

# Calculation of the longitudinal emittance dilution in a RF cavity

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## Abstract

Dilution of the longitudinal rms emittance of a bunch passing a RF cavity is estimated for the sinusoidal dependence of the energy gain on phase and Gaussian beam distribution.

## 1. Model

Dilution of the longitudinal rms emittance of a bunch passing a RF cavity can be estimated caused in a simplest model:

- a) Energy  $\Delta E$  gained by a particle depends only on its phase  $\varphi$  and the energy gain on crest  $U$  as

$$\Delta E = U \cdot \cos \varphi. \quad (1)$$

- b) The energy gain of all particles is small in comparison with the total kinetic energy  
 $U \ll (\gamma - 1)Mc^2$ ,  
 where  $\gamma$  is the relativistic factor,  $M$  is particle mass, and  $c$  is the speed of light.

- c) The longitudinal distribution is Gaussian

$$\rho(z, z') \equiv \frac{d^2 N}{dz dz'} = \frac{N_0}{2\pi\epsilon} e^{-\frac{J}{\epsilon_0}}, J = \frac{1}{2}(\gamma_T z^2 + 2\alpha_T z \cdot z' + \beta_T z'^2), \quad (3)$$

where  $N_0$  is the total number of particles in the bunch,  $\epsilon_0$  is the rms longitudinal emittance and  $\alpha_T, \beta_T, \gamma_T$  are the longitudinal Twiss functions. The distribution is expressed in terms of the distance to the synchronous particle  $z$  and the rate of changing this distance along the longitudinal coordinate  $s$ ,

$$z' \equiv \frac{dz}{ds} = -\frac{\Delta\beta}{\beta}, \quad (4)$$

where  $\beta c$  is the velocity of the synchronous particle and  $\Delta\beta c$  is the velocity deviation.

- d) Effects of space charge, beam loading, etc. are ignored. The only considered effect is the different energy gain for particles with different  $z$ .

## 2. Calculation

After passing the cavity, the particle velocity with respect to the synchronous particle changes by  $\delta\beta c$ , so that

$$\begin{aligned}
z'_1 &= z' - \frac{\delta\beta}{\beta} = z' - \frac{1}{\beta^2\gamma^3} \frac{\Delta E - U \cdot \cos \varphi_s}{Mc^2} \equiv z' + \delta z', \\
\delta z'(z) &= -\frac{1}{\beta^2\gamma^3} \frac{U}{Mc^2} [\cos(\varphi_s - \Delta\varphi) - \cos \varphi_s], \\
\Delta\varphi &= \frac{2\pi f_c z}{\beta c},
\end{aligned} \tag{5}$$

where  $f_c$  is the cavity frequency, and  $\varphi_s$  is the synchronous phase.

The diluted rms emittance  $\varepsilon_1$  is calculated by usual averaging over all particles:

$$\begin{aligned}
\varepsilon_1^2 &= \overline{z^2 z_1'^2} - \overline{z z_1'}^2 = \overline{z^2} \left( \overline{z'^2} + 2\overline{z z'} \delta z' + \overline{\delta z'^2} \right) - \left( \overline{z z'^2} + 2\overline{z z'} \cdot \overline{z \delta z'} + \overline{z \delta z'^2} \right) = \\
&= \varepsilon_0^2 + \sigma_z^2 \cdot 2\overline{z z'} \delta z' + \sigma_z^2 \cdot \overline{\delta z'^2} - 2\overline{z z'} \cdot \overline{z \delta z'} - \overline{z \delta z'^2}, \\
\varepsilon_0^2 &\equiv \overline{z^2 z'^2} - \overline{z z'}^2, \quad \sigma_z^2 \equiv \overline{z^2} = \beta_T \varepsilon_0, \quad \overline{z z'} = -\alpha_T \varepsilon_0.
\end{aligned} \tag{6}$$

Averaging of each component of Eq.(6) can be made by explicit integration using Eq.(1), Eq.(3), and Eq.(5). The first component is integrated as follows.

