RELATIVISTIC ELECTRON COOLING AND INTRABEAM SCATTERING*

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Introduction

In this paper we discuss two issues one of which concerning the usefulness of relativistic electron cooling for large hadron colliders, and the other the limit on the performance of this from intrabeam scattering. The background we have chosen for our analysis is made specifically for proton-antiproton colliders, not just as workable examples but primarily, as we shall see later, for reasons of need, though our results apply to a proton-proton collider as well.

By relativistic electron cooling we do not mean only the instance when $\beta \sim 1$ for both beams but also the case where the electron beam is made of bunches circulating in a storage ring. In this configuration originally proposed by C. Rubbia¹ very high electron current densities can be reached. In Fig. 1 we show a possible proton-antiproton collider with two electron storage rings, one for cooling of the protons and the other for cooling of the antiprotons. It is well known that electron cooling is independent of the sign of the particle charge, but the two beams have to move in the same direction of the electron bunches to which they have also to match in velocity. We consider three examples; the collider in CERN (Sp $\bar{p}$ S), at Fermilab (TeV I) and a possible Superconducting Super Collider (p $\bar{p}$ SSC). The first is already operating,² the second is in construction³ and the third just a possibility.⁴ In Table I we give the comparison of the performance for these three colliders. We can make the following remarks: (i) the emittance values assumed or measured are about the same; (ii) the beam-beam tune-shift per crossing in the Sp $\bar{p}$ S turned out to be larger⁵ than what it was thought to be allowed for beam stability; a value of $\Delta\nu = 0.005$ seems to be now reachable, though what really seems to count is the total tune spread in each beam, rather than the shift itself; (iii) the number of antiprotons required is too large; there is definitively the need to find a method to reduce the filling time without reducing the performance; (iv) intrabeam scattering was found in the Sp $\bar{p}$ S to be a serious limitation to the luminosity lifetime⁶ and it is expected to play an important role also in the other two colliders. In Figs. 2 and 3 which have been taken from ref. 6, we show the effect of intrabeam scattering in the Sp $\bar{p}$ S collider.

Performance of Proton-Antiproton Colliders

The performance of the collider is measured by the luminosity L and the beam-beam tune-shift per crossing $\Delta\nu_{BB}$. For crossing at very small angle α (total)

$$L = \frac{N_p N_{\bar{p}} B f_{\text{rev}}}{4\pi\sigma^2 f} \quad (1)$$

and

$$\Delta\nu_{\text{BB}} = \frac{3N_p r_p}{\pi\epsilon_N(1+f)} \quad (2)$$

where N_p and $N_{\bar{p}}$ are the number of protons and antiprotons per bunch, B the total number of bunches per beam, f_{rev} the revolution frequency, σ the rms cross-section of the beam at the collision point, ϵ_N the normalized beam emittance for 95% of the population, $r_p = 1.535 \times 10^{-18}$ m and

$$f = \sqrt{1+p^2} \quad \text{with} \quad p = \alpha\sigma_e/2\sigma \quad (3)$$

and σ_e the rms bunch length.

Let us make the reduction of the number of antiprotons to be our goal. It is then seen from eq. (1) the $N_{\bar{p}}$ can be lowered if also σ^2 , that is the emittance ϵ_N , is also reduced. For obvious reasons it is important to reduce the emittance of both beams since it is usually assumed the two beams have the same emittance to start with. Inspection of eq. (2) then shows one has also to lower the number of protons per bunch, if we require to maintain the value of $\Delta\nu_{\text{BB}}$ within an acceptable limit. For instance if it is possible to find a method to reduce the emittance by a factor of four, the product $N_p N_{\bar{p}}$ can also be reduced by the same factor; we can allow both N_p and $N_{\bar{p}}$ to change by a common factor which in this case is two. It turns out that the tune-shift $\Delta\nu_{\text{BB}}$ and the betatron phase space density N/ϵ_N (a parameter which is important to estimate the intrabeam scattering) are both increased also by a factor of two. An example is worked out in Table II following the same criteria for the three colliders. In this table we give the beam-beam tune-shift, versus the emittance, as well as the number of particles per bunch N and the density N/ϵ_N which we both take equal to one unit for the reference case of $\epsilon_N = 24\pi$ mm-mrad. As the emittance decreases the beam-beam tune-shift increases beyond what it is believed to be an acceptable value. Clearly the design of a proton-antiproton collider is limited by the allowable beam-beam tune-shift and as a consequence it is not possible to reduce the total number of antiproton required for a given luminosity figure.

