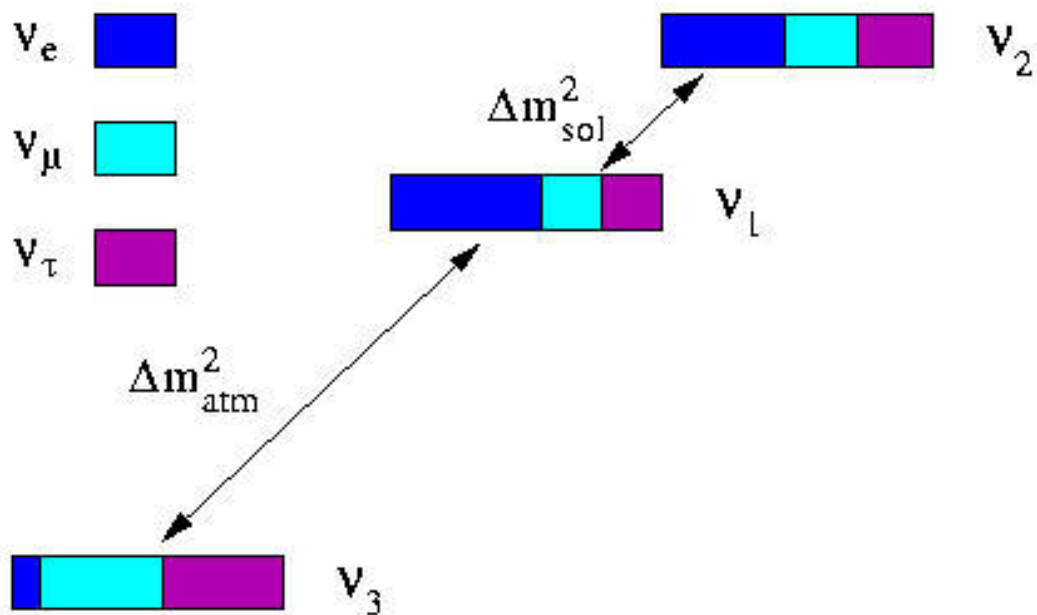


$\Delta m_{21}^2 = 0$ or $U_{13} = 0$ - effective 2f limits

Normal hierarchy:



Inverted hierarchy:



Constant-density matter

(a) Expansion in $\alpha \equiv \Delta m_{\odot}^2 / \Delta m_{\text{atm}}^2$ (Yasuda, 1999; Freund, Lindner, Petcov & Romanino, 1999; Freund, 2001; Freund, Huber & Lindner, 2001; Mocioiu & Shrock, 2001)

(b) Expansion in both α and $\sin \theta_{13}$ (Cervera *et al.*, 2000)

$$P(\nu_e \leftrightarrow \nu_\mu) \sim s_{23}^2 P_2(\Delta m_{31}^2, \theta_{13}) + c_{23}^2 P_2(\Delta m_{21}^2, \theta_{12}) \\ + \text{interference term}$$

Interf. term (linear in α and $\sin \theta_{13}$) – genuine 3-flavourness!

Matter of constant density – a good first approximation for LBL experiments (neutrinos traverse Earth's mantle). Not very useful for solar, atmospheric and supernova neutrinos

Different approach: matter with arbitrary density profile,
reduce the problem to an effective 2-flavour one
+ easily calculable 3f corrections

(a) $\alpha \ll 1$ (E.A., Dighe, Lipari & Smirnov, 1998)

(b) $|\sin \theta_{13}| \ll 1$ (Peres & Smirnov, 1999; E.A., Huber, Lindner & Ohlsson, 2001; Peres & Smirnov, 2002)

Matter with arbitrary density profile, adiabatic approximation
(Kuo & Pantaleone, 1987; Ohlsson & Snellman, 2001)

3f effects in oscillations of solar neutrinos

- What do the solar ν_e oscillate to?

$$\text{From } |U_{e3}| \ll 1: \quad \nu_3 \simeq s_{23} \nu_\mu + c_{23} \nu_\tau$$

⇒ From unitarity of U : Solar ν oscillations between

$$\nu_e \quad \text{and} \quad \nu' = c_{23} \nu_\mu - s_{23} \nu_\tau$$

⇒ Solar ν_e oscillate into a superposition of ν_μ and ν_τ with almost equal weights



- Oscillation probability

At low E ν_μ and ν_τ experimentally indistinguishable ⇒ all observables depend just on $P(\nu_e \rightarrow \nu_e)$

Averaging over fast oscillations due to large $\Delta m_{\text{atm}}^2 = \Delta m_{31}^2$:

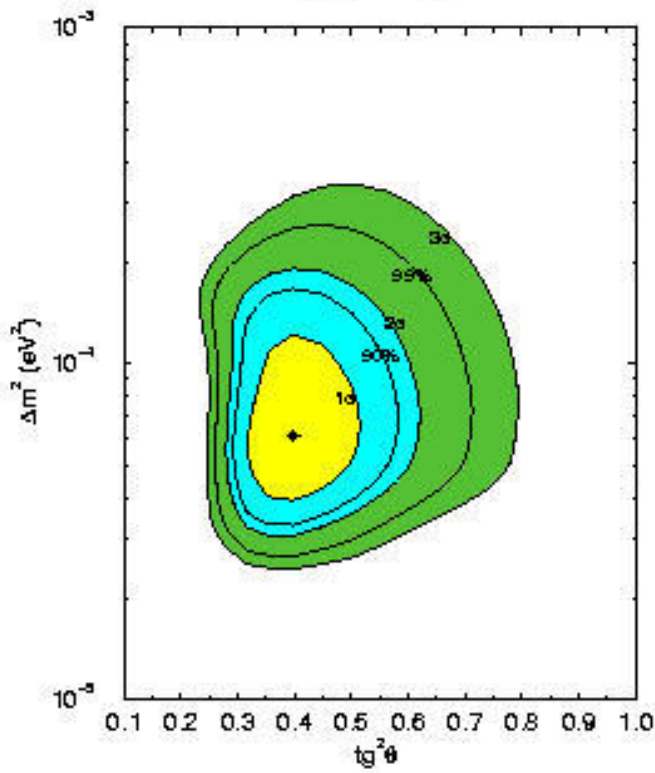
$$P(\nu_e \rightarrow \nu_e) \simeq c_{13}^4 \tilde{P}_{2ee}(\Delta m_{21}^2, \theta_{12}, V_{\text{eff}}) + s_{13}^4,$$

$$V_{\text{eff}} = c_{13}^2 V_{\text{CC}} \quad (\text{Lim, 1987})$$

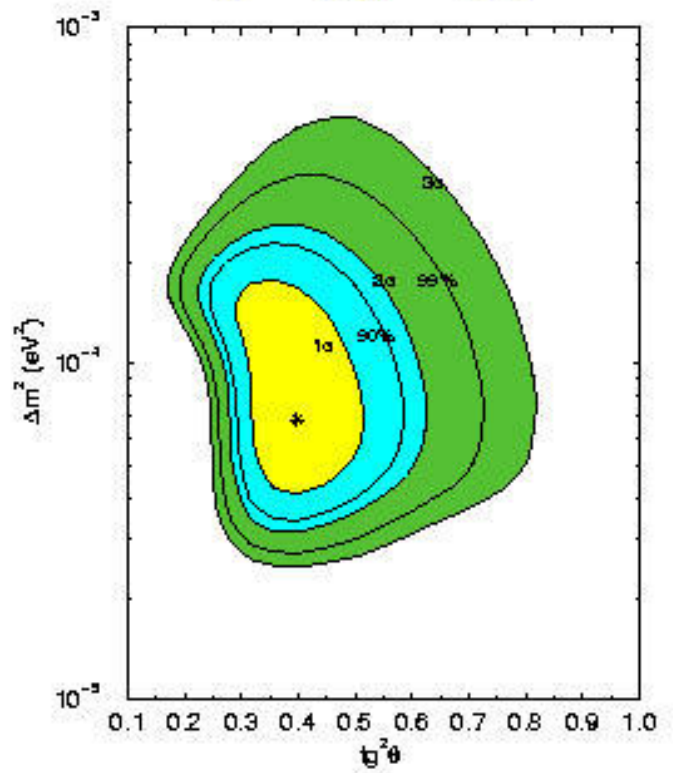
$s_{13}^4 \lesssim 10^{-3}$ – negligible. But: c_{13}^4 may differ from 1 by as much as $\sim 5 - 10\%$ (E - independent suppression) – with high precision solar data must be taken into account!

LMA allowed region ($\Delta m_{21}^2, \tan^2 \theta_{12}$)
(de Holanda & Smirnov, 2002)

$\theta_{13} = 0$



$\sin^2 \theta_{13} = 0.04$



3f effects in atmospheric neutrino oscillations

(1) Dominant channel $\nu_\mu \leftrightarrow \nu_\tau$

In 2f case – no matter effects (neglecting tiny $V_{\mu\tau}$ caused by rad. corrections). Independent from the sign of Δm_{31}^2 (direct vs inverted hierarchy). In 3f case – weak sensitivity to matter effects, sign of Δm_{31}^2

(2) Subdominant channels $\nu_e \leftrightarrow \nu_{\mu,\tau}$

Contribution to μ – like events: subleading, difficult to observe
In 2f limits – suppression of oscillation effects on e-like events:

- $\Delta m_{21}^2 \rightarrow 0$ (E.A., Dighe, Lipari & Smirnov, 1998) :

$$\frac{F_e - F_e^0}{F_e^0} = \tilde{P}_2(\Delta m_{31}^2, \theta_{13}, V_{CC}) \cdot (\tau s_{23}^2 - 1)$$

- $s_{13} \rightarrow 0$ (Peres & Smirnov, 1999):

$$\frac{F_e - F_e^0}{F_e^0} = \tilde{P}_2(\Delta m_{21}^2, \theta_{12}, V_{CC}) \cdot (\tau c_{23}^2 - 1)$$

