

A Three Year Sample of Almost 1600 Elves Recorded Above South America by the Pierre Auger Cosmic-Ray Observatory

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Key Points:

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- Elves observed in Argentina, known for severe convective thunderstorms.

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- UV fluorescence detector with a viewing footprint for elves of three million sq. km.

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- Cameras with 10 MHz frame rate, revealing the internal EMP structure.

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- Facility continuing year-round operation through at least 2025.

Abstract

Elves are a class of transient luminous events, with a radial extent typically greater than 250 km, that occur in the lower ionosphere above strong electrical storms. We report the observation of 1598 elves, from 2014 to 2016, recorded with unprecedented time resolution (100 ns) using the Fluorescence Detector (FD) of the Pierre Auger Cosmic Ray Observatory. The Auger Observatory is located in the Mendoza province of Argentina with a viewing footprint for elve observations of $3 \cdot 10^6 \text{ km}^2$, reaching areas above the Pacific and Atlantic Oceans, as well as the Córdoba region, which is known for severe convective thunderstorms. Primarily designed for ultra-high energy cosmic-ray observations, the Auger FD turns out to be very sensitive to the UV emission in elves. The detector features modified Schmidt optics with large apertures resulting in a field of view that spans the horizon, and year-round operation on dark nights with low moonlight background, when the local weather is favorable. The measured light profiles of 18% of the elve events have more than one peak, compatible with intra-cloud activity. Within the three years sample, 72% of the elves correlate with the far-field radiation measurements of the World Wide Lightning Location Network (WWLLN). The Auger Observatory plans to continue operations until at least 2025, including elve observations and analysis. To the best of our knowledge, this observatory is the only facility on Earth that measures elves with year-round operation and full horizon coverage.

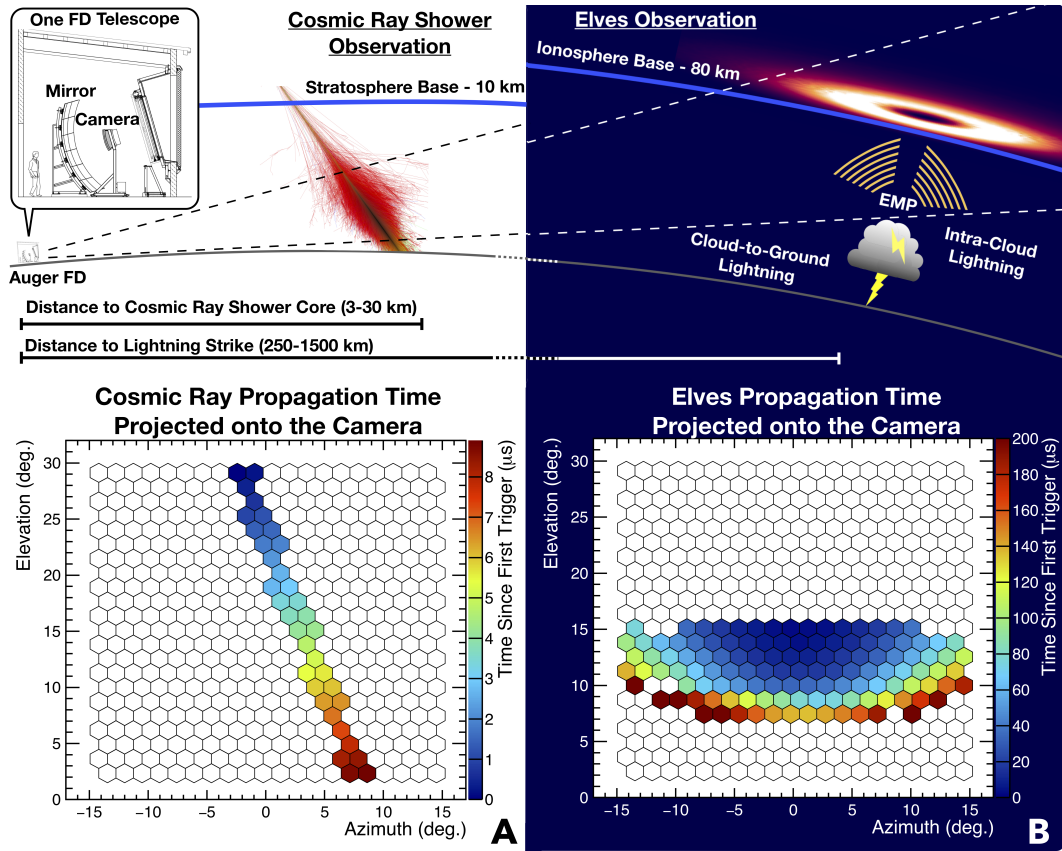
1 Introduction

In the 1990s, Inan et al. predicted quantitatively that ionospheric heating by electromagnetic pulses (EMP) originating from lightning strokes would create a transient flash of light expanding radially faster than the speed of light [Inan et al., 1991, 1997]. The first finite-difference time-domain model effectively showed that the energy density of some very low frequency EMPs was sufficient to heat the plasma at the base of the E-layer of the nighttime ionosphere, and induce the fluorescence process of molecules [Taranenko et al., 1993]. Since, numerous multidimensional simulations have used electromagnetic or “engineering” return stroke models [Baba and Rakov, 2007; Rakov and Uman, 1998] to create the EMP and predict the spatio-temporal structure and brightness of the light emission at the base of the ionosphere [Cho and J. Rycroft, 2001; Marshall, 2012; Veronis et al., 1999].

214 The first observation of the “airglow enhancement”, known to be a Transient Lu-
215 minous Event (TLE), was captured in 1990 using video cameras with a 33 ms time res-
216 olution ($\Delta\tau$) aboard the Discovery Space Shuttle [Boeck *et al.*, 1992]. Five years later, in
217 1995, a multi-channel photometer ($\Delta\tau = 15 \mu\text{s}$) and two CCDs ($\Delta\tau = 17 \text{ms}$) made the
218 first ground-based observation of Emissions of Light and Very low frequency perturba-
219 tions due to Electromagnetic pulse Sources, or elve(s) [Fukunishi *et al.*, 1996]. The Imager
220 of Sprites and Upper Atmospheric Lightning (ISUAL), launched aboard FORMOSAT-2 in
221 2004, was the first satellite instrument to make a global survey of elve occurrences [Chern
222 *et al.*, 2003; Mende *et al.*, 2005]. Using a CCD imager ($\Delta\tau = 14 \text{ms}$), a spectrophotome-
223 ter ($\Delta\tau = 100 \mu\text{s}$), and two array photometers ($\Delta\tau = 5 \mu\text{s}$) consisting of one photomulti-
224 plier each, ISUAL concluded that the highest density of elves was over the ocean [Chen
225 *et al.*, 2008]. In 2008, the Photometric Imager of Precipitated Electron Radiation (PIPER)
226 ($\Delta\tau = 40 \mu\text{s}$) detected the first elve “doublet”, with two peaks in the photo-trace, during a
227 summer field campaign [Newsome and Inan, 2010]. These doublets were first thought to
228 originate from the short rise time of the current waveform in the return stroke process
229 (Marshall, 2012); however, the wide time separation between the peaks was later con-
230 firmed experimentally to correlate with high altitude compact intra-cloud lightning dis-
231 charges (CIDs) [Marshall *et al.*, 2015; Lyu *et al.*, 2015]. In 2017, elve “multiplets”, with
232 more than two peaks in the photo-trace, separated by much shorter time than previously
233 observed, were anticipated to correlate with energetic in-cloud pulses (EIPs). EIPs were
234 also believed to be responsible for the creation of particular terrestrial gamma ray flashes
235 (TGFs) [Liu *et al.*, 2017]. These and other advances in detector sensitivity, including the
236 facility described hereafter, and in lightning modeling suggest that multi-peaked elve mea-
237 surements can be used to improve the understanding of the return stroke process in EIPs
238 and CIDs, to study the link between elves and TGFs, and possibly, to provide insights into
239 the initial breakdown (IB) processes [Marshall *et al.*, 2014; da Silva and Pasko, 2015]. Ad-
240 ditionally, the study of single-peaked elves, known to be initiated by cloud-to-ground light-
241 ning, will help confirm the validity and limits of previously mentioned models at the most
242 extreme lightning energies.

