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Dark Energy Survey Identification of A Low-Mass Active Galactic Nucleus at Redshift 0.823 from Optical Variability

Hengxiao Guo, ^{1,2} Colin J. Burke, ^{1,2} Xin Liu, ^{1,2} Kedar A. Phadke, ¹ Kaiwen Zhang, ³ Yu-Ching Chen, ^{1,2} Robert A. Gruendl, ^{1,2} Christopher Lidman, ⁴ Yue Shen, ^{1,2} Eric Morganson, ² Michel Aguena, ^{5,6} Sahar Allam, ⁷ Santiago Avila, ⁸ Emmanuel Bertin, ^{9,10} David Brooks, ¹¹ Aurelio Carnero Rosell, ¹² Daniela Carollo, ¹³ Matias Carrasco Kind, ^{1,2} Matteo Costanzi, ^{14,15} Luiz N. da Costa, ^{6,16} Juan De Vicente, ¹² Shantanu Desai, ¹⁷ Peter Doel, ¹¹ Tim F. Eifler, ^{18,19} Spencer Everett, ²⁰ Juan García-Bellido, ⁸ Enrique Gaztanaga, ^{21,22} David W. Gerdes, ^{23,24} Daniel Gruen, ^{25,26,27} Julia Gschwend, ^{6,16} Gaston Gutierrez, ⁷ Samuel R. Hinton, ²⁸ Devon L. Hollowood, ²⁰ Klaus Honscheid, ^{29,30} David J. James, ³¹ Kyler Kuehn, ^{32,33} Marcos Lima, ^{5,6} Marcio A. G. Maia, ^{6,16} Felipe Menanteau, ^{1,2} Ramon Miquel, ^{34,35} Anais Möller, ³⁶ Ricardo L. C. Ogando, ^{6,16} Antonella Palmese, ^{7,37} Francisco Paz-Chinchón, ^{38,2} Andrés A. Plazas, ³⁹ Anita K. Romer, ⁴⁰ Aaron Roodman, ^{26,27} Eusebio Sanchez, ¹² Vic Scarpine, ⁷ Michael Schubnell, ²⁴ Santiago Serrano, ^{21,22} Mathew Smith, ⁴¹ Marcelle Soares-Santos, ⁴² Natalia E. Sommer, ⁴ Eric Suchyta, ⁴³ Molly E. C. Swanson, ² Gregory Tarle, ²⁴ Brad E. Tucker, ⁴

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ABSTRACT

Tamas N. Varga^{44,45} (DES Collaboration)

We report the identification of a low-mass AGN, DES J0218-0430, in a redshift z = 0.823 galaxy in the Dark Energy Survey (DES) Supernova field. We select DES J0218-0430 as an AGN candidate by characterizing its long-term optical variability alone based on DES optical broad-band light curves spanning over 6 years. An archival optical spectrum from the fourth phase of the Sloan Digital Sky Survey shows both broad Mg II and broad H β lines, confirming its nature as a broad-line AGN. Archival XMM-Newton X-ray observations suggest an intrinsic hard X-ray luminosity of $L_{2-12\,\mathrm{keV}} \approx 7.6 \pm 0.4 \times 10^{43}$ erg s⁻¹, which exceeds those of the most X-ray luminous starburst galaxies, in support of an AGN driving the optical variability. Based on the broad H β from SDSS spectrum, we estimate a virial BH mass of $M_{\bullet} \approx 10^{6.43}$ $10^{6.72} M_{\odot}$ (with the error denoting the systematic uncertainty from different calibrations), consistent with the estimation from OzDES, making it the lowest mass AGN with redshift > 0.4 detected in optical. We estimate the host galaxy stellar mass to be $M_*\approx 10^{10.5\pm0.3}M_\odot$ based on modeling the multi-wavelength spectral energy distribution. DES J0218-0430 extends the M_{\bullet} - M_{*} relation observed in luminous AGNs at $z \sim 1$ to masses lower than being probed by previous work. Our work demonstrates the feasibility of using optical variability to identify low-mass AGNs at higher redshift in deeper synoptic surveys with direct implications for the upcoming Legacy Survey of Space and Time at Vera C. Rubin Observatory.

Key words: black hole physics – galaxies: active – galaxies: high-redshift – galaxies: nuclei – quasars: general – surveys

INTRODUCTION

Supermassive BHs (SMBHs) as massive as $\sim 1-10$ billion solar masses were already formed when the universe was only a few hundred Myr old (e.g., Fan et al. 2001; Wu et al. 2015; Bañados et al. 2018). How they were able to form so quickly is an outstanding question in cosmology (Volonteri 2010). At least three channels have been proposed for the formation of the seeds of SMBHs: pop III stellar remnants (e.g., Madau & Rees 2001), direct collapse (e.g., Haehnelt & Rees 1993; Bromm & Loeb 2003; Begelman et al. 2006), or star cluster evolution (e.g., Gürkan et al. 2004; Portegies Zwart et al. 2004). Finding small BH seeds directly in the early universe represents a major goal of future facilities (e.g., The Lynx Team 2018).

The occupation fraction of BHs in local dwarf galaxies (i.e., $M_* < 10^{10} M_{\odot}$, Greene et al. 2019) and their mass functions hold the fossil record for understanding the mechanisms of seed formation (e.g., Greene 2012; Reines & Comastri 2016). There is growing evidence for the existence of intermediate mass BHs (IMBHs, $M_{\bullet} = 10^2 - 10^6 M_{\odot}$, Greene et al. 2019), including in some globular cluster centers, ultraluminous X-ray sources (ULXs), and the center of dwarf galaxies (e.g., Mezcua 2017). However, most of the existing evidence is limited to the low-redshift (z < 0.15) universe. Recently, Mezcua (2019) pointed out a problem of using local dwarf galaxies as the hosts for BH seeds, which may be contaminated by mergers and/or AGN feedback and therefore may not be the ideal fossil record for studying seed formation. This underscores the importance of finding small BHs at higher redshift, because they are more "pristine" (i.e., have gone through fewer mergers and feedback) than those at lower redshift.

