



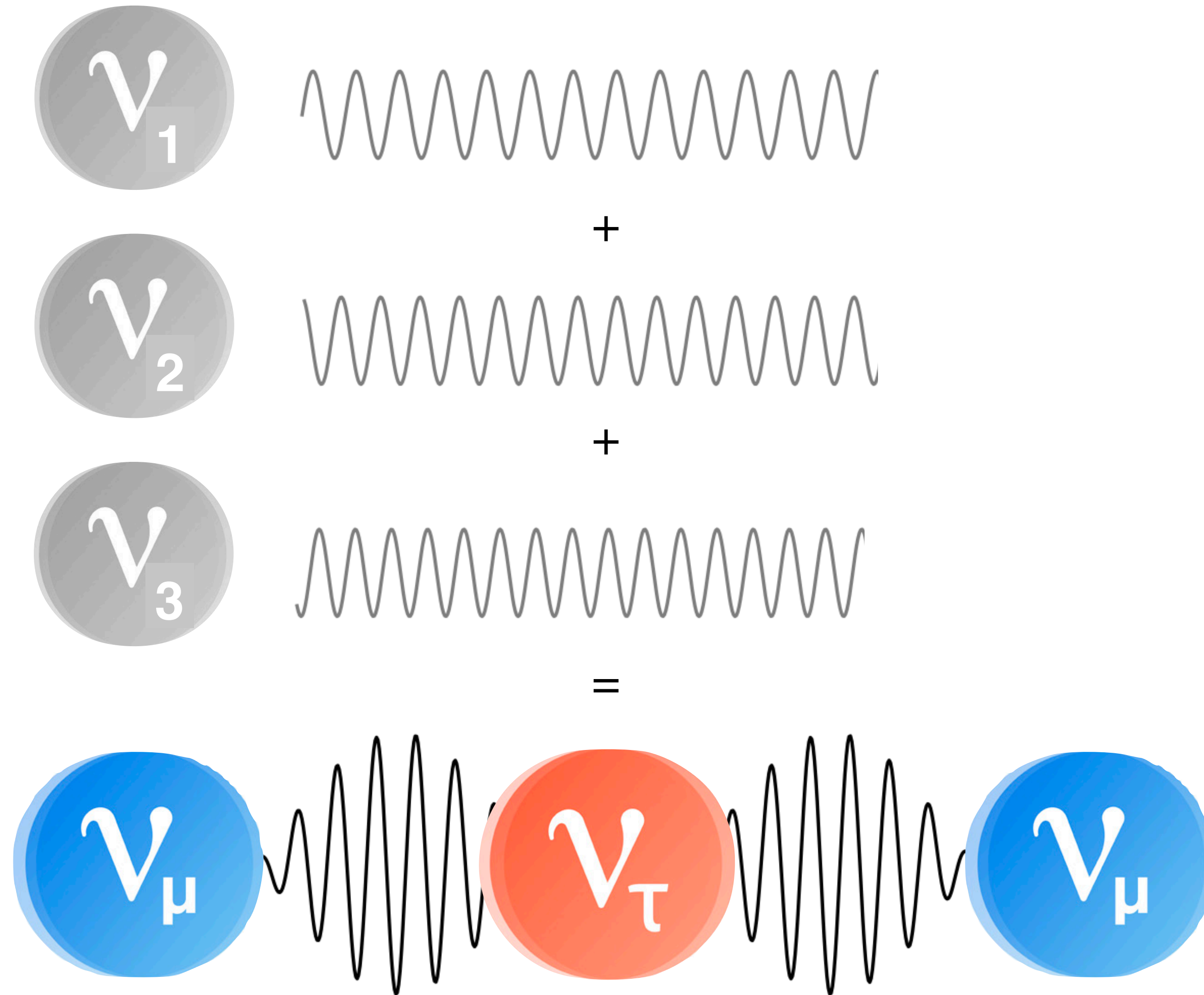
Physics of Neutrino Oscillations and Neutrino Cross Sections using the NOvA Experiment

Prabhjot Singh, on behalf of the NOvA Collaboration

Users Meeting 2023, Fermilab

30 June 2023

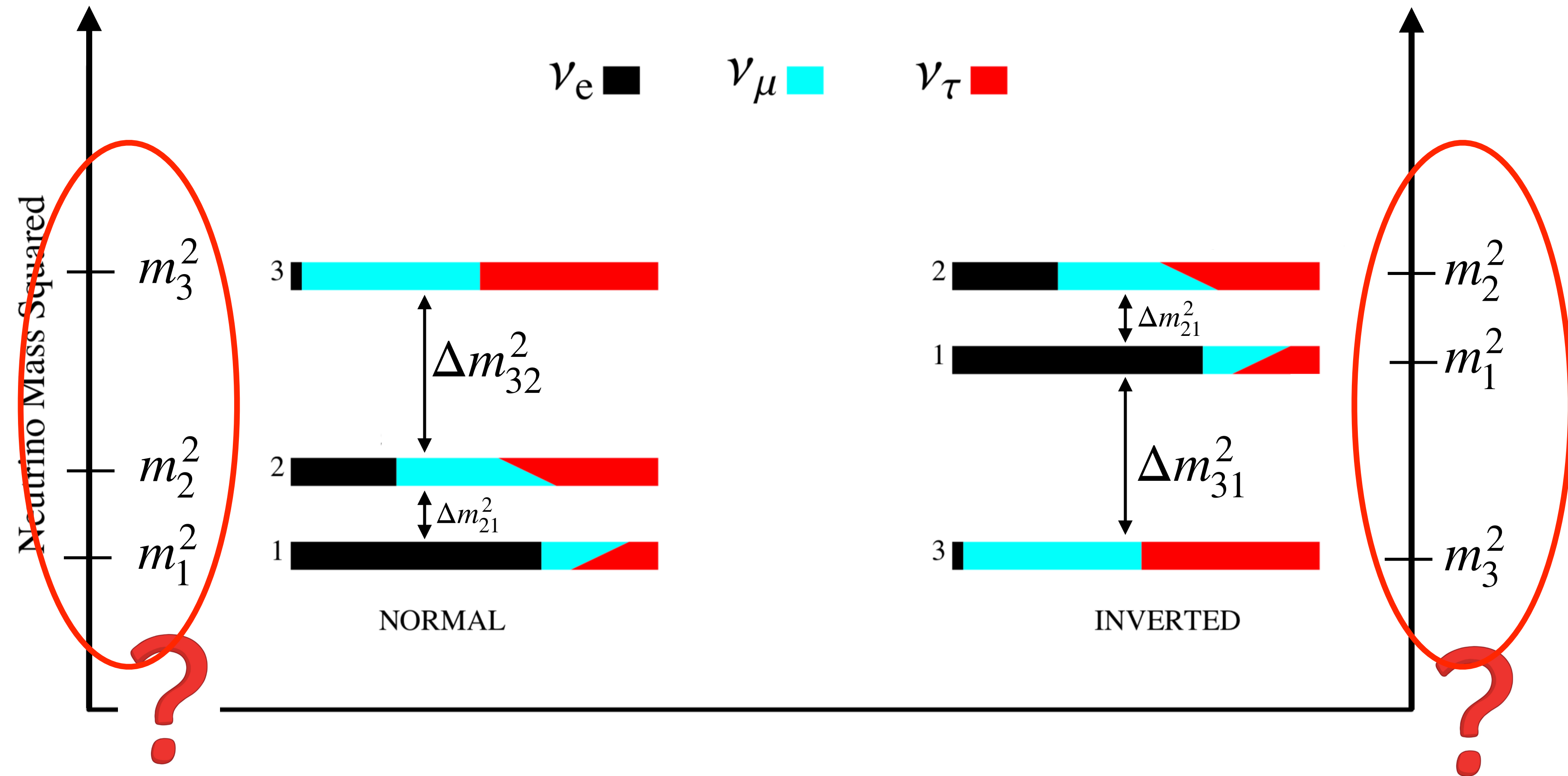
Neutrino Oscillations



- Neutrino flavor states (ν_e , ν_μ and ν_τ) are superposition states of mass states (ν_1 , ν_2 and ν_3)
- Neutrinos oscillate between different flavors
- Oscillations imply non-zero masses of neutrinos

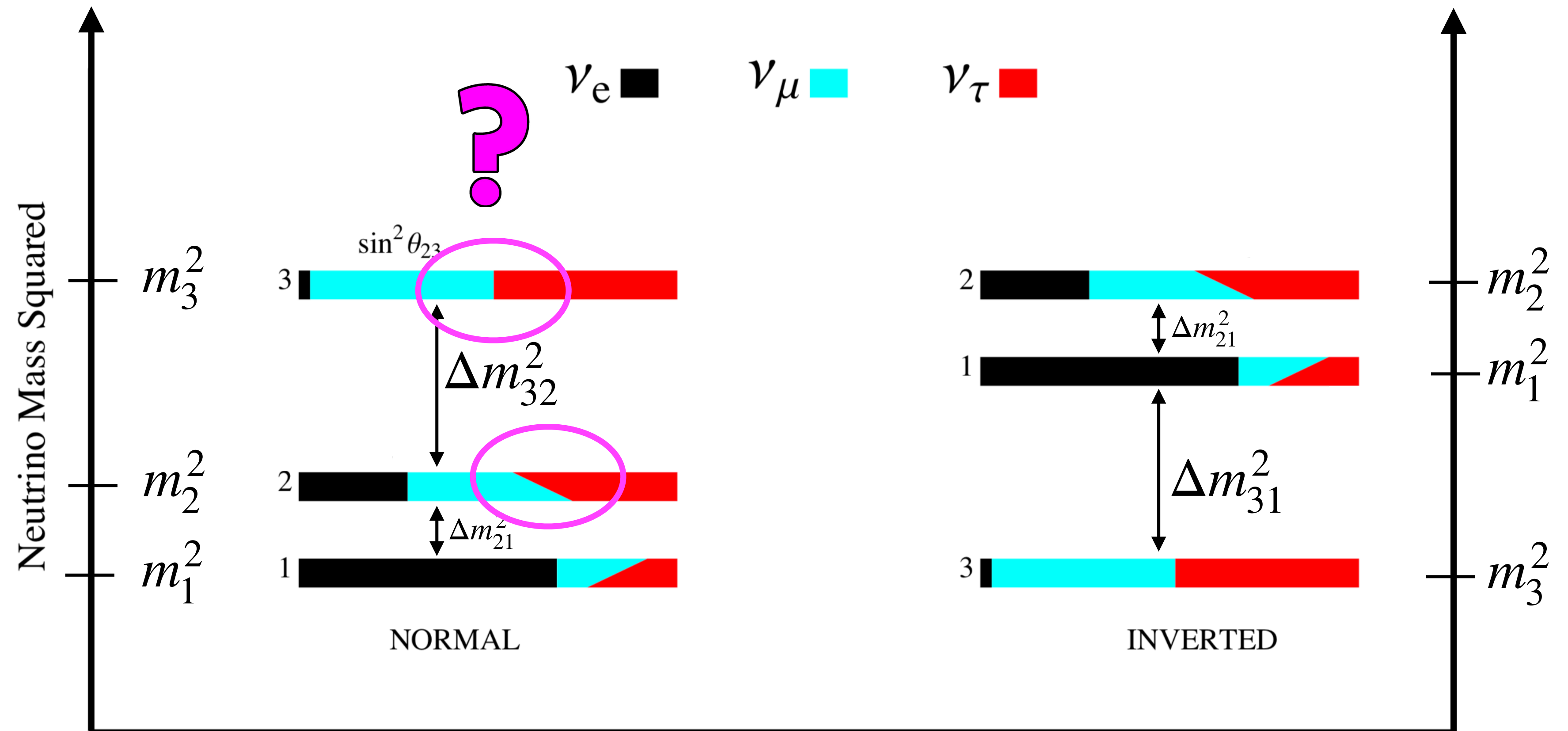
Open Questions

- Ordering of neutrino masses: sign of $|\Delta m_{32}^2|$?



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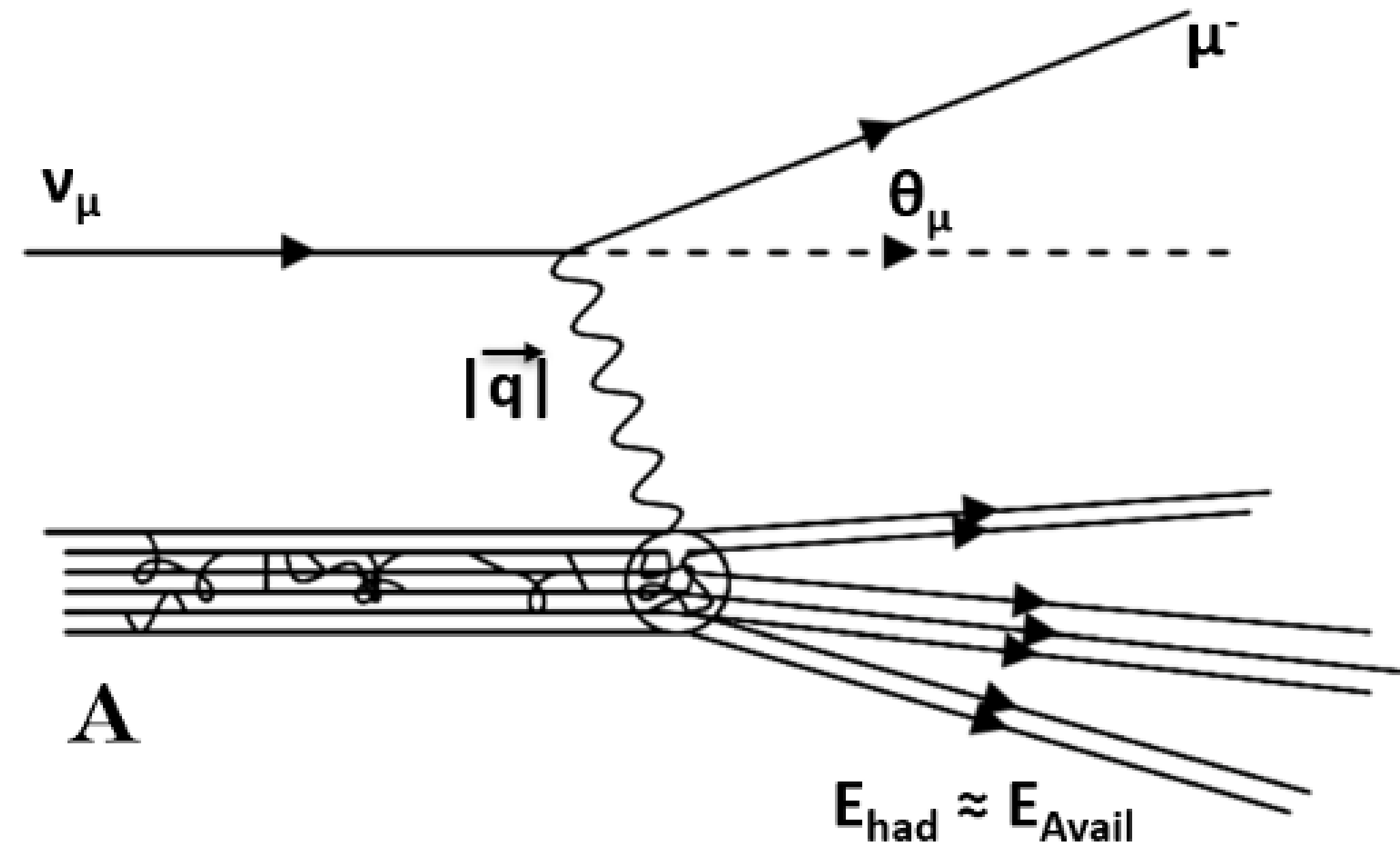
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- Any CP violation by neutrinos?

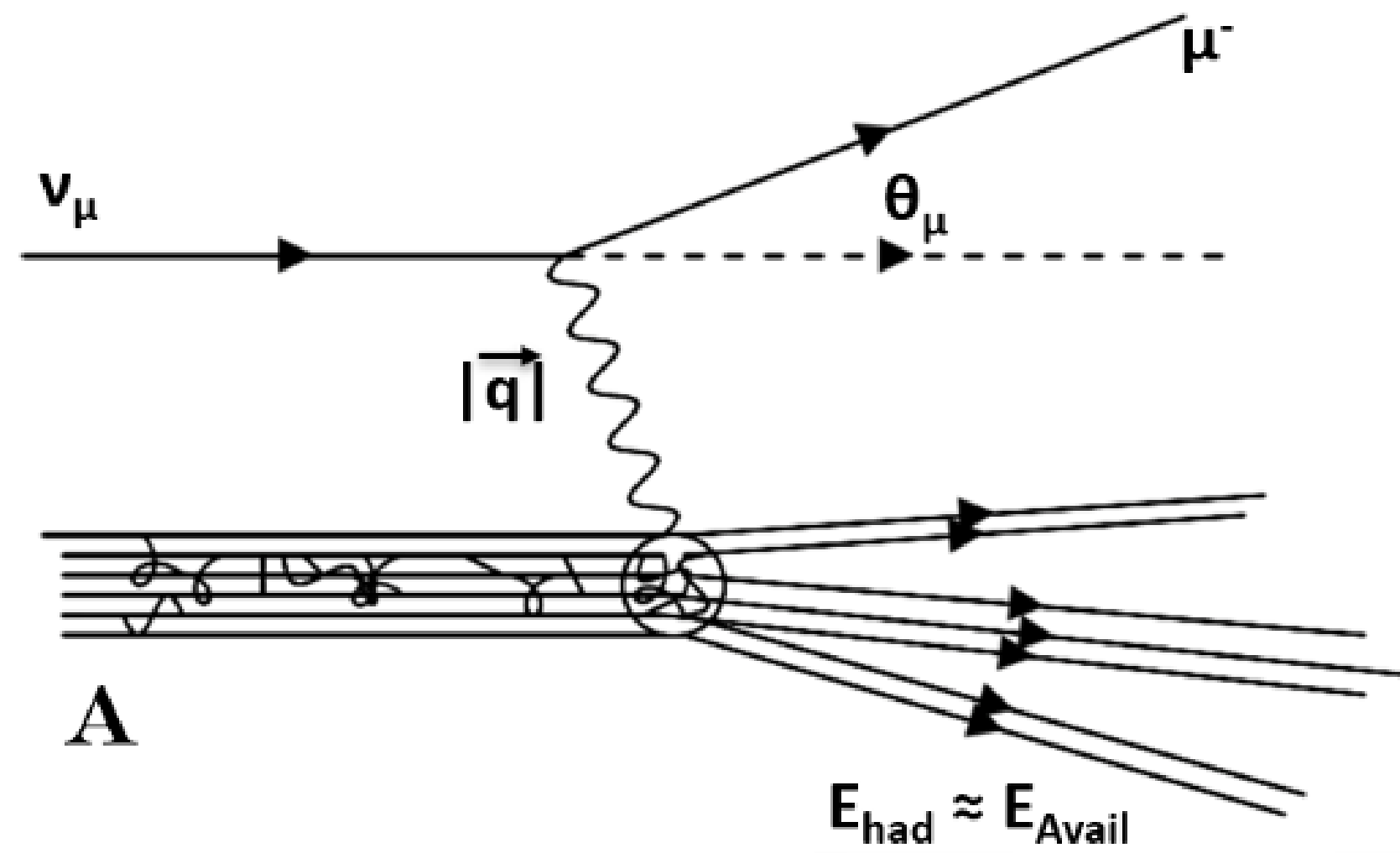


Open Questions

- Ordering of neutrino masses: sign of $|\Delta m_{32}^2|$?
- Maximal or non-maximal $\nu_\mu - \nu_\tau$ mixing?
- Any CP violation by neutrinos?
- Nuclear effects on the neutrino-nucleus interactions

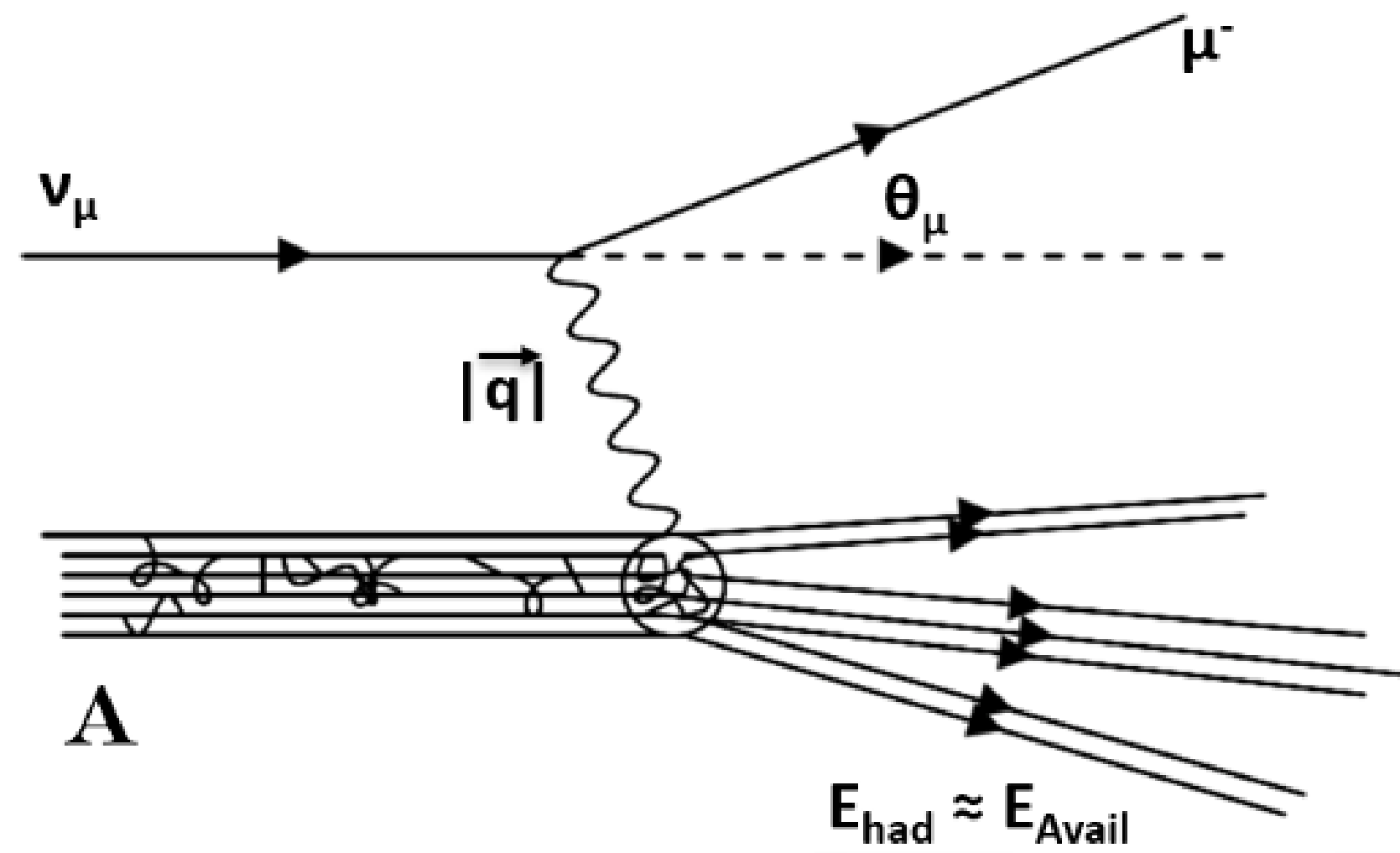


In this Talk

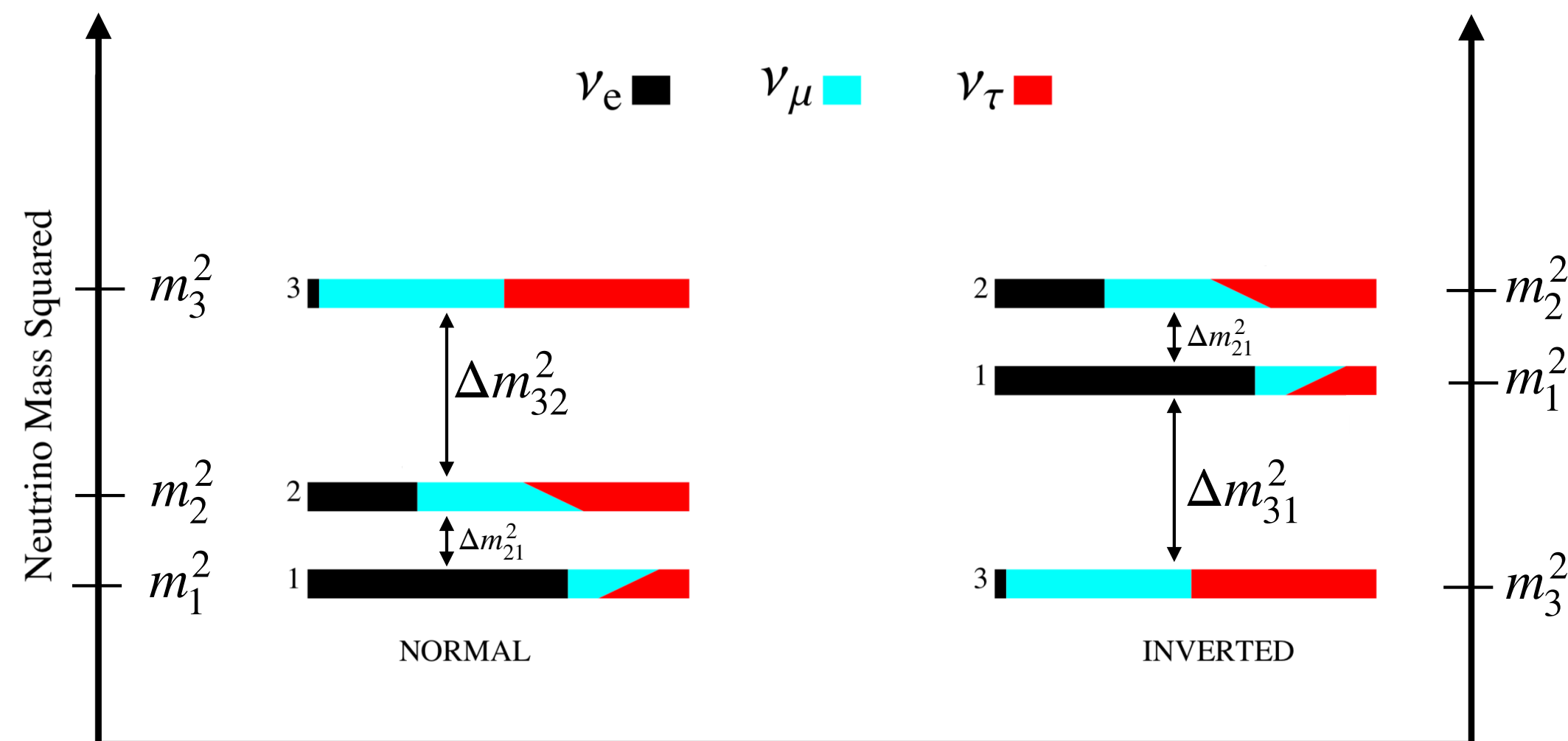


Cross-section measurements
- nuclear effects, e.g. 2p2h/MEC interactions

In this Talk



Cross-section measurements
- nuclear effects, e.g. 2p2h/MEC interactions



- 3-flavor oscillation results
 - Markov Chain MC bayesian analysis

NOvA Experiment

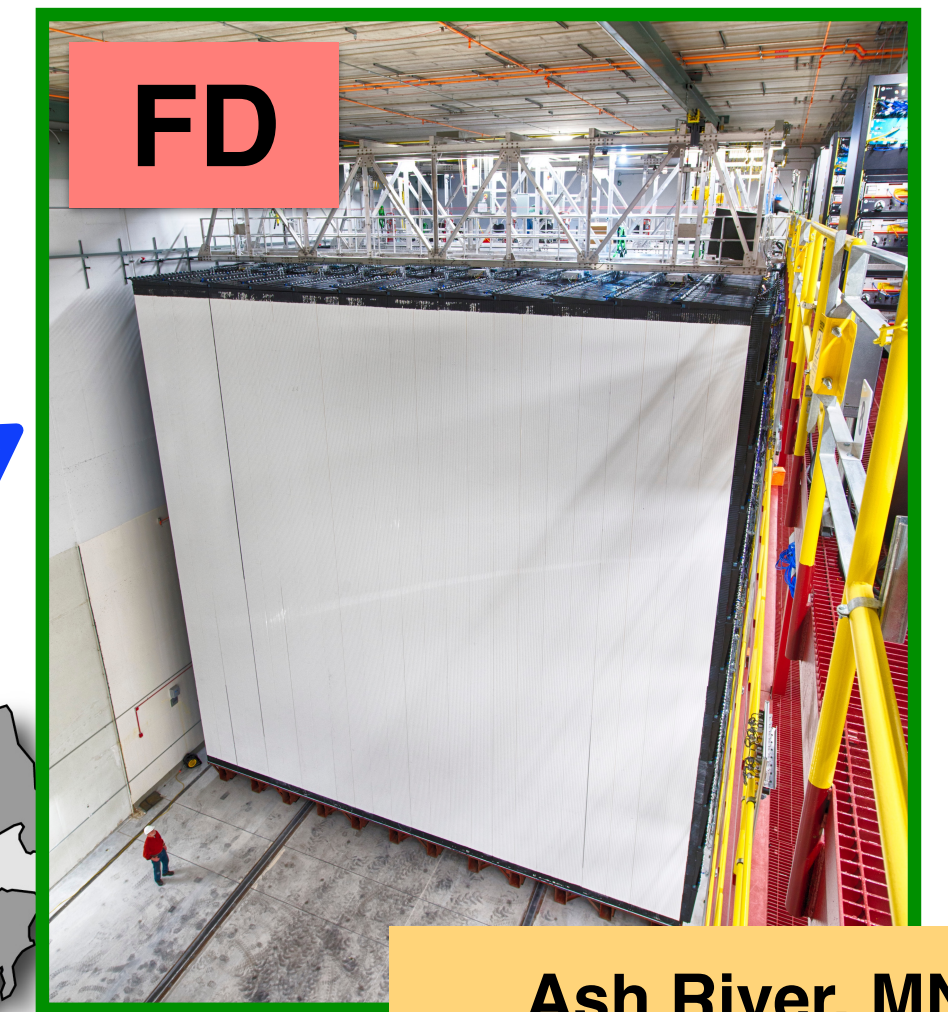
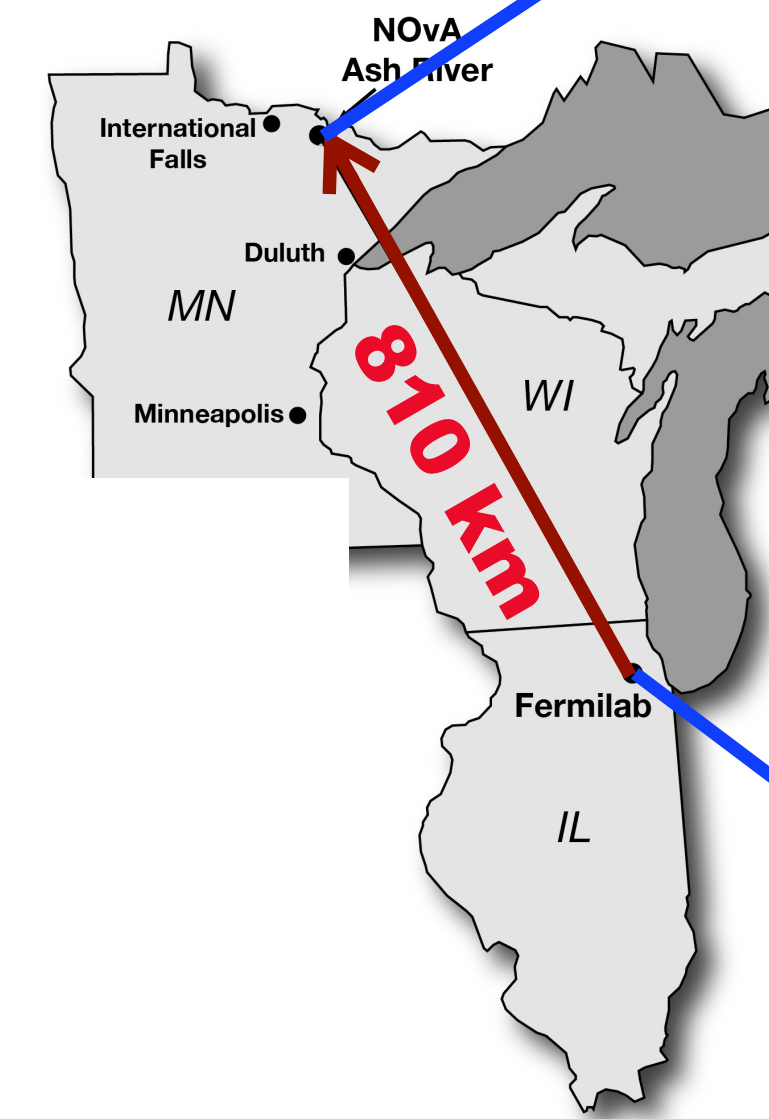
The NOvA Collaboration