243 The Pierre Auger Observatory [Aab *et al.*, 2015] was designed to measure Ultra-
244 High Energy Cosmic Rays (UHECR). As it turns out, the installed Fluorescence Detector
245 (FD) [Abraham *et al.*, 2010; Allekotte *et al.*, 2008] has been observing elves since its de-
246 but in 2004 [Mussa and Ciaccio, 2012]. The elves are observed above strong lightning

247 strokes that lie below the horizon. Located on four different sites, FD telescopes point in
 248 fixed directions. As the field of view (FoV) of the telescopes overlap, the 360° azimuthal
 249 coverage of the detector is spanned more than once. The same elve may be measured by
 250 multiple FD telescopes, each with an optical aperture of 2.2 m diameter and a time res-
 251 olution ($\Delta\tau = 100$ ns) unprecedented in the field of TLE observations. The combination
 252 enables detailed measurements of large numbers of single-peaked and multi-peaked elves.



253 **Figure 1.** Top panel: a diagram of the FD telescope with its 3.6 m diameter mirror at the Pierre Auger
 254 Observatory [Abraham *et al.*, 2010]. The FD, optimized for the detection of cosmic rays up to 30 km, also
 255 turns out to be sensitive to elve signatures that are 1000 km away. The axes of lowest pixels have an elevation
 256 angle of 1.5° while the axes of highest pixels have elevation angles of 30°. Panel A: the time signature of a
 257 cosmic-ray shower propagating from top to bottom. Panel B: the first 200 μs of the propagation of an elve
 258 across an FD telescope camera field of view, showing the one side of the elves expanding towards the detector.

259 When an UHECR strikes the atmosphere, its kinetic energy is converted into an air
 260 shower of relativistic secondary particles, mostly electrons, positrons and muons. These
 261 secondary particles collide inelastically with molecules in the troposphere, exciting the

262 local nitrogen. The UV emission, also known as fluorescence, occurs from the fast de-
263 excitation of N₂ molecules, previously excited by low-energy ionization electrons left af-
264 ter the passage of the electromagnetic cascade in the troposphere [Arqueros *et al.*, 2008;
265 Rosado *et al.*, 2014]. The optics of the FD telescopes are optimized to capture the faint
266 UV light arriving from the UHECR air shower development (Figure 1, panel A). As for
267 elves, the EMPs caused by the return strokes accelerate charged particles, primarily elec-
268 trons, at the base of the ionosphere. The collisions between the particles and nitrogen
269 molecule produce UV fluorescence light that is also observed by the FD (Figure 1, panel
270 B). Due to the fast radiative process of nitrogen in the UV (40 ns) [Valk *et al.*, 2010], an
271 elve measurement with a 100 ns time resolution is almost equivalent to a direct observa-
272 tion of the EMP. UHECR air showers are visible between about 3 and 30 km from a given
273 FD site, depending on their energy. In contrast, the elves are much brighter due to the en-
274 ergy scale of lightning being much higher. The Auger Observatory has observed elves as
275 far away as 1500 km.

276 Using the fact that 95% of the observed elves are within 1000 km from the Auger
277 Observatory, which is beyond the distance where the axes of the lower pixels intercept a
278 92 km ionosphere, we can estimate the observational footprint of the Auger FD for elves
279 to be $3 \cdot 10^6$ km². This footprint covers portions of the Pacific Ocean, the Atlantic Ocean,
280 Chile, the Andes mountain range and Northern Argentina. The latter includes the Córdoba
281 region, known for some of the most energetic and destructive convective thunderstorm sys-
282 tems in the world [Rasmussen *et al.*, 2014] and the highest lightning flash rate in some of
283 the tallest thunderstorms [Zipser *et al.*, 2006]. The measurements of elves by the Auger
284 Observatory, including many from this region of special interest, are expected to further
285 the understanding of mechanisms that govern the production of the most intense lightning
286 and to improve current models. The Auger Observatory will continue year-round opera-
287 tions, including observations of elves during dark night periods, until at least 2025.

288 In 2014, the FD readout and triggering algorithms were updated to better identify
289 elve signatures and to record up to 300 μs of signal for each pixel of the camera. Hence,
290 we report on 1598 reconstructed, verified elves that were observed in the three-year acqui-
291 sition period, from 2014 to 2016. Using the unique capabilities of the FD, we sorted the
292 data into two categories: 1310 single-peaked and 288 multi-peaked elves. More extensive
293 analysis of this dataset will be published in future articles.

Table 1. Parameters of the Fluorescence Detector

Item	Value	Note
Number of FD sites	4	located on footprint outskirts
Number of telescopes per site	6	180° azimuthal field of view
Telescope optical aperture	2.2 m	extended diameter with corrector ring
Field of view of one telescope	30° × 30°	azimuth×elevation
Number of pixels per telescope	440	hexagonally shaped
Field of view of one pixel	1.5° × 1.5°	
Optical filter	Schott MUG-6	bandwidth: 300 - 420 nm, >680 nm
Photomultiplier tube quantum efficiency	30%	340 - 420 nm (0% above 700 nm)
Time bin length	100 ns	typically binned to 2 μs for long traces
Readout duration	100-300 μs	including a 28 μs pedestal
Absolute photometric calibration	±7%	

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2 The Pierre Auger Observatory

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The Auger Observatory measures the properties of the most energetic particles known to exist in the Universe and aims to discover their sources. The energy of a single "cosmic ray" particle can reach 10^{20} eV, an energy scale well beyond the reach of man-made accelerators. Ground-based cosmic-ray observatories are designed to detect secondary particles that are created when a high energy subatomic particle, from galactic or extragalactic origins, interacts with the atmosphere of the Earth. Cosmic rays collide with molecules in the troposphere or the lower stratosphere and create extended air showers, which the Auger Observatory measures using a surface array of 1600 water-Cherenkov detectors (SD), spanning 3000 km², and a set of fluorescence detectors (FD) [Abraham *et al.*, 2010; Allekotte *et al.*, 2008].

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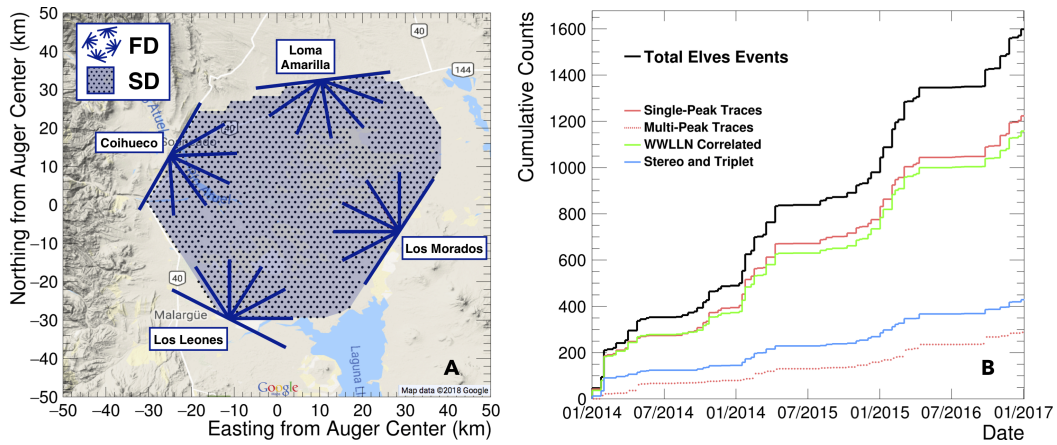
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We focus here on parameters of the FD (Table 1) that are important for the observation of elves. The FD telescopes (Figure 2, panel A) point in fixed directions, $\approx 17^\circ$ above the horizontal. The pointing directions, FoV, mirrors, UV optical filters, and photomultiplier tube cameras are optimized to measure the faint 300-400 nm light arriving from UHECR air showers through the troposphere. The quantum efficiency of the photomultiplier tubes is null above 700 nm and the UV filter is opaque below 680 nm, lim-