Previously, the best strategy for identifying low-mass AGNs at higher redshift was using deep X-ray surveys, such as the Chandra deep fields (CDF) (e.g. Fiore et al. 2012; Young et al. 2012; Luo et al. 2017; Xue 2017) and the COS-MOS survey (Civano et al. 2012) (also see our Figure 7). For example, Luo et al. (2017) detected ~1000 objects in CDF-South (484.2 arcmin²) with total 7 Ms exposure time. 711 are AGNs based on the X-ray and multiwavelength properties. However, deep X-ray surveys are expensive and often plagued by contamination from star formation and/or Xray binaries. Radio searches for low-mass AGNs in nearby dwarf galaxies have also been conducted with NSF's Karl G. Jansky Very Large Array high resolution observations (e.g., Reines et al. 2020), although they are subject to the low detection rate of radio cores of AGNs. Alternatively, optical color selection is much less expensive but is biased against smaller BHs and/or lower Eddington ratios. Optical emission line selection may miss AGNs with line ratios dominated by star formation (e.g., Baldassare et al. 2016; Agostino & Salim 2019), particularly in low-mass galaxies without sufficient spectral resolution (Trump et al. 2015). Furthermore, the standard optical narrow emission line diagnostics used to identify SMBHs may fail when the BH mass falls below $\sim 10^4 M_{\odot}$ for highly accreting IMBHs and for radiatively inefficient IMBHs with active star formation, because the enhanced high-energy emission from IMBHs could result in a more extended partially ionized zone compared with models for SMBHs, producing a net decrease in the predicted $[O III]/H\beta$ and $[N II]/H\alpha$ emission line ratios (e.g., Cann et al. 2019).

In this work, we present the identification of DES J021822.52-043035.88 (hereafter DES J0218-0430 for short) as a low-mass AGN at z=0.823 by characterizing its optical variability based on sensitive, long-term light curves from the Dark Energy Survey (DES; Flaugher 2005; The Dark Energy Survey Collaboration 2005; Dark Energy Survey Collaboration et al. 2016) Supernova (SN) fields (Kessler et al. 2015). It serves as a proof of principle for identifying low-mass AGNs (i.e., $M_{\bullet} \lesssim 10^6 M_{\odot}$) at intermediate and high redshift using deep synoptic surveys with important implications for the Rubin Observatory Legacy Survey of Space and Time (LSST; Ivezić et al. 2019).

Compared to other methods, variability searches should be more sensitive to AGNs with lower Eddington ratios given the anti-correlation between Eddington ratio and optical variability (MacLeod et al. 2010; Ai et al. 2010; Guo & Gu 2014; Rumbaugh et al. 2018; Lu et al. 2019; Sánchez-Sáez et al. 2018). Recently, Baldassare et al. (2018) selected several low-mass AGN candidates in the Sloan Digital Sky Survey (York et al. 2000) Stripe 82 (SDSS-S82; Ivezić et al. 2007; Abazajian et al. 2009), but the sample is limited to z < 0.15 by the sensitivity of SDSS-S82 light curves. Compared to SDSS-S82, DES-SN provides a factor of 10 increase in single-epoch imaging sensitivity. The higher sensitivity is crucial for discovering AGNs with lower masses at higher redshift.

Our main new findings include:

- (i) Identification of a low-mass AGN based on optical variability alone. This represents the first low-mass AGN identified from optical variability at intermediate redshift.
- (ii) Confirmation that the optical variability is driven by an AGN based on optical spectroscopy, high hard Xray luminosity, and broad band spectral energy distribution (SED).
- (iii) Estimation of the BH mass M_{\bullet} using the virial method. Combined with the stellar mass estimate M_* from SED modeling, this puts DES J0218-0430 on the M_{\bullet} - M_{*} relation in AGN at intermediate redshift and extends it to lower masses than probed by previous work.
- (iv) Demonstration that variability searches based on sensitive, long-term optical light curves from deeper synoptic surveys can indeed identify low-mass AGNs at higher redshift (see also Elmer et al. 2020, for a recent study based on NIR variability).

The paper is organized as follows. Section 2 describes the observations and data analysis that identify DES J0218-0430 as a candidate low-mass AGN from optical variability and provides confirmation of its AGN nature based on optical spectroscopy and multi-wavelength properties. Section 3 presents our results on the estimation of its virial BH mass and the host galaxy stellar mass. We discuss the implications of our results in Section 4 and conclude in 5. A concordance $\Lambda {\rm CDM}$ cosmology with $\Omega_m=0.3,$ $\Omega_{\Lambda} = 0.7$, and $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ is assumed throughout. We use the AB magnitude system (Oke 1974) unless otherwise noted.

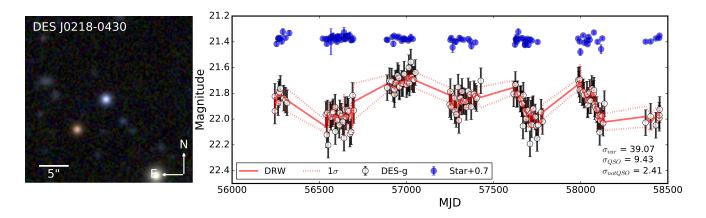


Figure 1. Left panel: DES gri-color composite image (with a 30"×30" field of view) for DES J0218–0430. Right panel: DES g-band PSF magnitude light curves for DES J0218–0430 (open filled circles) and a field star (blue filled circles) for comparison. The best-fit model for DES J0218–0430 (the red solid) and the 1 σ confidence levels (the red dashed) assume a damped random walk (Kelly et al. 2009). Labeled in the lower right are the variability significance, QSO significance and non-AGN variability significance. See §2.1 for details.

