HADRONIC DECAYS OF W BOSONS

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ABSTRACT

HADRONIC DECAYS OF W BOSONS

Richard Paul Wilkinson III

Robert Hollebeek

We present evidence for hadronic W decays in $t\bar{t} \rightarrow \text{lepton} + \text{neutrino} + \geq 4$ jet events using a 109 pb⁻¹ data sample of $p\bar{p}$ collisions at $\sqrt{s} = 1.8$ TeV collected with the Collider Detector at Fermilab (CDF). A peak in the dijet invariant mass distribution is obtained in events where two b jets are identified. This peak is consistent with Wdecay but inconsistent with the predicted background shape by 2.9σ . We measure the W mass to be 78.3 ± 5.1 (stat) ± 3.0 (syst) GeV/ c^2 . This result demonstrates the presence of a second W in these $t\bar{t}$ candidates. We show ways in which this result can be used to study $t\bar{t}$ events and detector calibration, for present and future datasets.

We also search for hadronic decay modes from direct W and Z production, but find no stable signal, due to trigger inefficiency.

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Chapter 1

Theory and Motivation

1.1 Intermediate Vector Bosons

A central tenet of the quantum theory of particle interactions is that forces are mediated by particles, quanta of the force's field. This work focuses on a mediator of the weak nuclear force, the W boson.

In the Standard Model of electroweak interactions [1, 2], the differences between the electromagnetic and weak interactions result from spontaneous symmetry breaking, via the Higgs mechanism. A consequence of this theory is that the four gauge bosons associated with the $SU(2)_L \otimes U(1)_Y$ symmetry of the electroweak Lagrangian manifest themselves as one massless electromagnetic boson (the photon) and three massive weak bosons (the W^+ , W^- , and Z^0).

1.1.1 The W^{\pm} boson

The W charged intermediate vector boson was experimentally discovered in 1983 at the CERN $p\bar{p}$ collider [3, 4]. Current measurements [5] place the W mass at 80.33 ± 0.15 GeV/c². The W was discovered in the decay mode $W^{\pm} \rightarrow e^{\pm}\nu_{e}$. The decay width for this process is now known to be $\Gamma_{e} = (2.07 \pm 0.06)$ GeV. The leptonic decay modes $W^{\pm} \rightarrow \mu^{\pm}\nu_{\mu}$ and $W^{\pm} \rightarrow \tau^{\pm}\nu_{\tau}$ have also been observed, with very similar widths, as expected, since the W mass is much larger than any lepton mass.

The W is also expected to decay into quark pairs, with the same coupling strength as the leptonic decays. The decay rates for quark processes are enhanced by a factor of three because of the three quark colors, and affected by the relevant CKM matrix element [6]:

$$\Gamma(W \to qq') = 3|V_{qq'}|^2 \Gamma_e$$

From this, we expect W's to decay leptonically 1/3 of the time, and hadronically 2/3 of the time. Ignoring quark masses and off-diagonal CKM elements, half of the hadronic decays will be of the form $W \rightarrow u\bar{d}$, and half will be of the form $W \rightarrow c\bar{s}$. The W decay modes are summarized in table 1.1.

The electron and muon decay modes are cleanly identified by the presence of an energetic lepton and a momentum imbalance due to the undetected neutrino. Hereafter, we refer to datasets of leptonically-decaying W candidates simply as Wsamples.

The hadronic modes are much more difficult to isolate. The strong force has the property that free quarks and gluons are not seen in nature; instead they *hadronize*, creating quark-antiquark pairs from the vacuum. The quarks from W decay will be seen as *jets*, collimated showers of mesons and baryons. Thus, the experimental

Mode	Fraction (Γ_i/Γ)
$W \rightarrow e \bar{\nu_e}$	1/9
$W \to \mu \bar{\nu_{\mu}}$	1/9
$W \to \tau \bar{\nu_{\tau}}$	1/9
$W \rightarrow u\bar{d}$	1/3
$W \rightarrow c\bar{s}$	1/3

Table 1.1: Decay modes of the W boson

signature for hadronic W decay is two jets with an invariant consistent with the W mass.

1.1.2 The Z boson

The Z^0 neutral vector boson was also discovered in 1983 at CERN [7, 8]. The Z has been extensively studied at e^+e^- colliders at CERN and SLAC, and its mass is currently known [5] to be (91.187 \pm 0.007) GeV/ c^2 . The decay modes of the Z are given in table 1.2.

1.2 The Top Quark

The top quark is the isospin partner of the bottom quark. Although it has been expected ever since the discovery of the bottom quark in 1977 [9], it was not directly observed until 1995, by the CDF and D0 collaborations at Fermilab's Tevatron accelerator [10, 11]. The reason for the difficulty in finding the top quark is its high

Mode Fraction $(\Gamma_i/\Gamma$	
e+e-	$(3.366 \pm 0.008)\%$
$\mu^+\mu^-$	$(3.367 \pm 0.013)\%$
$\tau^+\tau^-$	$(3.360 \pm 0.015)\%$
νū	$(20.01 \pm 0.16)\%$
bĒ	$(15.46 \pm 0.14)\%$
hadrons	$(69.90 \pm 0.9)\%$

Table 1.2: Decay modes of the Z^0 boson, from Ref. [5].

mass; CDF measures the top mass [12] to be $175.6 \pm 4.4(stat) \pm 4.8(syst)$ GeV/ c^2 . and D0 [13] measures $173.3 \pm 5.6(stat) \pm 6.2(syst)$ GeV/ c^2 . The top quark is much heavier than any other known quark, and its large mass implies a special relationship to the Higgs mechanism, which generates particle masses in the Standard Model.

The dominant production mechanism for top quarks at the Tevatron is the creation of top-antitop pairs from quark-antiquark annihilation, shown in figure 1.1(a). Also contributing are the gluon fusion mechanisms of figure 1.1(b), though with a combined effect smaller by a factor of five. The $q\bar{q}$ processes dominate because quarks are more likely than gluons to carry a large fraction of the proton or antiproton momentum.

Each top quark is expected to immediately decay, nearly always into a b quark and an on-shell W boson. The W's then decay as detailed in section 1.1.1. Independent t and \bar{t} decays give the topologies for a $t\bar{t}$ event shown in table 1.3.



Figure 1.1: Top quark pair production through a) quark-antiquark annihilation, and b) gluon-gluon processes. The cross section for the first process is five times larger than the other three combined, at Tevatron energies.

The first set of decay modes in table 1.3 are referred to as dileptonic modes, the second semileptonic modes, the third all-hadronic modes, and the fourth tau modes. The dilepton modes provide the cleanest signal, but their usefulness is limited by their low branching fractions and the presence of two neutrinos. All-hadronic modes, on the other hand, have large branching ratios, but are difficult to distinguish from a large background of QCD multijet events. The semileptonic modes have been most useful so far in studying the top quark, and in Chapter 4 we will present evidence for

Process	Branching Ratio
еvb еvb	1/81
ενδ μνδ	2/81
μνb μνb	1/81
еνь јјь	12/81
μνb jjb	12/81
jjb jjb	36/81
τνό Χό	17/81

Table 1.3: Decay modes in $t\bar{t}$ events

hadronic W decays in these events.

The top quarks have a lifetime of $\approx 5 \times 10^{-25}$ s, which is smaller than the characteristic scale of QCD hadronization processes, O(10⁻²³ s) [14]. Top quarks therefore decay before they have a chance to hadronize, so they decay as free quarks, and their decay products follow an angular distribution for spin-1/2 particles.

CDF measures the cross section for producing $t\bar{t}$ pairs of mass 175 GeV/ c^2 to be 7.7^{+1.8}_{-1.5} pb [15], while D0 measures 5.77 \pm 1.76 pb at $m_t = 170$ GeV/ c^2 [16]. Next-to-leading-order, $O(\alpha_s^3)$, predictions of the cross section are shown in table 1.4. Note that these cross sections are ten orders of magnitude lower than the total inelastic $p\bar{p}$ cross section.

Calculation	$\sigma(tar{t})$	
Laenen et al. [17]	$4.94^{+0.28}_{-0.54}$ pb	
Berger et al. [18]	5.52 ^{+0.07} _{-0.45} pb	
Catani et al. [19]	4.75 ^{+0.63} _{-0.68} pb	

Table 1.4: NLO calculations of the $t\bar{t}$ cross section, including gluon resummation.

1.2.1 Semileptonic $t\bar{t}$ decay modes

The analyses in Chapter 4 will focus on the semileptonic decay modes. These modes, combined with b quark identification discussed in section 3.4, were the most powerful for Fermilab's discovery and top mass measurement, and are expected to be the best modes for further studies of $t\bar{t}$ event kinematics in the future.

Events resulting from these decay modes are characterized by an energetic electron or muon, four or more jets from the hadronized quarks (and possible gluon radiation), and a momentum imbalance due to the undetected neutrino. Furthermore, the b jets can sometimes be distinguished from light quark and gluon jets, as described in section 3.4.

The largest background comes from the direct production of W bosons in association with significant jet activity. An example of this background, which we will refer to as W + QCD, is shown in figure 1.2.

We also note that dilepton and tau events can produce a lepton + jets signature. In $t\bar{t}$ Monte Carlo simulations (described later), about 4% of the events in the $W + \geq 3$



Figure 1.2: An example of W+ multijet production.

jets sample come from dilepton modes where the second lepton is not identified, and about 8% of the events come from tau modes, where either the hadronic tau decay is taken as a jet, or the primary lepton results from the decay of the tau.

1.3 Motivation to Search for Hadronic Boson Decays

Since hadronic boson decays result in pairs of jets with a known invariant mass, and the decay width is much smaller than the detector resolution, observation of these decays is useful to study both the calibration and resolution of the detector.

Uncertainty on the *jet energy scale*, the accuracy with which the measured calorimeter jet energies correspond with the original quark energies, is a large systematic uncertainty in many measurements at CDF, especially the top mass measurement. Measurements of the W mass in the hadronic mode provide a direct, *in situ* calibration of the detector. This provides extra motivation to search for hadronic W decays in semileptonic $t\bar{t}$ events, because the calibration of the W jets occurs inside the $t\bar{t}$ events themselves. Furthermore, the b jets in top decays can be calibrated with the discovery of the decay mode $Z \rightarrow b\bar{b}$.

Improving jet energy resolution is also a major concern at CDF, especially in the search for the Higgs boson in the process $p\bar{p} \rightarrow VH, H \rightarrow b\bar{b}$, where V is a W or Z boson which decays leptonically. Increasing the sharpness of the Higgs dijet mass peak is important in distinguishing the signal from the $Vb\bar{b}$ background continuum [20]. Samples of hadronic W and Z decays provide a means to test new jet measurement techniques.

Identifying hadronic W decays in semileptonic $t\bar{t}$ events is necessary to confirm the top quark discovery in this mode. It will also provide a clean $t\bar{t}$ sample, and will simplify kinematic reconstruction and study of the events. Methods used to search for the hadronic W may also be used to search for nonstandard top decays of the form $t \to Xb$, with $X \to jj$.

Finally, since these jets come from quarks, they may be used to study differences between quark jets and gluon jets [21]. Gluon jets are expected to have more particles, and shower wider than quark jets.

Chapter 2

Experimental Setup

The Collider Detector at Fermilab (CDF) is a general purpose detector for study of $p\bar{p}$ collisions at Fermilab's Tevatron collider. The data for this analysis were taken at a center-of-mass energy of 1.8 TeV. Run 1A consisted of 19.3 pb⁻¹ of data taken from 1992 and 1993, and Run 1B and Run 1B took 80 pb⁻¹ in 1994 and 1995. A brief run at $\sqrt{s} = 630$ GeV took place in late 1995 to early 1996, followed by another run at $\sqrt{s} = 1.8$ TeV, Run 1C, which took 3 pb⁻¹ with only partial tracking information.

The CDF detector has azimuthal symmetry as well as forward-backward symmetry. When describing the detector, we take the z direction to be along the proton beam, the polar angle θ to be measured from the proton beam, and ϕ to be the azimuthal angle about the beam. We define the pseudo-rapidity η to be $-\ln(\tan(\theta/2))$. Unless otherwise noted, η is calculated by taking z = 0. We use the symbol E to represent energy measured by the calorimetry, and the symbol \vec{P} to represent momentum, measured by tracking chambers. We also define the transverse energy E_T to be the projection of the observed energy E in the direction perpendicular to the beam axis ($E_T = E \sin \theta$), and, similarly, the transverse momentum $P_T = P \sin \theta$. The invariant mass M of a system is defined as $\sqrt{(\sum_i E_i)^2 - |\sum_i \vec{P_i}|^2}$.

The detector surrounds the interaction point with layers of different detector components. The detector has been described in full detail elsewhere [22]. A cross section of the detector is shown in figure 2.1.



Figure 2.1: A cross section of the CDF detector.

2.1 The Tevatron Accelerator

Fermilab's Tevatron collider is currently the world's highest energy accelerator. It collides counter-rotating bunches of protons and antiprotons at a center-of-mass energy of 1.8 TeV. The Tevatron is in a circular tunnel 6300 m in circumference near Batavia, IL. Superconducting dipole magnets are used to keep the particles in a circular orbit, and radio-frequency (RF) cavities provide the acceleration. The same magnets are used to contain the protons and antiprotons. These magnets have a maximum dipole bending field of 4.4 T. A schematic of the accelerator complex is provided in figure 2.2.



Figure 2.2: A schematic of the accelerator complex. The CDF detector is located at the B0 interaction point.

The protons used are obtained from a bottle of hydrogen gas. Extra electrons are added to the hydrogen atoms, forming H^- ions. The H^- ions are accelerated to an energy of 750 keV by a Cockroft-Walton electrostatic accelerator, and then to an energy of 400 MeV by a linear accelerator (LINAC). Then, the electrons are stripped off, leaving a bare proton. The protons are accelerated to 8 GeV in a circular accelerator 475 m in circumference called the Booster. Proton bunches from the Booster are next injected into the Main Ring, which shares the same tunnel as the Tevatron, and accelerates the particles to 150 GeV, at which point they are switched into the Tevatron and accelerated to 900 GeV.

The antiprotons are created by colliding Main Ring protons with a fixed tungsten target. Antiprotons are collected from the resulting debris, and focused, cooled, and stored at energies of 8 GeV in the Accumulator, until there are enough to inject into the Main Ring and Tevatron.

The protons and antiprotons in the Tevatron are grouped into six bunches. The bunches collide at the B0 and D0 interaction points every 3.5 μ s, with a typical luminosity of 8 × 10³⁰ cm⁻² s⁻¹.