$$\overline{z' \delta z'} = \frac{1}{N_0} \int_{-\infty}^{\infty} dz \int_{-\infty}^{\infty} z' \delta z' \rho(z, z') dz'. \tag{7}$$

To simplify the expression, the  $z, z'$  variables are expressed through the variables  $u, v$ :

$$z = u\sigma_z \equiv u\sqrt{\beta_T \varepsilon_0}, \quad z' = \frac{v - \alpha_T u}{\sqrt{\frac{\beta_T}{\varepsilon_0}}}, \tag{8}$$

Eq.(7) can be re-written as

$$\overline{z' \delta z'} = \frac{1}{2\pi} \sqrt{\frac{\varepsilon_0}{\beta_T}} \int_{-\infty}^{\infty} \delta z'(u) du \int_{-\infty}^{\infty} (v - \alpha_T u) e^{-\frac{u^2 + v^2}{2}} dv = -\frac{\alpha_T}{\sqrt{2\pi}} \sqrt{\frac{\varepsilon_0}{\beta_T}} \int_{-\infty}^{\infty} \delta z'(u) u e^{-\frac{u^2}{2}} du. \tag{9}$$

Substituting Eq.(8) to Eq.(5),

$$\begin{aligned}
\delta z'(u) &= -\frac{1}{\beta^2\gamma^3} \frac{U}{Mc^2} [\cos(\varphi_s - ku) - \cos \varphi_s] = A(\cos \varphi_s \cos ku + \sin \varphi_s \sin ku - \cos \varphi_s), \\
A &\equiv -\frac{1}{\beta^2\gamma^3} \frac{U}{Mc^2}, \quad k \equiv \frac{2\pi f_c \sigma_z}{\beta c}.
\end{aligned} \tag{10}$$

Integral of the first and third terms in Eq. (10) is zero by parity, and Eq. (9) yields

$$\overline{z' \delta z'} = -\frac{\alpha_T}{\sqrt{2\pi}} \sqrt{\frac{\varepsilon_0}{\beta_T}} A \sin \varphi_s \int_{-\infty}^{\infty} \sin ku u e^{-\frac{u^2}{2}} du = -\alpha_T \sqrt{\frac{\varepsilon_0}{\beta_T}} A \sin \varphi_s k e^{-\frac{k^2}{2}}. \tag{11}$$

Integration of other terms of Eq.(6) is similar:

$$\begin{aligned}
\overline{\delta z'^2} &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \delta z'^2(u) du \int_{-\infty}^{\infty} e^{-\frac{u^2 + v^2}{2}} dv = \\
&= \frac{A^2}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \left[ 1 + \frac{\cos 2\varphi_s}{2} + \frac{1}{2} \cos 2\varphi_s \cos 2ku - (1 + \cos 2\varphi_s) \cos ku \right] e^{-\frac{u^2}{2}} du =
\end{aligned}$$

$$= A^2 \left[ 1 + \frac{\cos 2\varphi_s}{2} + \frac{\cos 2\varphi_s e^{-2k^2}}{2} - (1 + \cos 2\varphi_s) e^{-\frac{k^2}{2}} \right], \quad (12)$$

$$\overline{z\delta z'} = \frac{\sigma_z}{2\pi} \int_{-\infty}^{\infty} u \cdot \delta z'(u) du \int_{-\infty}^{\infty} e^{-\frac{u^2+v^2}{2}} dv = A\sigma_z \sin \varphi_s k e^{-\frac{k^2}{2}}. \quad (13)$$