2 OBSERVATIONS AND DATA ANALYSIS

2.1 Variability Characterization

To distinguish AGN variability from variable stellar sources (e.g., stars, SNe), we follow the method of Butler & Bloom (2011), which represents an easy to implement method for selection of quasars using single-band light curves. We focus on the g band given that AGNs tend to show larger variability amplitudes in bluer bands (Ulrich et al. 1997). The Butler & Bloom (2011) method first uses the damped random walk model (Kelly et al. 2009) to parameterize the ensemble quasar structure function in SDSS-S82. Then, based on this empirical variable QSO structure function, they classify individual light curves into variable/non-variable objects and QSO/non-QSO with no parameter fitting.

The variability classification is based on two statistics, one describing the fit confidence and the other describing the false alarm probability (FAP), which is tuned to achieve high quasar detection fractions given an acceptable FAP. More specifically, we use the software qso_fit¹ to model the light curve and quantify if a source is variable and if yes, whether the variability is characteristic of AGN. We calculate the following statistics:

- (i) σ_{var} : the significance that a source is variable,
- (ii) $\sigma_{\rm QSO}$: the significance that a source is variable and that the fit to the damped random walk model is statistically preferred over that to a randomly variable, and
- (iii) $\sigma_{\rm notQSO}$: the significance that a source is variable but is not characteristic of AGN. This parameter is usually anticorrelated with $\sigma_{\rm QSO}$, lending further support to the AGN classification.

Although optimized for quasar variability, the Butler & Bloom (2011) method has been demonstrated to find variability in dwarf galaxies (Baldassare et al. 2018).

2.2 Target Selection Using the Dark Energy Survey

DES (Jan 2013–Jan 2019) was a wide-area 5000 deg² survey of the Southern Hemisphere in the grizY bands. It used the Dark Energy Camera (Flaugher et al. 2015; Bernstein et al. 2017) with a 2.2 degree diameter field of view mounted at the prime focus of the Victor M. Blanco 4m telescope on Cerro Tololo in Chile. The typical single-epoch 5σ point source depths achieved with six-year's data are g=24.7, r=24.5, i=23.9, z=23.3, and Y=21.8 mag (~ 0.4 mag) deeper than three-year's data, Abbott et al. 2018), much deeper than other surveys of larger area (e.g., SDSS-S82 and PanSTARRS1). The data quality varies due to seeing and weather variations. DES absolute photometric calibration has been tied to the spectrophotometric Hubble CALSPEC standard star C26202 and has been placed on the AB system (Oke & Gunn 1983). The estimated single-epoch photometric statistical precision is 7.3, 6.1, 5.9, 7.3, 7.8 mmag in the grizY bands (Abbott et al. 2018). DES contains a 30 deg² multi-epoch survey DES-SN to search for SNe Ia. It observed in eight "shallow" and in two "deep" fields, with the shallow and deep fields having typical nightly point-source depths of 23.5 and 24.5 mag, respectively (Kessler et al. 2015; Brout et al. 2019). DES-SN has a mean cadence of ~ 7 days in the griz bands between mid-August through mid-February from 2013 to 2019.

We have selected DES J0218–0430 as a candidate low-mass AGN by characterizing its long-term optical variability based on DES Y6A1 data. Details of our sample selection will be presented in a forthcoming paper. We briefly describe the selection procedure as follows:

- (i) We started from an internal DES variability catalog in the DES-SN fields. The catalog includes AGNs, SNe and artifacts. We applied the damped random walk model to the variable light curves to select AGN-like variability (see the details in §2.1).
- (ii) We have required that the stellar mass estimates are less than $10^{10}M_{\odot}$ based on mass-to-light ratios (M/L) inferred from broad-band colors (Taylor et al. 2011) without

http://butler.lab.asu.edu/qso_selection/index.html

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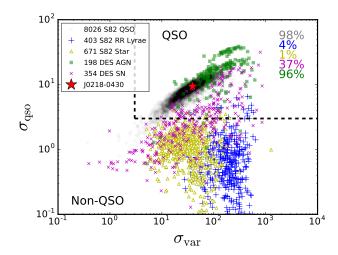


Figure 2. QSO significance ($\sigma_{\rm qso}$) versus variability significance ($\sigma_{\rm var}$) for DES J0218–0430. Also shown for context are spectroscopically confirmed quasars (grey dots) and stars (blue crosses and yellow triangles are for RR lyrae and non-variable stars, respectively) from the SDSS Stripe 82 and spectroscopically confirmed DES AGNs (green squares) and SNe (magenta crosses) from the OzDES survey (Yuan et al. 2015). Numbers indicate the fraction of objects among each population classified as "QSO" using the criteria $\sigma_{\rm var}>3$ and $\sigma_{\rm qso}>3$ (Butler & Bloom 2011). DES J0218–0430 is located in the region in which reside by most SDSS Stripe 82 quasars and DES AGN.

more careful SED fitting (see below in §3.2), assuming that low-mass AGNs usually reside in low-mass galaxies.

This resulted in $\sim 1,300$ "low-mass" AGN candidates, although the actual number of low-mass AGN candidates is likely to be much smaller considering that our simple color-derived M/L and stellar masses would have been significantly underestimated due to contamination from a blue AGN continuum. We then cross-matched the candidates with the Million Quasar Catalog². DES J0218-0430 was the only object with both an X-ray detection and obvious broad emission lines with widths of $\sim 500-2000$ km s⁻¹ from the SDSS spectra. We have also found other low-mass AGN candidates which either show only narrow emission-line components in their SDSS spectra, or, with X-ray detections but have no available SDSS spectrum (and therefore without a virial mass estimate). Spectroscopic follow-up observations are still needed for those candidates to measure any broad emission-line components to confirm their AGN nature and to infer their virial black hole masses.

