

# **ICANOE and OPERA experiments at the CNGS**

*Presented by André Rubbia  
ETH Zürich, Switzerland*

*Special thanks to A. Ereditato for providing material about  
OPERA*

**XIX International Conference on Neutrino Physics & Astrophysics**

Sudbury, Canada

June 16 - 21, 2006

# Long-baseline neutrino experiments in Europe

- ★ The **CNGS beam** directed towards LNGS (L=730 km) has been **approved by the CERN council** in December 1999
- ★ Two experiments have been proposed:



•LNGS-P21/99; CERN /SPSC 99-25; SPSC/P314  
•CERN/SPSLC 96-58 SPSC/P 304  
•CERN/SPSC 98-33 SPSC/M620

<http://pcnometh4.cern.ch>



•LNGS-LOI 8/97  
•CERN /SPSC 98-25 SPSC/M612; LNGS-LOI 8/97 Addendum 1  
•CERN/SPSC 99-20 SPSC/M635; LNGS-LOI 19/99

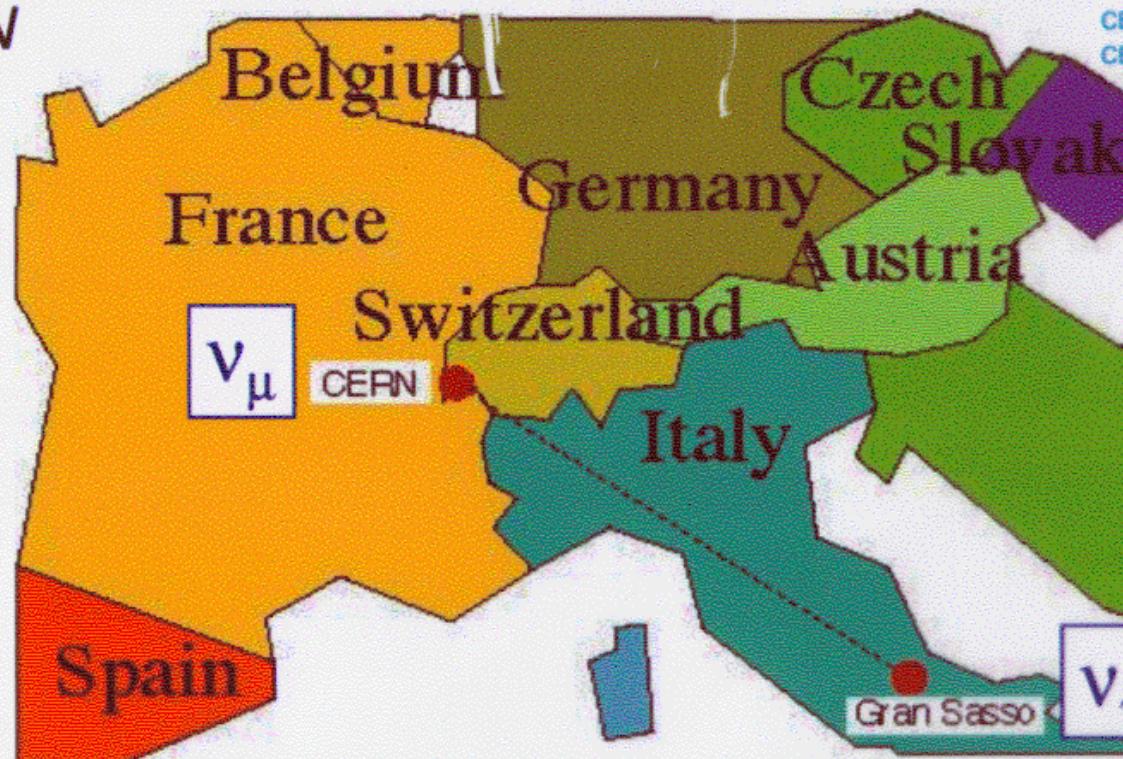
<http://www.cern.ch/opera>

- ★ The two experiments, while **technologically challenging** compared to “traditional” massive neutrino detectors, are both based on many years of R&D.
- ★ Natural follow-ups of CHORUS and NOMAD at CERN.

André Rubbia, ETH/Zürich, 14/8/00, Neutrino 2000

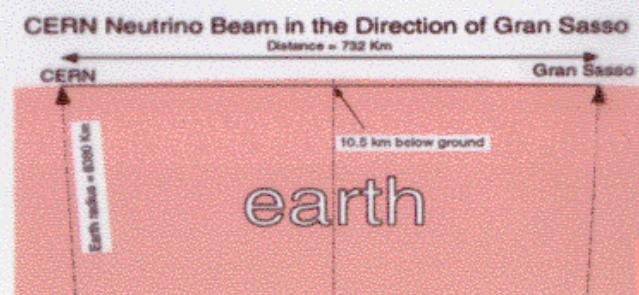
# CNGS neutrino beam

The CNGS beam design is the outcome of many years of experience at CERN



CERN 98-02 - INFN-AE/98-05  
CERN-SL/99-034(DI) - INFN/AE-99/05

Planned beam commissioning: May 2005



## CNGS event rates

- Primary protons: 400 GeV;  $4 \times 2.3 \times 10^{13}$  p/cycle; 26.4 s/cycle
- Pots per year:  $4.5 \times 10^{19}$  pots "shared"; 200x0.75 days/year

| Process            | Rates (events/kton/year) |
|--------------------|--------------------------|
| $\nu_\mu$ CC       | 2450                     |
| $\bar{\nu}_\mu$ CC | 49                       |
| $\nu_e$ CC         | 20                       |
| $\bar{\nu}_e$ CC   | 1.2                      |
| $\nu$ NC           | 823                      |
| $\bar{\nu}$ NC     | 17                       |

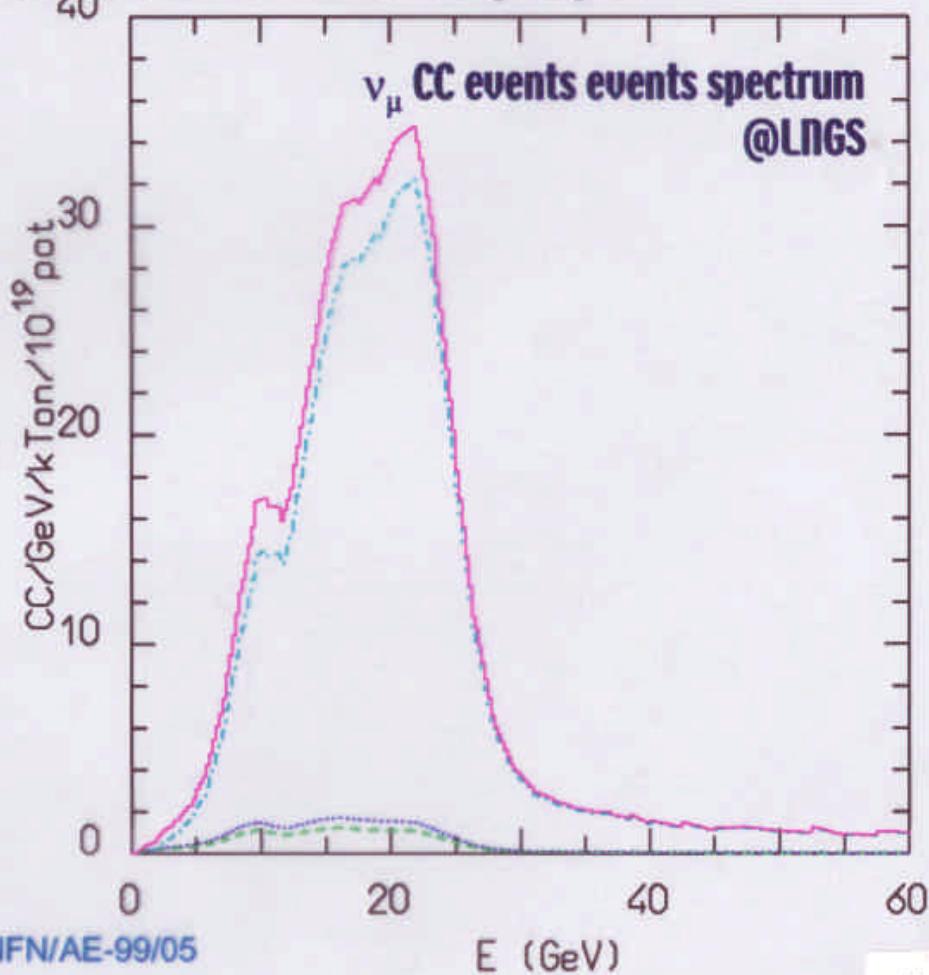
No oscillations

- Optimized for  $N_t \propto \int \phi_{\nu_\mu}(E) \times \sigma_{\nu_t}^{CC}(E) E^{-2} dE$

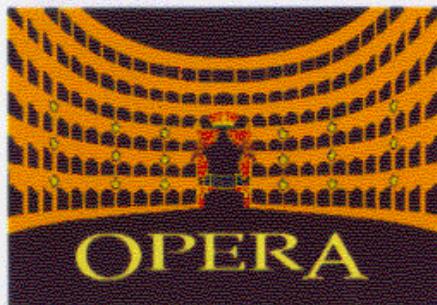
| $\Delta m^2$ (eV $^2$ ) | Rates (events/kton/year) |
|-------------------------|--------------------------|
| $1 \times 10^{-3}$      | 2.4                      |
| $2.5 \times 10^{-3}$    | 15.1                     |
| $3.5 \times 10^{-3}$    | 29.4                     |
| $5 \times 10^{-3}$      | 58.6                     |
| $1 \times 10^{-2}$      | 209.0                    |

$\nu_t$  CC event rates

- $7.6 \times 10^{19}$  pots/yr "dedicated"



CERN 98-02 - INFN-AE/98-05; CERN-SL/99-034(DI) - INFN/AE-99/05



## COLLABORATION

29 institutes from  
Europe and Japan

**Belgium**

Brussels

**China**

Beijing, Shandong

**CERN**

**Croatia**

Zagreb

**France**

Annecy, Lyon, Orsay, Starsbourg

**Germany**

Berlin, Hagen, Hamburg, Münster, Rostock

**Israel**

Haifa

**Italy**

Bari, Frascati, Naples, Padova, Rome, Salerno  
*Bologna*

**Japan**

Aichi, Toho, Kobe, Nagoya, Utsunomiya

**Russia**

ITEP Moscow

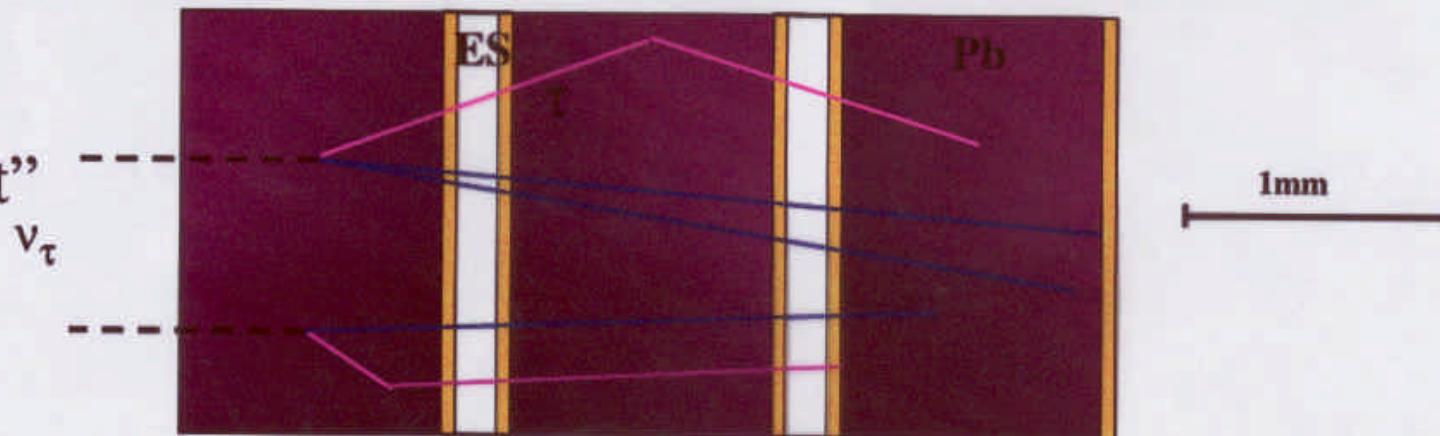
**Turkey**

METU Ankara

# OPERA ECC elementary cell

*Direct detection of  $\tau_s$  by decay topology ( $\gamma c\tau \sim 1\text{mm}$ )*

Baseline  
option:  
“compact”



## Basic ECC (Emulsion Cloud Chamber) concept:

Passive target material and emulsion tracking



large mass

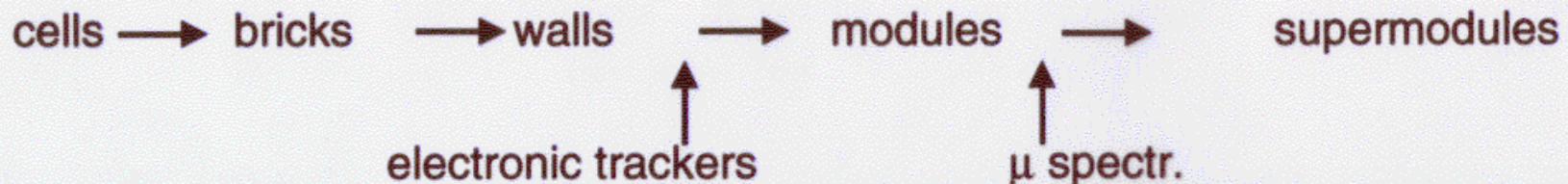


high space resolution

Prototype: Measured angular and position resolution with  $100\text{ }\mu\text{m}$   
segments:  $\sim 2\text{ mrad}$  in angle and  $\sim 0.6\text{ }\mu\text{m}$  in position

# OPERA detector

## \* Modular detector structure:



- Task:**
- identify **fired** brick (shower axis)
  - tracking and shower energy

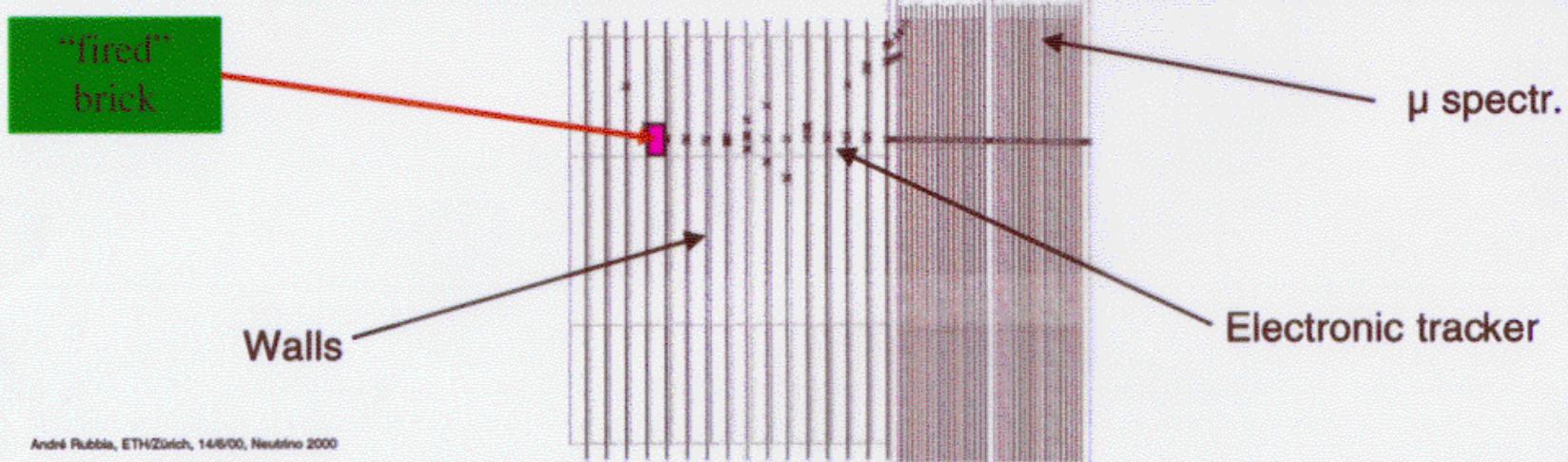
**Baseline option:**

- scintillator strips (WLS fiber r/o)

- Task:**
- identify muons
  - measure  $\mu$  charge (reduce BG for **muonic** decays, beam knowledge)

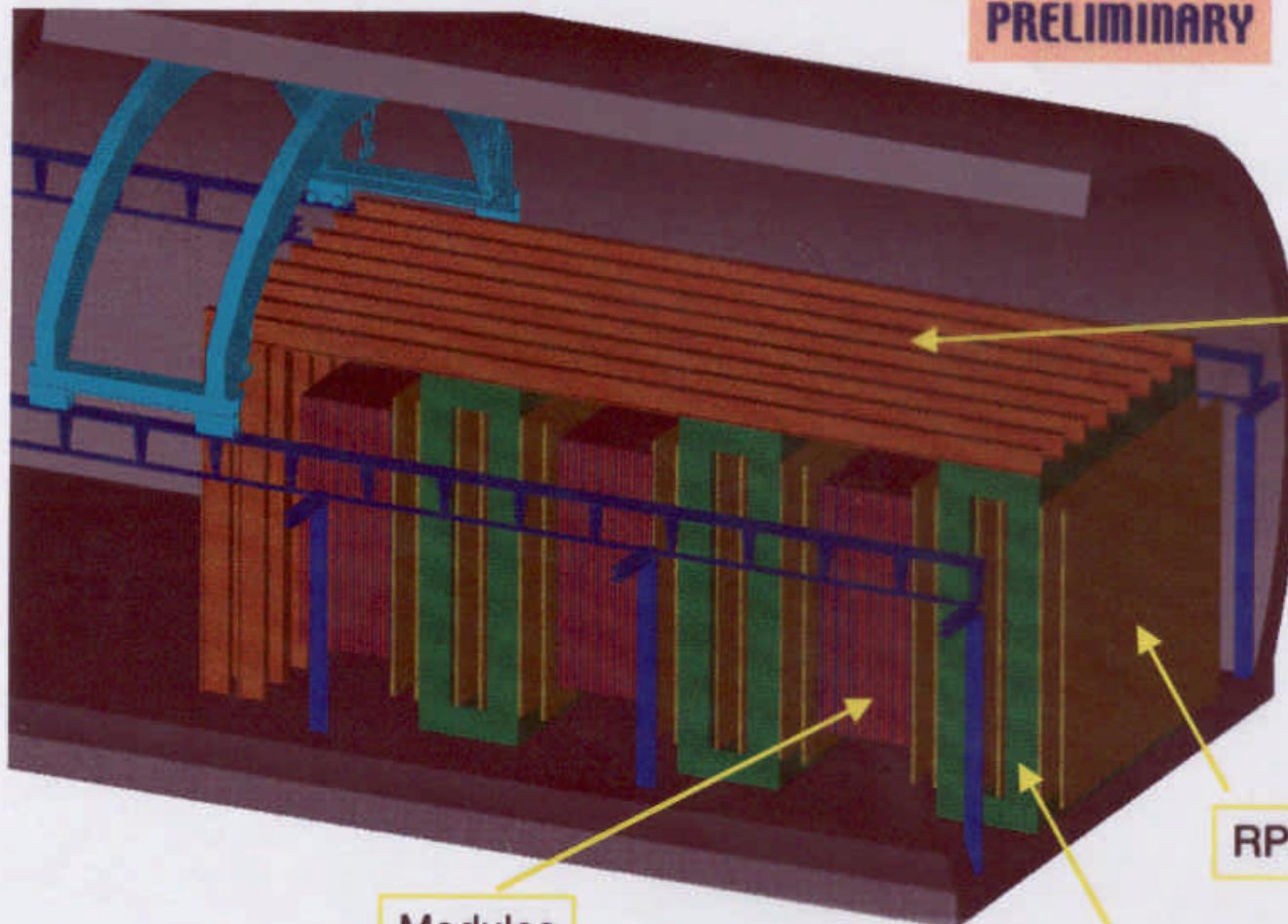
**Baseline option:**

- iron dipoles, RPC (inner tracker), Drift tubes (precision trackers)



# OPERA baseline design (July 2000 proposal)

PRELIMINARY



Supporting  
"roof"

RPC+drift tubes

$\mu$  spectrometer dipoles

OPERA design is "LNGS Hall independent"