Substitution of Eq.(11) – (13) into Eq. (6) gives

$$\begin{aligned} \varepsilon_1^2 - \varepsilon_0^2 &= -2\sigma_z^2 \alpha_T \sqrt{\frac{\varepsilon_0}{\beta_T}} A \sin \varphi_s k e^{-\frac{k^2}{2}} + \\ &+ A^2 \sigma_z^2 \left[ 1 + \frac{\cos 2\varphi_s}{2} + \frac{\cos 2\varphi_s e^{-2k^2}}{2} - (1 + \cos 2\varphi_s) e^{-\frac{k^2}{2}} \right] + \\ &+ 2\alpha_T \varepsilon_0 A \sigma_z \sin \varphi_s k e^{-\frac{k^2}{2}} - \left( A \sigma_z \sin \varphi_s k e^{-\frac{k^2}{2}} \right)^2. \end{aligned} \quad (14)$$

After combining the terms in Eq. (14), the final expression for the diluted emittance is

$$\varepsilon_1^2 - \varepsilon_0^2 = A^2 \sigma_z^2 \left\{ \cos 2\varphi_s \left[ \frac{1}{2} + \frac{e^{-2k^2}}{2} - e^{-\frac{k^2}{2}} + \frac{k^2}{2} e^{-k^2} \right] + 1 - e^{-\frac{k^2}{2}} - \frac{k^2}{2} e^{-k^2} \right\}. \quad (15)$$

For a small dilution, the relative emittance change can be expressed as

$$\frac{\delta\varepsilon}{\varepsilon_0} \equiv \frac{\varepsilon_1 - \varepsilon_0}{\varepsilon_0} \approx A^2 \frac{\sigma_z^2}{2\varepsilon_0^2} \left\{ \cos 2\varphi_s \left[ \frac{1}{2} + \frac{e^{-2k^2}}{2} - e^{-\frac{k^2}{2}} + \frac{k^2}{2} e^{-k^2} \right] + 1 - e^{-\frac{k^2}{2}} - \frac{k^2}{2} e^{-k^2} \right\}. \quad (16)$$

### 3. Case of the small bunch length

The variable  $k$  introduced in Eq. (5) is the rms bunch length expressed in the phase units,  $k \equiv \sigma_\varphi$ . Typically, this value is small,  $\sigma_\varphi \ll 1$ . For this case, Eq. (16) can be expanded to the first non-zero terms:

$$\frac{\delta\varepsilon}{\varepsilon_0} \approx A^2 \frac{\sigma_z^2}{2\varepsilon_0^2} \left[ \cos^2 \varphi_s \left( \frac{3}{8} \sigma_\varphi^4 + \frac{19}{48} \sigma_\varphi^6 \right) + \frac{\sigma_\varphi^6}{6} \right]. \quad (17)$$

For a bunching cavity,  $\varphi_s = -\frac{\pi}{2}$ , Eq. (17) can be transformed to show explicitly the initial parameters as follows:

$$\frac{\delta\varepsilon}{\varepsilon_0} \approx \frac{1}{12} \left( \frac{1}{\beta^2 \gamma^3} \frac{U}{Mc^2} \cdot \frac{\beta c}{2\pi f_c \varepsilon_0} \right)^2 \sigma_\varphi^8. \quad (18)$$

For convenience, Eq. (18) can be expressed through the RF wavelength  $\lambda = \frac{c}{f_c}$  and normalized emittance  $\varepsilon_n = \beta\gamma^3 \varepsilon_0$ :

$$\frac{\delta\varepsilon}{\varepsilon_0} \approx \frac{1}{12} \left( \frac{U}{Mc^2} \cdot \frac{\lambda}{2\pi \varepsilon_n} \right)^2 \sigma_\varphi^8. \quad (19)$$

For an accelerating cavity,  $|\varphi_s| - \frac{\pi}{2} \gg \sigma_\varphi \sqrt{\frac{2}{9}}$ , Eq. (17) is approximated

$$\frac{\delta\varepsilon}{\varepsilon_0} \approx \frac{3}{16} \left( \frac{U \cos \varphi_s}{Mc^2} \cdot \frac{\lambda}{2\pi\varepsilon_n} \right)^2 \sigma_\varphi^6. \quad (20)$$

Note that in Eq. (20), the synchronous phase enters only as the energy gain by the synchronous particle.

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