Figure 1 shows the g-band light curve of DES J0218-0430 (located in a shallow field) using the point-spread function (PSF) magnitudes. There are 142 epochs (175 sec/epoch) of observations in total. Unlike low-mass AGNs at lower redshift, the host galaxy of DES J0218-0430 is unresolved in DES. We therefore adopt the PSF magnitude photometry which is most appropriate for unresolved sources.

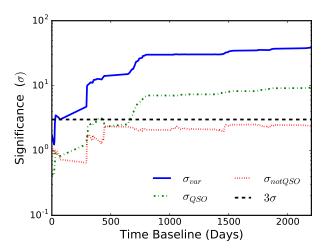


Figure 3. The dependence of variability significance (σ_{var}) , QSO significance (σ_{QSO}) , and non-QSO significance (σ_{notQSO}) on the total light curve baseline for DES J0218–0430.

Figure 2 shows $\sigma_{\rm QSO}$ versus $\sigma_{\rm var}$ for DES J0218–0430 compared against spectroscopically confirmed SDSS quasars and stars as well as DES AGN and SNe spectroscopically confirmed by OzDES. It demonstrates that DES J0218–0430 is classified as an AGN based on its characteristic optical variability at a high significance (with $\sigma_{\rm var}{\sim}39$ and $\sigma_{\rm QSO}{\sim}9$). It occupies the same subregion of parameter space as those of spectroscopically confirmed SDSS quasars and DES AGN.

Figure 3 shows the dependence of variability significance (σ_{var}) , QSO significance (σ_{QSO}) , and non-QSO significance (σ_{notQSO}) on the total light curve baseline T. While DES J0218–0430 can be classified as an AGN when $T \gtrsim 2$ years, both σ_{var} and σ_{QSO} continue to increase with increasing T until they start to saturate around $T \sim 4$ years. This demonstrates the importance of a moderately long time baseline for AGN identification from optical variability.

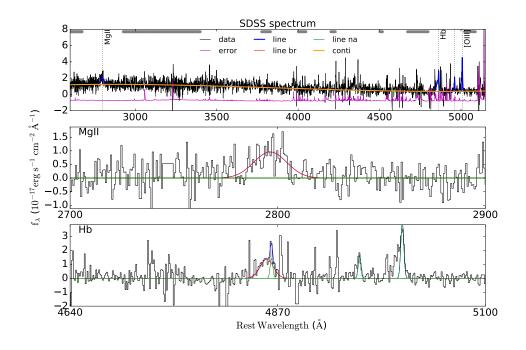
2.3 Optical Spectroscopy

Figure 4 (upper panel) shows the archival optical spectrum (Plate ID = 8124, Fiber ID = 690, and MJD = 56954) of DES J0218–0430 from SDSS-IV (Blanton et al. 2017). It was targeted as a quasar candidate by the eBOSS survey (Dawson et al. 2016) based on its optical/MIR color and was included in the SDSS DR14 quasar catalog (Pâris et al. 2018). Its luminosity is $M_i = -20.5$ mag, which is below the SDSS DR7 quasar catalog luminosity criterion ($M_i < -22$ mag; Schneider et al. 2010). It is not included in DES OzDES quasar catalog by Tie et al. (2017), which has $M_i < -22$ mag. Both broad H β and broad Mg II emission are covered in the spectrum.

DES J0218–0430 was observed by OzDES³ twice, once during 2014, and again in 2018. Since 2013, OzDES has used the 2dF positioner and AAOmega spectrograph on the Anglo-Australian Telescope to obtain redshifts for tens of thousands of sources within the 10 deep fields of the Dark

² https://heasarc.gsfc.nasa.gov/W3Browse/all/milliquas. html

³ Australian Dark Energy Survey



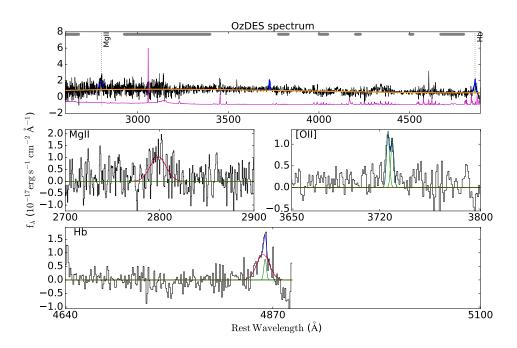


Figure 4. Optical spectrum for DES J0218–0430 from the SDSS-IV/eBOSS & OzDES survey and our spectral modeling analysis. A global fitting is applied to the spectrum having subtracted the host component in the upper panel. Power-law + 3-order polynomial and Gaussians are used to fit the continuum and emission lines, respectively. The grey bands on the top are line-free windows selected to determine the continuum emission. The error spectrum has been shifted vertically by -1×10^{-17} erg s⁻¹ cm⁻² Å⁻¹ for clarity. The lower panels show the zoomed-in emission line regions of Mg II, [O II] and Hβ. Broad Mg II and broad Hβ are both detected at the $2.1(2.0)\sigma$ and $3.4(3.6)\sigma$ significance levels, yielding virial BH masses $\sim 10^{6.43}$ – $10^{6.40}$ – $10^{6.69}M_{\odot}$) using Hβ from SDSS (OzDES).

Energy Survey (Yuan et al. 2015; Childress et al. 2017). The spectra from 2014 and 2018 are combined and shown in Figure 4 (lower panel). The total integration time for the combined spectrum was 3 hours. Further details on how the data were obtained and processed can be found in Yuan

et al. (2015), (Childress et al. 2017), and Lidman et al. (in preparation).