The Need for Relativistic Electron Cooling

It has been found that in the electron-positron storage rings one can allow a much larger beam-beam tune-shift, typically an order of magnitude larger than the one accepted as a limit for hadron colliders. It is believed⁷ that the main reason for this is that the electrons betatron and synchrotron trajectories are strongly damped by the synchrotron radiation effects, a mechanism which is quite

negligible for hadrons at least for energies up to 20 TeV. If it is possible to find a damping mechanism also for the protons (antiprotons), fast enough like the synchrotron radiation effects for the electron beams, it should be possible to raise the beam-beam tune-shift by an order of magnitude also for these beams. As one can see from Table II, this could allow 10 times less beam intensity in the Sp $\bar{p}$ S, or 20 times less in the Tev I and 50 times less in the p $\bar{p}$ -SSC, with an equivalent shortening of the antiproton production period and unchanged luminosity performance. It has often been suggested that relativistic electron cooling is the method to create a damping mechanism for protons and antiprotons. We want to investigate this method here again, but use different emphasis as by now it will be obvious to the reader. We will not just be content to preserve the initial beam dimensions with electron cooling against diffusion processes (gas scattering, power supply ripples, rf noise...) to lengthen the beam lifetime, but we will look to the possibility of employing electron cooling first to reduce the beam dimensions, and second to provide a fast damping effect with the purpose of minimizing the number of antiprotons.

Intrabeam Scattering

As we have already pointed out, the betatron phase space density will increase and we expect a limit to the effectiveness of the electron cooling caused by intrabeam scattering. This phenomena has been investigated theoretically in a couple of papers^{8,9} and it is rather well understood. Several computer codes have been written to estimate diffusion coefficients for a particular beam circulating in a storage ring with assigned lattice. For the Tevatron I project we have made use of a computer code we have obtained from CERN.¹⁰ For the case the beam energy is well above the ring transition energy it is usually found that the energy and radial betatron oscillations are antidamped whereas the vertical motion is damped though at rather slow rate. We take into account the fact that non-linearities in the storage ring, like the beam-beam interaction itself, cause non-linear coupling between the two transverse modes of oscillations difficult to cure; as a consequence the beam will preserve at anytime its "roundness" also in presence of intrabeam scattering, therefore we will denote with ϵ the common value of the emittances in the two planes. From the computer code, using the lattice proper for Tevatron I, we have derived the following approximate, empirical formula for the diffusion rates due to intrabeam scattering

$$D_{\beta} = \frac{d\epsilon}{dt} \approx \frac{A_x I_p}{\delta \epsilon \gamma^3} \quad (4)$$

and

$$D_p = \frac{d\delta}{dt} \approx \frac{A_p I_p}{\delta \epsilon \gamma^2} \quad (5)$$

where ϵ ($= \sigma^2/\beta$) is the actual rms emittance and δ the rms momentum spread ($\delta p/p$).

$$I_p = \frac{N_p e \beta c}{\sqrt{2\pi\sigma_e}} \quad (6)$$

is the peak bunch current. If time is given in hours, the emittance in meter-radians and the current in Ampere then

$$\begin{aligned} A_x &= 0.13 \times 10^{-13} \text{ m}^2/(\text{hour} \times \text{Amp}) \\ A_p &= 0.49 \times 10^{-12} \text{ m}/(\text{hour} \times \text{Amp}) \end{aligned}$$

These values and parametric dependence with δ , ϵ and γ are about correct for beam parameters which corresponds to Tevatron I and, likely, also to the Sp $\bar{p}$ S. They may not be a representation of the intrabeam scattering effects in the p $\bar{p}$ -SSC.

The Theory of Relativistic Electron Cooling

The theory of relativistic electron cooling has already been established.¹¹ It consists mainly of the so-called thermodinamical equations which give the time evolution of the dimensions of both beams (electrons and protons or antiprotons) as they interact with each other. For simplicity in this note we shall make the following approximations: (i) The dimensions of the electron beam are determined only by the synchrotron radiation effects, that is the quantum fluctuation and the radiation damping are of considerably large contribution than the effects from interacting with the hadron beam. Also intrabeam scattering in the electron beam will be ignored compared to the quantum fluctuation. (ii) On the other hand intrabeam scattering will determine the proton beam dimensions more than any other cause, as it is given in equilibrium with cooling effects when interacting with the electron beam.