312 iting the detection of red and infra-red light for all TLEs. Typical UHECR signals at the
 313 FD aperture are tens to thousands of photons/m²/100 ns, and typical viewing distances
 314 range from 3 to 30 km. In contrast, more than 95% of the observed elves are 250-1000 km
 315 away, where the FoV of a telescope crosses the ionosphere and direct light from lightning
 316 is blocked by the limb of the Earth. In the signal observed at the FD, the higher intrinsic
 317 brightness of elves relative to the UHECRs compensates for the further distance to
 318 the elves. The tallest peak in the Andes mountain range may partly obstruct the last three
 319 rows of the telescopes pointing east, limiting the reconstruction of elve-inducing lightning
 320 beyond 1000 km distances. The Auger FD operates on locally clear nights with low back-
 321 ground from moonlight, accumulating about 1200 hours of FD on-time over 12 months,
 322 equivalent to a 15% duty cycle. A suite of lasers, lidars, IR cloud cameras measures the
 323 optical clarity of the atmosphere over the observatory [Aab *et al.*, 2013a].



324 **Figure 2.** Panel A: the physical footprint of the Pierre Auger Cosmic-Ray Observatory is defined by the
 325 location of water-Cherenkov stations making up the Surface Detector (SD). The Fluorescence Detector (FD),
 326 used for the observation of elves, has a total of 24 telescopes positioned at four different sites on the outskirts
 327 of the SD. Six adjacent telescopes have a 180° field of view. Panel B: the cumulative elve data acquired by the
 328 Auger FD reached 1598 counts in the 2014-2016 acquisition period. The count of elves with one peak in the
 329 photo-traces is contrasted to the count of multi-peaked elves. The number of Auger elves that are correlated to
 330 a WLLN event within 5 ms is displayed in green.

331 The FD telescopes are located at four sites. Six telescopes at each site are arranged
 332 for a total FoV of 180° (azimuth) × 30° (elevation). Due to the geometrical orientation of
 333 the FD sites, the physical aperture of the detector for the observation of elves is broken in

334 three overlap regions: 8% seen by one site, 74% seen by two sites, and 18% seen by three
335 sites. Detection probabilities due to variability in coverage are discussed in Section 5.

336 The data readout of the Auger FD includes three trigger levels to select events of in-
337 terest. The analog signals for each pixel are digitized every 100 ns and pass the first level
338 trigger (FLT) if the analog-to-digital conversion (ADC) threshold requirement is satisfied.
339 The second level trigger (SLT) is a pattern recognition designed to select UHECR signals;
340 it requires at least four adjacent pixels passing the FLT. To form an event of interest and
341 to be saved to disk, the traces have to pass the more complex third level trigger (TLT).

342 As part of the active interdisciplinary program pursued by the Auger Collaboration,
343 we developed a TLT for lightning noise. Due to the time structure of the photo-traces and
344 the number of triggered pixels, these events are primarily detected by this lightning TLT.
345 Then, the events are searched for a radially expanding light front. Once the first triggered
346 pixel is identified, pulse start times of the adjacent triggered pixels are required to have a
347 monotonic growth. The trigger tolerates 20% of pixels that do not satisfy this cut. The al-
348 gorithm requests at least three adjoining pixels to satisfy the described cut, on both sides
349 of the first signal (only one side is required if the first pixel is close to the edge of a cam-
350 era) and at least another three neighboring pixels above and below it.

351 **3 Collected Data and Reconstruction of Lightning Location**

352 The Pierre Auger Observatory started taking data in 2004. The fourth FD site, at
353 Loma Amarilla, started operations in 2007. The first elve was observed in 2005, and two
354 more events, which occurred in 2007, were discovered in a search for exotic events per-
355 formed in 2009. A thorough search for elves in randomly saved events with loose trigger
356 requirements, harvested in the period from 2007 to 2011, was exploited to design a mod-
357 ified TLT algorithm. The search yielded 58 more candidates [Aab *et al.*, 2013b]. In 2013,
358 the observatory started acquiring elve candidates with the standard trace length (100 μ s)
359 and in 2014, we improved the TLT to acquire up to 300 μ s of signal. In what follows, we
360 present the data acquired during the 2014-2016 time period, for which we can now pro-
361 vide a more accurate reconstructed location and time. A seasonal dependence is present in
362 the cumulative count of elves (Figure 2, panel B). The three elongated flat regions corre-
363 spond to the southern winter, June through August, when 43 elves were recorded over the
364 course of three years. In contrast, we captured 711 elves over three summers. The discrete

365 steps of the cumulative plot matched the nightly acquisition periods of the FD, as defined
366 by the lunar cycle.

367 The first 28 μs of the recorded traces occurred before the first photons from the
368 emission region hit the detector and were used to calculate the baselines for each pixel;
369 consequently, the true length of traces was 272 μs . Because the FoV of individual FD
370 sites overlap, we categorized elve candidates as mono (detected at one site), stereo (de-
371 tected at two sites), or triplet (detected at three sites). We required that the same event
372 was observed at all sites within 200 μs . The raw dataset consists of 2311 elve candidates,
373 including 1864 mono, 396 stereo, and 51 triplet. To further increase the purity of the data
374 sample, we verified that each candidate portrays the expected time structure and signal
375 amplitude, and then we performed a geometric reconstruction.