To determine the significance of the broad emission lines and to measure their profiles for virial BH mass estimates, we fit spectral models following the procedures as described

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in detail in Shen et al. (2019) using the software PyQSOFit⁴ (Guo et al. 2018). The model is a linear combination of a power-law continuum, a 3rd-order polynomial (to account for reddening), a pseudo continuum constructed from Fe II emission templates, and single or multiple Gaussians for the emission lines. Since uncertainties in the continuum model may induce subtle effects on measurements for weak emission lines, we first perform a global fit to the emission-line free region to better quantify the continuum. We then fit multiple Gaussian models to the continuum-subtracted spectrum around the broad emission line region locally.

More specifically, we model the Mg II line using a combination of up to two Gaussians for the broad component and one Gaussian for the narrow component. We impose an upper limit of 1200 km s⁻¹ for the FWHM of the narrow lines. For the H β line, we use up to three Gaussians for the broad $H\beta$ component and one Gaussian for the narrow $H\beta$ component. We use two Gaussians for the $[O\,III]\,\lambda4959$ and $[O\,III]\,\lambda5007$ narrow lines. Considering the low S/N of the spectrum, we only fit single Gaussians to the $[O\,III]\,\lambda\lambda4959,5007$ lines with the flux ratio of the doublet tied to be $f_{5007}/f_{4959}=3$. The line widths of [O III] and narrow ${ {\rm H}{\beta}}$ are tied together. Fitting each [O III] line with two Gaussians instead (with an additional component to account for a possible blue wing often seen in [O III]) does not improve the fit significantly. The resulting broad-line $H\beta$ width is relatively insensitive to our model choice for [O III]. For OzDES spectrum without [O III], we use $[O III] \lambda \lambda 3727,3729$ instead, which is fitted with two Gaussians to decompose the narrow component of H β . We use 100 Monte Carlo simulations to estimate the uncertainty in the line measurements.

Figure 4 shows our best-fit spectral model for DES J0218–0430. Table 1 lists the spectral measurements for DES J0218–0430. Both broad H β and broad Mg II are detected. This confirms DES J0218–0430 as a broad-line AGN.

2.4 Multi-wavelength Observations

To estimate the host-galaxy stellar mass (see §3.2 below for details), we queried the archival SED data for DES J0218–0430 using the Vizier tool⁵ within 3 arcsec following the procedures of Guo et al. (2020a). We adopt measurements from large systematic surveys to focus on a more homogeneous data set. These include the Galaxy Evolution Explorer (GALEX; Martin et al. 2005), the Sloan Digital Sky Survey (SDSS; York et al. 2000), the UKIRT Infrared Deep Sky Survey (UKIDSS; Lawrence et al. 2007), the Widefield Infrared Survey (WISE; Wright et al. 2010), and the Spitzer Wide-Area Infrared Extragalactic survey (SWIRE; Rowan-Robinson et al. 2013). When multi-epoch photometries are available, we take the mean value to quantify the average SED. We assume 20% as the fiducial fractional uncertainty if a proper photometric error is not available.

DES J0218–0430 is included in the Ninth Data Release of the fourth Serendipitous Source Catalog (4XMM-DR9) of the European Space Agency's (ESA) XMM-Newton observatory (Rosen et al. 2016). It was detected at $> 6\sigma$ sig-

nificance as a compact source in a 21 ks exposure on 2016 July 1. The EPIC 2–4.5 KeV and 4.5–12 KeV fluxes are $(2.10\pm1.54)\times10^{-15}$ erg cm⁻² s⁻¹ and $(2.19\pm1.14)\times10^{-14}$ erg cm⁻² s⁻¹ respectively, yielding $L_{2-12\,\mathrm{keV}}=(7.6\pm0.4)\times10^{43}$ erg s⁻¹. The X-ray luminosity exceeds those of the most X-ray luminous starburst galaxies (e.g., Zezas et al. 2001), lending further evidence for its AGN nature driving the optical variability.

3 RESULTS

3.1 Black Hole Mass Estimation

We estimate the AGN BH mass using the single-epoch estimator assuming virialized motion in the broad-line region (BLR) clouds (Shen 2013). With the continuum luminosity as a proxy for the BLR radius and the broad emission line width, characterized by the full width at half maximum (FHWM), as an indicator of the virial velocity, the virial mass estimate is given by

$$\log\left(\frac{M_{\bullet}}{M_{\odot}}\right) = a + b\log\left(\frac{\lambda L_{\lambda}}{10^{44} \operatorname{erg s}^{-1}}\right) + 2\log\left(\frac{\operatorname{FWHM}}{\operatorname{km s}^{-1}}\right),\tag{1}$$

where $L_{\lambda} = L_{3000}$ for Mg II and $L_{\lambda} = L_{5100}$ for H β . The coefficients a and b are empirically calibrated either against local reverberation mapped AGNs or internally among different lines. We adopt the calibrations of Mejía-Restrepo et al. (2016)⁶, Shen et al. (2011), and Vestergaard & Osmer (2009) for Mg II and those from Mejía-Restrepo et al. (2016), Vestergaard & Peterson (2006), and McLure & Dunlop (2004) for H β . The calibration coefficients are:

$$(a, b) = (0.955, 0.599), M16; Mg II$$

 $(a, b) = (0.740, 0.62), S11; Mg II$
 $(a, b) = (0.860, 0.50), VO09; Mg II$
 $(a, b) = (0.864, 0.568), M16; H\beta$
 $(a, b) = (0.910, 0.50), VP06; H\beta$
 $(a, b) = (0.672, 0.61), MD04; H\beta.$

