Lessons Learned from the Two Largest Galaxy Morphological Classification Catalogues built by Convolutional Neural Networks

Ting-Yun Cheng¹,[⋆] H. Domínguez Sánchez², J. Vega-Ferrero³, C. J. Conselice⁴,

- M. Siudek^{5,2}, A. Aragón-Salamanca⁶, M. Bernardi⁷, R. Cooke¹, L. Ferreira⁶,
- M. Huertas-Company^{3,8}, J. Krywult⁹, A. Palmese¹⁰, A. Pieres^{11,12},
- A. A. Plazas Malagón¹³, A. Carnero Rosell^{3,11,14}, D. Gruen¹⁵, D. Thomas¹⁶,
- D. Bacon¹⁶, D. Brooks¹⁷, D. J. James¹⁸, D. L. Hollowood¹⁹, D. Friedel²⁰, E. Suchyta²¹,
- E. Sanchez²², F. Menanteau^{20,23}, F. Paz-Chinchón^{20,24}, G. Gutierrez²⁵, G. Tarle²⁶,
- I. Sevilla-Noarbe²², I. Ferrero²⁷, J. Annis²⁵, J. Frieman^{25,28}, J. García-Bellido²⁹,
- J. Mena-Fernández 22 , K. Honscheid 30,31 , K. Kuehn 32,33 , L. N. da Costa 11 , M. Gatti 7 ,
- M. Raveri⁷, M. E. S. Pereira³⁴, M. Rodriguez-Monroy²², M. Smith³⁵,
- M. Carrasco Kind^{20,23}, M. Aguena¹¹, M. E. C. Swanson, N. Weaverdyck^{26,36}, P. Doel¹⁷,
- R. Miquel^{37,5}, R. L. C. Ogando¹², R. A. Gruendl^{20,23}, S. Allam²⁵ S. R. Hinton³⁸,
- S. Dodelson^{39,40}, S. Bocquet¹⁵, S. Desai⁴¹, S. Everett⁴², V. Scarpine²⁵

Author affiliations are listed at the end of this paper

Accepted XXX. Received YYY; in original form ZZZ

ABSTRACT

We compare the two largest galaxy morphology catalogues, which separate early and late type galaxies at intermediate redshift. The two catalogues were both built by applying supervised deep learning (convolutional neural networks) to the Dark Energy Survey data down to a faint magnitude limit of \sim 21 mag. The methodologies used for the construction of the catalogues include differences, such as the cutout sizes, the labels used for training, and the input to the CNN - monochromatic images versus 3-band normalized images. In addition, one catalogue is trained using bright galaxies observed with DES (i < 18), while the other is trained with bright galaxies (r < 17.5) and 'emulated' galaxies up to r-band magnitude 22.5. Despite the different approaches, the agreement between the two catalogues is excellent up to i < 19, demonstrating that CNN predictions are reliable for samples at least one magnitude fainter than the training sample limit. It also shows that morphological classifications based on monochromatic images are comparable to those based on gri-band images, at least in the bright regime. At fainter magnitudes, at i > 19, the overall agreement is good $(\sim 95\%)$, but is mostly driven by the large spiral fraction in the two catalogues. In contrast, the agreement within the elliptical population is not as good, especially at faint magnitudes. By studying the mismatched cases we are able to identify lenticular galaxies (at least up to i < 19), which are difficult to distinguish using standard classification approaches. The synergy of both catalogues provides an unique opportunity to select a population of unusual galaxies.

Key words: keywords – keywords – keywords

1 1 INTRODUCTION

- $_{\rm 2}$ $\,$ Galaxy morphology describes the visual features of a galaxy
- 3 and the structure of its light distribution. Both of these prop-

 $^{^{\}star} \;\; \text{E-mail:ting-yun.cheng@durham.ac.uk}$

^{© 2022} The Authors

11

12

13

14

15

16

17

18

19

20

24

25

26

27

28

29

30

31

33

35

36

37

38

39

40

41

44

47

48

49

50

51

52

53

54

55

56

57

58

59

62

63

64

erties are strongly connected with its formation history (e.g., Holmberg 1958; Dressler 1980). In addition, galaxy morphologies are intimately related to their stellar populations, likewise for galaxy stellar masses, star formation rates, ages, and metallicities (e.g., Conselice 2006; Pozzetti et al. 2010; Wuyts et al. 2011; Huertas-Company et al. 2016). Therefore, obtaining a large number of galaxies with robust morphological classifications is of great importance for understanding galaxy evolutionary history and stages.

Traditionally, the morphological classification of galaxies has been based on visual inspection (de Vaucouleurs 1959, 1964; Sandage 1961; Fukugita et al. 2007; Nair & Abraham 2010; Baillard et al. 2011). However, during the past decades, there was a significant increase in the sizes of galaxy datasets, from the Hubble Space Telescope, or the Sloan Digital Sky Survey, to current surveys such as Dark Energy Survey which includes hundreds of millions of galaxies (DES Collaboration 2005; DES Collaboration et al. 2016, hereafter, DES). The number of observed galaxies will increase even more with the advent of future surveys such as the Euclid Space Telescope (Laureijs et al. 2011) and the Vera Rubin Observatory Legacy Survey of Space and Time (Ivezić et al. 2019), making it impossible to visually classify such extremely large datasets, even with Citizen Science tools such as Galaxy Zoo (Lintott et al. 2008, 2011; Willett et al. 2013). Thankfully, we can now utilise machine learning techniques, which have been applied to a variety of astronomical studies with great success since the 1990s: e.g., star-galaxy separation (Odewahn et al. 1992; Weir et al. 1995; Soumagnac et al. 2015), photo-z estimation (Collister & Lahav 2004; Alarcon et al. 2021; Soo et al. 2021; Schuldt et al. 2021), galaxy structural measurements (Tohill et al. 2021), strong lensing identification (Jacobs et al. 2017; Petrillo et al. 2017; Lanusse et al. 2018; Cheng et al. 2020b), finding galaxy mergers (Bottrell et al. 2019; Ferreira et al. 2020), and galaxy classification (Lahav et al. 1995; Banerji et al. 2010; Huertas-Company et al. 2018; Domínguez Sánchez et al. 2018; Siudek et al. 2018a,b; Walmsley et al. 2020; Cheng et al. 2020a; Hausen & Robertson 2020; Ghosh et al. 2020; Cheng et al. 2021a; Turner et al. 2021; Gupta et al. 2022).

The main advantages of machine learning techniques, and in particular, of CNN algorithms, compared to light-profile parametric fitting (e.g., Tarsitano et al. 2018; Everett et al. 2022) are the computation time, orders of magnitude faster once the modes have been trained, and the lack of a model assumption to describe the light profile (of particular importance for asymmetric galaxies, which are more frequent at higher redshift).

Machine learning techniques are applied to astronomical studies using a diversity of approaches, but there are not many comparisons of these different techniques to assess their successes and failures. In this work, we compare the results of the two morphological catalogues presented in Vegaster V21, hereafter V21, and Cheng et al. (2021b, hereafter C21), constructed by applying convolutional neural networks (CNN) to the DES data. The differences between the two approaches provide an excellent opportunity to assess, with great statistics, the impact of different deep learning methodologies (e.g., different: training labels, depth of the training sample, the inclusion of 'emulated' images in the training sample, etc.). The catalogues are the two largest morphological classification catalogues to date in-

cluding ~ 27 million (V21) and ~ 21 (C21) million galaxies, respectively. The overlapping sample includes over 17 million galaxies. This comparison not only potentially further validates the classification of the two catalogues, but also provides a detailed analysis of different approaches within CNN galaxy morphology studies. Additionally, by combining the two catalogs one can get more than 30 million galaxies with morphological classification.

Since both catalogues have their own related papers, in this work we focus on their comparison. The arrangement of this paper is as follows. In Section 2, we discuss the differences between the two catalogues in sample selection and methodology. We then present a statistical comparison of the two catalogues in Section 3. We discuss the agreement and disagreement between the two catalogues in Section 4. This part of the discussion is divided into bright and faint galaxies and a detailed analysis of the mismatched cases. Finally, a comparison of the physical properties and structural measurements of the two classes reported by each catalogue is discussed in Section 5. We summarise our results in Section 6.

2 DATA & CATALOGUES

72

100

101

In this work, we compare the two largest galaxy morphological classification catalogues to date: Vega-Ferrero et al. (2021, V21), which contains \sim 27 million galaxies with rband magnitude brighter than 21.5, and Cheng et al. (2021b, C21), which includes \sim 21 million galaxies with an *i*-band magnitude range $16 \le i \le 21$ and at redshift $z \le 1$. Both catalogues are built by analyzing DES data, which is a widefield optical imaging survey covering 5000 square degrees $(\sim 1/8 \text{ sky}; \text{ Neilsen et al. 2019})$. The coadd images have a spatial resolution of 0.263 arcsec per pixel and were taken by the Dark Energy Camera (Flaugher et al. 2015), which has a high quantum efficiency in the red wavebands (> 90%from ~ 650 to ~ 900 nm), and includes ~ 300 million galaxies with a mean median depth of g = 24.33, r = 24.08, i = 23.44 at a single-to-noise ratio S/N=10 (Abbott et al. 2018). The imaging data used in both works is DES Year 3 (Y3) data, but with different selection criteria for the initial samples (see Section 2.1) from the DES Y3 GOLD catalogue (Sevilla-Noarbe et al. 2021).

If not specified, physical properties of galaxies, such as apparent magnitude, redshift, colour, etc, shown in this work are from the DES Y3 GOLD catalogue. Additional stellar mass information is obtained by running the LePhare code (Arnouts & Ilbert 2011) using Bruzual & Charlot (2003) templates, three different metallicities (including solar), Chabrier Initial Mass Function (IMF), and exponentially declining star formation histories (similar to Palmese et al. 2020)¹.