> 240 people, ~ 50 institutions, 7 countries

NOvA Experiment

- NOvA is a long-baseline two-detector neutrino oscillation experiment



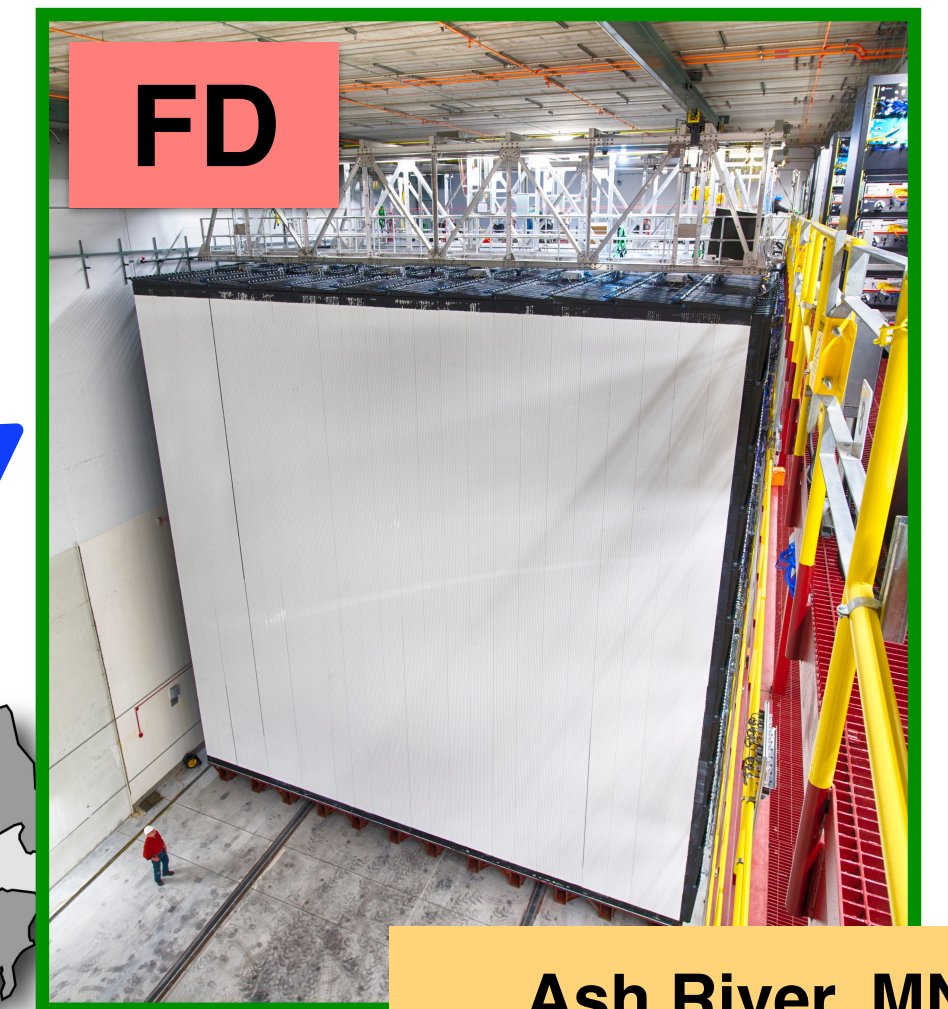
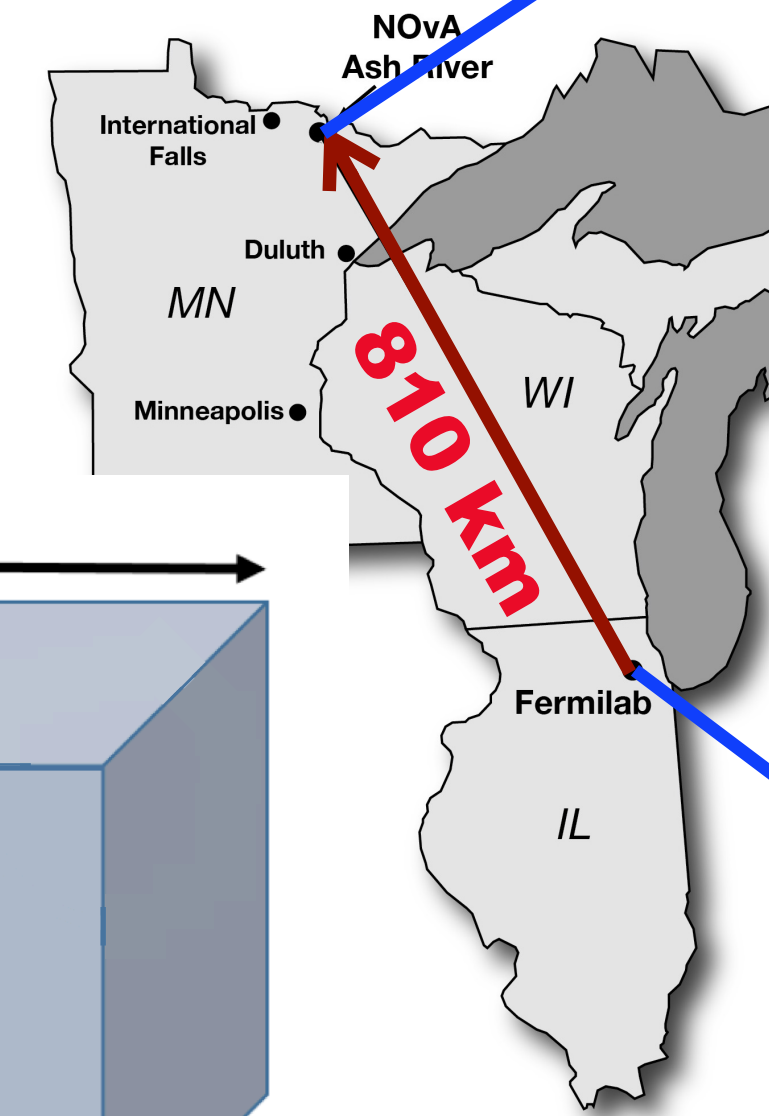
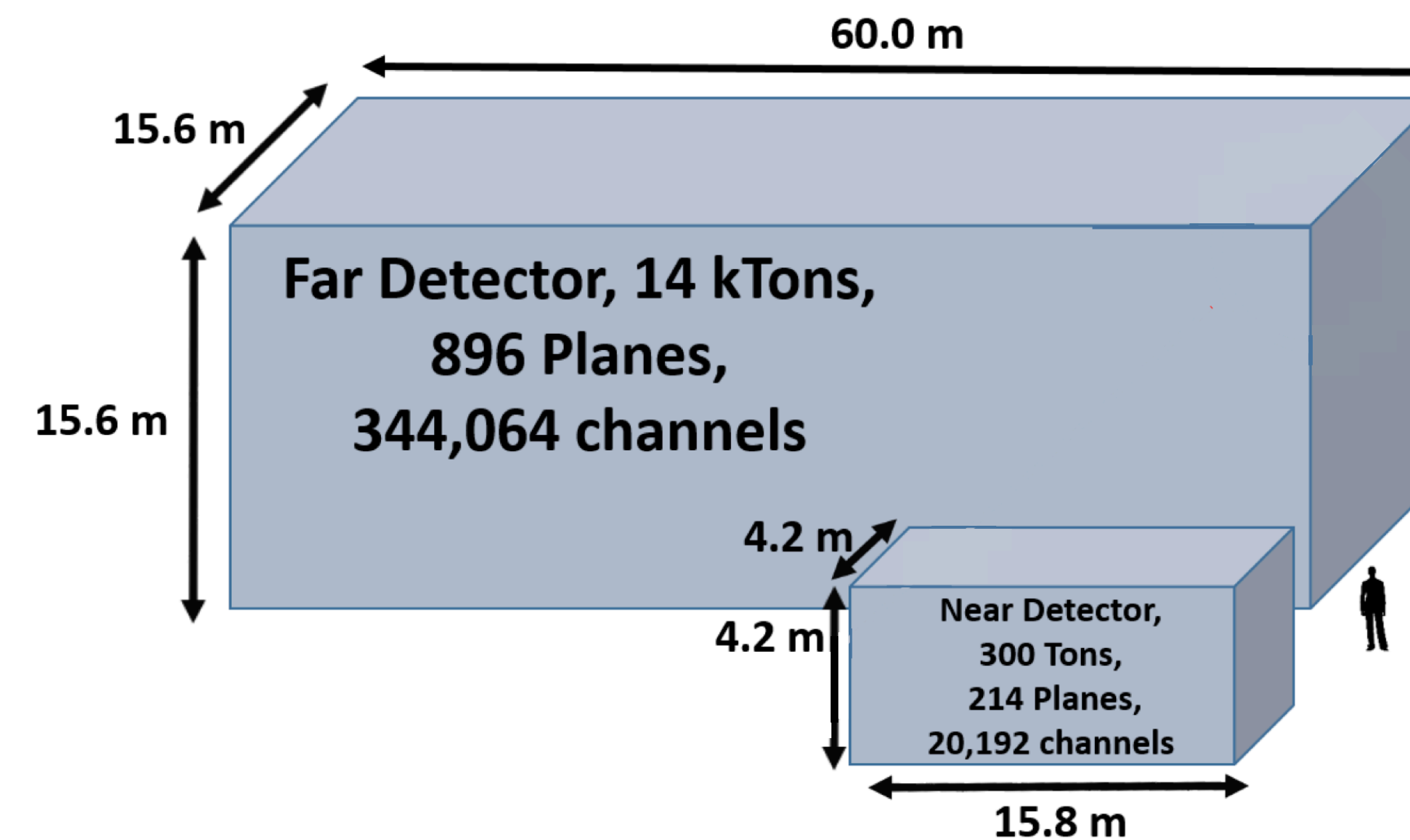
Ash River, MN,
810km from neutrino
source



1 km from neutrino
source

NOvA Experiment

- NOvA is a long-baseline two-detector neutrino oscillation experiment
- Both detectors filled with liquid scintillator and composed of 77% CH₂, 16% chlorine, 6% TiO₂ by mass



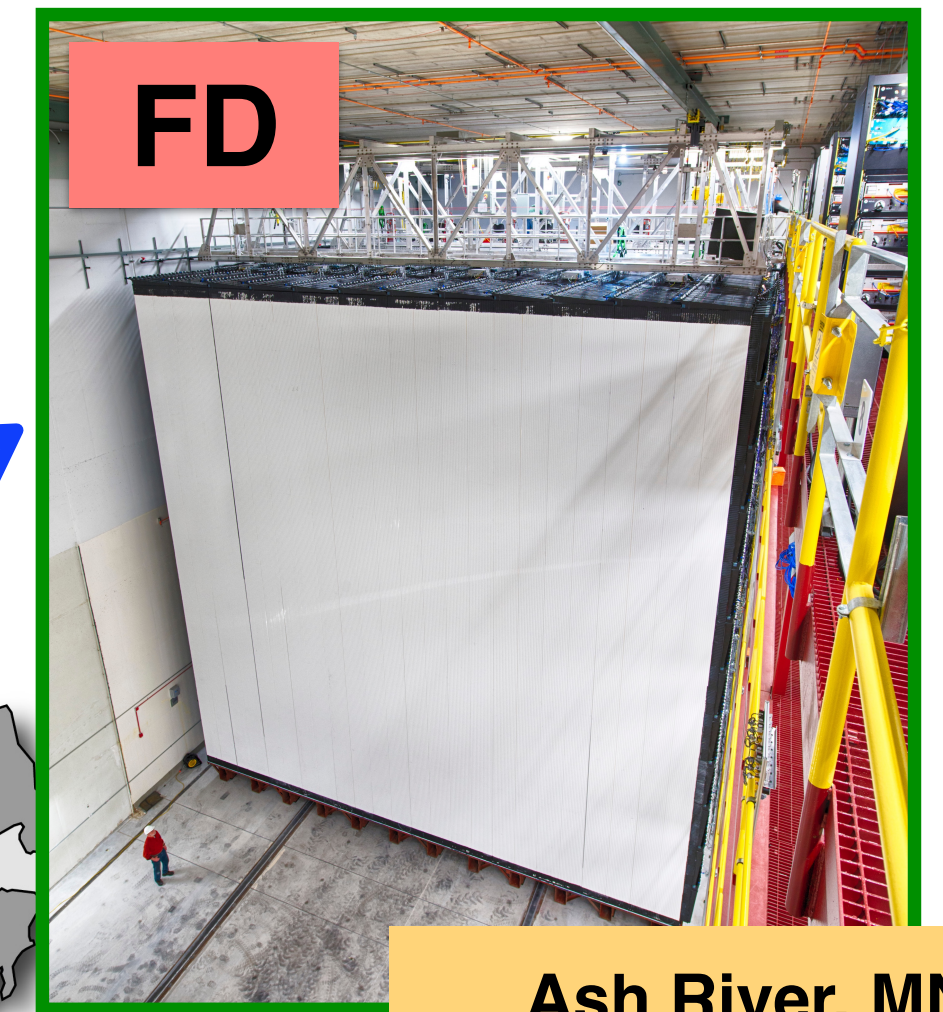
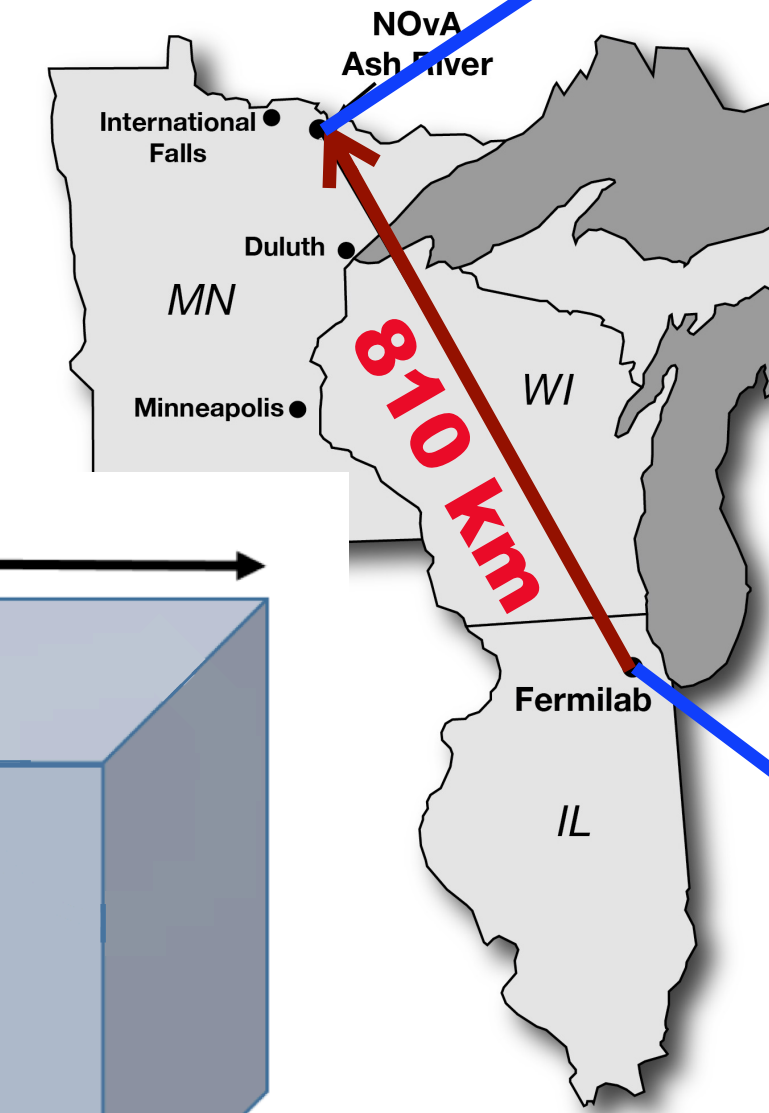
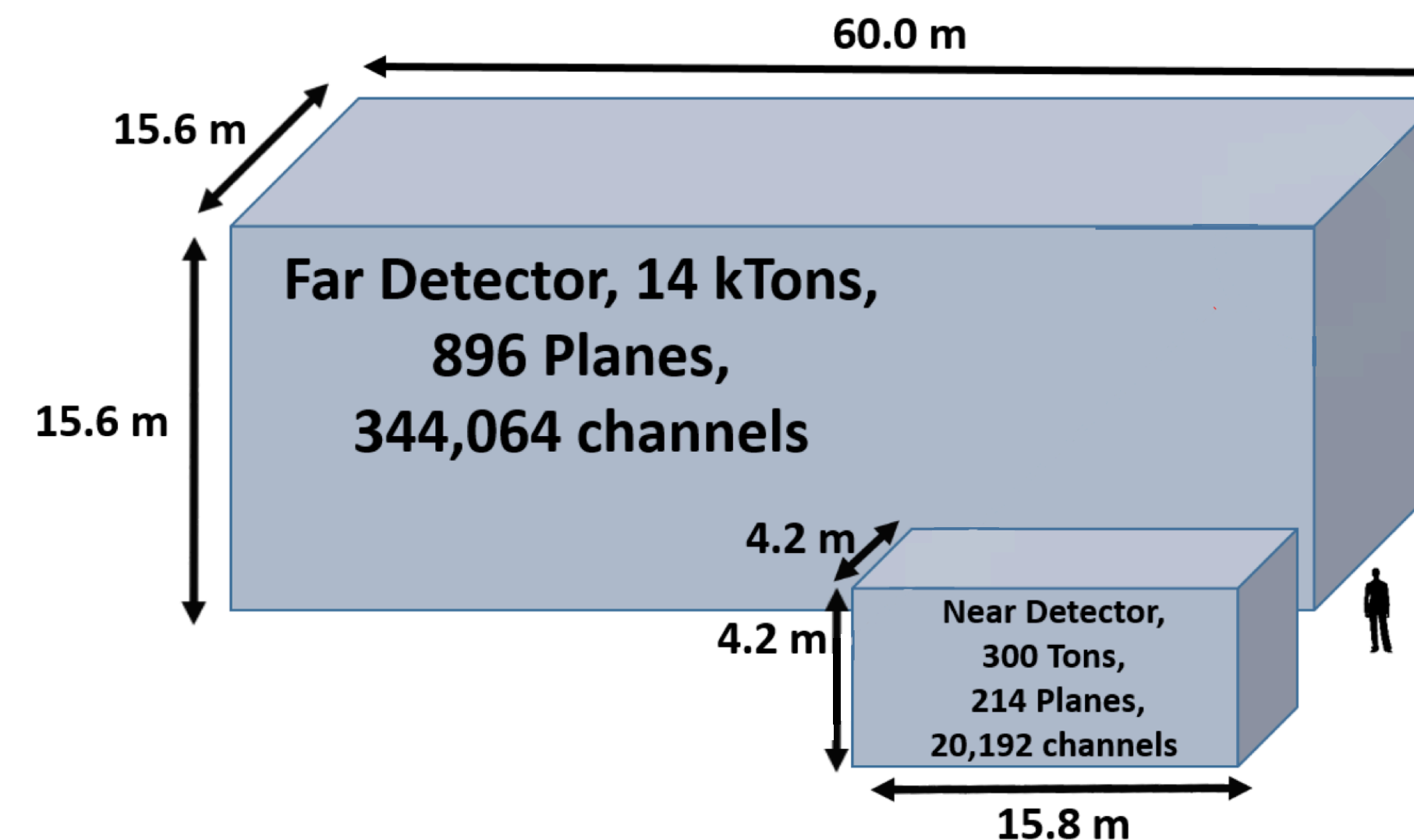
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NOvA Experiment

- NOvA is a long-baseline two-detector neutrino oscillation experiment
- Both detectors filled with liquid scintillator and composed of 77% CH₂, 16% chlorine, 6% TiO₂ by mass
- 14.6 mrad off-axis detectors
- Neutrino beam peaks at 2 GeV
- Functionally identical detectors to reduce systematic uncertainties

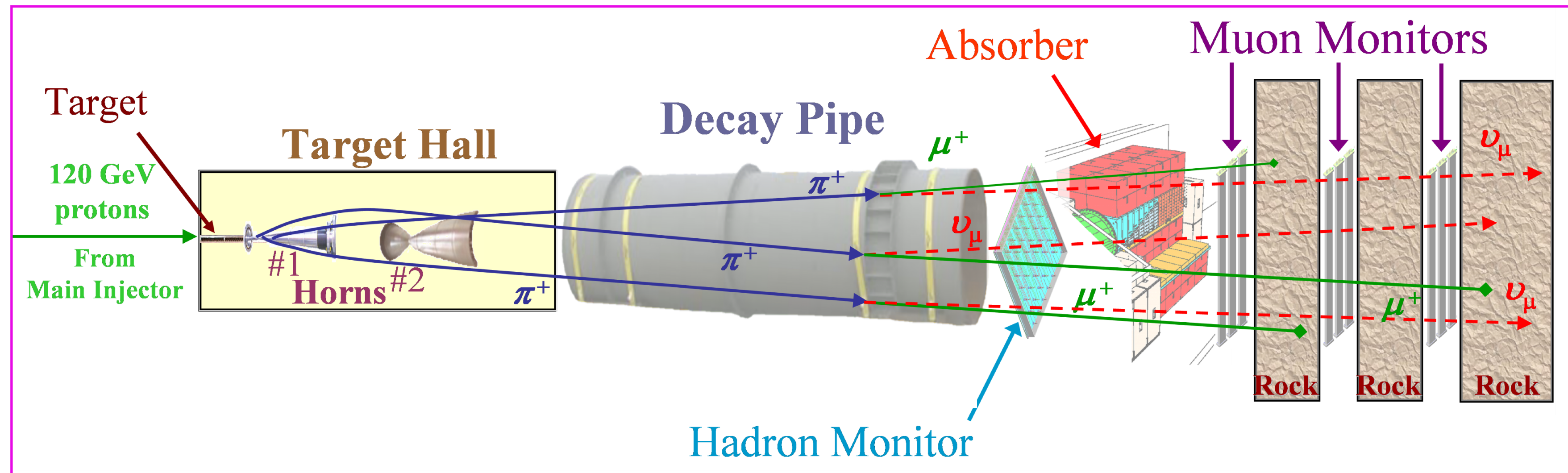


Ash River, MN,
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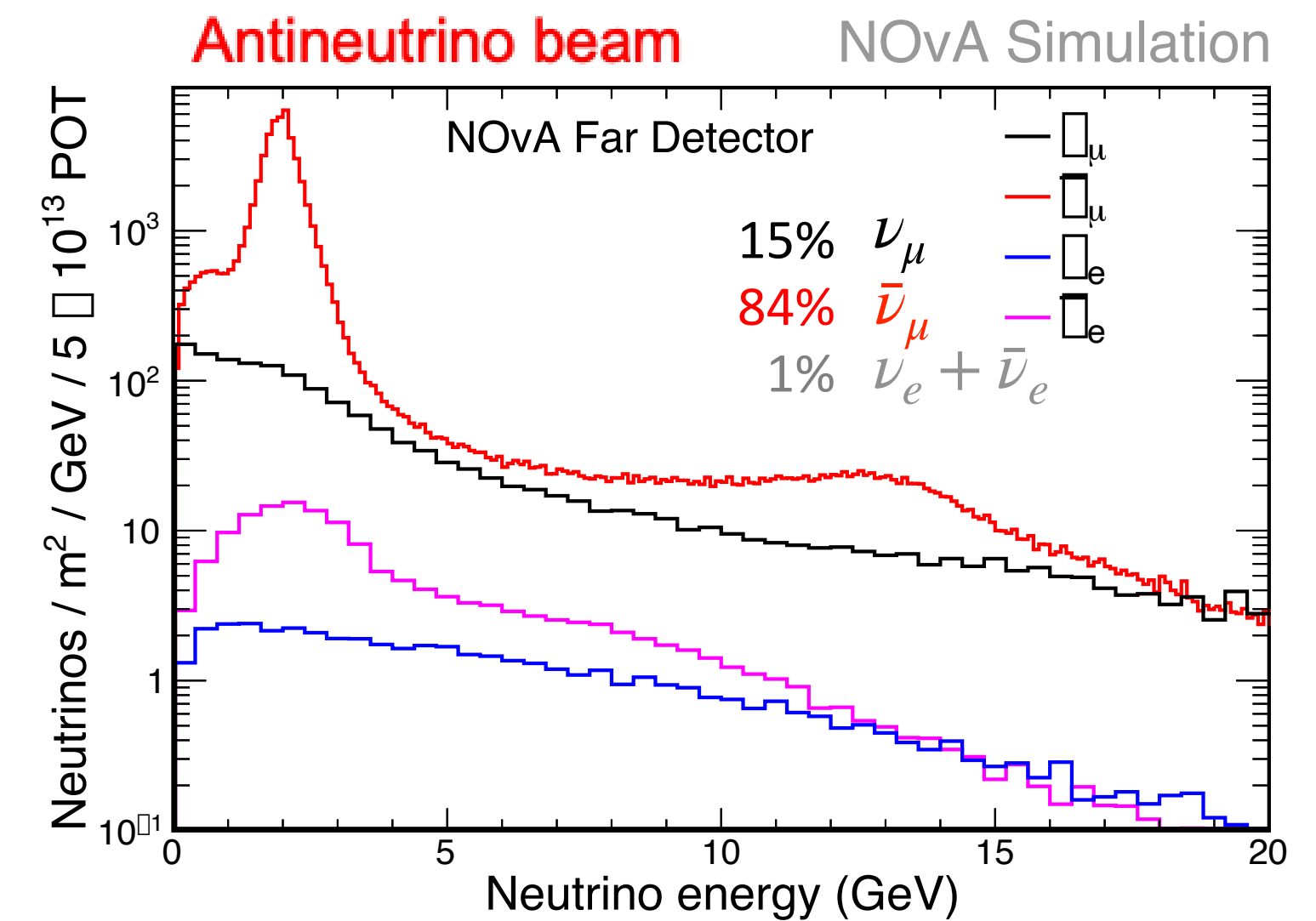
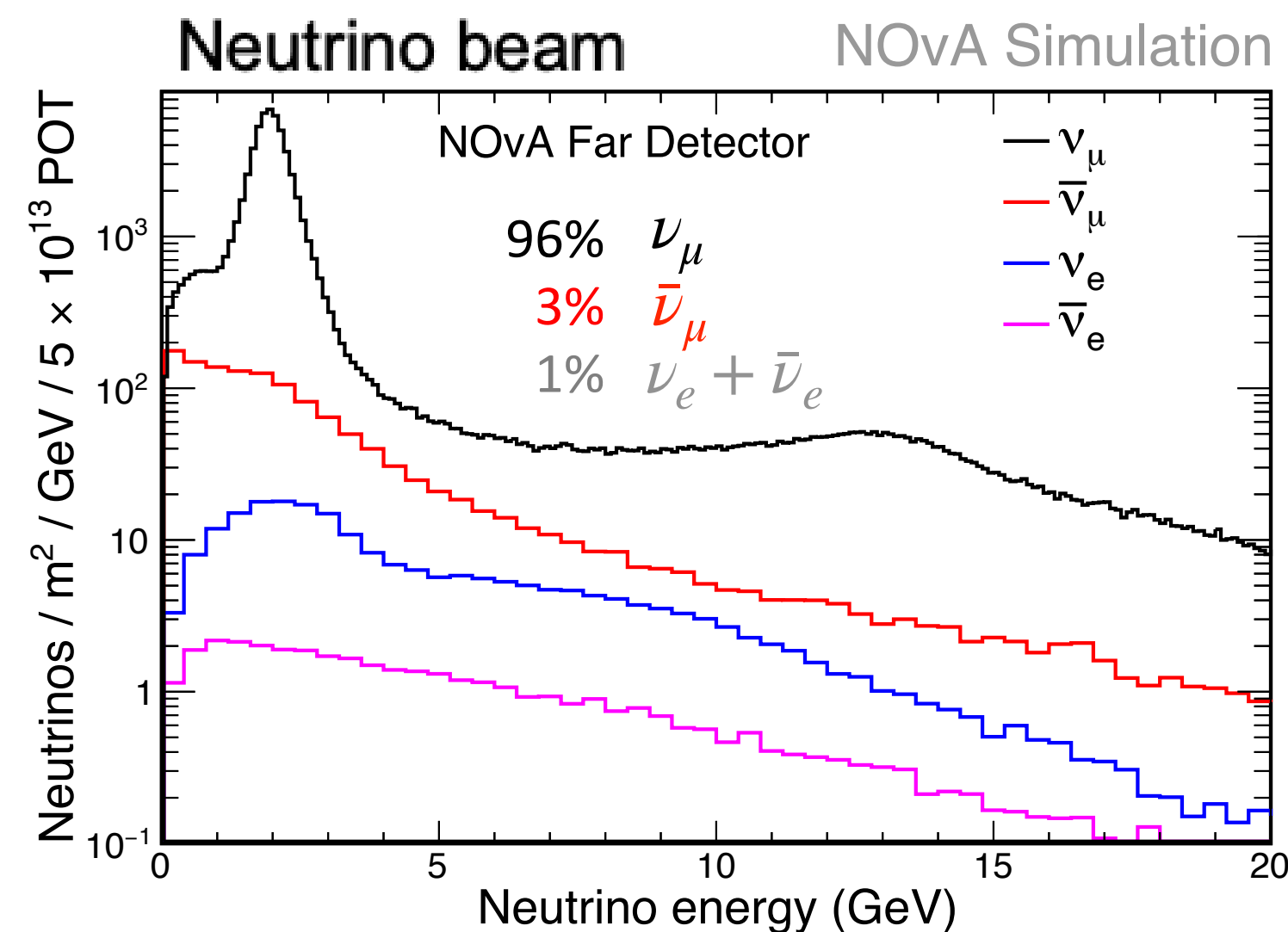


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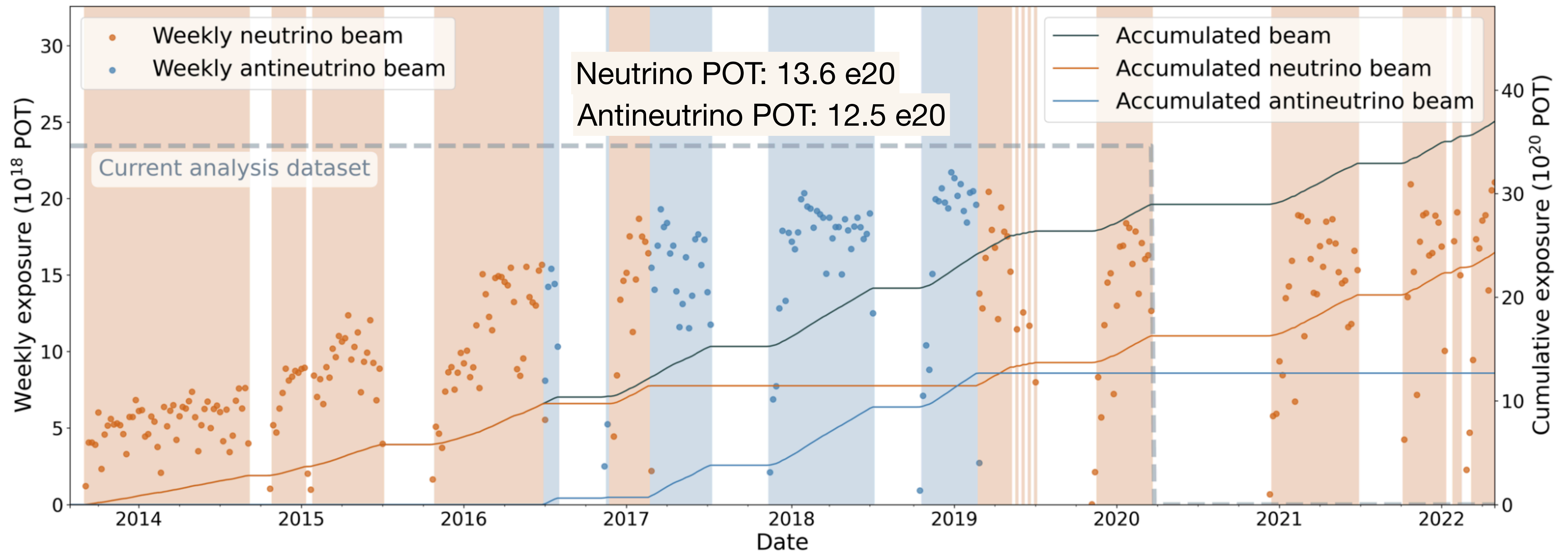
Neutrino Beam at Fermilab