2.2 Tracking Chambers

The inner layers of the detector consist of tracking chambers. They operate in a uniform magnetic field of 1.4 T, provided by a superconducting magnetic coil.

The protons and antiprotons collide in a vacuum maintained by a thin beryllium beampipe, with a radius of 1.9 cm. The beampipe is surrounded by the silicon vertex detector (SVX), a microstrip detector designed to observe secondary decays of longlived particles. Outside the SVX is the Vertex Time Projection Chamber (VTX), which gives information on the z position of the event vertex. The Central Tracking Chamber (CTC) is a large cylindrical drift chamber used to measure the momenta of charged tracks.

2.2.1 Central Tracking Chamber (CTC)

The CTC is a 1.3 m radius 3.2 m long cylindrical drift chamber which gives precise momentum measurements in the angular region $40^{\circ} < \theta < 140^{\circ}$ $(-1 < \eta < 1)$, with a resolution better than $\delta P_T/P_T^2 \leq 0.002$ (GeV/c)⁻¹. It consists of 150 km of wire arranged in 84 layers, which in turn form 9 superlayers (fig. 2.3). Five of the superlayers have 12 layers of sense wires running parallel to the beam. These superlayers are interleaved with four stereo superlayers, which consist of six sense wires in which the angle between the wire and the beam alternates between $\pm 3^{\circ}$. These stereo superlayers give a z resolution of 4 mm. The wires are kept in a gas mixture of argon, ethane, and ethanol (49.6%, 49.6%, and 0.8%, respectively).



Figure 2.3: An end view of the Central Tracking Chamber.

The uses of the CTC in this analysis are first, to measure the momenta of lepton candidates, and, second, to provide seed tracks for VTX and SVX tracking.

2.2.2 Vertex Time Projection Chamber (VTX)

The Vertex Time Projection Chamber (VTX) provides tracking in the r-z plane. It provides a measurement of the z-position of the *primary vertex*, the $p\bar{p}$ interaction, with a resolution of 1 mm. The VTX also provides z information to CTC tracking, and is used to reject electrons from photon conversion.

The VTX is an octagonal drift chamber consisting of eight modules of radial sense wires in an argon-ethane gas mixture. It extends to a radius of 22 cm.

2.2.3 Silicon Vertex Detector (SVX)

The CDF Silicon Vertex Detector (SVX) provides high-resolution $r - \phi$ tracking information just outside the 1.9 cm radius of the beryllium beam pipe. The SVX allows precise measurement of the x and y coordinates of the primary vertex, and, more importantly, allows detection of tracks which do not point back to the primary vertex, indicating long-lived decays. The SVX single hit resolution is measured to be 13 μ m, and the impact parameter resolution for high-momentum tracks is measured to be 17 μ m.

The SVX consists of four concentric cylindrical layers of silicon microstrip detectors, at radii of 3.0, 4.2, 6.8, and 7.9 cm. Two barrels, consisting of twelve wedges of silicon strips, extend out to |z| = 25 cm, with a 2.15 cm gap between them. Since the z-coordinate of the interaction point has a standard deviation of 30 cm, the SVX covers about 60% of the interactions. A drawing of an SVX barrel is shown in figure 2.4



Figure 2.4: One barrel of the SVX.

Each silicon strip detector is a 300 μ m thick crystal of silicon, with aluminum readout strips on top, parallel to the beam. The readout strips are spaced every 60 μ m in the three inner layers, and every 55 μ m in the outer layer. Incident charged particles leave ionization charge in the strips. Adjacent strips with significant charge are grouped into *clusters*.

SVX tracking is achieved by assigning SVX hits to CTC tracks. We accept only those SVX tracks which increase the χ^2 of the combined SVX-CTC track by no more than six. This cut is 96% efficient. We also require that at least two of the SVX hits to be "good" hits, that is, not shared with another CTC track, containing no SVX channels with low gain or high noise, and having a charge profile consistent with only one particle. The two-good-hit requirement is satisfied for 78% of SVX tracks in generic jet triggers, independent of track P_T . The SVX-CTC track has a momentum resolution of $\delta P_T/P_T = 0.0009P_T \oplus 0.0066$. The SVX detector used in Run 1A [23] suffered significant radiation damage during the run, and was replaced with a similar detector with radiation-hard electronics for Run 1B [24].

2.3 Calorimetry

The calorimetry at CDF is arranged in a projective "tower" geometry covering up to η of 4.2. Each tower consists of an electromagnetic shower counter in front of a hadron calorimeter. The four calorimetry sections, the central, endwall, plug, and forward, are shown in figs 2.1 and 2.5. The towers each cover 0.1 units of η and 15° (central region) or 5° (plug and forward region) in ϕ . Table 2.1 lists the energy resolutions.

Calorimeter	$ \eta $ Range	Energy Resolution	Thickness
CEM	0 - 1.1	$13.7\%/\sqrt{E_T} \oplus 2\%$	$0.3\Lambda, 18X_0$
CHA	0 - 0.9	$50\%/\sqrt{E_T} \oplus 3\%$	4.5Λ
WHA	0.6 - 1.1	$75\%/\sqrt{E} \oplus 4\%$	4.5Λ
PEM	1.1 - 2.4	$22\%/\sqrt{E} \oplus 2\%$	1.0Λ. 19 <i>X</i> ₀
РНА	13-24	$106\%/\sqrt{E} \oplus 6\%$	5.74
	1.0 - 2.4		
FEM	2.2 - 4.2	$26\%/\sqrt{E} \oplus 2\%$	$0.8\Lambda, 25X_0$
FHA	2.4 - 4.2	$137\%/\sqrt{E}\oplus 3\%$	7.7Λ

Table 2.1: Energy resolutions of the various calorimeter components.


Figure 2.5: The tower segmentation of the CDF calorimetry.

The central and plug section are used in this analysis, and are described individually below.

2.3.1 Central Calorimetry (CEM, CHA, WHA)

The Central Electromagnetic Calorimeter (CEM) consists of 18 radiation lengths of alternating layers of 0.14 in thick absorbers, made of lead cased in aluminum, and 5 mm thick polystyrene scintillator.

Embedded in the CEM at a depth of five radiation lengths is the Central Electro-

magnetic Strip Chamber (CES), a set of wire proportional chambers which measures the shower profile at shower maximum in both the z and $r - \phi$ view, and is used in electron and photon identification.

Just in front of the CEM is the Central Preradiator (CPR), a set of multiwire proportional chambers used to sample electromagnetic showers that begin in the solenoid magnet material (1.075 X_0).

Behind the CEM are the Central Hadron Calorimeter (CHA) and the Endwall Hadron Calorimeter (WHA). Both use layers of iron absorbers (2.5 cm thick in the CHA, and 5 cm thick in the WHA) and acrylic scintillators (1 cm thick).

2.3.2 Plug Calorimetry (PEM, PHA)

Because of the high radiation in the more forward detector regions, the plug and forward calorimeters are gas calorimeters, consisting of alternating layers of absorbers and gas proportional tubes. The gas used is a 50-50 mixture of argon and ethane, with a small amount of alcohol added to prevent glow discharge. The Plug Electromagnetic Calorimeter uses 0.27 thick lead sheets as absorbers, and 0.7 cm thick proportional tubes. The Plug Hadron Calorimeter (PHA) uses 5.1 cm thick steel absorbers, and 1.4 cm thick proportional tubes.

2.4 Muon Detection

CDF has three sets of muon chambers, the Central Muon Chambers (CMU), the Central Muon Upgrade (CMP), and the Central Muon Extension (CMX). Each consists of four layers of drift chambers placed outside the calorimetry. Hits in the muon chambers are fitted to line segments, called *stubs*, which are then matched to CTC tracks. The momentum measurements for the muons are taken from the CTC tracks. The muon detectors are described below.

2.4.1 Central Muon Chamber (CMU)

The CMU consists of forty-eight wedges placed behind the CHA. The CHA and the magnet yoke provide six interaction lengths of shielding. The CMU covers 84% of the solid angle within $|\eta| < 0.6$.

2.4.2 Central Muon Upgrade (CMP)

The Central Muon Upgrade consists of another 0.6 m of steel shielding (3.5 radiation lengths), followed by another layer of drift chambers. The CMP covers roughly the same η range as the CMU and covers 63% of the solid angle. 54% of the solid angle is covered by both the CMU and CMP.

2.4.3 Central Muon Extension (CMX)

Muon coverage has been extended out to $|\eta|$ of 1.0 by the addition of the Central Muon Extension, four free-standing arches supporting additional drift chambers, as well as scintillator counters for triggering. The CMX covers 71% of the solid angle within $0.6 < |\eta| < 1.0$, and provides an additional 10% muon acceptance for these analyses.

2.5 Triggers

CDF's trigger is a three-level system designed to reduce the rate of events from the beam-crossing frequency of 286 kHz to a rate which can be written to tape.

2.5.1 Beam-Beam Counters (BBC's)

The BBC's are planes of scintillator near the beams in the far forward and backward regions, 5.8 m from the center of the detector. They are used to signal whether a $p\bar{p}$ interaction has occured during a beam crossing. This provides a basic trigger requirement, and is also used to measure beam luminosity.

2.5.2 Level 1

Since digitization of signals takes ≈ 1 ms, Level 1 and 2 trigger decisions are made on analog signals. The Level 1 trigger searches for high E_T single calorimeter towers, and looks for track segments in the central muon chambers. The Level 1 system incurs no dead time.

2.5.3 Level 2

The Level 2 system adds a hardware track processor, calorimeter clustering, and global calorimeter summing. The detector signals are held for the $\approx 20 \ \mu s$ needed to make a Level 2 decision, so some dead time is incurred. Events pass Level 2 at a rate of about 12 Hz.

The track-finding hardware, the Central Fast Tracker (CFT), identifies CTC tracks

from analog outputs. The CFT does tracking with a resolution $\frac{\delta P_T}{P_T} \approx 0.035 \times P_T$. and has an efficiency of 93.5 ± 0.3 % for tracks with $P_T > 10$ GeV/c. The tracking information is then used for electron and muon triggers.

Level 2 calorimeter clustering uses two thresholds for combined electromagnetic and hadronic tower E_T , a "seed" threshold of 3 GeV, and a lower "shoulder" threshold of 1 GeV. A cluster is defined as a tower over the seed threshold, plus any contiguous chain of towers over the shoulder threshold.

Global calorimeter summing is used for triggers based on summed E_T and missing E_T .

2.5.4 Level 3

The highest trigger level, Level 3, works from digital signals and is processed on a cluster of SGI workstations, using algorithms identical to those used in the subsequent "offline" analysis. Events passing these triggers are written to tape to await offline reconstruction.

2.6 Offline Reconstruction

Offline event reconstruction converts the raw detector signals on tape into physics objects. The events are then sorted and written to tape in both full (DST) and reduced (PAD) form. Processing is done by feeding events in parallel to a "farm" of 64 SGI and 37 IBM workstations. The capacity of the system is about 1.3 million events per week. Eighty million data events were written out by the CDF experiment

between 1992 and 1995.

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Chapter 3

Event Reconstruction

3.1 Jets

3.1.1 Clustering

CDF jet clustering is done using a cone of fixed radius R in $\phi - \eta$ space about the cluster centroid, because jets are approximately circular in $\phi - \eta$ space. For many analyses, a radius of $R = \sqrt{(\Delta \phi)^2 + (\Delta \eta)^2} = 0.7$ is chosen. Top quark analyses, because of their high jet activity and higher- E_T jets, use R = 0.4.

The clustering algorithm first looks for seed towers with $E_T > 1.0$ GeV. In the plug and forward region, towers are grouped in sets of three in ϕ , to match central segmentation. Starting with the first seed tower in ϕ , preclusters are then formed from unbroken chains of neighboring seed towers with continuously decreasing E_T , within a 7 × 7 window of towers of the original seed tower.

Next, a cluster is formed by including all towers with $E_T > 100$ MeV within R of the precluster centroid. A new cluster centroid is calculated, and the process is iterated until the tower list remains unchanged.

If there is overlap between clusters, the clusters are merged if more than 75% of the E_T of a cluster is shared. If overlapping clusters are not merged, then each tower is assigned to the nearest cluster. Again, this process is continued iteratively until a stable result is achieved.

When a cluster is found, the energy E is defined as the scalar sum of all tower energies in the cluster, and the momentum \vec{P} by the vector sum. These vectors, unless otherwise noted, will be calculated with respect to the the z position of the primary event vertex, as measured by the VTX.

3.1.2 Corrections

The energy deposited in the calorimeter may vary from the true parton energy for many reasons. Energy may be lost by detector non-linearities and cracks. Jet fragmentation and the magnetic field cause particles to spread outside the clustering cone. Neutrinos and muons will deposit little or no energy. Energy not associated with the hard scattering process will be collected in the cones, as will energy from multiple $p\bar{p}$ interactions. We correct for these effects [25], so that our measured jet energies correspond as closely as possible to the original parton energies. Uncorrected energies will also be referred to as *raw* energies.

The first step in correcting these effects is to determine the absolute correction for the central calorimetry. This is done using a Monte Carlo technique, with jet fragmentation and calorimeter pion response tuned to CDF data. Next, the corrections for central jets are extended to other regions of η by studying E_T balance in dijet events where one jet is central. Finally, extra corrections are added for out-of-cone losses. underlying event, and multiple interactions. The out-of-cone correction is dependent on the E_T of the jet, and is derived from Monte Carlo, and the underlying event and multiple interaction corrections are derived from data. The summed corrections typically add about 30% to jet energies.

For top quark analyses, extra corrections are required [26]. Bottom and charm quarks frequently decay semileptonically, producing electrons, muons and neutrinos. In addition, the high jet multiplicities frequently create situations where the losses of one jet fall into the cone of another. This is especially true for highly boosted hadronic W decay, where the jets are produced close together.

These top-specific corrections are obtained by generating HERWIG Monte Carlo at $m_t = 175 \text{ GeV}/c^2$, and matching the generated partons to the reconstructed jets. The corrections are done separately for jets from W decay, jets from b decay, and bjets with electron and muon candidates from semileptonic decay modes, as identified in section 3.4.2. The correction factors are shown in figure 3.1.

All jet energy corrections are designed to produce the correct average E_T , not to reduce variations around the mean.



Figure 3.1: Top-specific correction factors to the Jet E_T

3.2 High P_T Lepton Selection

3.2.1 Electrons

Electron triggers

The Level 2 central electron trigger requires a CEM cluster matched to a CFT track. where the ratio of hadronic to electromagnetic cluster energy HAD/EM is less than 0.125. The requirements are shown in table 3.1. For Run 1B, the efficiency is combined with the \not{E}_t trigger described in section 3.3.1.