Another catalogue built by Tarsitano et al. (2018, hereafter, T18) provides structural measurements, such as Sérsic index, ellipticity, etc. While writing this manuscript, a new parametric light-profile fitting model for DES Y3 GOLD was released (Everett et al. 2022) which could serve as an additional comparison to the predictions of the CNN. However,

¹ This unpublished catalogue is built by A. Palmese

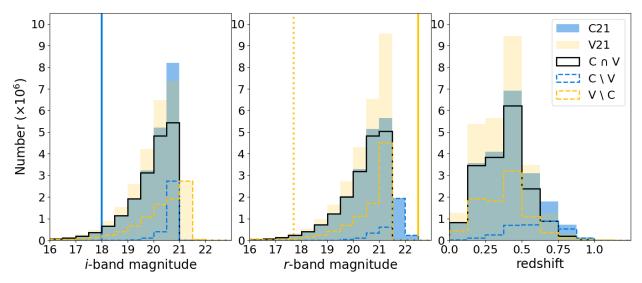


Figure 1. The magnitudes and redshift distributions of C21 (blue filled histogram), V21 (light yellow filled histogram), and different subsets of them presented by different lines. The overlapped area is represented as the mix of blue and yellow, i.e. slightly darker blue/green. For lines, the solid black lines show the intersection of both catalogues. The blue dashed lines and the yellow dashed lines are for samples only in C21 (C\V) and only in V21 (V\C), respectively. The vertical lines indicate the upper limit of magnitudes in the training samples such that the blue solid line is for the one of C21 (i < 18), the yellow dotted line shows the upper magnitude limit of the initial bright galaxies used in V21 (r < 17.7), and the yellow solid line represents the one of the final training samples in V21 including emulated faint galaxies (r < 22.5).

159

177

178

180

there are some assumptions in the derivation of those param- 150 eters² which may need further examination. Therefore, in 151 this work we limit the comparison of structural parameters 152 with those from T18. In Section 4.2, we also use the measurements from the VIMOS Public Extragalactic Redshift 154 Survey (VIPERS; Moutard et al. 2016a,b; Krywult et al. 155 2017; Scodeggio et al. 2018; Siudek et al. 2018b) to check 156 the robustness of the morphological classifications.

2.1 Data Selection

123

124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

143

144

145

146

147

148

Different selection criteria for constructing the morphologically classified samples were applied in V21 and C21. The first significant difference is that different filters were used for the upper limit of the samples' brightness: V21 imposed r < 21.5 while in C21 the selection was 16 < i < 21. Fig. 1 shows the magnitude and redshift distribution of the two catalogues. The observed magnitudes are from the DES Y3 $\,^{167}$ GOLD catalogue, measured in an elliptical aperture shaped by the Kron radius in the i-band and the r-band. The photometric redshifts are obtained by the Directional Neighbourhood Fitting (DNF) algorithm (De Vicente et al. 2016). Galaxies in C21 with an i-band magnitude brighter than 18 172 contribute 3.5% to the total sample. In V21, by including 'emulated' faint galaxies (see (3) of Section 2.2), the magnitude limit of their training samples is r < 22.5, covering the full magnitude range of the two catalogues. Fig. 1 shows that the use of different filters for selecting the initial samples results in ~ 3 million and ~ 2 million unique galaxies in V21 and C21, respectively (i.e., included in V21 and not

in C21, and vice-versa). Additionally, C21 applied a redshift cut at z < 1, because their classifier, which is trained with bright galaxies at low redshift, has difficulty discriminating two morphology types at $z \geq 1$ (see C21 Section 5.3). This difference results in \sim 43,000 galaxies included in V21 but not in C21.

Second, the two works applied several flags from the DES Y3 GOLD catalogues, which were not identical. For example, EXTENDED_CLASS_COADD distinguishes point-like objects and extended objects. V21 selected a sample with EX-TENDED_CLASS_COADD > 1 including medium and high confidence galaxies, while in C21, only high confidence galaxies were chosen (EXTENDED_CLASS_COADD = 3). The different criteria in several flags result in significantly more initial samples (\sim 6 million) in V21 than C21.

Finally, a cut in half-light radius (> 2.8 pixels) was applied in V21 to avoid giving a morphological classification to galaxies with not enough spatial resolution. This removes ~ 1.36 million galaxies from V21 which are included in C21 (corresponding to $\sim 6\%$ of the total samples from C21.)

Overall, the different selection criteria applied results in an overlap of ~ 17 million galaxies, and ~ 9 million and \sim 3 million unique galaxies in V21 and C21, respectively. The union of the two catalogues increases the total number of morphological classifications to ~ 30 million galaxies.

2.2 Methodology

Apart from the sample selection and the CNN architectures, there are also significant differences in the methodology, including: (1) cutout sizes; (2) training labels; (3) brightness of the training sample; (4) the input to the CNN.

(1) Cutout sizes: V21 applied variable cutout sizes which

 $^{^2\,}$ For example, DeVauculers profile, a prior of 0.0 at the faint end $\,^{179}$ for the FRACDEV parameter, etc.

Ting-Yun Cheng et al. 4

181

182

183

184

185

187

188

189

190

191

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

225

226

227

228

229

230

231

232

233

234

235

236

237

are ~11.4 times the half-light radius centred on the target galaxy. Then, the images are re-sampled (down or upsampled, depending on the size of the resulting cutout) into a size of $64 \times 64 \times 3$ (where the 3 last channels correspond to the q, r and i bands). On the other hand, C21 measure the size of a galaxy using their own algorithm. Galaxies with a size smaller than or equal to 30×30 pixels, a direct cutout with a size of 50×50 pixels is made. For larger galaxies, a cutout of size 200×200 pixels is made and then re-sampled into a size of 50×50 pixels.

(2) **Training labels:** Since there were no morphological classifications for DES galaxies with which to train the deep learning models, both studies use the morphological classifications from Sloan Digital Sky Survey (SDSS) imaging. In particular, V21 used the T-Types presented in Domínguez Sánchez et al. (2018, hereafter, DS18), which are based on a deep learning model trained on the T-Types³ provided by the visual classification of Nair & Abraham (2010). The V21 training sample was labeled as early-type galaxies (ETG; for galaxies with T-Type < -0.5) or late-type galaxies (LTG; for galaxies with T-Type > 0.5). Galaxies with intermediate T-Types (-0.5 < T-Type < 0.5), where the scatter in the T-Type is large, were excluded from the training sample. This methodology helped the models to converge by removing galaxies with uncertain classifications. Note that by doing so, potential lenticular galaxies are excluded in the training samples. On the other hand, C21 used the classifications of spiral and elliptical galaxies from Galaxy Zoo 1 catalogue (GZ1; Lintott et al. 2008, 2011), and therefore C21 separates elliptical (Es) from spiral galaxies (Sp). A correction in the GZ1 visual classifications was applied due to the better resolution and deeper images of the DES data compared to SDSS (Cheng et al. 2020a, also see Fischer et al. 2019; Domínguez Sánchez et al. 2022). This correction provides more accurate morphology labels for $\sim 2.5\%$ of the training set used in C21, and excludes $\sim 0.56\%$ of galaxies that are ambiguous for binary classifications. After this, the machine classifier in C21 is more sensitive to disk structures and proves to correctly identify disky galaxies with rounder and blurred features at faint magnitudes, which humans often incorrectly classify as elliptical galaxies (see the comparison $_{256}$ with visual classification in Section 5.2 of C21). Note that, after this labelling correction, the Sp class in C21 includes galaxies with disk structures such as lenticular galaxies.

(3) Brightness of the training sample: Both papers use as the basis of their training sample bright SDSS galaxies (r < 17.7 in V21 and i < 18 in C21) with previous morphological classifications. While C21 only used the DES *i*-band images of bright galaxies as training sample, V21 'emulated' images at higher redshift. They included these faint galaxies in their training sample keeping their original morphological labels, i.e., without changing their 'ground truth' despite their final appearance. Details on the emulation procedure are described in section 2.3 of V21, but in short, the original 268 DES galaxy images were deconvolved by the PSF, flux and 269 size corrections due to cosmological dimming were applied, as well as adding K-correction and evolutionary effects. Finally, noise was added and the images were re-convolved

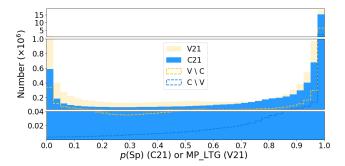


Figure 2. Distributions of mean/median probabilities of being Sp (C21; p(Sp)) or LTG (V21; MP_LTG= median(P_i), where P_i is defined in Section 2.3). The light yellow and blue histograms represent all the galaxies in V21 and C21, respectively, while the dashed lines show the galaxies included in only one of the two catalogues (light yellow for V21 and blue for C21). The y-axis is truncated at different scales for optimal visualization.

with their PSF. In V21 the models were tested on the 'emulated' images (for which true labels were available) with an excellent performance (accuracy $\sim 97\%$ up to r < 21.5mag), demonstrating that their CNN was able to predict correct morphological labels and detect features hidden to the human eye. Additional checks on the morphological classification of real DES faint galaxies were done, using available data that correlates, to some degree, with morphology (see Section 5 in V21 and Section 4.2 in this work.)

(4) Input to the CNN: V21 used g, r, i band images (after normalising each band individually for each galaxy, to prevent the leak of colour information) while C21 used only i-band images, but combined linear, logarithmic, and gradient images. This means that the V21 machine focuses on different structures that are shown in different wavelengths, while the C21 machine considers different structures emphasised in different scales, but uses a single band image.

Classification Definition

257

258

Binary classification models return a probability value of belonging to a particular class. In order to assign a class, a probability threshold must be defined. Each catalogue has its own recommended thresholds to determine morphology classes, which is referred to as 'certain' classification throughout the paper. C21 provides the mean value of the predicted probabilities from five individual models. For each galaxy, an output probability of being an elliptical, p(Es), and a spiral, p(Sp), is assigned by the C21 machine (with p(Es) + p(Sp) = 1). C21 uses a threshold of 0.8 ($\overline{P} > 0.8$) to determine if a galaxy is classified as Es or Sp⁴. In Fig. 2 we show the mean probability distributions of being Sp. p(Sp), for the C21 sample. Note that the galaxies in C21 that are

³ T-Types is a visual classification scheme that uses continuous value to categorise galaxy morphology.

 $^{^4\,}$ C21 also defined a confidence level scheme for users who need a further refinement to the classifications that have similar properties in Sérsic index and g-i colour to the one of the training samples. Note that the classifications provided by C21 have distinguishable Sérsic index distribution with and without applying the confidence level scheme.