André Rubbia, ETH/Zürich, 14/6/00, Neutrino 2000

Rubbia - 08

## Scanning speed is important for OPERA:

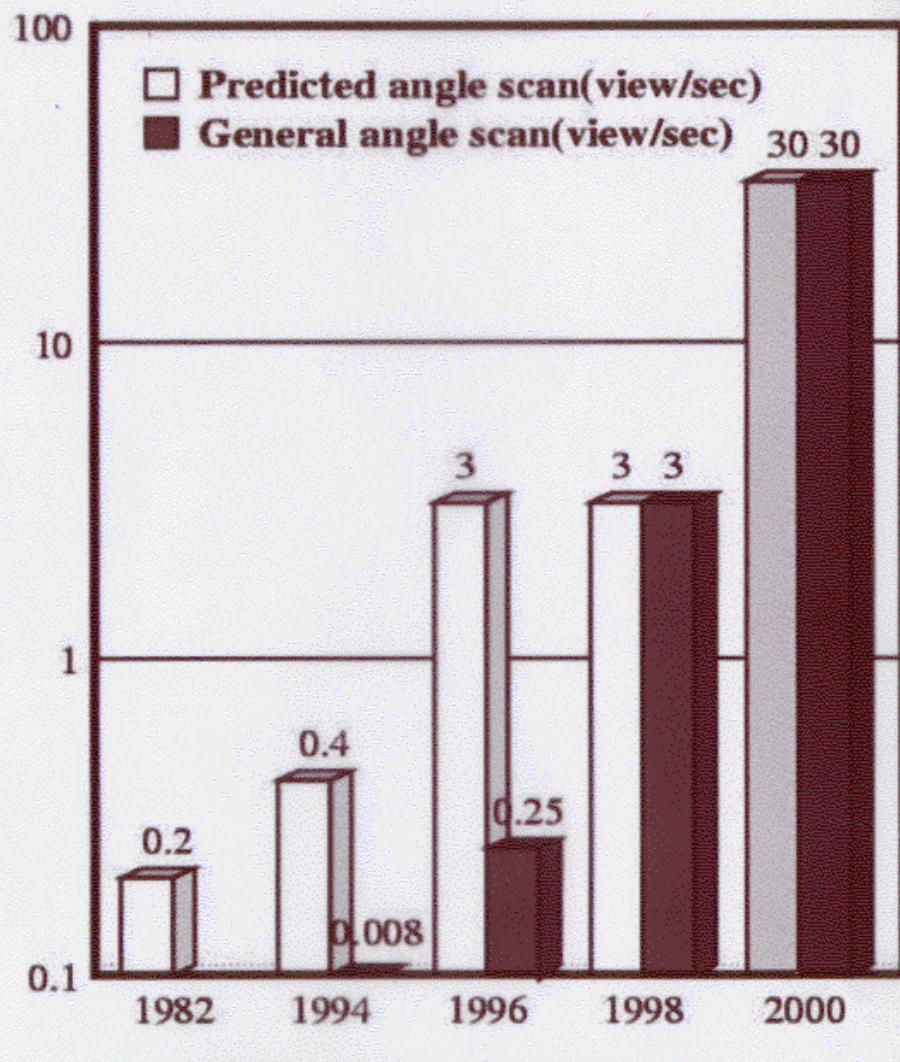
- ~20 bricks/day
- ~50 cm<sup>2</sup> general scanning/brick
- ~1000 cm<sup>2</sup>/day



aim: ~10 cm<sup>2</sup>/hour/system  
(UTS ~ 1 cm<sup>2</sup>/hour)



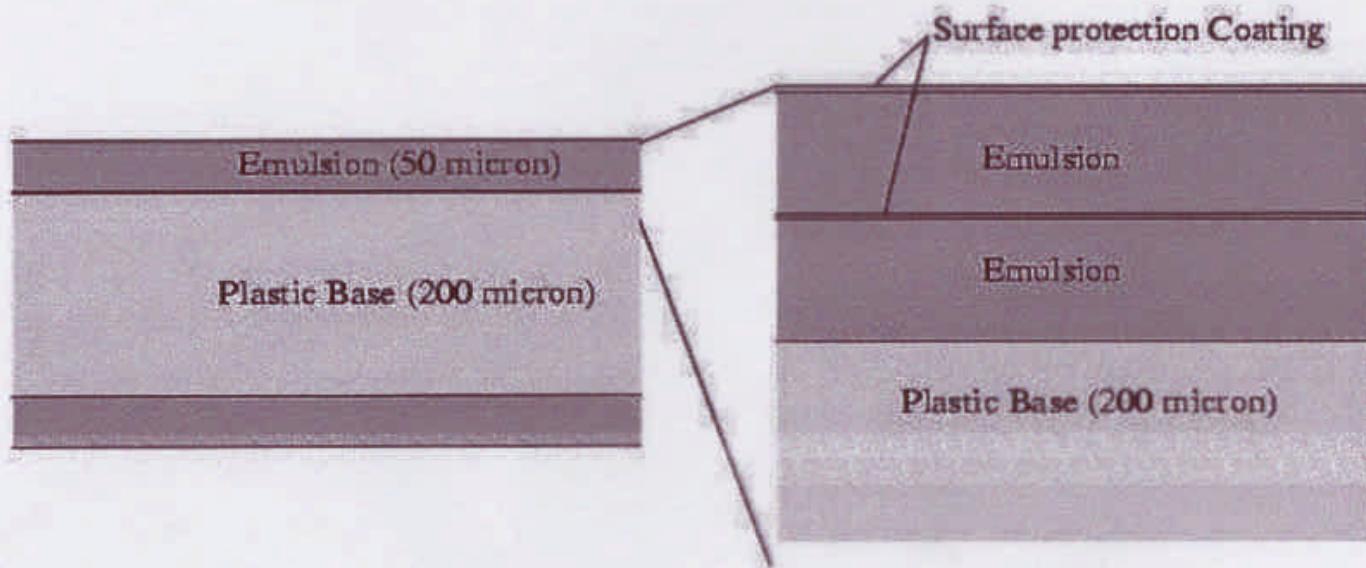
R&D efforts underway  
in Japan and in Europe



Track Selector road-map

## Industrial Emulsion films: OPERA: ~200000 m<sup>2</sup> ES !

- joint R&D project between Fuji Co. and the Nagoya group
- diluted ( $\times 2$ ) emulsion gel, good sensitivity (~30 grains/100  $\mu\text{m}$ )
- excellent mechanical properties and uniformity
- large production capability (photographic films production lines)



# OPERA expected signal sensitivity

*Test bench for OPERA: present analyses in CHORUS, DONUT*

## Expected signal (1999 Progr.Report)

- ~ 6  $\tau$  events @  $2 \times 10^{-3}$  eV $^2$  and full mixing
- ~ 18 @  $3.5 \times 10^{-3}$  eV $^2$
- ~ 53 @  $6 \times 10^{-3}$  eV $^2$

**OPERA**  
5 supermodules  
5 years

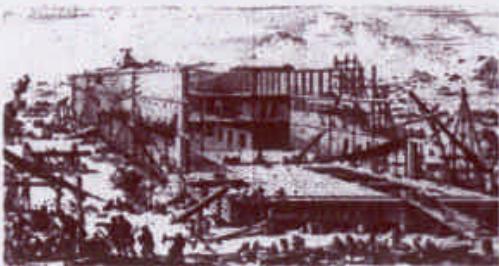
...work is in progress to increase efficiency  $\Rightarrow$  forthcoming Proposal

### Background sources:

- 1) cosmics and radioactivity
- 2) hadronic decays and re-interactions
- 3) muon scattering
- 4) charm decay

other sources expected to be negligible

Background expected: <1 event



## ICANOE PROPOSAL

25 institutes from  
Europe, Asia & USA

# ICARUS

CERN

China  
IHEP

Italy

Aquila, LNGS, Milano, Padova, Pavia, Pisa, Torino

Switzerland  
ETH/Zurich

Poland\*

Katowice, Krakow, Warszawa

USA  
UCLA

\*pending formal approval

## NOE

Italy

Bari, Calabria, Lecce, LNGS, Napoli

Russia

IHEP, INR, ITEP, Lebedev, MEPI

Armenia

Yerevan

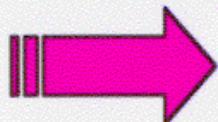
# Proposed ICANOE detector

LNGS-P21/99; CERN /SPSC 99-25; SPSC/P314

Physics motivation: necessity for an improved observation of atmospheric neutrinos and oscillation searches with long-baseline accelerator beams



A high-granularity, massive target



- Detect and measure final state  $e, \gamma, \mu$  and **hadrons**
- Provide  $\mu$  charge discrimination
- **Isotropic** detector



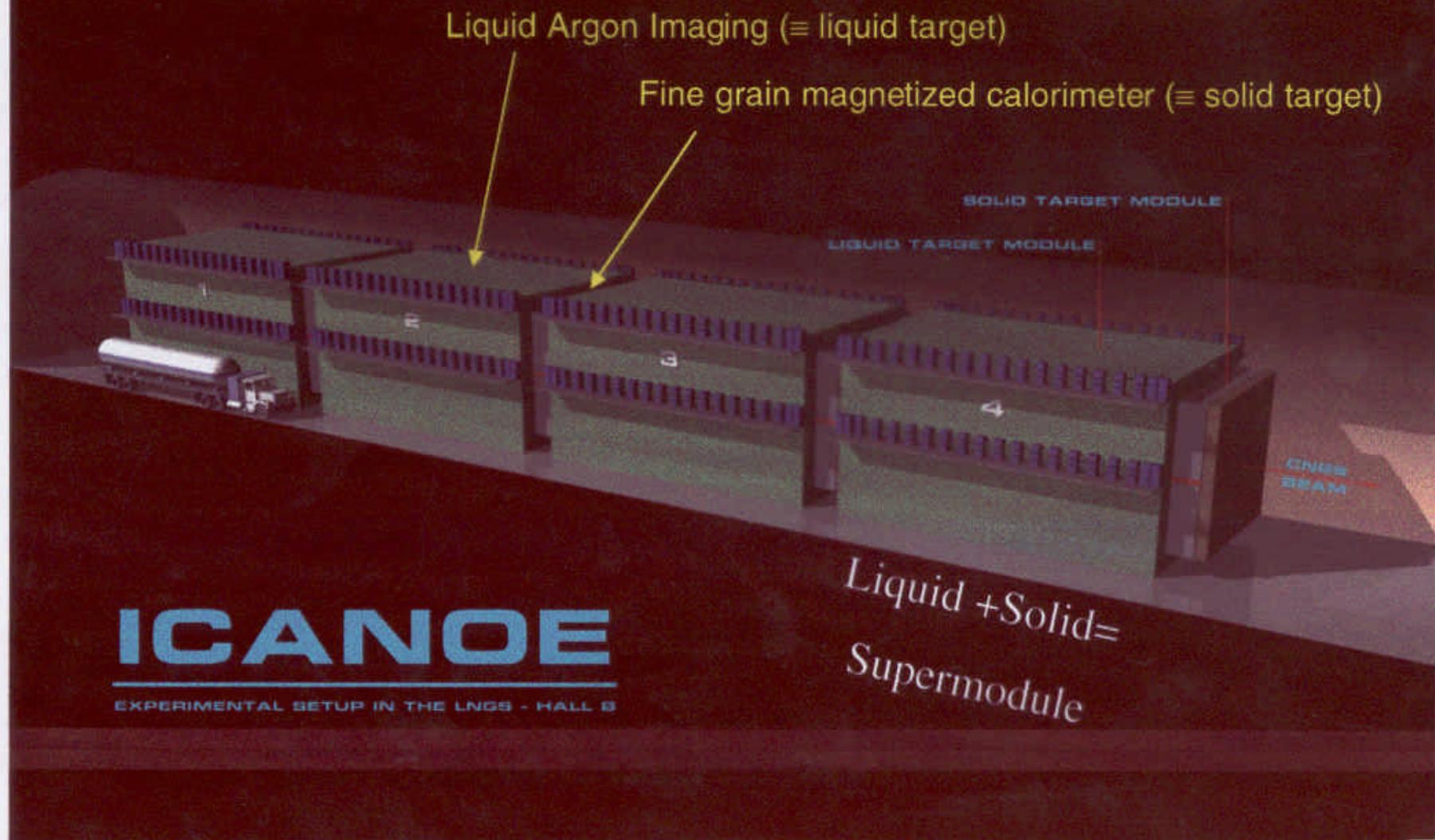
- ✓ CERN neutrino beam
- ✓ atmospheric neutrinos
- ✓ nucleon stability

An appropriate combination of a  
liquid argon imaging detector (liquid target)

and of

a fine grain magnetized calorimeter (solid target)

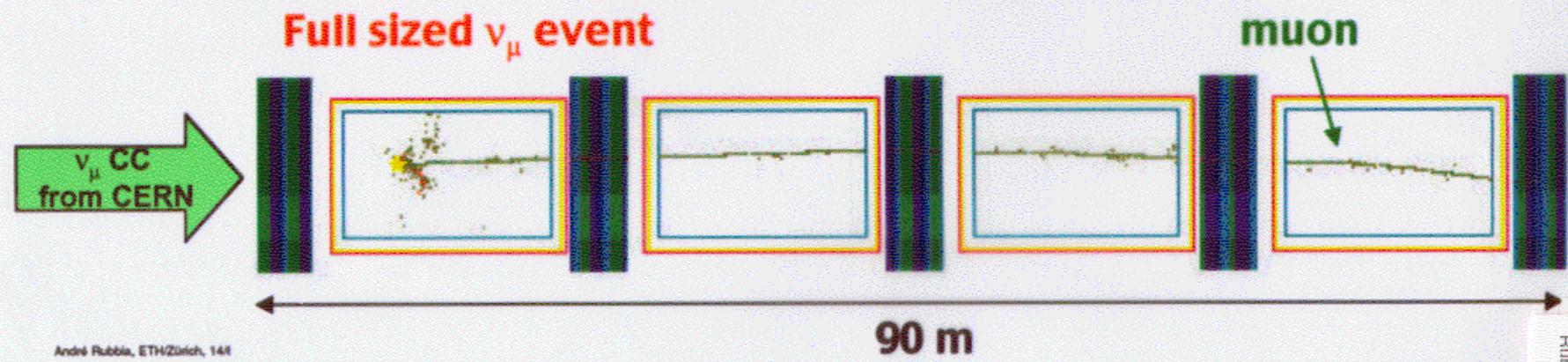
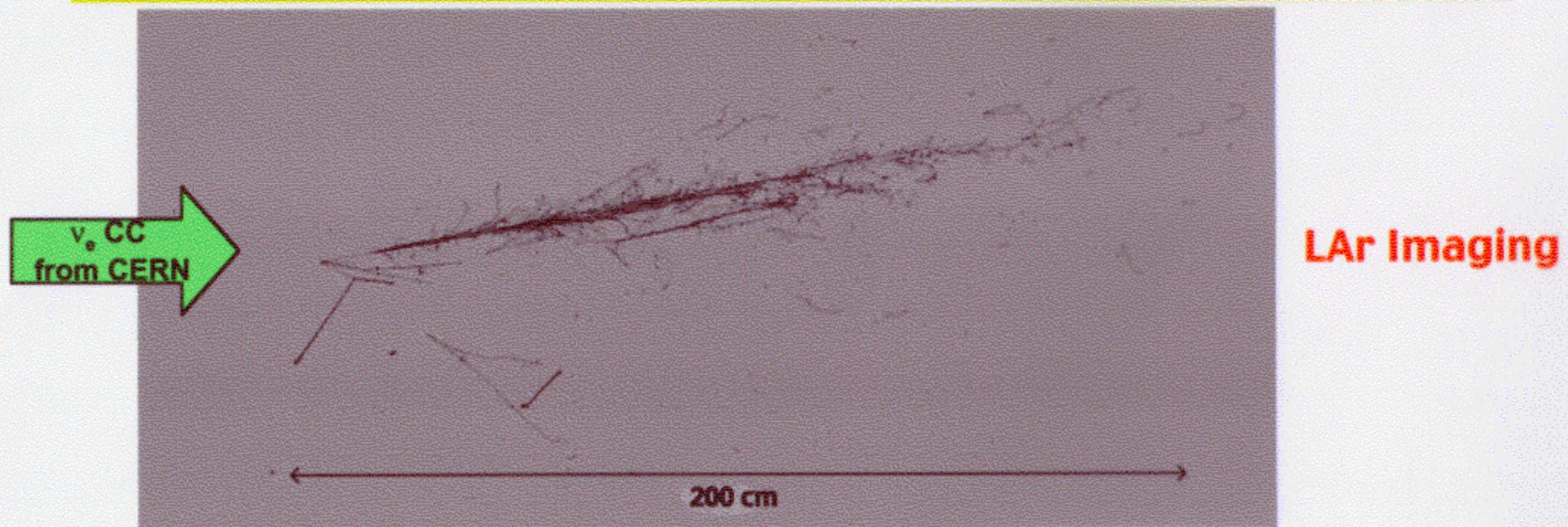
# ICANOE proposed layout @ LNGS Hall B



André Rubbia, ETHZürich, 14/6/00, Neutrino 2000

## ICANOE simulated events

A  $\approx 5.6$  kton “electronic bubble chamber” complemented by an external  $\approx 3.2$  kton calorimeter and  $\mu$ -spectrometer



# ICANOE event rates

★ One liquid target module:

- Total mass: 1900 tons
- Imaging mass: 1400 tons ( $8 \times 8 \times 16 \text{ m}^3$ )
- Fiducial mass: **1245 tons** ( $7.45 \times 7.45 \times 16 \text{ m}^3$ )

4 supermodules

★ One solid target module:

- Instrumented mass: **800 tons**

$20 \text{ kt year} \approx 4 \text{ years "shared"}$

$10 \text{ kt year} \approx 4 \text{ years "shared"}$

| Process                                | liquid target | solid |
|----------------------------------------|---------------|-------|
| $\nu_\mu$ CC                           | 54300         | 27150 |
| $\bar{\nu}_\mu$ CC                     | 1090          | 545   |
| $\nu_e$ CC                             | 437           | 219   |
| $\bar{\nu}_e$ CC                       | 29            | 15    |
| $\nu$ NC                               | 17750         | 8875  |
| $\bar{\nu}$ NC                         | 410           | 205   |
| $\nu_\tau$ CC, $\Delta m^2$ (eV $^2$ ) |               |       |
| $1 \times 10^{-3}$                     | 52            | 26    |
| $2 \times 10^{-3}$                     | 208           | 104   |
| $3.5 \times 10^{-3}$                   | 620           | 310   |
| $5 \times 10^{-3}$                     | 1250          | 625   |
| $7.5 \times 10^{-3}$                   | 2850          | 1425  |
| $1 \times 10^{-2}$                     | 4330          | 2165  |

No oscillations

*Expected rate  
in four years of  
running*

$1.8 \times 10^{20}$  pots

# Features of the ICANOE detector

## Liquid target:

- ★ Fully homogeneous, continuous, precise tracking device with high resolution  $dE/dx$  measurement and full sampling electromagnetic and hadronic calorimetry  
( $X_0=14\text{cm}$ ,  $\lambda_{\text{int}}=84\text{cm}$ )

$$\sigma(E_{em})/E_{em} = 3\%/\sqrt{E_{em}} \oplus 1\% \quad \sigma(E_{had})/E_{had} \approx 20\%/\sqrt{E_{had}} \oplus 1\%$$

for pure Argon, correction for quenching & compensation

- ★ Excellent imaging capabilities ("bubble-chamber-like")
- ★ Excellent electron id and  $e/\pi$  separation:  $>1/500$  from  $dE/dx$  measurement & imaging
- ★ Calorimetry allows full kinematics reconstruction of contained events
- ★  $dE/dx$  provides particle id (with range) and precise momentum measurement for soft particles; rejection of conversions and Dalitz decays
- ★ Large kilotons with high granularity feasible

## Solid target:

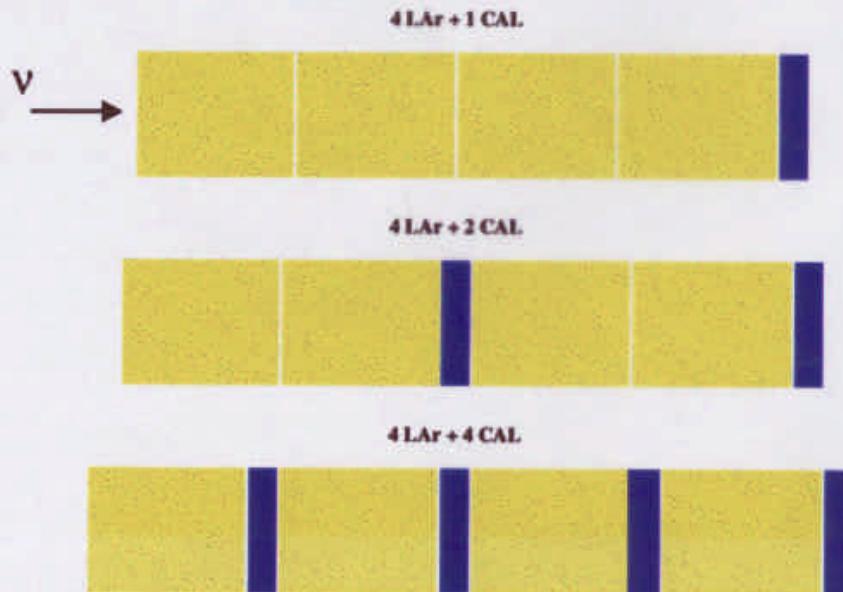
- ★  $9\times 9\text{m}^2$  5mm thick Fe slabs; magnetic field 1(outer)-2(inner) Tesla
- ★ 200 Fe layers/module
- ★ 200 layers of 9m-long fibers, readout both ends,  $5\times 5\text{cm}^2$  grouped to PMT
- ★ 20 layers of drift tubes (for  $\mu$  bending measurement)
- ★ Performance matched to catching tail of hadronic shower from LAr events

$$\sigma(E_{em})/E_{em} = 20\%/\sqrt{E_{em}} \oplus 1\% \quad \sigma(E_{had})/E_{had} \approx 45\%/\sqrt{E_{had}} \oplus 1.5\%$$