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Cut	Run 1A Run 1B		
L1 single tower E_T	6 GeV	8 GeV	
L2 cluster E_T	9 GeV	16 GeV	
CFT track P_T	9.2 GeV/ <i>c</i>	12 GeV/ <i>c</i>	
Seed tower threshold	9 GeV	8 GeV	
Shoulder tower threshold	7 GeV	7 GeV	
Efficiency	$(92.8 \pm 0.2)\%$	$pprox 100\%$ with $ ot\!$	

Table 3.1: Central electron trigger requirements

Electron offline cuts

An electron candidate consists of an electromagnetic calorimeter cluster of three towers with a CTC track pointing at it. Central high P_T electron candidates are required to have a calorimeter $E_T > 20$ GeV, and be in the region $\eta < 1.0$. They must be mostly electromagnetic clusters, with the hadronic energy comprising less than 5% of the electromagnetic energy. The shower centroid must also be away from calorimeter module boundaries. The fiducial region covers 84% of the solid angle within $|\eta| < 1.0$ To avoid tracking mismatches, the ratio of the calorimeter energy to the track momentum E/P must be less than 1.8.

Some backgrounds to electron identification include fluctuations of hadronic showers and photons from π^0 decays overlapping with hadron tracks. The following cuts help combat these backgrounds. The shower in the Central Electromagnetic Strip Chamber (CES) is used. The track position must match to the centroid of the CES shower, and the lateral shower profile in both the calorimeter and CES must be consistent (via a χ^2 test) with test-beam electrons.

The z vertex of the event must be within 60 cm of the center of the detector, and the z position of the electron candidate track must be within 5 cm of the primary vertex. Also, a VTX track is required to match to the candidate track, to help eliminate photon backgrounds.

Another way to eliminate backgrounds from photon conversions, where $\gamma \rightarrow e^+e^-$, searches for an oppositely charged track very near to the candidate track.

We define the calorimeter isolation I as the ratio of the summed E_T in a cone of R = 0.4 around the electron but *excluding* the electron cluster to the electron cluster E_T . We require I < 0.1.

These cuts are measured to be $(74 \pm 1)\%$ efficient [27].

3.2.2 Muons

Muon triggers

The Level 1 central muon triggers look for a CMU track stub with $P_T > 6 \text{ GeV}/c$, or a CMX stub with $P_T > 10 \text{ GeV}/c$. Level 2 requires a track P_T of 9.2 GeV/c for Run 1A and 12 GeV/c for Run 1B. In Run 1B, muon triggers were *prescaled*, set to randomly reject events to reduce trigger rate, unless there was a separate jet cluster of $E_T > 15$ GeV. Level 3 makes a track-matching requirement and requires track $P_T > 18 \text{ GeV}/c$. The trigger efficiencies are measured to be $(83.8 \pm 1.9)\%$ for Run 1A and $(87.2 \pm 1.4)\%$ for Run 1B [28], where the Run 1B number includes the \mathcal{L}_t trigger described below.

Muon offline cuts

Muon candidates are CTC tracks which point at two or more hits in the muon chambers. For W and top analyses, CMX muons are excluded as primary leptons. The muon must have track $P_T > 20$ GeV/c, and the track must point to the muon chamber hits. The electromagnetic and hadronic calorimeter energies in the tower at which the track points must have at least 0.1 GeV of summed E_T , but they may not exceed 2 and 6 GeV, respectively. The track is required to match to the CMU muon stub within 2 cm, and within 5 cm for CMP stubs. As with electrons, the tracks are required to match in z within 5 cm of the primary vertex, and have calorimeter isolation I < 0.1.

To reduce background from cosmic ray muons, we demand that the muon track's *impact parameter d*, the track's distance of closest approach to the primary event vertex in the xy plane, be less than 3 mm.

The efficiency of the offline cuts is $(92.4 \pm 1.4)\%$ [27].

Muon backgrounds can arise from hadrons which punch through the calorimeter and shielding, and from decay-in-flight of pions and kaons.

3.3 Missing E_T

Neutrinos from W decays do not interact with the detector, and their presence is only inferred from momentum imbalance in the transverse direction. Momentum balance parallel to the beams cannot be measured, since the interacting partons carry an unknown fraction of the proton or antiproton energy, and many fragmentation products continue along the beampipe direction and are not detected.

The missing E_T ($\not\!\!\!E_t$) is defined as the negative vector sum of all calorimeter tower E_T 's over a small, detector-dependent threshold with $|\eta| < 3.6$. Corrections are made to the $\not\!\!\!E_t$ for high- P_T muons. CDF W analyses require $\not\!\!\!E_t > 20$ GeV.

3.3.1 Missing E_T trigger

For Run 1B, Level 2 triggers based on $\not\!\!E_t$ were used for W event selection. One trigger, designed for $W \to e\nu$ events, looks for $\not\!\!E_t > 20$ GeV, along with a CEM cluster with $E_T > 16$ GeV and a CES profile consistent with electrons or photons. A trigger for $W \to \mu\nu$ events demands $\not\!\!E_t > 35$ GeV along with a central calorimeter cluster.

3.4 Heavy Flavor Tagging

3.4.1 Secondary Vertex Tagging

The *b* hadrons produced in $t\bar{t}$ events have typical decay lengths of a few millimeters. The most effective way to identify heavy quarks at CDF is the secondary vertex finding algorithm SECVTX, which uses the SVX detector to find long-lived particles by searching for track vertices significantly displaced from the primary event vertex of the $p\bar{p}$ interaction. It consists of two passes. The first pass uses looser track quality cuts to find vertices of three or more displaced tracks, and the second pass uses tighter track cuts to find two-track vertices.

We define track displacement in terms of the impact parameter d, and its measurement uncertainty σ_d . A track is said to have a positive impact parameter if d points in the same hemisphere as the jet axis, and a negative impact parameter otherwise. Since long-lived particles will travel along the jet direction before decaying, we expect most of their displaced tracks to have a positive signed impact parameter. Negative d tracks are expected to come more from measurement uncertainties on tracks originating at the primary vertex. We also define the two-dimensional decay length L_{xy} to be the displacement of a vertex in the xy plane. Figure 3.2 shows a displaced vertex, and labels d and L_{xy} .

All tracks considered by this algorithm must be displaced, that is, they must have an impact parameter d with respect to the primary vertex with a significance $|d/\sigma_d| > 2.5$. They also must pass CTC-SVX track quality cuts, and survive an



Figure 3.2: Simplified view in the xy plane of SVX tracks forming a displaced vertex. algorithm which looks for track pairs consistent with K_s or Λ particles.

The loose set of SVX track cuts for pass 1 require that tracks with three or four hits must have $P_T > 0.5$ GeV/c and at least one good cluster. A good cluster is defined to be a cluster associated with only one track, and made of three or fewer strips. Two-hit tracks are also accepted if both clusters are good, $P_T > 1.5$ GeV/c, and the hits are either both in the inner two layers, or both in the outer two layers.

The tighter cuts of pass 2 require an impact parameter significance $|d/\sigma_d| > 3.0$, and $P_T > 1.5$ GeV/c. Four-hit tracks must have one good cluster, and three-hit tracks must have two good clusters. Two-hit tracks are rejected.

The SECVTX algorithm then attempts to form vertices from the tracks in each jet. It begins pass 1 by forming a seed vertex from the two best tracks. It then

searches for a third track to add to this vertex. If a third track cannot be found, then the algorithm moves on to the next track pair, and forms a seed vertex from these tracks. If a third track is found, then SECVTX tries to add as many more tracks as possible. This procedure stops once the first vertex with three or more tracks is found.

If no vertex is found in pass 1, two-track vertices are searched for in pass 2.

If a vertex is found, it is required to lie inside the inner layer of silicon, to include a track with $P_T > 2.0 \text{ GeV}/c$, and to have a decay length significance L_{xy}/σ_{Lxy} greater than 3.0. The typical accuracy on the decay length is $\approx 130 \mu \text{m}$, much smaller than the distance traveled by the *b* hadron. Jets with vertices satisfying these requirements are said to SECVTX-tagged.

A more complete study of this algorithm can be found in Ref. [29].

3.4.2 Soft Lepton Tagging

We also attempt to identify heavy quarks through the leptonic decays $b \rightarrow \ell \nu_{\ell} c$, where $\ell = e$ or μ , or $b \rightarrow c \rightarrow \ell \nu_{\ell} X$. A jet is said to have a *soft lepton tag*, or an SLT-tag, if there is a track with $P_T > 2$ GeV/c consistent with being an electron or muon within its cone radius.

To identify electron tracks within jets, we attempt to match each CTC track with a CES shower. The CES shower is then required to be consistent, via a chi-squared test. with the size and shape expected from test beam electrons. Further electron track quality cuts include the specific ionization $\frac{dE}{dX}$, which suppresses hadron background.

and CPR energy deposition, which discriminates against photon conversion. Also, the calorimeter tower at which the track points is required to have an energy consistent with the track momentum (0.7 < E/P < 1.5), and to be mostly electromagnetic (HAD/EM < 0.1). If the track points to within 2 cm of a tower boundary, the neighboring tower is also included in calculating these quantities.

To identify muon tracks, we attempt to match CTC tracks with track segments in the CMU, CMP, or CMX. For very low P_T central tracks ($2 < P_T < 3$, $|\eta| < 0.6$), we expect muons to be stopped by the steel shielding between the CMU and CMP, so we only require CMU track segments. For higher P_T tracks, we require matching segments in both the CMU and CMP.

A more complete study of the SLT algorithm can be found in Ref. [30].

3.4.3 Jet Probability Tagging

Another method which uses SVX tracks to search for long-lived particles is the jet probability algorithm [31]. This technique converts the impact parameter significance of good SVX tracks into a probability that the track is consistent with the primary vertex. Then, the "track probabilities" for all SVX tracks in a jet are combined to form the "jet probability", the probability that the jet is consistent with the primary vertex.

The strength of this technique lies in the fact that the jet probability provides a simple parameter which can be used to loosen or tighten tagging requirements. Also, since it doesn't require vertexing, it can tag jets with just one displaced track. The SVX track selection is similar to the "pass 2" SECVTX cuts. except that no impact parameter significance is required. Tracks must have $P_T > 1.5$ GeV/c. and have two good SVX clusters. Two-hit tracks are rejected if one of the hits is in the inner SVX layer.

The jet probability technique uses the impact parameter distribution from negative d tracks to define the SVX resolution, and positive d tracks to search for heavy flavor. The SVX resolution is defined for different classes of tracks, depending on the number of good hits and the total number of hits in the track. Then, the *track probability* for a positive d track is defined to be the fraction of negative d tracks of that class with an equal or greater i.p. significance. The track probabilities for all good tracks in the jet are combined to form the *jet probability*.

For tracks originating at the primary vertex, we expect the distribution of track probabilities to be flat from zero to one. Significantly displaced tracks will congregate at very low track probabilities. Similarly, jets whose origin is the primary vertex should have a flat distribution of jet probabilities, while an excess near zero indicates long-lived particles in the jet. The jet probability distributions for inclusive jets and a *b*-enriched sample of jets near muons is given in figure 3.3.

For the subsequent analysis, we define a JP tag to correspond to a jet probability of less than 5%. Note that this defines the mistag rate per taggable jet to be about 5%. In the $W+ \geq 3$ jet sample, 38% of jets not tagged by SECVTX are taggable.



Figure 3.3: The distribution of jet probability for jets in the inclusive jet sample, and for jets near muon candidates. Low jet probability means the tracks are not consistent with the primary vertex.

Method	b eff. (%)	c eff. (%)	mistag rate (%)
SECVTX	(27 ± 3)	(5.7 ± 0.7)	(0.3 ± 0.1)
SLT	(13 ± 3)	(6.8 ± 0.5)	(1.5 ± 0.2)
SECVTX+SLT+JP	(47 ± 5)	(18.4 ± 1.7)	(3.4 ± 0.7)

Table 3.2: Tag rates for b and c jets, and mistag rates for the three taggers.

3.4.4 Comparison of tagging efficiencies

We now show the efficiencies of the taggers in $t\bar{t}$ events using Monte Carlo simulations, described later in section 4.2. Detailed comparison of Monte Carlo and data samples show that simulated tagging rates must be adjusted by a *scale factor*, largely due to the lower tracking efficiency in high-luminosity conditions. The scale factor for SECVTX and JP is (0.826 ± 0.07), and the scale factor for SLT is (0.95 ± 0.02). All Monte Carlo results stated hereafter include these scale factors.

We evaluate the tag rates for three types of jets: b jets in $t\bar{t}$ events, c jets from Wdecay in $t\bar{t}$ events, and mistags in W + QCD background. The mistag rates have been studied in generic jet samples, and the largest uncertainty on them lies in estimating the rate of heavy flavor in these samples. The tagging rates are shown in table 3.2. We only apply the JP tagger to jets which were not tagged by SVX or SLT.

SECVTX and SLT tags are seen to be only weakly correlated [10].

Chapter 4

Hadronic W decays in $t\bar{t}$ events

In this chapter, we show evidence of the hadronic decay of the W boson in semileptonic $t\bar{t}$ decay. We use this signal to measure the mass of the W boson and the top quark. We also show how this signal can be used to study the systematic uncertainties of the top mass measurement due to jet energy scale uncertainty and hard gluon radiation.

4.1 Event Sample

Three of the jets are required to have uncorrected $E_T > 15$ GeV, and be within $|\eta| < 2$. The requirements on the fourth jet are looser, demanding uncorrected $E_T > 8$ GeV, and $|\eta| < 2.4$. We only consider the four highest E_T jets in the event.

4.2 Monte Carlo Simulation

All of the Monte Carlo studies described in this section include a full detector simulation. We generate Monte Carlo $t\bar{t}$ events using the HERWIG program [32], with a top mass $m_t = 175 \text{ GeV}/c^2$. We also use the ISAJET generator [34] as a cross-check. The expected W + QCD background is calculated using W + 3 parton matrix elements in the VECBOS program [33]. The parton fragmentation process is simulated with a HERWIG shower module, which results in a model of $W + \geq 4$ jet production. Since these matrix elements are sensitive to the choice of mass scale in the strong coupling constant α_s , we use two reasonable Q^2 scales, namely, the square of the average P_T of the jets ($\langle P_T \rangle^2$) and the square of the W boson mass (M_W^2).

We define a parton to match to a jet if the parton's direction is within R < 0.4 of the jet centroid. If the parton matches to two or more jets, we choose the one with corrected E_T nearest the parton P_T .

