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Strong coupling in (2+1+1)-flavor QCD

Viljami Leino,^{*a,b,**} Alexei Bazavov,^{*c*} Nora Brambilla,^{*d,e,f*} Andreas S. Kronfeld,^{*g,f*} Julian Mayer-Steudte,^{*d,e*} Peter Petreczky,^{*i*} Sebastian Steinbeißer,^{*d,j*} Antonio Vairo^{*d*} and Johannes H. Weber^{*k,l*}

^aHelmholtz Institute Mainz, Staudingerweg 18, 55128 Mainz, Germany

^bInstitut für Kernphysik, Johannes Gutenberg-Universität Mainz,

- ^c Department of Computational Mathematics, Science and Engineering, and Department of Physics and Astronomy, Michigan State University, East Lansing, Michigan 48824, USA
- ^dPhysics Department, TUM School of Natural Sciences, Technical University of Munich,
- James-Franck-Straße 1, 85748 Garching b. München, Germany
- ^eMunich Data Science Institute, Technical University of Munich,
- Walther-von-Dyck-Straße 10, 85748 Garching b. München, Germany
- ^f Institute for Advanced Study, Technical University of Munich,
- Lichtenbergstraße 2a, 85748 Garching b. München, Germany
- ^g Particle Theory Department, Theory Division, Fermi National Accelerator Laboratory, Batavia, Illinois 60510-5011, USA
- ⁱPhysics Department, Brookhaven National Laboratory, Upton, New York 11973-5000, USA
- ^jLeibniz-Rechenzentrum der Bayerischen Akademie der Wissenschaften,
- Boltzmannstraße 1, 85748 Garching b. München, Germany
- ^k Institut für Physik & IRIS Adlershof, Humboldt-Universität zu Berlin, Zum Großen Windkanal 2, D-12489 Berlin, Germany

¹Institut für Kernphysik, Technische Universität Darmstadt, Schlossgartenstraße 2, D-64289 Darmstadt, Germany

E-mail: viljami.leino@uni-mainz.de

TUMQCD Collaboration

The strong coupling α_s can be obtained from the static energy as shown in previous lattices studies. For short distances, the static energy can be calculated both on the lattice with the use of Wilson line correlators, and with the perturbation theory up to three loop accuracy with leading ultrasoft log resummation. Comparing the perturbative expression and lattice data allows for precise determination of $\alpha_s(m_Z)$. We will present preliminary results for the determination of $\alpha_s(M_Z)$ in (2+1+1)-flavor QCD using the configurations made available by the MILC-collaboration with smallest lattice spacing reaching 0.0321 fm.

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*Speaker

Johann-Joachim-Becher-Weg 48, 55128 Mainz, Germany

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1. Introduction

The strong coupling α_s is a fundamental parameter of QCD and the Standard Model of particle physics. The running of the strong coupling in the $\overline{\text{MS}}$ scheme is a function of the renormalization scale μ and the intrinsic scale of QCD, $\Lambda_{\overline{\text{MS}}}$. When $\Lambda_{\overline{\text{MS}}}$ is known, one can perturbatively determine α_s at any scale $\mu \gg \Lambda_{\overline{\text{MS}}}$. In these proceedings we focus on determining this intrinsic scale.

Observables that can be calculated with high precision in both perturbative- and lattice-QCD are ideal candidates to determine α_s in the regions where both approaches agree. One such observable is the energy between a static quark and a static antiquark separated by distance r known as the static energy $E_0(r)$. $E_0(r)$ is a fundamental observable of QCD that played an important role [1] in establishing confinement in QCD and understanding asymptotic freedom. On the lattice, $E_0(r)$ is defined as the ground state of a static Wilson loop. At short distances $r\Lambda_{\overline{MS}} \ll 1$, it holds that $\alpha_s(1/r) \ll 1$ and the static energy is well defined by a weak coupling perturbative expansion. This expansion is known up to N³LL level [2–7]. By comparing the perturbative expansion of the static energy to the static energy on the lattice at short distances, we can extract $\Lambda_{\overline{MS}}$.