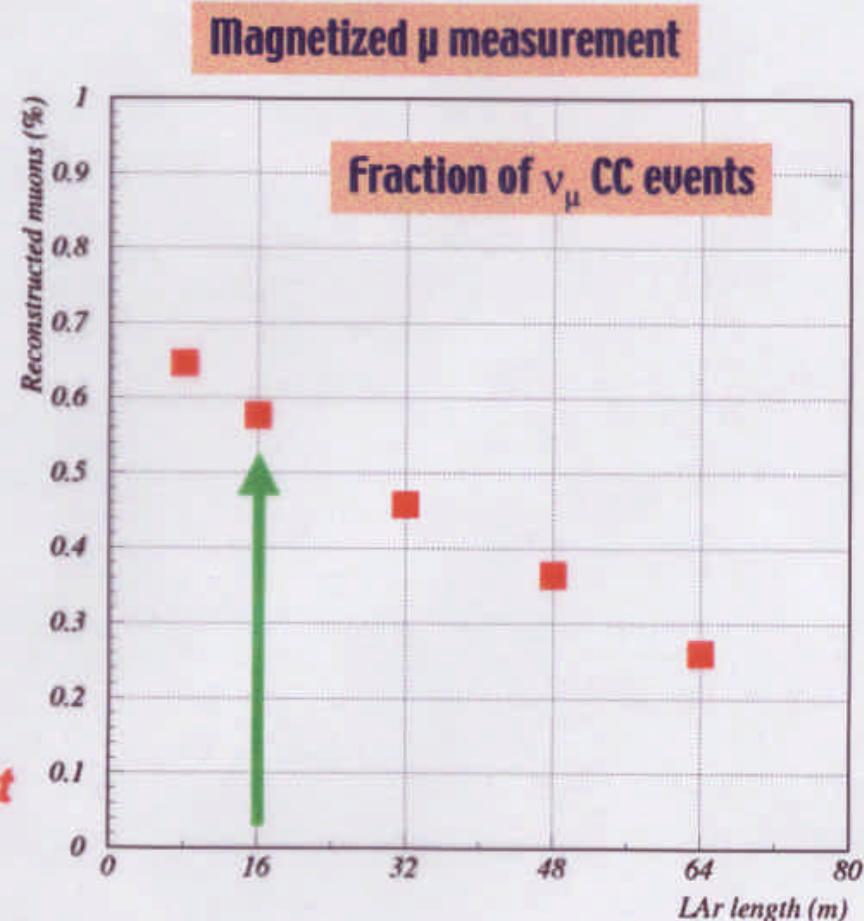
$$\sigma(p)/p \approx 20\% - 40\% \quad \text{for } p < 30 \text{ GeV}$$

# ICANOE muon acceptance

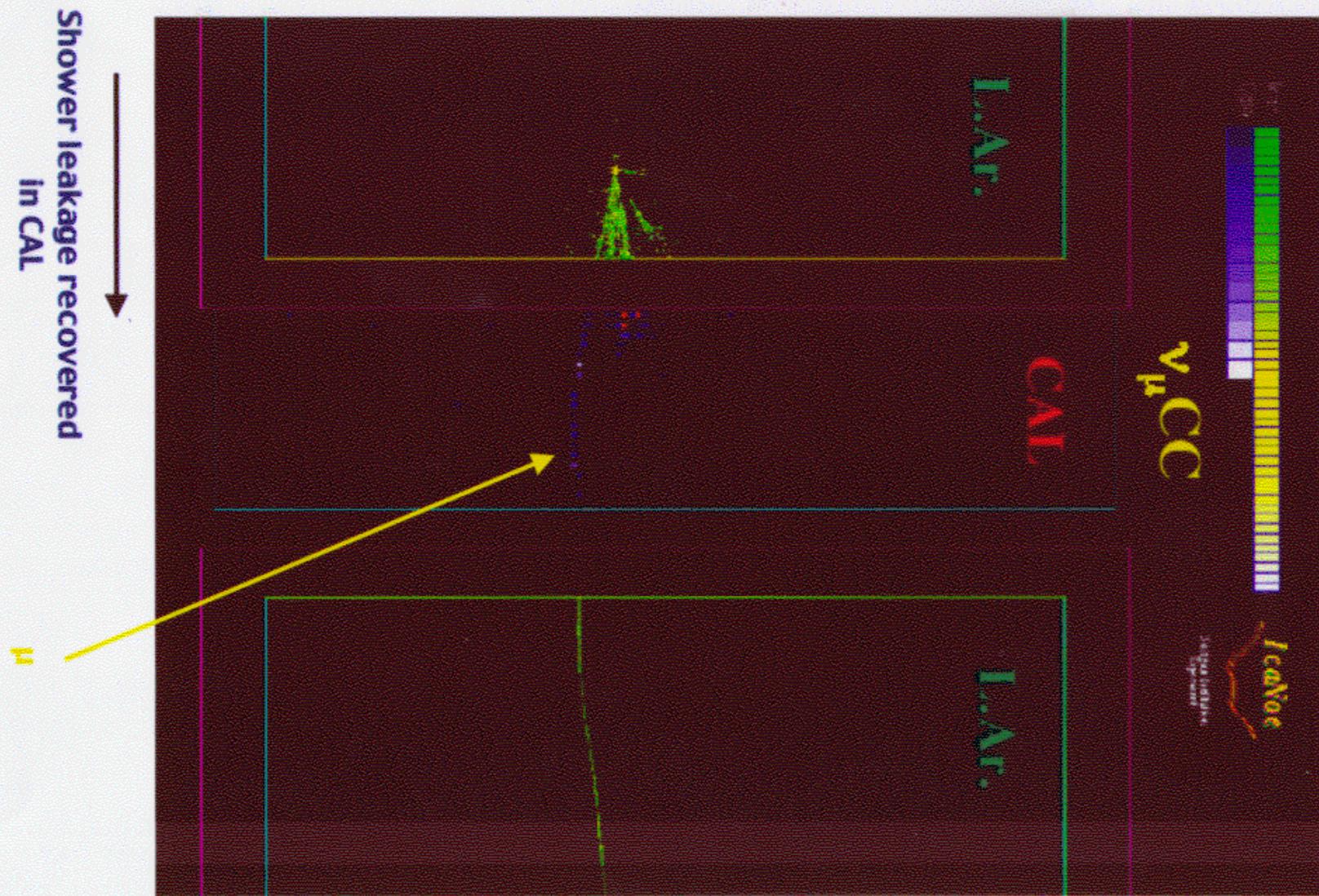
## LAr segmentation $\Rightarrow$ muon acceptance



The spectrometer is instrumented to allow the hadron energy of showers that leak into the spectrometer to be correctly measured  $\Rightarrow$  extend LAr fiducial volume

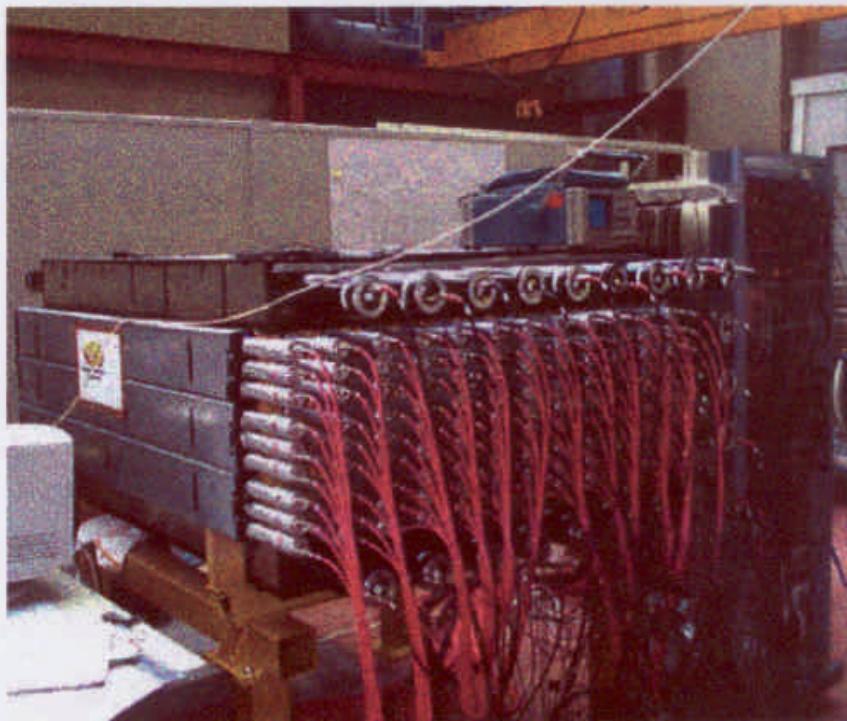


## ICANOE "transition event"



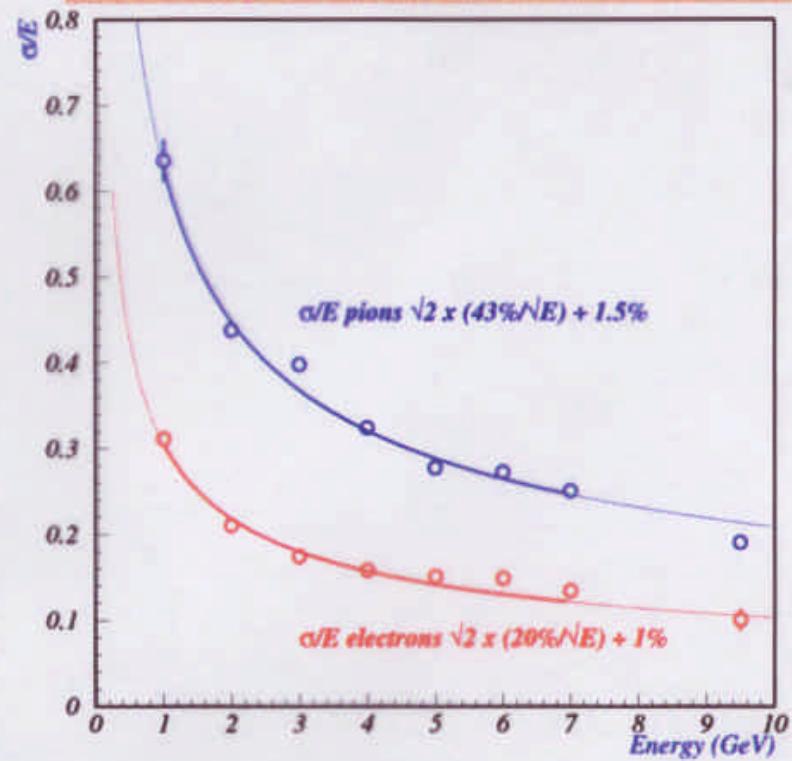
# NOE calorimeter prototype

4 years of R&D



NOE calorimeter prototype

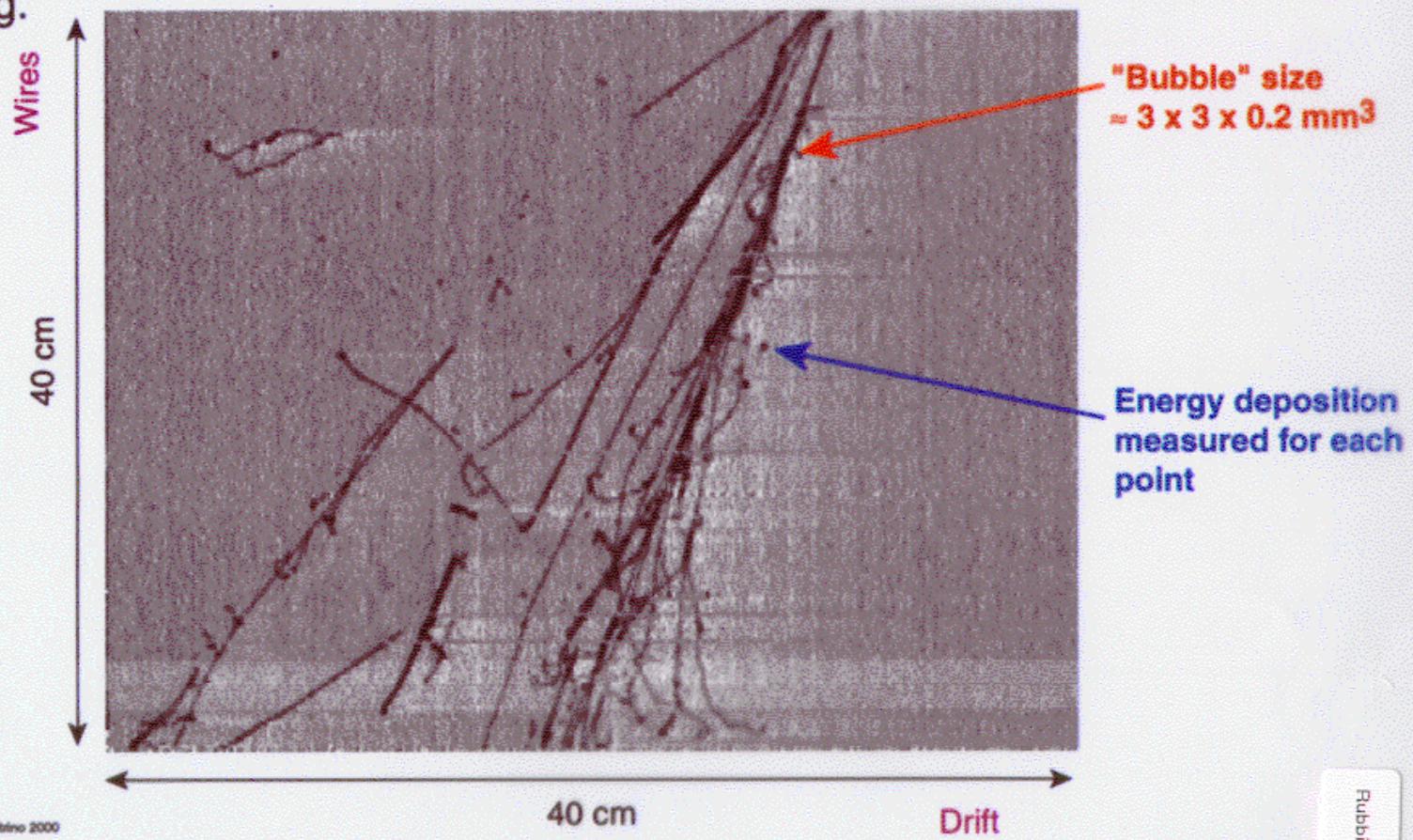
Prototype at CERN/PS beam (Dec 98)



A safe, demonstrated technology

# ICARUS liquid argon imaging TPC (I)

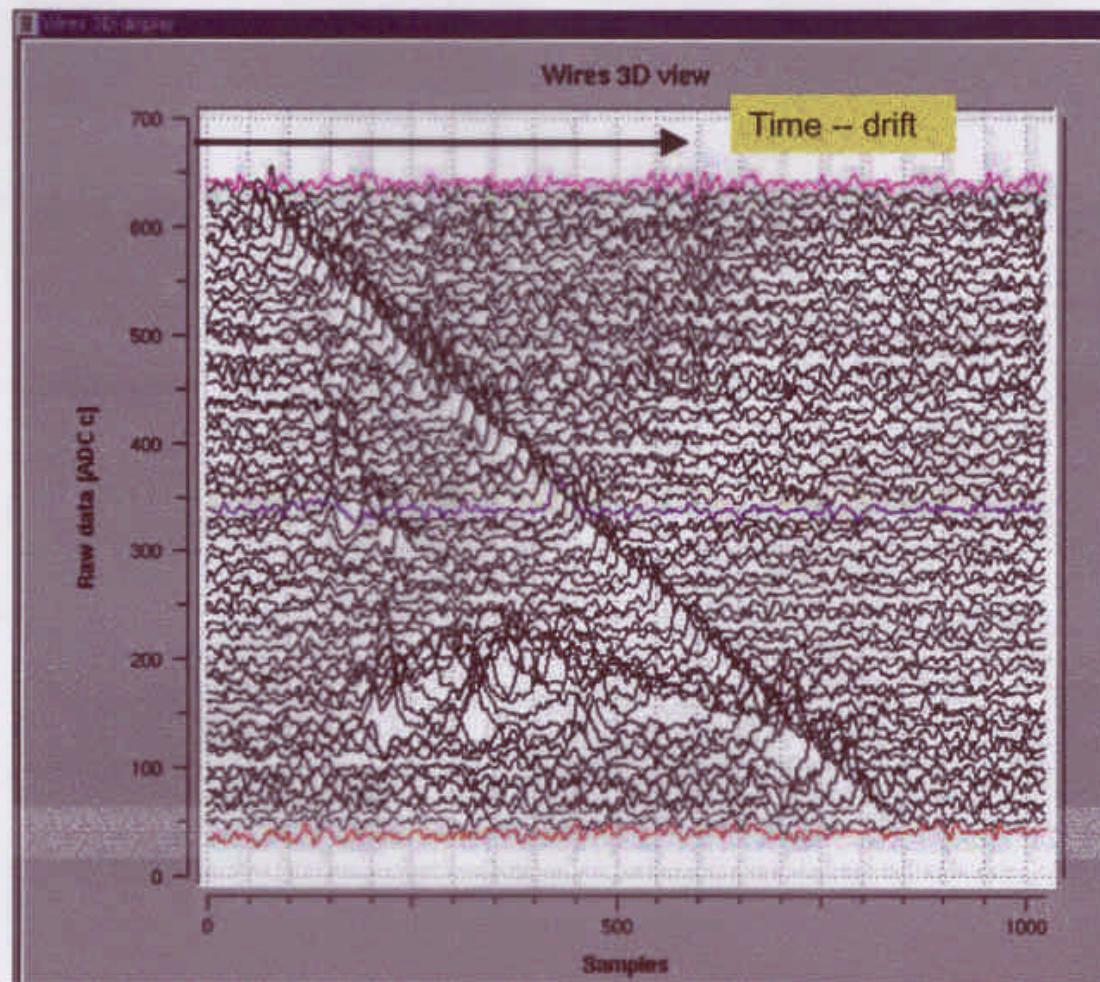
- The LAr TPC technique is based on the fact that ionization electrons can drift over large distances (meters) in a volume of purified liquid Argon under a strong electric field. If a proper readout system is realized (i.e. a set of fine pitch wire grids) it is possible to realize a massive "electronic bubble chamber", with superb 3-D imaging.



# ICARUS liquid argon imaging TPC (II)

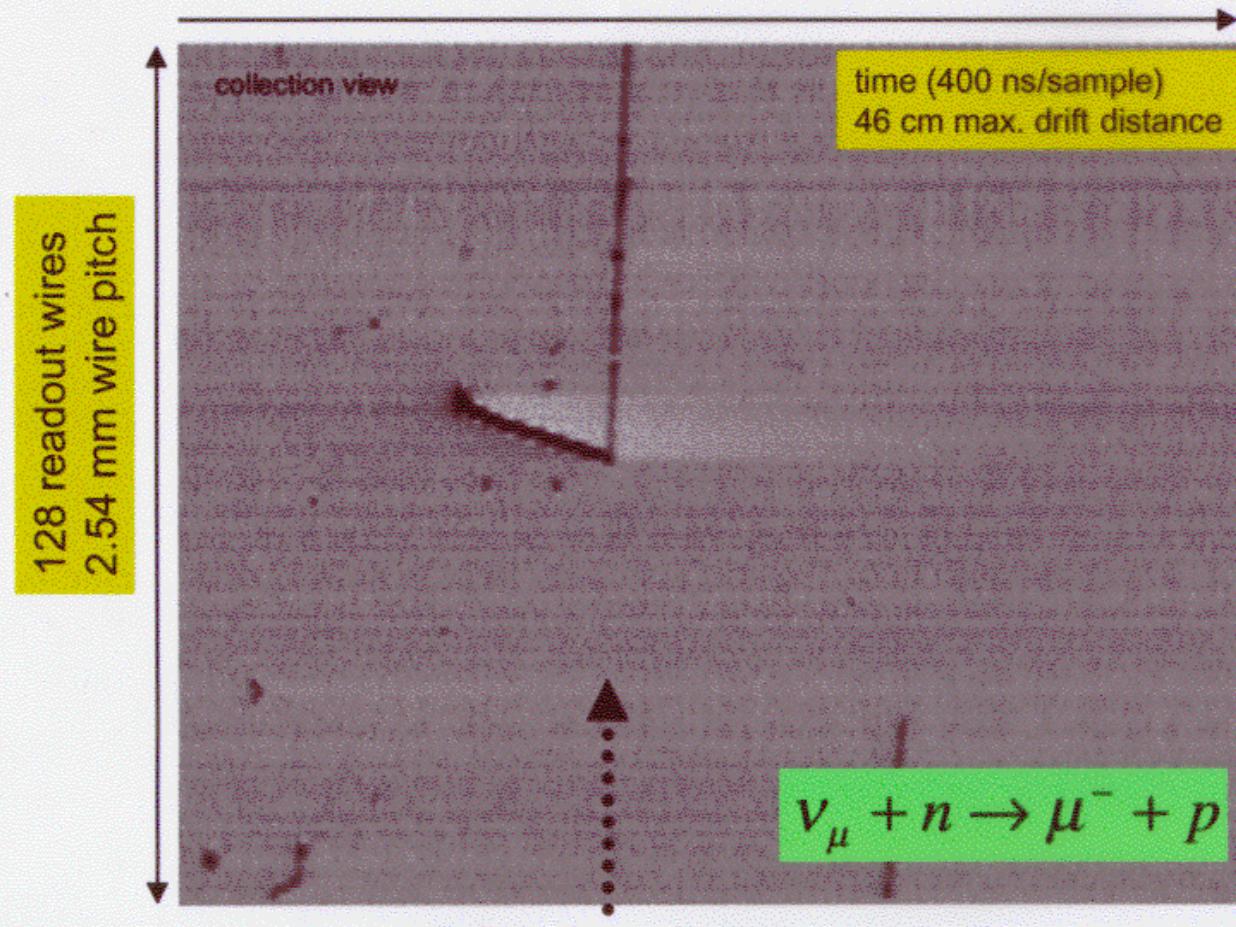
*Detector is continuously sensitive, thus allowing to easily simultaneously collect atmospheric, CNGS and other rare events...*

Real event from 15 ton



# Neutrino event in 50 liter LAr TPC (1998)

ICARUS-CERN-Milano

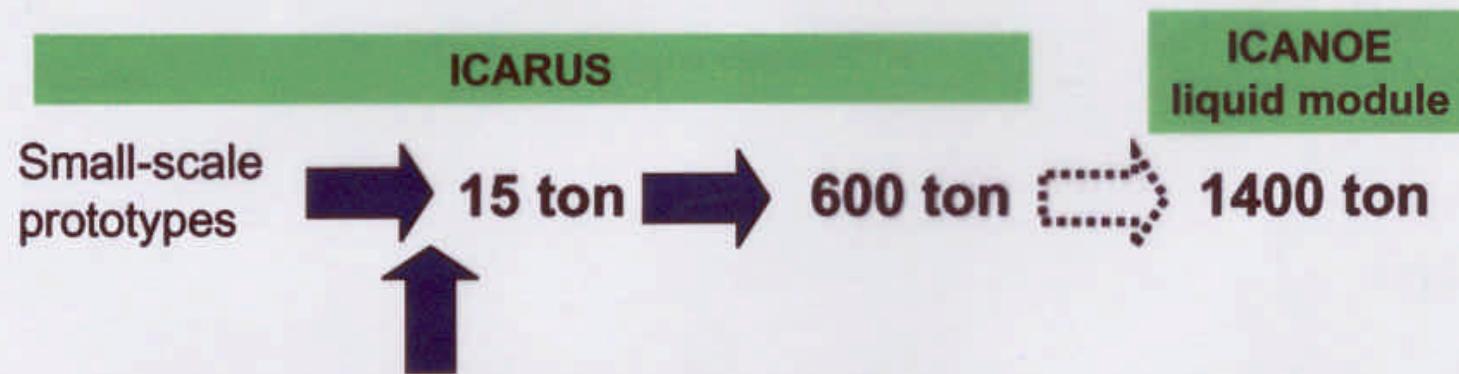


CERN  $\nu$ -beam

(Chamber located in front of NOMAD detector)

## ICARUS state of the art

- ★ After several years of R&D and prototyping, the ICARUS collaboration is now realizing the first **600 ton module**, which will be installed at Gran Sasso in the year 2001.