- Neutrino and antineutrino modes
- High $\nu_\mu(\bar{\nu}_\mu)$ purity



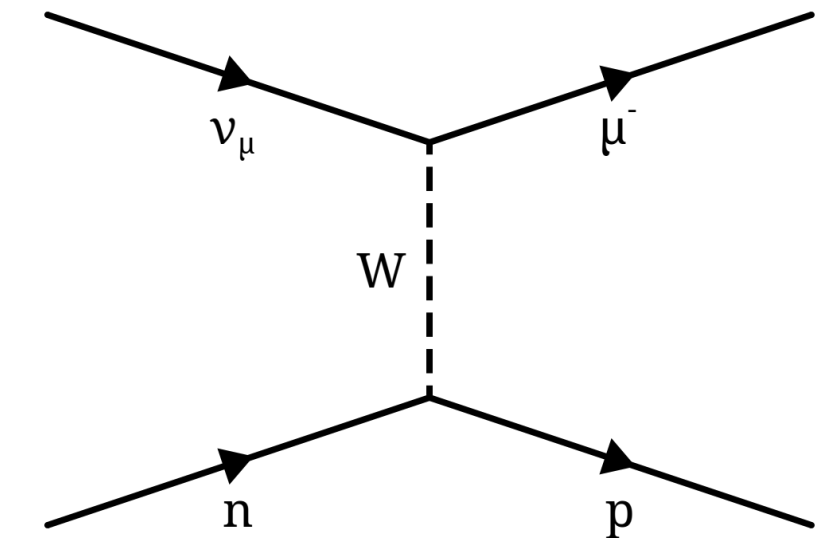
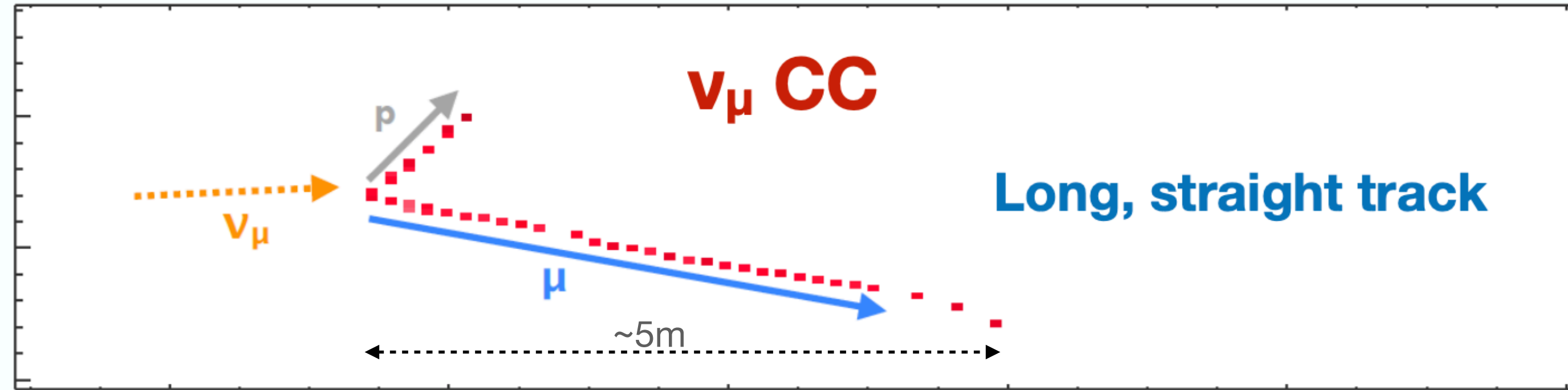
Beam Exposure



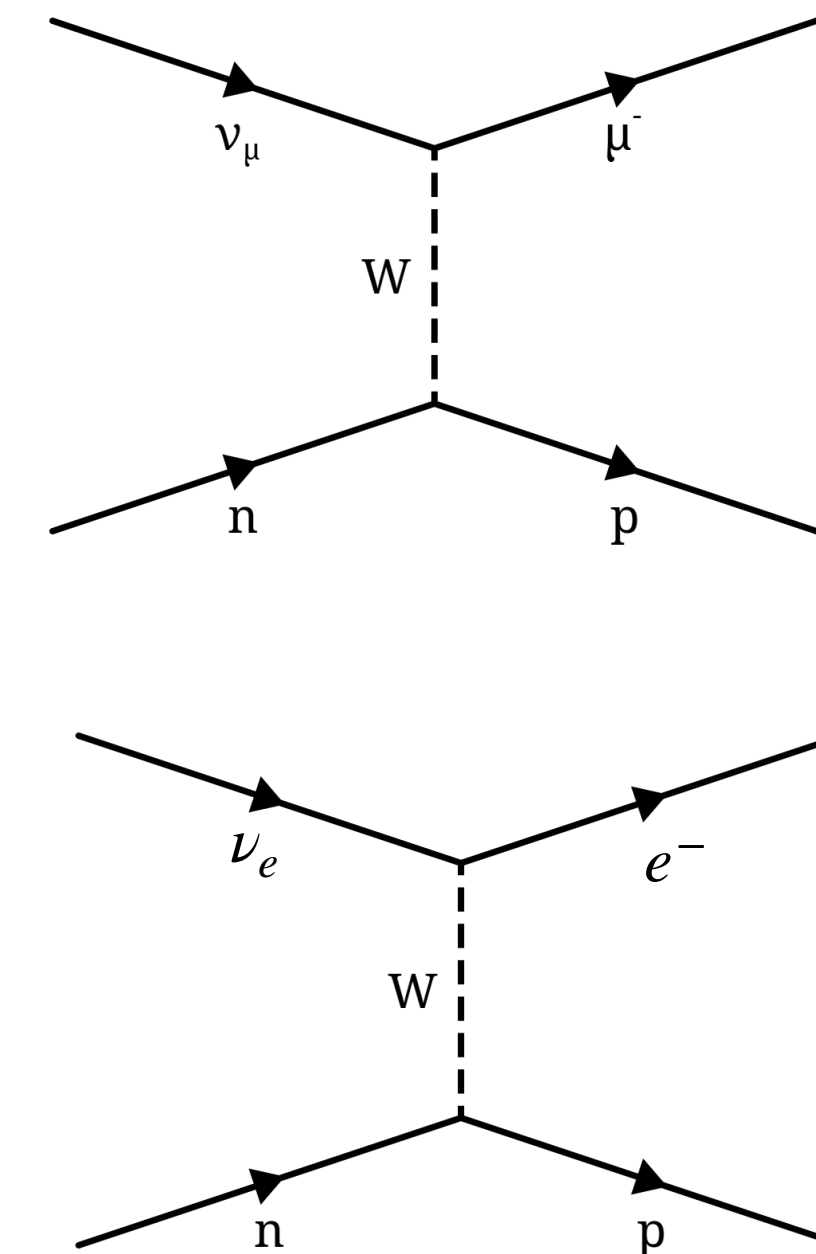
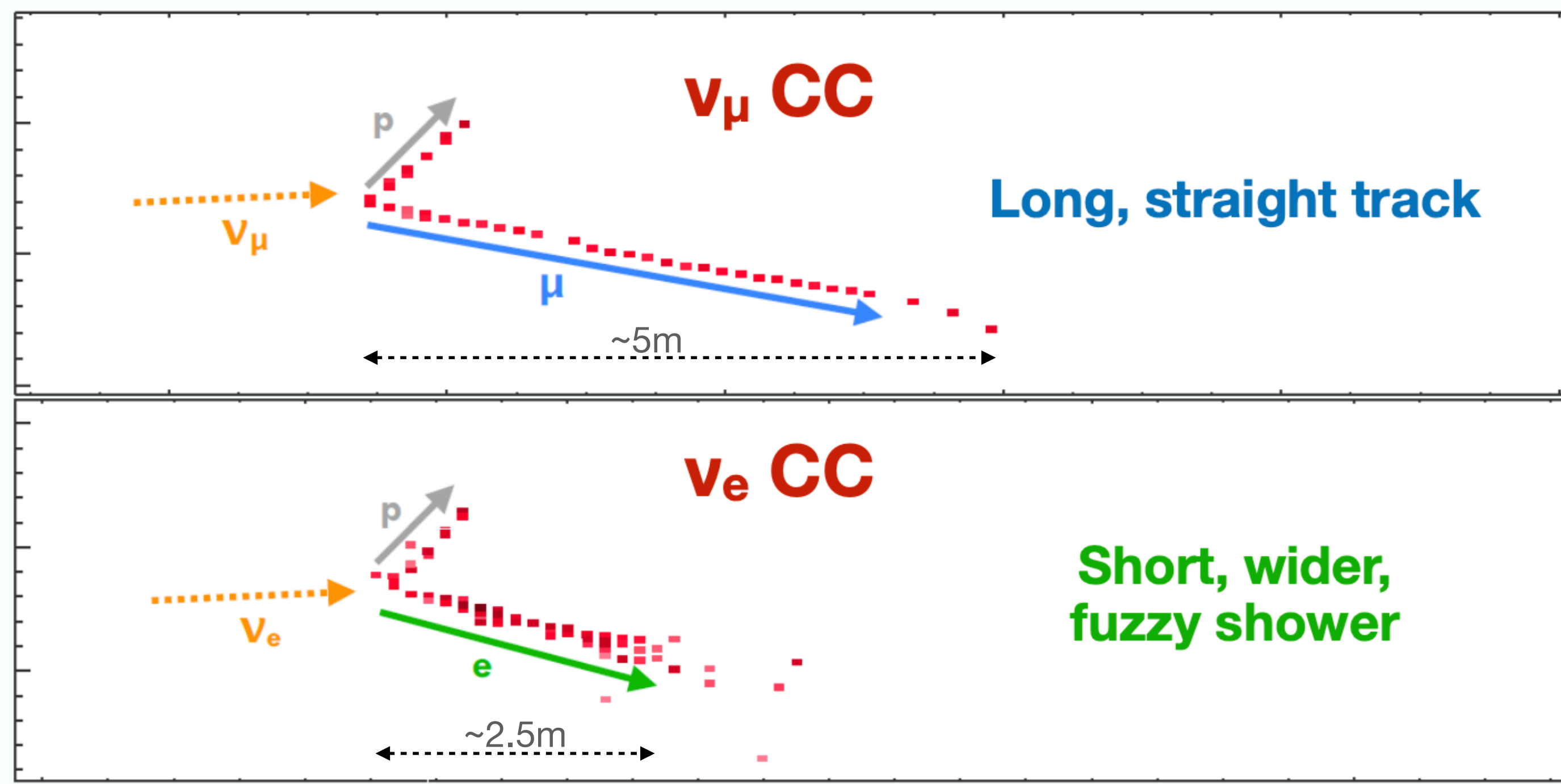
- Total protons on target so far 38e20
- New power record +950 kW

1MW, here we come! - Thanks to the hard work of many people in front and behind the scenes

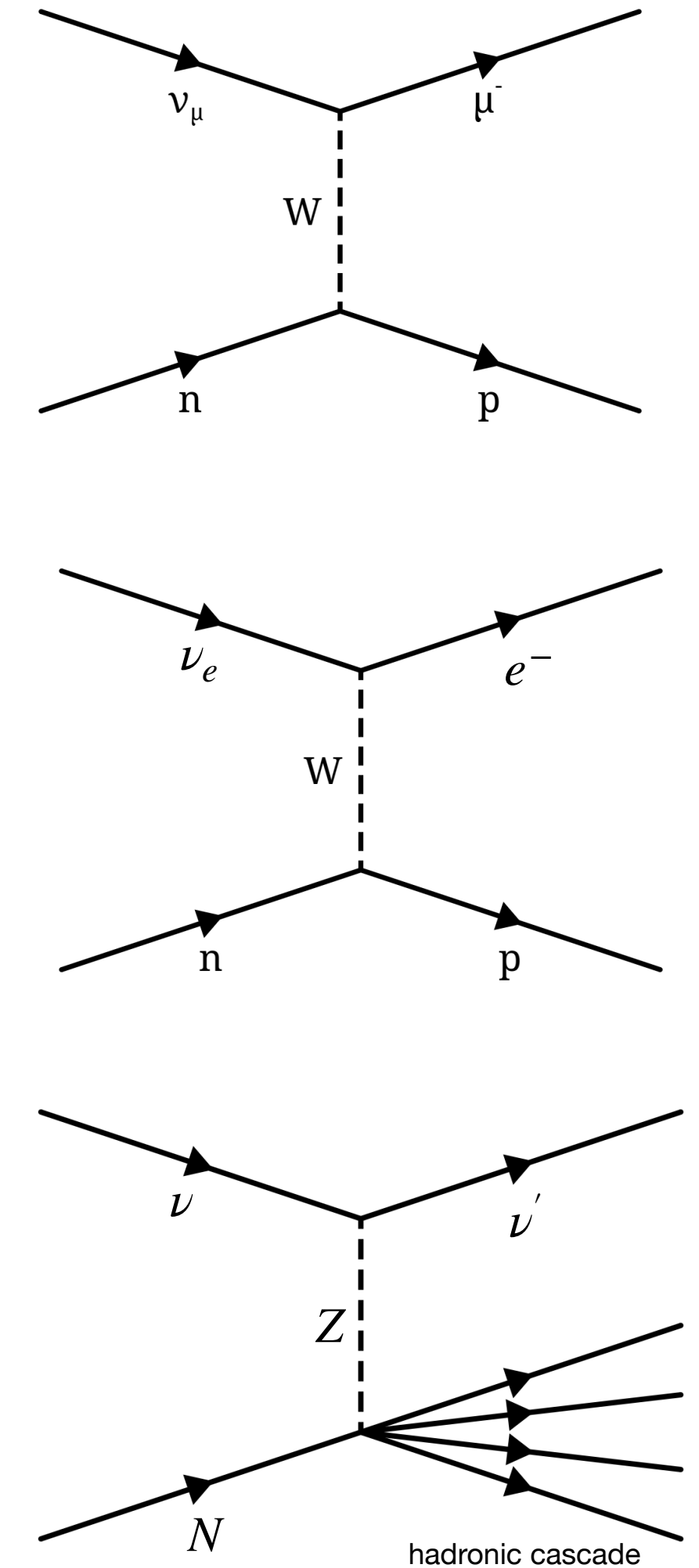
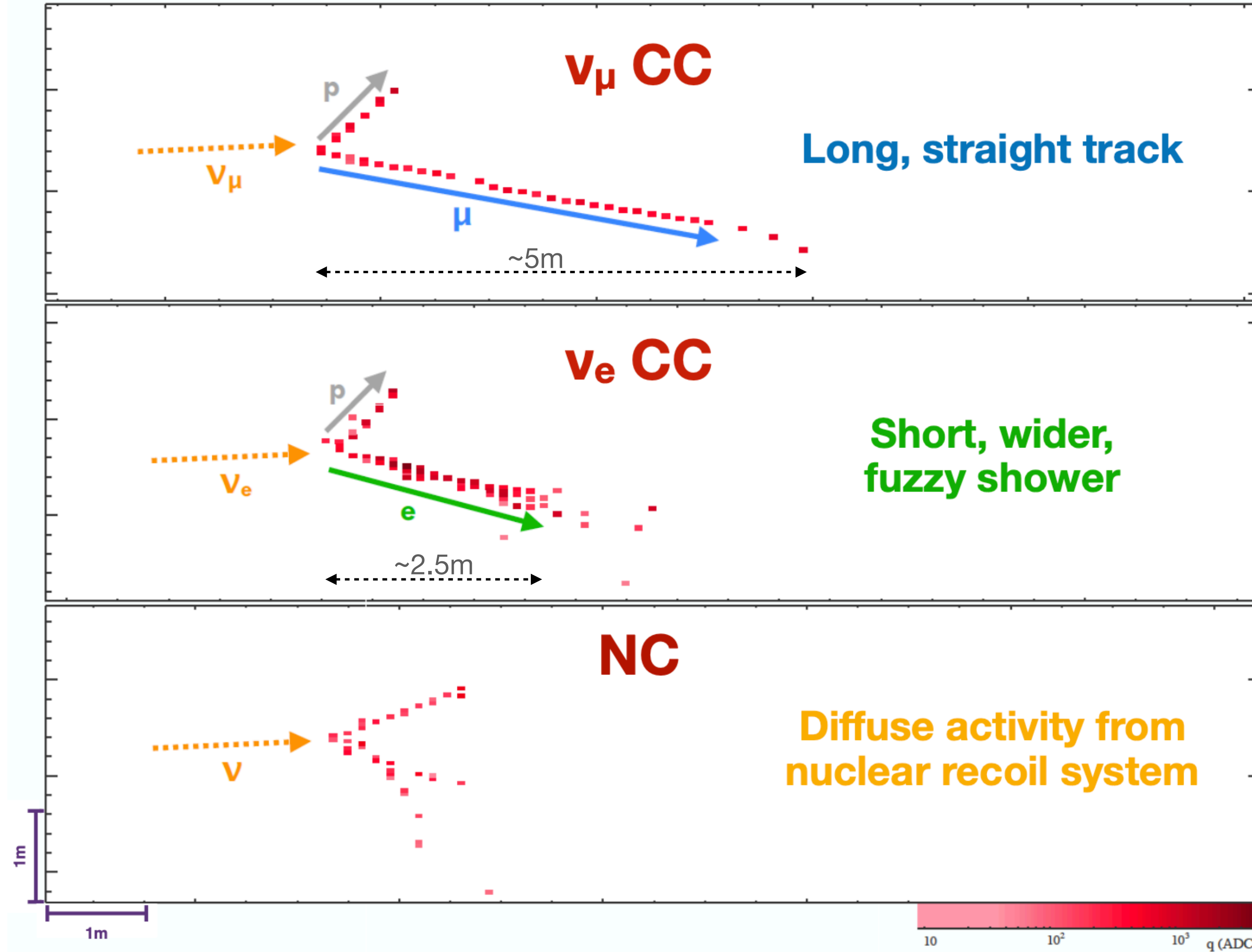
Event Topologies



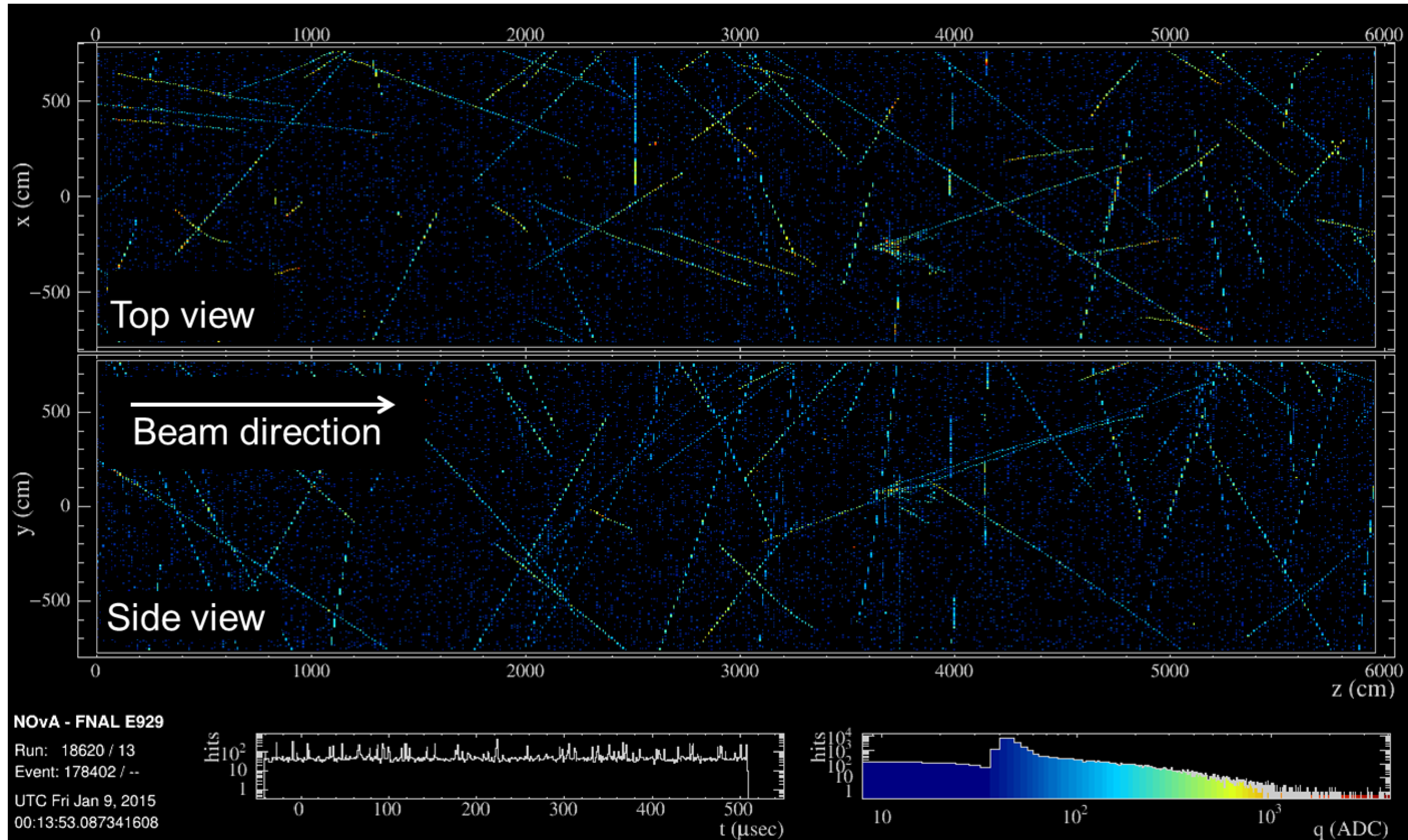
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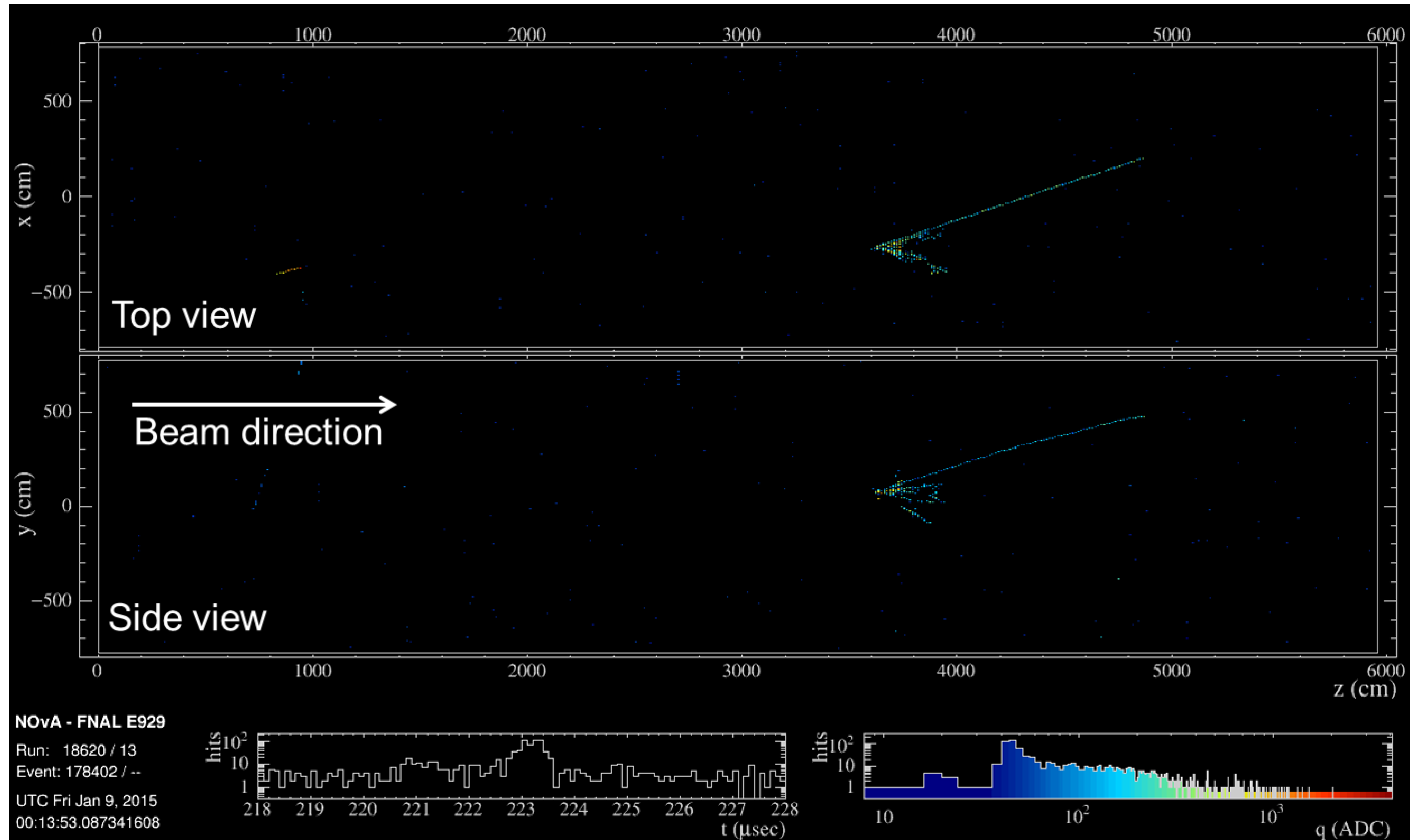
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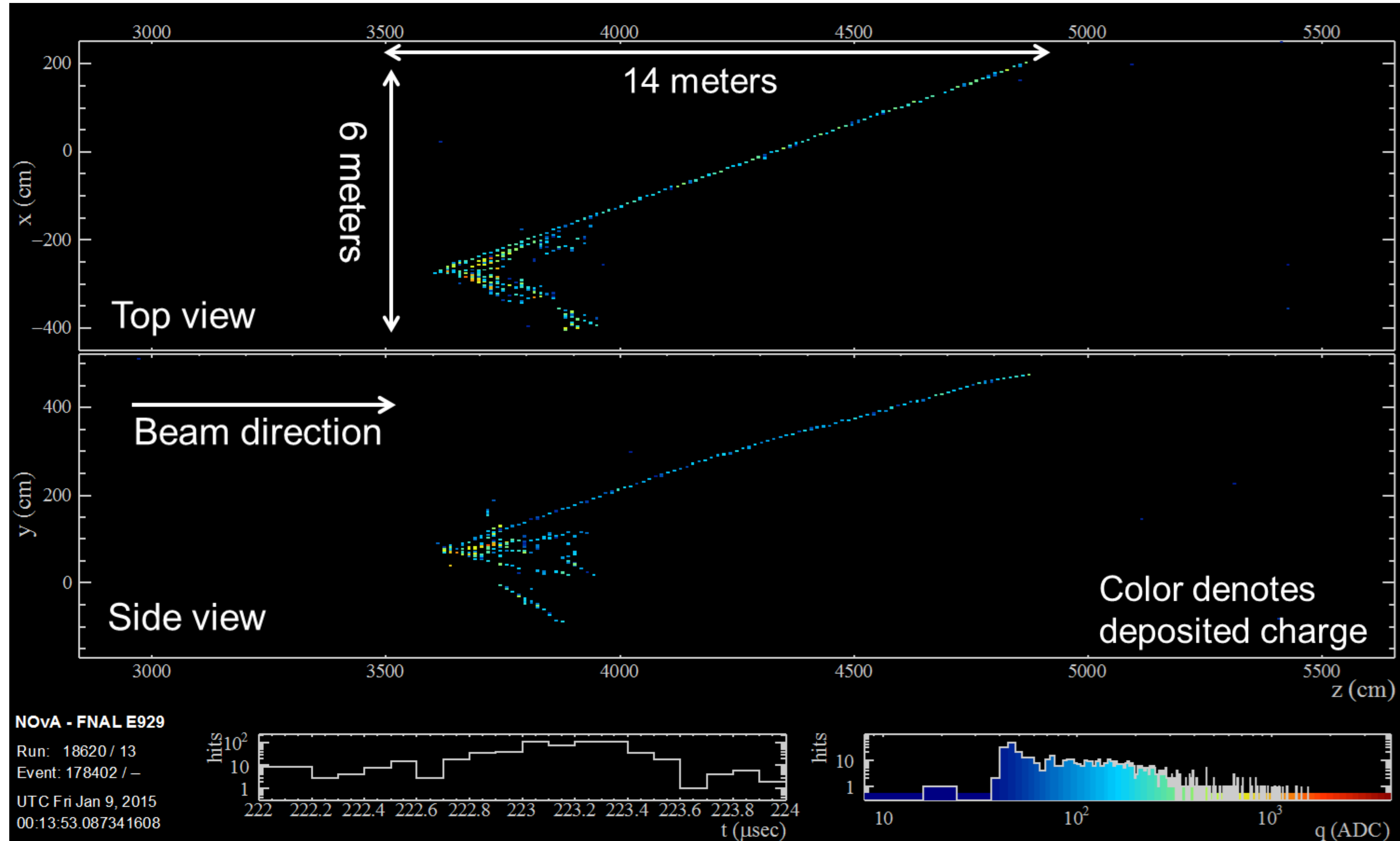
Event Display