So far, $\Lambda_{\overline{\text{MS}}}$ has been determined from the static energy in $N_{\text{f}} = 0$ the pure gauge SU(3) Yang-Mills theory [8–10] and with either $N_{\text{f}} = 2$ dynamical quark flavors [11–13] or with $N_{\text{f}} = 2 + 1$ dynamical flavors [14–18]. With $N_{\text{f}} = 2 + 1 + 1$ dynamical flavors, $\Lambda_{\overline{\text{MS}}}$ via the static energy is yet to be determined, however, $\Lambda_{\overline{\text{MS}}}$ has been determined on the lattice in 2+1+1-flavor QCD with other methods [19, 20]. In these proceedings, we report on the progress of the newest TUMQCD lattice extraction of $\Lambda_{\overline{\text{MS}}}$ from the lattice with 2+1+1 dynamical flavors via the static energy. For a complete review of the status of α_s determined from the lattice QCD, we refer the reader to the recent FLAG review [21] and for a wider review with also the experimental status to Ref. [22].

2. Static energy

2.1 Perturbation theory

The static energy $E_0(r)$ has the perturbative expansion

$$E_0(r) = \Lambda - \frac{C_F \alpha_s}{r} \Big(1 + \# \alpha_s + \# \alpha_s^2 + \# \alpha_s^3 \ln \alpha_s + \# \alpha_s^3 + \# \alpha_s^4 \ln^2 \alpha_s + \# \alpha_s^4 \ln \alpha_s + \dots \Big),$$
(1)

where Λ is a constant of mass dimension one and the coefficients # can be found in the review [23]. The running coupling depends on a soft scale μ that is commonly set to be $\mu = c/r$ with c varied around 1 to gauge perturbative error. Starting at order α_s^4 ultrasoft logarithms are introduced with ultrasoft scale $\nu = C_A \alpha_s(\mu)/2r$. These logarithms can be resummed, however, in these proceedings we show results only without resummation and leave the study of ultrasoft scale dependence to the final publication. The expansion given by Eq. (1) is known to the order given for massless sea quarks. To include the effects from the finite mass of the charm quark, a correction $\delta V_m^{(N_f)}(r)$ has to be added to the static energy. This correction is known in perturbation theory up to order of α_s^3 (see Ref. [24] for summary of equations). With (2+1+1)-flavor QCD, the relevant massive quark is the charm quark that has a mass $m_c^{\overline{MS}}(m_c^{\overline{MS}}) \approx 1.28 \text{ GeV} \simeq 1/0.15 \text{ fm}^{-1}$ [21].

The constant Λ in Eq. (1) is scheme dependent quantity. On the lattice it relates to a linear divergence in inverse lattice spacing a^{-1} and in dimensional regularization it manifests as a renor-



Figure 1: Left: The static force $r^2 F(r)$ at different orders of perturbation theory and different scalings of $\mu = c/r$. Right: The static energy at different combinations of light and massive quarks. The free constant shift Λ is optimized to minimize the covered range in y-axis to make the differences between curves more visible.

malon of mass dimension one. Since the constant by itself does not depend on α_s , and all α_s dependence is contained in the *r*-dependent part of $E_0(r)$, we can obtain α_s from the lattice data by simply matching the lattice results and perturbative curves at some reference distance r^* . However, in order to get as stable as possible perturbative behavior, the issue with renormalon needs to be solved. We explore two strategies to deal with the renormalon contribution:

The static force F(r), defined as a derivative with respect to r of the static energy, no longer contains the constant Λ. F(r) can be computed directly on the lattice [10, 25] and compared to perturbative expansion of the static force to extract α_s. However, since we have computed the static energy on the lattice, we take a different approach and integrate the perturbative static force as described in [15, 17] to get more stable definition of E₀(r). The constant Λ reappears, now as an integration constant, and can be matched to lattice data at distance r^{*}. The total static energy with the finite mass correction then takes the form:

$$E_{0,m}^{(N_{\rm f})}(r) = \int_{r^*}^r \mathrm{d}r' \ F^{(N_{\rm f})}(r') + \delta V_m^{(N_{\rm f})}(r) + \text{const},$$
(2)

where $N_f = 3$ is the number of massless quarks. In the limit $m \gg 1/r$, Eq. (2) reduces to the case of N_f massless quarks $E_0^{(N_f)}(r)$, while in the limit $m \ll 1/r$ it reduces to case of $N_f + 1$ massless quarks $E_0^{(N_f+1)}(r)$. This is a consequence of the decoupling of charm quark in the static potential. We demonstrate the entire procedure in figure 1. On the left side, we show the static force multiplied by squared distance $r^2 F(r)$ at different orders of perturbation theory and at different renormalization scales $\mu = c/r$. On the right side, we show the effect of the charm quark after the force has been integrated back to static energy.