Number	V21	$C21^b$	$C \cap V$	C\V	V\C	$C \cup V$
Total Sp/LTG Es/ETG	26,971,945 19,789,809 (0.73) 2,332,097 (0.086)	21,119,107 17,532,564 (0.83) 1,309,229 (0.062)	17,821,250 11,830,693 (0.66) 689,332 (0.039)	3,297,857 2,938,910 (0.89) 35,999 (0.011)	9,150,695 7,100,049 (0.78) 649,727 (0.071)	30,269,802 21,869,652 (0.72) 1.375,058 (0.045)
Uncertain	$4,850,039 (0.18)^a$	2,277,314 (0.11)	$5,301,225 (0.30)^c$, (010)	, (****-)	,- : - , > ()

Table 1. Number of total galaxies and certain morphological classes in each catalogue (as defined in Section 2.3). Fractions are given in brackets. The ' $C \cap V$ ' reports the number of galaxies in the intersection of the two catalogues, ' $C \setminus V$ ' reports the galaxies included in C21 only, while ' $V \setminus C$ ' shows the opposite case. Finally, ' $C \cup V$ ' represents the union of the two catalogues. In this case, the row of 'Sp/LTG' shows the sum of Sp, LTG, and Sp & LTG while the row of 'Es/ETG' is the sum of Es, ETG, and Es & ETG.

 $^{^{\}mathrm{c}}$ Galaxies in this set are not assigned a certain classification in any of the catalogues.

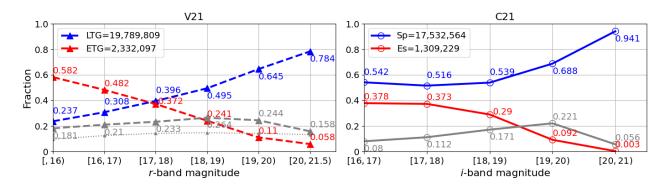


Figure 3. Fraction of Es/ETG (red), Sp/LTG (blue) and galaxies with uncertain classifications (grey) with respect to the total in r and i-band magnitude bins for the V21 (left) and C21 (right) catalogues. The grey dotted line in the left panel (V21) are uncertain galaxies excluding 'secure intermediate' classification (see Section 2.3 for the definition of each class).

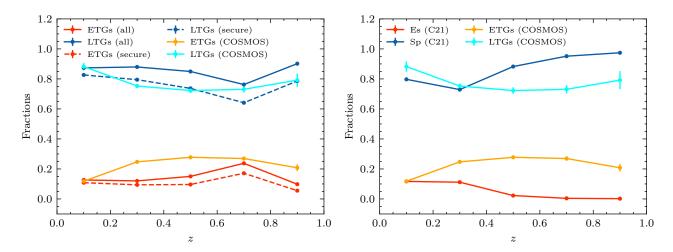


Figure 4. Fraction of morphological classes as a function of redshift for the V21 (left) and the C21 (right) catalogues. Es/ETG (red) are compared with the galaxies classified as ETG in COSMOS (orange) and Sp/LTG (blue) are compared to galaxies classified as Spirals and Irregulars in COSMOS (cyan). For the V21 sample the results are shown for the full (solid lines) and the secure (dashed lines) samples (defined in Section 2.3). COSMOS galaxies are selected in a similar way as the corresponding morphological catalogue: 6 909 galaxies have r < 21.5 mag and $R_e > 2.8$ pixels when compared to V21, and 10 350 galaxies have i < 21.5 mag and no cut in radius when compared to C21. Vertical error bars are computed assuming Poisson error (i.e., considering that the error in the number of galaxies is \sqrt{N}).

^a This number includes secure intermediate classification from V21. By excluding them, the number of galaxies with uncertain classifications is 3,637,301 (0.13).

^b When selecting samples with a confidence level > 2 in C21 (see Section 5.3 of C21), there are 13,312,568 galaxies (0.63 of the total number of galaxies). Within these selected samples, 10,259,513 (0.77 of the total selected samples) galaxies are Sp and 1,197,604 (0.090) galaxies are Es.

271

272

273

274

275

276

277

278

279

280

281

282

283

284

285

286

287

288

289

290

291

292

293

294

295

296

297

298

299

300

301

302

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

not in V21 (labelled as C\V) have an asymmetrical proba- 325 bility distribution for systems being spiral. This sub-sample has a significant fraction of galaxies with a r-band radius ≤ 2.8 pixels ($\sim 40\%$ samples of C\V).

On the other hand, V21 defined 'robust' classifications 329 for ETG and LTG when $max(P_i) < 0.3$ and $min(P_i) > 0.7$, 330 respectively, where P_i represents the probability obtained from the 5 k-folded models. In addition, galaxies are classified as 'secure' when the difference between the maximum and minimum values of the predicted probabilities from the 334 five individual models is smaller than 0.3, i.e. $\triangle P < 0.3$. By definition, a 'robust' classification is a 'secure' classification; 336 galaxies without a robust classification but which satisfies 337 the secure classification criterion are defined as a 'secure in- 338 termediate' in V21.

For simplicity, throughout the paper, we focus only on 340 the 'robust' classifications for V21. For C21, we use clas- 341 sifications based on the probability thresholds mentioned 342 above, i.e. $\overline{P} \geq 0.8$. These classifications are referred to as 343 'certain' type in the discussion. The rest of the galaxies, not 344 in this selection, are categorised as 'uncertain' type in this 345 paper⁵. Hereafter, to distinguish the results from the two 346 catalogues, we will refer to Sp/Es for C21 classifications and 347 to ETG/LTG for V21 ones.

348

349 350

351

366

372

373

STATISTICAL COMPARISON

In Table 1 we report the number of each morphological type in each catalogue and their different combinations. Due to the different selection criteria used to construct the catalogue samples (Section 2.1), C21 and V21 only partially overlap ($C \cap V/C \cup V = \sim 0.59$). The fraction of Sp/LTG is much larger than the Es/ETG in both catalogues (73% and 83% for V21 and C21, respectively).

Fig. 3 shows the fraction of each morphological class in magnitude bins (both versus r-band and i-band magnitudes due to the different sample selection). The two catalogues are dominated by disk-like galaxies (i.e., Sp/LTG) at fainter magnitudes. Faint observed galaxies in our sample can be either low mass systems or at high redshift, more likely consistent with the Sp/LTG or peculiar galaxies, which are are likely to be classified as Sp/LTG in binary classifications, based on past studies (e.g., Butcher & Oemler 1984; Dressler et al. 1994; Barnes & Hernquist 1996; Martel et al. 1998; Conselice et al. 2005; Conselice 2014, etc).

However, there is a clear difference between the two catalogs at bright magnitudes: in V21, the sample is dominated by ETG up to $r \sim 17$, where the trend reverses at fainter magnitudes. On the other hand, the C21 sample is dominated by Sp at all magnitude bins, and this fraction keeps increasing with magnitude, reaching 94% at $i \sim 20.5$.

To shed more light on this difference, we compare the results with previous work. In particular, we use the morphological classifications of the COSMOS sample based on support vector machine (SVM) derived by Huertas-Company et al. (2008) and presented in Tasca et al. (2009). This SVM method classifies the COSMOS galaxies into three

classes: Early Type, Spirals and Irregulars. For comparison, we group the galaxies classified as Spirals and Irregulars as LTG. In order to limit the selection effects in the comparison, we report the fractions for COSMOS galaxies with a DES counterpart and use the magnitude and radius measurements from DES. Figure 4 shows the comparison of the fraction of ETG/LTG according to V21 (left) and Es/Sp according to C21 (right), as a function of redshift, with the ones from the COSMOS sample. The number of COSMOS galaxies that satisfy the V21 criteria (e.g., magnitude and radius limits) is 6 909. When only the magnitude limit is imposed as in C21, the number of COSMOS galaxies increases to 10350. The fraction of LTG for V21 and COSMOS are very consistent in the full redshift range, while the fraction of ETG is somewhat smaller for V21, especially at intermediate redshifts ($z \sim 0.5$). On the other hand, the fraction of Sp from C21 is above that from COSMOS (and the opposite for the Es), especially at higher redshift (z > 0.3). We note however that the area covered by COSMOS is very small (2 deg²) compared to the DES one (5000 deg²) and thus the results could be severely affected by cosmic variance. In addition, the morphological classification from COSMOS may suffer from their own uncertainties.

In any case, it is evident that there is an overabundance of Sp (reported by C21) compared to LTG (reported by V21). One possibility is that the C21 model, which was trained with bright galaxies only, is confusing noise with features in the faint and blurred images and therefore is overpredicting the number of Sp. More investigation is carried out in Section 4.1.2, Section 4.1.3, and Section 4.2.

MORPHOLOGIES AGREEMENT & DISAGREEMENT

In this section we study the agreement between the morphological classification presented in the two catalogues. To be able to compare them one-to-one, we restrict the analysis to the intersection of the two catalogues (17,821,250 galaxies; $C \cap V$ in Table 1). We define the agreement as the fraction of matched classifications (i.e. Es & ETG or Sp & LTG) from the total number of galaxies with a certain classification (Section 2.3). The result is shown in Fig. 5 as a function of i magnitude (used here for convenience since C21 has both lower and upper limits in *i*-band magnitude). The overall agreement is very good, larger than 92% in all magnitude ranges.

However, while the agreement at bright magnitudes is due both to Es/ETG and Sp/LTG (contributing roughly equally to the overall agreement at 16 < i < 17), at fainter magnitudes, the agreement is largely driven by the high number of Sp/LTG: in the last magnitude bin, i=[20,21), only $\sim 0.1\%$ of the galaxies with consistent morphological type between the two catalogues are classified as Es/ETG.

To further investigate the agreement of each morphology class, we show in Fig. 6 the confusion matrices in 4 magnitude bins. There is an excellent agreement between both morphology classes up to i < 19. Assuming that the V21 classification is correct⁶, this indicates that the CNN

 $^{^{5}\,}$ Note that the 'secure intermediate' galaxies from V21 are not discussed in this paper.

⁶ The V21 catalogue performance is discussed in detail when applied to the emulated galaxies, but also with real faint DES galaxies in section 5 of V21

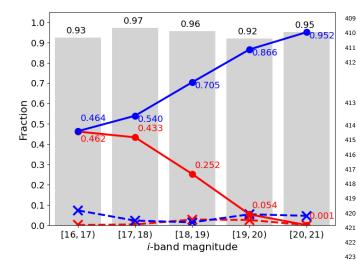


Figure 5. Agreement of certain types (Section 2.3) within different magnitude bins in *i*-band. Grey bars show the percentage agreement of all galaxies with certain classifications. Solid lines represent the fraction of galaxies with matched classifications between the two catalogues from intersection samples. Blue colour shows the match of Sp & LTG while red colour is for Es & ETG.