4.3 Technique

4.3.1 Dijet masses

The four jets in the event provide us with six dijet combinations, with only one (at most) corresponding to hadronic W decay. In addition, the usual W + QCD backgrounds are present.

To isolate the W decay, one searches for jet pairs which have an invariant mass around M_W . Figure 4.1 shows the invariant mass resolution of dijet pairs which match in direction to quarks from hadronic W decays in our Monte Carlo. All jet energies are corrected using the top-specific corrections discussed in section 3.1.2. and corrected as W jets. When forming the dijet invariant mass, we may either take the mass of the quarks to be the cluster mass of the jet, or follow the example of the CDF top mass analysis[26] and use $0.5 \text{ GeV}/c^2$, the mass of light quarks. We investigated the effects of both choices on the resolutions of both quark E_T and dijet mass, and found the resolutions to be nearly identical. So we decide to just conform to the top group's convention. A Gaussian fit to the peak gives a mean of 79.1 GeV/ c^2 and a width of 11.7 GeV/ c^2 .

4.3.2 b tagging

In order to reduce both the combinatoric and non-top background, we employ b tagging, using both SECVTX and SLT tags. If we demand one tagged jet, then we have not only created a more top-enriched dataset, but we can reduce the number of dijet combinations from six to three. We may then demand a second tag, in order to assign a unique dijet pair to the W decay. Since we already have a top-enriched sample, however, we need not be so strict in our requirements for the second b. Modest gains in tagging rates can be expected to produce large gains in double-tagging rates. We therefore employ a "loose" tagging method, based on the jet probability algorithm.



Figure 4.1: Dijet invariant mass from hadronic W decay in $t\bar{t}$ Monte Carlo.

4.3.3 Loose b tagging

Even after applying SECVTX and SLT b-tagging to the $W + \ge 3$ jet sample, the jet probability algorithm finds additional bottom candidates (Fig 4.2). From this plot, it seems reasonable to tag jets with a jet probability of less than 5%. Figure 4.3 shows the jet probability distribution in jets not tagged by SECVTX or SLT in $t\bar{t}$ Monte Carlo, further justifying this method and cut.

The b jets tagged by jet probability but not by SECVTX mainly consist of jets



Figure 4.2: Jet probability of jets not tagged by SECVTX or SLT in $W + \ge 3$ jet events.

which have one or two good, significantly displaced tracks, as seen from Monte Carlo in figure 4.4.

4.3.4 Triple-tagged events

In this section, we investigate events with three tags, to determine if it would be possible to ignore one tag. For example, since SECVTX tags are the most reliable tags, we may expect that a clean sample of W decays could come from ignoring a



Figure 4.3: Jet probability of jets not tagged by SECVTX or SLT in $t\bar{t}$ Monte Carlo.

third JP or SLT tag. Also, if there are two JP tags, it may be reasonable to accept the lowest jet probability. The W purities in triple-tagged Monte Carlo events are shown in table 4.1, where the uncertainties are statistical only. From it, we decide to accept only triple-tagged events with two SECVTX tags. We also note that the JP tags seem as reliable as the SLT tags. Also, for events with one SECVTX, one SLT, and one JP tag, we are as likely to find the W by ignoring the SLT tag as by ignoring the JP tag.



Figure 4.4: Number of tracks passing SECVTX pass 1 cuts with an impact parameter significance of three or more in b jets tagged by SECVTX and JP.

4.4 Monte Carlo Simulation Studies

Figure 4.5 shows the dijet mass spectrum of the untagged jets for events with at least one SECVTX or SLT tag and a second tag, which could be SECVTX, SLT. or JP. The shaded region corresponds to the Monte Carlo process for which we are searching: jet pairs for which both jets match within R < 0.4 to a generated quark from W decay.

We see from figure 4.5 that this technique isolates a clear dijet mass excess around



Figure 4.5: Dijet mass spectrum for the untagged jets in Monte Carlo for double b-tagged events. The shaded region corresponds to generated hadronic W decay.

Primary tags	Third tag		
	SLT	JP	none
SVX-SVX	$(45 \pm 4)\%$	$(50 \pm 3)\%$	$(52 \pm 1)\%$
SVX-SLT	-	$(25\pm3)\%$	$(38 \pm 2)\%$
SLT-SLT	-	$(5\pm15)\%$	$(35 \pm 3)\%$
SLT-JP	-	$(15\pm6)\%$	$(36 \pm 3)\%$
SVX-JP	-	$(33 \pm 3)\%$	$(43 \pm 1)\%$

Table 4.1: The probabilities that the various forms of triple-tagged events correctly isolate the hadronic W signal.

the W mass. Allowing JP second tags increases the fraction of events that are doubletagged from 16% to 25%, with only a small drop in signal purity. The technique finds the two correct W jets in 43% of the double-tagged events. However, this number is sensitive to the amount of initial or final state radiation in the simulation, since the largest contamination (38% of double-tagged events) comes from events where the four highest E_T jets do not correspond to two b jets and two W jets. We will hereafter define a gluon jet to be a jet which does not match within R < 0.4 to a quark from $t\bar{t}$ decay. Other $t\bar{t}$ backgrounds include events where both W's decayed leptonically and only one lepton was identified (8%), events where a c quark from W decay was tagged (8%), and mistags in W jets (3%).

Since a large background comes from events with gluon jets, we study whether it would help to reject five-jet events. For both tight ($E_T > 15$ GeV, $|\eta| < 2.0$) and loose

 $(E_T > 8 \text{ GeV}, |\eta| < 2.4)$ definitions of the fifth jet, we see that the signal-to-noise ratio improves, but the signal significance S/\sqrt{N} goes down a small amount for the tight fifth-jet veto, and significantly for the loose fifth-jet veto. So we implement no fifth-jet veto now, but note that it may help in future datasets, which are limited less by statistics and more by systematic uncertainties.

4.5 Backgrounds

The overall background level we will use comes from the CDF top mass analysis [35]. A likelihood fit is used, along with the various tag rates from top and background processes, to determine the most likely mixture of $t\bar{t}$ and background in the $W+ \geq 4$ jet sample. The result is that 107 ± 9 events of the 163 event sample are background. The 37 *b*-tagged events are found to be $(25\pm4)\%$ background, and the 23 SECVTX-tagged events are found to be $(12\pm4)\%$ background.

To calculate double *b*-tagged backgrounds, we first consider the following processes which can be expected to give pairs of heavy-flavor jets: single-top, $b\bar{b}$, $c\bar{c}$, $Wb\bar{b}$, $Wc\bar{c}$, $Zb\bar{b}$, and $Zc\bar{c}$. We calculate how many *b*-tagged and double *b*-tagged events are expected from these processes. The remaining expected *b*-tagged background events are not from sources with true heavy flavor pairs, but may still obtain double-tags through fake tags. We calculate this contribution by applying the fake-tagging rates for the various taggers to the other three jets in the events.

4.5.1 Fake tag rates

The rate of SLT mistags is taken to be 1.5% per jet, from [30]. The rate of jet probability mistags is taken to be 5% per taggable jet. Whether or not a jet is SVXtaggable, however, is correlated within events. Events with small longitudinal boosts, and events with a primary vertex z position in the center of the SVX, are more likely to give taggable jets. To account for this, we separate the taggable fraction according to whether or not the event already has an SECVTX tag. We consider events in the high P_T lepton samples well out of the W signal region, where the lepton isolation is high (I > 0.2) or the \not{E}_t is low $(\not{E}_t < 10 \text{ GeV})$. We find that $(45\pm2)\%$ of jets in SECVTX-tagged events are JP-taggable (excluding the tagged jet), and $(23\pm1)\%$ of jets in events without SECVTX tags are taggable.

For the $b\bar{b}$ and $Vb\bar{b}$ backgrounds, to improve double *b*-tagging statistics in the $W + \ge 4$ jet bin, we also include the rate of tags and double-tags in the W + 3 jet bin, combined with the rate of fake-tagging a fourth jet.

4.5.2 Single top backgrounds

Single top quark processes, such as those shown in figure 4.6, are expected to be sources of W bosons with two b jets. The W-gluon fusion process is less likely to be tagged, since it tends to produce soft second b jets. The cross-sections for these processes are calculated to be (0.74 ± 0.045) pb for the process $W^* \rightarrow tb$ and (1.44 ± 0.43) pb for the W-g fusion process [37]. Acceptances and tag rates are then calculated from HERWIG and PYTHIA Monte Carlo.



Figure 4.6: Single top quark production through a) virtual W and b) W-gluon fusion.

4.5.3 Non-W background

The non-W background consists of leptons from $b\bar{b}$ and $c\bar{c}$ processes, along with fake leptons, photon conversions, Z, and Drell-Yan lepton pair processes. Studies of non-W background use a method which compares the lepton isolation and \not{E}_t in high p_T lepton events to extrapolate from non-W regions into the W signal region. We divide the sample into four components:

- region A: $\not{\!\!\! E}_t < 15$ GeV and Isol < 0.1
- region B: $\not\!\!E_t < 15$ GeV and Isol > 0.2
- region C: $\not{\!\!E}_t > 20$ GeV and Isol > 0.2

If we assume that lepton isolation and $\not\!\!\!E_t$ are uncorrelated for background events. the number of background events in the signal region D is given by $D_{bg} = (A \times C)/B$. The fraction of non-W events F_{non-W} in the $W + \geq 3$ jet sample is calculated to be 10 ± 4 %. The tagging rate for the low $\not\!\!E_t$ isolated leptons is then used to calculate backgrounds. This sample is measured to contain about 38% $b\bar{b}$ events. To increase statistics for the double-tagging rates, we also include the rate from the sample of non-isolated leptons and large $\not\!\!E_t$.

4.5.4 $Wb\bar{b}$, $Wc\bar{c}$, $Zb\bar{b}$, and $Zc\bar{c}$

Heavy flavor pairs in W + QCD events arise mainly due to the splitting of gluons into quark pairs. HERWIG and VECBOS Monte Carlos are used to model the probability for a W+ jets event to have either one or two heavy flavor jets [36]. $b\bar{b}$ pairs are expected in 3.4% of the W + QCD events in our sample (before *b*-tagging), and $c\bar{c}$ pairs in 6.5%. Tag rates are also derived from Monte Carlo.

We do the same for Z + heavy flavor backgrounds, since we expect (from Monte Carlo) that $(7.4\pm2.3)\%$ of our W + jets sample is actually misidentified Z + jets.

4.5.5 Final background estimate

Once we know the number of tags, SVX tags, and double-tags from heavy flavor pair backgrounds, we consider the remaining expected background tags to come from either mistags, or processes with only one heavy flavor jet, such as $WW \rightarrow \ell\nu c\bar{s}$ or $sg \rightarrow Wc$. These events can only pick up second tags through mistags. So we apply the mistag rates from section 4.5.1, which depend on whether or not the primary tag was an SVX tag, for each of the three remaining jets.

The final background estimates are given in table 4.2. The uncertainty on this estimate must account for various correlations. For example, errors on the Monte Carlo tag rates have a correlated effect on all the $Vb\bar{b}$ and single top backgrounds. More importantly, estimating any background too high will be partially compensated by having fewer events subject to the mistagging rates described in the previous paragraph. We therefore use a toy Monte Carlo technique to study the effect of

Process	SVX or SLT tags	SVX tags	Double <i>b</i> -tags
Single top	0.24 ± 0.13	0.16 ± 0.09	0.09 ± 0.05
Non-W	0.75 ± 0.52	0.50 ± 0.4	0.12 ± 0.12
Wbb	1.2 ± 0.34	0.90 ± 0.27	0.40 ± 0.14
Wcē	1.0 ± 0.28	0.36 ± 0.13	0.08 ± 0.03
$Zbar{b},Zcar{c}$	0.25 ± 0.095	0.16 ± 0.07	0.06 ± 0.03
Expected backgrounds	9.2 ± 1.5	2.7 ± 0.9	
Excess background	5.8 ± 1.6	0.6 ± 1.1	0.6 ± 0.2
Final background estimate			1.3 ± 0.3

Table 4.2: Estimates of non- $t\bar{t}$ double *b*-tagged backgrounds. The "Expected backgrounds" are the top mass analysis estimates, requiring only one tag, and the "Excess backgrounds" are obtained by subtracting the heavy-flavor-pair backgrounds from the total expected background.

varying all the input parameters by Gaussian uncertainties. The resulting background estimate for the double *b*-tagged sample is 1.3 ± 0.3 events.

4.5.6 Background shape

Since the largest non- $t\bar{t}$ background is from double-mistags, we use the following technique to estimate the dijet mass spectrum. We start from our W + QCD Monte Carlo, and weight each dijet pair by the probability of mistagging the other two jets. The $Wb\bar{b}$ and $Wc\bar{c}$ event kinematics are seen to be similar to ordinary VECBOS, so they should be fairly well represented by this shape, too. The resulting histogram.
shown in figure 4.7, is only slightly different from the pretagged spectrum.



Figure 4.7: Comparison of the background histogram shapes with $Q^2 = M_W^2$ before and after weighting entries by mistag probabilities.

4.6 Results

Figure 4.8 shows the dijet mass spectrum after demanding two b tags, with at least one SECVTX or SLT, and possibly one loose jet probability tag, and excluding the b-tagged jets. Eleven events are found.

Eight of the eleven dijet combinations fall in the mass window of 60-100 GeV/c^2 .

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In W + QCD Monte Carlo, only about a third of the dijet mass combinations fall in this window.

Of the eleven events, six have two "normal" b tags (SECVTX or SLT), four enter with a jet probability tag, and one event has two SECVTX tags as well as a third JP tag. All of the JP-tagged events are used in the CDF top mass analysis (described in section 4.10.2), and the mass fitter assigned the loose-tagged jet as a b in all cases except the high outlying event. The events are summarized in table 4.3.

Figure 4.9 compares the dijet invariant mass in figure 4.8 obtained by excluding b-tagged jets with the dijet masses of the other five dijet combinations in these events.

In the top Monte Carlo sample, after applying the analysis cuts, 60% of the dijet combinations fell in the mass window of 60-100 GeV/c^2 .

The presence of a strong hadronic W peak indicates that double-tagged $W + \ge 4$ jet sample is comprised largely of events with two W's and two b's. To test the quality of the leptonic W, we plot in figure 4.10 the lepton-neutrino transverse mass M_T , defined as $\sqrt{(E_{T\ell} + E_{T\nu})^2 - |\vec{P_{T\ell}} + \vec{P_{T\nu}}|^2}$, for the events in the mass window. The result is consistent with leptonic W production.

4.7 Notable Events

Some of the double *b*-tagged events deserve further scrutiny.