The following equations then apply to the electron beam

$$\frac{d\epsilon_e}{dt} = Q_\beta - \frac{2}{\tau} \epsilon_e \quad (7a)$$

$$\frac{d\delta_e}{dt} = Q_p - \frac{2}{\tau} \delta_e \quad (7b)$$

where Q_β and Q_p are the diffusion coefficients due to quantum fluctuations and τ is the synchrotron radiation damping time which we assumed here to be the same for both the rms emittance ϵ_e and the rms momentum spread δ_e . Eqs. (7a and 7b) admits the following equilibrium values

$$\epsilon_e = \frac{1}{2} \tau Q_\beta \quad (8a)$$

$$-\dot{\delta}_e = \frac{1}{2} \tau Q_p \quad (8b)$$

The following equations apply to the proton or antiproton beam¹¹

$$\frac{d\epsilon_p}{dt} = D_\beta - \frac{k_p \epsilon_p / \epsilon_e}{\left(\frac{\epsilon_p}{\beta_{p*}} + \frac{\epsilon_e}{\beta_{e*}} \right)^{3/2}} \quad (9a)$$

$$\frac{d\delta_p}{dt} = D_p - \frac{k_p \beta^2 \gamma^2 \delta_p / 2 \epsilon_e}{\left(\frac{\epsilon_p}{\beta_{p*}} + \frac{\epsilon_e}{\beta_{e*}} \right)^{3/2}} \quad (9b)$$

where D_β and D_p are given by eqs. (4 and 5), and

$$k_p = \frac{8 r_e r_p I_e L_c n_p}{2^{3/2} e \beta^4 \gamma^5 \beta_{e*}} \quad (10)$$

$$\begin{aligned} r_e &= 2.818 \times 10^{-15} \text{ m} \\ r_p &= 1.535 \times 10^{-16} \text{ m} \end{aligned}$$

I_e is the peak current of the electron bunch defined by an equation similar to (6). It is obviously required that the two beams have bunches with the same rms length, σ_e . $L_c \sim 15$ is the Coulomb logarithm and n_p is the ratio of the length over which the two beams interact and the circumference of the hadron storage ring. Finally β_{p*} and β_{e*} are the values of the amplitude lattice functions, in the two rings in the section where the two beams travel together, they are assumed to be the same in both planes. An approximation has been at the demoninator of the r.h. side of both eqs. (9a and b) where we have neglected a term $(\delta_e^2 + \delta_p^2)/\gamma^2$ compared to the emittance contribution $(\epsilon_p/\beta_{p*} + \epsilon_e/\beta_{e*})$.

Search for the Equilibrium

An equilibrium is found by letting the r.h. side of eqs. (9a and b) to vanish indentically and by taking into account the equilibrium values (8a and b) for the electron beam. We have

$$\frac{A_x I_p}{\delta_p \epsilon_p \gamma^3} = \frac{k_p \epsilon_p / \bar{\epsilon}_e}{\left(\frac{\epsilon_p}{\beta_p^*} + \frac{\epsilon_e}{\beta_e^*} \right)^{3/2}} \quad (11a)$$

$$\frac{A_x I_p}{\delta_p \epsilon_p^2} = \frac{k_p \beta_p^2 \gamma^2 \delta_p / 2 \bar{\epsilon}_e}{\left(\frac{\epsilon_p}{\beta_p^*} + \frac{\epsilon_e}{\beta_e^*} \right)^{3/2}} \quad (11b)$$

By taking the ratio side by side of these equations we obtain

$$\delta_p = \frac{2 \epsilon_p}{\beta_p^2 \gamma} \frac{A_p}{A_x} \quad (12)$$

For the particular application we have chosen this would yield a very small value, in proximity of 10^{-8} , which we do not believe can be sustained for instance against microwave instability. Therefore we shall assume that the equilibrium value of δ_p is the result of microwave stability rather than intrabeam scattering and electron cooling. As a consequence we shall ignore the second equation (11b) and solve the first (11a) for ϵ_p .