On the contrary, dashed lines and cross points are the fraction of galaxies with mismatched classifications. Blue colour shows the fraction of galaxies that are Sp in C21 but ETG in V21 while red colour present the fraction of ones with a class of Es in C21 and LTG in V21.

predictions of C21 are reliable for samples at least one magnitude fainter than the training sample.

However, at i>19 the agreement vanishes, mostly due $_{437}$ to an increasing number of Sp galaxies identified by the $_{438}$ C21 catalogue (also see Fig. 3 and 4). Fig. 5 shows that $_{439}$ at $i{=}[16{,}17),$ about 46% of the galaxies classified as ETG $_{440}$ by V21 and Es by C21, the percentage significantly drops to $_{441}$ $\sim25\%$ at $i{=}[18{,}19),$ and at $i{=}[20{,}21)$ the fraction is almost $_{442}$ negligible (0.1%). On the other hand, most of the galaxies classified as LTG in V21 are also classified as Sp by C21.

The differences in the classification of the faint end $_{445}$ galaxies are probably due to the different training strate- $_{446}$ gies: recall that the C21 machine is trained with the im- $_{447}$ ages of bright galaxies (16 $\leq i <$ 18) while V21 included $_{448}$ emulated faint DES galaxies up to 22.5 mag in the training sample. In addition, it could also be impacted by the $_{450}$ different morphological labels used for training in the two $_{451}$ works. Unfortunately, the absence of 'ground truth' for the $_{452}$ faint DES galaxies prevents us from claiming which are the $_{453}$ 'right' or 'wrong' classifications. In the next sections 4.1.3, $_{454}$ 4.2.1, and 5 we investigate in detail the properties of the $_{455}$ galaxies with mismatched classifications to shed some light on their nature and their true morphological class.

4.1 Bright Galaxies

382

383

384

385

386

387

388

389

392

393

394

395

396

397

398

399

400

401

402

403

404

405

406

407

408

The previous section suggests that the comparison of the morphological classifications for the bright and faint galaxies is very different, and so we divide the discussion in the two regimes. In this section, we validate the classifications of bright galaxies ($16 \le i < 18$) taking advantage of the

available labels. We compare the classifications with the labels used for training each of the data sets (i.e., GZ1, Section 4.1.1; and DS18, Section 4.1.2) and we discuss the nature of the mismatched cases in Section 4.1.3.

4.1.1 Compared with GZ1 labels

First, we compare the classifications of the bright galaxies ($16 \le i < 18$) with the labels from Galaxy Zoo 1, used for training C21 models. Both catalogues show an excelent agreement with the GZ1 labels, with less than 3% of misclassifications. Interestingly, the dominant mismatches of each catalogue are different. In C21, the main mismatch occurs for galaxies classified as Sp in C21 but labelled as Es in GZ1. C21 and Cheng et al. (2020a) discussed that DES imaging data has better resolution and imaging depth than SDSS revealing structures such as spiral arms which are not clearly visible in SDSS imaging data, which could explain the disagreement in the classifications. Randomly selected examples of this case are shown in Fig. A1. These galaxies are visually disky and/or spiral galaxies, indicating that C21 classifies these galaxies correctly.

On the other hand, the $\sim\!2\%$ of the misclassifications in V21 correspond to galaxies classified as ETG in V21 but labelled as Sp by GZ1. This mismatch is mostly due to lenticular or edge-on galaxies 7 . Randomly selected examples of this case are shown in Fig. A2

4.1.2 Compared with DS18

424

434

435

459

460

Next, we compare both catalogues with the labels from DS18 in Fig. 7. V21 reaches an accuracy of 0.95 while C21 has an accuracy of 0.85, with the main source of mismatches being galaxies classified as Sp in C21 but labeled as ETG (T-Type≤0) in DS18. We check whether this mismatch is dominated by lenticular galaxies using the probability of being lenticular (p(S0)) reported in DS18. We confirms that most (0.95) of the galaxies classified as Sp by C21 and labelled as ETG by DS18 are, in fact, lenticular galaxies, consistent with the previous section. Randomly selected examples of this kind of mismatch are shown in Fig. A3. In addition, we notice that a few of these galaxies show spiral arms. This is the case where the better quality of the DES images reveals structures that are not visible in SDSS, and the corrected classifier in C21 is able to classify them correctly. In the right panel of Fig. 7 we check the role played by lenticular galaxies (classified as such in DS18 according to p(S0)) by excluding them from the computation of the confusion matrix. The agreement with C21 classification is significantly improved for the Sp populations, reaching 99% agreement for this class.

The excellent agreement between the two catalogues and their respective training labels suggests that the inclusion of the three band images does not improve the classification significantly, at least in the bright regime. This can also be important for the construction of future morphological catalogues where single band images can be used.

⁷ V21 warns catalogue users not to trust galaxies classified both as ETG and edge-on, and already noted after visual inspection that many of these could be lenticular galaxies seen edge-on.

8 Ting-Yun Cheng et al.

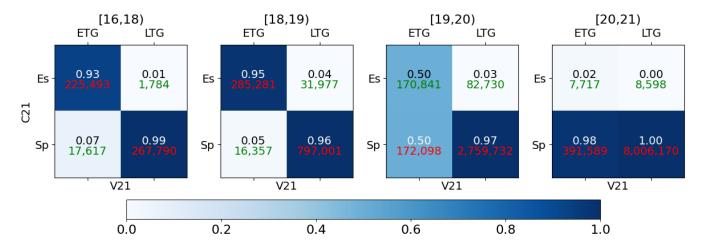


Figure 6. Confusion matrices of $C \cap V$ samples in different magnitude bins. This uses V21 classification as the reference. Each quadrant shows a different combination of classifications provided by the two catalogues. The red or green text in each quadrant represent the number of galaxies that agrees with the classifications from C21 and V21 within each quadrant. The number above this text indicates the fraction of these galaxies within each certain type decided by V21 classification. Each quadrant is coloured using this fraction, according to the scalebar.

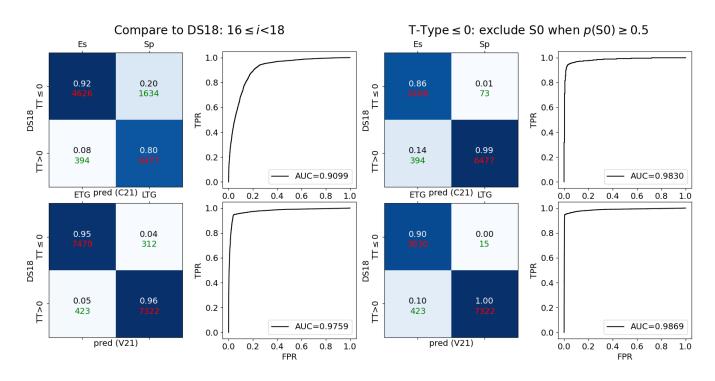


Figure 7. Confusion matrices and ROC curves of bright galaxies ($16 \le i < 18$) in C21 (top panel) and V21 (bottom panel) compared with DS18. 'TT' represents 'T-Type'. TT ≤ 0 and TT>0 represents ETG and LTG in DS18, respectively. The right panel shows the confusion matrices that exclude lenticular galaxies (S0) with the probability of being S0, $p(S0) \ge 0.5$, in DS18 from ETG (T-Type ≤ 0).

4.1.3 Mismatched classifications of bright galaxies

463

464

465

466

467

468

We now study in more detail the misclassifications between the two catalogues at $16 \le i < 18$. There are 17,617 Sp & $_{472}$ ETG and 1,784 Es & LTG, corresponding to $\sim 3.44\%$ and $\sim 0.348\%$ of the certain types within this magnitude range, respectively.

For the case of Sp & ETG, 0.94 of them are labelled 476

as lenticular galaxies according to DS18. This suggests that bright galaxies with a classification of Sp & ETG are likely lenticular galaxies, in agreement with the finding in Section 4.1.2. Fig. 8 shows structural and physical properties of this mismatch. The distributions indicate that Sp & ETG galaxies have intermediate to large Sersic index, high stellar mass, and similar colour distributions to the Es & ETG. On the contrary, they have a very different ellipticity distribu-

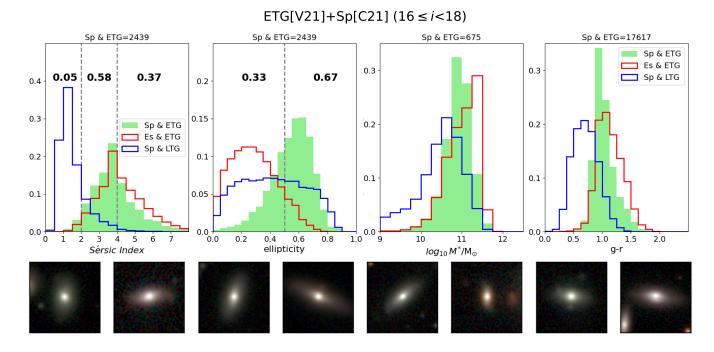


Figure 8. Top: the normalised distribution of Sérsic index, ellipticity, stellar mass, and apparent colour (g-r) from left to right. The light green histograms represent Sp & ETG while the red and blue lines show the case of Es & ETG and Sp & LTG, respectively, for comparison. The grey dashed vertical lines note Sérsic index = 2.0 and 4.0 and ellipticity = 0.5. The textual information within each area separated by the grey lines shows the fraction of samples with Sp & ETG in each range. Bottom: randomly selected example images of the mismatched case, Sp & ETG.

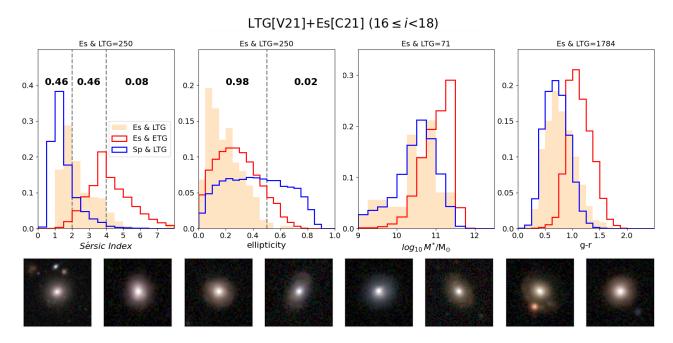


Figure 9. Top: the normalised distribution of Sérsic index, ellipticity, stellar mass, and apparent colour (g-r) from left to right. The light orange histograms represent Es & LTG while the red and blue lines show the case of Es & ETG and Sp & LTG, respectively, for comparison. The grey dashed lines note Sérsic index = 2.0 and 4.0 and ellipticity = 0.5. The textual information within each area separated by the grey lines shows the fraction of samples with Es & LTG in each range. Bottom: randomly selected example images of the mismatched case, Es & LTG.