### Cooperation with specialized industries:

- Air Liquide for Cryostat and Argon purification
- BREME Tecnica for internal detector mechanics
- CAEN for readout electronics

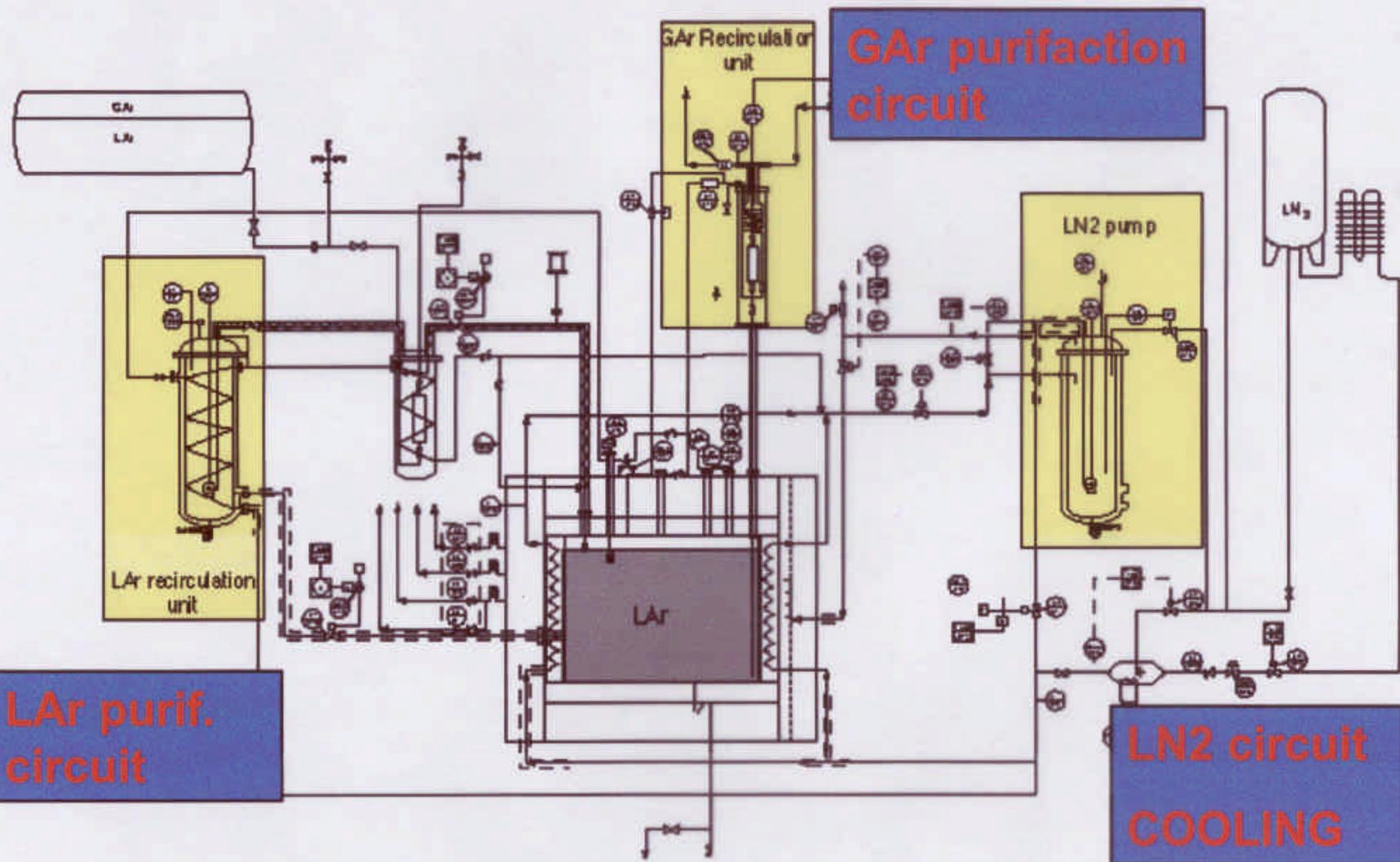
# ICARUS 15 ton ( $10\text{m}^3$ ) prototype (1999–2000)

- ★ A recent major step of the R&D program has been the construction and operation of a  **$10\text{m}^3$  prototype**
    - ① Test of the cryostat technology
    - ② Test of the “variable-geometry” wire chamber
    - ③ Test of the liquid phase purification system
    - ④ Test of trigger via scintillation light
    - ⑤ Large scale test of final readout electronics
- *First operation of a 15 ton LAr mass as an actual “detector”*

T15 installation @ LNGS (Hall di Montaggio)



# Cryogenic circuit



## ICARUS 15 ton prototype – internal detectors

Photomultipliers



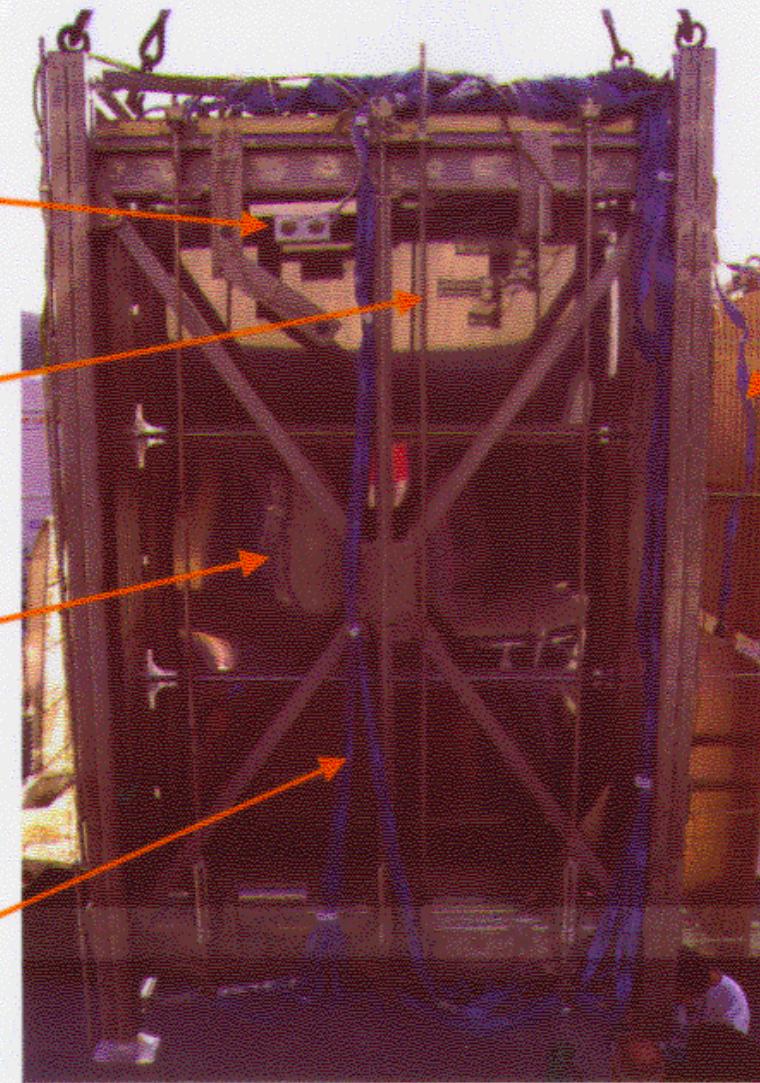
Purity Monitors



Cathode



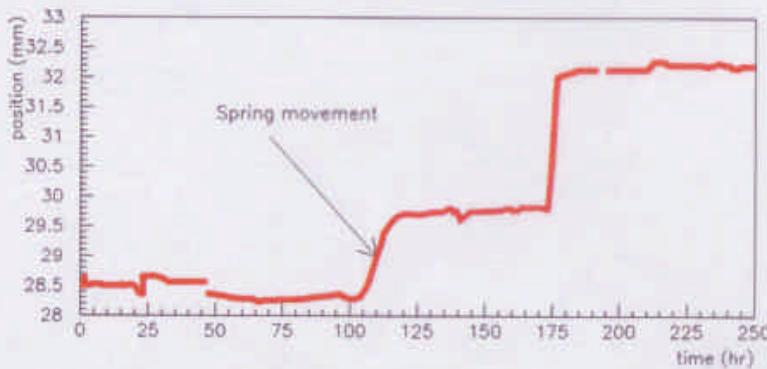
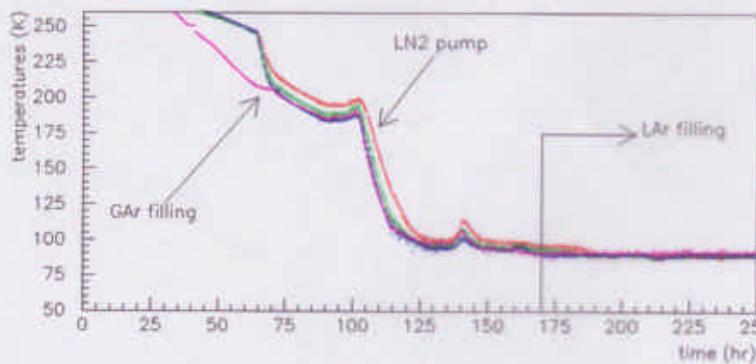
Two wire planes (induction + collection)  
928 wires/plane, all connected for readout



André Rubbia, ETH/Zürich, 14/6/00, Neutrino 2000

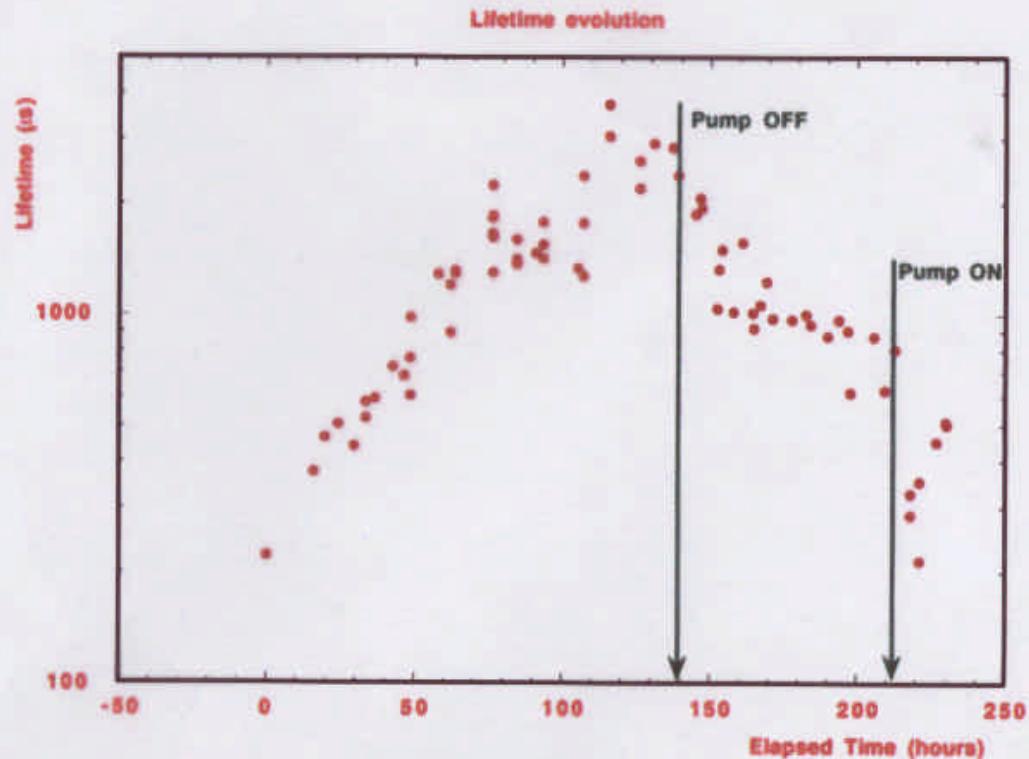
# Cooling 15 ton prototype March '99

## Temperature / Wire stretching



\* Confirmation of the functionality of the variable geometry mechanics

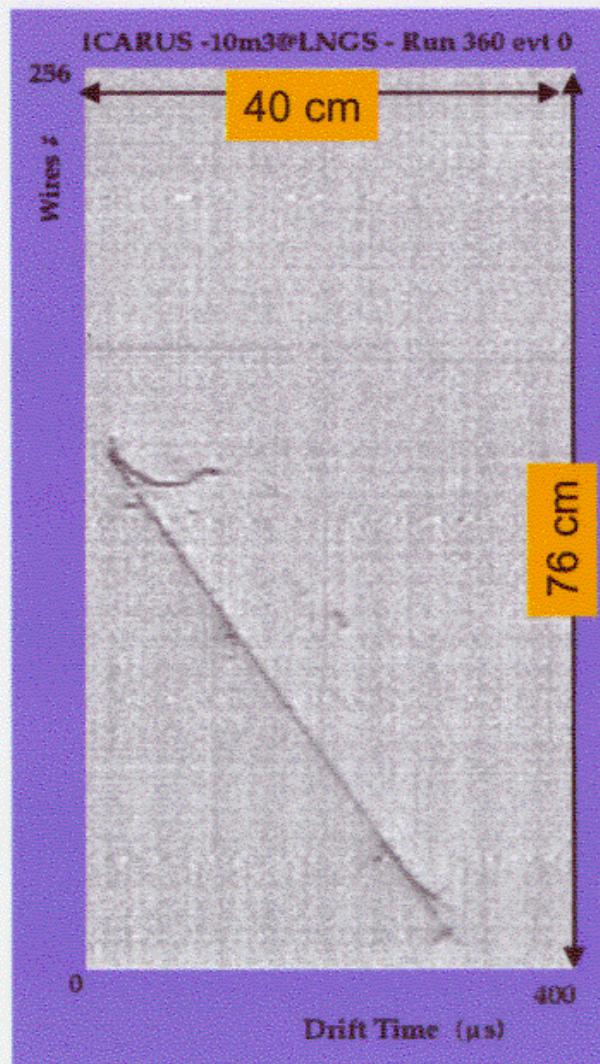
## LAr purity



\* The electrons lifetime, after about 4 days of recirculation, was between 2 ms to 3 ms.

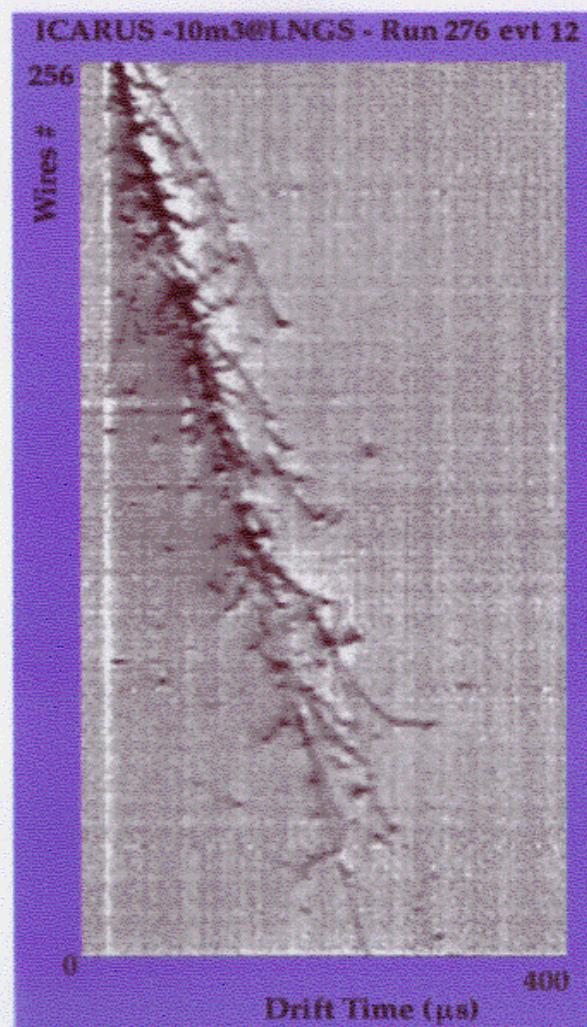
# Tracks in 15 ton prototype (Feb–May 2000)

Wires



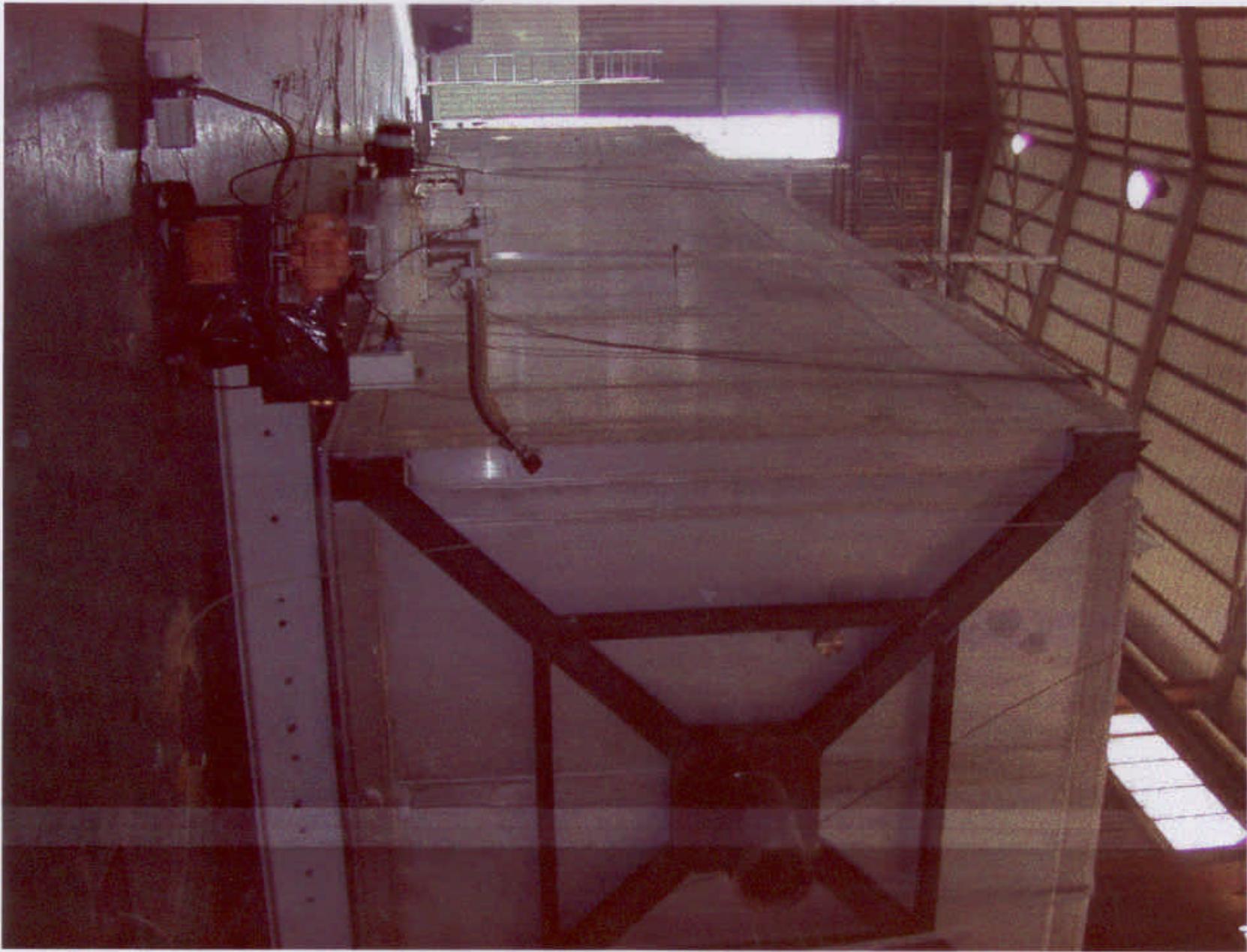
Drift

Wires



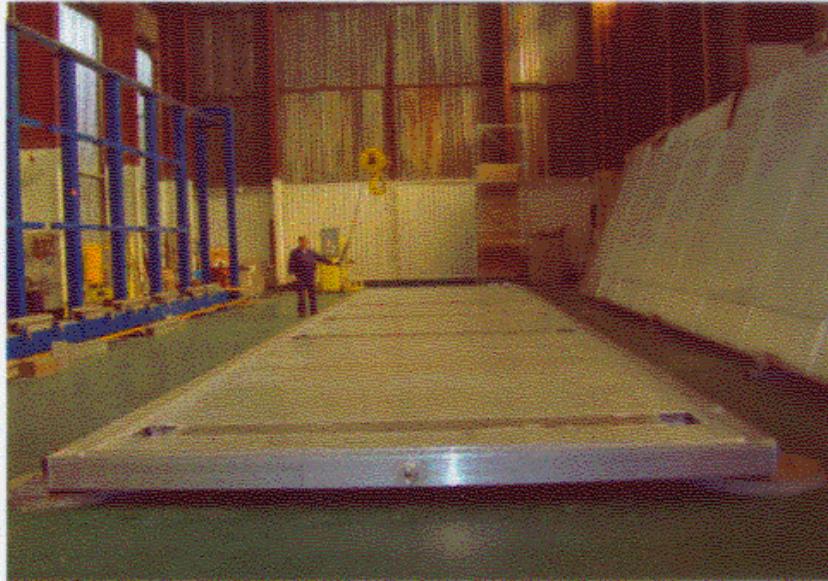
Drift

André Rubbia, ETH/Zürich, 14/6/00, Neutrino 2000



External view of the ICARUS T600  
half-module

## ICARUS T600 assembly progress



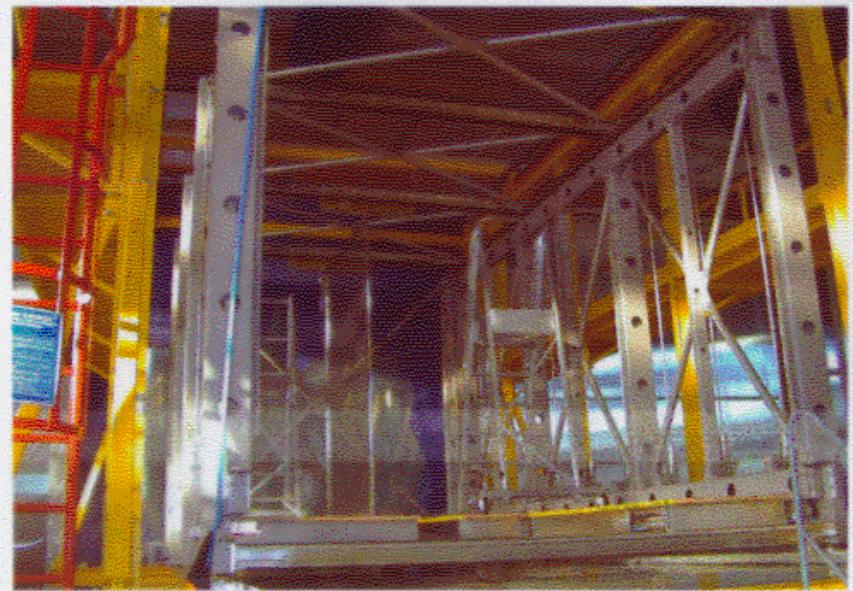
2nd half module

## Wire Factory



André Rubbia, ETH/Zürich, 14/6/00, Neutrino 2000

## Wire Chamber Construction



# ICANOE physics program

## Looking for rare events:

### Atmospheric neutrinos

$\nu$  CC



- ✓ Detection of all neutrino flavors, CC & NC modes
- ✓ Study of L/E distributions for e and  $\mu$
- ✓ Clean NC/CC
- ✓ Direct tau appearance
- ✓ Upward going muons
- ✓ Very low energy electrons