2. Alternatively, we can inspect the minimal renormalon subtraction (MRS) prescription [26–28]. In this method the leading factorial growth of the expansion coefficients is summed to all orders, which stabilizes the behavior of Λ and reduces the perturbative error of the fits. This approach avoids the need to numerically integrate the static force. To deal with the charm sea, we add the correction $\delta V_m^{(N_f)}(r)$ at fixed order to the MRS description of $E_0(r)$.



Figure 2: Different orders of improved distance r_I applied to the finest lattice ensemble for the bare (Left) and HYP-smeared (right) $E_0(r)$. The black curve shows a coulombic trend line with fixed coupling.

2.2 Lattice

We compute the static energy from the (2+1+1)-flavor lattice ensembles generated by the MILC Collaboration [29–31]. For gluons the one-loop Symanzik-improved action with tadpole improvement has been used. The sea quarks, namely two isospin-symmetric light quarks, and physical strange and charm quarks, are simulated with the HISQ-action [32]. While the total dataset spans lattice spacings from 0.032 fm to 0.15 fm with light quark mass m_l/m_s being either 1/10, 1/5 or physical, in these proceedings we mainly focus on the finest lattice with lattice spacing a = 0.03216 fm and $m_l/m_s = 1/5$. Analysis for many of the coarser ensembles and the continuum extrapolation is left for the final publication.

The gauge configurations have been fixed to Coulomb gauge, which allows for easy access to off-axis distances. Instead of the Wilson loops, in Coulomb gauge, $E_0(r)$ can be obtained from the time dependence of the Wilson-line correlation function $C(\mathbf{r}, \tau, a)$ at separation \mathbf{r}/a :

$$C(\mathbf{r},\tau,a) = \left\langle \frac{1}{N_{s}^{3}} \sum_{\mathbf{x}} \sum_{\mathbf{y}=R(\mathbf{r})} \frac{1}{N_{c} N_{\mathbf{r}}} \operatorname{tr} \left[W^{\dagger}(\mathbf{x}+\mathbf{y},\tau,a) W(\mathbf{x},\tau,a) \right] \right\rangle$$

= $\sum_{n=0}^{\infty} C_{n}(\mathbf{r},a) \left(e^{-\tau E_{n}(\mathbf{r},a)} + e^{-(aN_{t}-\tau)E_{n}(\mathbf{r},a)} \right)$
= $e^{-\tau E_{0}(\mathbf{r},a)} \left(C_{0}(\mathbf{r},a) + \sum_{n=1}^{N_{st}-1} C_{n}(\mathbf{r},a) \prod_{m=1}^{n} e^{-\tau \Delta_{m}(\mathbf{r},a)} \right) + \dots,$ (3)

where we have reparameterized the correlation in terms of energy differences $a\Delta_n(\mathbf{r}, a) = aE_n(\mathbf{r}, a) - aE_{(n-1)}(\mathbf{r}, a) > 0$. We choose $N_{st} = 1, 2$, or 3 to fit our data to this form using Bayesian priors and extract $E_0(r)$. The reader is referred to our previous publication for further technical details [33] of the procedure. In Ref. [33], we determined the potential scales r_i , especially $r_1 \simeq 0.3$ fm [34] which is defined from the static force as $r_1^2 F(r_1) = 1$. We will use the r_1 -scale as our main scale for the $\Lambda_{\overline{\text{MS}}}$ extraction. The conversion of the results from r_1 -units to physical units is discussed further in section 3.1.