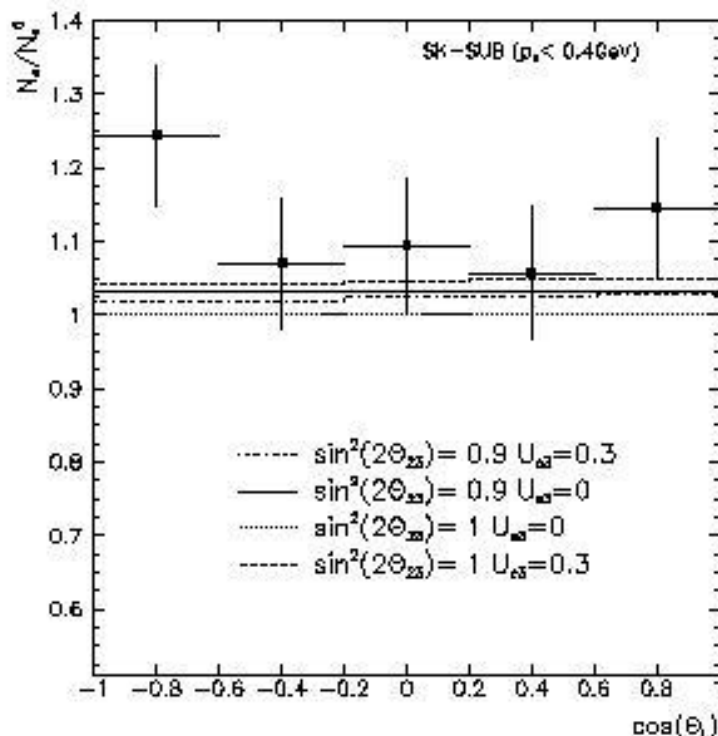
At low energies $\tau \equiv F_\mu^0/F_e^0 \simeq 2$; also $s_{23}^2 \simeq c_{23}^2 \simeq 1/2$ – a conspiracy to hide oscillation effects on e-like events! Results from a peculiar flavour composition of the atmospheric ν flux.

Breaking the conspiracy – 3f effects in ν_{atm} oscillations

(Peres & Smirnov, 2002)

$$\begin{aligned} \frac{F_e - F_e^0}{F_e^0} &\simeq \bar{P}_2(\Delta m_{31}^2, \theta_{13}) \cdot (\tau s_{23}^2 - 1) \\ &+ \bar{P}_2(\Delta m_{21}^2, \theta_{12}) \cdot (\tau c_{23}^2 - 1) \\ &- 2s_{13} s_{23} c_{23} \tau \text{Re}(\bar{A}_{ee}^* \bar{A}_{\mu e}) \end{aligned}$$

Interference term not suppressed by the flavour composition of the ν_{atm} flux; may be responsible for observed excess of upward-going sub-GeV e-like events



3f effects in oscillations of reactor antineutrinos

- CHOOZ, Palo Verde, ... ($L \lesssim 1$ km)

$$\bar{E} \sim 2 \text{ MeV}; \quad \frac{\Delta m_{31}^2}{4E} L \sim 1; \quad \frac{\Delta m_{21}^2}{4E} L \ll 1$$

One mass scale dominance (2f) approximation:

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L) = 1 - \sin^2 2\theta_{13} \cdot \sin^2 \left(\frac{\Delta m_{31}^2}{4E} L \right)$$

But: for LMA solution, at high C.L. Δm_{21}^2 can be comparable to $\Delta m_{31}^2 \Rightarrow$ Full 3f analyses necessary.

\Rightarrow Constraints on $|U_{e3}|$ from CHOOZ – slightly more stringent (Gonzalez-Garcia, Maltoni, Peña-Garay & Valle, 2000; Bilenky, Nicolo & Petcov, 2001; Gonzalez-Garcia & Maltoni, 2002) – less likely with new SNO data (large Δm_{21}^2 disfavoured)

- KamLAND ($\bar{L} \simeq 170$ km)

$$\frac{\Delta m_{31}^2}{4E} L \gg 1; \quad \frac{\Delta m_{21}^2}{4E} L \gtrsim 1 \quad (\text{for LMA})$$

Averaging over fast oscillations due to $\Delta m_{\text{atm}}^2 = \Delta m_{31}^2$:

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = c_{13}^4 P_{2\bar{e}\bar{e}}(\Delta m_{21}^2, \theta_{12}) + s_{13}^4$$

Can differ from 2f probability by as much as $\sim 10\%$.

3f effects in LBL experiments

- 3f corrections to ν_μ disappearance probability up to $\sim 10\%$

$$\sin^2(2\theta_{\mu\tau})_{\text{eff}} = c_{13}^4 \sin^2 2\theta_{23}$$

Also, subdominant $\nu_\mu \rightarrow \nu_e$ contribution; small matter effects in $\nu_\mu \leftrightarrow \nu_\tau$ – similar to effects in ν_{atm}

- No suppression of $\nu_e \leftrightarrow \nu_{\mu,\tau}$ due to flavour composition of the original flux
- 3f effects especially important for precision measurements (ν factories!)
- For $3 \cdot 10^{-3} \lesssim \theta_{13} \lesssim 3 \cdot 10^{-2}$ – competition between two channels ($\Delta m_{31}^2, \theta_{13}$ and $\Delta m_{21}^2, \theta_{12}$) in $P(\nu_e \leftrightarrow \nu_\mu)$
- Dependence on CP-violating phase δ_{CP} (both $\sim \sin \delta_{\text{CP}}$ and $\sim \cos \delta_{\text{CP}}$) comes from the interference term – pure 3f effect!