478

479

480

481

482

483

485

486

487

488

489

490

491

492

493

494

495

496

497

498

499

500

501

502

503

507

508

509

510

511

512

513

514

515

517

518

519

520

521

522

523

524

525

526

530

531

532

533

tion than Es & ETG, peaking at elongated values. Randomly selected example images are shown below.

534

535

537

555

556

557

558

573

574

575

By combining the classifications of the two catalogues 536 and looking for Sp & ETG, we are able to 're-discover' lenticular galaxies, a class which was not included in any of the training labels used in the construction of the two catalogues. Note, however, that completeness is not ensured when selecting a sample of lenticular galaxies with this ap-541

For the Es & LTG, we show the Sérsic index, elliptic- 543 ity, apparent colour (q-r), and stellar mass distribution 544 in Fig. 9. Galaxies with a classification of Es & LTG follow 545 the same colour and mass distribution of Sp & LTG and 546 tend to have intermediate Sérsic index, 1 < n < 4, peak- 547 ing at $n \sim 1.5$. In addition, they have low ellipticity, i.e., 548 are round objects, suggesting that these galaxies could be 549 disk galaxies seen face-on. Randomly selected examples are 550 shown in Fig. 9. Note that these galaxies have a large bulge 551 component, and the spiral structure, if present, is very faint. 552 These galaxies probably correspond to intermediate types some of them could be S0 or even Es; these galaxy types are difficult to categorize in any of the two classes.

4.2 Faint Galaxies

Despite the good agreement between the two catalogues at bright magnitudes (i < 19 in Fig. 6), this only represents 14% (2,507,490 galaxies) of the intersection of the two catalogues. The lack of a labelled sample at i > 19 complicates the comparison. Therefore, in this section, we rely on other quantities that correlate with morphology but not uniquely to validate our results. In particular, we use the structural parameters from T18, stellar masses¹ using similar methods to Palmese et al. (2020), photometric measurements (colour) from the DES Y3 GOLD catalogue, and absolute colour, stellar mass (Moutard et al. 2016a,b), and spectral classifications (Siudek et al. 2018b) from VIPERS. Despite a small statistics, we use VIPERS measurements for the absolute colour at fainter magnitudes, because the measurement of absolute magnitudes in DES is less accurate due to the larger uncertainty of the DES photometric redshifts.

Sp & ETG mismatch 4.2.1

The number of Sp & ETG at $i \ge 18$ is 580,044, corresponding only to $\sim 4.6\%$ of the certain types at $i \geq 18$ (as defined in Section 2.3), but as much as $\sim 41\%$ of the ETG classified as such by V21. Fig. 6 shows that the agreement between the galaxies classified as ETG drops significantly after $i \geq 19$. Hereafter, we separate the discussion into three different magnitude bins: 18 \leq i < 19, 19 \leq i < 20, 20 \leq i < 21. In Fig. 10, we show the Sérsic index, ellipticity, stellar mass, and apparent colour (g-r) distributions in magnitude bins for the Sp & ETG. Note that the colour is based on observed magnitudes, not absolute or K-corrected due to the uncertainty in DES photometric redshift, which complicates the comparison at different redshifts. We use apparent colour distributions of the matched classifications in each magnitude bin as a comparison.

Galaxies classified as Sp & ETG follow a similar Sérsic index distribution of bright Sp & ETG in all magnitude bins, peaking at intermediate range $(n \sim 3)$. They are red and massive objects, with very similar colour and mass distributions to the Es & ETG. Interestingly, the ellipticity (ϵ) distributions are very different at different magnitude ranges: the Sp & ETG 18 < i < 19 have large ellipticities (i.e., they are elongated galaxies), similar to the bright Sp & ETG, supporting the assumption that they are potential lenticular galaxies. On the other hand, at i > 19 they span a much wider range peaking at $\epsilon \sim 0.2$ (i.e., rounder objects).

The final panel shows randomly selected examples of Sp & ETG. While the ones at $18 \le i \le 19$ look like lenticulars seen edge-on, the images at fainter magnitudes are very noisy and it is difficult to confirm their morphology by eye. Because of the significant change in properties, we cannot argue that the Sp & ETG at fainter magnitude are dominated by lenticular galaxies.

In order to further investigate the nature of these Sp & ETG galaxies, we use measurements from VIPERS, which provide absolute colors and a classification based on spectroscopic information. Unfortunately, the number of galaxies in the two catalogues with a VIPERS counterpart is very small $(\sim 4800 \text{ in total}, \sim 600 - 700 \text{ Sp \& ETG}, 99\% \text{ of them with})$ i > 19), and thus we cannot separate the sample into magnitude bins. Fig. 11 shows similar trends to Fig. 10. The distribution of Sérsic index and absolute colour $(M_q - M_r)$ of Sp & ETG is even more similar to the one of Es & ETG. In addition, 0.99 of the Sp & ETG have spectral classifications from Siudek et al. (2018b)8, consistent with passive or intermediate populations (class=[0,7]). These results suggest that this population comprises distant passive/intermediate massive galaxies with round shapes, more consistent with ETG including potential lenticular galaxies (although this is very difficult to say from visual inspection alone due to the noisy images).

Note that there are only 4 galaxies with a class of Sp & ETG at $18 \le i < 19$ with VIPERS's measurements such as Sérsic index. For $i \ge 19$, we also have small statistics -605galaxies; thus, for this specific magnitude range more precise measurements to make a robust comparison are needed.

4.2.2 Es & LTG mismatch

Now we discuss the Es & LTG case, which corresponds to 123,305 galaxies (\sim 1% of the certain types and \sim 1% of the LTG according to V21), i.e, a much smaller fraction than the mismatch Sp & ETG.

Fig. 12 shows the Sérsic index, ellipticity, stellar mass, and apparent colour distributions for Es & LTG. In general, these galaxies have similar distributions in mass and colour as the Sp & LTG population. However, they are round objects with intermediate values of Sérsic indices. The cutout examples have no signs of spiral or asymmetric structure, suggesting that these could be face-on spiral galaxies with low T-Types (0 < T-Type < 2), or even low mass elliptical galaxies. Again, these are intermediate galaxies which are difficult to classify, similarly to the brighter population discussed in Section 4.1.3. Note that the fraction of Es &

⁸ Siudek et al. (2018b) used a Fisher Expectation-Maximization (FEM) unsupervised algorithm to categorize galaxies in 12 spectral classes

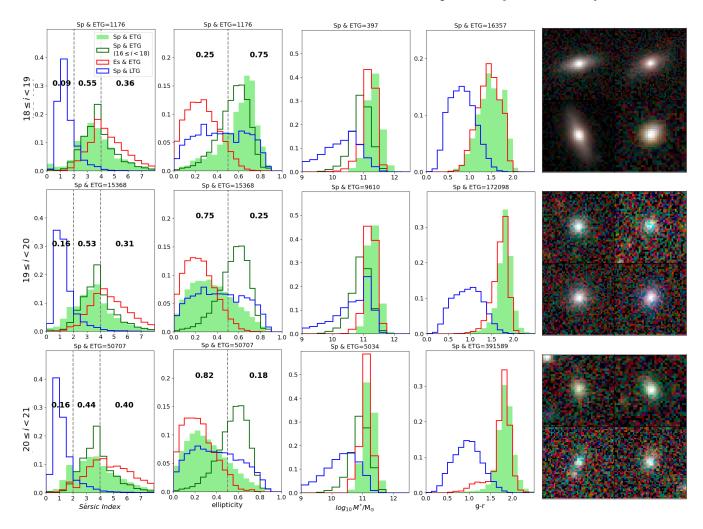


Figure 10. Normalised distributions of Sérsic index, ellipticity, stellar mass, and apparent colour, g-r, are presented from left to right, and the last panel shows randomly selected examples of Sp & ETG within each magnitude range. The green shaded histograms show the distribution of Sp & ETG within each magnitude range. The number of Sp & ETG galaxies that are used to construct the green shaded histograms is shown above each graph. Solid red and blue lines are the distribution of Es & ETG and Sp & LTG, respectively, for comparison. The solid dark green lines show the distributions of the Sp & ETG case with $16 \le i < 18$ for comparison. The grey vertical dashed lines note Sérsic index = 2.0 and 4.0 and ellipticity = 0.5. The textual information within each area separated by the grey lines shows the fraction of samples with Sp & ETG in each range.

LTG with high Sérsic index (i.e. Sérsic index > 2) increases 606 after $i \geq 19$. In particular, a bimodal distribution appears 607 in the fainter bin (20 $\leq i <$ 21). These galaxies with bulge 608 structure (Sérsic index > 4) are blue galaxies with relatively 609 lower mass (peaking at $log_{10} \, M^*/{
m M_{\odot}} \sim 10.5$) compared to $_{610}$ the ones of Es & ETG. Although the Sérsic index measure- 611 ments are more uncertain for this kind of galaxies, which 612 complicates the analysis, it is reasonable that these galax- 613 ies have uncertain classification since they are uncommon in $_{614}$ the initial training samples (i.e. bright galaxies) but also due 615 to their intrinsic faintness, which complicates the morphological classification. This shows that, although emulating bright galaxies to fainter magnitudes for training improves the CNN accuracy, some populations of galaxies could be missing. As an alternative, using hydrodynamic simulations to build complete populations of galaxies throughout the magnitude and redshift ranges of the targets in the training

process might help solving this bias. By adopting the certain classifications provided in the two catalogues, one could alternatively train a series of machines that contains real faint galaxies.

As a side note, the Sp & LTG population at $19 \le i < 20$ contain more massive galaxies (with a peak at $log_{10} M^*/M_{\odot} \sim 11$) than in other magnitude bins. Since there is not an apparent change in Sérsic index, ellipticity, and colour, these massive galaxies may be interesting targets to followup.