Table 1 lists our results on the virial BH mass estimate. We estimate $M_{\bullet} \sim 10^{6.43} - 10^{6.72} M_{\odot}$ using broad H β , or $M_{\bullet} \sim 10^{7.14} - 10^{7.36} M_{\odot}$ using broad Mg II based on the SDSS measurements. The range in the quoted BH mass estimate reflects the systematic uncertainty depending on the adopted calibrations. The total error in the BH mass estimate is dominated by systematic uncertainties in the virial mass estimates which are $\gtrsim 0.4$ dex (e.g., Shen et al. 2011). This systematic uncertainty largely accounts for the fact that the empirically calibrated coefficients a and b may not necessarily apply to low-mass AGN at high redshift (e.g., Grier et al. 2017). Table 1 also lists the BH mass estimates based on the OzDES measurements. We adopt the H β -based value from SDSS as our fiducial estimate considering that H β

⁴ https://github.com/legolason/PyQSOFit

⁵ http://vizier.u-strasbg.fr/vizier/sed/

 $^{^6}$ This calibration is based on a sample of 39 AGN at $z\sim1.55.$ While it may be more appropriate for high-redshift sources, the sample is biased against low-mass systems and therefore the calibration may not necessarily be better than the other calibrations which do sample the low-mass regime appropriate for DES J0218–0430.

Spectrum (1)	F_{MgII} (10^{-17} erg)	$F_{H\beta}$ (3)	$\begin{array}{c} \mathrm{FWHM}_{\mathrm{MgII}} \\ \mathrm{(km\ s^{-1})} \\ \mathrm{(4)} \end{array}$	$FWHM_{H\beta}$ $(km s^{-1})$ (5)	$\log L_{3000}$ (erg s ⁻¹) (6)	$\log L_{5100} \ (\text{erg s}^{-1}) \ (7)$	$\mathrm{M}_{ullet}^{\mathrm{MgII},\mathrm{M16}}$ $(\mathrm{log} \mathbf{\textit{M}}_{\odot})$ (8)	$\mathrm{M}_{\bullet}^{\mathrm{MgII,S11}}$ $(\mathrm{log}\boldsymbol{M}_{\odot})$ (9)	$\mathrm{M}_{\bullet}^{\mathrm{MgII,VO09}}$ $(\mathrm{log} M_{\odot})$ (10)	$\mathrm{M}_{\bullet}^{\mathrm{H}\beta,\mathrm{M}16}$ $(\mathrm{log}\boldsymbol{M}_{\odot})$ (11)	$\mathrm{M}_{ullet}^{\mathrm{H}eta,\mathrm{VP06}}$ $(\mathrm{log} M_{\odot})$ (12)	$\mathrm{M}_{\bullet}^{\mathrm{H}\beta, \mathrm{MD04}}$ $(\mathrm{log} M_{\odot})$ (13)
$\begin{array}{c} {\rm SDSS} \\ {\rm OzDES} \end{array}$	18.7±3.3 21.6±4.1		1980±360 2118±410	1060±130 1025±80	43.69 43.77	43.52		-	7.30±0.15 7.40±0.15			

Table 1. Spectral measurements and virial black hole mass estimates of DES J0218-0430. Cols. 2 and 3: Broad emission line flux and 1σ uncertainty from Monte Carlo simulations. Cols. 4 and 5: Full width at half maximum of the broad emission line and 1σ uncertainty measured from our best-fit spectral model (§2.3 and Figure 4). Cols. 6 and 7: Monochromatic continuum luminosities of the AGN component in our best-fit spectral model after subtracting the host galaxy contribution from SED modeling. Cols. 8-13: Virial BH mass estimates using the calibrations of Mejía-Restrepo et al. (2016) (M16), Shen et al. (2011) (S11), and Vestergaard & Osmer (2009) (VO09) for Mg II and those of Mejía-Restrepo et al. (2016), Vestergaard & Peterson (2006) (VP06), and McLure & Dunlop (2004) (MD04) for H β (Equations 1 and 2). We assume the same 5100Å luminosity as that from the SDSS to calculate the BH mass from OzDES.

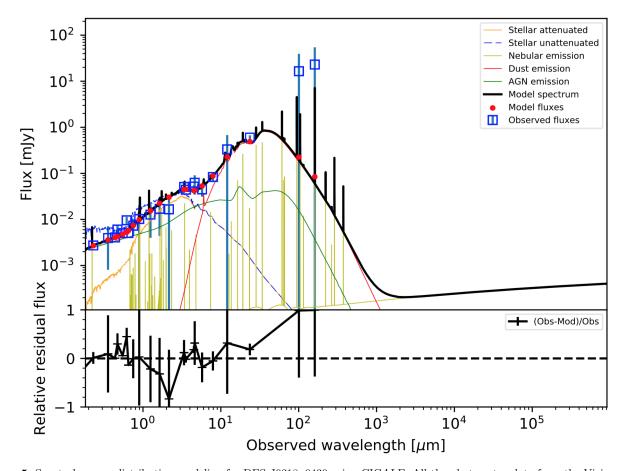


Figure 5. Spectral energy distribution modeling for DES J0218–0430 using CIGALE. All the photometry data from the Vizier service (see §2.4 for details) are shown as blue squares. The stellar unattenuated SED component is shown as the blue dotted line with the re-processed component shown as the solid orange line. Nebular emission is shown as the yellow solid line. The cold dust component is shown in red whereas the hot dust component from the AGN is shown in green. The best fit model is shown as the solid black line with residuals of observed and modeled flux values in the bottom panel.

is better known and calibrated by reverberation mapping studies (e.g., Shen 2013) and is believed to be more reliable than Mg II as a virial mass estimator (e.g., Guo et al. 2020b) and OzDES spectrum is incomplete for the H β -[O III] region.