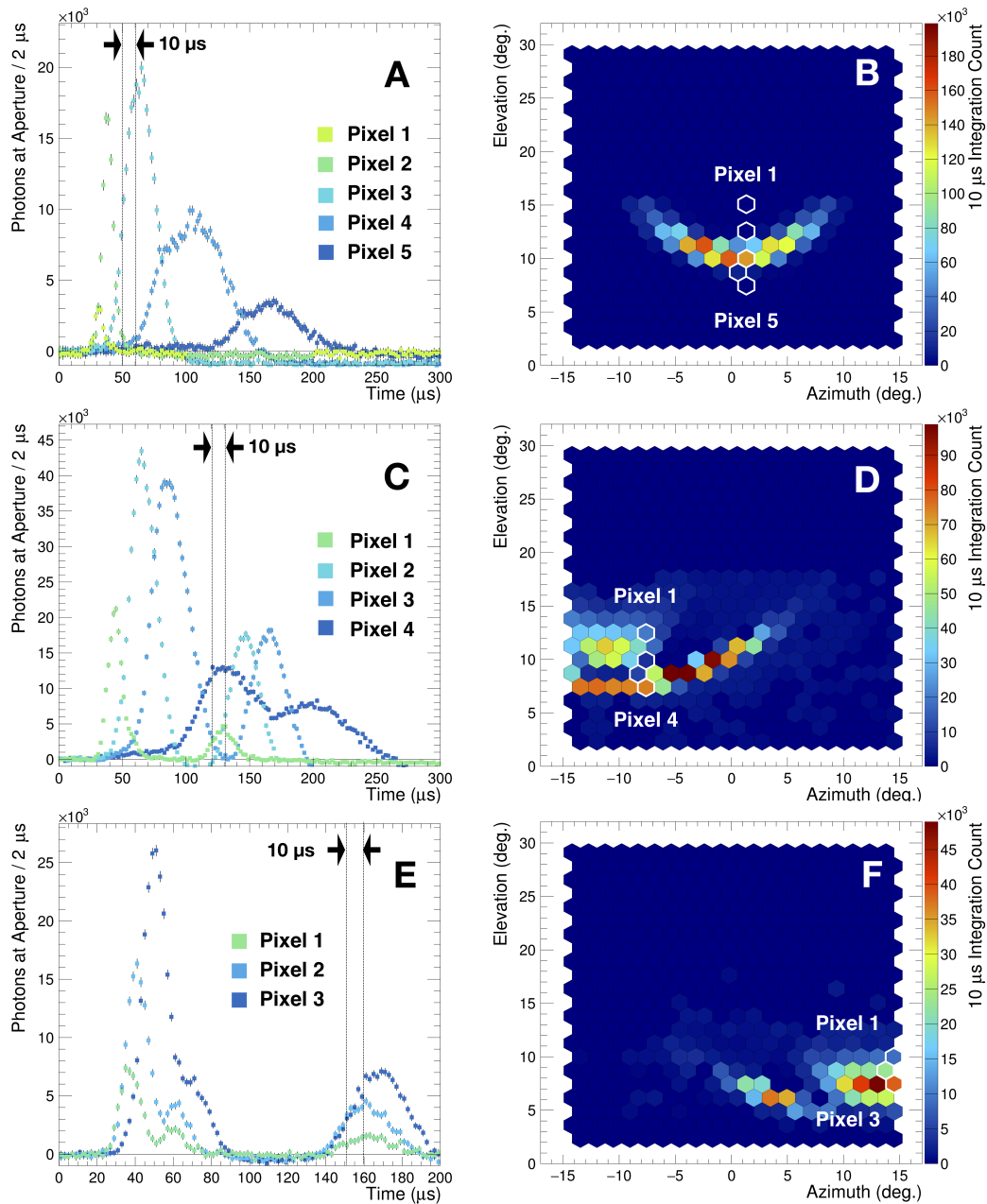
376 With a 100 ns resolution, the FD distinguishes variations in the light emission caused
377 by the internal structure of the EMP. Marshall et al., and numerous others, show quan-
378 titatively through analytics and numerics that the EMP created by cloud-to-ground (CG)
379 lightning will structurally differ from an intra-cloud (IC) discharge [Marshall, 2012]. The
380 ground is treated as a perfect conductor, which is a good approximation for very low fre-
381 quency radiation of about 10 kHz. The physical process of the return stroke is trivialized
382 to a current pulse traveling at a fraction of the speed of light along a wire [Rakov and
383 Uman, 1998], and modeled as a Hertzian dipole, which is analytically solved using the
384 method of images. We expect CG flashes, which are in contact with the ground, to radi-
385 ate one large pulse directly towards the ionosphere. However, the IC flashes, not touch-
386 ing the conductor, would have the upper hemisphere of the dipole field radiate towards
387 the ionosphere and the lower hemisphere of the dipole field radiate towards the ground.
388 The downward propagating pulse bounces off the ground and travels behind the upward
389 propagating pulse, reaching the ionosphere as a secondary pulse with a time delay re-
390 lated to the height of the lightning stroke. Due to the maximum height of clouds reach-
391 ing about 17 km, we expect the presence of secondary pulses in the FD's photo-traces,
392 within 150 μs from the primary pulses, to be a hint of IC lightning activity. More com-
393 plex physics may also be a cause of such structures. Selecting specific time decay con-
394 stants of the current profile in the return stroke leads to substructure within the primary
395 and secondary pulses [Liu et al., 2017]. Initial breakdown (IB) pulses have been recorded
396 within tens of microseconds from one another and could create multiple elves [da Silva
397 and Pasko, 2015]. Since multiple return strokes occur at the millisecond time scale and

398 radiate significantly less energy, we do not interpret them as a cause of the internal struc-
399 ture observed in the Auger elve events. Finally, elves are distinctly different from other
400 TLEs in the same vicinity to the ionosphere (sprites and halos). Sprites, mainly caused by
401 the strong quasi-static fields of thunderstorms, would propagate vertically above the cloud
402 and would not fit the geometry observed in the FD. On the other hand, sprite halos, also
403 disk-shaped and radially expanding, typically expand between 50 and 100 km and occur
404 milliseconds after the stroke, while elves happen $\approx 270 \mu\text{s}$ after the stroke [Miyasato *et al.*,
405 2003]. Compared to halos, almost all elves have a distinct hole in the center due to the
406 shape of the dipole radiation and they expand to radii greater than 200 km.

407 From the intrinsic time scale of the expanding elves, their varying locations and the
408 projected geometry at hand, we expected the amplitude, mean and width of the observed
409 traces to vary significantly depending on the pixel. When looking at pixels away from the
410 first triggered pixel, the traces became wider and asymmetric. Also, the start time and
411 amplitude of the pulses increased monotonically. A verification process, further described
412 below, assessed whether candidates satisfied the expected trends: 1727 of the candidate
413 events were approved as elves, though not yet reconstructed.

414 In the verification process, we identified 1403 single-peaked elves, suggesting that a
415 cloud-to-ground (CG) lightning radiated the EMP. In panel A of Figure 3, we show traces
416 of a typical single-peaked elve event binned to $2 \mu\text{s}$ to reduce the clutter in the plot. By
417 recording the time of the peak maximum, we created the time propagation plot in panel
418 B of Figure 1. We also integrated $10 \mu\text{s}$ of the photo-traces at relevant times to create
419 snapshots of the signal in the telescope camera (Figure 3, panel B). The arc-shaped sig-
420 nal correlated to a signal propagating up the camera, towards lower elevation angles. The
421 cameras triggered on the outer most edge of the elve (disk shaped with $\approx 250 \text{ km}$ radius),
422 closest to the observatory, and later acquired the signal above the lightning strike. The FD
423 only recorded the half of the flash propagating towards the Auger Observatory. Patterns
424 observed across all elve events are well featured in this example:

- 430 • the first pulse detected indicates the location of the shortest light propagation path
431 to the lightning strike;
- 432 • the signal propagates down the rows with a rise in total photon count and pulse
433 start time, until the hole above the lightning is reached;



425 **Figure 3.** Panel A: Some 300 μs-long traces of typical single-peaked elve observed in the FD at Los
 426 Leones, on February 2nd, 2014 at 05:12:22. Panel B: we selected 10 μs of signal captured by the camera to
 427 show the arc-shape of the elve. Panel C and D: selected traces and a 10 μs snapshot of a double-peaked event
 428 seen in Coihueco, on January 17th, 2016 at 04:52:31 UTC. Panel E and F: 200 μs-long traces and a 10 μs
 429 snapshot of a multi-peaked event seen in Los Leones, on March 4th, 2016 at 05:32:39 UTC.

- 434 • the lack of emission due to the dipole radiation pattern above the lightning strike is
 435 noticeable with the 300 μs acquisition time used for the dataset presented here;

- 436 • the amplitudes of the traces are strongly affected by the amount of atmosphere be-
437 tween the emission and the mirror;
- 438 • and the increased asymmetry of the pulses down the camera rows are a result of a
439 wider observation area for pixels pointing at low elevation angles.