5 COMPARISON OF GALAXIES PROPERTIES

Finally, without limiting to the intersection samples, we study several physical and structural properties in each catalogue individually for the samples with a certain classification, i.e. either Es or Sp in C21 and either ETG or LTG

589

590

591

592

593

594

596

597

598

599

600

601

602

603

604

12 Ting-Yun Cheng et al.

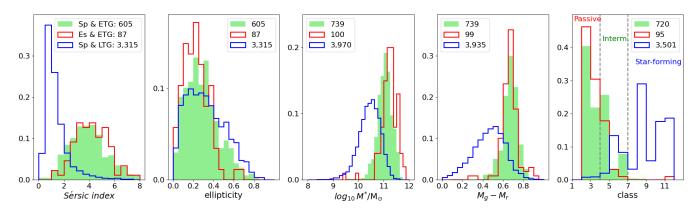


Figure 11. Normalised distributions of Sérsic index, ellipticity, stellar mass, absolute colour $M_g - M_r$ (from VIPERS), and unsupervised spectral classification from Siudek et al. (2018b) are presented. The green shaded histograms show the distributions of Sp & ETG. The solid red and blue lines are the distributions of Es & ETG and Sp & LTG, respectively, for comparison.

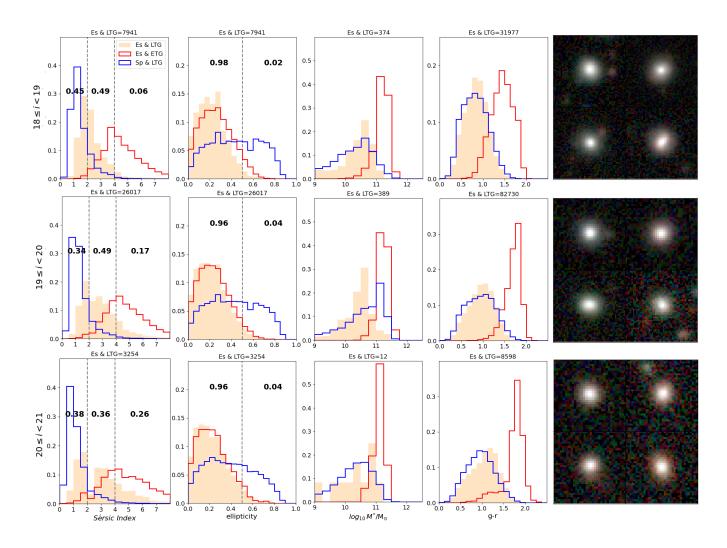


Figure 12. Normalised distributions of Sérsic index, ellipticity, stellar mass, and apparent colour, g-r, for Es & LTG are presented from left to right, and the last panel shows randomly selected examples of Es & LTG within each magnitude range. The orange shaded histograms show the distributions of Es & LTG. The number of Es & LTG used to plot the orange shaded histograms is shown above each graph. The solid red and blue lines are the distributions of Es & ETG and Sp & LTG, respectively, for comparison. The grey dashed lines note Sérsic index = 2.0 and 4.0 and ellipticity = 0.5. The textual information within each area separated by grey lines shows the fraction of samples with Es & LTG in each range.

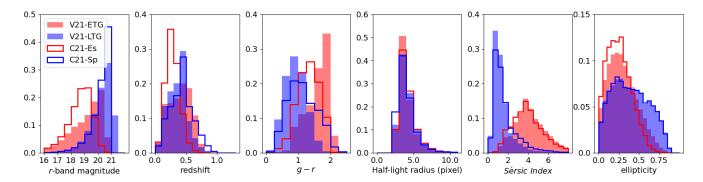


Figure 13. Normalised distributions of r-band magnitude, redshift, apparent colour (g-r), half-light radius, Sérsic index, and ellipticity. The shaded histograms show galaxies with robust classification from V21 while solid lines are for C21. Red and blue colour indicates Es/ETG and Sp/LTG, respectively.

656

657

658

674

675

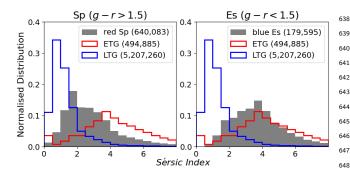


Figure 14. The shaded histograms show the normalised Sérsic index distributions of red Sp with g-r > 1.5 (left) and blue Es with g-r < 1.5 (right) from C21. The blue and red solid lines show the Sérsic index distributions of LTG and ETG from V21, respectively, for comparison. The number of data in each class that have Sérsic index measurements is shown within brackets in 655 the legend.

in V21, in order to further discuss what might impact each machine's decision. Note that the classification criteria used for V21 classifications is a strict version (Section 2.3)⁹.

Fig. 13 shows the distributions of magnitude, redshift, observed colour and structural parameters (half-light radius, Sérsic index, ellipticity) for the two classes (ETG/LTG or Es/Sp) in the two catalogues. While the distributions in size and ellipticity look quite similar for the two catalogues, there are significant differences in the other properties.

In particular, ETG and LTG, classified according to V21, have similar redshift distributions, but show a clear bimodality in colour. The ETG have brighter observed magnitudes compared to LTG but span through the full magnitude range, at least up to 21 mag in the r-band. On the other hand, the colors of Es and Sp galaxies, classified as such according to C21, are more overlapped, while the Es population clearly dominates at bright magnitudes (peaking at \sim 18.5 mag in the r-band) and lower redshifts compared to the Sp population.

We further investigate the discrepancy in colour distribution by studying the Sérsic index distribution of red Sp (g-r > 1.5) and blue Es (g-r < 1.5) in Fig. 14. Note that we use apparent colour in comparison without addressing K-correction; hence, we apply a colour cut at g-r=1.5, at the intersection point of the colour distributions of ETG and LTG (Fig. 13). Blue galaxies (g-r < 1.5) classified as Es in C21 do closely follow the Sérsic index distribution of ETG from V21. On the other hand, the red (g - r > 1.5) galaxies classified as Sp have a intermediate Sérsic index distribution in between ETG and LTG. This indicates a significant structural difference between red Sp and ETG/LTG which may indicate that they are potential lenticular galaxies.

Red Sp are $\sim 21\%$ of galaxies at z < 1 with a certain classification in C21 (\sim 18% from total samples). In Section 4.1.3, we discussed that the Sp & ETG can be a potential indicator of lenticular galaxies. We notice that over 90% of the mismatched case of Sp & ETG are red Sp (note that only 22.5% red Sp are Sp & ETG). However, we again note that there are a variety of uncertainties associated with identifying lenticular galaxies, since they cover a wide range of physical properties (Deeley et al. 2020, 2021). Therefore, the pursuit in this paper is not to classify lenticular galaxies, but to assess the impact of different CNN approaches to this undefined class.

SUMMARY AND CONCLUSIONS

In this work we compare the two largest galaxy morphological classification catalogues to date: V21, which includes \sim 27 million galaxies at r < 21.5, and C21, which includes ~ 21 million galaxies with $16 \le i < 21$ and z < 1. Due to different initial sample selection, the two catalogues have an overlap of $\sim 60\%$. Besides differences in the CNN architectures, the two studies have fundamental differences in their approaches such as (1) cutout sizes; (2) training labels; (3) brightness of the training sample; and (4) input to the CNN: multi-band vs monochromatic images (Section 2.2). The fact that such different methodologies are applied to the same and large datasets, allows us to compare the results in a statistically

621

622

623

624

625

626

627

628

629

630

631

632

634

635

636

⁹ One can choose to use loose criteria such as including secure intermediate classification to increase statistics depending on the science goals.

679

680

681

682

683

684

689

690

691

692

693

694

695

696

697

698

699

700

701

702

703

704

705

706

707

708

709

710

711

712

713

714

715

716

717

718

719

720

721

722

723

724

725

726

727

728

729

730

732

733

734

735

736

737

738

significant way and to assess different machine learning approaches.

739

741

747

770

791

We examine the agreement between two catalogues using the intersection samples ($C \cap V$); the classification agreement is as high as $\sim 95\%$ (see Fig. 5) of the galaxies with a certain classification, i.e. Es & ETG or Sp & LTG (as defined in Section 2.3). However, the large agreement is mostly driven by the Sp & LTG population, which corresponds to 66% of the intersection of the two catalogues. On the other hand, the agreement of the Es/ETG population is lower (3.9%), especially at i > 19 mag ($\sim 5\%$, Fig. 5), where the number of galaxies classified as Es by C21 decreases (see Fig. 3).

The machine classifier of C21 was trained with the brighter low redshift galaxies only where reliable visual labels were available, while V21 added faint galaxies to the training sample by emulating bright galaxies to fainter magnitudes. One of the main results from this comparison is the conclusion that the C21 machine can push its predictions accurately one magnitude fainter than its training samples. The excellent agreement between the two catalogues up to i < 19 also indicates that the use of multi-band images does not provide a significant improvement in the morphological classifications of galaxies when compared to the use of monochromatic images. This can have a significant impact on the machine learning methodologies used for morphological classifications in future Big Data surveys such as Euclid or Rubin/LSST.

We have studied in detail the photometric, structural and spectroscopic properties of the mismatched classifications of the two catalogues divided in the bright (i < 18) and faint ($i \ge 18$) regimes. Our main findings are:

- \bullet Bright Sp & ETG: These are $\sim 3.4\%$ of the galaxies with certain classification in this magnitude range. Their properties and appearance are consistent with possibly being lenticular galaxies (Figure 8), a class that was not included in any of the training samples. This mismatch could be a potential way to identify lenticular galaxies, but we note that this approach might result in a small completeness.
- Bright Es & LTG: These contribute less than 0.4% to the galaxies with certain classification in this magnitude range. They are generally blue, round galaxies (95% have ellipticity smaller than 0.5, see Figure 9) with intermediate Sèrsic indices. These galaxies are difficult to classify and could be a mixture of face-on disk galaxies with no signs of spiral structure, lenticular, or elliptical galaxies.
- Faint Sp & ETG: This population contributes to $\sim 5\%$ of the galaxies with certain classification in this magnitude range but as much as 41% of the ETG classified as such by V21. At $18 \le i < 19$, their structural measurements and physical properties follow similar trends as the ones at $16 \le i < 18$, supporting the hypothesis that they could be lenticular galaxies as well. In the fainter magnitude bins (i > 19) their properties are more consistent with a red, massive, and passive population, although it is almost impossible to confirm via visual inspection due to their noisy images (see Figure 10).
- \bullet Faint Es & LTG: These correspond to $\sim 1\%$ of the 794 galaxies with a certain classification for both catalogs in 795 this magnitude range. These systems blue galaxies with relatively lower mass compared to the Es & ETG, round shapes, 797

and intermediate Sèrsic indices. These galaxies are difficult to classify, as in the bright regime (Fig. 12). At $i \geq 19$, there is a bimodal distribution of the Sérsic index, i.e., they could be a population of blue low mass galaxies with significant bulge component, which may not be well-represented in the training sample.