The event at low dijet mass, Run 66573, Event 107219, appears to have a second



Figure 4.8: Dijet mass spectrum after demanding at least two b tags, one of which must be SECVTX or SLT.



Figure 4.9: Comparison of dijet masses excluding loose *b*-tags with the other five combinations in the event which involve *b*-tagged jets, and the pairs of *b*-tagged jets. All jets are corrected as W jets.



Figure 4.10: The lepton-neutrino transverse mass for the eight events in the hadronic W mass window 60-100 GeV/ c^2 , along with Monte Carlo expectation for $t\bar{t}$ events.

Run	Event	lepton	$M_T ~({ m GeV}/c^2)$	Tags	$M_{jj}~({ m GeV}/c^2)$
40758	44414	е	62.0	2 SECVTX	79.9
43351	266423	μ	90.7	SLT, loose JP	255.5
59698	31639	е	95.4	SECVTX, loose JP	78.7
63247	65096	е	87.2	SECVTX, loose JP	80.2
64721	229200	μ	11.1	2 SLT	81.2
65298	747402	μ	88.8	SLT, loose JP	59.2
65581	322592	е	56.7	SECVTX,SEC+SLT	65.2
66573	107219	е	40.7	SECVTX,SLT	18.2
67824	281883	е	58.2	2 SECVTX (loose JP)	80.2
67971	55023	μ	74.0	2 SECVTX	97.2
68464	547303	е	40.9	2 SECVTX	86.3

Table 4.3: Double-tagged events and their hadronic W mass and the transverse masses of the leptonic W candidates.

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electron of $E_T = 15.3$ GeV near the first one (R = 0.46), which fails only the fiducial and isolation cuts, and thus is counted as a jet. The two jets remaining after tagging are the "second electron" and a very nearby jet (R=0.54). This event is shown in figure 4.11.



Figure 4.11: The low dijet-mass event: Run 66573, Event 107219.

The event with a high dijet mass is from Run 1A, Run 43351, Event 266423. This muon event is shown in figure 4.12. The third jet has a jet probability of 2%, and the fourth jet has an SLT muon with a P_T of only 2.1 GeV/ c^2 .

A hadronic tau candidate, with only one track, makes up one of the untagged jets in the double-SLT-tagged muon event, Run 64721, Event 229200. We keep it. because other CDF top analyses do not reject tau candidates.







4.8 Hadronic W Mass Fitting

In this section, we discuss the maximum likelihood method used to extract the W mass from the hadronic decay mode. We will fit to the following four parameters:

- N_b , the number of non- $t\bar{t}$ background events,
- N_c , the number of combinatoric background events,
- N_W , the number of hadronic W's, and
- M_W , the measured W mass.

where $N_b + N_c + N_W$ is constrained to exactly the number of observed events.

The shape of the hadronic W signal will be represented by a Gaussian of width 11.7 GeV/ c^2 , the width of the hadronic W peak in our Monte Carlo. Variations on the width will be assigned as a systematic uncertainty. The non- $t\bar{t}$ backgrounds will be represented by the fake-tagged histogram in figure 4.7. The top combinatorics histogram is made with Monte Carlo and requires double b tagging, and also requires that both jets do not match within a cone of 0.4 to a generated quark from W decay.

All background histograms have both jets corrected as W jets.

4.8.1 Background term

Since we have a calculated background of 1.3 ± 0.3 events, we may use it to constrain N_b , within Poisson statistics. Since N_b is not an integer, we use a gamma function to replace the factorial in the Poisson distribution. The statistical variation is larger



Figure 4.13: Background shapes used for a) non- $t\bar{t}$ background and b) top combinatorics.

than the uncertainty on the mean, so we can save variations on the mean background level as a systematic uncertainty.

4.8.2 Constraining N_W and N_c

If we now proceed with only the background constraint term, the fit results are as follows:

$$M_W = 78.1 \pm 4.4 \; GeV/c^2$$

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$$N_b = 1.6 \pm 1.4$$

$$N_W = 8.7 \pm 2.3$$

While having 8.7 events assigned to be W's gives a low statistical uncertainty on the W mass, it is inconsistent with our expectation from HERWIG that only 43% of entries from top are hadronic W signal. Reality may be better modeled by putting in a constraint between N_W and N_c based on binomial statistics.

But before we implement this constraint, we must determine whether this W fraction f_W is known with a larger or smaller uncertainty than the expected binomial spread.

Since the largest contribution to combinatoric background comes events with gluon radiation among the four leading jets, we expect the largest contribution to the uncertainty on f_W to come from this source as well. To study this, we first look at the double-tagging rates in $t\bar{t}$ Monte Carlo events with and without one or more gluon jets, summarized in table 4.4. From this information, we can construct a function which relates the purity of the hadronic W signal f_W to the amount of gluon radiation f_q , shown in figure 4.14.

$$f_W = \frac{21.7(1 - f_g) + 1.9f_g}{27.8(1 - f_g) + 23.2f_g}$$

64

	no gluon	gluon
% double-tagged	27.8%	23.2%
% correct W	21.7%	1.9%

Table 4.4: Double-tagging rates for events with and without one or more gluon jets.

In Monte Carlo, we see that 55% of $t\bar{t}$ events have at least one gluon jet. Since we have no way to confirm this number, we assume the possible gluon fractions have a flat distribution from zero to one, and we base our systematic uncertainty from hard gluons on varying the fraction of events with at least one gluon jet by one standard deviation, or 30%, around a mean of 55%. From the above information, we see that a change of 30% in the gluon fraction corresponds to a change of 20% in the purity of the W signal from $t\bar{t}$ events.

Next, we ask what else can cause changes in the W signal purity. To investigate the effects of changes in the tagging and mistagging rates, we vary the input tagging rates by one sigma in the simulation. The final signal purity only changes by a few percent at most, since the signal and background tagging rates go up or down together. The uncertainty on the W purity is dominated by the contribution from hard gluon radiation.

So finally, we obtain an uncertainty on f_W of 20%. The spread of a binomial for N = 9.7 and p = 0.43 is given by $\sqrt{p(1-p)/N}$, or 16%. Since neither of these effects dominates, we must include both in the likelihood by convoluting the binomial term



Figure 4.14: The relation between gluon fraction and W purity for a double-tagged $t\bar{t}$ sample

with a Gaussian smearing. Again, we use gamma functions to interpolate between integer values for factorials. The term in the likelihood is then

$$\int_{0}^{1} dp \frac{1}{\sqrt{2\pi\sigma}} exp(-\frac{(p-f_{W})^{2}}{2\sigma^{2}}) \frac{\Gamma(N_{W}+N_{c}+1)}{\Gamma(N_{W}+1)\Gamma(N_{c}+1)} p^{N_{W}} (1-p)^{N_{c}}$$

The comparison of smeared and unsmeared shapes is given in figure 4.15.

One concern about using this term is that since the Gaussian's tails extend beyond zero and N, the term will not be normalized with respect to variations in N_{top} . We only expect N_{top} to vary by about one event, however, and this term stays normalized to within a percent under such variations.



Figure 4.15: Comparison of the smeared and unsmeared binomial constraints on N_W .

4.8.3 Comparison of likelihood functions

The likelihood function, including background, smeared binomial, and shape terms, is now given by

$$\mathcal{L} = P(N_b) \left(\int dp G(p) B(N_W, N_c, p) \right) \prod_{i=1}^N \frac{N_b f_b + N_c f_c + N_W G(M_W)}{N_b + N_s + N_W}$$

where P, B, and G represent Poisson, binomial, and Gaussian functions. To decide whether or not to use the smeared binomial constraint term, we first

must see whether each technique gives reasonable errors. To check this, we run 1000 pseudo-experiments of eleven events each, where the input masses are chosen randomly from Monte Carlo. We fit each pseudo-experiment and plot the *pull* of the measured W mass, the ratio of difference between the measured and true Wmass to the measured uncertainty. Pull distributions should be Gaussians centered at zero with unit width. Both techniques give good pull distributions, as shown in figure 4.16, and both give similar errors on M_W . We finally decide to include the smeared binomial constraint.



Figure 4.16: Pull distributions from 1000 pseudo-experiments of 11 events each.

Applying this fit technique to our data, we get the following results:

$$M_W = 78.3 \pm 5.1 \ GeV/c^2$$

 $N_b = 1.2^{+1.3}_{-1.1}$

$$N_W = 6.4 \pm 2.0$$

The statistical uncertainty on the W mass is higher than before, because the fit allows fewer W candidates. These results are compared to the pseudo-experiments in figure 4.17.

4.8.4 Systematic uncertainties

The results of studies of systematic uncertainties are shown in table 4.5. Some are done by refitting with different templates or fit techniques, and some use the median W mass returned by an ensemble of 1000 pseudo-experiments of eleven events each.

Non- $t\bar{t}$ background

The background template used for the standard fit uses dijet combinations from a VECBOS sample using $Q^2 = M_W^2$. To study the effect of the Q^2 scale choice, we redo the fit using a template made with $Q^2 = \langle P_T \rangle^2$. The shapes are compared in figure 4.18. We also vary the expected background level by ± 0.3 events. Since the overall background level is small, no effect from either variation is observed.



Figure 4.17: Distributions from 1000 pseudo-experiments of 11 events each. Arrows represent the value obtained fitting to the data.

Top background

Here, we refit with templates made from HERWIG Monte Carlo with top masses of 160 and 190 GeV/ c^2 . Also, since we expect 80% of our background to come from hard gluon events, we refit with templates that have 70% and 90% gluon events. The net effect is less than 0.1 GeV/ c^2 .

Also, we refit using a $t\bar{t}$ background template from ISAJET Monte Carlo with a top mass of 175 GeV/ c^2 . No shift is observed.



Figure 4.18: Comparison of the background histograms with $Q^2 = M_W^2$ and $Q^2 = P_T^2$.

Fit method

Many aspects of the likelihood could be varied. The constraints could be removed, or fixed and not allowed to vary. The total number of events could be allowed to fluctuate, with a Poisson constraint to N_{obs} . These variations shift the returned top mass by a maximum of $0.2 \text{ GeV}/c^2$, as shown in table 4.6.

Fitted W width

Here we use pseudo-experiments for variations, to avoid the statistical effects. Varying the jet energy resolution by $\pm 10\%$ results in a variation of the reconstructed dijet mass of $10\%/\sqrt{2}$, or $\pm 0.8 \text{ GeV}/c^2$. So we run pseudo-experiments where we fit to widths of 10.9 and 12.5 GeV/c^2 instead of 11.7 GeV/c^2 , and look for variations in the median returned W mass. The resulting uncertainty is $0.2 \text{ GeV}/c^2$.

Sideband events

Since those two sideband events are both far out on the tails of the distribution, we check the result of throwing out each of the events and refitting. This results in an uncertainty of $0.1 \text{ GeV}/c^2$.

Monte Carlo statistics

To estimate this, we perform 1000 experiments using the data events but in which the number of events in each bin in the background templates is allowed to fluctuate within Poisson statistics. The returned W mass has a fitted width of $0.5 \text{ GeV}/c^2$.

Jet energy scale

Next, we determine the systematic uncertainties on how well the measured jet energies correspond to the original quark energies. This uncertainty can be broken into three components: uncertainty on the calorimeter response to incident particles, uncertainty on the Monte Carlo quark fragmentation process, and uncertainty on

Quantity varied	M_W Result (GeV/ c^2)	Sys. unc.
Non- $t\bar{t}$ background		
constr. to 1.6 ev	78.3 ± 5.1	0.0
constr. to 1.0 ev	78.3 ± 5.1	
$Q^2 = \langle P_T \rangle^2$	78.3 ± 5.1	0.0
Top background		
$m_t = 160 \text{ GeV}$	78.3 ± 5.2	0.1
$m_t = 190 \text{ GeV}$	78.2 ± 5.0	
ISAJET, $m_t = 175$	78.2 ± 4.8	0.1
70% hard gluon	78.3 ± 5.1	0.1
90% hard gluon	78.4 ± 5.1	
Fitted width (pseudo-experiments)		
10.9 GeV	median 79.8	0.2
12.5 GeV	median 79.4	
Sideband events		
Throw out low one	78.2 ± 4.1	0.1
Throw out high one	78.2 ± 4.2	

Table 4.5: Systematic uncertainties on the W mass measurement due to fit techniques.

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Fit tec	hnique	Total syst. unc.: 0.2 GeV/ c^2		
N _b constr?	N constr?	N_W constr?	$M_W~({ m GeV}/c^2)$	
Poisson	hard	none	78.1 ± 4.4	
Poisson	hard	smeared binomial	78.3 ± 5.1	
hard	hard	none	78.1 ± 4.4	
none	hard	none	78.4 ± 4.9	
Poisson	hard	binomial	78.4 ± 5.5	
Poisson	Poisson	smeared binomial	78.3 ± 5.1	
Poisson Poisson		hard	78.5 ± 6.0	
Gaussian	hard	Gaussian	78.4 ± 5.4	

Table 4.6: Systematic uncertainties on the W mass measurement due to different background constraints.

the amount of energy collected in the jet cone from the underlying $p\bar{p}$ interaction or from other interactions in the same beam crossing,. For all of these, we use techniques developed for the CDF top mass analysis [12]. We apply shifts (up or down) to our Monte Carlo jet energies, and then use these shifted datasets as inputs to pseudo-experiments and refit them against the unshifted templates. The shift in the resulting median W mass of all the pseudo-experiments is taken to be the systematic uncertainty.

We first include a shift of $\pm 1\%$ to the raw jet energies representing detector stability. The uncertainty on the detector response to individual particles has been studied in Ref. [38]. The uncertainty is about 2.5% with a slight asymmetry and E_T -dependence, applied after the absolute energy scale corrections and before any out-of-cone or top-specific corrections. Finally, the uncertainty on the correction for multiple interactions is taken to be 30% of the correction. These three effects are added in quadrature to form the *calorimeter effects* uncertainty.

In the top mass analysis, the difference between data and Monte Carlo modeling of soft gluon radiation was measured by looking at E_T flow in samples of W, Z, and γ bosons with single jets. The fraction of the energy in the annular region 0.4 < R < 1.0around the jet axis was compared to the simulation. The one-sigma upper limit on the level of agreement between data and simulation was found to be a percentage shift of

$$\exp(2.466 - 0.0736 \times E_T) + 1.4379$$

. This shift was combined in quadrature with an uncertainty of 1 GeV representing

the amount of energy outside R = 1.0. Pseudo-experiments then determined the shift to the W mass, the *soft gluon* uncertainty. These jet energy scale uncertainties are listed in table 4.7.