Matter effect in $\nu_\mu \leftrightarrow \nu_\tau$ oscillations:

- Pure 3f effect (neglecting $V_{\mu\tau}$); vanishes only when both Δm_{21}^2 and U_{e3} vanish

3f effects in supernova neutrino oscillations

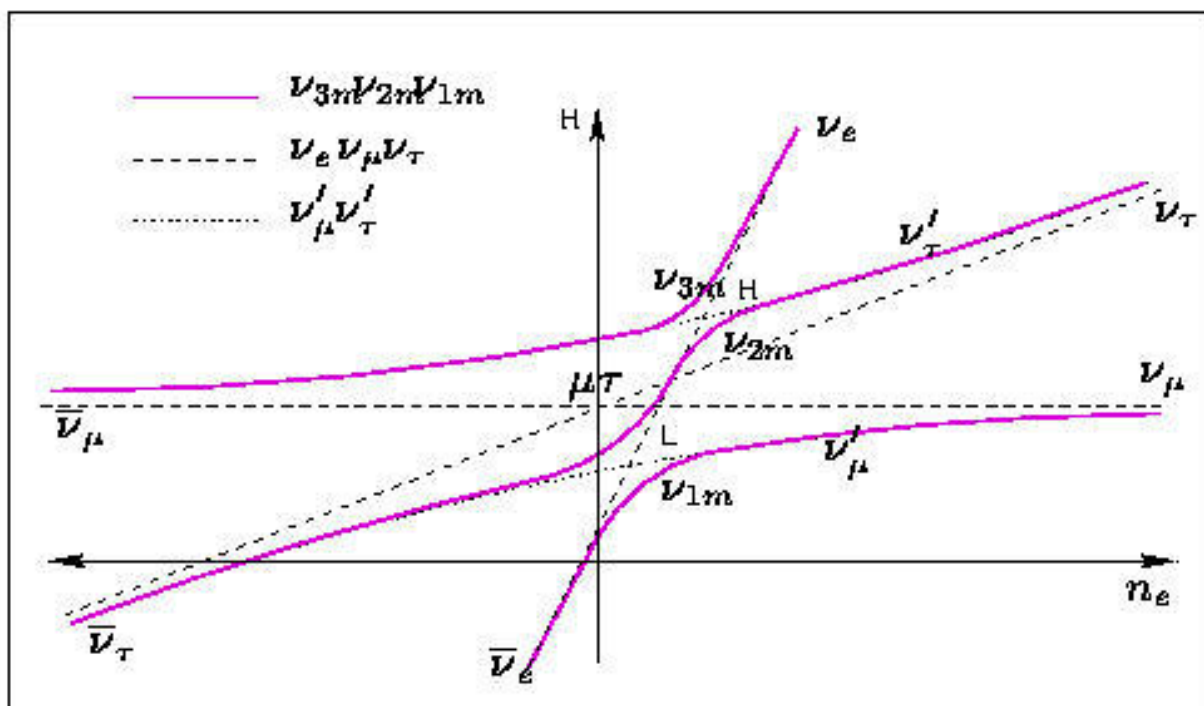
Matter density varies in a wide range – conditions for three MSW resonances satisfied ($V_{\mu\tau} \neq 0$)

Hierarchy of Δm^2 – approximate factorization of transition dynamics at the resonances: Effectively 2f transitions

But: observable effects depend on transitions between all 3 neutrino species

Earth matter effects on SN neutrinos can be used to measure $|U_{e3}|$ to a very high accuracy ($\sim 10^{-3}$) and to determine the sign of Δm_{31}^2 (Lunardini & Smirnov, 2000, 2001; Minakata & Nunokawa, 2000; Barger, Marfatia & Wood, 2002)

The transitions due to tiny $V_{\mu\tau}$ caused by rad. corrections may have observable consequences if originally produced ν_μ and ν_τ fluxes are not exactly the same (E.A., Lunardini & Smirnov, 2002)



\mathcal{CP} and \mathcal{T} in ν oscillations in vacuum

$\nu_a \rightarrow \nu_b$ oscillation probability:

$$P(\nu_a, t_0 \rightarrow \nu_b; t) = \left| \sum_i U_{bi} e^{-iE_i(t-t_0)} U_{ai}^* \right|^2$$

(1) \mathcal{CP} : $\nu_{a,b} \leftrightarrow \bar{\nu}_{a,b} \Rightarrow U_{ai} \rightarrow U_{ai}^* \quad (\{\delta_{\mathcal{CP}}\} \rightarrow -\{\delta_{\mathcal{CP}}\})$

(2) \mathcal{T} : $t \leftrightarrow t_0 \Leftrightarrow \nu_a \leftrightarrow \nu_b$
 $\Rightarrow U_{ai} \rightarrow U_{ai}^* \quad (\{\delta_{\mathcal{CP}}\} \rightarrow -\{\delta_{\mathcal{CP}}\})$

\mathcal{T} -reversed oscillations (“backwards in time”) \Leftrightarrow oscillations between interchanged initial and final flavours

\mathcal{CP} and \mathcal{T} – absent in 2f case, pure $N \geq 3$ f effect!