440 In addition to the single-peaked elves, we recognized 324 multi-peaked elves (18%
441 of our dataset), with trends in the traces that are similar to the single-peaked elves. Typi-
442 cal multi-peaked events have two distinct maxima; however, some events may have more
443 than two distinguishable peaks. In panels C and D of Figure 3, we present a typical dou-
444 ble elve as observed by the Auger FD. In the first selected pixel (Pixel 1, in green), two
445 peaks are separated by $\approx 90 \mu\text{s}$. To illustrate the FD resolution, we also display traces of
446 an event with three clearly distinguishable peaks (Figure 3, panels E and F). This struc-
447 ture is observed independently at two FD sites separated by 40 km, Coihueco and Loma
448 Amarilla. In the first 100 μs , the two telescopes recorded two peaks separated by $\approx 20 \mu\text{s}$
449 in three selected pixels on the right of the camera. These two peaks may originate from
450 IB discharges or more complex current profiles, as described previously. In the follow-
451 ing 100 μs , we are able to fit the third peak with the standard deviation of the first two
452 combined. We interpret the third peak to be the bounces of the secondary pulses on the
453 ground, distorted by the reflection and their projection on the ionosphere. In the case of
454 an inclined dipole, we expect discrepancies in pulse amplitudes, often the case in IC dis-
455 charge [Marshall *et al.*, 2015].

456 We also performed a reconstruction of the location and time of the elve-inducing
457 lightning. We first fitted ADC trace for each pixel to an asymmetric Gaussian parametrized
458 with the mean time, the signal amplitude and the skewness, which related the left and
459 right standard deviations: $T_{\text{peak},i}$, $A_{\text{peak},i}$, $\sigma_{\text{left},i}$, and $\sigma_{\text{right},i} = \sigma_{\text{left},i} \cdot (1 + \delta)$, where i is
460 the index of the pixel. When dealing with multi-peaked elves, we selected the set of peaks
461 ordered in time with the highest amplitude peak in the first triggered trace. Each pulse
462 had to pass four quality criteria to be part of the reconstruction of the lighting location
463 and time:

- 464 • $A_{\text{peak},i}$ greater than 300 ADC counts to select triggered pixels with sufficient signal,
- 465 • a relative error on $A_{\text{peak},i}$ below 15% to disregard any traces with distorted profiles,
- 466 • $\sigma_{\text{left},i}(T)$ greater than 3 μs to encompass the width of the trace in the first triggered
467 pixel and all subsequent signals,

Table 2. Elves counts through different stages of the analysis. Each row is a subset of the one above.

Stage	Mono	Stereo	Triplet	Total	Note
Triggered	1864	396	51	2311	independent of FD on-time
Verified	1287	390	50	1727	features typical elve profile
Confirmed	1169	379	50	1598	reconstructed at at least one site
WWLLN Correlated	836	284	38	1158	5 ms coincidence

- a relative error on $\sigma_{\text{left},i}(T)$ below 25% to enforce the quality of the fit.

The parameters from the first fit were inputs to the second fit of the reconstruction, where we used a χ^2 -minimization to obtain the time, latitude, longitude, and height (H_S) of the lightning strike, and the height of the emission region at the base of the ionosphere (H_E):

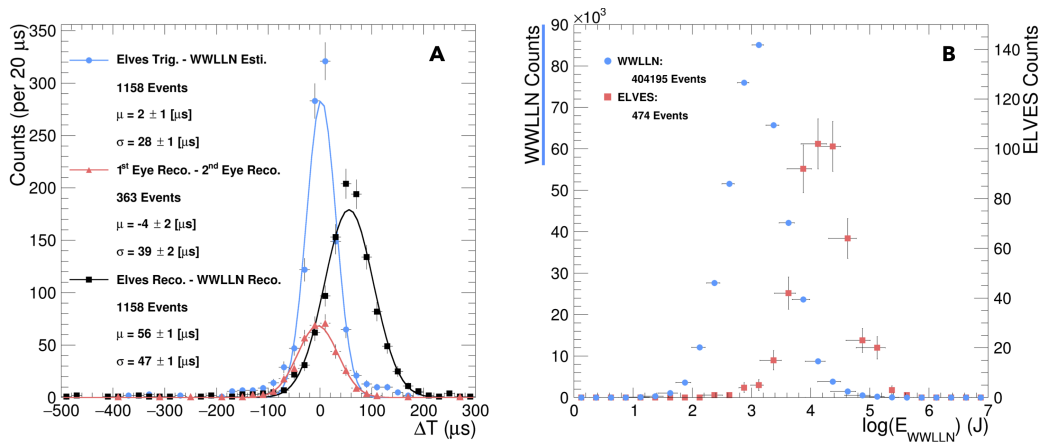
$$\chi^2 = \sum_{i=1}^{N_{\text{pix}}} (T_{\text{peak},i} - T_{\text{estimate},i})^2 / \sigma_i^2(T)$$

where $T_{\text{estimate},i} = T_0 + \Delta T(\text{Lat}, \text{Lon}, H_E, H_S)$ was the estimated time at which light reached the detector after the propagation time, ΔT , when added to the time of the lightning strike, T_0 . We minimized the χ^2 by incrementally varying the position and time of the lightning, as well as the height of the ionosphere. The error on $T_{\text{peak},i}$ came from the fit of the pixel trace. The model assumed that the EMP generated by the return stroke interacted in an infinitesimal layer at an atmospheric altitude H_E . The nitrogen fluorescence happens at negligible time scales (≈ 40 ns [Valk *et al.*, 2010]) with respect to the total light propagation time from the strike to the detector, and with respect to the integration time of the camera.

In this paper, we present results with two constrained variables to reduce the complexity of the reconstruction. The fit allows Lat, Lon and T_0 to vary while fixing H_E at 92 km and H_S at the ground, even for multi-peaked elves. We base our guess of the ionosphere height on our timing correlation with WWLLN (presented in the next section), a few kilometers higher in altitude than observations made in South-Western Europe [van der Velde and Montanyà, 2016]. The South Atlantic Anomaly may be a factor affecting the altitude of the ionosphere base.

486 Ultimately, we may have observed an event at more than one FD site but recon-
 487 structed it solely once. Hence, we define a *confirmed* elve event as one that passed the
 488 verification stage and that was reconstructed at least once. In this three-year dataset, we
 489 found 1598 confirmed elve flashes. In addition, the coverage of WWLLN in Argentina
 490 is such that three antennas are within the observational footprint for elves of the Auger
 491 FD [Jacobson *et al.*, 2006; Hutchins *et al.*, 2012a]. Our correlation with the network was
 492 72%: 1158 Auger elves correlated within 5 ms of a WWLLN reconstructed lightning
 493 strike. A finer time correlation study will be presented in the next section. We summarize
 494 all event counts in Table 2.

495 4 Time Correlation, Energy Distribution and Spatial Resolution



496 **Figure 4.** Panel A: the timing correlation between the reconstructed lightning strike of WWLLN, added to
 497 the shortest propagation time from the strike location to the Auger FD, and triggered time stamp in the FD,
 498 shown in red. The difference between two independent reconstructions of the same elve observed at two FD
 499 sites is shown in blue. Panel B: comparison between the distribution of lightning energy for all WWLLN
 500 events measured in the FoV of the active FD and those WWLLN events correlated to elves measured by
 501 Auger, from 2014 through 2016.

502 To refine the timing correlation with WWLLN, we estimate the shortest propaga-
 503 tion time of light from the lightning strike reconstructed by WWLLN to the ionosphere,
 504 and finally to the FD detector. For most elves, we suggest that the point on the iono-
 505 sphere, halfway between the lightning strike and the FD, is where the first light emission
 506 would occur. Any elve not large enough to reach that halfway point has an underestimated
 507 time of the lightning strike. If the height of the ionosphere is not well chosen, then all

508 time estimates are also miscalculated. After adding the calculated propagation time to the
509 WWLLN reconstructed strike time, assumed to be at the ground, we compare the result
510 to the Auger FD trigger time (Figure 4, panel A, blue curve). The mean of the distri-
511 bution is sensitive to the height of the infinitesimal ionospheric layer, where the emis-
512 sion is assumed to originate. If the ionosphere height is overestimated, light traveled a
513 longer distance to reach the detector, and we overestimate the time at which the first pho-
514 tons reached the FD. A 92 km ionosphere base has almost no offset on the position of the
515 mean, $\mu_{\text{WWLLN}} = 2 \pm 1 \mu\text{s}$, while an 85 km height wrongly overestimates our trigger time
516 by $20 \pm 1 \mu\text{s}$ and a 100 km height underestimates it by $19 \pm 1 \mu\text{s}$. The WWLLN resolution
517 in the Auger FoV drives the distribution width of $28 \mu\text{s}$ ($\approx 8 \text{ km}$).