Quantity varied	median M_W (GeV/ c^2)	Sys. unc.		
Standard	79.8			
Calorimeter Stability, 1% of raw E_T				
ир	81.0	$0.7 \text{ GeV}/c^2$		
down	79.5			
Calorimeter response, ($\approx 2.5\% \oplus \text{mult. int.}$)				
ир	82.0	1.7 GeV/ <i>c</i> ²		
down	78.6			
Soft gluon ⊕ 1 GeV				
ир	82.7	$2.4 \text{ GeV}/c^2$		
down	77.9			

Table 4.7: Systematic uncertainty on the W mass measurement due to uncertainties in the jet energy scale.

Results

We the W mass to be

$$78.3 \pm 5.1(stat) \pm 3.0(syst)GeV/c^2$$

where the systematic uncertainty is summarized in table 4.8.

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Soft gluon effects	$2.4 \text{ GeV}/c^2$
Calorimeter effects	$1.8 \text{ GeV}/c^2$
Monte Carlo statistics	$0.5~{ m GeV}/c^2$
Fitting constraints	$0.2 \text{ GeV}/c^2$
Fitted width	$0.2 \text{ GeV}/c^2$
Background level and shapes	$0.2 \ \mathrm{GeV}/c^2$
Sideband events	$0.1 \text{ GeV}/c^2$
TOTAL	$3.0 \text{ GeV}/c^2$

Table 4.8: Summary of the systematic uncertainties on the measurement of the hadronic W mass.

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4.9 Significance of the Result

We show two techniques to evaluate the significance of this peak. The first uses a simple mass-window criterion. The second uses the likelihood method, and uses as an estimator the difference in likelihoods with and without a W signal term.

4.9.1 Mass Window Technique

We define the W mass window between 60 and 100 GeV/c^2 . We note that eight of our eleven events fall in this range, and another barely misses with a mass of 59.1 GeV/c^2 .

In W + QCD Monte Carlo, 33.7% of the dijet combinations fall in this mass window. From binomial statistics, we find that the probability of eight, nine, ten, or eleven events in the mass window is 0.95%. This corresponds to a 2.3 σ effect. For $t\bar{t}$ Monte Carlo, 36.5% of the dijet combinations which pass the double-tag criteria but do not have both jets matching to quarks from W decay fall in the window. Assuming this to be the source of background, the probability is 1.68% or a 2.1 σ effect.

If we include a W signal and run pseudo-experiments with the expected background rate, the probability of getting eight or more events in the mass window rises to 23%.

4.9.2 Likelihood Technique

In order to be more sensitive to the shape of the events in the peak, we use a technique which measures the likelihood difference between fits with and without a W contribu-

tion. This difference is then compared with the results of pseudo-experiments. The W signal term is set to a Gaussian with fixed mass equal to the generated mass, 80.4 GeV/c^2 , and a resolution of 11.7 GeV/c^2 , as measured from Monte Carlo.

When we fit the data with and without this fixed Gaussian term, we see a difference of 4.35 units of log-likelihood. In 20000 pseudo-experiments done with 1.3 ± 0.4 background events and the remainder from top with no hadronic W contribution, we see a difference larger than this in 34 experiments. This gives a Gaussian significance of 2.9σ . If we allow the expected W signal, this probability rises to 15%.

4.10 $t\bar{t}$ Event Reconstruction

4.10.1 Introduction

Once two *b* quarks are tagged, and the untagged jets are found to have an invariant mass near the *W* mass, we are left with a sample that is almost purely $t\bar{t}$, and reconstructing the $t\bar{t}$ event becomes simplified. Only two twofold ambiguities remain; choosing which *b* quark goes with which top decay, and which of the two neutrino *z* momentum solutions to take.

In this section, we present a technique for measuring the top mass which does not use kinematic fitting. We resolve the above ambiguities by choosing the configuration where the three-body masses match most closely. We then take the top mass to be the average of the values obtained from the hadronic and leptonic sides. We show that this technique measures the top mass measurement nearly as well as the fitter



Figure 4.19: The change in likelihood with and without a fixed Gaussian signal term, for simulated experiments which use only background events. The line indicates the value of 4.35 from the data.

technique [39].

4.10.2 Kinematic fitting of the top mass

CDF uses a kinematic fitting technique to extract a top mass. The input values are as follows: fully corrected jets are used, and the jet mass to be is taken to be $0.5 \text{ GeV}/c^2$ for W jets and $5 \text{ GeV}/c^2$ for b jets. The \not{E}_t is found by first taking the unclustered E_T as anything which doesn't balance against the raw \not{E}_t , lepton, or clustered jets.

Next the unclustered energy is corrected by a factor of 1.6, the electron and jets are corrected, and the transverse energy components are rebalanced to form the corrected $\not\!\!\!E_t$.

Five vertices are considered: the production vertex, two top decay vertices, and two W decay vertices. The masses of the top quarks are required to be equal, and the W mass constraint is applied at both W vertices. The result is a 2-constraint fit. All permutations of jets are assigned to the partons. Also, two solutions exist for the neutrino z momentum. This results is 24 fit solutions. All are tried. The lepton and jet energies are allowed to vary within their experimental uncertainties, and the configuration and top mass which minimize the overall χ^2 of the event is chosen. An event is rejected if no permutation has $\chi^2 < 10$.

The distribution of top masses from the events is then fitted to Monte Carlo templates for background samples, and for $t\bar{t}$ samples of various top masses. The negative logarithms of the likelihoods are plotted as a function of the top mass in the templates, and fit to a parabola. The top mass is given by the minimum of this parabola, and its statistical uncertainties are given by the mass values where the function increases by half a unit of log-likelihood.

4.10.3 Three-body balancing technique

As in [39], we only consider events with a hadronic W mass in the range 60-100 GeV/c^2 . In some events the leptonic W transverse mass exceeds the W mass. In this case we only take the real part of the quadratic solution for the neutrino p_z . We have

When considering how to combine the information from the leptonic and hadronic side of the event, we found that giving the two masses equal weighting gave the narrowest top mass peak.

Finally, in order to compare results with the mass fitting analyses, we choose a cut on the difference in three-body masses, analogous to the χ^2 cut. The fitter χ^2 cut rejects only 3% of the double-tagged events with a W mass in the window. To obtain the same acceptance, we choose a mass difference cut of 70 GeV/ c^2 . The mass difference distribution from Monte Carlo is shown in figure 4.20.

Figure 4.21 shows the mass reconstruction for events from 175 GeV/ $c^2 t\bar{t}$ Monte Carlo. The correct configuration is found 53% of the time, and 70% of the events have the four highest E_T jets match to the original quarks.

The mass extraction is then done using the usual likelihood method. For the background shape, we again weight by the double-mistag probability.

4.10.4 Comparison to fitting technique

Figures 4.22 and 4.23 show how the two mass measurement techniques compare in both mean and variance of the returned mass peaks for templates of each value of top mass. It can be seen that the balancing technique gives widths $1.5-2.5 \text{ GeV}/c^2$ wider, and tracks the top mass slightly better.

The distribution of pulls from 175 GeV/ $c^2 t\bar{t}$ Monte Carlo is shown in figure 4.24.



Figure 4.20: The mass difference between the leptonic and hadronic sides of the reconstructed top decay, from $t\bar{t}$ Monte Carlo.



Figure 4.21: The reconstructed top mass for 175 GeV/c^2 Monte Carlo. The dotted line is the shape of events in the correct configuration.



Figure 4.22: Mean reconstructed top mass, obtained by fitting to a Gaussian. for the mass-balancing and fitting techniques.



Figure 4.23: The Gaussian widths of the top mass distributions for the two techniques.

and seen to be good.

4.10.5 Mass-balancing results from data

Of the eight events in the W mass window, one fails the mass difference cut. The results are summarized in table 4.9, and in figure 4.25.

Run	Event	$M_{top}~({ m GeV}/c^2)$	$M_{3j}~({ m GeV}/c^2)$	$M_{\ell \nu j}~({ m GeV}/c^2)$
40758	44414	170.9	170.7	171.1
59698	31639	192.6	180.9	204.2
63247	65096	165.1	159.5	172.0
64721	229200	195.4	174.1	216.7
65581	322592	fails ΔM cut	235.6	163.2
67824	281883	178.2	159.4	197.0
67971	55023	193.9	202.3	185.4
68464	547303	155.5	158.2	152.8

Table 4.9: Results of the top mass measurement using the three-body mass balancing technique.

Doing a likelihood fit to the top and background templates yields a top mass of $182.3 \pm 9.6 \text{ GeV}/c^2$.

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Figure 4.24: The distribution of pulls of the top mass, from pseudo-experiments.



Figure 4.25: The top masses obtained from these seven events. A likelihood fit to Monte Carlo templates of different top masses yields a measured top mass of 182.3 \pm 9.6 GeV/ c^2 .
4.10.6 Systematic uncertainties

Most of the evaluation of systematic uncertainties was done using the same methods as in section 4.8.4. The techniques that differ are described below.

Hard gluon radiation

In this dataset, 30% of the events have a gluon jet among the four leading jets. Since the lowest possible gluon fraction is 0%, we take that to be the 2σ point, and consider the effects of varying the gluon fraction in pseudo-experiments by $\pm 15\%$. The resulting shift is 1.2 GeV/ c^2 .

Another way to evaluate this uncertainty is to eliminate initial-state gluon radiation from the Monte Carlo input to the pseudo-experiments. Doing this shifts the median returned top mass down by $3.1 \text{ GeV}/c^2$, so we may take half of this as the systematic uncertainty. Final-state radiation effects are expected to be accounted for in the jet energy scale uncertainty.

We take as our final uncertainty the average of these two results, or 1.4 GeV/c^2 .

Likelihood method

Some ways to vary the fit technique include altering the constraints on the background, letting the total number of events N float, or changing which points are used in fitting the likelihoods to a parabola. The results are shown in table 4.11. The uncertainty is $0.3 \text{ GeV}/c^2$.

Gluon fraction	Result	Mean Uncertainty	Sys. unc.
0%	181.9	12.4	2.0
15%	181.0	13.8	1.1
20%	180.9	13.8	1.0
30% (HERWIG)	179.9	13.9	
40%	179.4	15.1	0.5
45%	178.7	15.1	1.2
65%	178.9	17.8	1.0

Table 4.10: Systematic uncertainty on the top mass due to uncertainty on the amount of hard gluon radiation.

Event configuration

For this, we look to see if different event configurations will also give reasonable solutions for the top event. To define "reasonable", we note that the width of the plot of mass imbalance between the two sides of the event has a width of 21 GeV/ c^2 , so we look for configurations with a mass imbalance less than 21 GeV/ c^2 worse than the best balance. In only one event, Run 67971 Event 55023, we see that a different neutrino solution lowers the returned mass from 193.9 GeV/ c^2 to 188.0 GeV/ c^2 , and the imbalance becomes 11.6 GeV/ c^2 worse. So we use the second-best top mass for this event as input to the likelihood fit, and the fit returns a top mass of (181.3 \pm 8.9) GeV/ c^2 , leading to an uncertainty of 1.0 GeV/ c^2 .

Fit technique	Result (GeV/ c^2)	
Default	182.3 ± 9.6	
free bg	182.3 ± 9.6	
fixed bg	182.6 ± 9.9	
free N	182.5 ± 9.1	
fit range 170-200	182.4 ± 9.5	
fit range 165-190	182.3 ± 9.6	
fit range 160-200	182.5 ± 9.1	

Table 4.11: Systematic uncertainty on the top mass due to fit technique.

4.10.7 Results

Using a simple three-body balancing technique, we measure the top mass in doubletagged events to be 182.3 ± 9.6 (stat) ± 4.9 (syst) GeV/ c^2 .

4.11 Measurement of the Jet Energy Scale

4.11.1 Introduction

The position of the W mass peak provides information about how accurately the energies measured in our calorimeter correspond to the energies of the original quarks. This jet energy scale is a large systematic uncertainty in many measurements at CDF. including the top mass measurement.

Quantity varied	Sys. uncert. (GeV/ c^2)	
Jet energy scale		
Detector effects	3.2	
Soft gluon effects	2.7	
Monte Carlo statistics	1.8	
Hard gluon radiation	1.4	
Event configuration	1.0	
Different MC generator	0.7	
Likelihood method	0.3	
Background shape	0.0	
Background level	0.0	

Table 4.12: Systematic uncertainty on the top mass result from the three-body balancing technique.

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4.11.2 Preliminary measurement

Here we attempt to translate our W mass measurement of $78.3 \pm 5.9 \text{ GeV}/c^2$ into a measurement of the jet energy scale. To do this, we run ensembles of pseudoexperiments using input data which was shifted in raw E_T by $\pm 2\%$, $\pm 5\%$, and $\pm 10\%$. We find the median W mass returned for each shifted ensemble, and make a linear function to translate between the returned W mass and the jet energy scale. From figure 4.26, we see that our W mass measurement corresponds roughly to a measurement of the jet energy scale of $(-2.5 \pm 8.8)\%$.



Figure 4.26: The relation between jet energy scale and median returned W mass from ensembles of pseudo-experiments. The large point represents the measurement in the data, which translates into a rough estimate of the jet energy scale of $(-2.5 \pm 8.8)\%$.

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4.11.3 Likelihood method

Another way to do this measurement is to perform a likelihood fit to templates made from double-tagged events with various jet energy scales. We use HERWIG Monte Carlo for the templates, and constrain the background with a Poisson term centered at 1.3 events. The background shapes are weighted by their double-mistag probabilities. Doing this yields the likelihood distribution shown in figure 4.27, from which we obtain a jet energy scale measurement of $(-2.4 \pm 8.0)\%$.



Figure 4.27: The results of a likelihood fit to templates with various jet energy scale shifts.

4.11.4 Systematic uncertainties

The systematic uncertainties are evaluated in much the same way as the previous measurements in this work. We must also include the uncertainty on the W mass. which the latest Particle Data book lists as 80.33 ± 0.15 GeV. This translates into a 0.2% uncertainty. The systematic uncertainties are summarized in table 4.13.

Quantity varied	Sys. uncert. (%)
Throw out sideband events	1.8
Hard gluon radiation	1.4
Top mass in templates	1.0
Monte Carlo statistics	0.7
Background shape	0.4
True W mass	0.2
Background constraint	0.1

Table 4.13: Systematic uncertainties on the measurement of the jet energy scale.

4.11.5 Results

We use the hadronic W peak in the double-tagged data to obtain a measurement of the jet energy scale at CDF of

$$(-2.4 \pm 8.0(stat) \pm 2.6(syst))\%$$

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4.11.6 Future precision

Table 4.14 summarizes the mean statistical precision of the jet energy scale measurement pseudo-experiments, as a function of the number of events in the sample. This assumes the current mix of signal and background (1.3 background for every 11 signal events).