(3) \mathcal{CPT} : $\nu_{a,b} \leftrightarrow \bar{\nu}_{a,b}$ & $t \leftrightarrow t_0$ ($\nu_a \leftrightarrow \nu_b$)
 $P(\nu_a \rightarrow \nu_b) \rightarrow P(\bar{\nu}_b \rightarrow \bar{\nu}_a)$

$\mathcal{CP} \Leftrightarrow \mathcal{T}$ – consequence of \mathcal{CPT}

Measures of \mathcal{CP} and \mathcal{T} – probability differences:

$$\Delta P_{ab}^{\mathcal{CP}} \equiv P(\nu_a \rightarrow \nu_b) - P(\bar{\nu}_a \rightarrow \bar{\nu}_b)$$

$$\Delta P_{ab}^{\mathcal{T}} \equiv P(\nu_a \rightarrow \nu_b) - P(\nu_b \rightarrow \nu_a)$$

From CPT:

$$\Delta P_{ab}^{\text{CP}} = \Delta P_{ab}^{\text{T}}; \quad \Delta P_{\alpha\alpha}^{\text{CP}} = 0$$



3f case

One \mathcal{CP} Dirac-type phase δ_{CP} (NB: Majorana phases do not affect ν oscillations!) \Rightarrow one \mathcal{CP} and \mathcal{T} observable:

$$\Delta P_{e\mu}^{\text{CP}} = \Delta P_{\mu\tau}^{\text{CP}} = \Delta P_{\tau e}^{\text{CP}} \equiv \Delta P$$

$$\Delta P = -4s_{12} c_{12} s_{13} c_{13}^2 s_{23} c_{23} \sin \delta_{\text{CP}} \\ \times \left[\sin \left(\frac{\Delta m_{12}^2}{2E} L \right) + \sin \left(\frac{\Delta m_{23}^2}{2E} L \right) + \sin \left(\frac{\Delta m_{31}^2}{2E} L \right) \right]$$

Vanishes when

- At least one $\Delta m_{ij}^2 = 0$
- At least one $\theta_{ij} = 0$ or 90°
- In the averaging regime
- In the limit $L \rightarrow 0$ (as L^3)

Very difficult to observe!

\mathcal{CP} and \mathcal{T} in ν oscillations in matter

$$(1) \text{ CP: } \nu_{\alpha,b} \leftrightarrow \bar{\nu}_{\alpha,b} \Rightarrow U_{\alpha i} \rightarrow U_{\alpha i}^* \quad (\{\delta_{\text{CP}}\} \rightarrow -\{\delta_{\text{CP}}\}) \\ V(\tau) \rightarrow -V(\tau)$$

$$(2) \text{ T: } t \leftrightarrow t_0 \Leftrightarrow \nu_a \leftrightarrow \nu_b \\ \Rightarrow U_{\alpha i} \rightarrow U_{\alpha i}^* \quad (\{\delta_{\text{CP}}\} \rightarrow -\{\delta_{\text{CP}}\}) \\ V(\tau) \rightarrow \tilde{V}(\tau)$$

$$\tilde{V}(\tau) = \sqrt{2}G_F \tilde{N}(\tau)$$

$\tilde{N}(\tau)$: corresponds to interchanged positions of ν source and detector

Symmetric matter density profiles: $\tilde{N}(\tau) = N(\tau)$

The very presence of matter violates C, CP and CPT!
[assuming (# of particles) \neq (# of antiparticles)]

\Rightarrow Fake (extrinsic) \mathcal{CP} which may complicate the study of fundamental (intrinsic) \mathcal{CP}

◇ \mathcal{CP} in matter

Exists even in 2f case (in ≥ 3 f case exists even when all $\{\delta_{\mathcal{CP}}\} = 0$) due to matter effects:

$$P(\nu_a \rightarrow \nu_b) \neq P(\bar{\nu}_a \rightarrow \bar{\nu}_b)$$

E.g., MSW effect can enhance $\nu_e \leftrightarrow \nu_\mu$ and suppress $\bar{\nu}_e \leftrightarrow \bar{\nu}_\mu$ or vice versa.

Survival probabilities are not \mathcal{CP} -invariant:

$$P(\nu_a \rightarrow \nu_a) \neq P(\bar{\nu}_a \rightarrow \bar{\nu}_a)$$

To disentangle fundamental \mathcal{CP} from the matter induced one in LBL experiments – need to measure energy dependence of oscillated signal or signal at two baselines – a difficult task

(Difficult) alternatives:

- Low- E LBL experiments ($E \sim 0.1 - 1$ GeV, $L \sim 100 - 1000$ km) (Koike & Sato, 1999; Minakata & Nunokawa, 2000, 2001);
- Indirect measurements:
 - (A) \mathcal{CP} -even terms $\sim \cos \delta_{\mathcal{CP}}$ (Lipari, 2001)
 - (B) Area of leptonic unitarity triangle (Farzan & Smirnov, 2002; Aguilar-Saavedra & Branco, 2000; Sato, 2000)

\mathcal{CP} cannot be studied in SN ν experiments because of experimental indistinguishability of low-energy ν_μ and ν_τ

◇ \mathcal{T} in matter

CPT not conserved in matter \Rightarrow \mathcal{CP} and \mathcal{T} are not related!