After the static energy has been computed, we correct for the discretization artifacts. At short distances of the order of the lattice spacing a, the static energy exhibits significant non-smooth discretization errors. These cutoff effects are known at the leading order and can be removed with a so called tree-level improvement prescription, where an improved distance r_I is defined such that the

continuum and lattice perturbation theories match at leading order. While tree-level improvement allows one to reach shorter distances more smoothly than plain Euclidean r/a, it is not enough for the few first separations. To extend the improvement to even shorter distances, we have performed a rigorous lattice perturbation theory calculation at next-to-leading order (one loop) [35]. The lattice perturbation theory calculation is performed numerically with the HiPPy and HPsrc packages [36]. The effect of the different levels of improvement is demonstrated in figure 2 where the improvement is shown for both bare or HYP-smeared [37] static quarks. In the figure, one can see that in the bare data for the two distinct path topologies at $r = 3 = \sqrt{3^2} = \sqrt{3^2 + 0^2 + 0^2}$ or $= \sqrt{2^2 + 2^2 + 1^2}$, the one-loop correction is clearly making the overall shape smoother. The biggest correction from the one-loop improvement compared to the tree-level improvement happens at these on-axis path topologies where the Wilson lines are separated in single cardinal direction. The one-loop calculation in Ref. [35] is still being finalized, and for these proceedings we stick with the treelevel improvement. To compensate for the missing 1-loop effects, we add 0.1% error as an extra systematics to all points $r^2 \leq 8a$, and to further reduce the unwanted discretization effects, we exclude the on-axis points from any of the fits.

3. Extraction of $\Lambda_{\overline{MS}}$

To extract $\Lambda_{\overline{\text{MS}}}$, we perform a two parameter fit to the perturbative descriptions arising from the two approaches we use to regulate the renormalons. The first fitted parameter is a shift constant Λ that matches the perturbative curve to the lattice data at some distance r^* . Secondly, we fit $\Lambda_{\overline{\text{MS}}}$ that enters the equation (2) via $\alpha_s(\mu = 1/r, \Lambda_{\overline{\text{MS}}})$. The running of the coupling is handled by the RunDec [38] library with matching order of power counting to the static potential expansion.

The perturbative formulas describe the non-perturbative lattice data only up to some distances. From previous TUMQCD extractions of $\Lambda_{\overline{MS}}$ [14, 15, 17], we know that the perturbation theory works well up to ~ 0.13 fm. However, since the charm quark decouples around 0.15 fm, the behavior at 0.13 fm can already be affected by finite mass effects. Therefore, we perform two types of fits. Firstly, we restrict to very short distances $r_{max} \simeq 0.1$ fm and perform a fit with 4 massless quarks to extract $\Lambda_{\overline{MS}}^{(4)}$. The caveat of this approach is that we are now more susceptible to the discretization errors. Secondly, we include the charm quark effects to the fit function, as described above, and fit up to separations $r_{max} = 0.13$ fm with three massless quarks and one heavy quark to extract $\Lambda_{\overline{MS}}^{(3)}$. The caveat of this approach is that the finite mass effects are known only up to two loops which restricts the entire analysis to two loop accuracy. The third option would be to fit at large distances with 3 massless quarks, but for this to work we would need to fit in range $\gtrsim 0.18$ fm which is clearly out of the perturbative range and hence not considered. The Lambda parameters with different number of fermions $\Lambda_{\overline{MS}}^{(N_f)}$ can be related to each other by perturbative decoupling relations. For consistency, and for easy comparison with the previous TUMQCD 2+1-flavor extractions, we present all the results as $\Lambda_{\overline{MS}}^{(3)}$ in these proceedings

With these limits, we perform correlated fits to data divided into 100 jackknife blocks and fit to all possible ranges $a < r_{\min} \le r \le r_{\max}$ that have at least three data points. The fits from different ranges are then combined with a model average using the Akaike information criterion (AIC) to weigh the different fits [39]. Since only small fractions of the fits have non-zero AIC weight and





Figure 3: Left: Comparison of the lattice data to the perturbative curves with the fitted $\Lambda_{\overline{\text{MS}}}$. Right: the fit results for individual choices of r_{\min} and r_{\max} for the 3 flavor + charm fit (blue curve on the left) together with the model weights and $\chi^2/\text{d.o.f}$

since these ranges tend to be same between different jackknife blocks, we make sure to use the same set of selected fit ranges for all jackknife blocks.