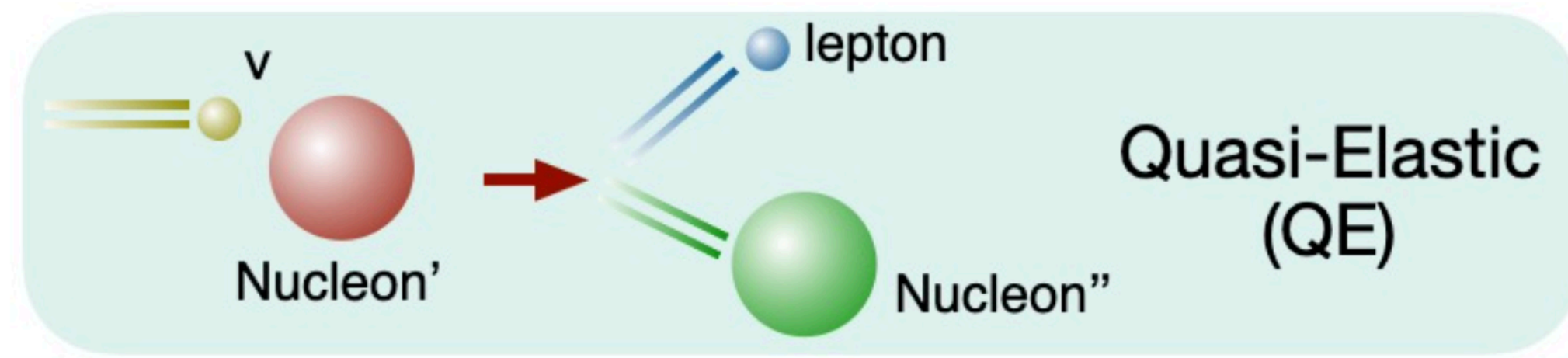
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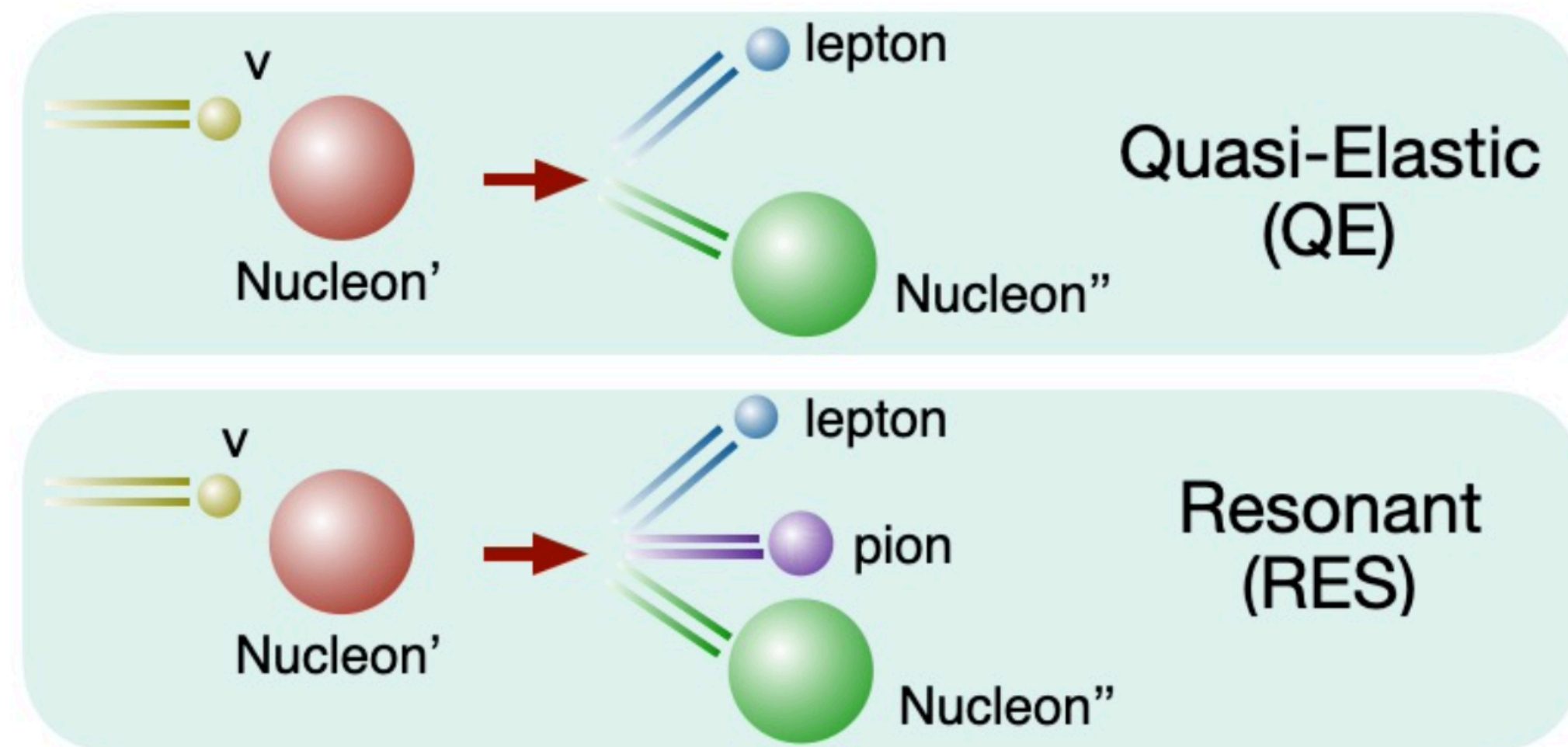
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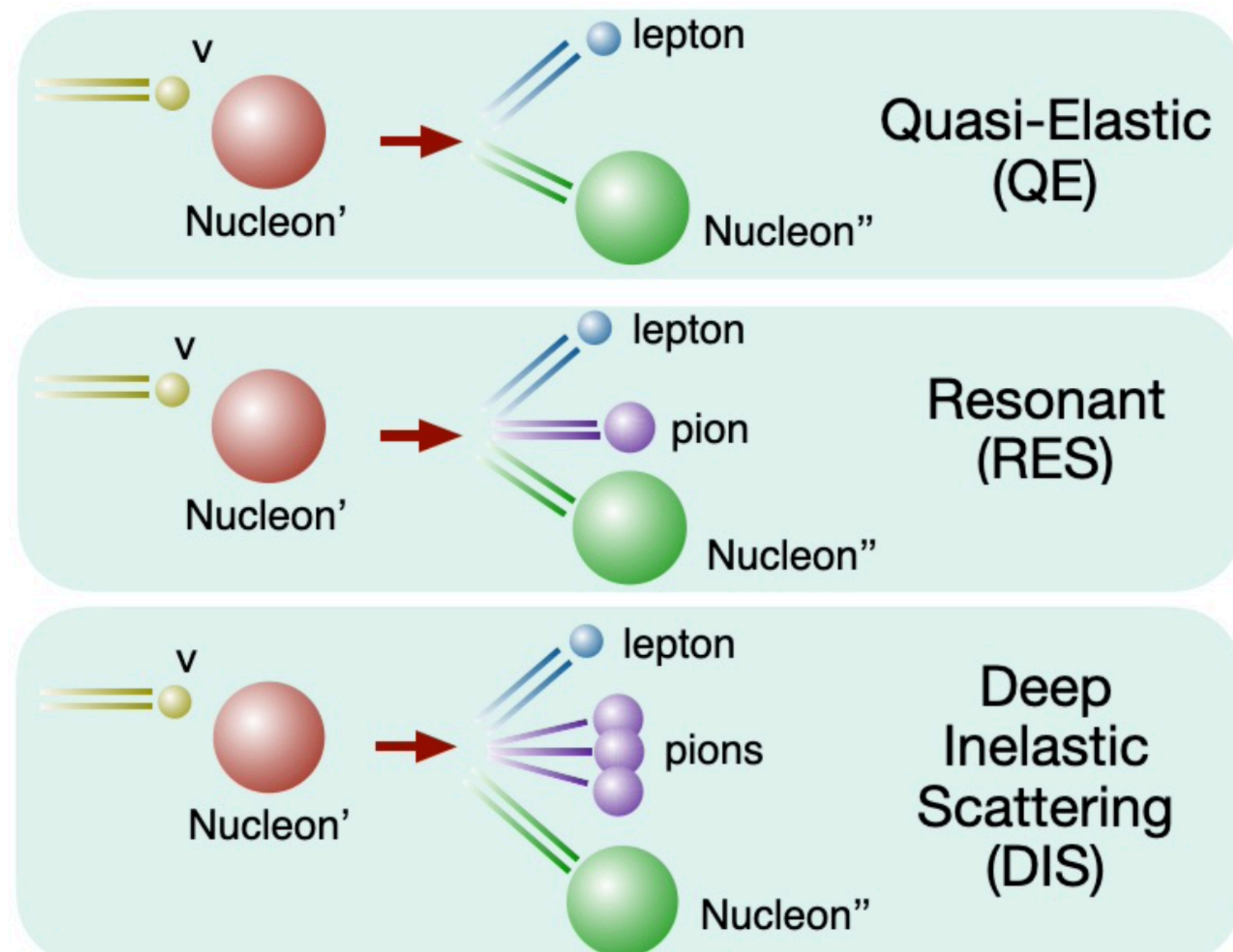
Neutrino Interactions in NOvA



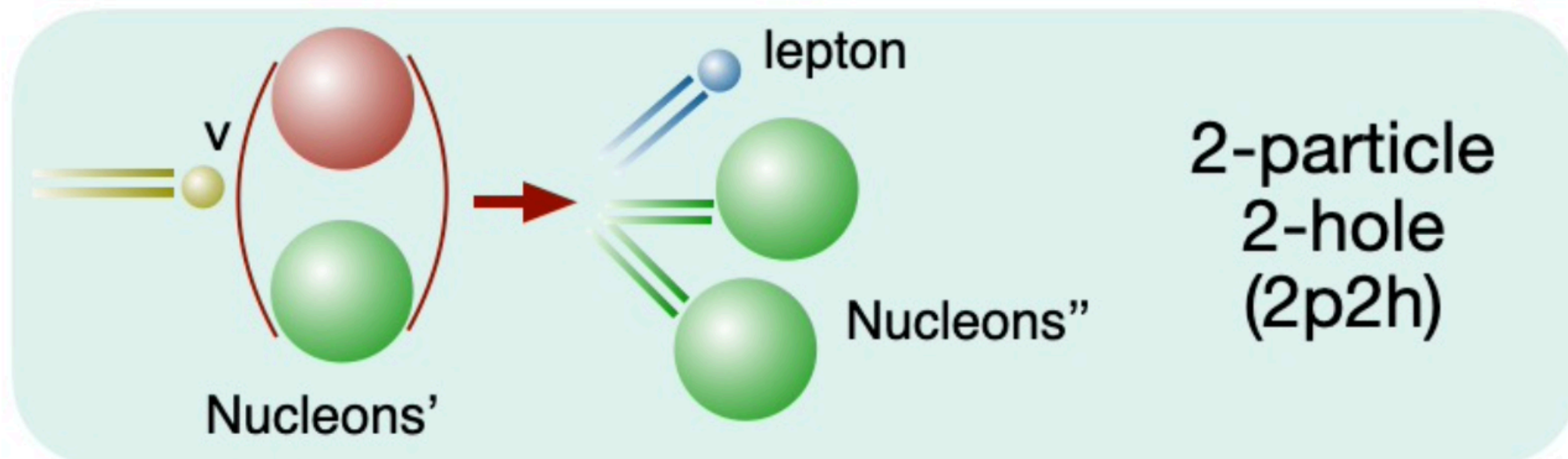
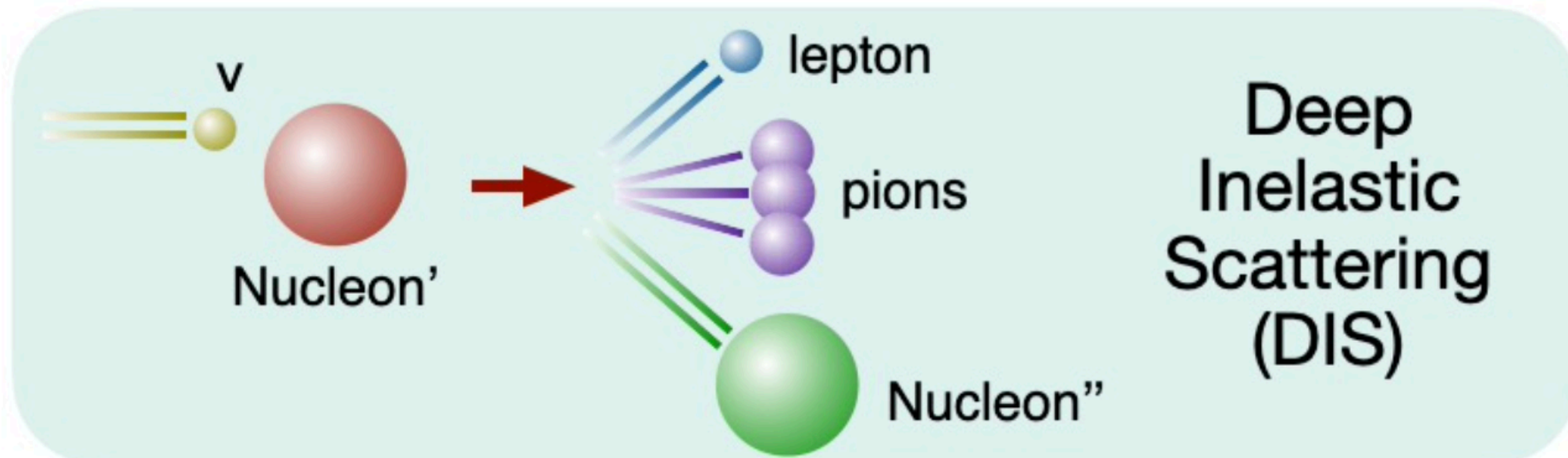
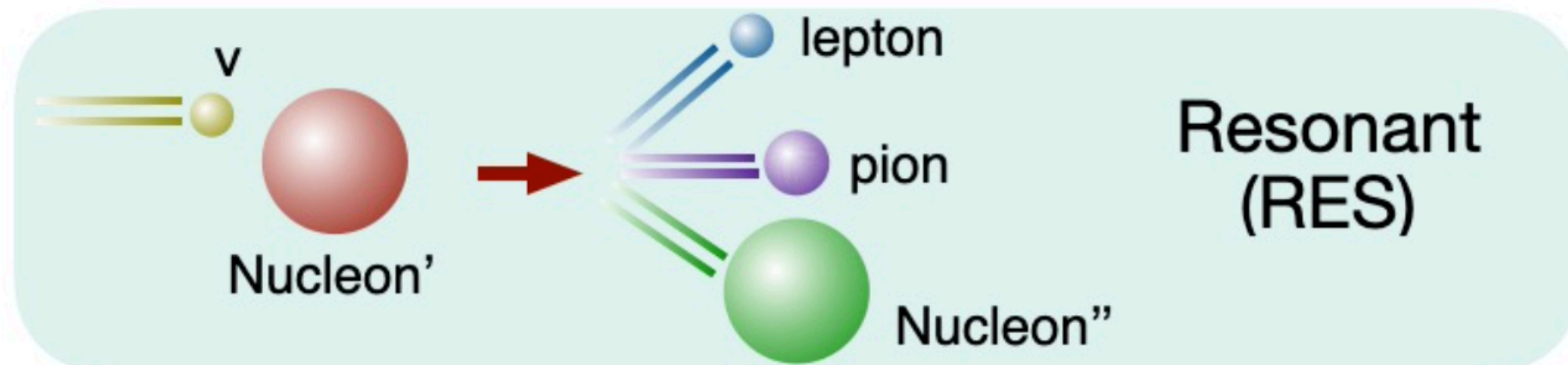
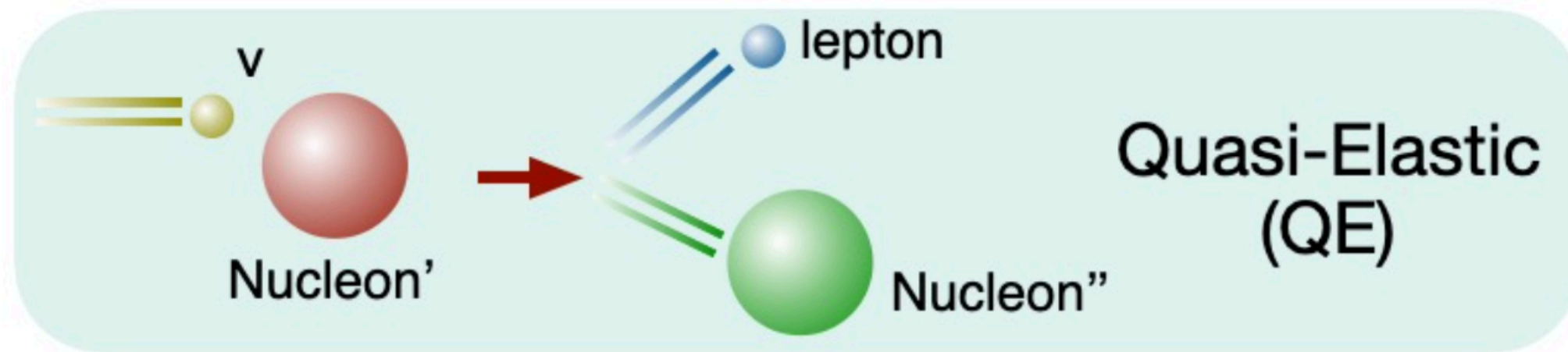
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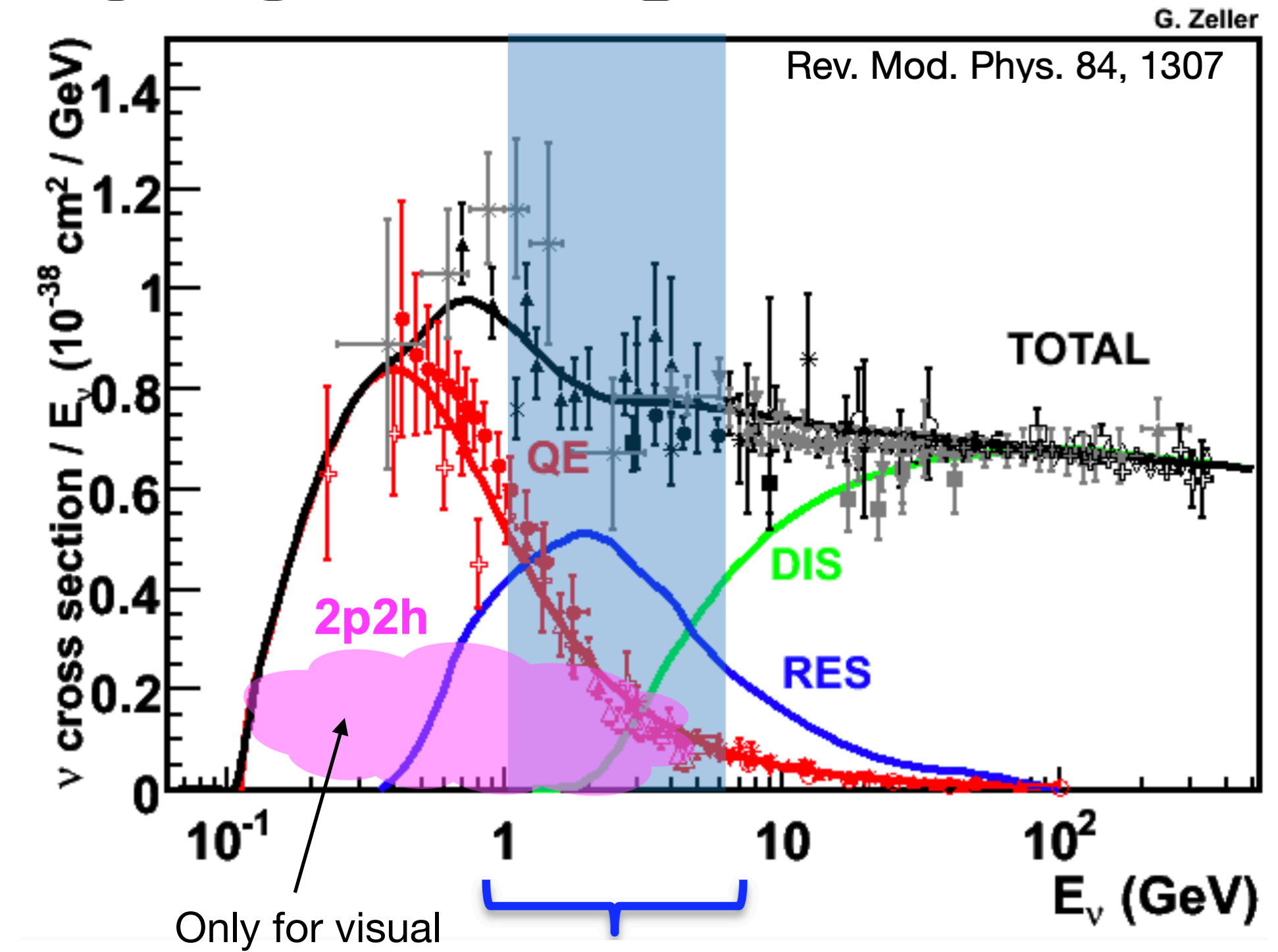
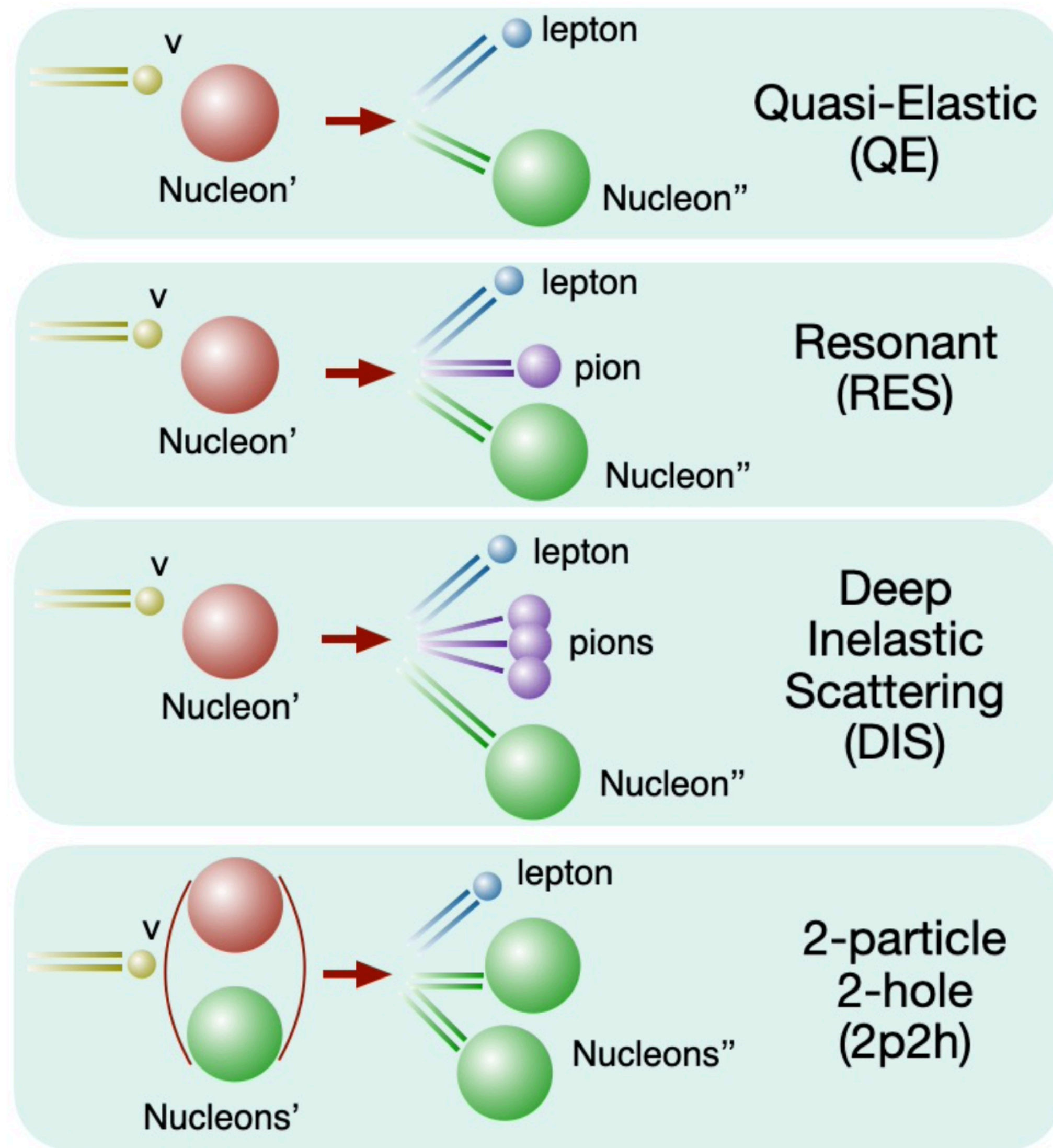
Neutrino Interactions in NOvA



Neutrino Interactions in NOvA



Neutrino Interactions in NOvA



- NOvA sits in the transition region of all interaction types
- Need better understanding of neutrino interactions to reduce systematic uncertainties on oscillation measurements

Why Cross sections are Important?

$$R(x) = \phi(E_\nu) \times \sigma(E_\nu, x) \times \epsilon(x) \times P(\nu_\alpha \rightarrow \nu_\beta)$$

Diagram illustrating the factors contributing to the event rate $R(x)$:

- $R(x)$: Event rate (Measured in detector)
- $\phi(E_\nu)$: Neutrino flux
- $\sigma(E_\nu, x)$: Neutrino-nucleus interaction cross section
- $\epsilon(x)$: Detector response
- $P(\nu_\alpha \rightarrow \nu_\beta)$: Oscillation probability (This is what we want to know)

To get oscillation probabilities from the event rate, we need to know neutrino-nucleus cross section well, along with neutrino flux, and detector response efficiencies

Cross-section Formula

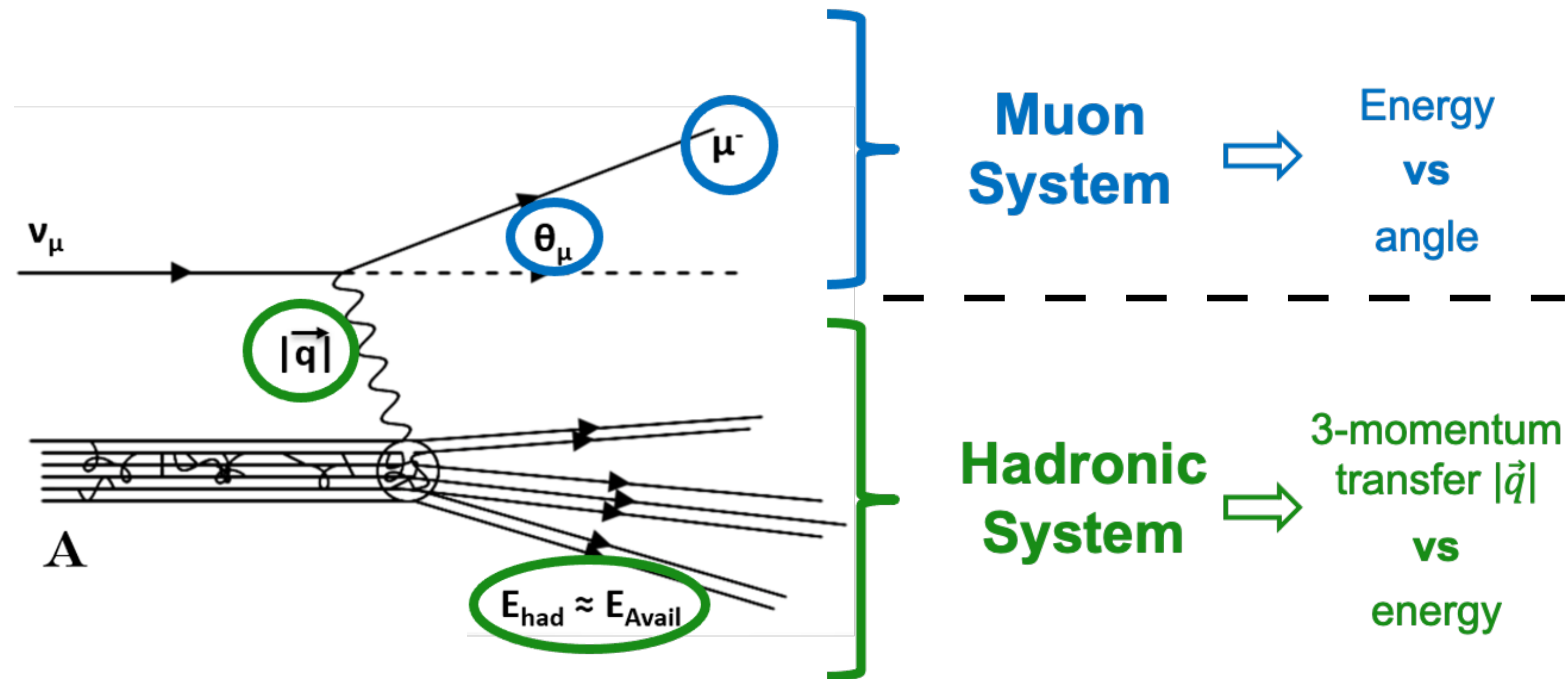
$$\sigma_i = \frac{\sum_j U_{ij} (N_j \times P_j)}{\epsilon_i N_t \phi}$$

Diagram illustrating the Cross-section Formula with labeled components:

- Observed events** (blue arrow) points to N_j (blue).
- Purity** (magenta arrow) points to P_j (magenta).
- Unfolding matrix** (red arrow) points to U_{ij} (red).
- Efficiency** (magenta arrow) points to ϵ_i (magenta).
- Number of target** (red arrow) points to N_t (red).
- Neutrino beam flux** (blue arrow) points to ϕ (blue).

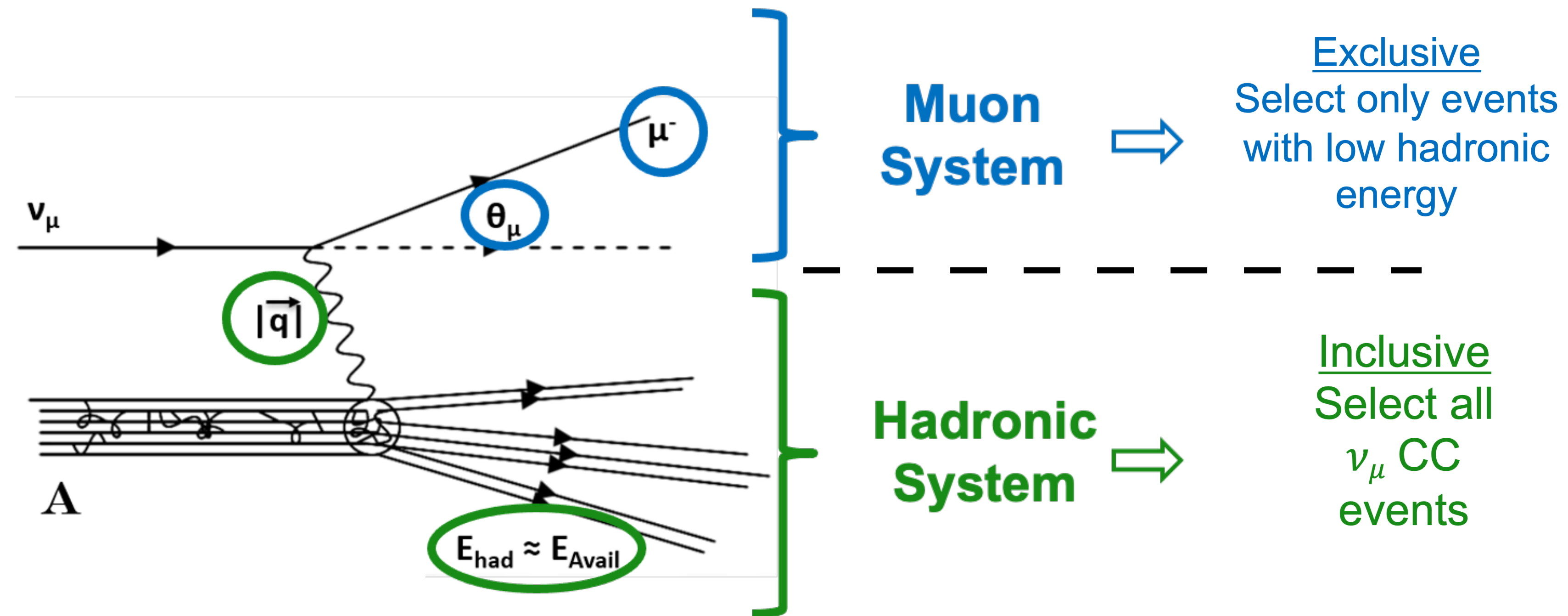
Two New ν_μ CC Cross-section Analyses

- Double differential cross sections



Two New ν_μ CC Cross-section Analyses

- Double differential cross sections
- Both focus on 2p2h interactions

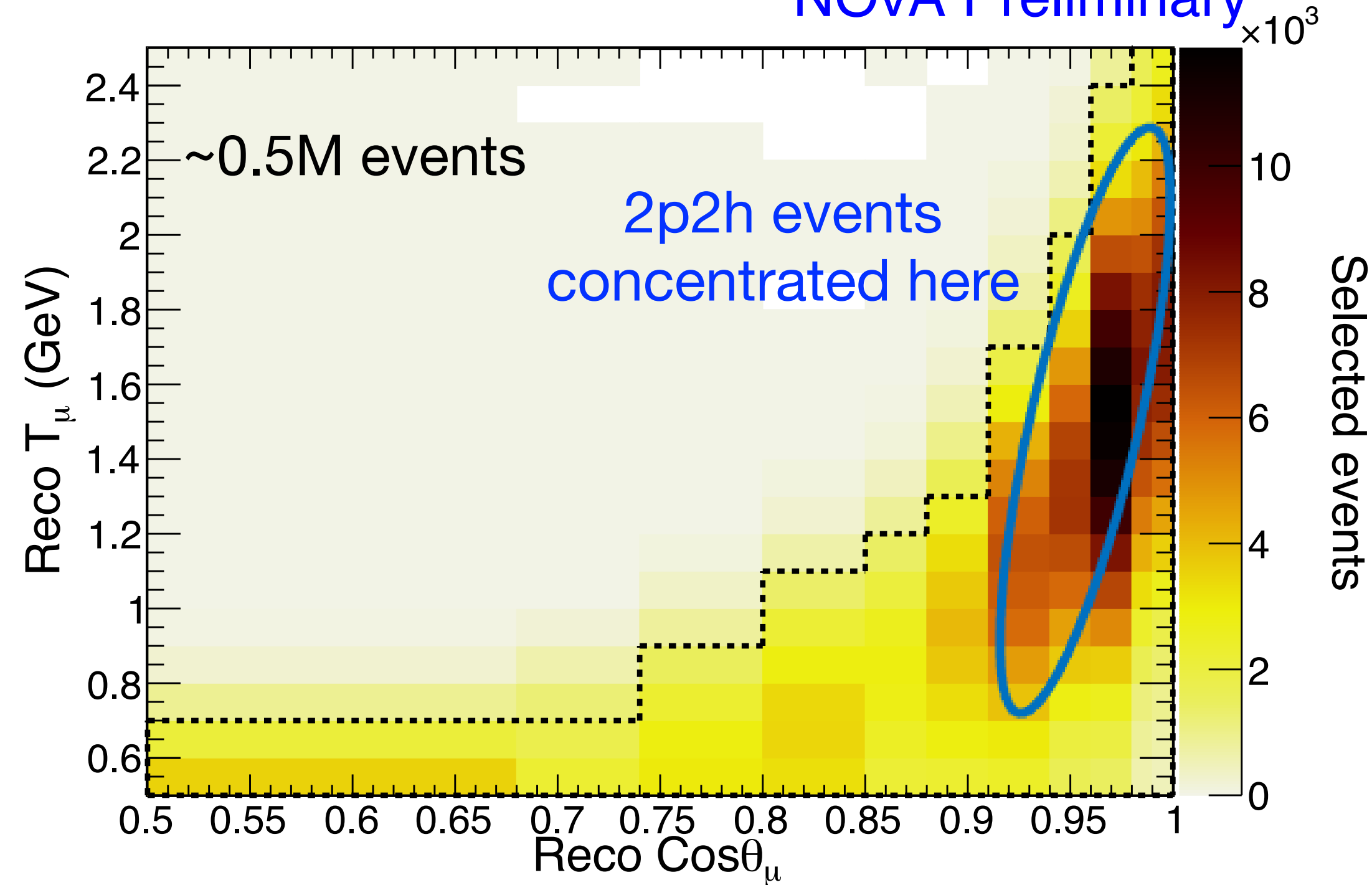


Both built on previous ν_μ CC inclusive measurement [Phys. Rev. D 107, 052011 \(2023\)](#)