Indeed it is well known from experimental observations on electron storage rings that the microwave stability criterion holds despite the presence of synchrotron radiation effects; therefore this should be even more true for an hadron beam in presence of electron cooling.

In Table III we give general parameters for the electron storage ring for each of the case considered. We have chosen strong focussing lattice to reduce the equilibrium emittance. Moreover we have assumed full coupling between the two modes of oscillations so that the electron beam is also "round" to match the "roundness" of the hadron beam. We have taken a bending field of 10 Kgauss and the circumference fraction for cooling $\eta_p = 0.0015$. The expected momentum spread δ_p for the hadron beam are given in Table I.

Results and Discussions

From eq. (11a) we obtain

$$\epsilon_p^2 = 0.0021 \frac{\beta_p^2 \gamma^2}{\delta_p} \left(\frac{I_p}{I_e} \right) \beta_e^* \epsilon_e \left(\frac{\bar{\epsilon}_e}{\beta_e^*} + \frac{\epsilon_p}{\beta_p^*} \right)^{3/2} \quad (13)$$

For an efficient cooling it is required that $\bar{\epsilon}_e \beta_e^* > \epsilon_p \beta_p^*$. Let us define

$$f_0 = \frac{\bar{\epsilon}_e \beta_e^*}{\epsilon_p \beta_p^*} > 1 \quad (14)$$

then

$$\epsilon_p^2 = 0.0021 \frac{\beta^* \gamma^2}{\delta_p} \left(\frac{I_p}{I_e} \right) \beta_{e^*} \epsilon_e \left(\frac{\bar{\epsilon}_e}{\beta_{e^*}} \right)^{3/2} \left(1 + \frac{\beta_{e^*}^2 / \beta_{p^*}^2}{f_0} \right)^{3/2} \quad (15)$$

The results are shown in Table IV for $\beta_{p^*} = \beta_{e^*}$ and $I_e = 100 I_p$ which we believe to be realistic. We immediately see that relation (14) cannot be satisfied by far for the $p\bar{p}$ -SSC case, whereas it is satisfied for both the Sp $\bar{p}$ S and Tevatron I. Relativistic electron cooling does not work well for very relativistic energies. For the same reason the technique is quite more effective for the Sp $\bar{p}$ S case than for Tev I. The beam intensities shown in Table IV correspond to the calculated equilibrium normalized emittance ϵ_N , also shown in this table, and what is required according to Table II to maintain a luminosity performance as described in Table I. Similarly we show also the beam-beam tune-shift which corresponds to the new configuration. The damping time τ_β and τ_p due to cooling at the equilibrium is also shown respectively for the betatron oscillations and for the momentum plane.

For Tevatron I the effect of relativistic cooling is significant but not very large. It is possible to reduce the emittance by a factor of four. This will correspond to twice less protons or correspondingly higher luminosity. Moreover the cooling time of 12 hours should lengthen considerably the luminosity lifetime.

For the case of the Sp $\bar{p}$ S the cooling is very effective. In principle the emittance can be lowered by a factor of 200 which would yield a considerable saving on the required number of antiprotons. Nevertheless the beam-beam tune shift is now quite large and it is not clear whether this can be sustained with a damping time of 7 seconds, since this may not be short enough. Obviously there is a draw-back for this significant result: it is required to get to this very small emittance before the cooling becomes that effective. With the initial value of 18π mm-mrad the cooling time is a long period of about two hours, still of some practical interest. Of course one could quickly gain on the results by reducing the initial emittance of both beams either with an improved injector and/or more effective stochastic cooling.

Fast Momentum Cooling

As shown in Table IV the cooling time on the momentum plane is extremely short for both the Sp $\bar{p}$ S and Tevatron I. We have explained this as due to the relativistic transformation as one can derive by comparing eq. (9a) with eq. (9b), that is a presence of a factor γ^2 on the momentum cooling rate. Is there any way that one can find some useful application of this fast momentum cooling? For instance if a device could be inserted that would strongly couple longitudinal and transverse oscillations than it may be possible to cool also betatron oscillations at those large rates.