This fit procedure is demonstrated for the finest ensemble in figure 3. The black points show the lattice data, with the filled points being included in the fit and the empty on-axis point being excluded. The two curves present the fits either with or without the massive charm effects and the solid region represents the range of the fit with best AIC weight. Similarly, on the bottom half of the figure, the filled points correspond to the solid sections of respective lines from above. We observe that the fits describe the data well within the range. Apart from doing the fits with $N_f = 4$ and $N_f = 3 + 1$ flavors, we also repeat the analysis with different orders of the perturbative expansion of the static energy and using both renormalon removal methods. A collection of these fit results for the finest ensemble is shown on the left side of figure 4. Since the finite mass corrections are known only at two-loop level, the extraction with the three-loop static energy with massive charm is incomplete at three loops. Nevertheless, we observe stability between all fit options and general agreement with previous TUMQCD 2+1-flavor extractions [14, 15, 17] and the most recent FLAG average [21].

The fit is then repeated for different scaling factors *c* of the soft scale $\mu = c/r$. Ideally, one would vary the scale by a factor of two. However, as can be seen from the left of figure 1, the scale variation c = 1/2 will heavily constraint the available *r*-values for the fit. Therefore, at the time of the conference, we could only afford to vary the scale within a more relaxed range $c \in \{1/\sqrt{2}, \sqrt{2}\}$, due to the limited range in *r* and from the missing one-loop improvement. Moreover, the fit is then repeated over all ensembles. Again, due to available fit ranges with the chosen range of scale variation *c*, we could only extract $\Lambda_{\overline{MS}}$ at three finest lattice spacings with a few different light quark masses. Using the $N_{\rm f} = 3 + 1$ two-loop MRS fit as a reference, we show the lattice spacing dependence of the extracted $\Lambda_{\overline{MS}}^{(3)}$ on the right side of figure 4, where the points that are grouped together have the same lattice spacing *a* but different light quark mass ratio m_l/m_s . We observe



Figure 4: Left: Resulting $r_1 \Lambda_{\overline{\text{MS}}}$ from the fits to the finest lattice ensemble at different orders of perturbative expansion in green. The 3-loop^{*} point is incomplete at three loops. In blue we show the previous TUMQCD extractions from 2+1 flavors and in black the current FLAG average. The FLAG point is calculated from their $r_0\Lambda$ by multiplying it by the FLAG reported ratio r_1/r_0 . Right: One of the fits from the left hand side figure performed to coarser ensembles. The points close to each other have the same lattice spacing (shifted for visibility) but different light quark mass. The inner error bar indicates the statistical error, while the outer error bar shows the systematic error from the variation of the soft scale $\mu = 1/r$ by a factor of $\sqrt{2}$.

mild dependency on the lattice spacing and light quark masses, however, the proper continuum extrapolation is left for future publication. As the results in these proceedings are not final, we only present them in visualized form and refrain from giving quotable numbers until the final publication. Once the $\Lambda_{\overline{\text{MS}}}$ -parameter has been determined, we can use RunDec to obtain the strong coupling at the Z-pole mass $\alpha_s(M_Z)$.

3.1 Physical scale

In these proceedings we decided to present results in r_1 -units. In order to convert to physical units, we can use our scale determination [33] $r_1 = 0.3037(25)$ fm, which is based on the $a_{f_{P4s}}$ quantity discussed in Ref. [30]. The previous TUMQCD extraction with 2+1-flavors [17] used a different value for the scale: $r_1 = 0.3106(17)$ fm based on f_{π} scale. This means that if the comparison on the left side of figure 4 was presented in physical units, there would be slightly more pronounced difference between the $\Lambda_{\overline{MS}}^{(3)}$ extracted from the (2+1+1)- or (2+1)-flavor datasets. In an upcoming study [40], a new determination of (2+1)-flavor r_1 will be presented based on the Kaon decay constant f_K . This new constant moves the r_1 value closer to the (2+1+1)-flavor r_1 by a few standard deviations. In general, one does not expect there to be significant finite mass effects in the r_1 scale and hence the three and four flavor physical scales should be close to each other.

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