Muon System

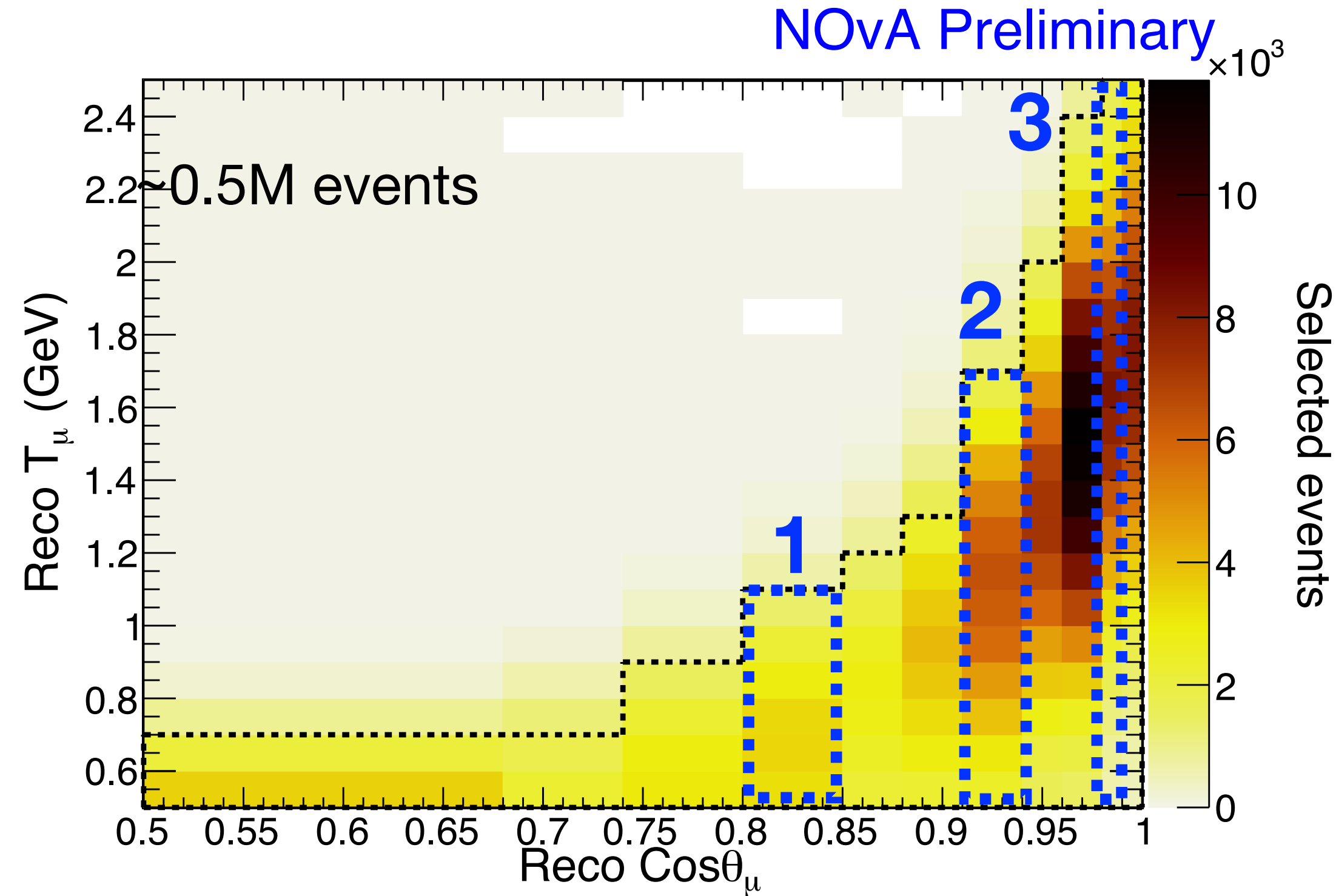
- Exactly one reconstructed track associated with outgoing muon (low E_{had})
- Boosts 2p2h, reduces DIS and RES interactions

NOvA Preliminary

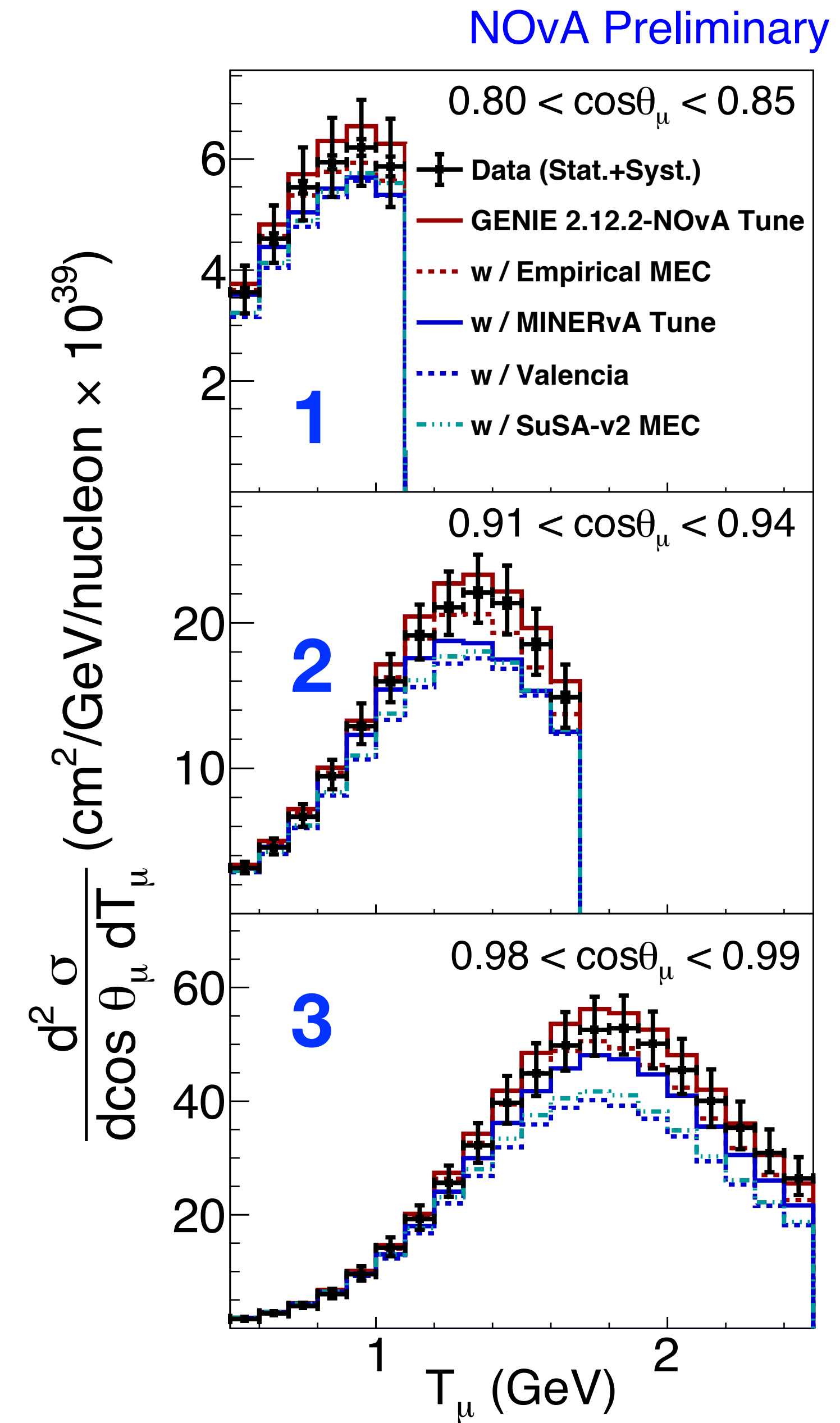


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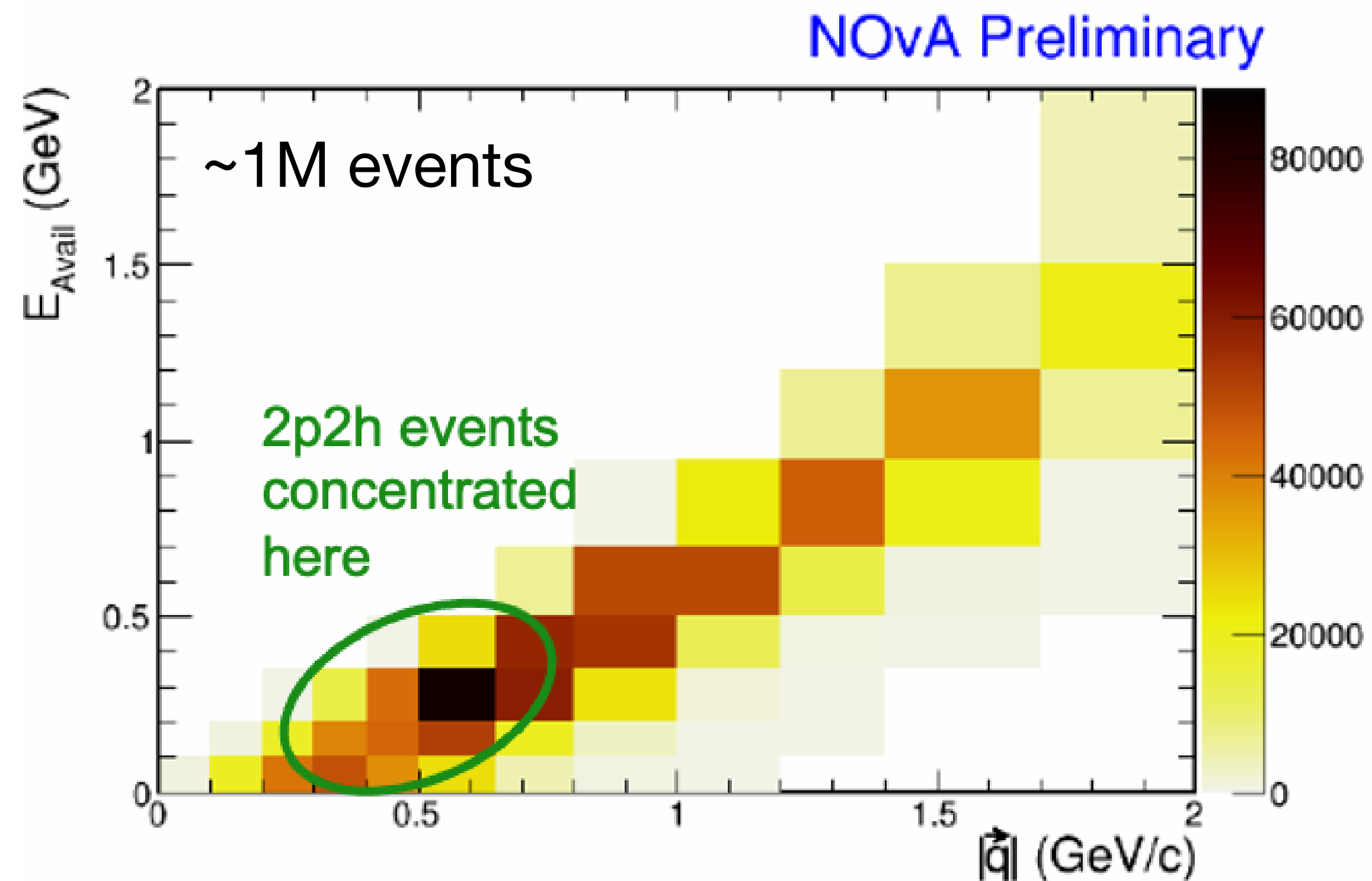


- ~12-15% uncertainties dominated by flux systematics



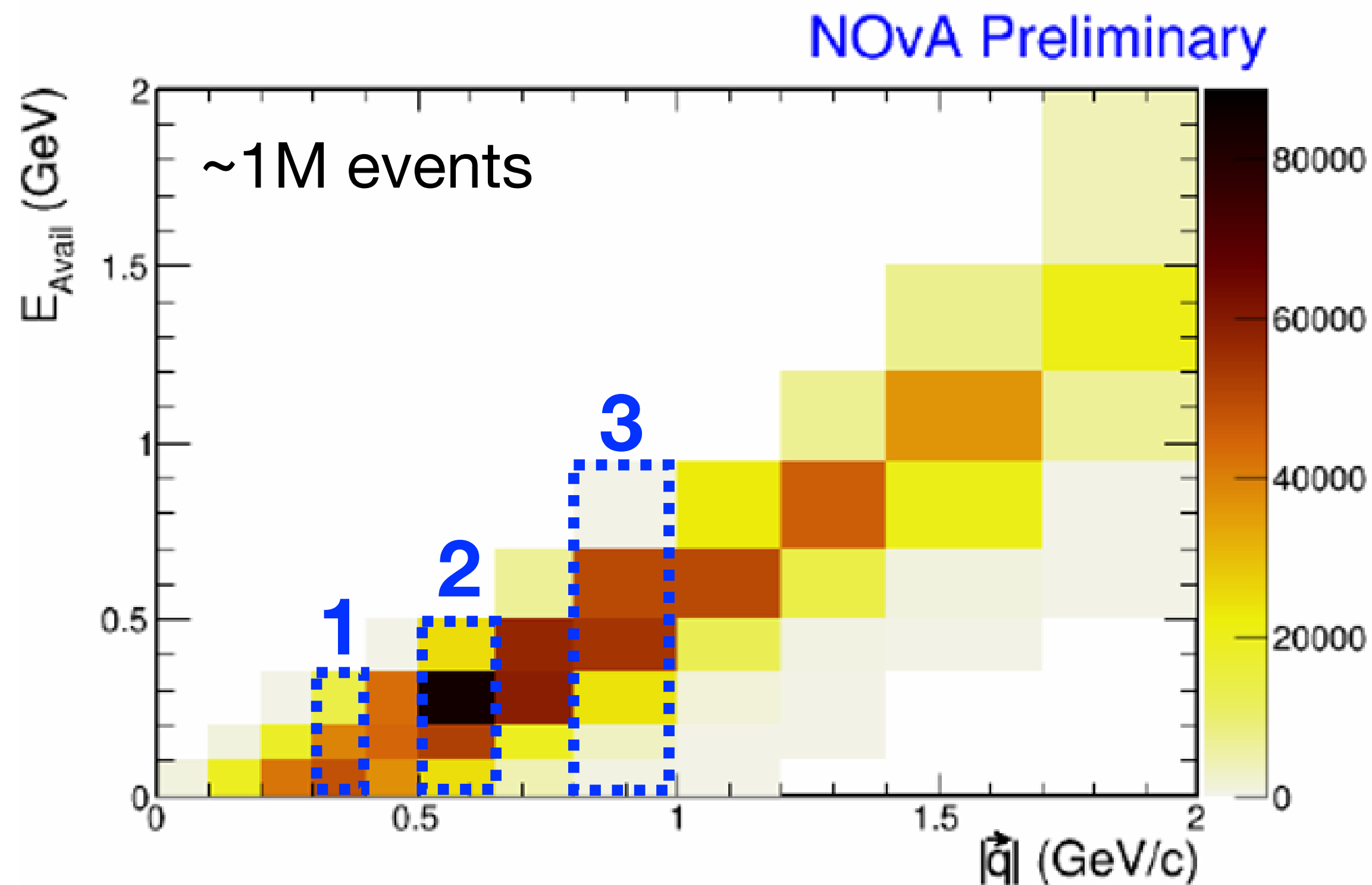
Hadronic System

- NOvA's first measurement in $|\vec{q}|$ and E_{avail}
- 2p2h concentrated at low values

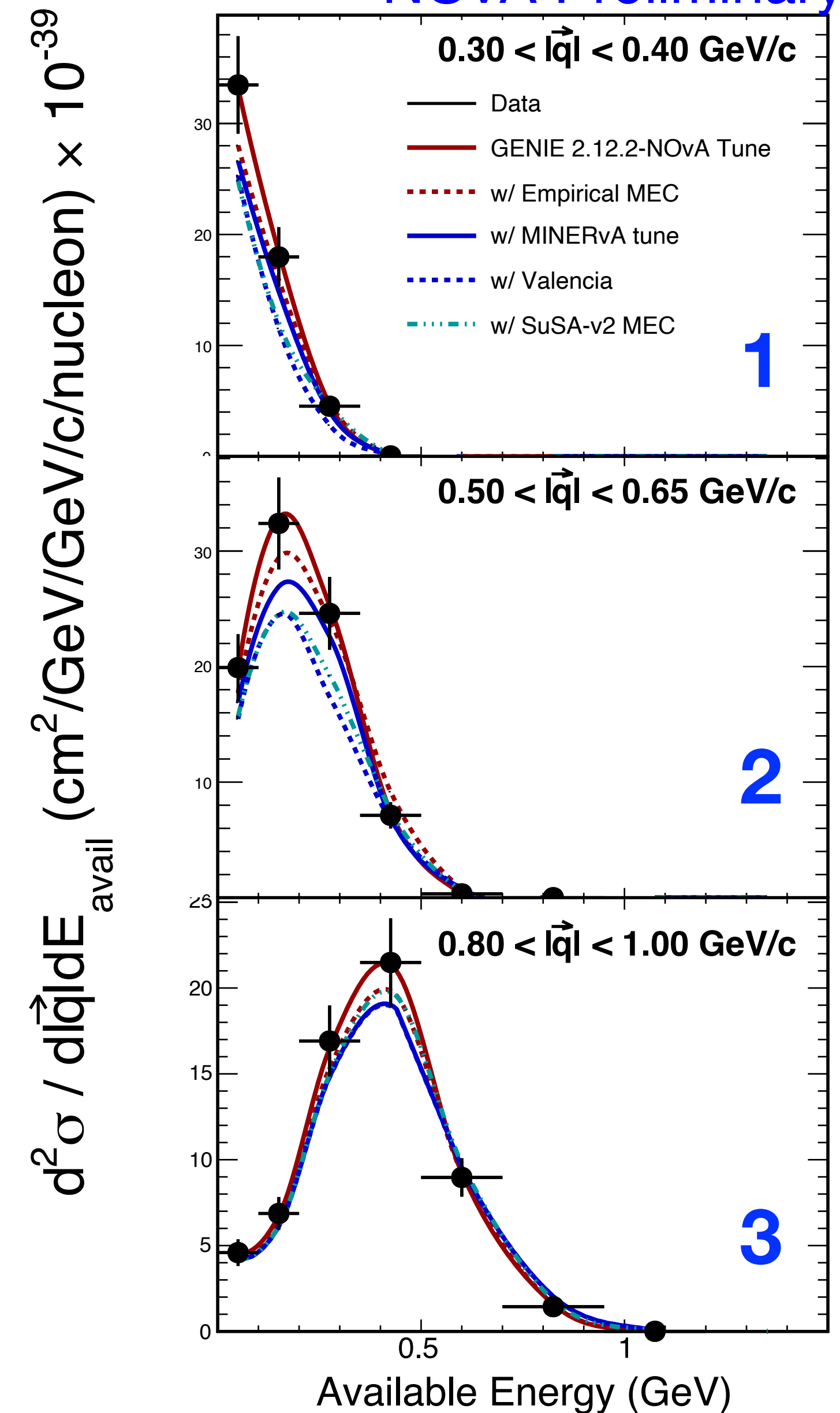


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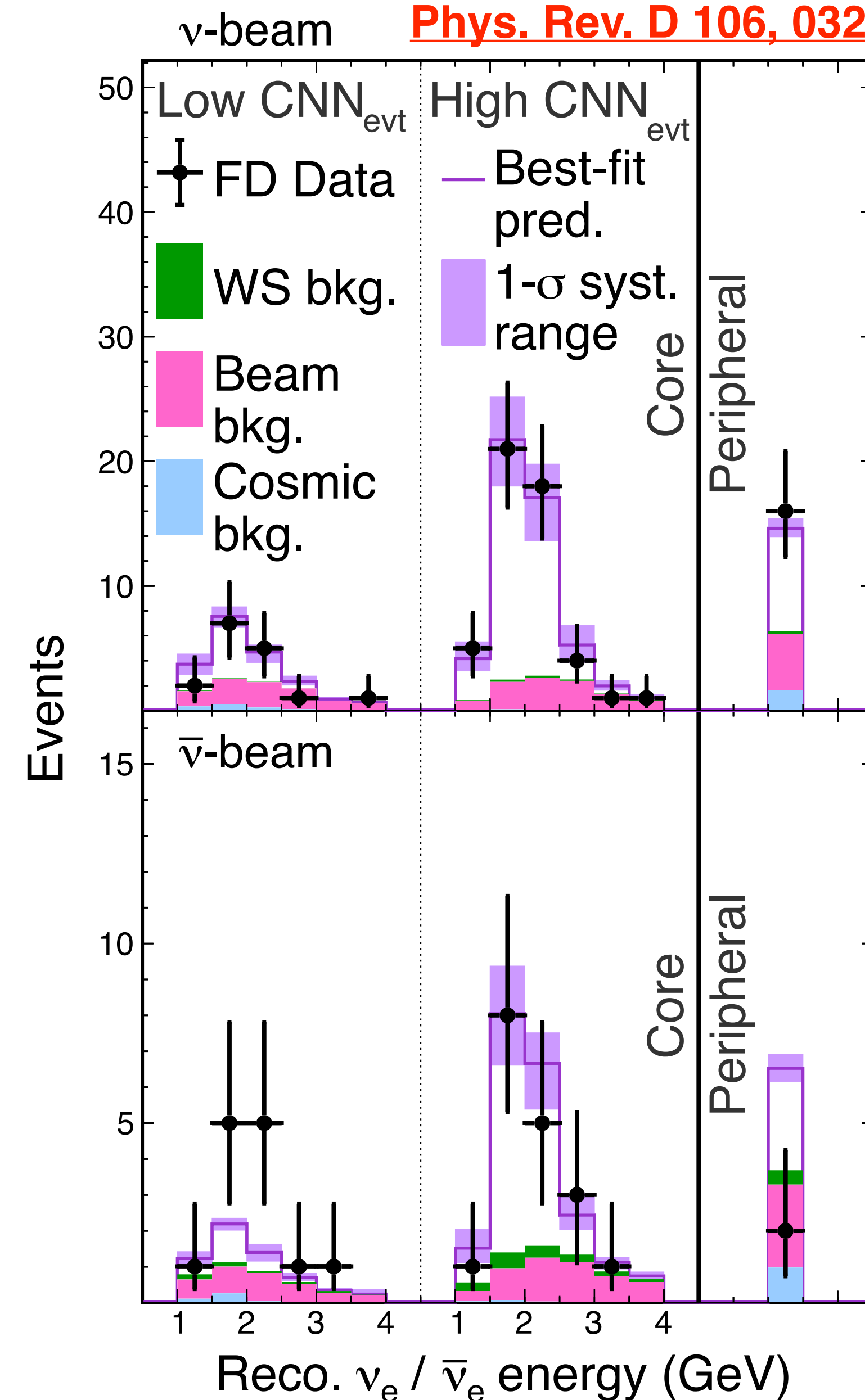
- $\sim 12\%$ uncertainties dominated by flux systematics



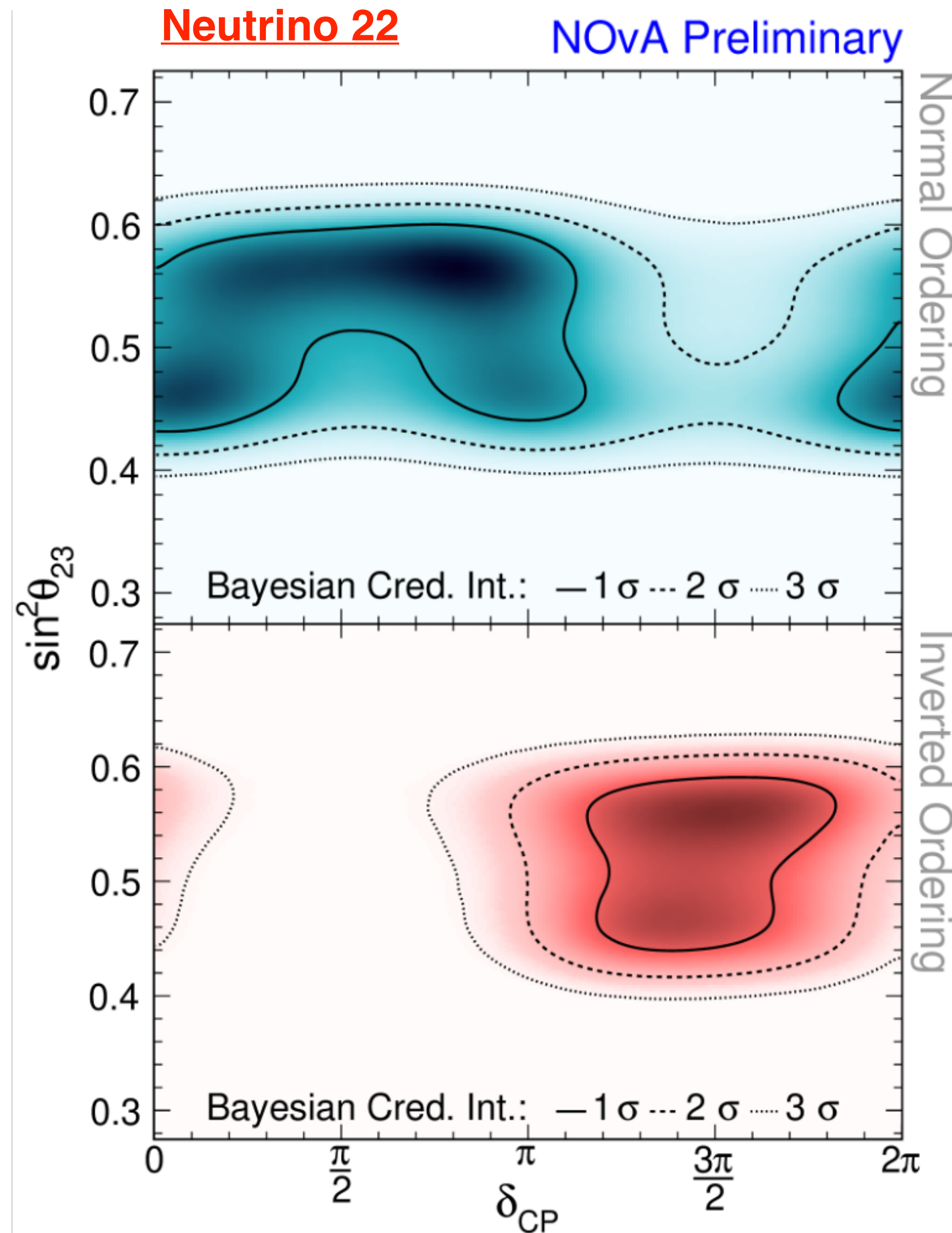
Oscillation Results

Far Detector ν_e and $\bar{\nu}_e$ Data

- Observe
 - 82 ν_e candidates (27 bkg)
 - 33 $\bar{\nu}_e$ candidates (14 bkg)
- Large significance of ν_e appearance
- $>4\sigma$ evidence of $\bar{\nu}_e$ appearance



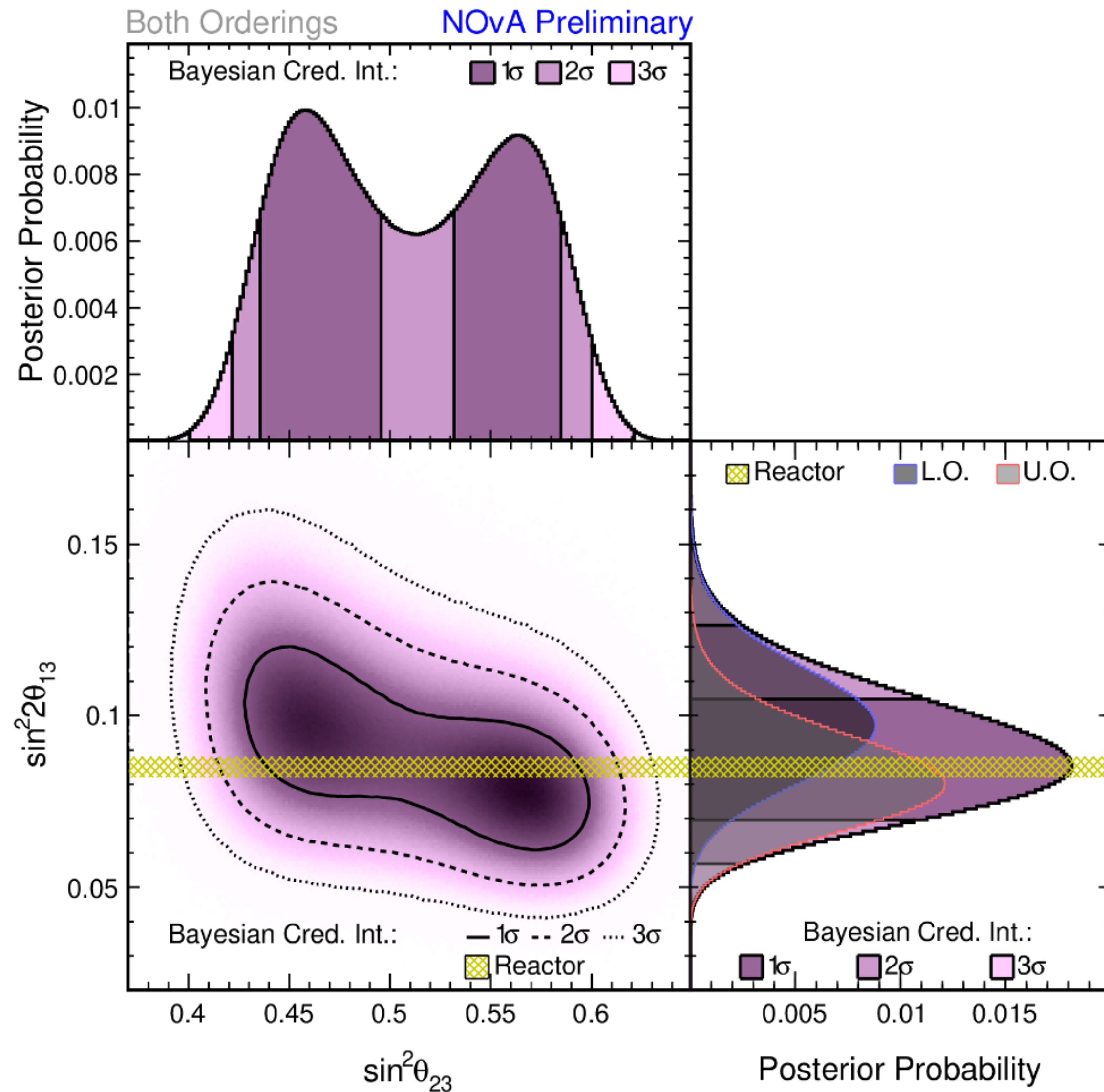
Bayesian Treatment



- Markov Chain MC bayesian analysis
- Alternative method of analyzing same dataset
- Same conclusions as the frequentist approach
- Slight preference to upper octant and normal ordering of neutrino masses
- Exclude inverted ordering, $\delta_{cp} = \pi/2$ at $> 3\sigma$