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Table I. Proton-Antiproton Colliders

	Sp $\bar{p}$ S (achieved)	Tevatron I	p $\bar{p}$ -SSC
Average radius, km	1.1	1.0	104.6
Energy, max, TeV	0.27	1.0	20.
No. of bunches/beam	3	3	4428
No. of $\bar{p}$'s/bunch	0.15×10^{11}	6×10^{10}	0.77×10^{10}
No. of p's/bunch	1.4×10^{11}	6×10^{10}	3×10^{10}
Bunch area, eV-sec	0.5	3.0	4.5
Momentum spread, δ_p	0.8×10^{-4}	1.2×10^{-4}	0.18×10^{-4}
Normalized emittance π mm-mrad	18	24	24
$\beta^*_{H,V}$, m	1.3/0.65 m	1.0	1.0
$\eta^*_{H,V}$, m	0.0	0.18	0.0
Luminosity, $\text{cm}^{-2}\text{s}^{-1}$	1.8×10^{29}	1.0×10^{30}	1.0×10^{32}
Beam-beam tune-shift	0.0045	0.0017	0.00082
Antiproton Production rate,/sec	2.5×10^{-6}	3.5×10^{-7}	8×10^{-8}
Filling time ($\bar{p}$)	24 h	1.5 h	12 h

Table II. Collider Performance vs. Emittance

Normalized Emittance		Sp $\bar{p}$ S	Tevatron I	p $\bar{p}$ -SSC
24 π mm-mrad,	Δv_{BB}	0.0045	0.0017	0.00082
	N	1	1	1
	N/ ϵ	1	1	1
6	Δv_{BB}	0.009	0.0034	0.00164
	N	0.5	0.5	0.5
	N/ ϵ	2	2	2
1	Δv_{BB}	0.022	0.0083	0.004
	N	0.2	0.2	0.2
	N/ ϵ	5	5	5
0.24	Δv_{BB}	0.045	0.017	0.0082
	N	0.1	0.1	0.1
	N/ ϵ	10	10	10
0.06	Δv_{BB}	0.09	0.034	0.0164
	N	0.05	0.05	0.05
	N/ ϵ	20	20	20
0.01	Δv_{BB}	0.22	0.085	0.04
	N	0.02	0.02	0.02
	N/ ϵ	50	50	50

Table III Electron Storage Ring Parameters

	Sp $\bar{p}$ S	Tevatron I	p $\bar{p}$ -SSC
γ	270	1000	20,000
Circumference	30 m	50 m	1,000 m
Bending radius*	1 m	2 m	40 m
Betatron tune	6	10	200
Energy loss/turn	30 eV	2.8 KeV	22 MeV
Damping time, τ	450 msec	30 msec	15 msec
rms energy spread, δ_e	1.2×10^{-4}	3.1×10^{-4}	1.4×10^{-3}
β -emittance, $\bar{\epsilon}_e$	3.1×10^{-10} m	7.6×10^{-10} m	3.8×10^{-11} m
β_e^* (H and V)	70 m	70 m	200 m
Interaction length	10 m	10 m	200 m

(*)With a bending field of about 10 KG.

Table IV. Performance with Relativistic Electron Cooling

($I_e = 100 I_p$)

	Sp $\bar{p}$ S	Tevatron I	p $\bar{p}$ -SSC
γ	270	1000	20,000
ϵ_p	6×10^{-11} m	9×10^{-10} m	1.6×10^{-6} m
f_o	5	1	2.4×10^{-5}
ϵ_N	0.1π mm-mrad	5.4π mm-mrad	-
N_p	10^{10}	3×10^{10}	-
I_p	0.5 amp	1.5 amp	-
I_e	50 amp	150 amp	-
N_e	10^{12}	3×10^{12}	-
τ_B	7 sec	12 hour	-
τ_p	0.2 msec	80 msec	-
Δv_{BB}	0.05	0.0034	-
$\bar{p}$ -filling time	2-3 hour	<1 hour	-

1. $S p \bar{p} S$	$E_p = 270 \text{ GeV}$	$E_e = 135 \text{ MeV}$
2. TeV I	1 TeV	500 MeV
3. $p \bar{p}$ -SSC	20 TeV	10 GeV

Fig. 1 Layout of a $p \bar{p}$ Collider with e^- Storage Rings for Relativistic Electron Cooling

Fig. 2 Longitudinal a) and radial b) emittance growth of three proton bunches with different intensities

Fig. 3 Lifetime evolution of three proton bunches with different longitudinal emittance and intensity.