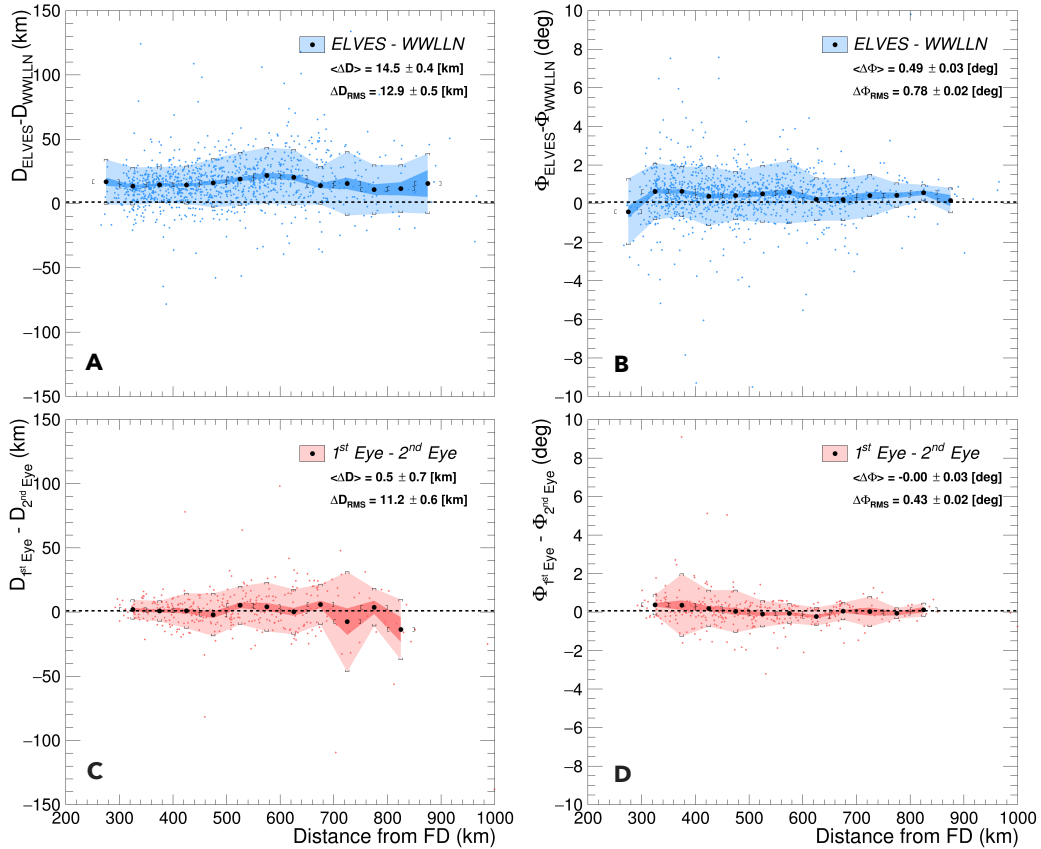
518 The reconstruction of elves provides an estimate of the lightning strike time based
519 on the fitted location as measured at individual FD sites. We detailed this process in sec-
520 tion 3. Comparing the results obtained at any two FD sites observing the same event, us-
521 ing 363 stereo and triplet events with all triggered sites reconstructed, yields an estimate
522 of our reconstruction timing resolution (Figure 4, panel A, red curve). The $39 \mu\text{s}$ RMS
523 indicates an FD mono resolution ($\sigma_{\text{mono}} = \sigma_{\text{stereo}}/\sqrt{2}$) of $28 \mu\text{s}$ ($\approx 8 \text{ km}$). Hence, at first
524 glance, our reconstruction is doing as well as the reconstruction of WWLLN at timing the
525 lightning strike. Finally, we compare directly the Auger reconstruction and the WWLLN
526 reconstruction (Figure 4, panel A, black curve). The standard deviation of the black curve
527 is more than the Auger mono contribution and the WWLLN contribution added in quadra-
528 ture, hence there is 5-10 μs of unknown systematics. With the current status of the recon-
529 struction, we are able to almost match WWLLN in locating the lightning strikes, but we
530 slightly overestimate the time at which the events happened. Both the WWLLN and the
531 Auger reconstructions use signal traces as fundamental inputs. We do not know what part
532 of the trace was used as the start time in the WWLLN reconstruction, which could con-
533 tribute significantly to the offset observed in the black curve. Possible sources of error
534 to explore are the differentiation between IC and CG sources in the WWLLN dataset, as
535 well as in the elve dataset. Two additional parameters in the Auger reconstruction will be
536 released for multi-peaked events to improve the timing resolution. In addition, elves are
537 created from an EMP with a wider frequency band and a greater energy density than the
538 EMP observed by WWLLN, hence we expect our photo-traces to differ from the direct
539 observation of that network.

540 By applying a cut on the distance from the Auger Observatory on both the Auger
541 elves and the WWLLN lightning strike (250 to 1000 km still selecting >95% of observed
542 elves) and requiring all FD sites to be active (in data taking mode), we compare the en-
543 ergy of lightning which created elves to that of all lightning observed by WWLLN within
544 this time and footprint (Figure 4, panel B). This distance cut is chosen to optimize the
545 comparison between events of both datasets happening within the FoV of the Auger FD.
546 WWLLN records the far-field radiated electromagnetic energy in the 6 to 18 kHz fre-
547 quency band. The peak radiated energy is known to be in the 10 to 15 kHz range. The
548 474 confirmed elve events satisfying the above correlation requirements are correlated to
549 WWLLN events at the high end of the energy spectrum. We omitted elves with more than
550 one WWLLN event correlated within the 5 ms coincidence. Adding those events to the
551 analysis uniformly increases the counts in the last four bins. To obtain the median energy
552 of both datasets, we calculate the mean of the log-normal distributions to obtain 16 ± 2 kJ
553 for the matched elves and 1.3 ± 0.1 kJ for all lightning. Using an empirical equation for
554 peak current [*Hutchins et al.*, 2012b],

$$I_0 = (E_{\text{WWLLN}} / (1.3 \cdot 10^{-3} \cdot 1676))^{0.6173}, \quad (1)$$

555 where E_{WWLLN} is the recorded far-field radiation energy in Joule, the calculated median
556 energy for the 404195 selected WWLLN lightning strikes converts to a median peak cur-
557 rent of 51 ± 3 kA. Equation 1 was obtained on low- to mid-energy lightning strikes. Be-
558 cause this range does not have a strong overlap with the 474 strikes matched to the Auger
559 elve data, we do not provide a peak current for these strikes.