Finally, we compare the physical properties of the galaxies classified as Es/ETG and Sp/LTG from each catalogue in Fig. 13. The galaxies classified as ETG by V21 have similar structural measurements such as half-light radius, Sérsic index, and ellipticity to the galaxies classified as Es by C21; the same is true for Sp/LTG. However, the distribution in observed magnitude, redshift and colour are very different for the C21 compared to V21. ETG and LTG galaxies span more or less over the full magnitude and redshift range but are clearly separated in colour, with ETG being redder. On the other hand, the colors of Es/Sp are more overlapped and instead there is a clear difference in the magnitude and redshift of Es and Sp, with Es being more abundant in the bright low redshift regime. This suggests that the C21 classifier underpredicts Es at fainter magnitudes and high redshifts (see also Fig. 4) probably because it confuses noise with structure. However, interestingly, when examining blue Es (g-i < 1.5) and red Sp (g-i > 1.5) in Fig. 14, blue Es have similar structure to ETG and red Sp show intermediate structure between ETG and LTG.

This is the first comparison of two large morphological catalogues, including over 20 million galaxies each, generated by a CNN. This allows us to assess different CNN approaches and to further validate the classifications provided by each catalogue. These classifications could indeed serve as a training sample to classify future datasets at faint magnitude limits. In the case of disagreement between the classifications ($\sim 5\%$ of intersection of the two samples), we suggest to complement the classification with additional parameters, such as structural measurements. Furthermore, by combining the two catalogues, the number of total classifications is increased up to ~ 30 million galaxies for future studies of galaxy evolution. We warn the reader to be aware of the biases and disagreement detailed in this paper when making use of the catalogues for scientific research. In particular, we caution on the robustness of the selection of the ETG population at faint magnitudes due to the large discrepancy of the classifications presented in the two catalogues.

ACKNOWLEDGEMENTS

We thank Dr Thibaud Moutard for providing the measurements of galaxy stellar mass in VIPERS to this work. TYC acknowledges the support of the STFC grants ST/T000244/1 at the Durham University. MS has been supported by the European Union's Horizon 2020 Research and Innovation programme under the Maria Sklodowska-Curie grant agreement (No. 754510) and the Spanish Ministry of Science and Innovation through the Juan de la Cierva-formacion programme (FJC2018-038792-I). MS has been supported by the Polish National Agency for Academic Exchange (Bekker grant BPN/BEK/2021/1/00298/DEC/1), the European Union's Horizon 2020 Research and Innovation Programme under the Maria Sklodowska-Curie grant agreement (No. 754510) and the Spanish Ministry of Science

and Innovation through the Juan de la Cierva-formacion $_{859}$ programme (FJC2018-038792-I).

798

799

800

801

802

803

804

805

807

808

809

810

811

812

813

814

815

817

818

819

820

821

822

823

824

825

826

830

831

832

833

834

835

836

837

838

839

841

842

843

844

845

846

847

848

849

850

851

855

856

857

858

Funding for the DES Projects has been provided by the U.S. Department of Energy, the U.S. National Science Foundation, the Ministry of Science and Education of Spain, the Science and Technology Facilities Council of the United Kingdom, the Higher Education Funding Council for England, the National Center for Supercomputing Applications at the University of Illinois at Urbana-Champaign, the Kavli Institute of Cosmological Physics at the University of Chicago, the Center for Cosmology and Astro-Particle Physics at the Ohio State University, the Mitchell Institute for Fundamental Physics and Astronomy at Texas A&M University, Financiadora de Estudos e Projetos, Fundação Carlos Chagas Filho de Amparo à Pesquisa do Estado do Rio de Janeiro, Conselho Nacional de Desenvolvimento Científico e Tecnológico and the Ministério da Ciência, Tecnologia e Inovação, the Deutsche Forschungsgemeinschaft, and the Collaborating Institutions in the Dark Energy Survey.

The Collaborating Institutions are Argonne National Laboratory, the University of California at Santa Cruz, the University of Cambridge, Centro de Investigaciones Energéticas, Medioambientales y Tecnológicas-Madrid, the University of Chicago, University College London, the DES-Brazil Consortium, the University of Edinburgh, the Eidgenössische Technische Hochschule (ETH) Zürich, Fermi National Accelerator Laboratory, the University of Illinois at Urbana-Champaign, the Institut de Ciències de l'Espai (IEEC/CSIC), the Institut de Física d'Altes Energies, Lawrence Berkeley National Laboratory, the Ludwig-Maximilians Universität München and the associated Excellence Cluster Universe, the University of Michigan, the National Optical Astronomy Observatory, the University of Nottingham, The Ohio State University, the University of Pennsylvania, the University of Portsmouth, SLAC National Accelerator Laboratory, Stanford University, the University of Sussex, Texas A&M University, and the OzDES Membership Consortium.

Based in part on observations at Cerro Tololo Inter-American Observatory, National Optical Astronomy Observatory, which is operated by the Association of Universities for Research in Astronomy (AURA) under a cooperative agreement with the National Science Foundation.

894

895

896

898

900

901

903

912

914

The DES data management system is supported by the National Science Foundation under grant numbers AST-1138766 and AST-1536171. The DES participants from Spanish institutions are partially supported by MINECO under grants AYA2015-71825, ESP2015-66861, FPA2015-68048, SEV-2016-0588, SEV-2016-0597, and MDM-2015-0509, some of which include ERDF funds from the European Union. IFAE is partially funded by the CERCA programme of the Generalitat de Catalunya. Research leading to these results has received funding from the European Research Council under the European Union's Seventh Framework Program (FP7/2007-2013) including ERC grant agreements 240672, 291329, and 306478. We acknowledge support from the Australian Research Council Centre of Excellence for All-sky Astrophysics (CAASTRO), through project number CE110001020, and the Brazilian Instituto Nacional de Ciencia e Tecnologia (INCT) e-Universe (CNPq grant 465376/2014-2).

DATA AVAILABILITY

The morphological catalogue of V21 and C21 are available in the Dark Energy Survey Data Management (DESDM) system at the National Center for Supercomputing Applications (NCSA) at the University of Illinois and can be accessed at https://des.ncsa.illinois.edu/releases/other/morphCNN.

```
REFERENCES
Abbott T. M. C., et al., 2018, ApJS, 239, 18
Alarcon A., et al., 2021, MNRAS, 501, 6103
Arnouts S., Ilbert O., 2011, LePHARE: Photometric Analysis for
   Redshift Estimate (ascl:1108.009)
Baillard A., et al., 2011, A&A, 532, A74
Banerji M., et al., 2010, MNRAS, 406, 342
Barnes J. E., Hernquist L., 1996, ApJ, 471, 115
Bottrell C., et al., 2019, MNRAS, 490, 5390
Bruzual G., Charlot S., 2003, MNRAS, 344, 1000
Butcher H., Oemler A. J., 1984, ApJ, 285, 426
Cheng T.-Y., et al., 2020a, MNRAS, 493, 4209
Cheng T.-Y., Li N., Conselice C. J., Aragón-Salamanca A., Dye
   S., Metcalf R. B., 2020b, MNRAS, 494, 3750
Cheng T.-Y., Huertas-Company M., Conselice C. J., Aragón-
   Salamanca A., Robertson B. E., Ramachandra N., 2021a, MN-
   RAS, 503, 4446
Cheng T.-Y., et al., 2021b, MNRAS, 507, 4425
Collister A. A., Lahav O., 2004, PASP, 116, 345
Conselice C. J., 2006, MNRAS, 373, 1389
Conselice C. J., 2014, ARA&A, 52, 291
Conselice C. J., Blackburne J. A., Papovich C., 2005, ApJ, 620,
DES Collaboration 2005, arXiv e-prints, pp astro-ph/0510346
DES Collaboration et al., 2016, MNRAS, 460, 1270
De Vicente J., Sánchez E., Sevilla-Noarbe I., 2016, MNRAS, 459,
Deeley S., et al., 2020, MNRAS, 498, 2372
Deeley S., Drinkwater M. J., Sweet S. M., Bekki K., Couch W. J.,
   Forbes D. A., Dolfi A., 2021, MNRAS, 508, 895
Domínguez Sánchez H., Huertas-Company M., Bernardi M., Tuc-
   cillo D., Fischer J. L., 2018, MNRAS, 476, 3661
Domínguez Sánchez H., Margalef B., Bernardi M., Huertas-
   Company M., 2022, MNRAS, 509, 4024
Dressler A., 1980, ApJ, 236, 351
Dressler A., Oemler Augustus J., Butcher H. R., Gunn J. E., 1994,
   ApJ, 430, 107
Everett S., et al., 2022, ApJS, 258, 15
Ferreira L., Conselice C. J., Duncan K., Cheng T.-Y., Griffiths
   A., Whitney A., 2020, ApJ, 895, 115
Fischer J. L., Domínguez Sánchez H., Bernardi M., 2019, MN-
   RAS, 483, 2057
Flaugher B., et al., 2015, AJ, 150, 150
Fukugita M., et al., 2007, AJ, 134, 579
Ghosh A., Urry C. M., Wang Z., Schawinski K., Turp D., Powell
   M. C., 2020, ApJ, 895, 112
Gupta R., Srijith P. K., Desai S., 2022, Astronomy and Comput-
   ing, 38, 100543
Hausen R., Robertson B. E., 2020, ApJS, 248, 20
Holmberg E., 1958, Meddelanden fran Lunds Astronomiska Ob-
   servatorium Serie II, 136, 1
Huertas-Company M., Rouan D., Tasca L., Soucail G., Le Fèvre
   O., 2008, A&A, 478, 971
Huertas-Company M., et al., 2016, MNRAS, 462, 4495
```