André Rubbia, ETH/Zürich, 14/6/00, Neutrino 2000

CERN-NGS

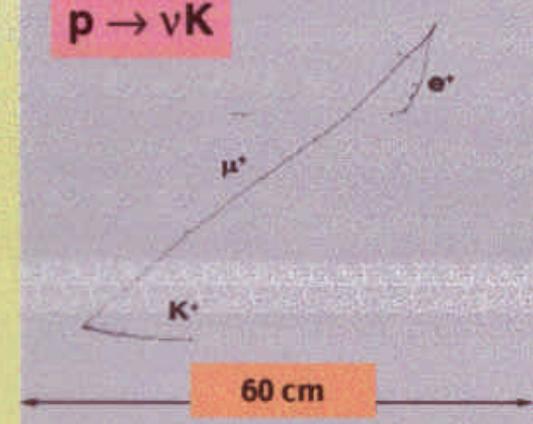
$\nu_e$  CC



- ✓ Direct tau and electron appearance
- ✓ Muon disappearance

### Nucleon decay

$p \rightarrow \nu K$



Rubbia - 32

## Two-family $\nu_\mu \rightarrow \nu_\tau$ oscillations

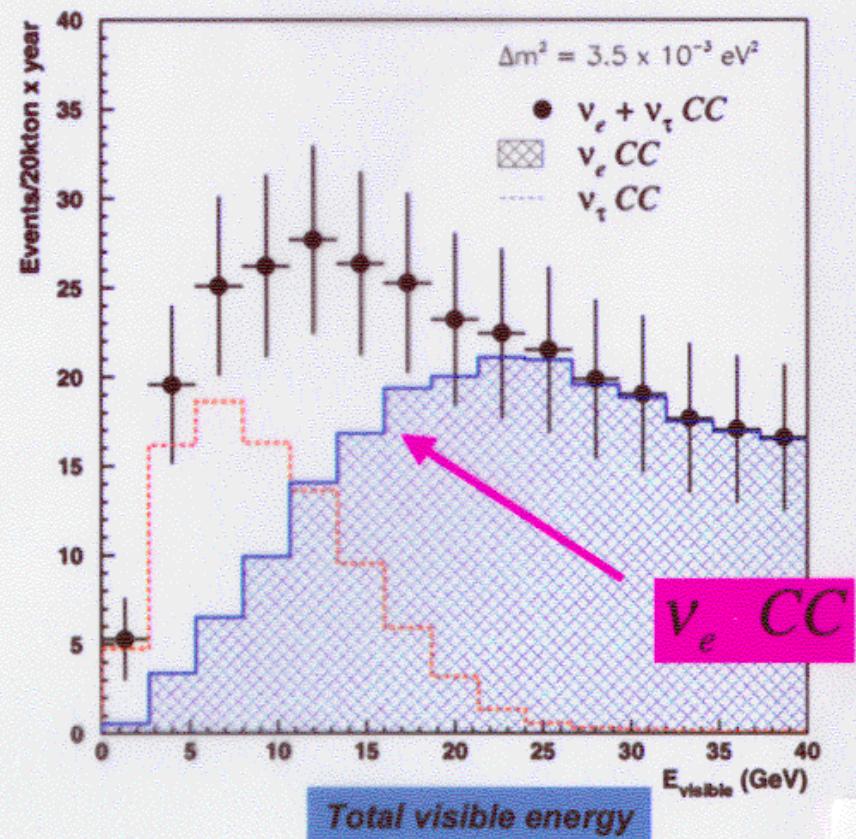
- ★ Search for **distortions** in the visible energy spectrum of leading electron sample
  - Exploit the small intrinsic  $\nu_e$  contamination of the beam (0.8% of  $\nu_\mu$  CC)
  - Exploit the unique  $e/\pi^0$  separation
  - Excess at low energy

$$\approx 470 \text{ } \nu_e \text{ CC}$$

$$\approx 110 \text{ } \nu_\tau \text{ CC} + \tau \rightarrow e \bar{v} v$$

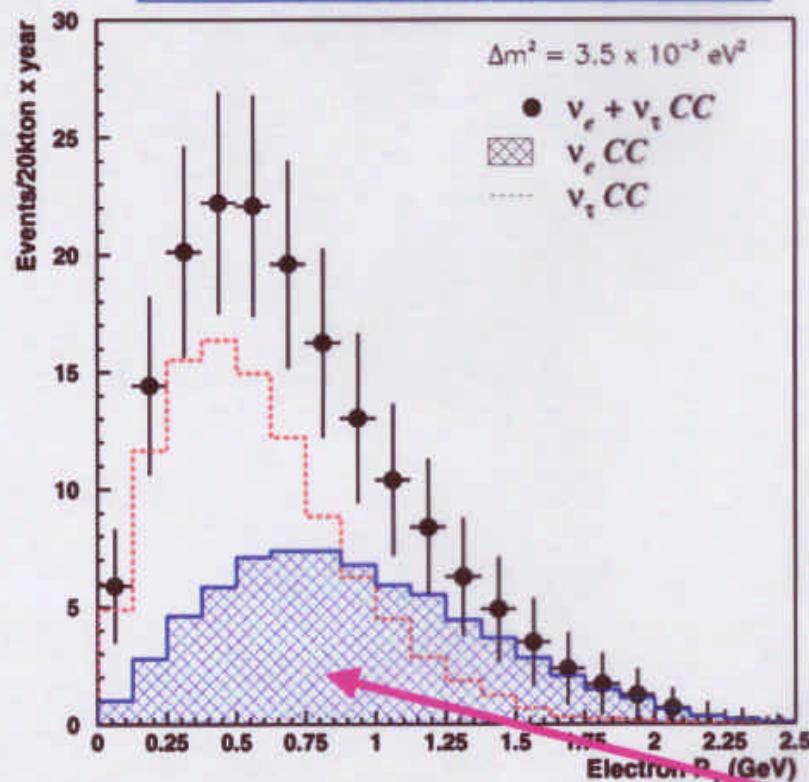
$$\Delta m^2 = 3.5 \times 10^{-3} \text{ eV}^2$$

- ★ Excess visible also without cuts
- ★ Kinematical selection in order to enhance S/B ratio
  - Will be tuned "a posteriori" depending on the actual  $\Delta m^2$



# Tau appearance – kinematic selection

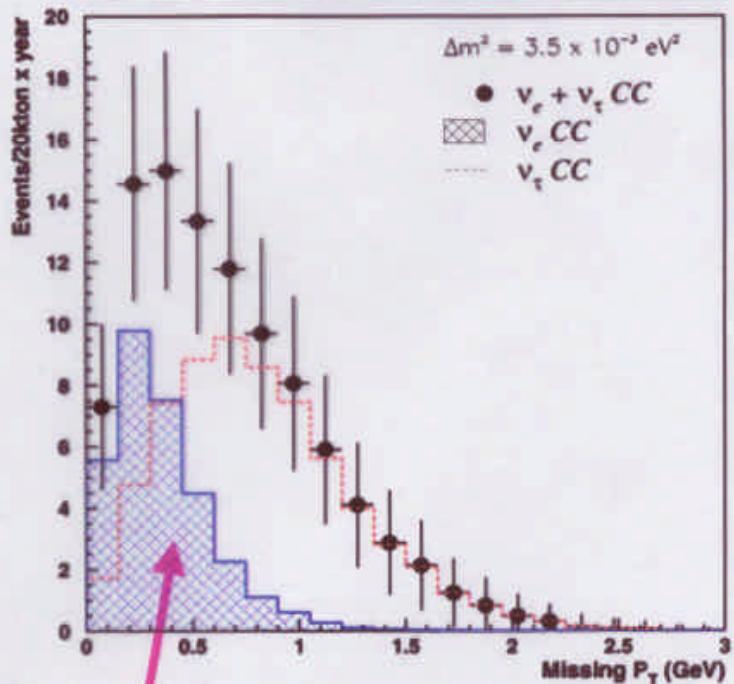
**Transverse  $P$  electron**



$$\epsilon_{\nu_e \text{ CC}} = 48\%$$

$$\epsilon_{\nu_\tau \text{ CC}} = 81\%$$

**Transverse missing  $P_T$**



$\nu_e \text{ CC}$

$$P_{T,\text{miss}} > 0.6 \text{ GeV}:$$

$$\epsilon_{\nu_e \text{ CC}} = 14\%$$

$$\epsilon_{\nu_\tau \text{ CC}} = 65\%$$

ICANOE  
20kt x year

LAr+CAL  
contained

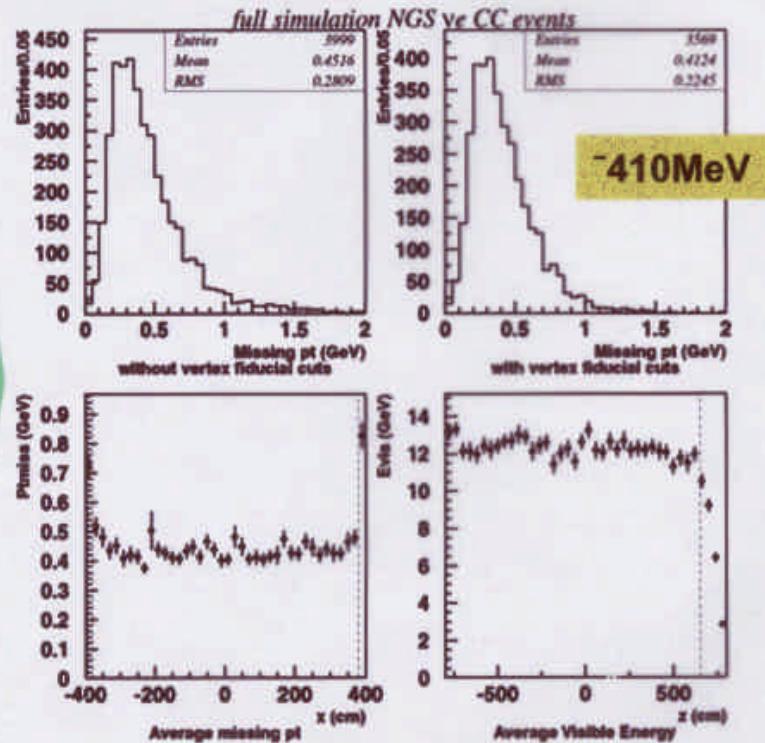
| Cuts                                | $\nu_e$ , CC (%) | $\nu_e$ , CC | $\nu_e$ , CC | $\Delta m^2 = 10^{-3}$ eV <sup>2</sup> | $\Delta m^2 = 2.8 \times 10^{-3}$ eV <sup>2</sup> | $\Delta m^2 = 3.5 \times 10^{-3}$ eV <sup>2</sup> | $\Delta m^2 = 10^{-2}$ eV <sup>2</sup> |
|-------------------------------------|------------------|--------------|--------------|----------------------------------------|---------------------------------------------------|---------------------------------------------------|----------------------------------------|
| Initial                             | 100              | 80           | 21           | 16                                     | 21                                                | 16                                                | 16                                     |
| Fiducial volume                     | 79               | 62           |              |                                        |                                                   |                                                   |                                        |
| One candidate with momentum > 1 GeV | 64               | 59           | 13           |                                        |                                                   |                                                   |                                        |
| $E_{vis} < 18$ GeV                  | 61               | 11           | 12           |                                        |                                                   |                                                   |                                        |
| $p_T^e < 0.9$ GeV                   | 49               | 4.9          | 10           |                                        |                                                   |                                                   |                                        |
| $p_T^{\mu} > 0.6$ GeV               | 30               | 1.7          | 6.3          |                                        |                                                   |                                                   |                                        |

LAr contained

| Cuts                                | $\nu_e$ , CC (%) | $\nu_e$ , CC | $\nu_e$ , CC | $\Delta m^2 = 10^{-3}$ eV <sup>2</sup> | $\Delta m^2 = 2.8 \times 10^{-3}$ eV <sup>2</sup> | $\Delta m^2 = 3.5 \times 10^{-3}$ eV <sup>2</sup> | $\Delta m^2 = 10^{-2}$ eV <sup>2</sup> |
|-------------------------------------|------------------|--------------|--------------|----------------------------------------|---------------------------------------------------|---------------------------------------------------|----------------------------------------|
| Initial                             | 100              | 437          | 29           | 9.3                                    | 71                                                | 111                                               | 779                                    |
| Fiducial volume                     | 88               | 383          | 25           | 8.2                                    | 64                                                | 97                                                | 686                                    |
| One candidate with momentum > 1 GeV | 72               | 365          | 25           | 6.7                                    | 50                                                | 80                                                | 561                                    |
| $E_{vis} < 18$ GeV                  | 67               | 64           | 5            | 6.2                                    | 46                                                | 75                                                | 522                                    |
| $p_T^e < 0.9$ GeV                   | 54               | 31           | 3            | 5.0                                    | 38                                                | 60                                                | 421                                    |
| $p_T^e > 0.3$ GeV                   | 51               | 29           | 2            | 4.7                                    | 35                                                | 56                                                | 397                                    |
| $p_T^{\mu} > 0.6$ GeV               | 33               | 4            | 0.4          | 3.1                                    | 23                                                | 37                                                | 257                                    |

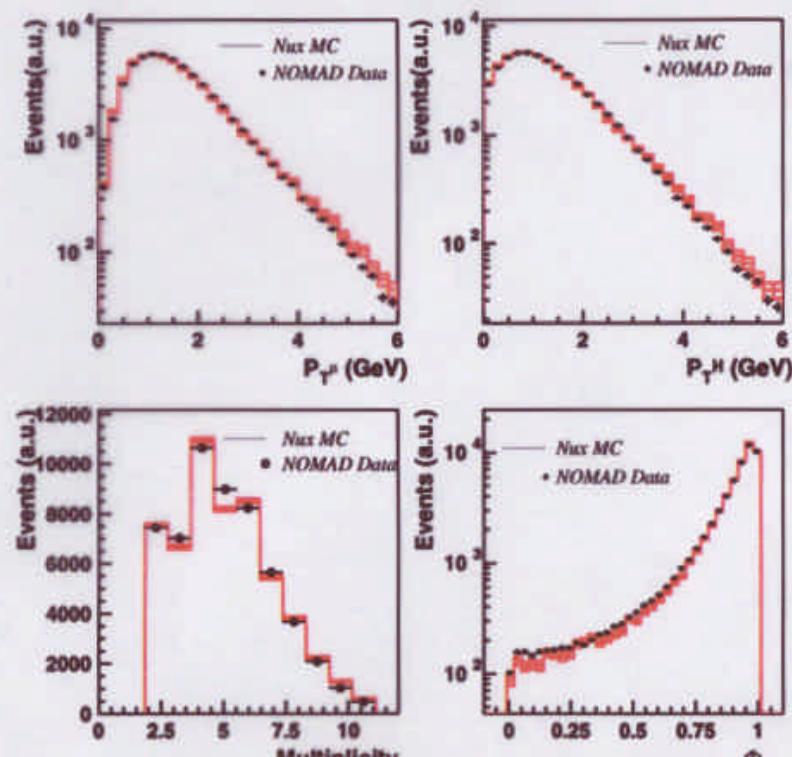
# Kinematics simulation

## Liquid target full simulation



NUX/FLUKA

## Comparison NOMAD data



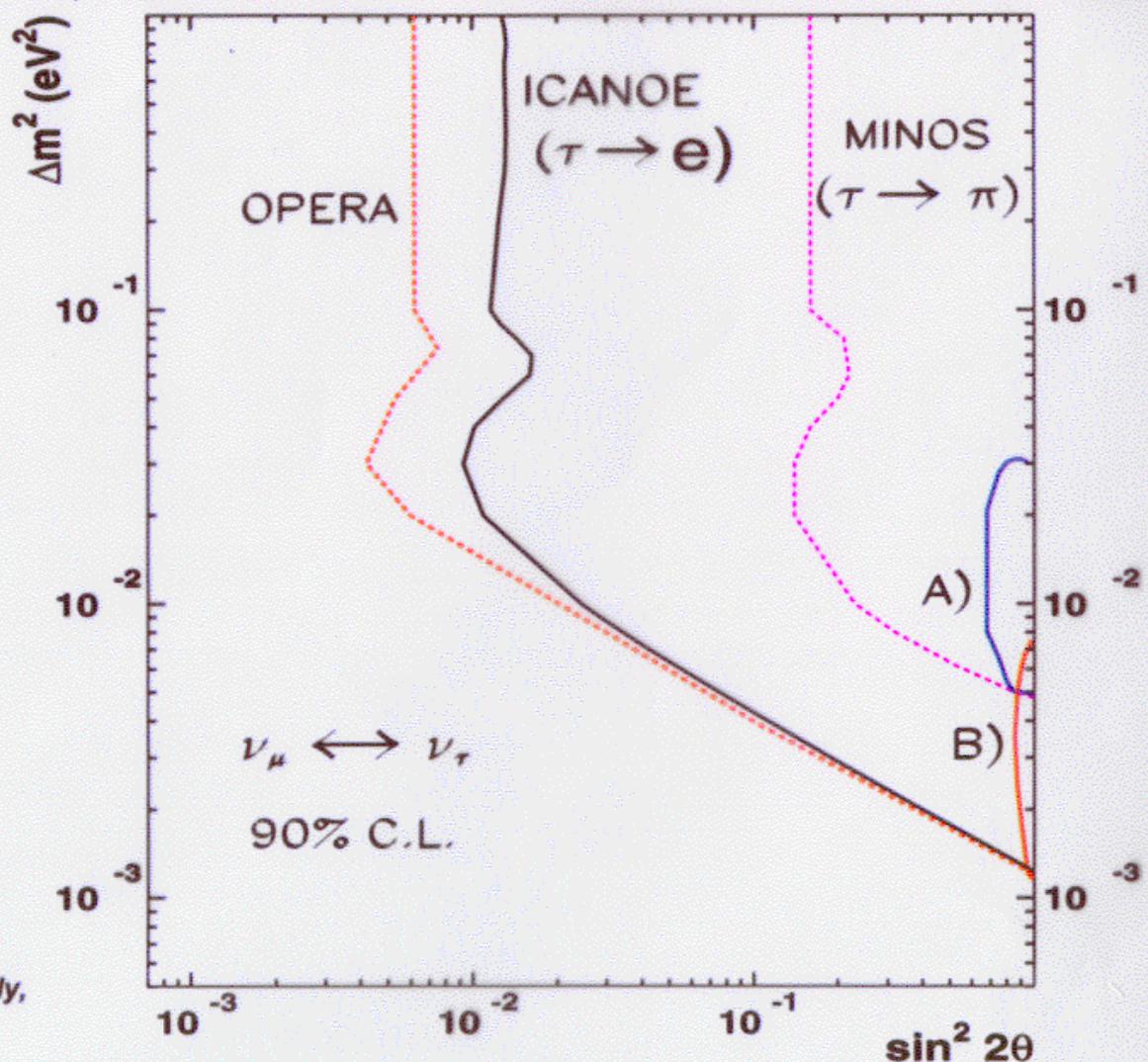
NUX/FLUKA/GENOM

# Two-family $\nu_\mu \rightarrow \nu_\tau$ oscillations: sensitivity

$\nu_\mu \rightarrow \nu_\tau$

4 years

(MINOS high energy beam (PH2high)  
configuration, NUMI-L228 & TDR)  
(OPERA, CERN/SPSC 99-20)  
(ICANOE, tau appearance, electron channel only,  
optimized for low  $\Delta m^2$ )