566 To illustrate the spatial resolution of the reconstructed lightning location obtained
567 from elves, we transform from geodetic coordinates to a local Auger coordinate system.
568 This transformation provides the reconstructed distance and azimuth of the lightning. In
569 Figure 5, panels A and B, we present the difference between the reconstructed lightning
570 locations of WWLLN and Auger, with respect to the location of the Auger FD. For com-
571 parison, we also provide the reconstructed lightning locations by the Auger Observatory
572 for elves observed in stereo (Figure 5, panels C and D). For all the plots, the analysis re-
573 quires more than 10 events in every 50 km bin for the calculation of a mean and RMS.
574 The lighter color indicates the RMS in each bin, while the darker color portrays the statis-
575 tical error on the mean. The uncertainties of both the WWLLN and Auger reconstruction
576 contribute to the error of the blue plots. The current reconstruction of elves systemati-
577 cally overestimates the distance of the lightning strike by 15 km. This consistent offset

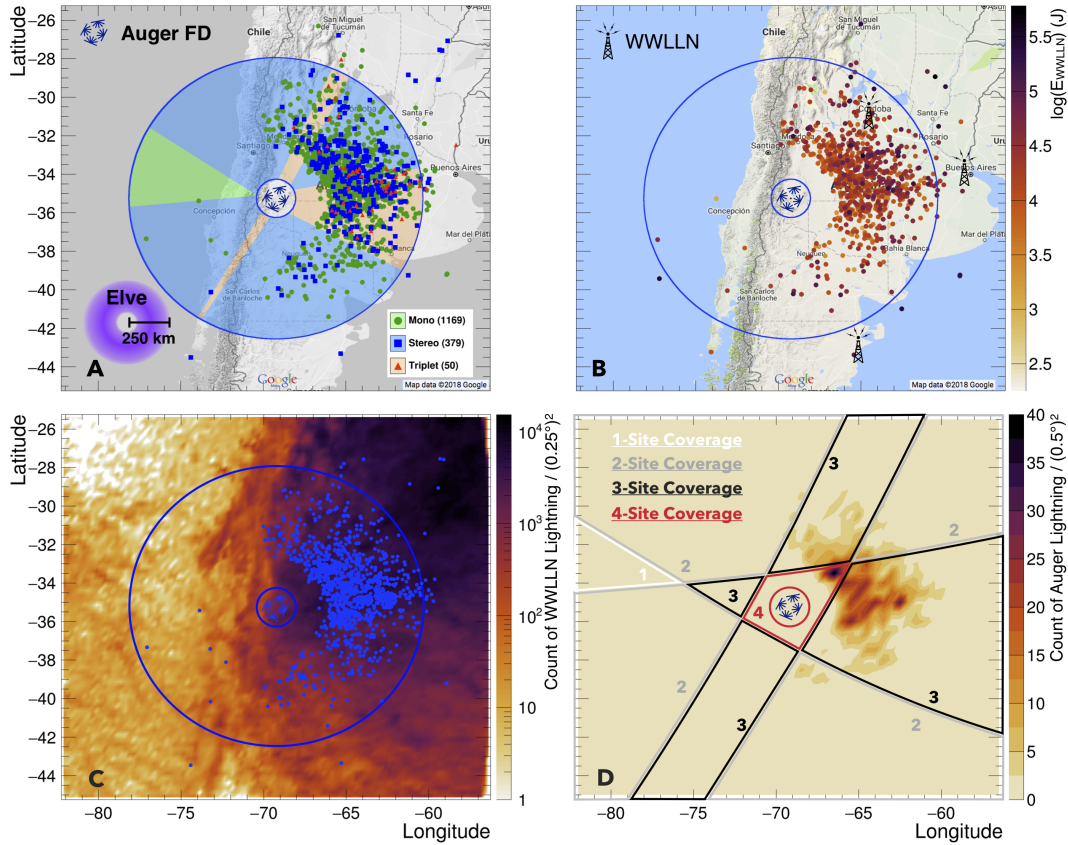


560 **Figure 5.** We present an assessment of the Auger reconstruction quality performed by converting all geode-
 561 tic locations to a distance, D , and an azimuth, Φ , with respect to the observing FD site. The distance is the
 562 lightning strike distance from the triggered FD site, while the angle is the azimuth due east. Panel A and B:
 563 we compare the lightning strike location reconstructed by WWLLN to the location reconstructed from the
 564 observation of the elve. Panel C and D: we test the position resolution between two Auger reconstructions of a
 565 stereo or triplet observation.

578 as a function of distance from the Auger Observatory is compatible with the timing observed
 579 in Figure 4, hinting at a discrepancy between signal start times of Auger elves and
 580 WWLLN far-field radiation measurements. The combined RMS of the distance and az-
 581 imuth difference plots also agrees with the timing resolution.

582 **5 Lightning Location Maps**

592 To disentangle dense elve regions from high observation probability regions, we dis-
 593 play the reconstructed location of the elve-inducing lightning in four Mercator projected,
 594 high resolution maps (Figure 6). More than 90% of the elves detected by the Auger Ob-



583 **Figure 6.** These maps denote the location of the reconstructed lightning strikes causing elves seen by the
 584 observatory, in geodetic coordinates. The large and small circles outline the lower and upper boundaries of
 585 the pixel array when projected to the base of the ionosphere, approximately with 860 km and 110 km radius,
 586 respectively. Panel A: the reconstructed lightning strike location from Auger elves and the number of FD sites
 587 contributing to each observation. The overlap of the FoV of each FD sites is shown in the shaded regions.
 588 Panel B: the WWLLN events correlated with our elve dataset against a log-color scale representing their
 589 energy in Joule. Panel C: a density map of WWLLN events with an overlay of elve-inducing lightning in
 590 coincidence. Panel D: the 1-site, 2-site, 3-site and 4-site coverage regions as an overlay on a density map of
 591 reconstructed locations of lightning strikes obtained from Auger elves.

595 servatory are to the east of the detector center (lat. = -35.25° , lon. = -69.25°). In con-
 596 trast, we confirm only six events to the west of the Andes mountain chain. Two blue cir-
 597 cles define the FD FoV projected onto a plane at 92 km altitude: the inner circle coincides
 598 with the upper edge of the pixels at 30° elevation from the ground, while the outer circle
 599 bounds the lower edge of the pixels at 1.5° elevation. These inner and outer contours are
 600 at 110 km and 860 km from the center of the Auger Observatory, respectively. Multiple

601 FD sites observing in the same region have a higher chance of an elve observation. We
602 aim at disentangling our high detection probability in the north-east from the high occur-
603 rence of elves in that region.

604 Each data point in the maps is the location of the lightning strike reconstructed from
605 an elve. The inhomogeneous strike density, as a function of distance from the center of
606 the Auger Observatory, reveals unavoidable cutoffs for data acquisition in the observational
607 footprint of the FD. When too close to the horizon, the light from the top of thunderstorm
608 systems may reach the pixel array before the light emission from the ionosphere. The dis-
609 carded lightning events induce a natural inner cutoff at ≈ 230 km.

610 We color the overlap regions of the detector FoV in green for mono, blue for stereo,
611 and orange for triplet (Figure 6, panel A). As an overlay, we plot the location of the center
612 of the elve (ie. the reconstructed lightning location) based on their observation duplic-
613 ity. In the mono region, the FD recorded only one event despite the 1172 events observed
614 only by one site in the rest of the FoV. Because the size of an elve, as defined by its UV
615 emission region, spans a few hundred kilometers, we reconstructed 17 of the 50 triplet
616 events outside a triplet overlap region. The proportionality of triplet events to mono and
617 stereo events indicates a detection inefficiency induced by factors such as the trigger algo-
618 rithms, the detector on-times, the reconstruction and other phenomenological effects such
619 as clouds between the light emission and an FD site.

620 From the energy map of WWLLN events matched in time to Auger elves, we ob-
621 serve that the FD tends to trigger on higher energy events when the lightning location is
622 outside the physical, projected aperture (Figure 6, panel B). At closer distances, the FoV
623 overlap located east of the Auger Observatory increases the observation probability, and
624 the light from the emission region travels through less atmosphere to reach the telescopes.
625 Hence, the Auger FD triggers on numerous, dimmer events at near distances.