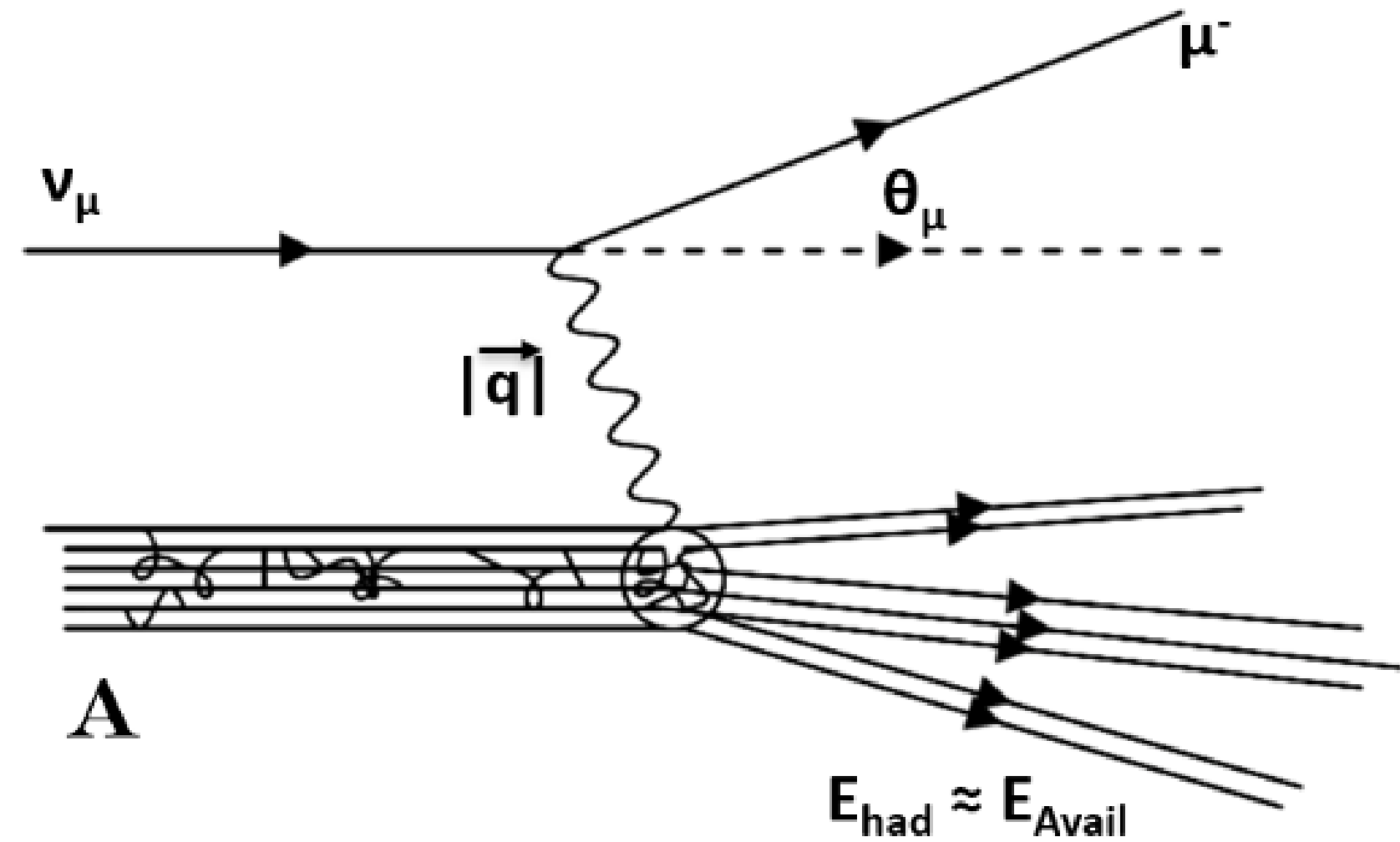
NOvA-only θ_{13} and θ_{23} Results

Neutrino 22

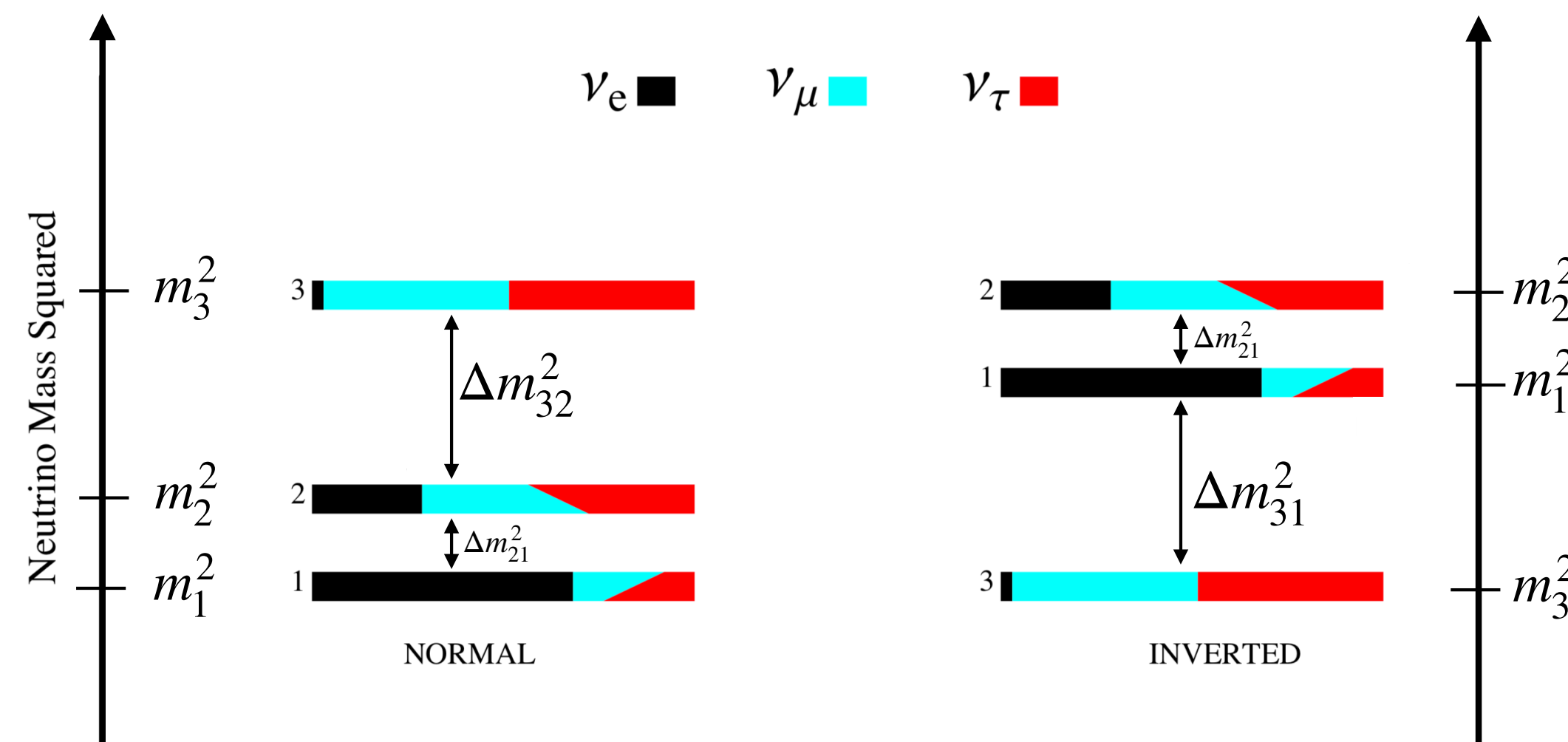


- Larger θ_{13} prefers lower octant for θ_{23} and vice verse
- Normally we use reactor θ_{13} constraint in oscillation fit from PDG
- Here θ_{13} is measured by NOvA using bayesian analysis
- $\sin^2 2\theta_{13} = 0.085^{+0.020}_{-0.016}$
- Consistent results with reactor measurements

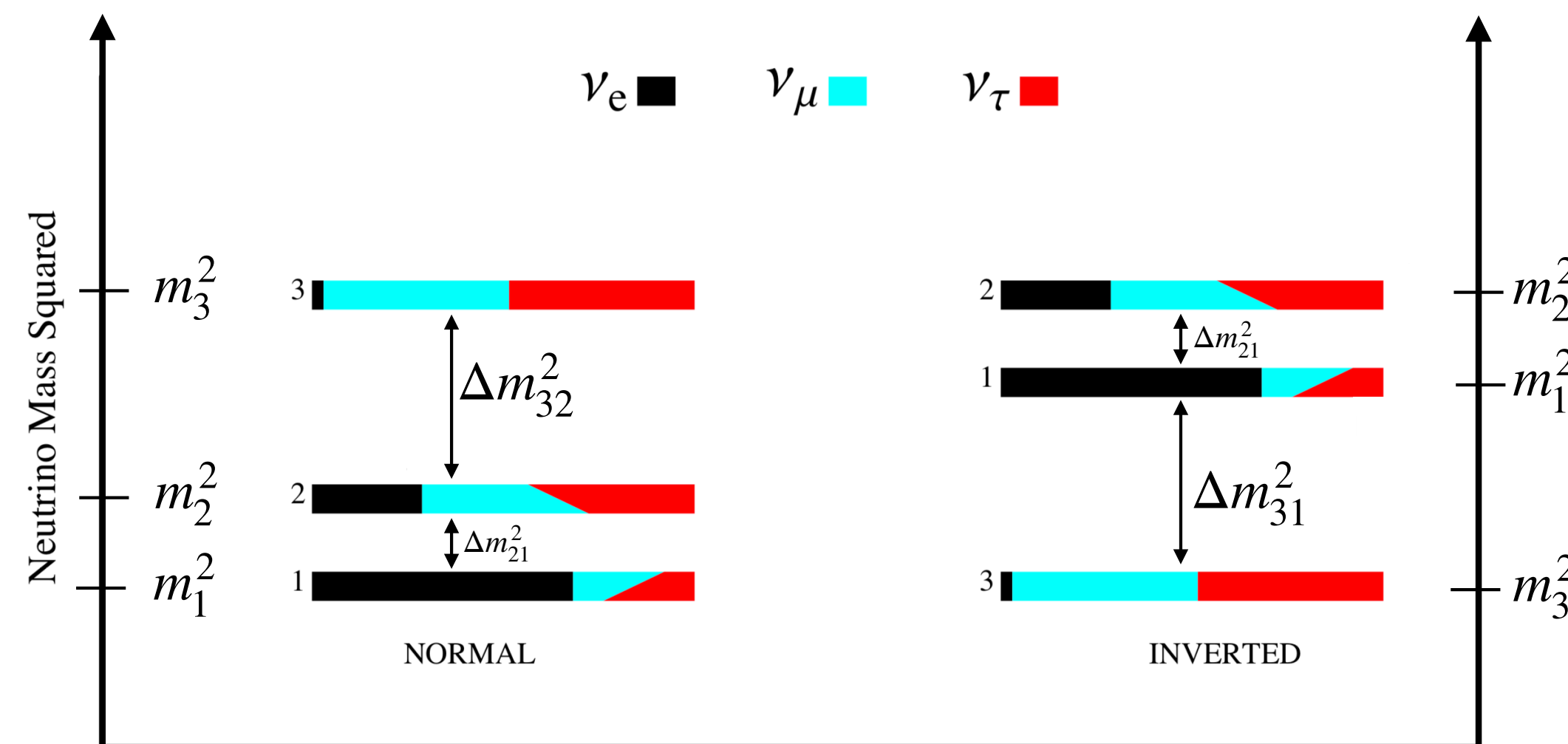
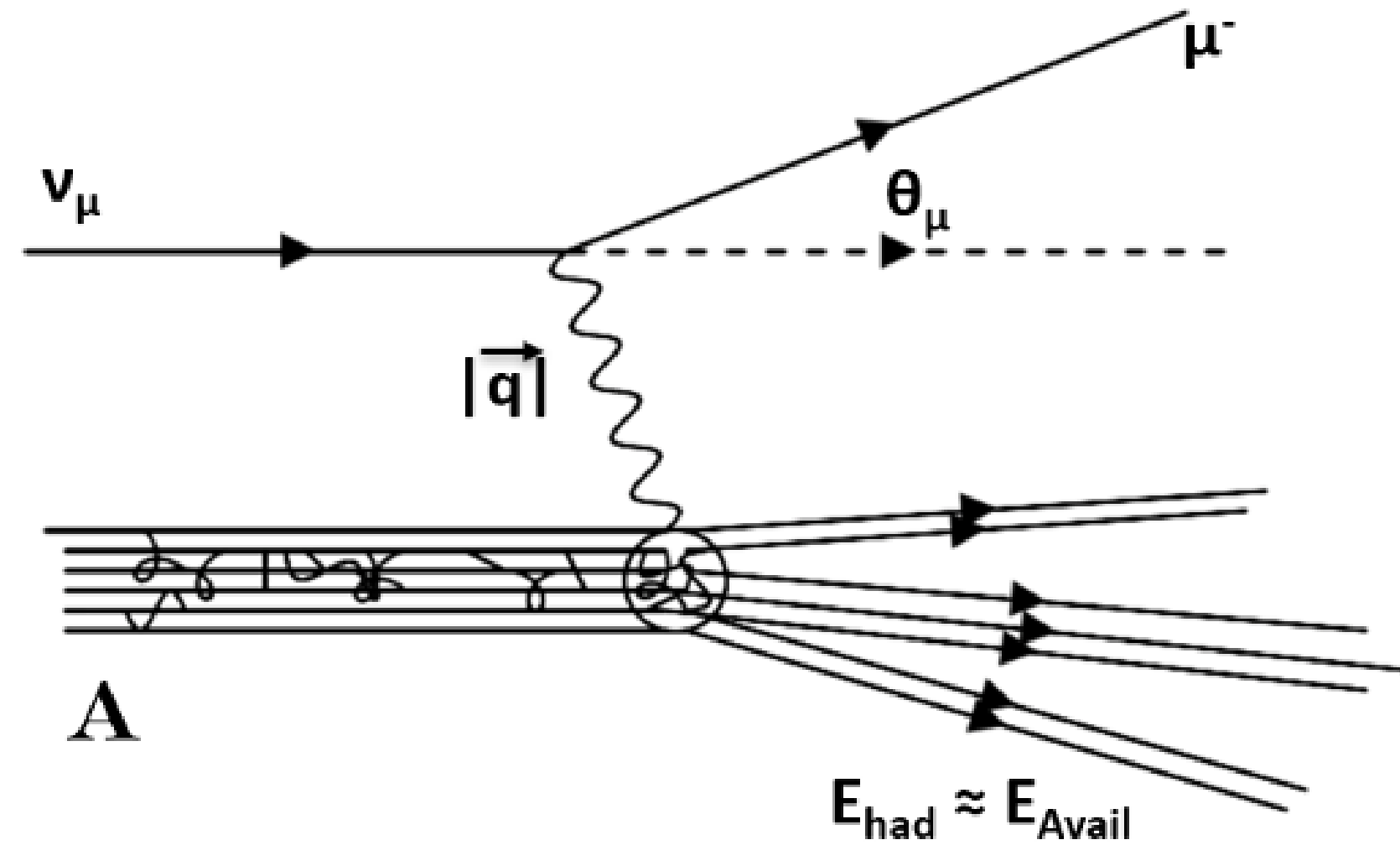
Conclusions and Outlook



- We presented two new cross-section measurements sensitive to 2p2h
- Slight preference to upper octant and normal ordering of neutrino masses
- Asymmetry in $\nu_e - \bar{\nu}_e$ appearance consistent with zero



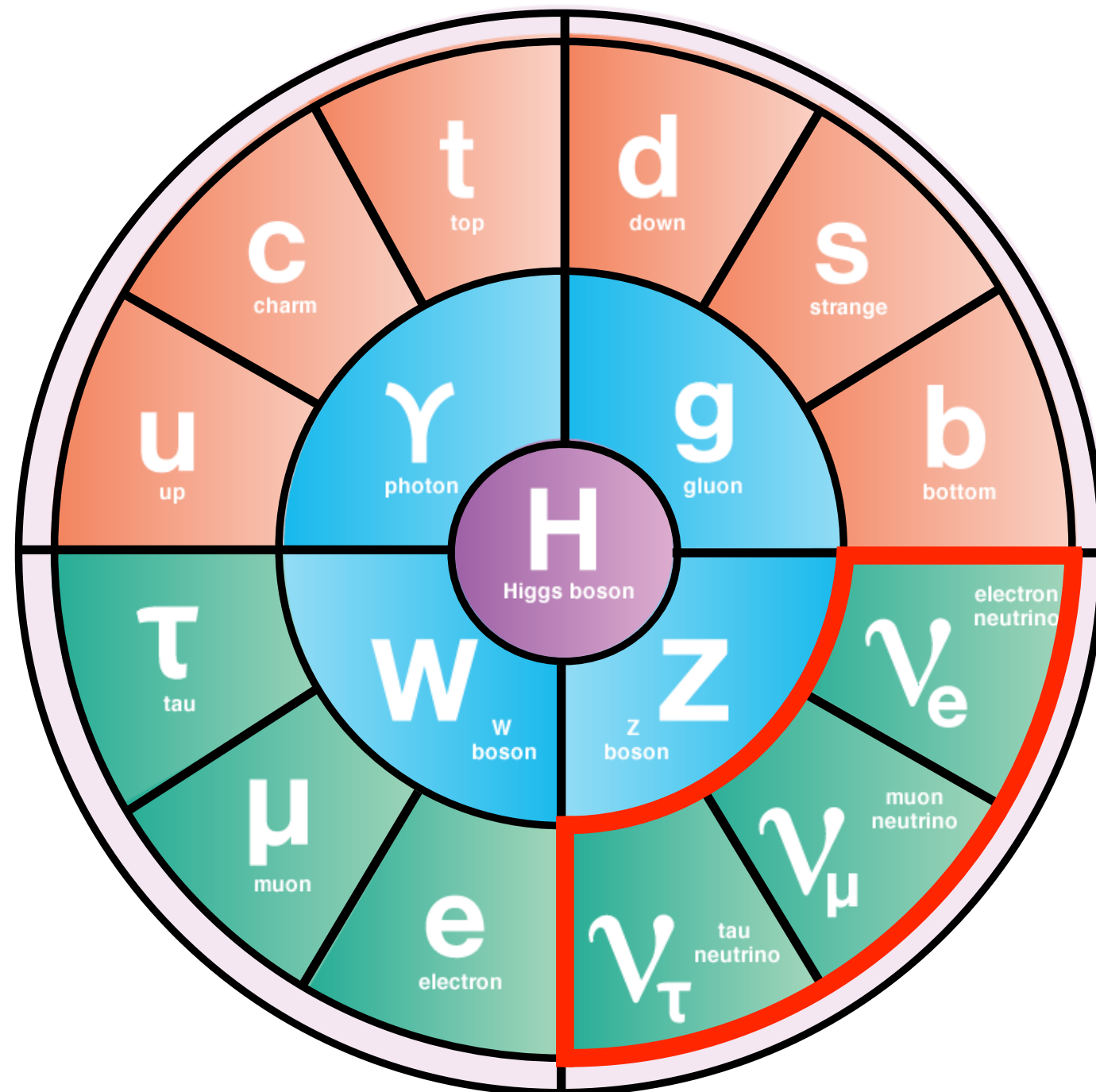
Conclusions and Outlook



- We presented two new cross-section measurements sensitive to 2p2h
- Slight preference to upper octant and normal ordering of neutrino masses
- Asymmetry in $\nu_e - \bar{\nu}_e$ appearance consistent with zero
- Antineutrino beam cross-section measurements are in progress
- NOvA and T2K are working towards joint fit results
- Much new data and results to come

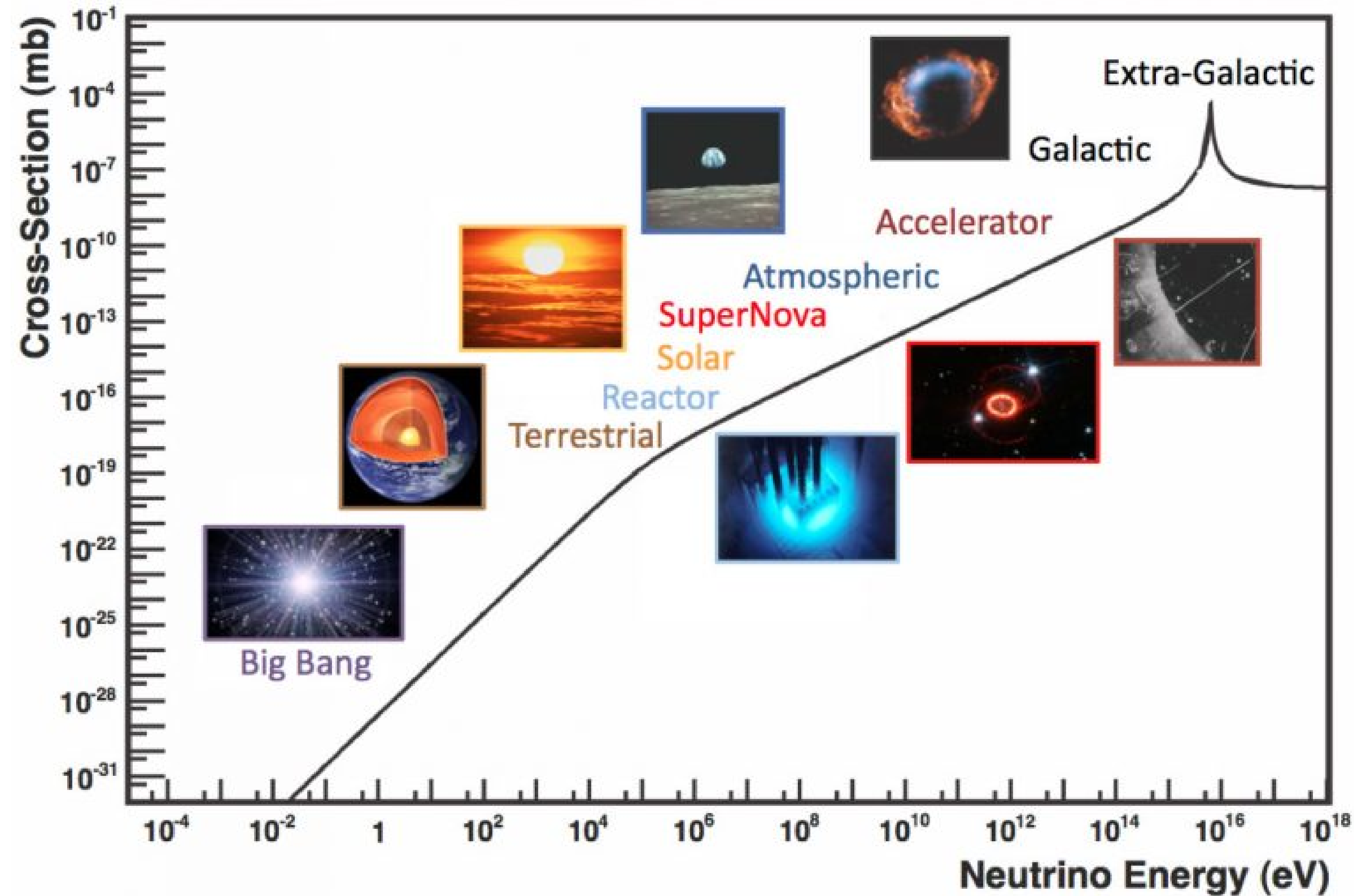
Backup

Brief Introduction to Neutrinos

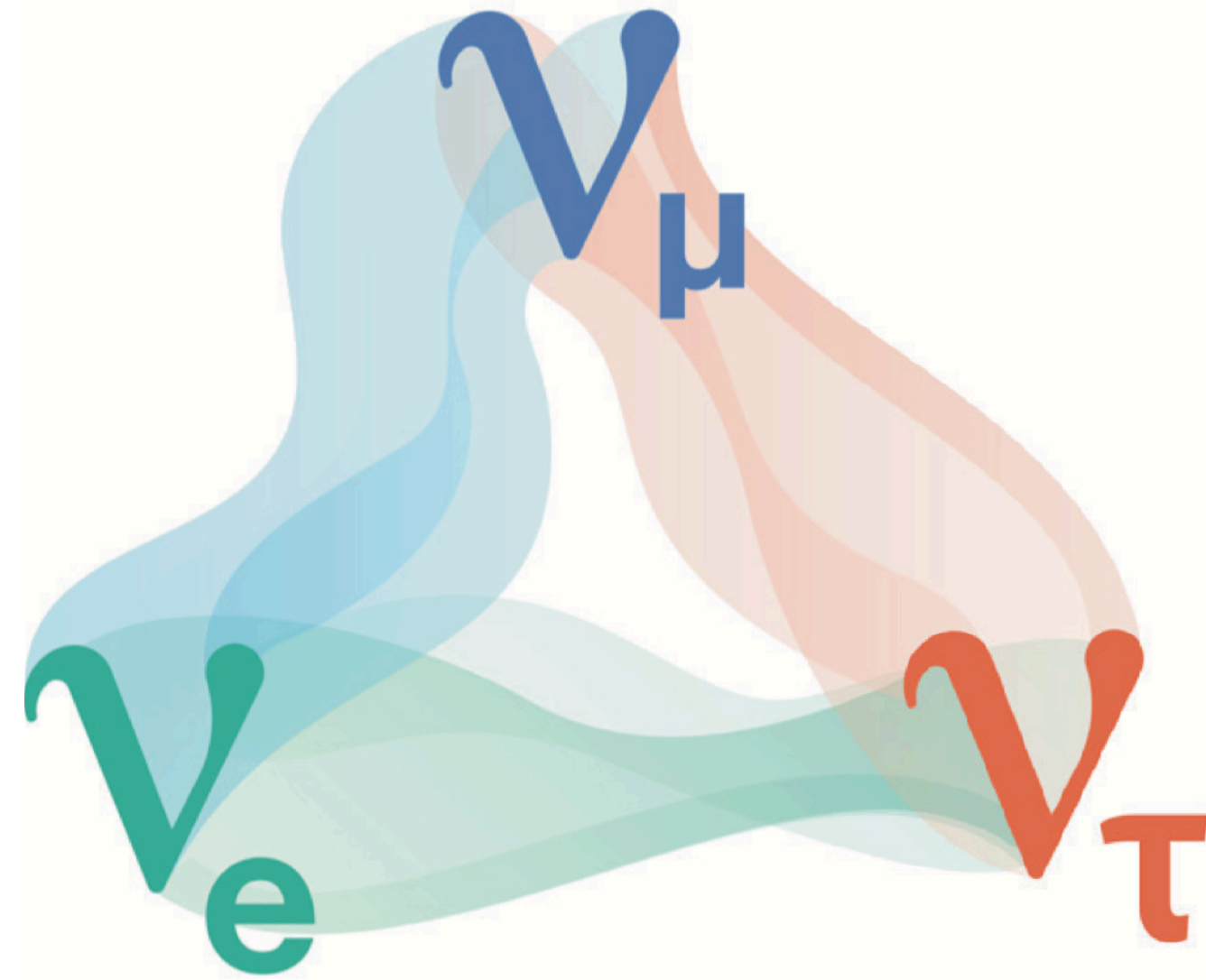


- The most abundant particles in the Universe after photon
- Postulated in 1930 by W. Pauli to explain the continuous spectrum of beta decay
- Charge-less, spin 1/2, weakly interacting and massless in the Standard Model (SM)
- Three generations: ν_e , ν_μ and ν_τ (and anti-neutrinos)

Sources

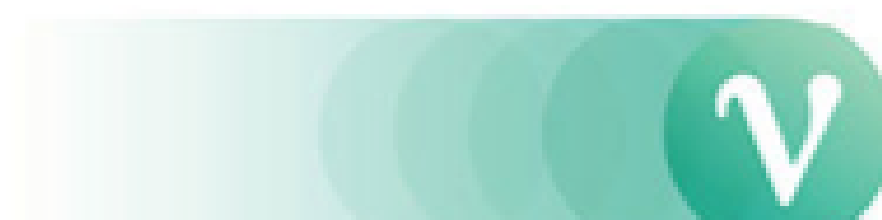
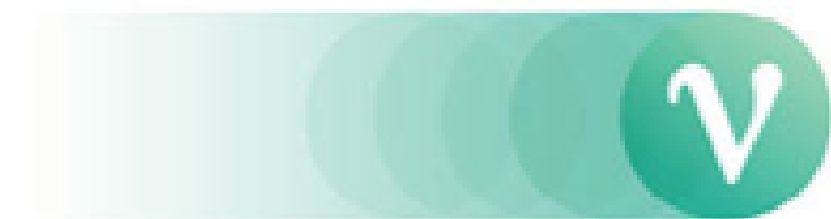
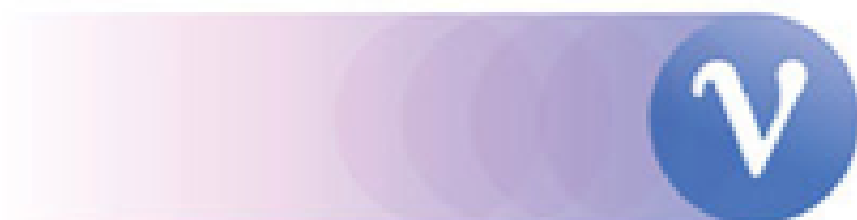


Oscillation Phenomenology

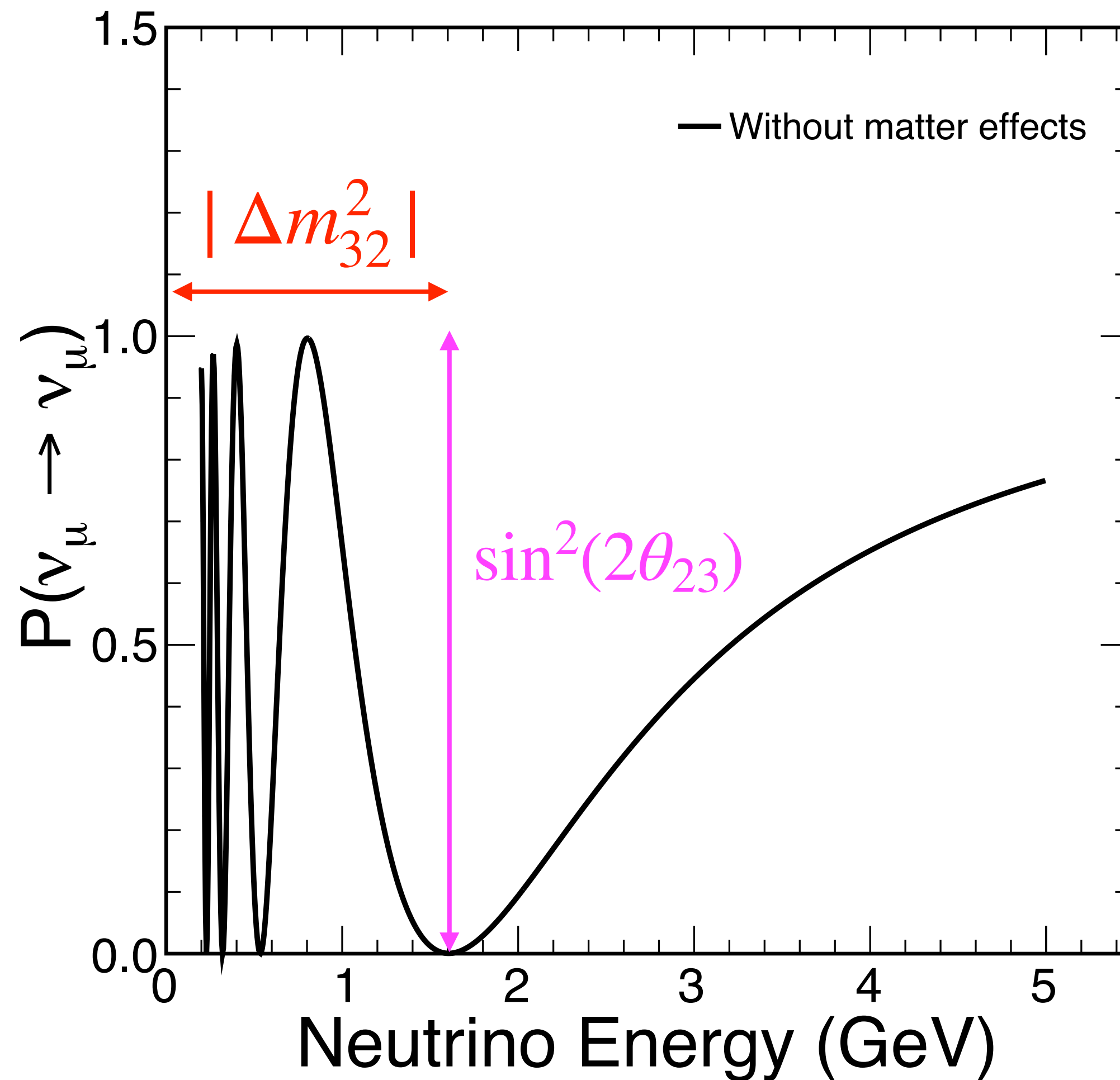


$$|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle$$

- Neutrinos oscillate between different flavors
- Oscillation implies non-zero masses of neutrinos