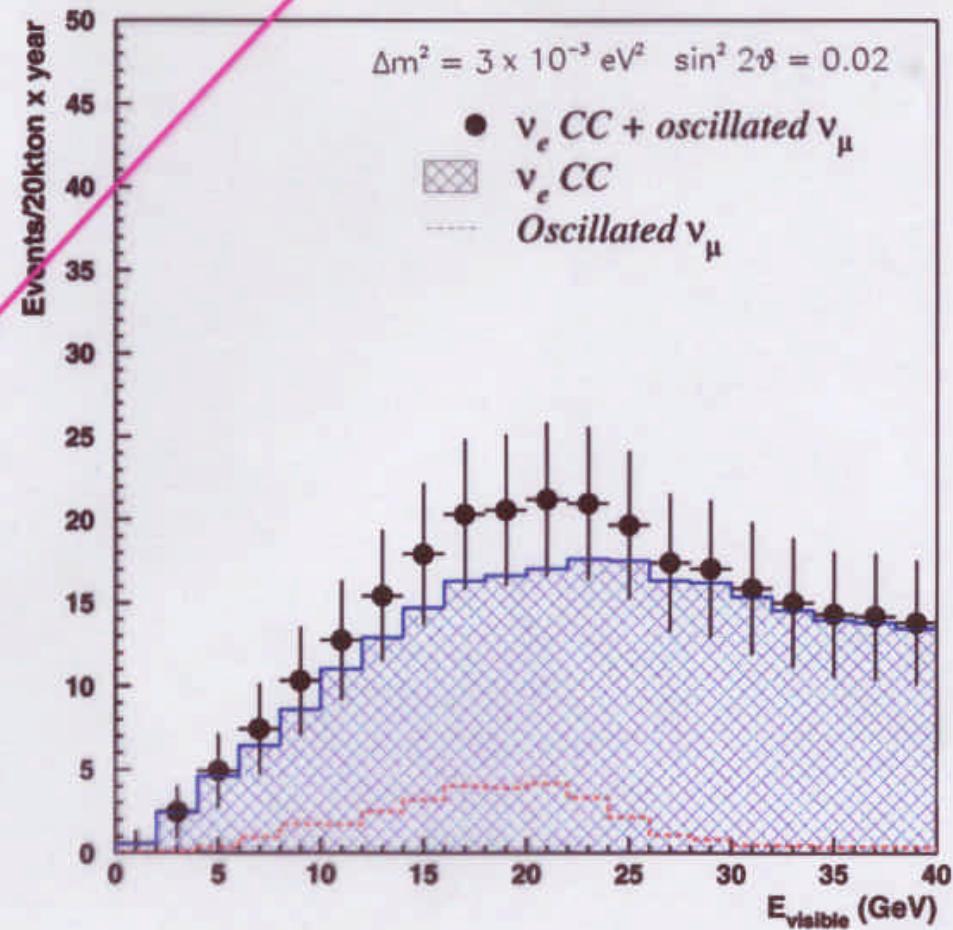
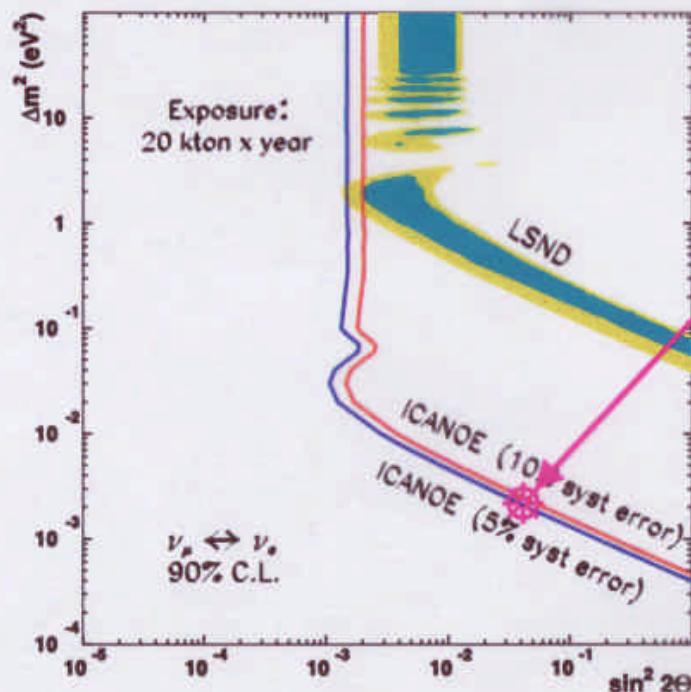
# Two-family $\nu_\mu \rightarrow \nu_e$ oscillations

Exploit the **small intrinsic  $\nu_e$  contamination** of the beam (0.8% of  $\nu_\mu$  CC)

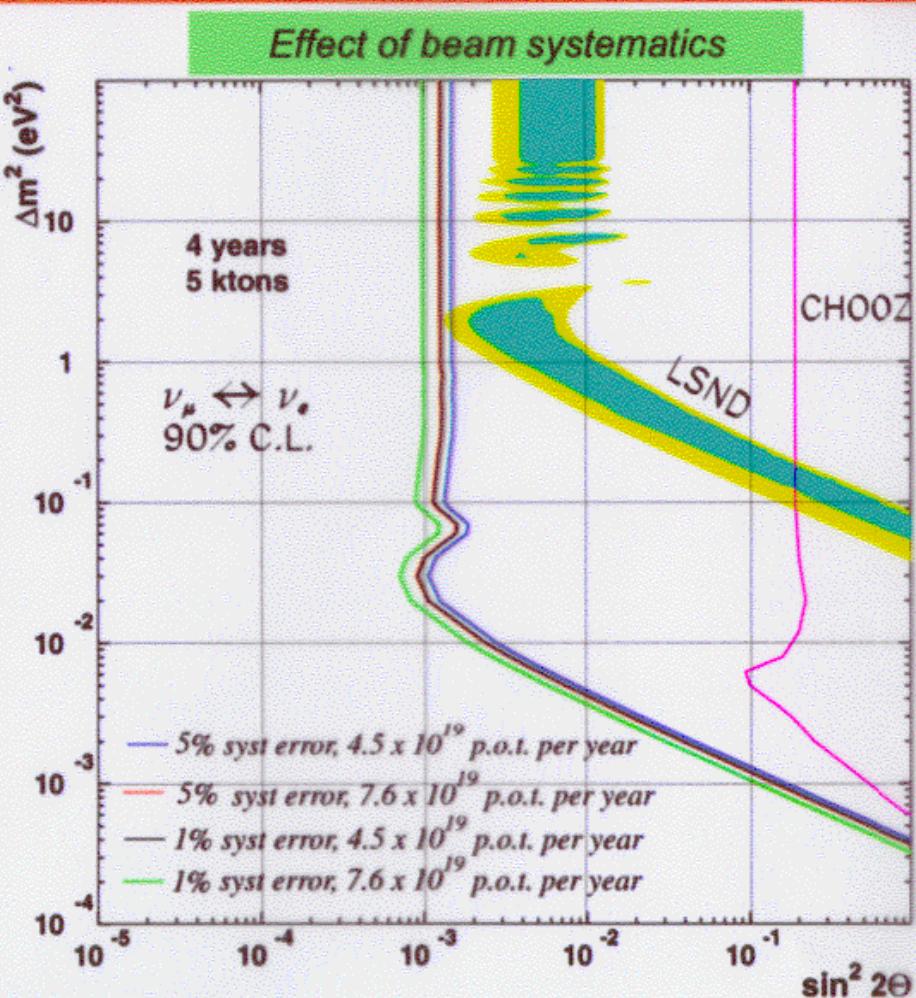
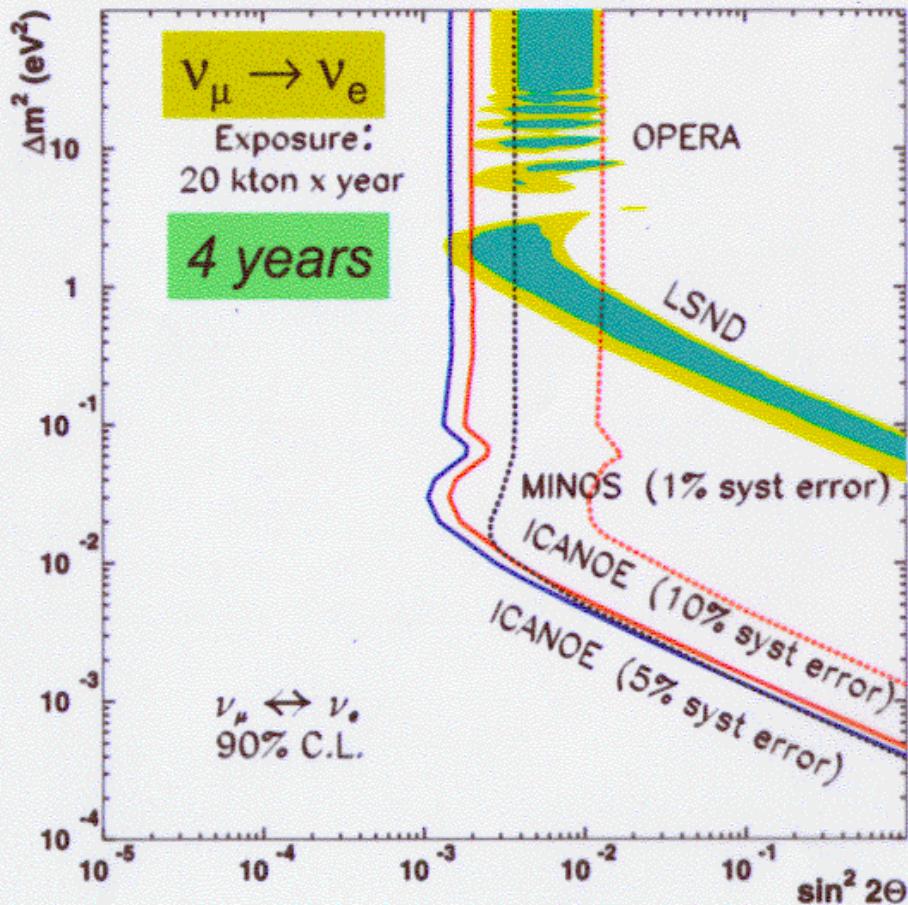
Exploit the unique  $e/\pi^0$  separation

Excess at low energy

$$\Delta m^2 = 3 \times 10^{-3} \text{ eV}^2; \sin^2 2\theta = 0.02$$



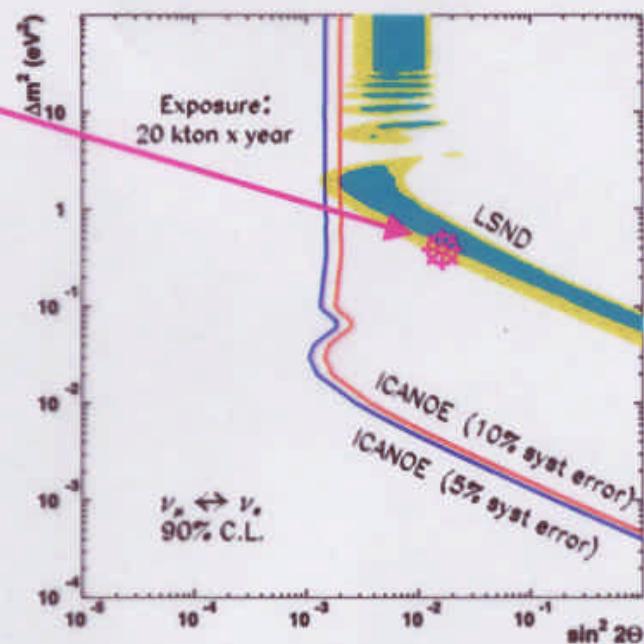
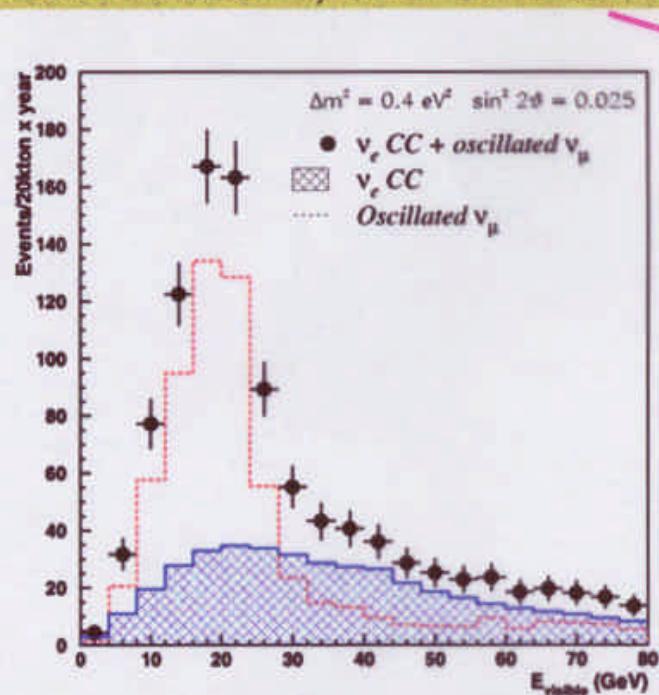
# Two-family $\nu_\mu \rightarrow \nu_e$ mixing



(MINOS high energy beam (**PH2high**) configuration, NUMI-L228 & TDR)  
 (OPERA, CERN/SPSC 99-20)

# LSND signal

$$\Delta m^2 = 0.4 \text{ eV}^2; \sin^2 2\theta = 0.025$$



| 20 kton x year exposure               |                             |                                                                                     |                                                                                     |                                                                                     |                                                                                     |
|---------------------------------------|-----------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
|                                       | $\nu_e + \bar{\nu}_e$<br>CC | Oscillated $\nu_\mu$<br>$\Delta m^2 = 0.8 \text{ eV}^2$<br>$\sin^2 2\theta = 0.007$ | Total $\nu_e$ events<br>$\Delta m^2 = 0.8 \text{ eV}^2$<br>$\sin^2 2\theta = 0.007$ | Oscillated $\nu_\mu$<br>$\Delta m^2 = 0.4 \text{ eV}^2$<br>$\sin^2 2\theta = 0.025$ | Total $\nu_e$ events<br>$\Delta m^2 = 0.4 \text{ eV}^2$<br>$\sin^2 2\theta = 0.025$ |
| No cut                                | $466 \pm 22 \pm 23$         | $188 \pm 14 \pm 9$                                                                  | $654 \pm 26 \pm 33$                                                                 | $681 \pm 26 \pm 34$                                                                 | $1146 \pm 34 \pm 57$                                                                |
| $E_{\text{visible}} < 20 \text{ GeV}$ | $94 \pm 10 \pm 5$           | $85 \pm 9 \pm 4$                                                                    | $179 \pm 13 \pm 9$                                                                  | $309 \pm 17 \pm 15$                                                                 | $403 \pm 20 \pm 20$                                                                 |

# Three family oscillations

$$P_{CP} = \delta_{\alpha\beta} - 4 \sum_{j>k} \operatorname{Re} J_{\alpha\beta jk} \sin^2 \Delta_{jk}$$

$$P_{CP} = 4 \sum_{j>k} \operatorname{Im} J_{\alpha\beta jk} \sin \Delta_{jk} \cos \Delta_{jk}$$

$$J_{\alpha\beta jk} = U_{\alpha k} U_{\beta k}^* U_{\alpha j}^* U_{\beta j}$$

$$\Delta_{jk} = \frac{1.27 \Delta m_{jk}^2 L}{E}$$

$\Delta m_{jk}^2$  in eV<sup>2</sup>, L in km,  
E in GeV

3 angles  
+ 1 complex phase

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

$$\begin{array}{c} U_{e3} \\ \downarrow \\ s_{13}e^{-i\delta} \end{array}$$

Assuming  
 $\Delta m_{21}^2 = 0$

One mass scale  
approximation

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \Delta_{32}^2$$

$$P(\nu_\mu \rightarrow \nu_\tau) = \cos^4 \theta_{13} \sin^2 2\theta_{23} \Delta_{32}^2$$

$$\approx \sin^2 2\theta_{23} \Delta_{32}^2 \quad \text{for } \theta_{13} \ll 1$$

$$\text{with } \Delta_{32}^2 = \sin^2(1.27 \Delta m_{32}^2 L / E)$$

# Search for $\theta_{13} \neq 0$

$$\Delta m^2_{32} = 3.5 \times 10^{-3} \text{ eV}^2; \sin^2 2\theta_{23} = 1$$

ICANOE  
4 years

Cuts: Fiducial,  $E_e > 1 \text{ GeV}$ ,  $E_{vis} < 20 \text{ GeV}$

$$\Delta m^2_{23} = 3.5 \times 10^{-3} \text{ eV}^2, \theta_{23} = 45^\circ$$

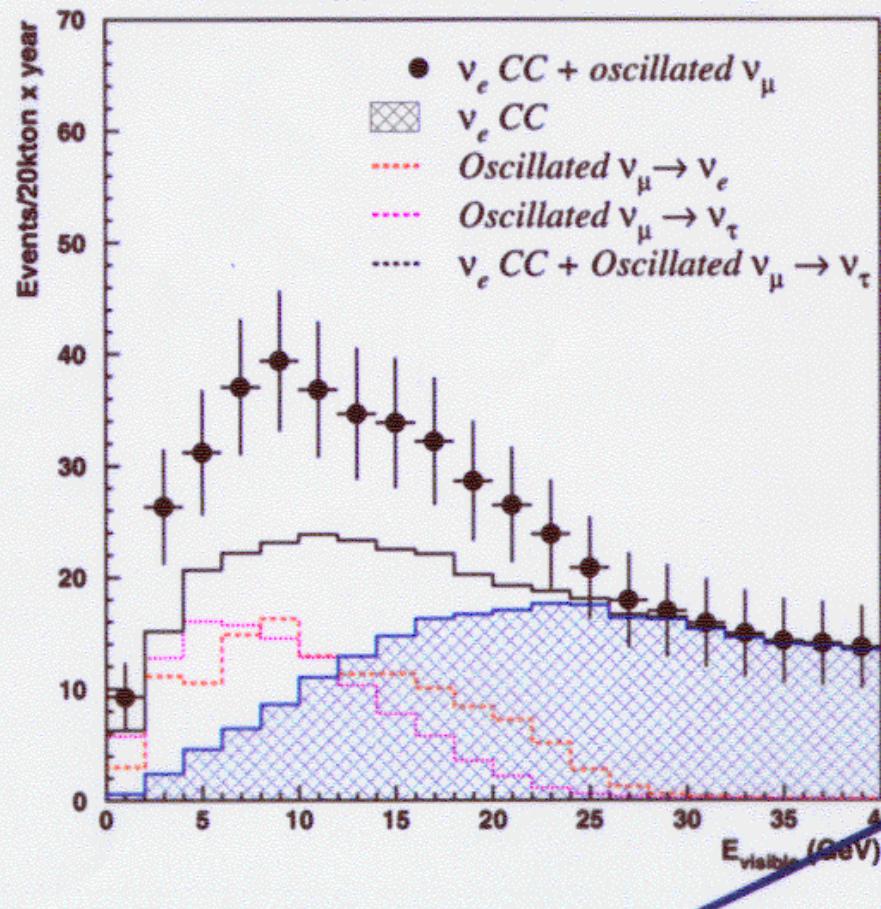
| $\theta_{13}$<br>(degrees) | $\sin^2 2\theta_{13}$ | $\nu_e$ CC | $\nu_\mu \rightarrow \nu_\tau$<br>$\tau \rightarrow e$ | $\nu_\mu \rightarrow \nu_e$ | Total | Statistical significance |
|----------------------------|-----------------------|------------|--------------------------------------------------------|-----------------------------|-------|--------------------------|
| 9                          | 0.095                 | 79         | 74                                                     | 84                          | 237   | $6.8\sigma$              |
| 8                          | 0.076                 | 79         | 75                                                     | 67                          | 221   | $5.4\sigma$              |
| 7                          | 0.058                 | 79         | 76                                                     | 51                          | 206   | $4.1\sigma$              |
| 5                          | 0.030                 | 79         | 77                                                     | 26                          | 182   | $2.1\sigma$              |
| 3                          | 0.011                 | 79         | 77                                                     | 10                          | 166   | $0.8\sigma$              |

$$P(\nu_\mu \rightarrow \nu_\tau) = \cos^4 \theta_{13} \sin^2 2\theta_{23} \Delta^2_{32}$$