Number of events	Statistical precision (%)
11	9.2
50	5.1
100	3.6
300 (1 fb ⁻¹)	2.1

Table 4.14: Expected future precision of the jet energy scale measurement.

4.12 Determining the Hard Gluon Radiation Content

Another large systematic uncertainty in the top mass measurement is the amount of hard gluon radiation modelled in the Monte Carlo. As was seen earlier, the purity of the double-tagged W dijet peak depends strongly upon the hard gluon content of $t\bar{t}$ events, because the main background comes from $t\bar{t}$ events with two b jets and one or more jets from hard gluon radiation among the four hardest jets. Because of this, it seems possible to use the relative amounts of signal and sideband from this analysis to measure the hard gluon content of $t\bar{t}$ events. We define the gluon fraction to be the fraction of $t\bar{t}$ events where the four highest E_T jets do not correspond to the four generated partons. Until recently, this definition was the basis of the top mass systematic, and is still the most useful for describing the hadronic W peak.

A cautionary note is in order: this measurement is inherently biased, because we probably wouldn't be doing it if the $W \rightarrow jj$ peak weren't so clean in the first place. This section is primarilary meant to show that a measurement of the hard gluon radiation in $t\bar{t}$ events is possible in the double *b*-tagged sample.

4.12.1 Preliminary look

We begin by using the fraction of events in the mass window between 60 and 100 GeV as an estimator. We use a toy Monte Carlo to study this behavior. We first include a Poisson-distributed non- $t\bar{t}$ background with a mean of 1.3 events for every eleven events total. Next, we randomly split the remaining events between hadronic W's and top combinatorics, with f_W determined from f_g . We then assign each background event a 33% chance of falling in the mass window, each top combinatoric event a 36% chance, and each hadronic W an 87% chance. We then run 10000 experiments of eleven events each for various values of f_g , and determine which fraction of the experiments result in eight or more events in the window. The results are summarized in table 4.15. The distributions for the number of events in the window for gluon fractions of 25%, 55%, and 85% are shown in figure 4.28.

f_g	% with \geq 8 in window	% with 8 in window
0	64.4%	27.0%
5%	60.9%	27.0%
10%	56.9%	26.1%
25%	44.9%	23.3%
35%	37.1%	20.7%
45%	26.9%	18.3%
55%	22.9%	15.1%
65%	16.9%	12.0%
75%	11.4%	8.3%
85%	7.2%	5.4%
99%	3.5%	2.6%

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Table 4.15: The fraction of pseudo-experiments with eight (or more) events in the mass window 60-100 GeV/c^2 , as a function of the fraction of input events with one or more gluon jets.



Figure 4.28: The number of events in a mass window of 60-100 GeV/c^2 for 10000 pseudo-experiments with gluon fractions of 25%, 55%, and 85%.

From the right column of table 4.15, we see that the probability of getting eight events peaks for a gluon fraction of zero, indicating that mistags and background are sufficient to account for the observed sidebands. This probability drops by a factor of $e^{-1/2}$ around a gluon fraction of 40%, indicating a one-sigma limit. From the left column of table 4.15, we see that a one-sided 90% confidence level limit could be set around a gluon fraction of 80%, and a 95% limit around 90%.

4.12.2 Likelihood fit

To do this more rigorously, we next fit our data sample against templates with various mixes of gluon and non-gluon $t\bar{t}$ events. The templates are shown in figure 4.29.



Figure 4.29: Templates with various mixes of gluon and non-gluon $t\bar{t}$ events.

A few decisions remain. Since the background level is important to this result. we must be careful in choosing how to constrain it. Options include using a Poissonshaped constraint with mean 1.3 events, a Gaussian constraint with a width of 0.4 events, corresponding to the uncertainty on the mean background calculation, or a looser Gaussian constraint of width $\sqrt{1.3}$, to allow more statistical fluctuation of background. Also, we may easily fit in terms of either the gluon fraction in doubletagged events, or use the Monte Carlo tagging rates for gluon and non-gluon events to convert this to a pretagged gluon fraction.

The only objective way to rate these methods is by seeing how well pseudoexperiments can return the input gluon fraction. This is shown in figure 4.30 and from this we note two things. First, using pretagged gluon fractions distorts the results significantly. Second, the Poisson background constraint tends to bias the the results high, because the maximum of a Poisson with mean 1.3 falls at about 0.9. We choose to use a Gaussian background constraint of width $\sqrt{1.3}$.



Figure 4.30: Median returned gluon fraction from pseudo-experiments using different fit techniques.

The likelihood fit to the data results in a measured hard gluon fraction of $(-2 \pm$

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39)%, shown in figure 4.31. We will take this to mean that noise events from gluon radiation are not required.



Figure 4.31: Results of the likelihood fit to the data.

4.12.3 Systematic uncertainties

The systematic uncertainties on this measurement are listed in table 4.16.

4.12.4 Results

We finally get a measurement of the gluon fraction in double-tagged $t\bar{t}$ events of $(-2 \pm 39(stat) \pm 14(syst))\%$. Combining the statistical and systematic errors gives a one-sigma limit on the double-tagged gluon fraction 39%, which corresponds to a

Quantity varied	Sys. uncert. (%)
Fit to pretagged fraction	9
Background constraint	6
Background level	5
Throw out sideband events	4
Monte Carlo statistics	4
Background shape	3
Top mass in templates	2
Jet energy scale	2
TOTAL	14%

Table 4.16: Systematic uncertainties to the measurement of the gluon fraction in $t\bar{t}$ events.

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limit on the pretagged gluon fraction of 45%.

To find the 90% confidence limit, we first shift the mean by one systematic standard deviation, and then follow the likelihood parabola up until the likelihood decreases by a factor of 10. This yields a limit of 82%, or 85% pretagged. Similarly, we find a 95% confidence limit at a gluon fraction of 96%, equivalent to 97% pretagged.

4.12.5 Future precision

Table 4.17 lists the expected future precision of this measurement as a function of the number of events, with 1.3 background events for each eleven events from HERWIG Monte Carlo.

# events	Stat. precision	
50	18%	
100	12%	
$300 (1 \text{ fb}^{-1})$	6.4%	

Table 4.17: Expected statistical precision of future measurements of the gluon fraction.

Chapter 5

Single W production

In this chapter, we search for the process $W/Z \rightarrow jj$ in a dijet sample. We hope to find a larger signal, although the backgrounds from QCD $2 \rightarrow 2$ processes are huge.

5.1 UA2 Data

The UA2 experiment has successfully reconstructed the dijet decays of W and Z^0 bosons in $4.7 \pm 0.2 \text{ pb}^{-1}$ of data [41].

Figure 5.1 shows the UA2 dijet mass spectrum in the W and Z^0 mass region. The left figure shows the data with entries weighted by $(M_{jj}/100)^6$ with three different background fits. The right figure shows the data after background subtraction and an excess which is fitted to a combination of W and Z^0 signal events. After the background subtraction, they isolated a signal consisting of 5618 ± 1334 events (4.2σ significance) which corresponds to a signal to background ratio of 1 : 38 in the 70 to 100 GeV/ c^2 dijet mass region. The measured W mass from fitting the excess (including the Z^0 contribution) was $79.2 \pm 1.7 \pm 3.4$ GeV/ c^2 .



Figure 5.1: UA2 results

5.2 Triggers

In early 1996, a wire in the CTC broke, leaving half of the detector with no tracking. New triggers for physics which required no tracking were implemented to use the $\approx 3 \text{ pb}^{-1}$ of luminosity to be given until the Tevatron run ended.

Low E_T dijet triggers comprised much of this data, approximately 3.3 million events. Two thresholds were set: a main sample requiring two central trigger clusters with $E_T \ge 12$ GeV (referred to hereafter as DIJET_12), and a control sample requiring central trigger clusters with $E_T \ge 10$ GeV (referred to as DIJET_10). The DIJET_10 trigger is prescaled by a factor of ≈ 9 with the respect to the DIJET_12.

5.3 Selection Criteria

In order to find a significant signal, we must find cuts which not only optimize S/\sqrt{N} , but simultaneously give as narrow a W peak as possible. We know that W jets are more central than QCD jets, and that the gluon jets which dominate the background are more likely to radiate more gluons, and thus, more jets. With these facts in mind, we search for cuts which optimize the quantity $\frac{S}{\sigma\sqrt{N}}$, where σ is the Gaussian width of the W decays. We use Monte Carlo samples generated using PYTHIA [40] along with detector simulation. We enable all W decay modes, and only count those decays where both jets match within R < 0.4 of the original quark direction. The background samples include all QCD $2 \rightarrow 2$ processes. For both signal and background, we only include events with dijet masses between 60 and 110 GeV/ c^2 . We obtain the following selection criteria:

- Jet clustering cone size of 0.7
- $0.1 < |\eta| < 0.9$, to avoid detector cracks
- Third jet $E_T < 12 \text{ GeV}$
- $\Delta \phi_{jj} > 160^{\circ}$ (back-to-back)
- jet electromagnetic energy fraction < 0.95 (reject γ 's and e's).

We now mention other cuts we tried, but did not include in the final analysis.

We may expect improved resolution from more electromagnetic jets, because of the superior resolution of the electromagnetic calorimeter. However, no increase is seen in either Monte Carlo or data resolution of $\not\!\!\!E_t$. This seems to be because highly electromagnetic jets are often due to hadronic interactions in the electromagnetic calorimeter [42].

Since we expect the signal jets to be more central than QCD, we try cutting on $\cos \theta^*$, the cosine of the angle between the lead jet and the beam in the dijet centerof-mass frame. However, since the η range is already restricted, this cut is only of marginal benefit. We leave it out for simplicity.

A cut on the P_T of the dijet system could be useful to remove events with one or more badly measured jets. However, we find that the effects are small, and furthermore, biased towards higher dijet masses. We make no cut on dijet P_T . It has been suggested [43] that since the largest component of the QCD background is from gluon jets, variables which discriminate quark jets from gluon jets may be used. In the Monte Carlo, jets from gluon processes are significantly narrower than those in quark processes. However, it would be difficult to remove the E_T -dependence from any cut on jet shape. Furthermore, we see only small differences between the jets in samples expected to be quark-rich (such as photon + jet samples) and samples expected to be gluon-rich (such as dijet samples).

The cumulative acceptances of these cuts are listed in table 5.1.

	W/Z eff.	BG eff.
$E_T > 12 \mathrm{GeV}$	0.89	-
and $0.1 < \eta < 0.9$	0.18	-
Jet 3 $E_T < 12$ GeV	0.83	0.68
and $\Delta \phi_{jj} > 160^{\circ}$	0.73	0.45

Table 5.1: Efficiencies of kinematic cuts.

5.3.1 W/Z signal expectation

We now move on to studying the expected W and Z signal. Table 5.2 summarizes the expectations from Monte Carlo.

In 1.9 pb⁻¹ of usable data, we expect 2800 W events, and 1100 Z events.

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	W	Ζ
σ_{tot}	23.1 nb	6.86 nb
σ_{had}	15.7 nb	4.80 nb
kinematic cuts	1.5 nb	640 pb
Both jets match to quarks	1.4 nb	580 pb

Table 5.2: W and Z cross-sections after cuts.

5.4 Measuring the Dijet Trigger Efficiency

Different jet clustering algorithms are used in the Level 2 triggers (described in section 2.5.3) and final jet reconstruction (described in section 3.1.1). Level 2 clustering typically involves many fewer calorimeter towers, and registers less energy. A trigger cluster E_T of 12 GeV roughly corresponds to an uncorrected jet E_T of 22 GeV, which in turn corresponds to a corrected jet E_T of approximately 30 GeV.

To measure the efficiency of the dijet triggers, we will measure the efficiency for each jet to pass the dijet trigger threshold, as a function of raw jet transverse energy. We will then assume the efficiencies for both jets in the dijet trigger to be independent. so the trigger efficiency for a dijet event may be given by the product of the trigger efficiencies of the two jets. For these purposes, we calculate the E_T not using the event vertex, but using the center of the detector (z=0), to correspond more closely to the trigger E_T . These single-jet trigger efficiencies will be obtained from events in the *inclusive jet* data samples, which trigger if any Level 2 jet cluster E_T is above a certain threshold.

We must ensure that the trigger requirements for the inclusive jet samples do not bias our measurement of central jet efficiency. To do this, we only consider dijet events with a *trigger jet*, a jet which could cause the inclusive jet trigger to fire, which lies outside the central region, and a *probe jet*, the one from which we will measure the efficiency, in the central region. We must require a trigger jet to be forward to eliminate trigger bias from the probe jet.

The forward-central technique uses inclusive jet data from the Run 1B JET_20 and JET_50 samples, and the Run 1C JET_15 sample. As a first check, we make sure the trigger efficiency from Run 1B applies to Run 1C by comparing the efficiencies derived from the events in the Run 1C JET_15 sample which would pass the JET_20 requirements with the Run 1B JET_20 sample. These are shown in figure 5.2. No discrepancy is seen.

5.4.1 Monte Carlo test of the method

In figure 5.3, we test to see if the probe jets in the forward-central method have the same trigger turn-on curve as the jets selected in the dijet analysis. The agreement is good.

5.4.2 Comparing efficiencies to dijet data

We can study the trigger efficiency in dijet data by, for example, studying the probabilities, as a function of raw jet E_T , for jets with trigger E_T of 10 GeV to have a

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Figure 5.2: Comparing the 12 GeV trigger efficiencies for JET_20 samples in runs 1B and 1C.



Figure 5.3: The Monte Carlo simulated trigger efficiencies for a jet to have a trigger E_T of 12 GeV or greater, for jets selected by both inclusive jet and dijet criteria.

trigger E_T of 12 GeV. In figure 5.4, we plot the efficiencies for having trigger E_T 's of 12 GeV given 10 GeV, and 15 GeV given 12 GeV. The difference between the curves will be treated as a systematic uncertainty in section 5.6. The agreement is generally good.

5.4.3 Multiple interactions

Since the cone clustering algorithm typically involves more towers than the Level 2 algorithm, we expect that the contribution from additional soft $p\bar{p}$ interactions will be seen more in the cone algorithm. To test this, we plot in figure 5.5 the efficiencies as a function of the number of high-quality z vertices in the event. We see that extra interactions do give lower efficiencies. We will therefore apply separate efficiency curves for the cases of zero or one vertex, two vertices, and three vertices. We will reject events with four or more vertices.