We estimate the Eddington ratio $\lambda_{\rm Edd} \equiv \frac{L_{\rm Bol}}{L_{\rm Edd}}$ as 0.85 ± 0.35 for DES J0218–0430 from its hard X-ray luminosity $L_{\rm 2-10\,KeV}$ assuming a bolometric correction of $L_{\rm Bol}/L_{\rm 2-10\,KeV} = 10$ (Lusso et al. 2012). Considering the maximum g-band variability of 0.5 mag in Figure

1, DES J0218–0430 is consistent with the variability-Eddington ratio relation (see their Figure 11) in Rumbaugh et al. (2018), which is produced with normal SDSS quasars of $M_{\rm BH} \approx 10^9 M_{\odot}$.

3.2 Host Galaxy Stellar Mass Estimation

We estimate the host galaxy stellar mass by modeling its multi-wavelength spectral energy distribution (SED) using

the software CIGALE⁷ (Noll et al. 2009; Serra et al. 2011; Boquien et al. 2019). CIGALE is designed to reduce computation time and the results are dependent on the parameter space explored by discrete models which can have degenerate physical parameter values. Mock catalogues are generated and analysed to check the reliability of estimated physical quantities.

We assume an exponential "delayed" star formation history and vary the e-folding time and age of the stellar population model assuming solar metallicity and Chabrier initial mass function (IMF: Chabrier 2003) to fit the stellar component. We adopt the single stellar population library from Bruzual & Charlot (2003) for the intrinsic stellar spectrum. We use templates from Inoue (2011) based on CLOUDY 13.01 to model the nebular emission and amount of Lyman continuum photons absorbed by dust. We assume the dust attenuation curve of Calzetti et al. (2000) and a power law slope of 0 to model dust attenuation. We model the dust emission using the empirical templates from Draine et al. (2007) with updates from Draine et al. (2014). We use the templates from Fritz et al. (2006) to estimate the contribution from the AGN to the bolometric luminosity. The fractional contribution was allowed to vary from 0.1 to 0.9 along with the option for the object to be either type-1 or type-2 AGN.

Figure 5 shows the SED data and our best-fit model. The best fit shown is for a type-1 AGNs with fractional contribution of 0.1 from the AGN to the bolometric luminosity⁸. The resulting stellar mass estimate $M_* = 10^{10.5 \pm 0.3} M_{\odot}$ can have around 20% systematic uncertainty. More details about accuracy of estimating physical parameters related to stellar mass and fractional AGN contribution can be found in Boquien et al. (2019) and Ciesla et al. (2015).

To further quantify systematic uncertainties in our stellar mass estimate, we have double checked our result by fitting the SED using the software Prospector⁹ (Leja et al. 2018). Prospector is designed as a new framework for alleviating the model degeneracy and obtaining more accurate, unbiased parameters using the flexible stellar populations synthesis stellar populations code by Conroy et al. (2009). SED fitting with both broad band photometries and spectroscopies are available in Prospector. Our best-fit stellar mass estimate from the Prospector analysis is $M_* = 10^{10.8 \pm 0.5} M_{\odot}$, which is consistent with our CIGALE-based estimate within uncertainties.

AGN Classification Using the Mass Excitation Diagnostics

Figure 6 shows the mass excitation diagnostics diagram for DES J0218-0430. This verifies that the gas excitation as inferred from the narrow emission-line ratio $[OIII]/H\beta$ is dominated by the AGN rather than star formation. This is

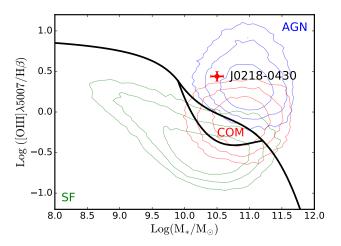


Figure 6. Mass excitation diagnostics for DES J0218-0430. The black lines are boundaries defined by Juneau et al. (2011) to separate AGNs and star-forming galaxies. The green, red, and blue color contours represent number densities of pure star-forming galaxies, composites, and AGNs classified by the BPT diagram (Kewley et al. 2001; Kauffmann et al. 2003).

in line with the host galaxy being dominated by old stellar populations as suggested by the SED fitting. The mass excitation diagnostics provide further verification of the AGN classification in addition to direct evidence from the broadline detection and the hard X-ray luminosity.

DISCUSSION

4.1 Comparison to Low-Mass AGNs in the Literature

Figure 7 shows the BH mass versus redshift for DES J0218-0430 compared against a list of low-mass AGN candidates at different redshift compiled from the literature selected using various techniques. This demonstrates DES J0218-0430 as one of the lowest BH mass objects at similar redshift¹⁰. The comparison of DES J0218-0430 and known low-mass AGNs in the literature highlights the prospect of using optical variability in deep synoptic surveys to select low-mass AGNs toward higher redshift.

At similar redshifts to DES J0218-0430, all low-mass AGN candidates in the literature are selected from X-ray deep-fields. We complied BH masses and redshifts for the samples noted in the figure caption. We removed duplicate entries during our literature search. We plot the virial BH mass measurements where possible. Individual candidates may have differing BH mass estimates depending on the estimation method and techniques used. Therefore, the individual references should be consulted for details. When

⁷ https://cigale.lam.fr/about/

⁸ We caution that the SED photometries are measured at different times. This may introduce extra uncertainty to the estimation of the AGN component, considering the variability in DES J0218-0430. In particular, the UV data points are sensitive to the AGN emission component.

⁹ https://prospect.readthedocs.io/en/latest/index.html

 $^{^{10}}$ Our fiducial BH mass is based on broad ${\rm H}\beta$ which is believed to be more reliable than Mg II. In comparison, the AGN SDSS J021339.48–042456.4 at redshift z=0.656 has an estimated BH mass of $10^{7.83}M_{\odot}$ from H β or $10^{6.43}M_{\odot}$ from Mg II (Sánchez-Sáez et al. 2018).