- Matter does not necessarily induce \mathcal{T} (only asymmetric matter with $\tilde{N}(\tau) \neq N(\tau)$ does)
- There is no \mathcal{T} (either fundamental or matter induced) in 2f case – a consequence of unitarity:

$$P_{ee} + P_{e\mu} = 1$$

$$P_{ee} + P_{\mu e} = 1$$

$$\Rightarrow P_{e\mu} = P_{\mu e}$$

- In 3f case – only one T-odd probability difference for ν 's (and one for $\bar{\nu}$'s) irrespective of matter density profile – a consequence of unitarity in 3f case (Krastev & Petcov, 1988):

$$\Delta P_{e\mu}^T = \Delta P_{\mu\tau}^T = \Delta P_{\tau e}^T$$

Matter-induced \mathcal{T} :

- (1) An interesting, pure 3f matter effect; absent in symmetric matter (e.g., $N(\tau) = \text{const}$)
 - (2) Does not vanish in the regime of complete averaging
 - (3) May fake fundamental \mathcal{T} and complicate its study
 - (4) Vanishes when either $U_{e3} = 0$ or $\Delta m_{21}^2 = 0$ (2f limits)
 \Rightarrow doubly suppressed by both these small parameters
- \Rightarrow Perturbation theory can be used to get analytic expressions

General structure of T-odd probability differences:

$$\Delta P_{e\mu}^T = \underbrace{\sin \delta_{\text{CP}} \cdot Y}_{\text{fundam. } \mathcal{J}} + \underbrace{\cos \delta_{\text{CP}} \cdot X}_{\text{matter-ind. } \mathcal{J}}$$

In adiabatic approximation: $X = J_{\text{eff}} \cdot (\text{oscillating terms})$,

$$J_{\text{eff}} = s_{12} c_{12} s_{13} c_{13}^2 s_{23} c_{23} \frac{\sin(2\theta_1 - 2\theta_2)}{\sin 2\theta_{12}}$$

(E.A., Huber, Lindner & Ohlsson, 2001)

Compare with the vacuum Jarlskog invariant:

$$J = s_{12} c_{12} s_{13} c_{13}^2 s_{23} c_{23} \sin \delta_{\text{CP}}$$

$$\Rightarrow \sin \delta_{\text{CP}} \Leftrightarrow \frac{\sin(2\theta_1 - 2\theta_2)}{\sin 2\theta_{12}}$$

To extract fundamental \mathcal{J} need to measure:

$$\Delta P_{ab} \equiv P_{\text{dir}}(\nu_a \rightarrow \nu_b) - P_{\text{rev}}(\nu_b \rightarrow \nu_a) \propto \sin \delta_{\text{CP}}$$

Even survival probabilities P_{aa} ($a = \mu, \tau$) can be used!

(Fishbane & Kaus, 2000)

$$P_{\text{dir}}(\nu_a \rightarrow \nu_a) - P_{\text{rev}}(\nu_a \rightarrow \nu_a) \sim \sin \delta_{\text{CP}} \quad (a \neq e)$$

In 3f case P_{ee} does not depend on δ_{CP} (Kuo & Pantaleone, 1987; Minakata & Watanabe, 1999) – not true if ν_{sterile} is present!

Matter-induced \mathcal{X} in LBL experiments due to imperfect sphericity of the Earth density distribution cannot spoil the determination of δ_{CP} if the error in δ_{CP} is $> 1\%$ at 99% C.L. (E.A., Huber, Lindner & Ohlsson, 2001)

⇒ **No need to interchange positions of ν source and detector!**

Experimental study of \mathcal{X} difficult because of problems with detection of e^\pm

Matter-induced \mathcal{X} :

- Negligible effects in terrestrial experiments
- Cannot be observed in supernova ν oscillations due to experimental indistinguishability of low - E ν_μ and ν_τ
- Can affect the signal from $\sim\text{GeV}$ neutrinos produced in annihilations of WIMPs inside the Sun (de Gouvêa, 2000)

The problem of U_{e3}

- The least known of leptonic mixing parameters
- Discriminates between various neutrino mass models
(Barr & Dorsner, 2000; Tanimoto, 2001)
- Unexplained smallness (related to $\Delta m_{\odot}^2 / \Delta m_{\text{atm}}^2$?)
- The (likely) bottleneck for studying fundamental \mathcal{CP} and \mathcal{X} effects and matter-induced \mathcal{X} in neutrino oscillations
- Important for measuring the sign of Δm_{31}^2 in future LBL experiments (neutrino factories!) – direct vs inverted ν mass hierarchy
- Governs subdominant oscillations of atmospheric neutrinos
- Governs the Earth matter effects on supernova neutrino oscillations
- The only opportunity to see the “canonical” MSW effect (strong matter enhancement of small mixing)?