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \Delta^2_{32}$$

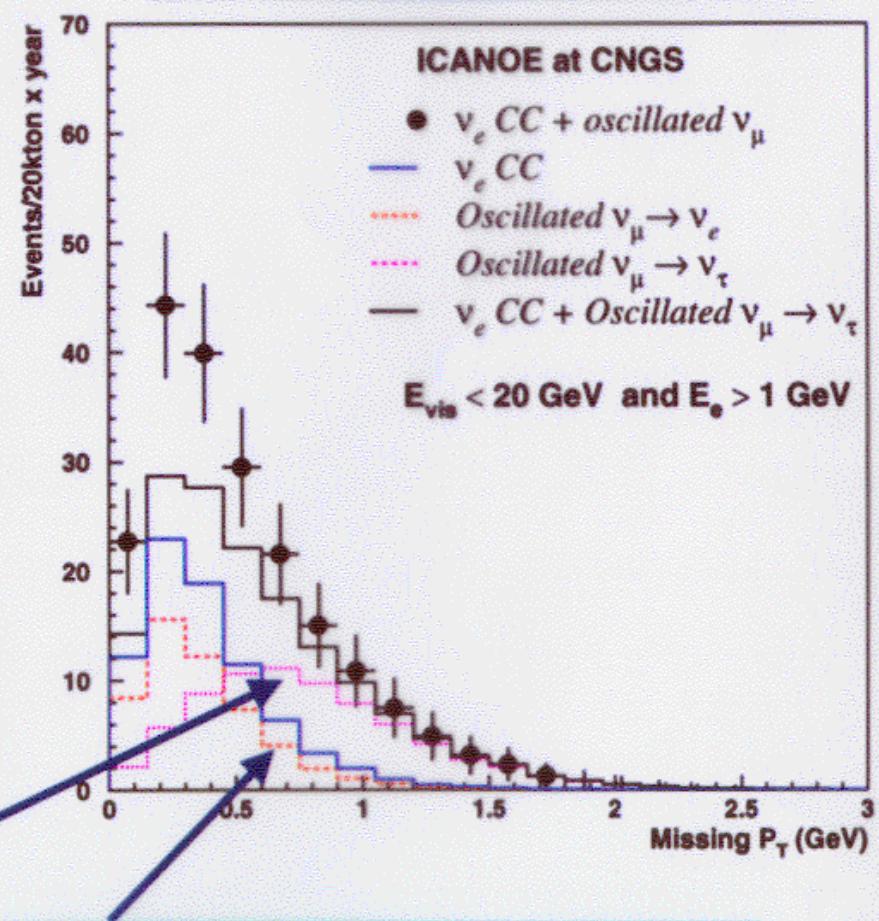
$$\Delta m^2_{32} = 3.5 \times 10^{-3} \text{ eV}^2; \sin^2 2\theta_{23} = 1; \sin^2 2\theta_{13} = 0.05$$

## Total visible energy



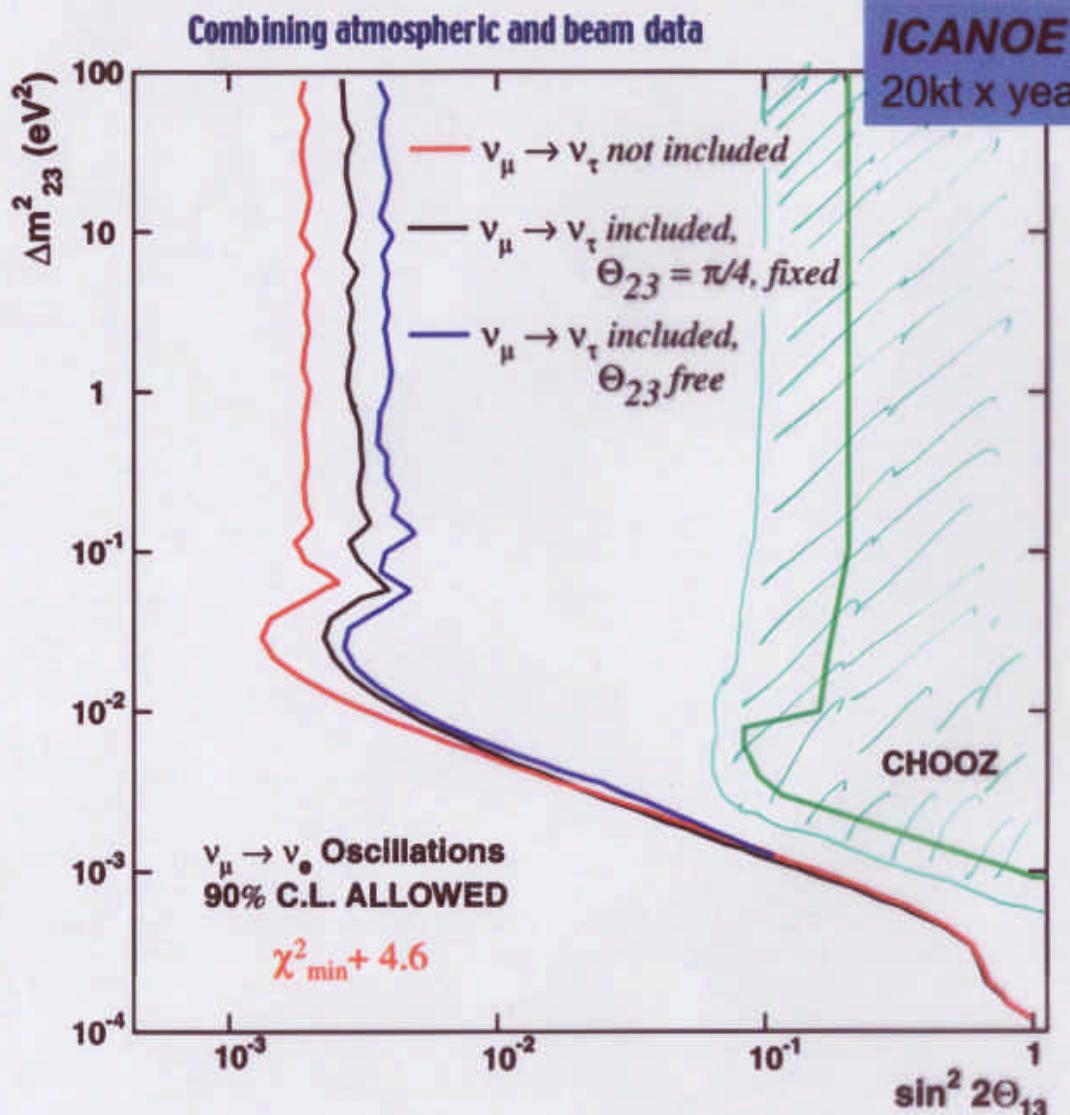
$$P(\nu_\mu \rightarrow \nu_\tau) = \cos^4 \theta_{13} \sin^2 2\theta_{23} \Delta^2_{32}$$

## Transverse missing $P_T$



$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \Delta^2_{32}$$

# Sensitivity to $\theta_{13}$ in three family-mixing

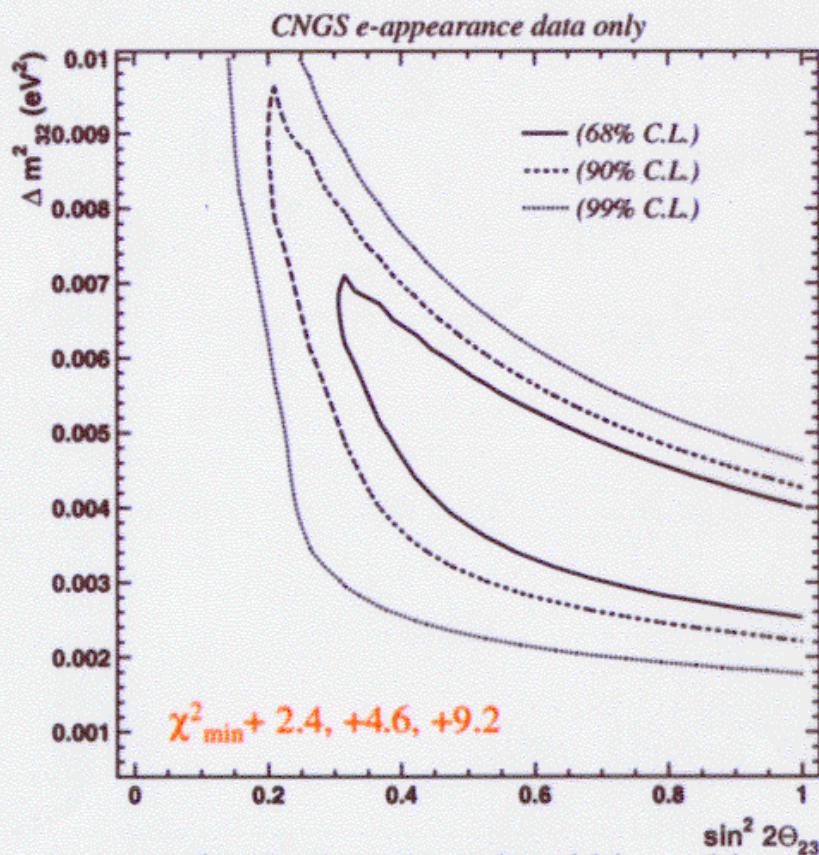


- \* Limit slightly degraded by inclusion of tau events and leaving contribution as free parameter
- \* Improved if  $\theta_{23}$  fixed (e.g. to  $45^\circ$  or from other experiments)
- \* Almost two-orders of magnitude improvement over existing limit

# Parameter determination from beam only

Using electron appearance CNGS beam data only !

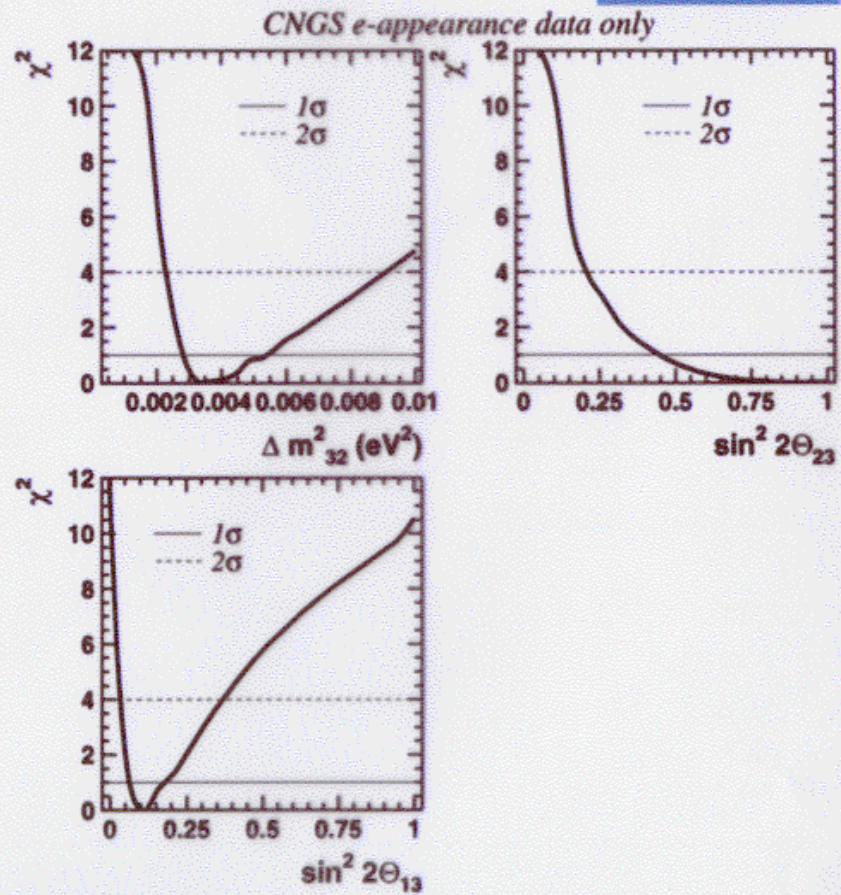
ICANOE  
20kt x year



Systematic errors: uncorrelated bin-to-bin:

±10%  $\nu_e$  CC, ±10%  $\nu_\tau$  CC

André Rubbia, ETH/Zürich, 14/6/00, Neutrino 2000



But  $\theta_{23}$  constrained by atmospheric data !

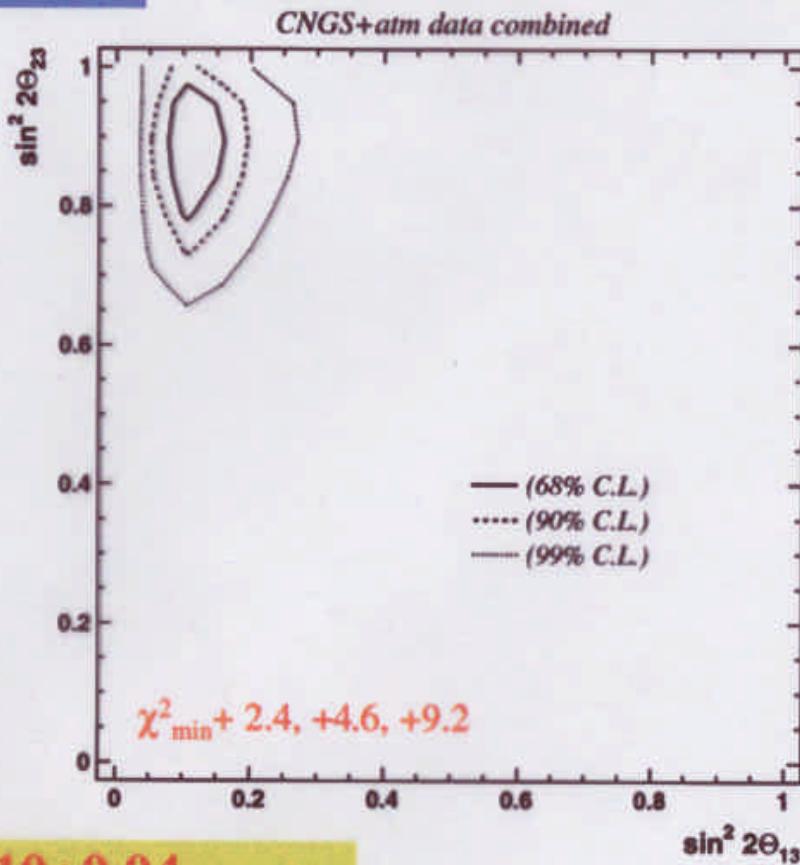
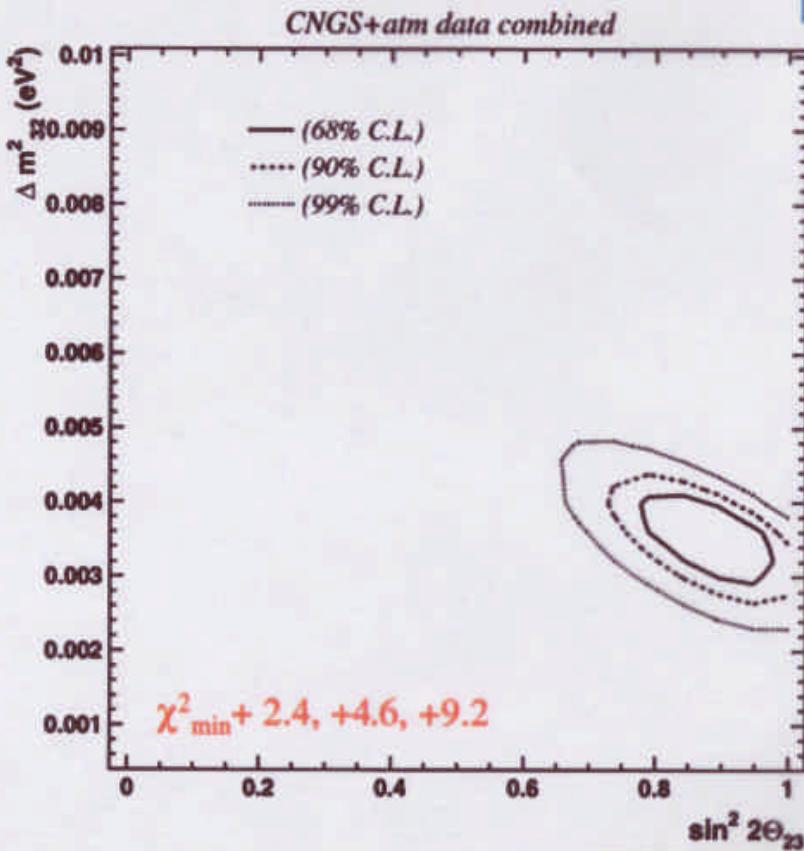
Rubbia -45

# Determination parameters

Combining atmospheric and beam data !

ICANOE  
20kt x year

Fit of simulated  
ICANOE data only



Fitted values:

$$\begin{aligned}\sin^2 2\theta_{13} &= 0.10 \pm 0.04 \\ \sin^2 2\theta_{23} &= 0.90 \pm 0.12 \\ \Delta m^2_{32} &= (3.5 \pm 0.4) \times 10^{-3} \text{ eV}^2\end{aligned}$$

# ICANOE atmospheric event rates

\* **Complete data set:**

- 5.6 kton LAr sensitive mass
- 3.2 kton calorimeter mass

Events/year

1150      }  
500      }  
1650

| Process            | Exposure      |                |                |
|--------------------|---------------|----------------|----------------|
|                    | 5 kton × year | 20 kton × year | 50 kton × year |
| $\nu_\mu$ CC       | 535           | 2140           | 5350           |
| $\bar{\nu}_\mu$ CC | 135           | 545            | 1350           |
| $\nu_e$ CC         | 300           | 1200           | 3000           |
| $\bar{\nu}_e$ CC   | 59            | 235            | 585            |
| $\nu$ NC           | 325           | 1300           | 3250           |
| $\bar{\nu}$ NC     | 150           | 590            | 1500           |

Flux: 3D calculation,  
Battistoni et.al., hep-ph/9907408

**Data for L/E analysis:**

Events/year

$\nu_\mu$  CC  
 $\nu_e$  CC  
 $\nu$  NC

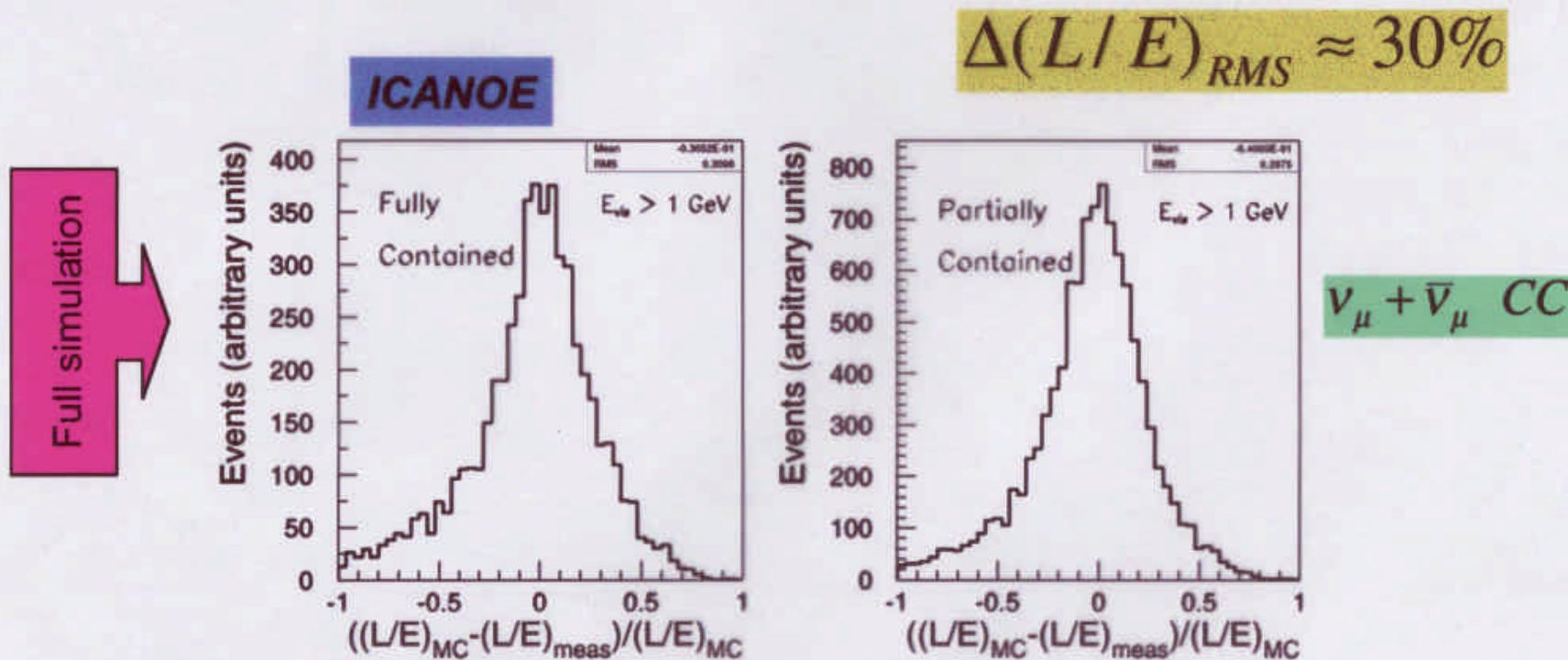
ICANOE liquid  
Evisible > 1 GeV

380      }  
160      }  
400      }  
260

ICANOE solid  
Evisible > 1 GeV

# Reconstructed L/E resolution

- ★ Smearing in L/E is introduced by finite resolution
  - Fermi motion: apply a cut on  $E_{\text{visible}} > 1 \text{ GeV}$  (40% of all events!)
  - Measurement resolution



# L/E distribution: electrons and muons

ICANOE

- ★ Oscillation parameters:

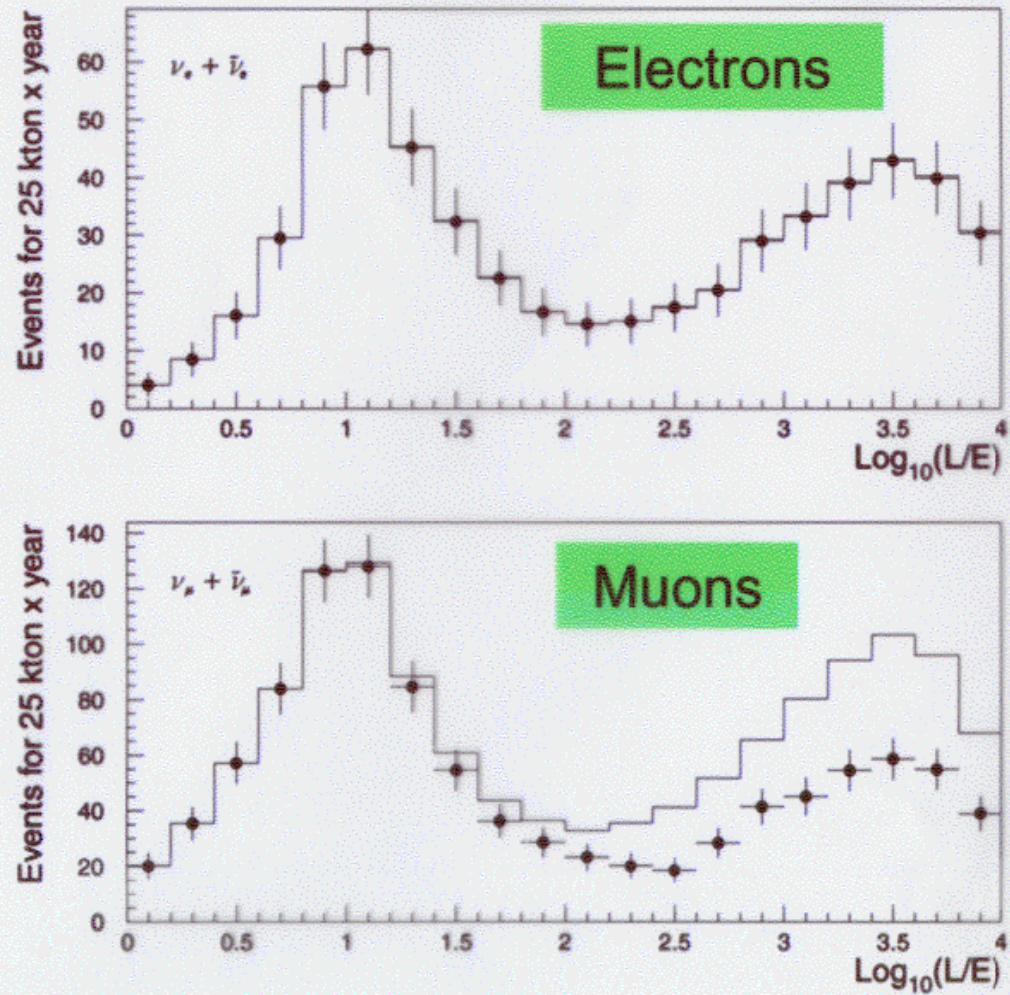
$$\rightarrow \Delta m^2_{32} = 3.5 \times 10^{-3} \text{ eV}^2$$

$$\rightarrow \sin^2 2\Theta_{23} = 0.9$$

$$\rightarrow \sin^2 2\Theta_{13} = 0.1$$

- ★ *Electron sample can be used as a reference for no oscillation case*

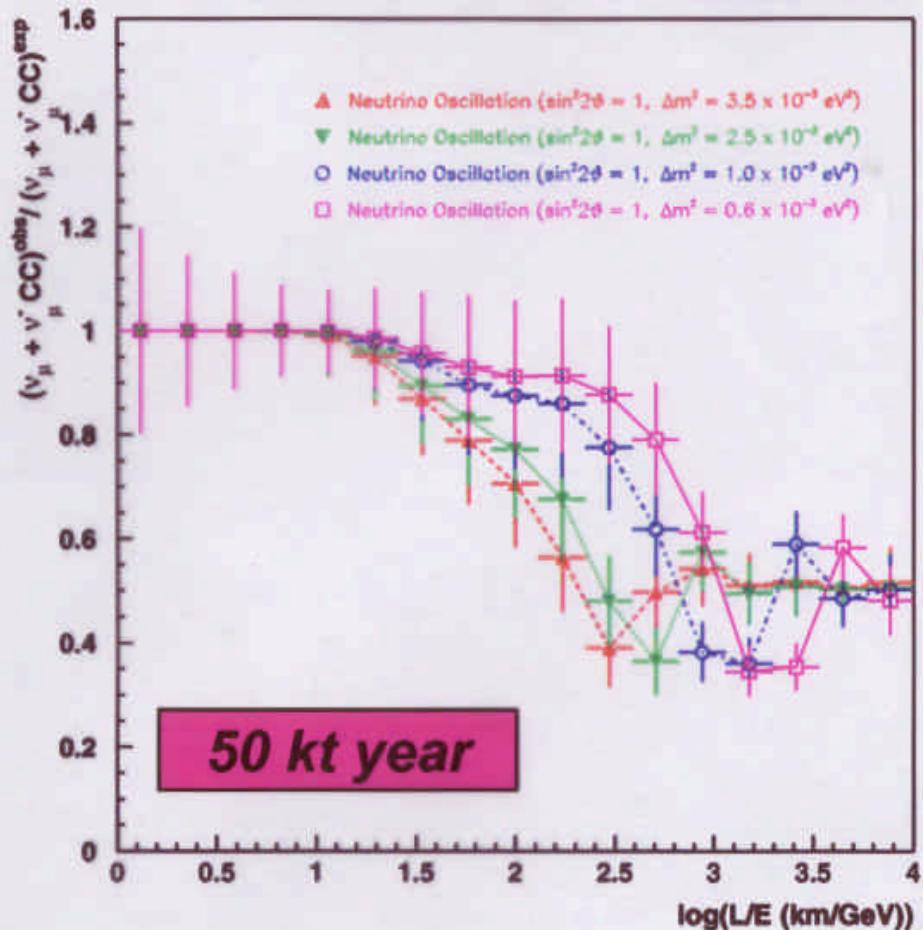
25 kt year



# $\nu_\mu$ disappearance - L/E distribution

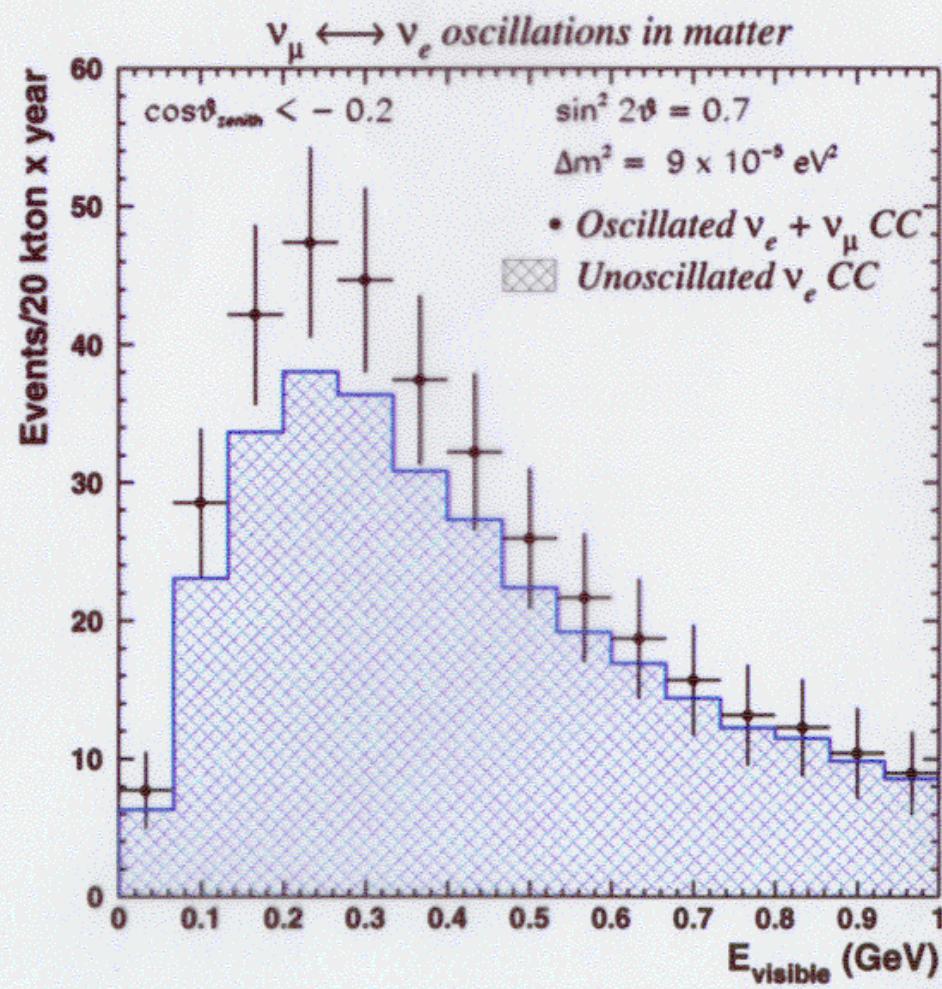
- ★ Compare **expected** distribution with **observed**
- ★ Extremely simple selection:  
→ *Keep all events with  $E_{\text{visible}} > 1 \text{ GeV}$ :  $\varepsilon = 40\% \text{ of all events!}$*
- ★ The **characteristic modulation of a given  $\Delta m^2$**  is clearly visible.
- ★ “DIP” visible
- ★ Can precisely measure the oscillation parameter and resolution can be improved (items under study)

ICANOE

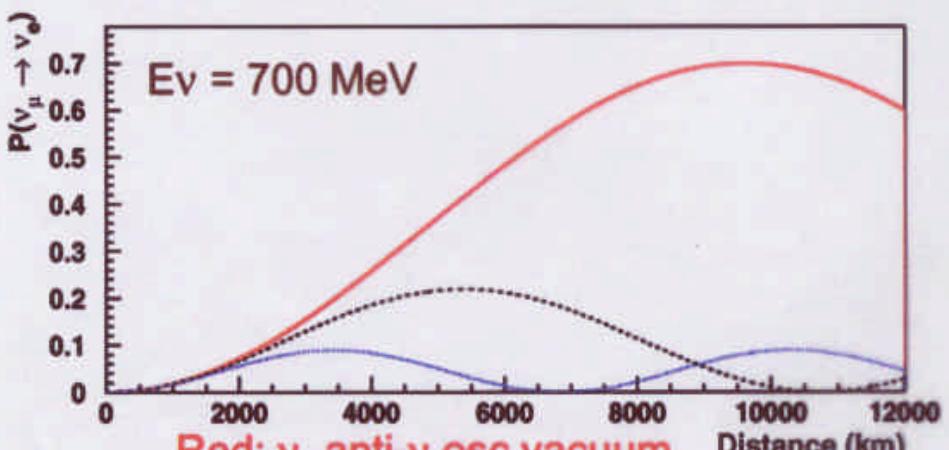
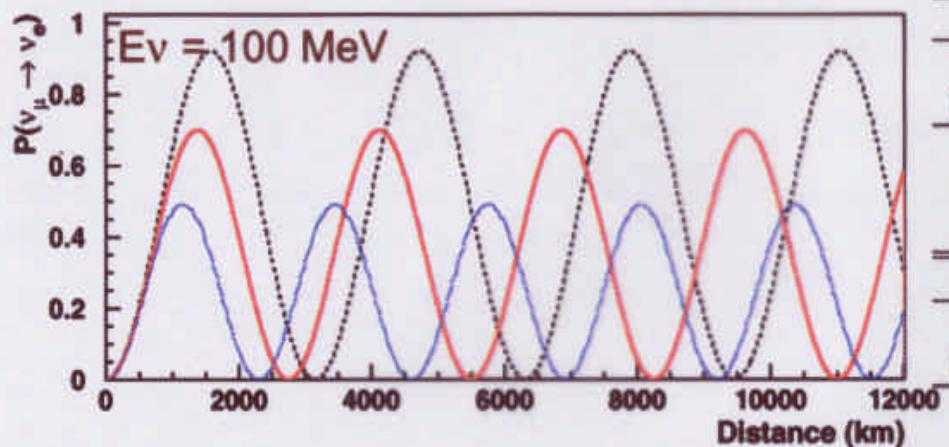


## ICANOE low energy electron events

- ★ Study **low energy region** (below 1 GeV) of electron CC sample
- ★ Assume electron-muon oscillation at  $\Delta m^2$  relevant for **LMA solar neutrino deficit**
- ★ **Test matter affected oscillations** in neutrinos coming from below.



# Oscillations in matter



Red:  $\nu$ , anti- $\nu$  osc vacuum  
 Black:  $\nu$  osc matter  
 Blue: anti- $\nu$  osc matter

André Rubbia, ETH/Zürich, 14/6/00, Neutrino 2000

| $\nu_\mu \leftrightarrow \nu_e$ oscillations in matter             |                                     |                                  |                                |
|--------------------------------------------------------------------|-------------------------------------|----------------------------------|--------------------------------|
| Exposure<br>( $kton \times year$ )                                 | $\nu_e$ CC<br>no oscillations       | $\nu_e$ CC<br>oscillations       | $\nu_e$ CC excess<br>(%)       |
| 5                                                                  | 111                                 | 131                              | $18 \pm 10$                    |
| 20                                                                 | 442                                 | 523                              | $18 \pm 5$                     |
| 50                                                                 | 1104                                | 1308                             | $18 \pm 3$                     |
| $\nu_\mu \leftrightarrow \nu_e$ oscillations in vacuum             |                                     |                                  |                                |
| Exposure<br>( $kton \times year$ )                                 | $\nu_e$ CC<br>no oscillations       | $\nu_e$ CC<br>oscillations       | $\nu_e$ CC excess<br>(%)       |
| 5                                                                  | 111                                 | 134                              | $21 \pm 10$                    |
| 20                                                                 | 442                                 | 535                              | $21 \pm 5$                     |
| 50                                                                 | 1104                                | 1338                             | $21 \pm 3$                     |
| $\bar{\nu}_\mu \leftrightarrow \bar{\nu}_e$ oscillations in matter |                                     |                                  |                                |
| Exposure<br>( $kton \times year$ )                                 | $\bar{\nu}_e$ CC<br>no oscillations | $\bar{\nu}_e$ CC<br>oscillations | $\bar{\nu}_e$ CC excess<br>(%) |
| 5                                                                  | 14                                  | 15                               | $7 \pm 20$                     |
| 20                                                                 | 58                                  | 62                               | $7 \pm 13$                     |
| 50                                                                 | 145                                 | 155                              | $7 \pm 9$                      |
| $\bar{\nu}_\mu \leftrightarrow \bar{\nu}_e$ oscillations in vacuum |                                     |                                  |                                |
| Exposure<br>( $kton \times year$ )                                 | $\bar{\nu}_e$ CC<br>no oscillations | $\bar{\nu}_e$ CC<br>oscillations | $\bar{\nu}_e$ CC excess<br>(%) |
| 5                                                                  | 14                                  | 19                               | $34 \pm 30$                    |
| 20                                                                 | 58                                  | 78                               | $34 \pm 15$                    |
| 50                                                                 | 145                                 | 195                              | $34 \pm 10$                    |

Cuts:  $E_{vis} < 1 \text{ GeV}$ ,  $\cos \theta_{zenith} < -0.2$   
 Oscillation parameters:  $\Delta m^2 = 9 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta = 0.7$

# ICANOE Nucleon Decay Sensitivities

Example:  $p \rightarrow \nu K$

**Exposure: 1000 kton x year !**

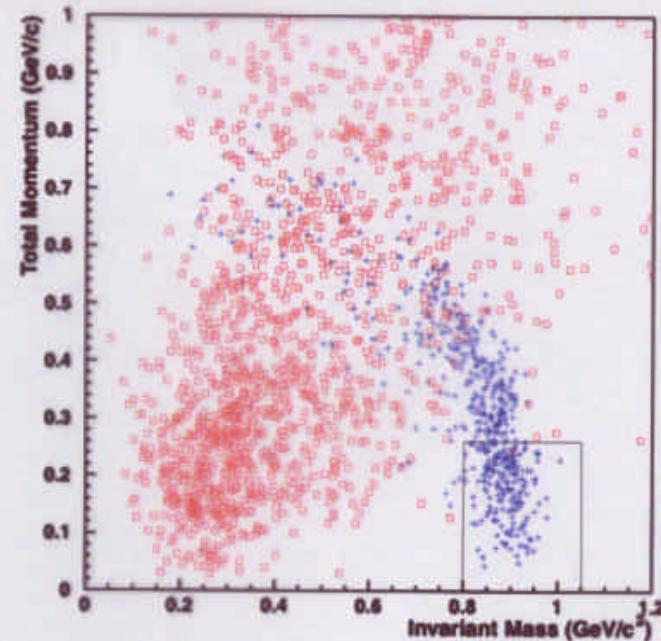
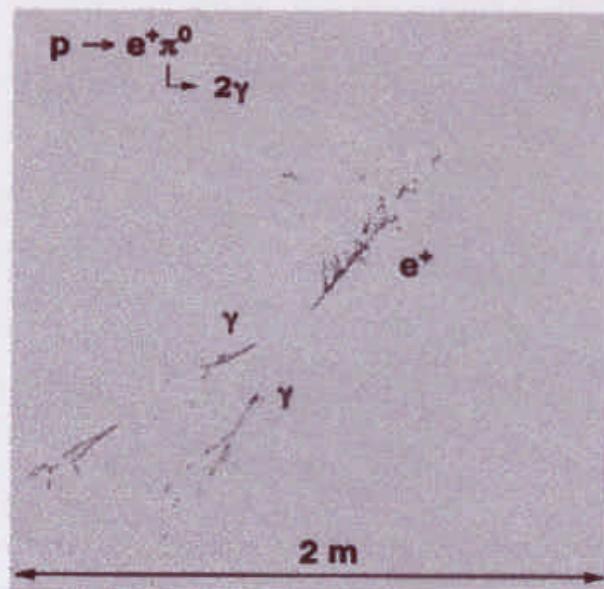
→ **Background free searches**

| Cuts                    | $K + \bar{\nu}$ | $\nu$ NC | $\bar{\nu}$ NC |
|-------------------------|-----------------|----------|----------------|
| Initial                 | 100%            | 64705    | 29612          |
| No primary $\pi^\pm$    | 99.4%           | 55481    | 26033          |
| No primary $\pi^0$      | 98.7%           | 48397    | 23265          |
| Only one kaon           | 98.5%           | 108      | 22             |
| Total Energy < 0.65 GeV | 85%             | < 1      | < 1            |

| Mode                            | SuperK | PDG 1998 | 5 $kt \times year$ | 20 $kt \times year$ | 50 $kt \times year$ |
|---------------------------------|--------|----------|--------------------|---------------------|---------------------|
| $p \rightarrow e^+ \pi^0$       | 29     | 5.5      | 2.5                | 10                  | 25                  |
| $p \rightarrow \mu^+ \pi^0$     | 23     | 2.7      | 2.25               | 9                   | 22.5                |
| $p \rightarrow \bar{\nu} K^+$   | 6.8    | 1.0      | 4.1                | 16.3                | 40.8                |
| $p \rightarrow \nu \pi^+$       | —      | 0.25     | 2.5                | 10                  | 25                  |
| $p \rightarrow e^+ \pi^+ \pi^-$ | —      | 0.21     | 0.75               | 3                   | 7.5                 |
| $p \rightarrow e^+ \rho^0$      | —      | 0.75     | 0.5                | 2                   | 5                   |
| $p \rightarrow e^+ e^+ e^-$     | —      | 5.1      | 5.9                | 23.5                | 58.8                |
| $n \rightarrow e^+ \pi^-$       | —      | 1.3      | 2.9                | 11.5                | 28.8                |
| $n \rightarrow \mu^+ \pi^-$     | —      | 1.0      | 2.6                | 10.5                | 26.3                |
| $n \rightarrow \nu \pi^0$       | —      | 1.0      | 3                  | 12                  | 30                  |
| $n \rightarrow e^- K^+$         | —      | 0.032    | 6.1                | 24.5                | 61.3                |
| $n \rightarrow e^+ \rho^-$      | —      | 0.58     | 0.62               | 2.5                 | 6.3                 |
| $n \rightarrow e^+ \pi^- \pi^0$ | —      | 0.32     | 0.88               | 3.5                 | 8.8                 |
| $n \rightarrow e^+ e^- \nu$     | —      | 0.74     | 7.1                | 28.5                | 71.3                |
| $n \rightarrow \bar{\nu} K^0$   | —      | 0.86     | 4.6                | 18.5                | 46.2                |

In  $10^{32}$  years

# $p \rightarrow e^+ \pi^0$ decay



| Cuts                               | $e + \pi^0$<br>Argon | $e + \pi^0$<br>Oxygen | $\nu_e$ CC | $\bar{\nu}_e$ CC | $\nu_\mu$ CC | $\bar{\nu}_\mu$ CC | $\nu$ NC | $\bar{\nu}$ NC |
|------------------------------------|----------------------|-----------------------|------------|------------------|--------------|--------------------|----------|----------------|
| Initial                            | 100%                 | 100%                  | 59861      | 11707            | 106884       | 27273              | 64705    | 29612          |
| One $\pi^0$                        | 54%                  | 70%                   | 5277       | 1696             | 11160        | 4388               | 6223     | 2278           |
| One $e$                            | 54%                  | 70%                   | 5277       | 1696             | 7            | < 1                | < 1      | < 1            |
| $T_p < 100$ MeV                    | 53%                  | 68%                   | 2505       | 1256             | < 1          | < 1                | < 1      | < 1            |
| $0.8 < \text{Inv Mass} < 1.05$ GeV | 38%                  | 53%                   | 306        | 204              | < 1          | < 1                | < 1      | < 1            |
| Total Momentum < 0.25 GeV          | 19%                  | 24%                   | 1          | < 1              | < 1          | < 1                | < 1      | < 1            |

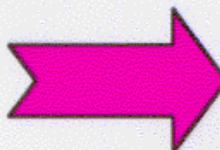
André Rubbia, ETH/Zürich, 14/6/00, Neutrino 2000

Exposure: 1000 kton x year

## Conclusion

- ★ The ***combined ICANOE & OPERA program*** coupled to the ***CNGS beam*** will provide unique opportunities to further detect and study the atmospheric neutrino oscillation phenomenon.
  - Sensitive  $\nu_\mu \rightarrow \nu_e$  and  $\nu_\mu \rightarrow \nu_\tau$  appearance at accelerator
  - Continued observation of atmospheric neutrinos
- ★ The ***complementarity of this program*** relative to the disappearance LBL programs (K2K & MINOS) is obvious.
- ★ After many years of R&D and gained experience, ICANOE and OPERA are ready to enter into a phase of realization.
- ★ ***The CNGS program will hopefully contribute to the comprehensive elucidation of neutrino masses and mixings.***

*Elucidation of  
neutrino mass and  
mixing*



*A fundamental milestone in  
particle physics, astrophysics  
and cosmology*