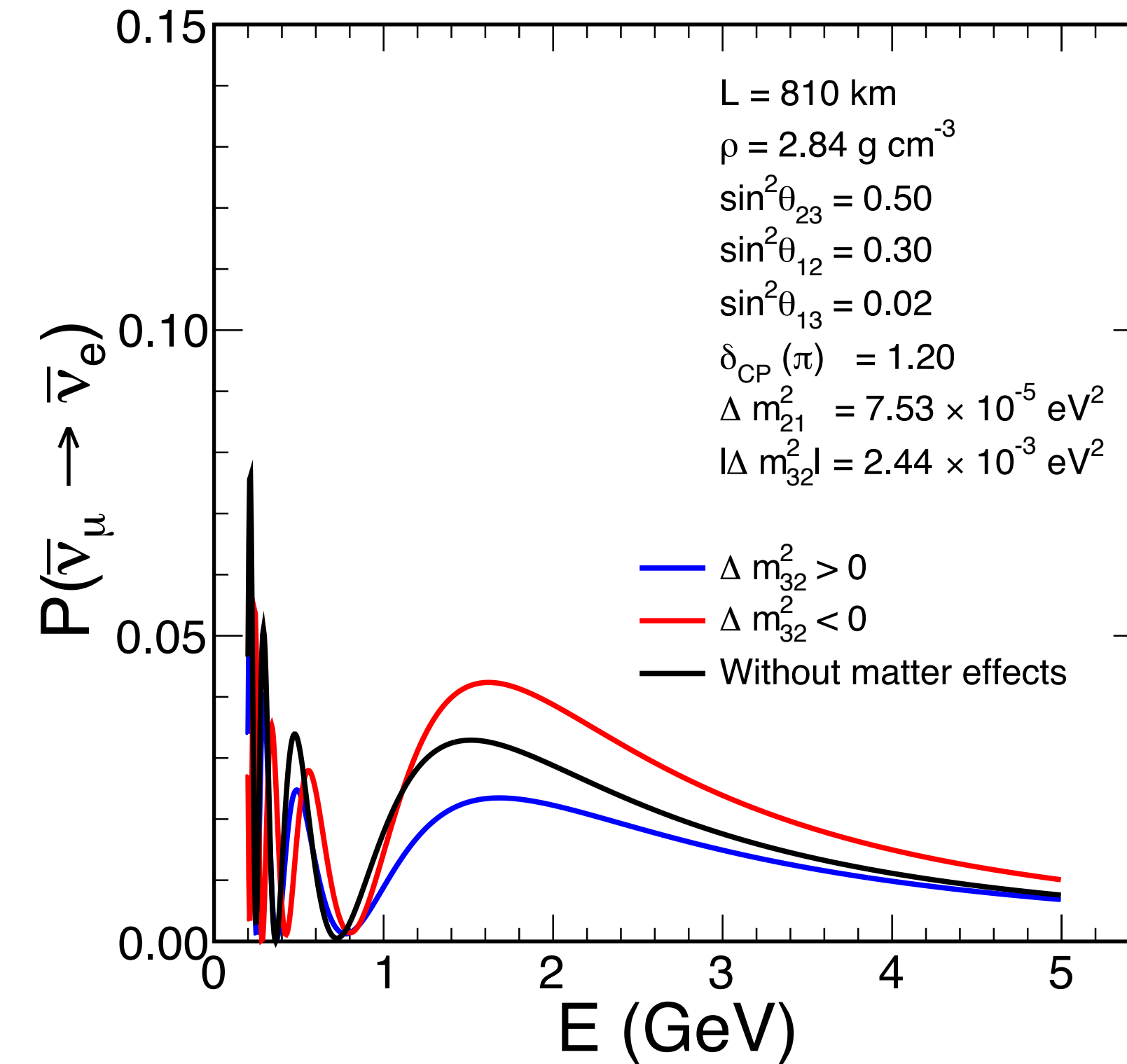
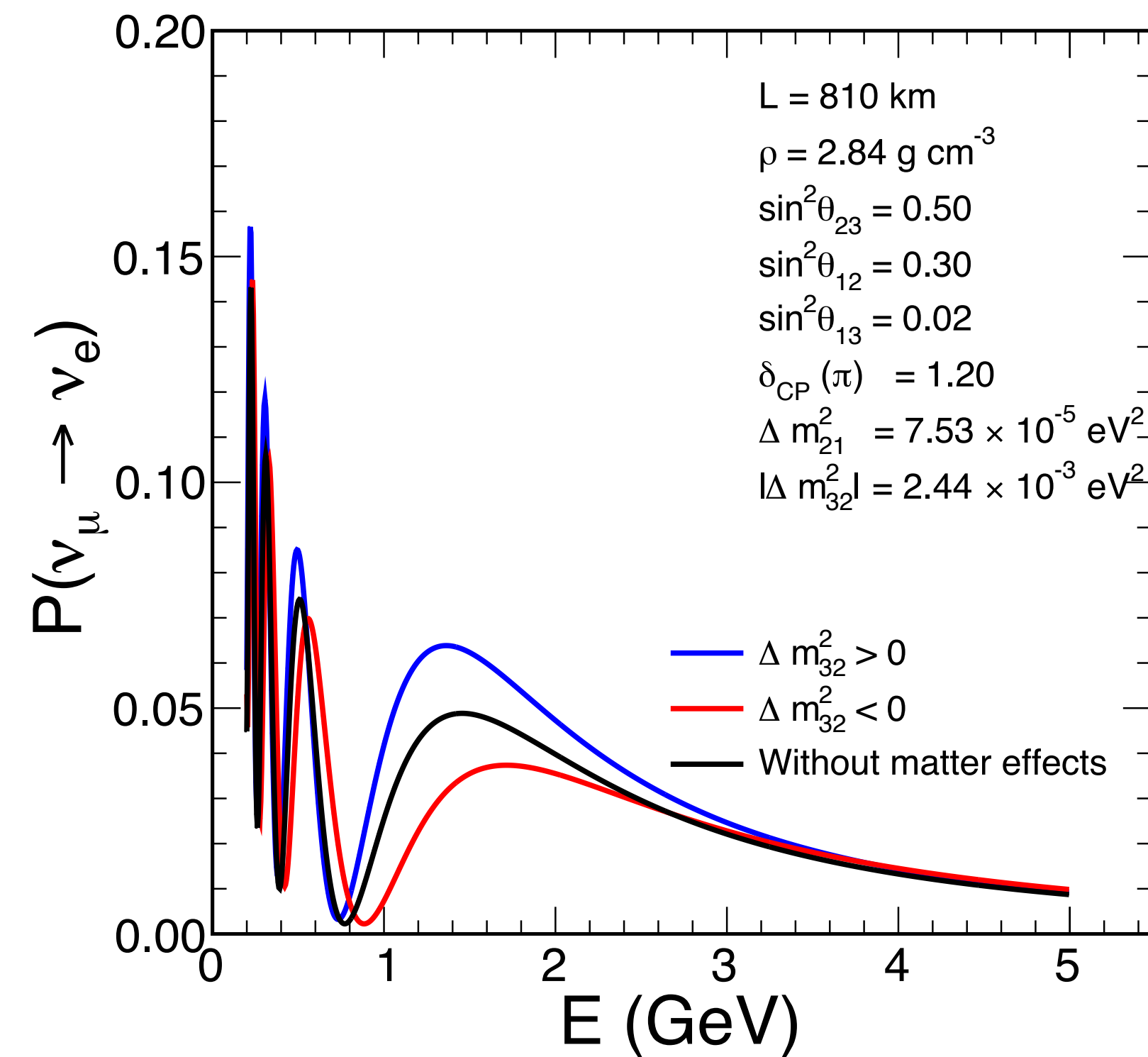
$\nu_\mu \rightarrow \nu_\mu$ Disappearance Oscillations



$$P_{\nu_\mu \rightarrow \nu_\mu} = 1 - \sin^2 2\theta_{23} \sin^2 \left(\frac{1.27 \Delta m_{32}^2 (eV^2) L (km)}{E (GeV)} \right)$$

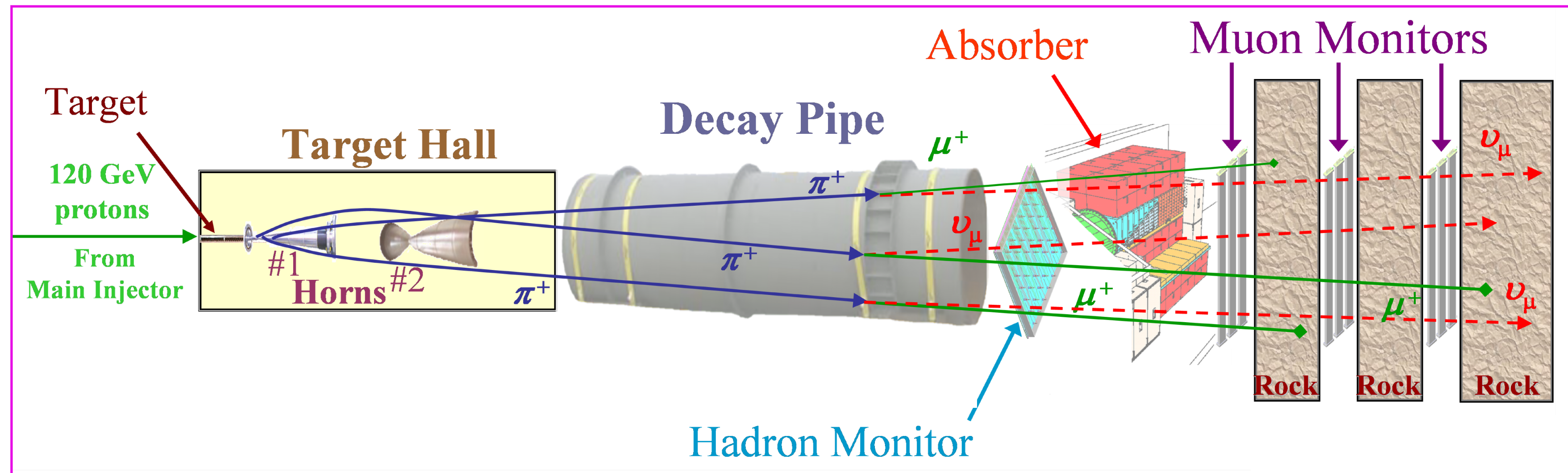
Survival probability gives direct measurement of $\sin^2(2\theta_{23})$ and $|\Delta m_{32}^2|$

$\nu_\mu \rightarrow \nu_e$ Appearance Oscillations

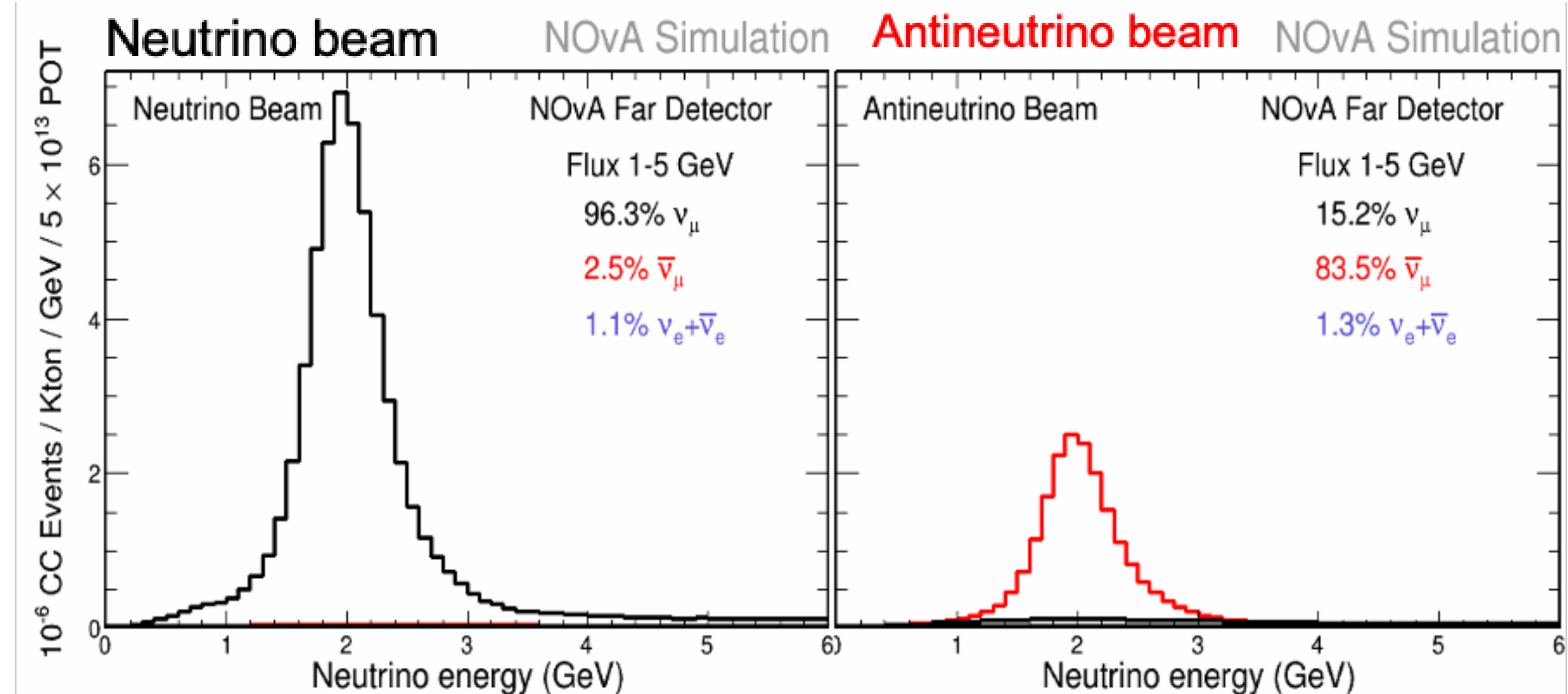


- Matter has the opposite effect on neutrino and anti-neutrino oscillation
- Matter effect determine the CP-violating phase and the sign of the $|\Delta m_{32}^2|$

Neutrino Beam at Fermilab



- Neutrino and antineutrino modes
- Total protons-on-target 38×10^{20}
- High $\nu_\mu(\bar{\nu}_\mu)$ purity



Neutrino Oscillation Analysis

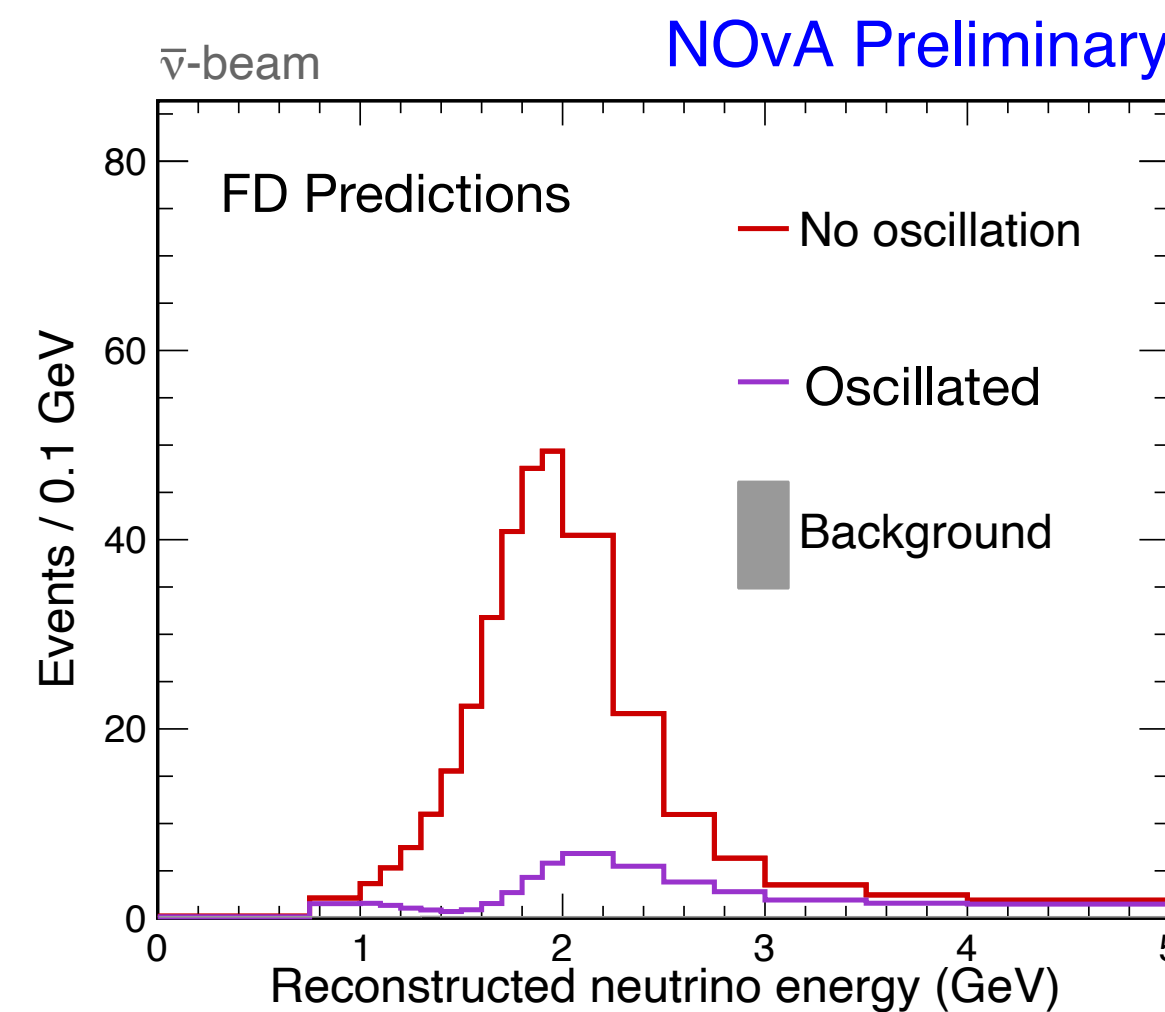
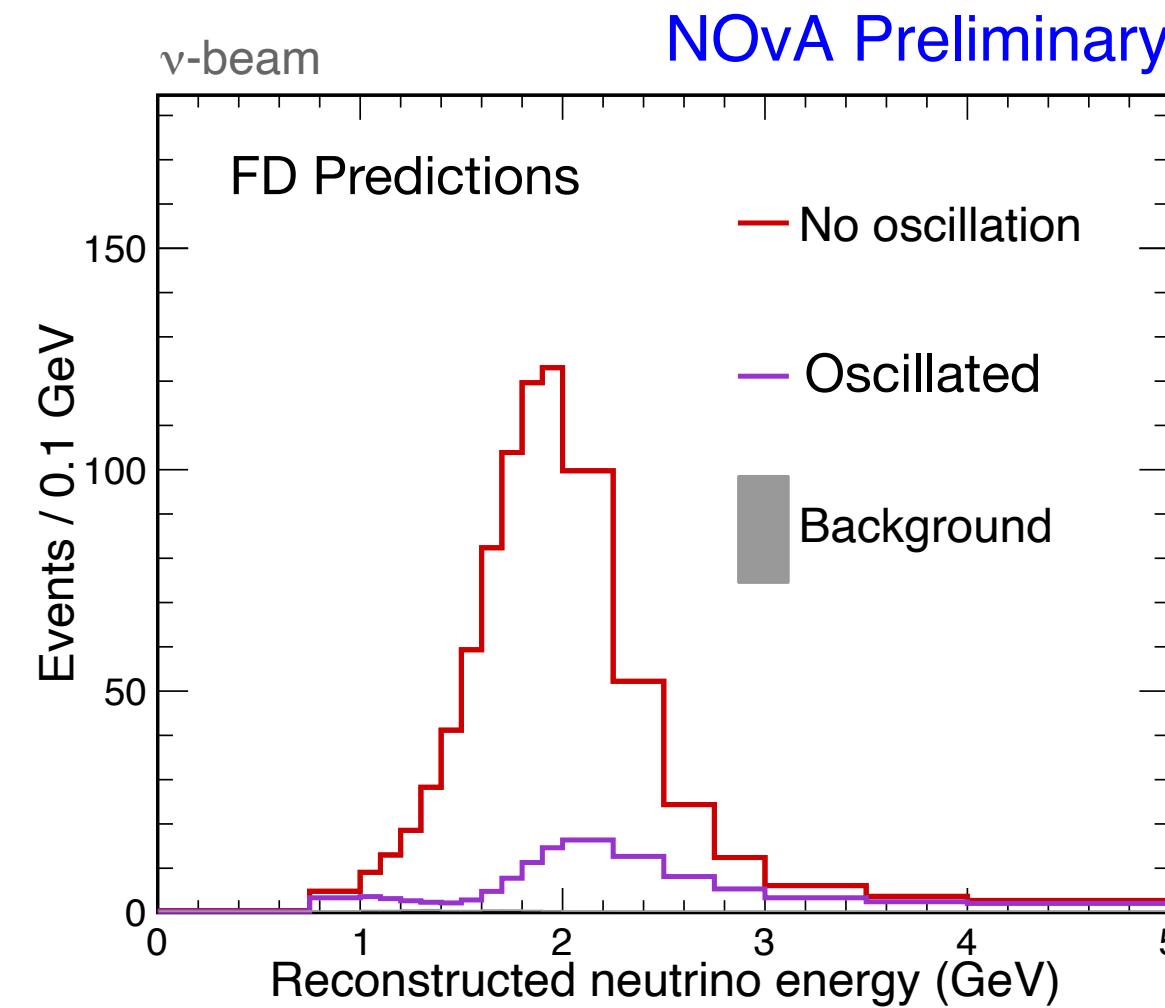
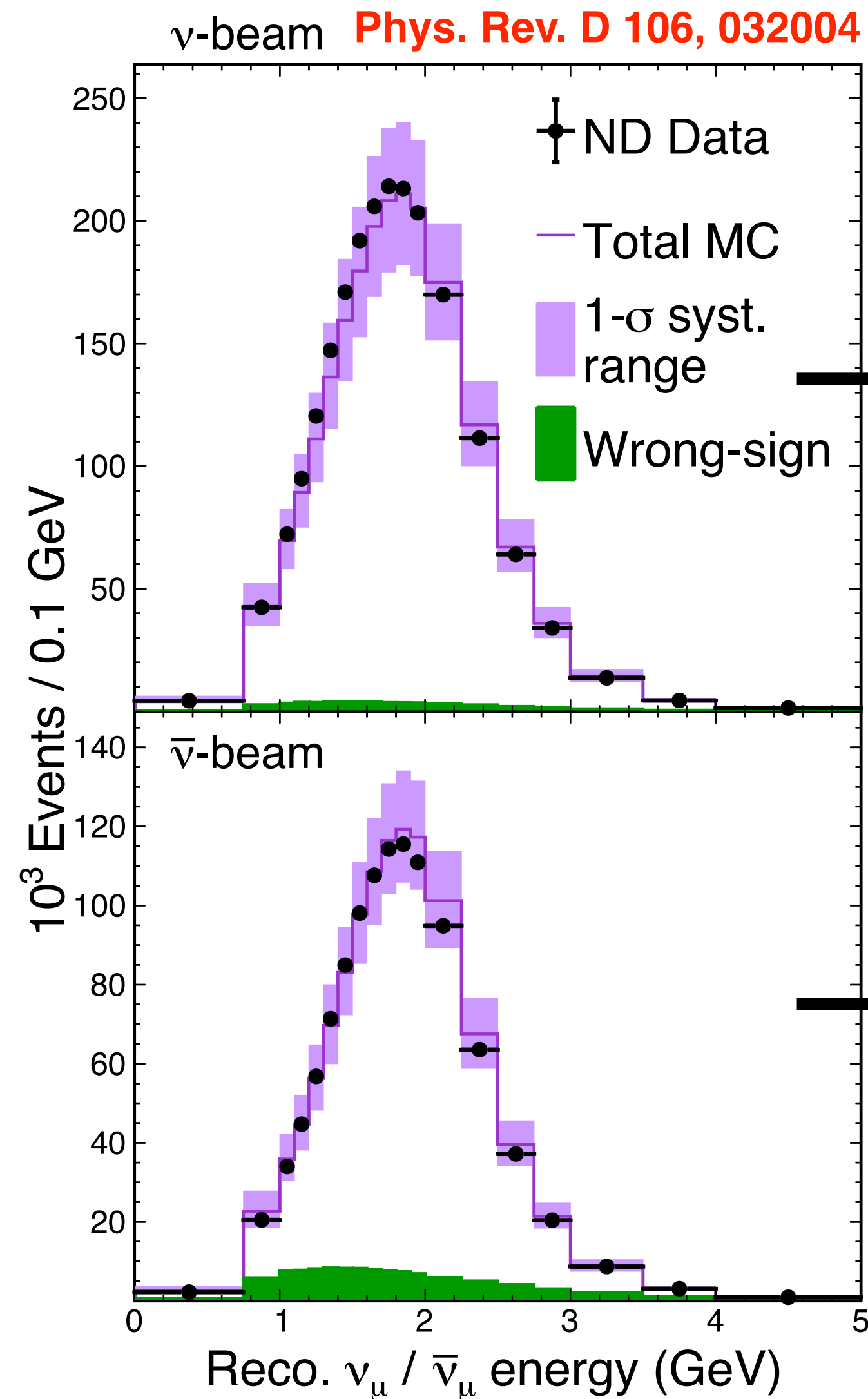
- Aim of the oscillation analysis is to measure $|\Delta m_{32}^2|$, $\sin^2 \theta_{23}$ and δ_{cp} parameters
- Parameters are extracted by analyzing the $\nu_\mu \rightarrow \nu_\mu$ disappearance and $\nu_\mu \rightarrow \nu_e$ appearance data
- Both neutrino and anti-neutrino data is used for the parameter extraction

Best fit values of the oscillation parameter are extracted from the χ^2 fit

$$\chi_i^2 = 2 \times \left(F_i^{Pred.} - F_i^{Data} + F_i^{Data} \ln \frac{F_i^{Data}}{F_i^{Pred.}} \right)$$

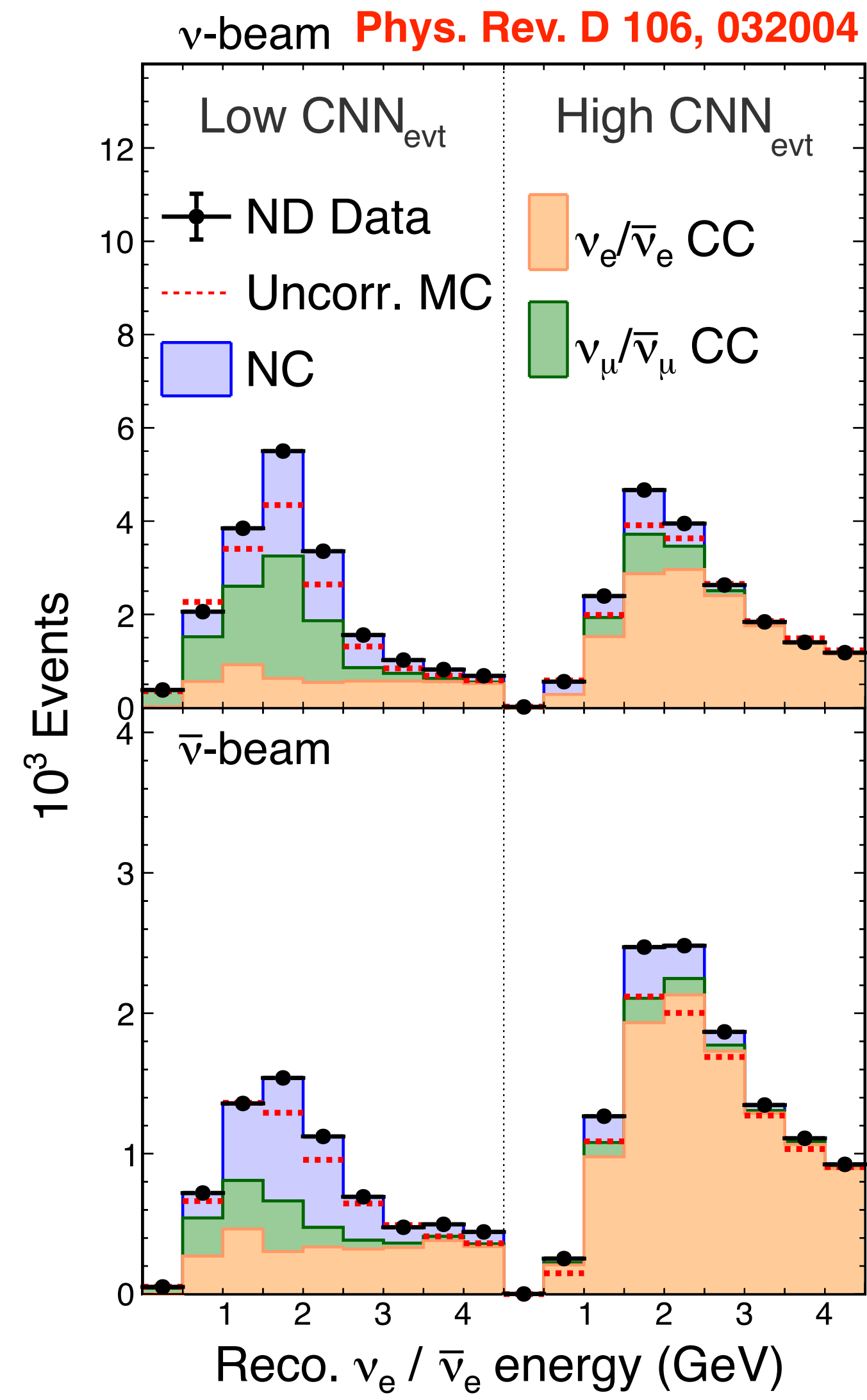
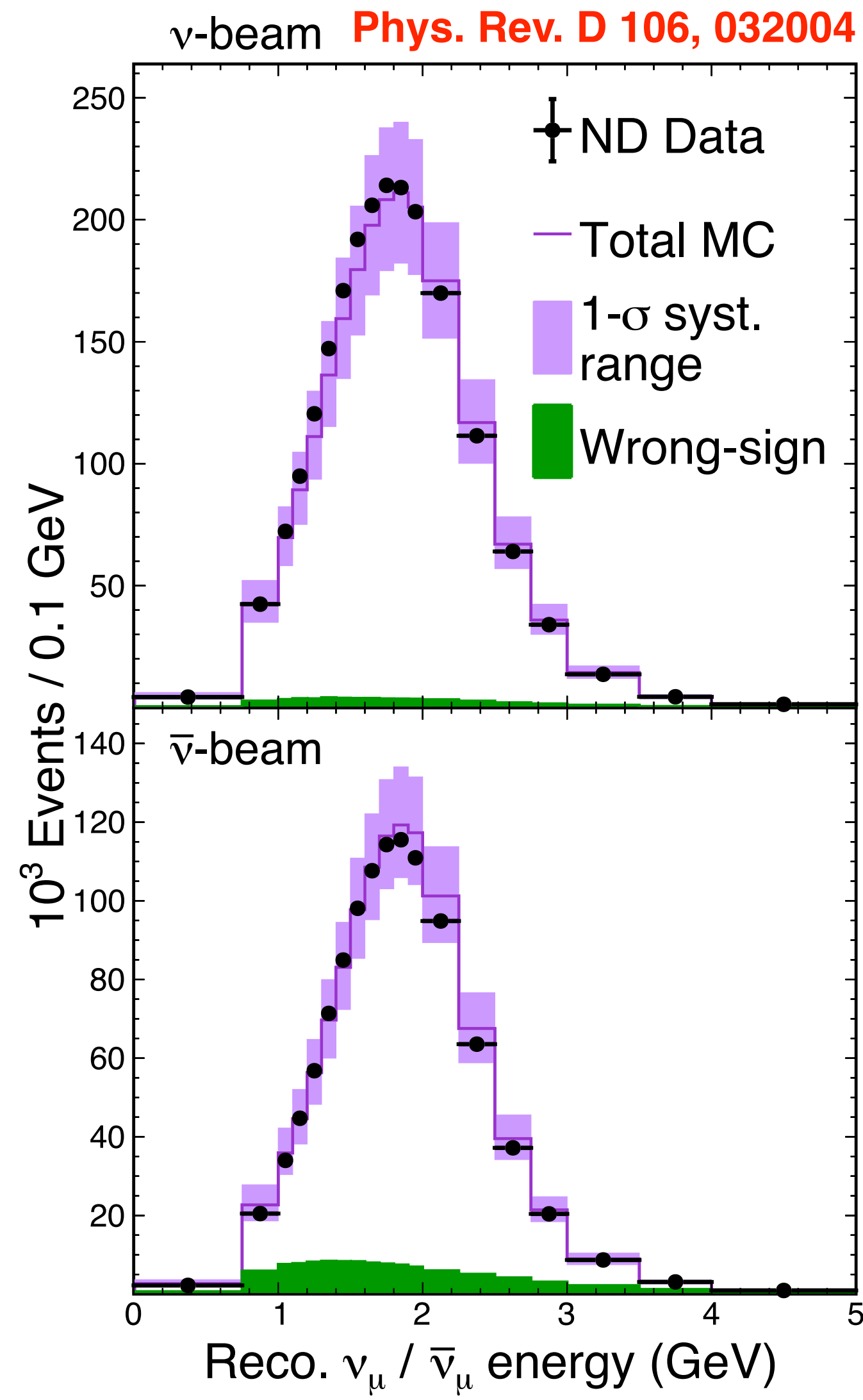
- Systematic uncertainties are treated as nuisance parameters