626 By cross-checking the on-time of the Auger FD with the WWLLN dataset, we cre-
627 ated a density map of WWLLN lightning events displayed on a log scale with quarter
628 geodetic degree bin size (Figure 6, panel C). From this heat map of WWLLN events ac-
629 quired from 2014 to 2016, uncorrected for relative detection inefficiencies [Hutchins *et al.*,
630 2012a], we confirm the high density of lightning strikes present in the north-east of Ar-
631 gentina. The low density of lightning strikes over the ocean coincides with the low elve
632 count observed by the Auger Observatory, consistent with the lightning climatology study

633 of Virts et al. [Virts et al., 2013]. In this map, we do not require an energy value from
634 WWLLN as a selection for the elve events, but only a 5 ms timing coincidence.

635 To confirm the anisotropic elve distribution, we investigate the increased probabil-
636 ity of observation in the surrounding overlap regions. Assuming a hypothetical flat elve
637 at 92 km altitude, with an averaged radius of 250 km and an equal detection probability at
638 all FD sites, we calculate the percentage of that elve in the FoV of each sites. The value
639 for the elve radius is representative of the Auger dataset, it is much larger than what was
640 previously reported by the PIPER experiment [Blaes et al., 2014]. If at least 15% of the
641 elve is in the FoV of an FD site, then we flag the center of that elve as a geodetic location
642 with elve-inducing lightning, detectable by the Auger Observatory. From the number of
643 FD sites which can detect at least 15% of the same elve, we infer coverage regions which
644 differed from the overlap regions mentioned previously (Figure 6, panel D): 1-site, 2-site,
645 3-site and 4-site coverage. If lightning strikes in a 3-site coverage region, three FD sites
646 will have at least 15% of the hypothetical elve in their FoV. This map of expected cover-
647 age configurations indicates the presence of a 4-site coverage region. If a 500 km diam-
648 eter elve is centered around a geodetic locations in that 4-site coverage region, it covers
649 two different triplet overlap regions. This map also suggests an expanded region for possi-
650 ble triplet observations, where the probability to make an observation in that 3-site region
651 ($P = 1 - (1 - \epsilon)^3$, with ϵ representing the detection probability for one site) is greater than
652 the probability of an observation in a 2-site region ($P = 1 - (1 - \epsilon)^2$). A superposition of
653 the coverage with a heat map of the Auger reconstructed lightning location data explains
654 the hot spot in the 4-site region ($P = 1 - (1 - \epsilon)^4$), at geodetic coordinates: $(-33.5^\circ, -66.5^\circ)$.

655 We obtain an estimate for the probability from the lack of triplet observation in a
656 3-site coverage region, where most of the events occurred in this three year dataset. The
657 ratio of mono to stereo counts, mono to triplet counts, or stereo to triplet counts are corre-
658 lated, through basic probability theory, to an estimate of the observation probability for a
659 single site of $35 \pm 8\%$. Consequently, we calculate the probability to detect an elve by us-
660 ing the simple formulas mentioned previously, to be $82 \pm 9\%$ for an elve-inducing lightning
661 in a 4-site coverage region ($73 \pm 10\%$ in a 3-site region); however, this detection ineffi-
662 ciency leads to a probability of making a quadruplet observation (ϵ^4) closer to one in a
663 hundred. With another few years of data, we anticipate the detection of an elve with all
664 FD sites. Multiple-site observations also become a useful tool to understand the atmo-
665 spheric attenuation and confirm the total amount of photons emitted at the base of the

666 ionosphere. With the analysis described here, we will track the changes in our efficiencies
667 after each improvement of the trigger algorithm. Ultimately, we will be able to obtain a
668 number for the minimum lightning energy needed to create elves in our field of view.

669 **6 Summary**

670 After adding a new trigger channel to target a class of atmospheric TLEs known
671 as elves, the Pierre Auger Observatory has recorded almost 1600 of these events over the
672 three-year period from 2014 to 2016. This cosmic-ray observatory, located in the Men-
673 doza province of Argentina, includes 24 fixed-direction UV-fluorescence photometric tele-
674 scopes distributed over four different sites. These telescopes operate every night when the
675 weather is reasonably clear and the moonlight is sufficiently low. The total field of view
676 of the FD spans in azimuth the entire horizon and 92% of it is covered by two FD sites.
677 Several hundred photomultiplier pixels, digitized at 10 MHz, participate in a typical elve
678 measurement. The dataset reported here demonstrates that the observatory acceptance for
679 elves extends over $3 \cdot 10^6 \text{ km}^2$.

680 We developed an algorithm to reconstruct the latitude and longitude of the lightning
681 from the measured light-time distributions of the recorded elves. A list of the coordinates,
682 and UTC times of 1598 elves are available with this paper, on the website of the journal.
683 When the height of the UV emission is constrained to 92 km above sea level, the current
684 state of the resolution analysis shows that we agree with a WWLLN estimate of the FD
685 trigger time. This analysis also shows that we slightly overestimate the distance and time
686 of our reconstructed events. 72% of the observed elves correlate with independent radio-
687 frequency measurements of lightning by WWLLN. For a quality subset of these correlated
688 events (474), the lightning energy as measured by WWLLN had a median of 16 kJ, while
689 the median energy of all lightning measured by WWLLN that occurred inside the elve
690 footprint while the telescopes were taking data, was 1.3 kJ. Using this particular lightning
691 dataset and lightning energies, the turn-on threshold for elve detection by the Auger Ob-
692 servatory is about an order of magnitude higher than the turn-on threshold for lightning
693 detection by WWLLN.

694 The observed elve locations exhibited seasonal and geographical patterns: 44% of
695 the elves observed occurred during the southern-summer months and just 2.5% occurred
696 during winter months. Nearly all of the observed elves appeared east of the Andes and

697 just two were observed and reconstructed over the Pacific Ocean, confirming a study by
698 Virts et al. From the multiplicity of peaks in the traces, we conclude that 18% of our
699 dataset was related IC lightning activity (at least two peaks in the photo-trace) while the
700 rest shows simpler structure.

701 The Pierre Auger Observatory is scheduled to operate until at least 2025. In 2017,
702 we implemented a deeper readout-window of 900 μ s for elves, to increase the quality of
703 our current reconstruction. We are planning refinements of the on-line TLE-trigger algo-
704 rithm. To our knowledge, the Auger Observatory is the first and only ground-based facil-
705 ity that measures elves with year-round operation with full horizon coverage, controlled
706 photon counting, and 100 ns resolution. We look forward to possible correlation studies
707 between Auger data and various ongoing experiments: the RELAMPAGO ground-based
708 lightning detection campaign [Nesbitt et al., 2017], the GLM instrument aboard the GOES-
709 16 satellite [Goodman et al., 2013], the ASIM TLE detector [Neubert et al., 2009] and the
710 Mini-EUSO cosmic-ray detector [Capel et al., 2018] aboard the space station, the TARA-
711 NIS satellite [Lefeuvre et al., 2008], and private ground-based networks such as the GLD-
712 360 of Vaisala, Inc [Demetriades, 2012] or the ENTLN of Earth Networks [Heckman,
713 2014]. Any correlation analysis would contribute significantly to atmospheric electricity
714 research.

715 **Acronyms**

716 **TLE** Transient Luminous Event

717 **elve(s)** Emission of Light from Very low frequency perturbations due to Electromagnetic
718 pulse Sources

719 **FD** Fluorescence Detector

720 **SD** Surface Detector

721 **CCD** Charge-coupled device

722 **ISUAL** Imager of Sprites and Upper Atmospheric Lightning

723 **CG** Cloud-to-Ground

724 **IC** Intra-Cloud

725 **EIP** Energetic IC Pulses

726 **CID** Compact IC Discharges

727 **UHECR** Ultra-High Energy Cosmic Rays

728 **UV** Ultra-Violet
729 **EMP** Electromagnetic Pulse
730 **WWLLN** World Wide Lightning Location Network
731 **TLT** Third Level Trigger
732 **SLT** Second Level Trigger
733 **FLT** First Level Trigger
734 **FoV** Field of View
735 **IB** Initial Breakdown
736 **ADC** Analogue to Digital Converter

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