We must also correct for an apparent decrease in vertex-finding in Run 1C. The Run 1B JET_20 sample has more vertices per event than the Run 1C sample, yet a lower mean instantaneous luminosity. To account for this, we add random vertices to the dijet data, using a Poisson distribution of mean 0.2. After adding random vertices, 88% of the dijet events pass the requirement of three or fewer vertices.

5.4.4 Eta dependence

We also study the efficiencies in pseudorapidity bins of $0.1 < |\eta| < 0.3$, $0.3 < |\eta| < 0.5$, $0.5 < |\eta| < 0.7$, and $0.7 < |\eta| < 0.9$ in figure 5.6. We see that efficiency falls off at



Figure 5.4: The top plot compares the efficiency for jets to have a trigger E_T greater than 12 GeV, given that the trigger E_T is already greater than 10 GeV, for DIJET_10 and inclusive jet data. The bottom plot compares the efficiency for having 15 GeV given 12 GeV, using the DIJET_12 and inclusive jet samples.



Figure 5.5: The dependence of the efficiency curves on the number of event vertices in the event.

higher η . We therefore compute separate efficiencies for the cases $0.1 < |\eta| < 0.5$. $0.5 < |\eta| < 0.7$, and $0.7 < |\eta| < 0.9$.



Figure 5.6: The dependence of the efficiency curves on jet pseudorapidity.

5.4.5 Final trigger efficiency curves

Combining the pseudorapidity bins with the multiple-interaction binning, we obtain nine separate efficiency plots. They are shown in figure 5.7. These plots are made

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into smooth curves before they are applied to dijet data.



Figure 5.7: Trigger efficiency, as a function of raw jet E_T , for the bins in number of vertices and pseudorapidity. The three η bins correspond to $0.1 < |\eta| < 0.5$, $0.5 < |\eta| < 0.7$, and $0.7 < |\eta| < 0.9$.

5.4.6 Correcting for jet E_T cuts

We still need one more correction before we can claim an unbiased spectrum; we must correct away the effects of the E_T cuts on the jets. To do this, we turn to PYTHIA dijet Monte Carlo, using the same cuts as the dijet analysis. We ask, as a function of uncorrected dijet mass, how often both uncorrected jet E_T 's pass the threshold.

This method also gives us the option of changing the jet E_T cut. We will move the cut up to 15 GeV, in order to avoid events with large weights from trigger efficiency corrections. We will still use the cut of 12 GeV as a cross-check.

The efficiencies of raw jet E_T cuts of 12 and 15 GeV are shown as a function of corrected dijet mass in figure 5.8.

5.5 Analysis of Dijet Data

In this section, we use the single jet efficiencies obtained in the previous section to correct for inefficiency of the dijet trigger. To do this, we will weight each dijet event seen by the inverse of the trigger efficiency for each of its jets. We will also weight the event by the inverse of the efficiency of the jet E_T cut. Because we use this weighting technique, our expected number of signal events should not depend on trigger efficiency, assuming the signal jets are no more likely to trigger than background jets.

The dijet mass spectrum, with and without trigger corrections, is shown in figure 5.9. A raw jet E_T cut of 15 GeV is used, and corrections are made as functions of η and the number of event vertices. After all the cuts, we are left with 841.405 events. The trigger efficiency curve, as a function of corrected dijet mass, is also shown. We note, with some dismay, that the efficiency at 80 GeV/ c^2 is only 70%. and at 60 GeV/ c^2 drops to about 20%.

5.5.1 Fits

Once we have the corrections, we weight each dijet event by the product of the inverses of the efficiencies for each of the two jets, and by the inverse of the efficiency of the jet E_T threshold. The resulting dijet spectrum is fit to the following functional form, used by UA2 [41].

$$Am^{-B}e^{-Cm}e^{-Dm^2} \tag{5.1}$$

We also add a signal term to the fit. We use Gaussians to represent the W and Z components. The width of the W signal is taken from Monte Carlo to be 10.9 GeV/c^2 , and the Z signal to be 11.4 GeV/c^2 . The ratio of Z to W events is fixed to be 0.41. The masses are allowed to float, but the ratio of their masses is fixed to 1.134.

Allowing this, the fit gives a good χ^2 down to a mass of 55 GeV/ c^2 . However, the fit did not find any signal, and actually preferred a slight dip in the data. Figure 5.10 plots the difference between the data and fitted background shape.

5.6 Toy Monte Carlo studies

This analysis thus far combines a steeply falling dijet spectrum with a steeply rising trigger turn-on. It seems reasonable that errors in the trigger efficiency curves could result in fake bumps. To study the size of these effects, we use a toy Monte Carlo approach. We create "true" data points according to the shape fitted from the fully

Variation	Fitted W mass	# of W's and Z's fitted
jet E_T cut 12 GeV	75.8	2600
12-given-10 discrepancy	70.6	-7500
15-given-12 discrepancy	83.4	2750
Add 0.3 extra vertices	76.2	4400
Add 0.1 extra vertices	80.0	100

Table 5.3: Magnitudes of the fake bumps produces by varying the trigger efficiency corrections. The expected signal is about 4000 events.

corrected data, without allowing statistical fluctuations. We then apply a trigger efficiency, and use variations to that trigger efficiency to correct the data. We then fit to a signal plus background. There are many variations in trigger efficiencies we may use. We may use different jet E_T cutoffs. We may change the jet efficiencies by the amount of the discrepancy found in section 5.4.2. We also investigate the effects of adding more or fewer extra vertices.

We find that many of these effects produce fake bumps, often much larger than the expected signal of ≈ 4000 events. One such effect is shown in figure 5.11, for the case of shifting the efficiency by the discrepancy in Fig. 5.4a. Although we see the variation in the correction to be small, it creates a large fake bump in the data.

The magnitude of the fake bumps seen by variations on the trigger efficiency are shown in table 5.3.

5.7 b tagging results

In this section, we seek evidence for the process $Z \rightarrow b\bar{b}$. This signal would be valuable to set the jet energy scale for b jets for the top quark mass measurement.

Tagging pairs of heavy flavor jets is difficult in the dijet sample, because half of the CTC only had three operational superlayers. Because of this, it seems the best way to find the signal would be to look in the single SECVTX-tag channel. To find the background shape, we apply the SECVTX fake rate parametrization to the data, and give it a smooth fit using the function in equation 5.1. We then divide the SECVTX-tagged data by this background shape. The result is shown in figure 5.12. No excess is visible around the Z mass. Applying fit techniques from previous sections also fails to find a signal.

It seems possible that the CTC conditions could degrade the SECVTX tagging rate on the side of the detector where the CTC is on, since moderately displaced tracks may affect the primary vertex fit. However, the tagging rates in the JET_20 samples from Runs 1B and 1C agree reasonably well.

Although double-tags are rare because of the CTC conditions, we present in figure 5.13 the dijet mass plots for events which have either two SECVTX tags, or one SECVTX tag and another soft lepton tag or jet probability of less than 5%. Again, no signal is seen.

5.8 Future trigger requirements

Assuming the same signal-to-noise ratio as with current cuts, we present in table 5.4 the statistical precision of the W mass obtained from ensembles of pseudo-experiments performed with various sample sizes. Clearly, this measurement of the W mass could never rival that of the leptonic W modes, but the potential for study of the jet energy scale is good.

N _W	luminosity needed (pb^{-1})	$mass(GeV/c^2)$	Fitted N_W
5000	2.7	80.5 ± 3.1	4260 ± 850
10000	5.3	80.8 ± 1.9	8200 ± 1600
25000	13.3	80.5 ± 1.3	20900 ± 2700
50000	26.7	80.4 ± 1.0	41900 ± 3600

Table 5.4: Results of ensembles of 500 dijet pseudo-experiments. The input mass was $80.33 \text{ GeV}/c^2$.

To see hadronic W decays, we need a trigger with high efficiency down to a dijet mass of 60 GeV/ c^2 . Since any such trigger will be limited by rate, we must choose it so it triggers with the highest possible signal-to-noise ratio, and the lowest possible acceptance for events with dijet masses below 50 GeV/ c^2 . We will limit ourselves to central dijet pairs, with $0.1 < |\eta| < 0.7$. The softer of the two jets sometimes has no Level 2 cluster, so we should start with a single jet trigger E_T of 8 GeV and $|\eta| < 0.8$. To cut away the tail at low dijet masses, we should also require that the summed calorimeter E_T be less than 25 GeV. The efficiency for these trigger requirements is obtained from dijet pairs from low P_T muon triggers, and is shown in figure 5.14.

The rates of these triggers can be calculated from minimum-bias datasets. The acceptance for minimum bias events is found to be 0.03%. For a minimum-bias cross section of 50 mb and luminosities of 10^{32} cm⁻² s⁻¹, this trigger will pass events through Level 2 at a rate of 1500 Hz, which is unacceptably high. The maximum Level 2 accept rate for Run II is 300 Hz, and a calibration trigger should require no more than a few percent of this. Prescaling the triggers would reduce this rate, but the prescale factor needed would make the W mass precision obtained through this method lower than the precision obtained from a future double *b*-tagged $t\tilde{t}$ analysis.

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Figure 5.8: The efficiency of the raw jet E_T thresholds of 12 and 15 GeV, as a function of corrected dijet mass. A correction is applied to the dijet mass spectrum, as a function of the raw dijet masses.



Figure 5.9: The top plot shows the dijet mass spectrum for DIJET_12 triggers, with and without trigger efficiency corrections. The bottom plot is the trigger efficiency.



Figure 5.10: The difference between the data and fitted background. No signal is seen.



Figure 5.11: Applying a trigger efficiency that is incorrect by a small amount leads to a fake bump in a smooth dijet mass spectrum. The variation comes from shifting the single-jet trigger efficiency by the amount of the discrepancy in Fig. 5.4a.



Figure 5.12: The SECVTX tagged data and fake rates. The bottom plot divides the data by the fake rate. All entries are weighted by their DIJET_12 trigger efficiency.



Figure 5.13: The top plot shows the corrected dijet mass spectrum for events with two SECVTX tags. The bottom shows events with one SECVTX tag, and one tag of either SECVTX, SLT, or Jet Probability < 5%.

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Figure 5.14: Trigger efficiency for a Level 2 trigger with $E_T > 8$ GeV, $|\eta| < 0.8$, and $\Sigma E_T > 25$ GeV, obtained from the low P_T muon sample.

Chapter 6

W^+W^- production

Another mode in which the hadronic decays of W and Z bosons may be observed is in boson pair production, where the final states may be WW, WZ, or ZZ. The Standard Model NLO cross sections for these processes are shown below [44, 45]. W^+W^- production has been observed the dilepton mode at CDF [46]. Also, in June 1996 the LEP II e^+e^- collider at CERN began running at sufficient center-of-mass energies to produce W^+W^- .

 $\sigma(WW) = (9.5 \pm 0.7)pb$ $\sigma(WZ) = (2.6 \pm 0.34)pb$ $\sigma(ZZ) = (1.0 \pm 0.2)pb$

Diboson processes provide opportunities to test the Standard Model predictions of WWZ and $WW\gamma$ gauge couplings. Delicate cancellations occur in the Standard Model to prevent WW processes from violating unitarity. Almost any deviation from Standard Model couplings results in larger cross-sections, with the increase concentrated at high $\sqrt{\hat{s}}$. Limits on anomalous triboson couplings have been set at CDF [47] and D0 [48] based on searches for very high- p_T WW processes in the lepton plus jets mode. New phenomena, such as Higgs bosons, heavy quarks and leptons, or technicolor, may also result in enhanced WW production [49, 50].

Observation of WW or WZ processes in the W + 2 jets mode is difficult, because the backgrounds from W + QCD processes are large. The dijet mass spectrum for the CDF W + 2 jet sample is shown in figure 6.1, along with the predicted signal of (40 \pm 12) events. Furthermore, we have found no kinematic cuts effective in increasing the significance of the signal S/\sqrt{N} , having tried jet E_T , η , $W P_T$, $\cos \theta^*$ of the dijet system, and jet separation. A $\cos \theta^*$ cut of 0.6, however, may be useful in reducing S/N at constant signal significance, and cutting on jet E_T will decrease the width of the signal peak. In the future, the observation depends not on statistics, but on our ability to model the kinematics of the W + QCD background. Discovery of this mode may be possible with 2 fb⁻¹ of Run II data.



Figure 6.1: The dijet mass spectrum for the CDF W + 2 jet sample, with the expected WW and WZ contribution superimposed.

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Chapter 7

Conclusion

Evidence has been presented for the hadronic decay mode of the W boson in $t\bar{t}$ events at CDF. Evidence for this process has also been seen at CDF in without the use of b-tagging [39], and by top mass reconstruction [51]. Combining these results gives a significance of 3.3 σ over the predicted background shape [52]. This result demonstrates the presence of a second W boson in semileptonic $t\bar{t}$ candidates.

The technique used to isolate this peak, loose double *b*-tagging, has also been seen to be valuable [53] for future searches for Higgs bosons decaying to $b\bar{b}$.

While no evidence was seen for direct W and Z production in the dijet sample, techniques were developed to measure and correct for trigger inefficiency. Recently, it has been suggested [54] that the scaling of CDF's inclusive jet spectra taken at $\sqrt{s} = 630$ and 1800 GeV allows the possibility of squarks of mass 100-200 GeV, which then decay into quark-light gluino pairs. The dijet dataset may be useful in searching for this process.

For Run II, scheduled to begin in 1999, the *b*-tagging abilities of the CDF detector will be greatly improved [55]. A longer, five-layer SVX will provide three-dimensional tracking. Combined with a new inner tracker, it will be possible to trigger on secondary vertices, greatly aiding the search for $Z \rightarrow b\bar{b}$. Improved tracking and muon coverage will increase lepton acceptance. With these improvements, we expect to tag b jets at a rate of 65% [56]. From this, we expect 258 double b-tagged $t\bar{t}$ events per fb⁻¹ of data, even without any looser second tagging. With higher statistics, it may be possible to further "clean up" the $W+ \geq 4$ jet sample, by making requirements on the absence of a fifth jet, looser dilepton removal cuts, or by requiring the SLT track to be displaced. Double b-tagged $t\bar{t}$ events will undoubtedly be a major tool in the study of the top quark. As stated in Fermilab's "TeV2000 Report, Top Physics at the Tevatron":

... the identifiable hadronic W decays (in double *b*-tagged) $t\bar{t}$ events will be a laboratory for study of jet and Monte Carlo modelling. The double tag sample may be the sample of choice for the ultimate top mass analysis, with better control of systematic effects than present studies can anticipate.

Appendix A

The CDF Collaboration

The CDF collaboration consists of over 450 physicists from 39 institutions in seven countries. The members of the CDF collaboration in Run 1B are listed below.

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