ND Data



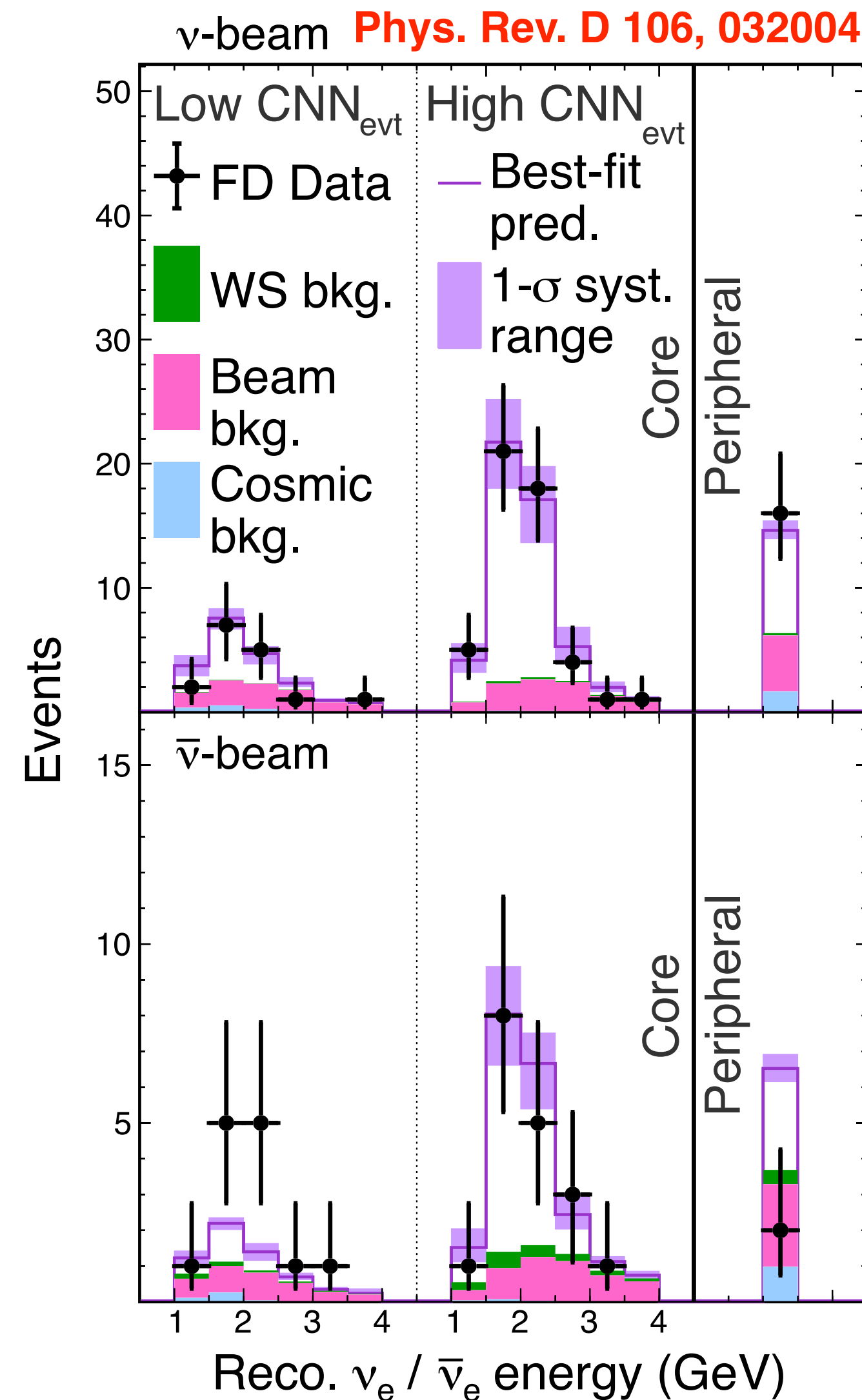
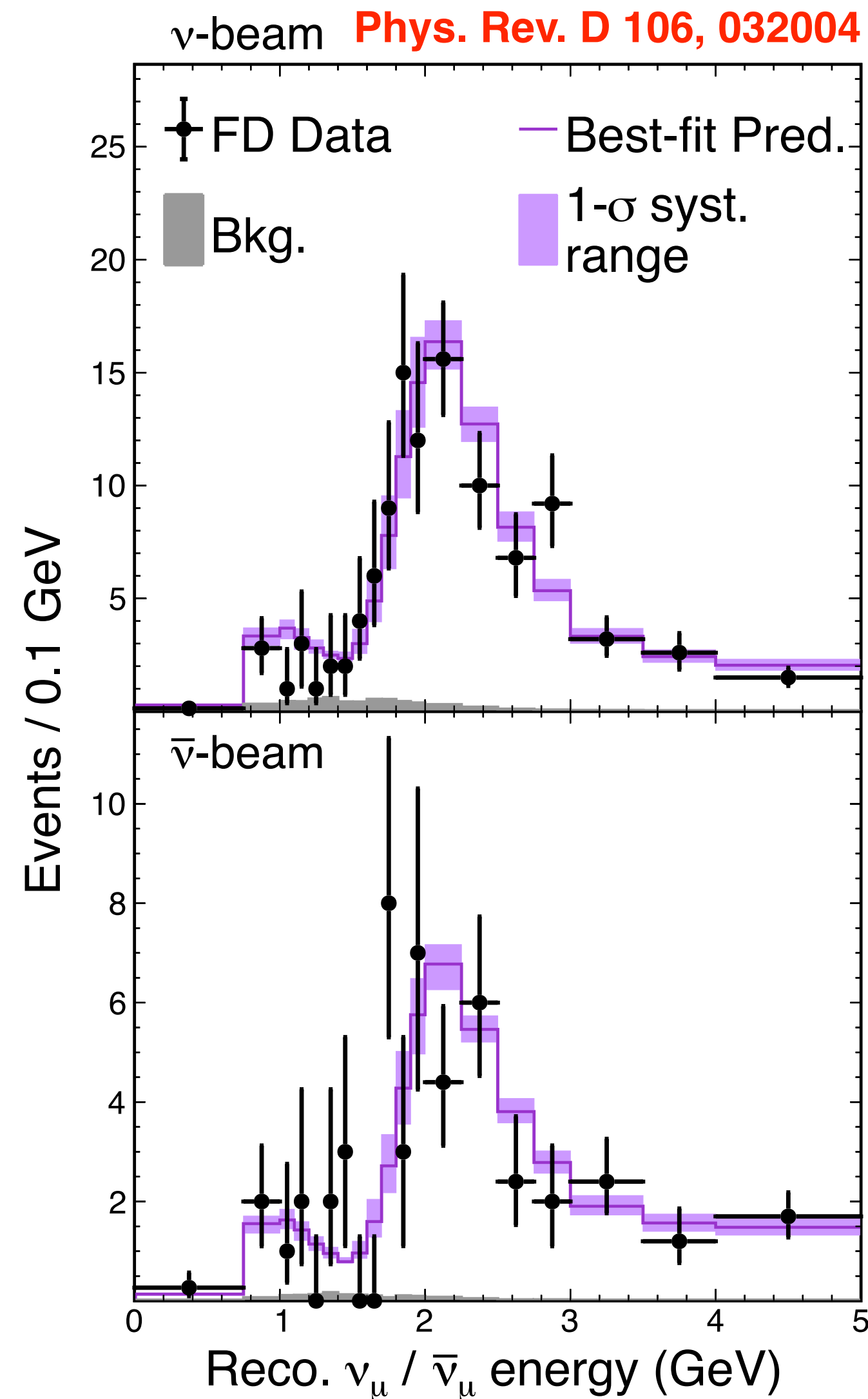
- ND observes un-oscillated neutrino beam
- ND spectra is used to generate signal and background predictions in the FD
- ND also helps to constrain systematic uncertainties in the FD

ND Data



- The ND data helps to constrain systematic uncertainties in the FD

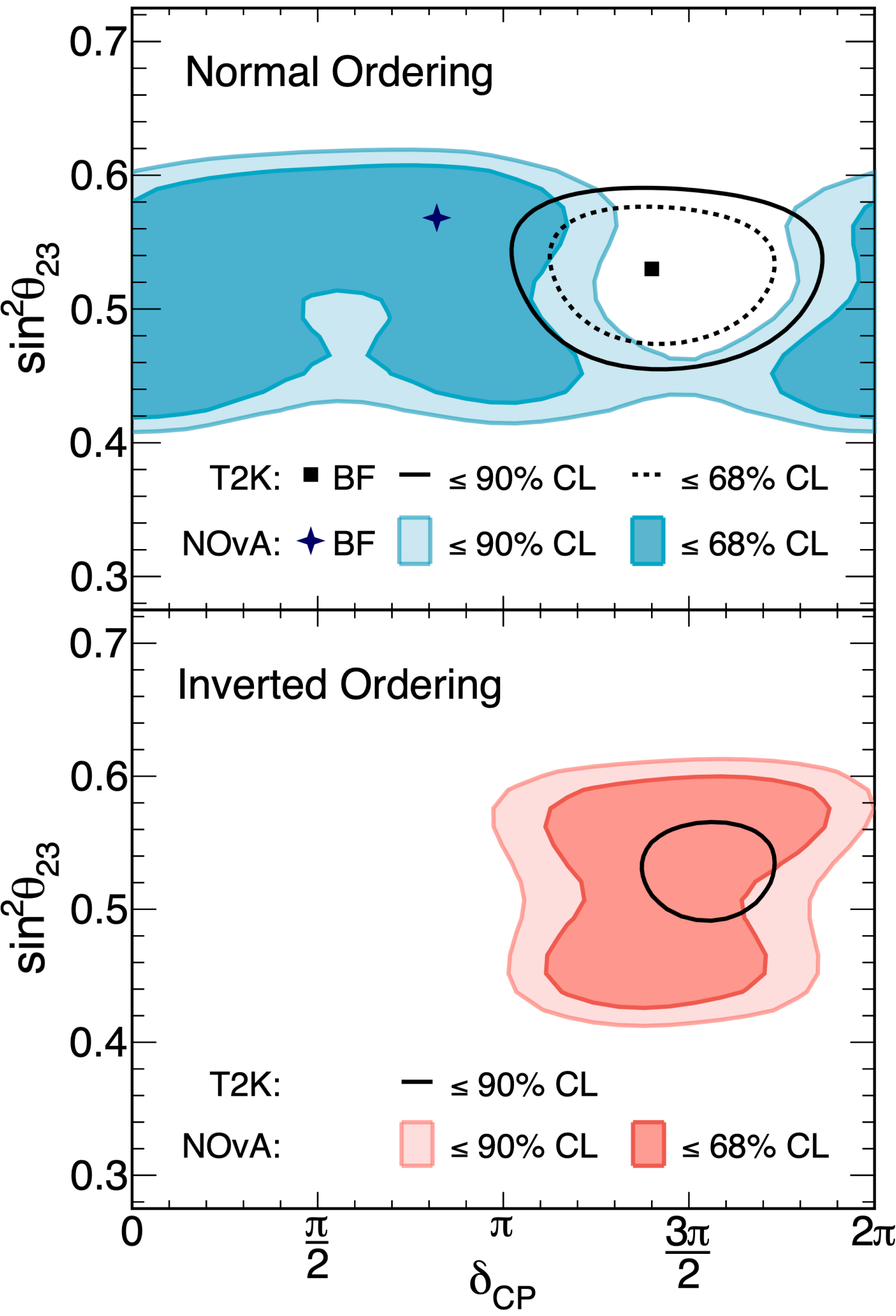
$\nu_\mu \rightarrow \nu_\mu$ Disappearance and $\nu_\mu \rightarrow \nu_e$ Appearance data



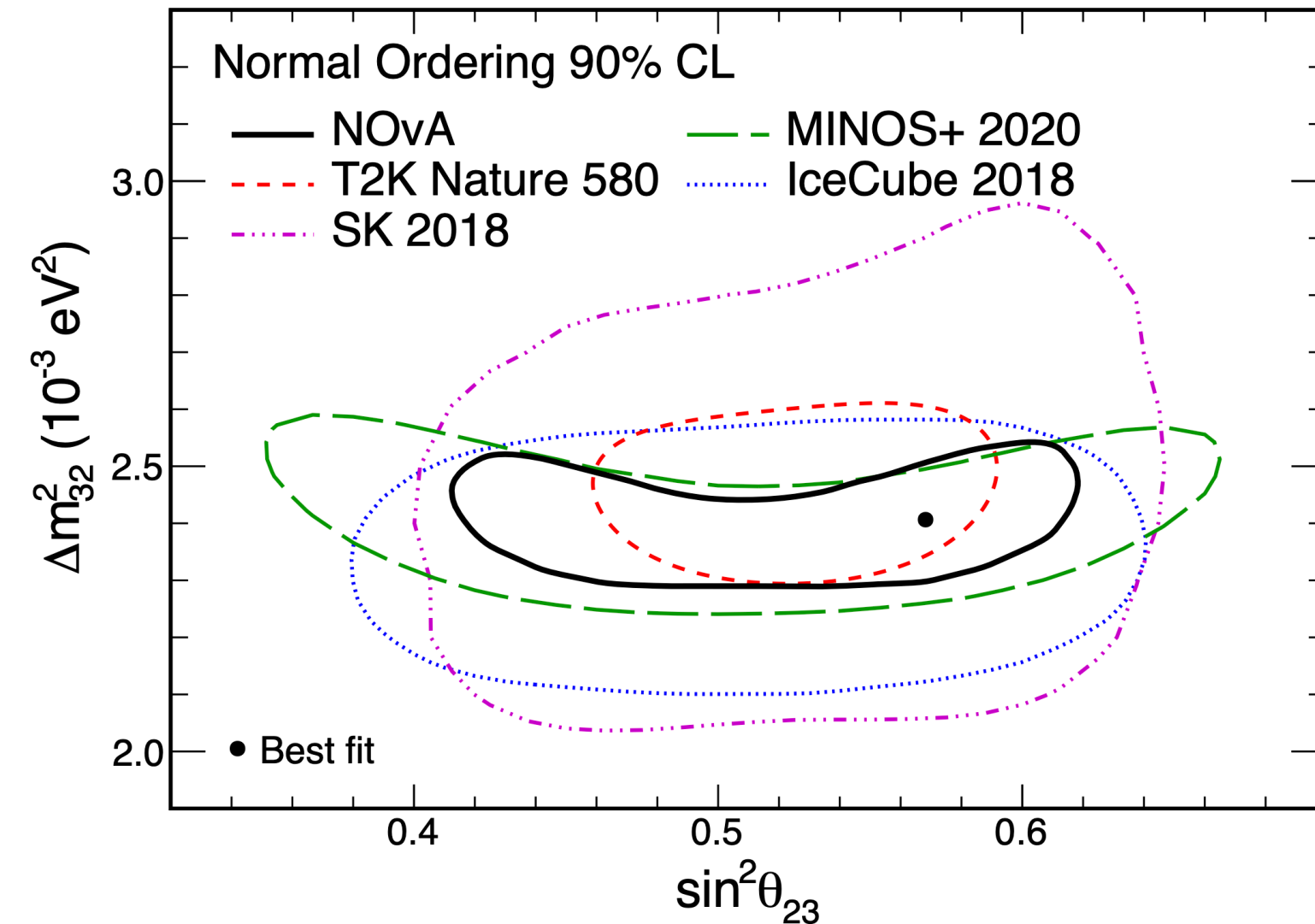
- Observe
 - 82 ν_e candidates (27 bkg)
 - 33 $\bar{\nu}_e$ candidates (14 bkg)
- There is a large significance of ν_e appearance
- $>4\sigma$ evidence of $\bar{\nu}_e$ appearance

Oscillation Results

Phys. Rev. D 106, 032004 NOvA Preliminary



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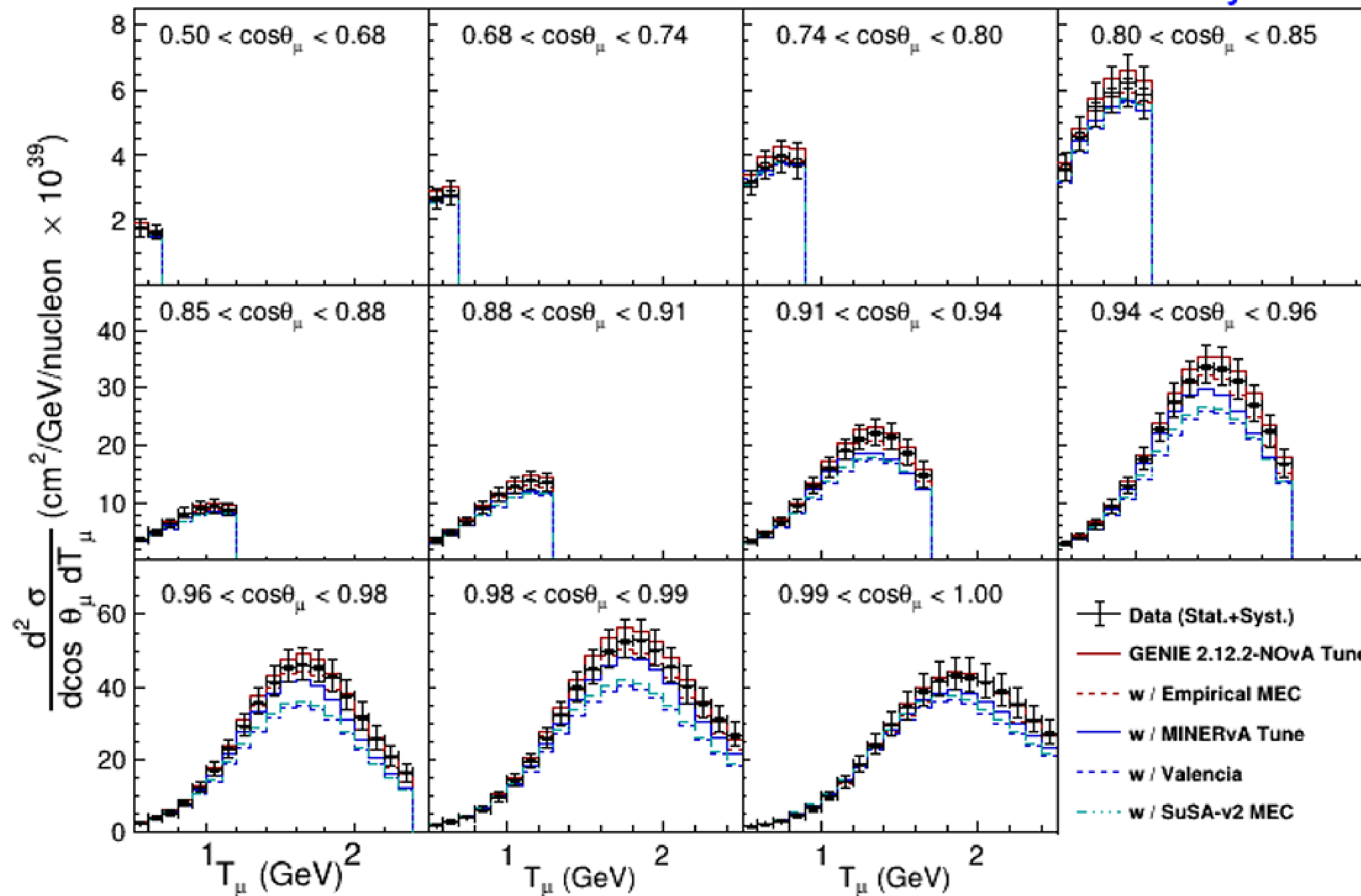


Oscillation Parameters	Values
Δm^2_{32} (10^{-3}) eV^2/c^4	$+2.41 \pm 0.07$
$\sin^2 \theta_{23}$	$0.57^{+0.03}_{-0.04}$
$\delta_{cp} (\pi)$	$0.82^{+0.27}_{-0.87}$

- LO disfavoured at 1.2σ
- IH disfavoured at 1σ
- IH $\delta_{cp} = \pi/2$ excluded at $>3\sigma$
- NH $\delta_{cp} = 3\pi/2$ excluded at $\sim 2\sigma$

Muon System

NOvA Preliminary



- Exactly one reconstructed track associated with outgoing muon
- Boosts 2p2h, reduces DIS and RES interactions
- Uncertainties ~12-15% in each bin dominated by flux systematic

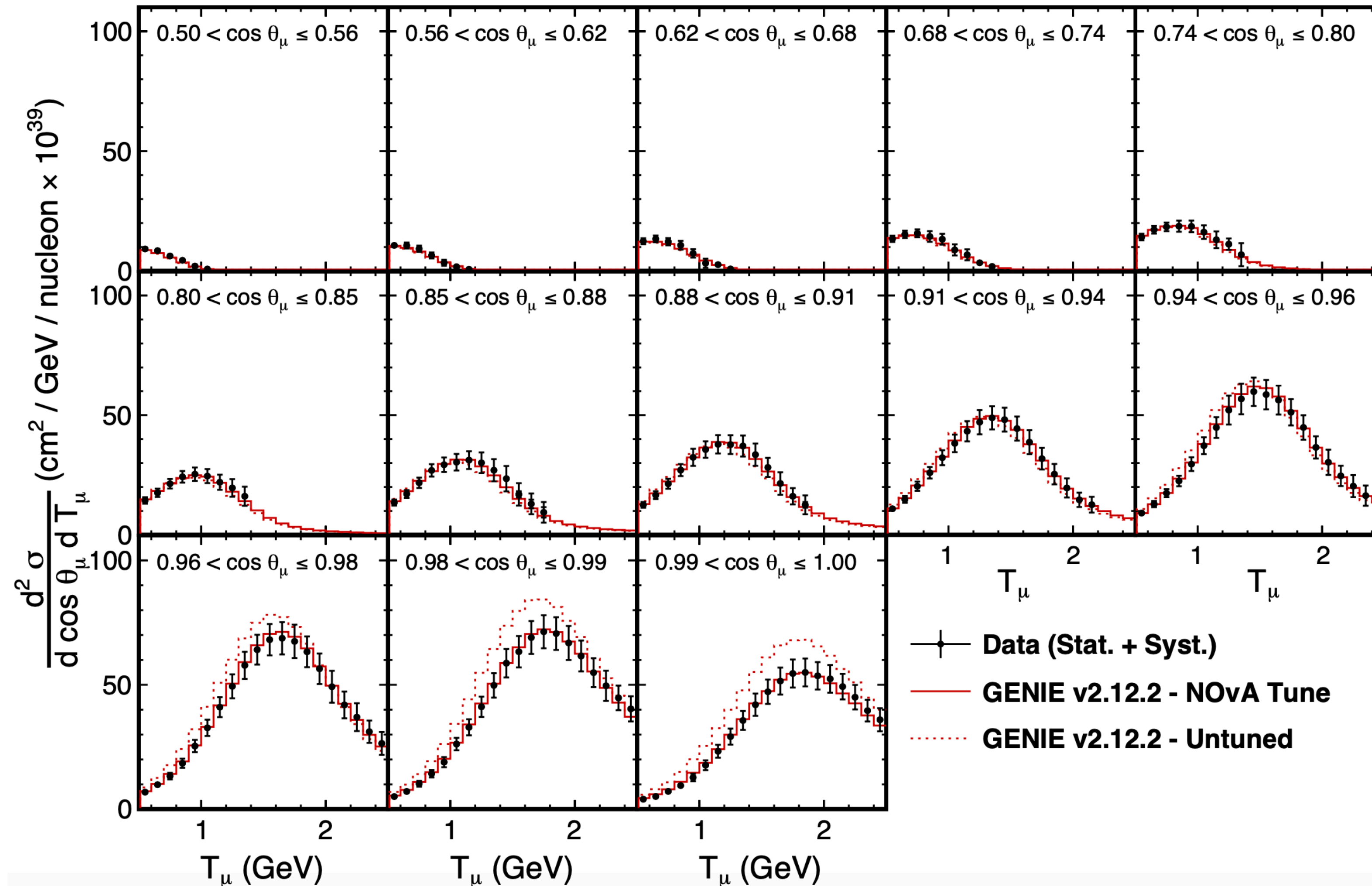
Comparisons of 2p2h Models to Data

- Large χ^2 values seen for all 2p2h models/tunes
- Tuned models match data better than Valencia/SuSA-v2
- Hadronic system analysis suggests similar conclusions

2p2h Model	χ^2 (115 d.o.f.)	Tuned models
GENIE v2-12.2 NOvA Tune	200	
Empirical MEC	190	
Valencia w/ MINERvA Tune	340	Theoretical models
Valencia	630	
SuSA - v2	620	

ν_μ CC Inclusive Cross section

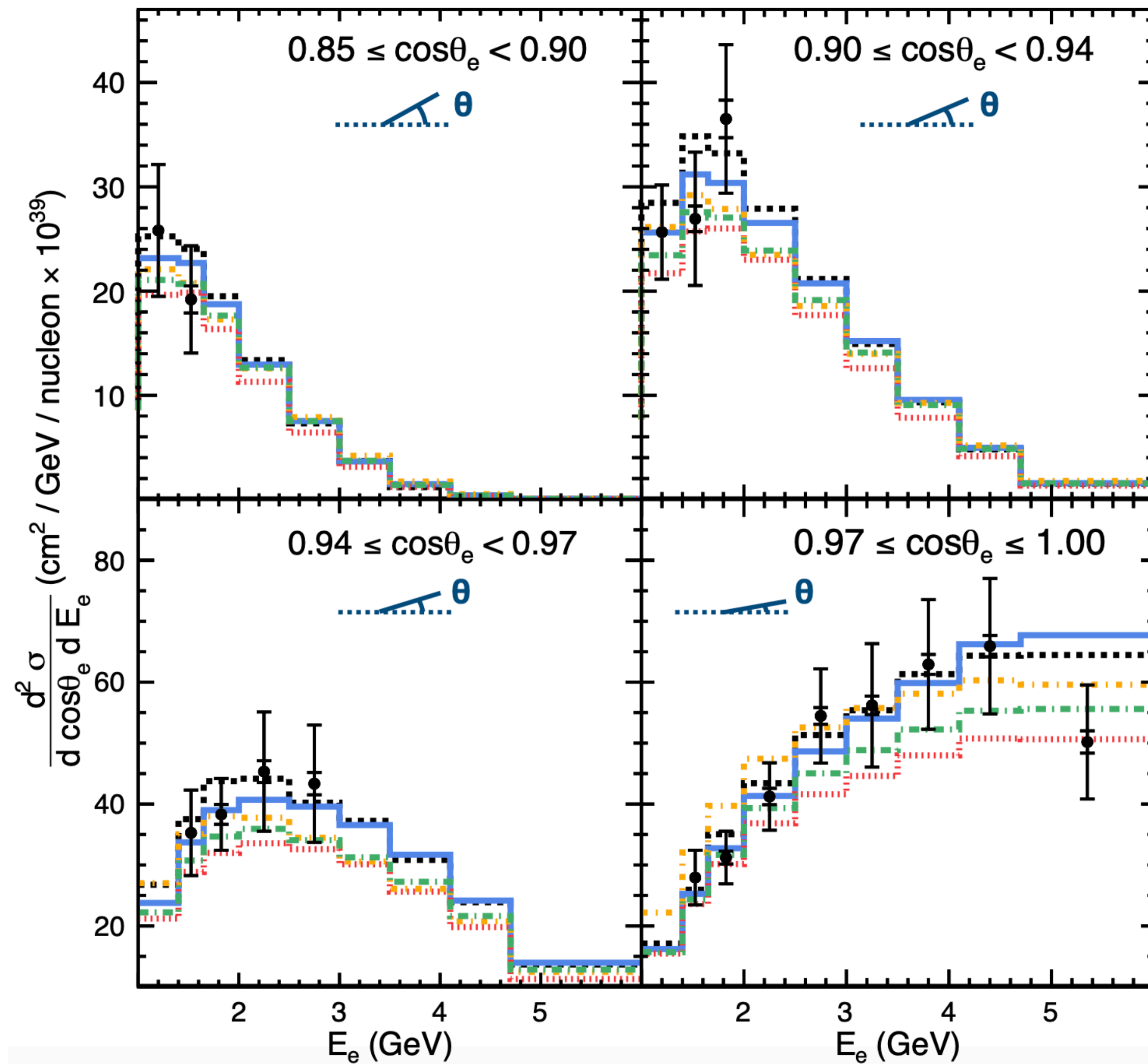
<https://arxiv.org/abs/2109.12220>



- More than 1M ν_μ CC events in the analysis
- Good agreement between GENIE and Data
- Uncertainties $\sim 12\%$ in each bin

ν_e CC Inclusive Cross section

<https://arxiv.org/abs/2206.10585>



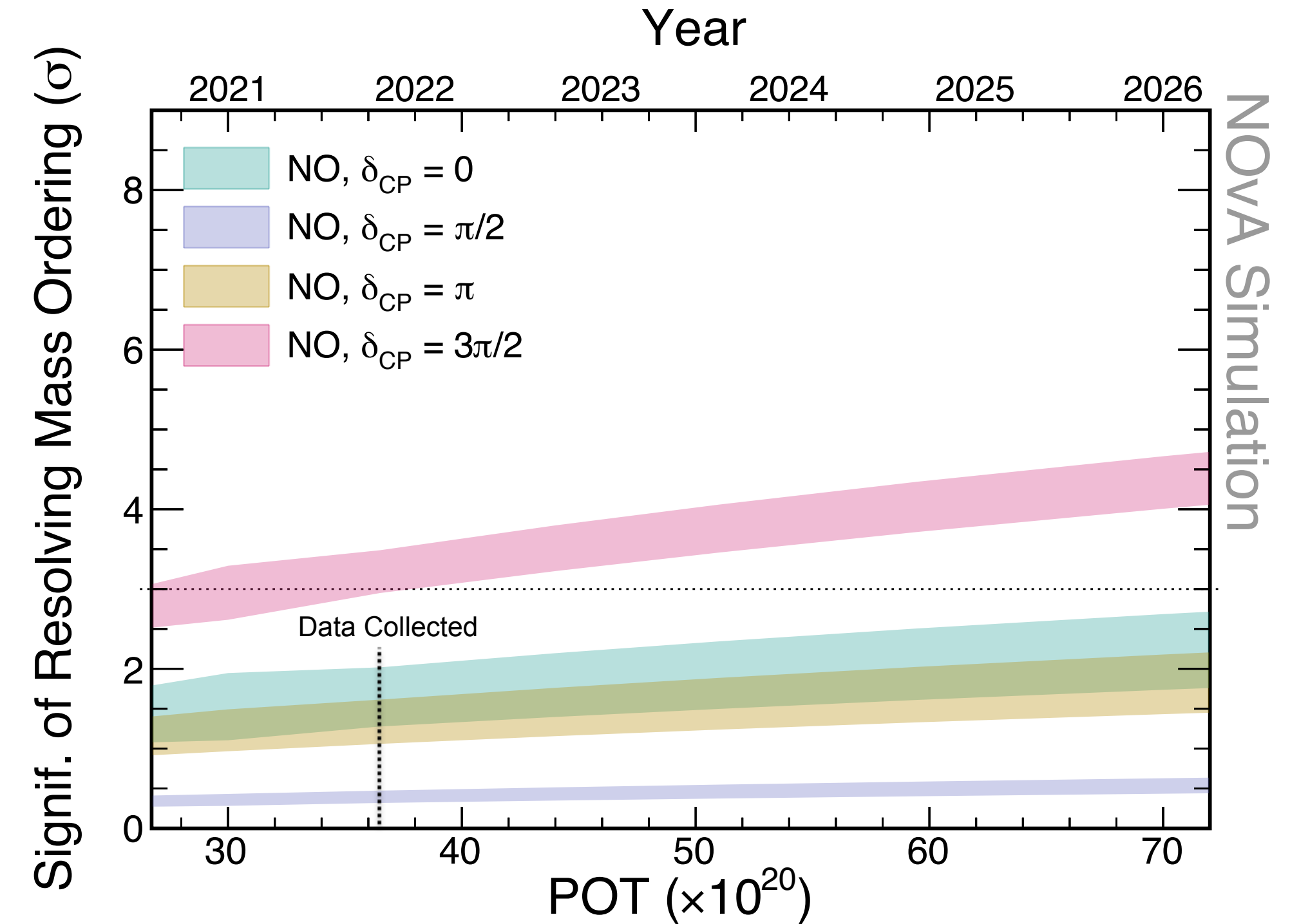
- ◆ **Data (Stat. + Syst.)**
- **GENIE v2 - NOvA-tune**
- **GENIE v3***
- **GiBUU**
- - - **NEUT**
- . - **NuWro**

<https://arxiv.org/abs/2206.10585>

- Around 10k ν_e CC events in the analysis
- Measurement in good agreement with prediction generators
- Uncertainties ~15-20% in each bin

Future Outlook

- Oscillations
 - Increasing sensitivity to mass ordering to come
 - More than double data both in neutrino and antineutrino modes
 - We can reach $>3\sigma$ mass-hierarchy sensitivity for 30-50% of the δ_{cp} values
- Cross sections
 - Antineutrino beam cross-section measurements and ratios



Conclusions

- NOvA is a globally competitive neutrino oscillation experiment with many exciting results
- NOvA and T2K are both working together towards joint fit results
- Other exciting NOvA analyses are
 - Sterile Neutrinos (First ever look at NC disappearance in antineutrinos <https://inspirehep.net/literature/1867930>)
 - Supernova neutrinos <https://journals.aps.org/prd/abstract/10.1103/PhysRevD.104.063024>
 - Magnetic Monopoles <https://journals.aps.org/prd/abstract/10.1103/PhysRevD.103.012007>
 - Non-standard Interactions

Conclusions

- Both Frequentist and Bayesian methods give same oscillation results
- Measured $\sin^2 2\theta_{13} = 0.085^{+0.020}_{-0.016}$ - consistent with reactor measurements
- Observe discrepancies w/2p2h models
- More new data and results to come