Huertas-Company M., et al., 2018, ApJ, 858, 114

Ivezić Ž., et al., 2019, ApJ, 873, 111

```
Jacobs C., Glazebrook K., Collett T., More A., McCarthy C., 985
922
        2017, MNRAS, 471, 167
    Krywult J., et al., 2017, A&A, 598, A120
924
    Lahav O., et al., 1995, Science, 267, 859
925
    Lanusse F., Ma Q., Li N., Collett T. E., Li C.-L., Ravanbakhsh
926
        S., Mandelbaum R., Póczos B., 2018, MNRAS, 473, 3895
927
    Laureijs R., et al., 2011, ar<br/>Xiv e-prints, p. ar
Xiv:1110.3193 \,
                                                                        991
    Lintott C. J., et al., 2008, MNRAS, 389, 1179
929
                                                                        992
    Lintott C., et al., 2011, MNRAS, 410, 166
    Martel H., Premadi P., Matzner R., 1998, ApJ, 497, 512
                                                                        993
931
    Moutard T., et al., 2016a, A&A, 590, A102
                                                                        994
    Moutard T., et al., 2016b, A&A, 590, A103
933
                                                                        995
    Nair P. B., Abraham R. G., 2010, ApJS, 186, 427
934
                                                                        996
    Neilsen Eric H. J., Annis J. T., Diehl H. T., Swanson M. E. C.,
935
                                                                        997
        D'Andrea C., Kent S., Drlica-Wagner A., 2019, arXiv e-prints,
936
        p. arXiv:1912.06254
937
    Odewahn S. C., Stockwell E. B., Pennington R. L., Humphreys
938
        R. M., Zumach W. A., 1992, AJ, 103, 318
939
     Palmese A., et al., 2020, MNRAS, 493, 4591
940
                                                                        1002
    Petrillo C. E., et al., 2017, MNRAS, 472, 1129
                                                                        1003
    Pozzetti L., et al., 2010, A&A, 523, A13
942
    Sandage A., 1961, The Hubble Atlas of Galaxies
                                                                        1004
    Schuldt S., Suyu S. H., Cañameras R., Taubenberger S., Mein- 1005
944
        hardt T., Leal-Taixé L., Hsieh B. C., 2021, A&A, 651, A55
                                                                        1006
    Scodeggio M., et al., 2018, A&A, 609, A84
946
                                                                        1007
    Sevilla-Noarbe I., et al., 2021, ApJS, 254, 24
947
                                                                        1008
    Siudek M., et al., 2018a, arXiv e-prints, p. arXiv:1805.09905
948
                                                                        1009
     Siudek M., et al., 2018b, A&A, 617, A70
949
    Soo J. Y. H., et al., 2021, MNRAS, 503, 4118
950
                                                                        1011
    Soumagnac M. T., et al., 2015, MNRAS, 450, 666
951
                                                                        1012
    Tarsitano F., et al., 2018, MNRAS, 481, 2018
     Tasca L. A. M., et al., 2009, A&A, 503, 379
                                                                        1013
953
     Tohill C., Ferreira L., Conselice C. J., Bamford S. P., Ferrari F.,
                                                                        1014
954
        2021, ApJ, 916, 4
955
                                                                        1015
    Turner S., et al., 2021, MNRAS, 503, 3010
956
                                                                        1016
     Vega-Ferrero J., et al., 2021, MNRAS, 506, 1927
957
                                                                        1017
     Walmsley M., et al., 2020, MNRAS, 491, 1554
958
                                                                        1018
     Weir N., Fayyad U. M., Djorgovski S., 1995, AJ, 109, 2401
959
                                                                        1019
     Willett K. W., et al., 2013, MNRAS, 435, 2835
960
                                                                        1020
    Wuyts S., et al., 2011, ApJ, 742, 96
                                                                        1021
    de Vaucouleurs G., 1959, Handbuch der Physik, 53, 275
962
    de Vaucouleurs G., 1964, AJ, 69, 561
                                                                        1023
                                                                        1024
```

AFFILIATIONS

984

- Centre of Extragalactic Astronomy, Durham University, 1027 965 1028 Stockton Rd, Durham DH1 3LE, UK 966 Institute of Space Sciences (ICE, CSIC), Campus UAB, 1029 967 Carrerde Can Magrans, s/n, 08193 Barcelona, Spain 1030 Instituto de Astrofísica de Canarias (IAC) La Laguna, 1031 969 38205, Spain 970 Jodrell Bank Centre for Astrophysics, University of 1033 971 Manchester, Oxford Road, Manchester M13 9PL, UK 972 ⁵ Institut de Física d'Altes Energies (IFAE), The Barcelona ¹⁰³⁵ 973 Institute of Science and Technology, 08193 Bellaterra 1036 974 (Barcelona), Spain 1037 975 ⁶ School of Physics and Astronomy, University of Notting- ¹⁰³⁸ 976
- ham, University Park, Nottingham, NG7 2RD, UK 1039
- Department of Physics and Astronomy, University of 1040 978 Pennsylvania, Philadelphia, PA 19104, USA
- ⁸ LERMA, Observatoire de Paris, CNRS, PSL, Université ¹⁰⁴² Paris Diderot, France 981
- Institute of Physics, Jan Kochanowski University, ul. 1044 982 1045 Uniwersytecka 7, 25-406 Kielce, Poland 983
 - ¹⁰ Department of Physics, University of California Berkeley, ¹⁰⁴⁶

- 366 LeConte Hall MC 7300, Berkeley, CA, 94720, USA, NASA Einstein fellow
- ¹¹ Laboratório Interinstitucional de e-Astronomia LIneA, Rua Gal. José Cristino 77, Rio de Janeiro, RJ - 20921-400,
- Observatório Nacional, Rua Gal. José Cristino 77, Rio de Janeiro, RJ - 20921-400, Brazil
- ¹³ Department of Astrophysical Sciences, Princeton University, Peyton Hall, Princeton, NJ 08544, USA
- ¹⁴ Universidad de La Laguna, Dpto. Astrofísica, E-38206 La Laguna, Tenerife, Spain
- ¹⁵ University Observatory, Faculty of Physics, Ludwig-Maximilians-Universität, Scheinerstr. 1, 81679 Munich,
- ¹⁶ Institute of Cosmology and Gravitation, University of Portsmouth, PO1 3FX, UK
- Department of Physics & Astronomy, University College London, Gower Street, London, WC1E 6BT, UK
- Center for Astrophysics | Harvard & Smithsonian, 60 Garden Street, Cambridge, MA 02138, USA
- Santa Cruz Institute for Particle Physics, Santa Cruz, CA 95064, USA
- Center for Astrophysical Surveys, National Center for Supercomputing Applications, 1205 West Clark St., Urbana, IL 61801, USA
- ²¹ Computer Science and Mathematics Division, Oak Ridge National Laboratory, Oak Ridge, TN 37831
- ²² Centro de Investigaciones Energéticas, Medioambientales
- y Tecnológicas (CIEMAT), Madrid, Spain $^{23}\,$ Department of Astronomy, University of Illinois at Urbana-Champaign, 1002 W. Green Street, Urbana, IL 61801, USA
- ²⁴ Institute of Astronomy, University of Cambridge, Madingley Road, Cambridge CB3 0HA, UK
- ²⁵ Fermi National Accelerator Laboratory, P. O. Box 500, Batavia, IL 60510, USA
- ²⁶ Department of Physics, University of Michigan, Ann Arbor, MI 48109, USA
- ²⁷ Institute of Theoretical Astrophysics, University of Oslo. P.O. Box 1029 Blindern, NO-0315 Oslo, Norway
- Kavli Institute for Cosmological Physics, University of Chicago, Chicago, IL 60637, USA

1025

- ²⁹ Instituto de Fisica Teorica UAM/CSIC, Universidad Autonoma de Madrid, 28049 Madrid, Spain
- $^{\rm 30}$ Center for Cosmology and Astro-Particle Physics, The Ohio State University, Columbus, OH 43210, USA
- ³¹ Department of Physics, The Ohio State University, Columbus, OH 43210, USA
- ³² Australian Astronomical Optics, Macquarie University, North Ryde, NSW 2113, Australia
- ³³ Lowell Observatory, 1400 Mars Hill Rd, Flagstaff, AZ 86001, USA
- ³⁴ Hamburger Sternwarte, Universität Hamburg, Gojenbergsweg 112, 21029 Hamburg, Germany
- School of Physics and Astronomy, University of Southampton, Southampton, SO17 1BJ, UK
- Lawrence Berkeley National Laboratory, 1 Cyclotron Road, Berkeley, CA 94720, USA
- Institució Catalana de Recerca i Estudis Avançats, E-08010 Barcelona, Spain
- School of Mathematics and Physics, University of Queensland, Brisbane, QLD 4072, Australia

$Sp[C21]+Es[GZ1] (16 \le i < 18)$

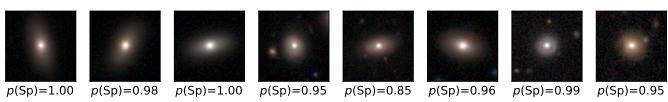


Figure A1. Randomly selected examples of the mismatches that are classified as Sp by C21 and labelled as Es in GZ1. The p(Sp) values quoted under each panel is the probability of being Sp provided by C21.

ETG[V21]+Sp[GZ1] $(16 \le i < 18)$

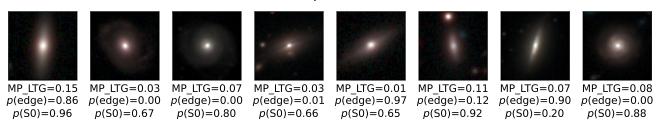


Figure A2. Randomly selected examples of the mismatches that are classified as ETG by V21 and labelled as spiral galaxies in GZ1. The MP_LTG, p(edge), and p(S0) values quoted under each panel represents the median probability of being LTG, edge-on galaxies (provided by V21), and lenticular galaxies (S0; provided by DS18), respectively. Note that most of these are actually edge-on and/or lenticular galaxies.

ETG[DS18]+Sp[C21] $(16 \le i < 18)$

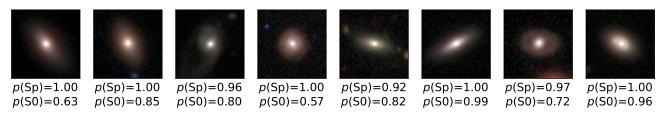


Figure A3. Randomly selected examples of the mismatches that are classified as spiral galaxies (Sp) by C21 and labelled as ETG in DS18. The p(Sp) is the probability of being a spiral galaxy according to C21 while the p(S0) represents the probability of being a lenticular galaxy, according to DS18.

- ³⁹ Department of Physics, Carnegie Mellon University, Pittsburgh, Pennsylvania 15312, USA
- ⁴⁰ NSF AI Planning Institute for Physics of the Future, Carnegie Mellon University, Pittsburgh, PA 15213, USA
- 41 Department of Physics, IIT Hyderabad, Kandi, Telangana 502285, India
- ⁴² Jet Propulsion Laboratory, California Institute of Technology, 4800 Oak Grove Dr., Pasadena, CA 91109, USA

APPENDIX A: FIGURES OF SECTION 4

1047

1048

1050

1051

1052

1053