4f neutrino oscillations

If LSND is right \Rightarrow 3 different Δm^2 necessary:

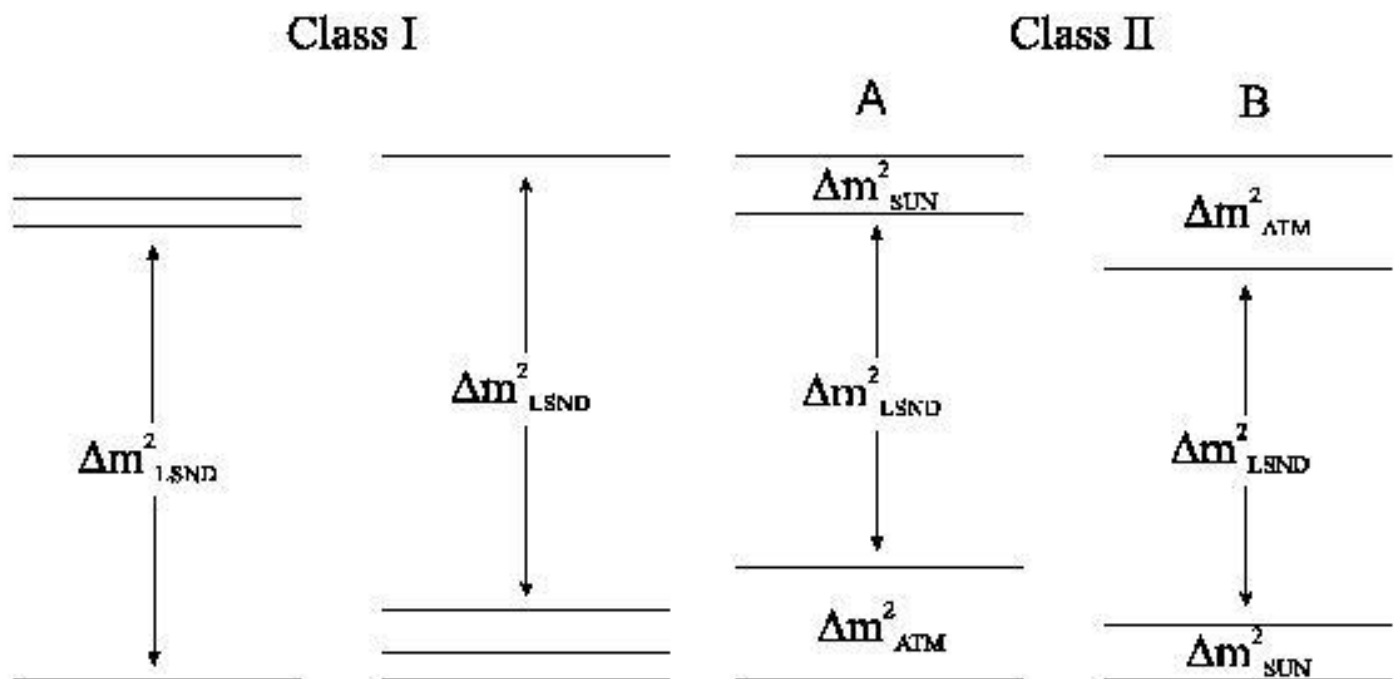
$$\Delta m_{\odot}^2, \quad \Delta m_{\text{atm}}^2, \quad \Delta m_{\text{LSND}}^2$$

\Rightarrow 4 light neutrino species: $\nu_e, \nu_{\mu}, \nu_{\tau}, \nu_s$

(An alternative: strong CPT violation in neutrino sector, $(\Delta m^2)_{\nu\nu} \neq (\Delta m^2)_{\bar{\nu}\bar{\nu}}$ – Murayama & Yanagida, 2000; Barenboim, Borissov, Lykken & Smirnov, 2001; Barenboim, Beacom, Borissov & Kayser, 2002)

4 flavours \Rightarrow 6 mixing angles θ_{ij} , 3 Dirac-type \mathcal{CP} phases

A simplification: Only 2 classes of 4f schemes can fit the data,
(3+1) and (2+2)



(3 + 1):



v_e 




v_μ 



v_τ 



v_s 



(2 + 2):



v_e 



v_μ 

v_τ 

v_s 



(3 + 1) schemes:

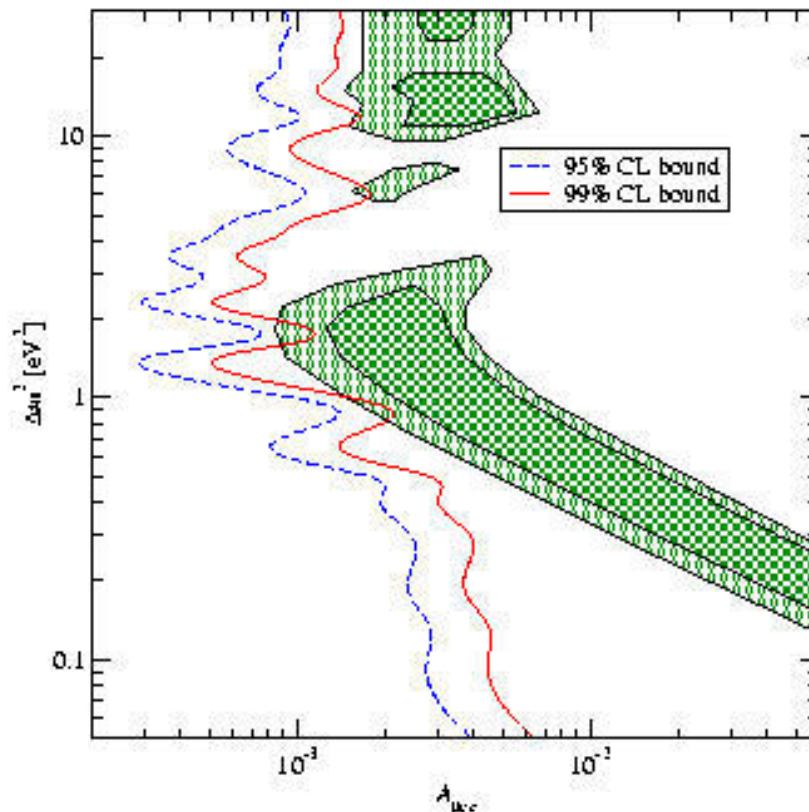
$$\nu_4 \simeq \nu_s + \mathcal{O}(\epsilon) \cdot (\nu_e, \nu_\mu, \nu_\tau), \quad \epsilon \ll 1$$

(ν_1, ν_2, ν_3) – usual linear combinations of $(\nu_e, \nu_\mu, \nu_\tau)$ + small ($\sim \epsilon$) admixtures of ν_s .

$$\sin^2 2\theta_{\text{LSND}} = 4 |U_{e4} U_{\mu 4}|^2 \sim \epsilon^4$$

Strong upper bounds on $|U_{e4}|$ and $|U_{\mu 4}|$ from $\bar{\nu}_e$ and ν_μ disappearance experiments \Rightarrow difficult to fit LSND data

LSND-allowed and SBL-excluded regions on $(\sin^2 2\theta_{\text{LSND}}, \Delta m_{\text{LSND}}^2)$ plane (Maltoni, Schwetz & Valle, 2001):



But: different stat. analysis gives bigger overlap

(2+2) schemes:

ν_e predominantly in the pair responsible for ν_{\odot} oscillations, ν_{μ} in the pair responsible for ν_{atm} oscillations:

$$\nu_{\text{atm}} \text{ OSC.: } \nu_{\mu} \leftrightarrow \nu', \quad \nu' \simeq c_{\xi} \nu_{\tau} + s_{\xi} \nu_s + \mathcal{O}(\epsilon) \cdot \nu_e,$$

$$\nu_{\odot} \text{ OSC.: } \nu_e \leftrightarrow \nu'', \quad \nu'' \simeq -s_{\xi} \nu_{\tau} + c_{\xi} \nu_s + \mathcal{O}(\epsilon) \cdot \nu_{\mu},$$

$$\sin^2 2\theta_{\text{LSND}} \sim \epsilon^2$$

Involvements of ν_s in ν_{\odot} and ν_{atm} oscillations – sum rule

(Peres & Smirnov, 2000):

$$|\langle \nu_s | \nu'' \rangle|^2 + |\langle \nu_s | \nu' \rangle|^2 = c_{\xi}^2 + s_{\xi}^2 = 1$$

SK atm. data: $\sin^2 \xi < 0.25$ (90% C.L.); < 0.36 (99% C.L.)
(Messier, 2001)

(Pre-SNO NC) solar ν data:

$\sin^2 \xi > 0.3$ (Lisi, 2000; Giunti, 2000);

$\sin^2 \xi > 0.7$ (90% C.L.); $\sin^2 \xi > 0.48$ (99% C.L.) for LMA

(Gonzalez-Garcia, Maltoni & Peña-Garay, 2001; Maltoni *et al.*, 2001)

\Rightarrow (2+2) scenarios strongly disfavoured



Matter effects on 4f oscillations: (Dooling, Giunti, Kang, Kim, 1999)

\mathcal{CP} : several observables; large effects possible (in general, no suppression due to small Δm_{\odot}^2); also large \mathcal{X} effects possible

Conclusions

- Solar and atm. ν data imply oscillations between 3 neutrino flavours, ν_e , ν_μ and ν_τ ; with LSND $\Rightarrow \nu_s$ should exist
- 2f analyses give a good first approximation due to $|U_{e3}| \ll 1$, $\Delta m_{21}^2 \ll \Delta m_{31}^2$. But: increasing accuracy of the data makes $\geq 3f$ description necessary
- 3f effects in solar, atm., reactor and LBL accel. experiments may be quite important \Rightarrow up to $\sim 10\%$ corrections to oscillation probabilities + specific $\geq 3f$ effects
- Manifestations of ≥ 3 flavours in neutrino oscillations:
 - ◇ Fundamental \mathcal{CP} and \mathcal{T}
 - ◇ Matter-induced \mathcal{T}
 - ◇ Matter effects in $\nu_\mu \leftrightarrow \nu_\tau$ oscillations
 - ◇ Specific CP and T conserving interference terms in oscillation probabilities
- U_{e3} plays a very special role
- In 4f case large \mathcal{CP} and (both fundamental and matter-induced) \mathcal{T} effects possible. But: 4f scenarios disfavoured by the data