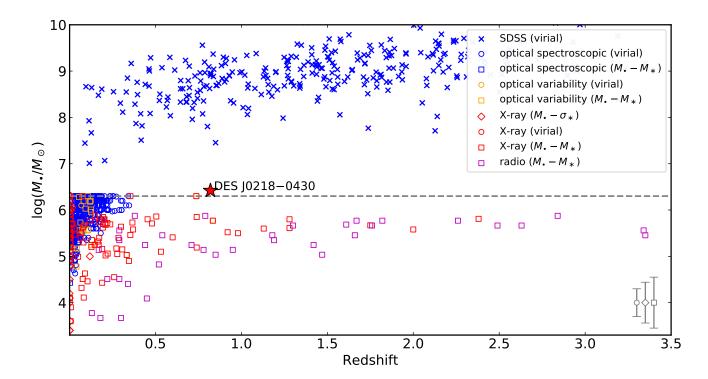


Figure 7. BH mass versus redshift for DES J0218–0430 in comparison to optical and X-ray-selected low-mass AGN candidates in the literature (Filippenko & Ho 2003; Barth et al. 2004; Greene & Ho 2004, 2007; Reines et al. 2011; Dong et al. 2012; Ho et al. 2012; Secrest et al. 2012; Schramm et al. 2013; Reines et al. 2013; Maksym et al. 2014; Baldassare et al. 2015; Lemons et al. 2015; Reines & Volonteri 2015; Kawamuro et al. 2016; Pardo et al. 2016; Chang et al. 2017; She et al. 2017; Baldassare et al. 2018; Chilingarian et al. 2018; Ding et al. 2018; Liu et al. 2018; Mezcua et al. 2018) as well as the low-mass AGNs of the Mezcua et al. (2019) radio sample. This demonstrates DES J0218–0430 to be one of the lowest BH mass objects at similar redshift. The higher redshift X-ray selected sources are from the Chandra deep field. Additionally, DES J0218–0430 is the highest redshift object in its class identified from an optical survey. We consider objects with BHs mass estimates of $M_{\bullet} \leq 2 \times 10^6 M_{\odot}$ and DES J0218–0430. For comparison, the more massive sample of SDSS AGNs with BH masses from Shen et al. (2011) is also shown as blue crosses above the dashed line. The typical BH mass uncertainties are shown in grey at the lower right for $M_{\bullet} - M_{*}$ host scaling relation (0.3 dex), the virial method (0.44 dex), and the $M_{\bullet} - \sigma_{*}$ relation (0.55 dex). See §4.1 (and references within) for details.

measured BH masses are not available, we use the M_{\bullet} – M_{*} host scaling relation from Reines & Volonteri (2015) to estimate the BH mass. Although there are claims that these scaling relations may flatten-out below $M_{*} \sim 10^{10} M_{\odot}$ (e.g., Martín-Navarro & Mezcua 2018) in addition to their large scatter, emphasizing the importance of obtaining broad-line BH mass measurements of low-mass AGNs.

4.2 Comparison to Previous Optical and Near-IR Variability Searches of Low-Mass AGN

Baldassare et al. (2018) used SDSS to select low-mass AGNs ($M_* \sim 10^9 - 10^{10} M_{\odot}$) with a similar mass range as DES J0218–0430 but was limited to z < 0.15. Our identification of a low-mass AGN at z = 0.823 is enabled by the factor of 10 increase in single-epoch imaging sensitivity offered by DES-SN and detailed stellar mass estimation beyond the redshift limits of most stellar mass catalogs.

Martínez-Palomera et al. (2020) used DECam imaging to select galaxies with small amplitude (g < 0.1 mag) variability characteristic of low-mass AGNs with no stellar mass cut. They confirm three AGNs with broad emission from

SDSS spectroscopy in the range $M_{\bullet} \sim 10^{6.0} - 10^{6.5} M_{\odot}$. However, their sample is limited to z < 0.35.

Sánchez-Sáez et al. (2019) used a random-forest classifier trained on optical light curves (variable features and colors) using the QUEST-La Silla AGN variability survey with high purity. Their sample is dominated by quasars. These authors report the identification of eight low-luminosity AGNs which would not have been found with pure color selection or other traditional techniques. However, robust BH masses are not quoted in this work.

De Cicco et al. (2019) used the VST survey to select variable AGNs in the COSMOS field. This work also demonstrates variability selection is able to find AGNs with X-ray counterparts missed by color selection, but BH mass estimates are not reported for their sample.

Elmer et al. (2020) recently used NIR variability selection using K-band imaging with the UKIDSS Ultra Deep Survey. These authors demonstrate the very valuable capability of NIR variability to identify AGNs in $M_* \sim 10^9 - 10^{10} M_{\odot}$ hosts galaxies up to $z \sim 3$, however BH mass estimates are not reported and virial BH masses are increasingly difficult to obtain for high-redshift low-mass AGNs.

4.3 Implications for the BH-Host Scaling Relation at z~1

Figure 8 shows the virial BH mass versus host galaxy stellar mass for DES J0218-0430. Shown for comparison is the Xray selected AGN sample at median $z \sim 0.8$ from Cisternas et al. (2011); Schramm et al. (2013) re-analyzed by Ding et al. (2020). The virial BH masses were estimated based on single-epoch spectra using broad H β and/or broad Mg II. The comparison sample includes 32 objects from Cisternas et al. (2011) and 16 objects from Schramm et al. (2013). The total stellar masses of the Cisternas et al. (2011) sample were estimated by the empirical relation between M_*/L and redshift and luminosity in the Hubble Space Telescope (HST) F814W band, which was established using a sample of 199 AGN host galaxies. The total stellar masses for the Schramm et al. (2013) sample were estimated from the galaxy absolute magnitude M_V and rest-frame (B-V) color measured from HST imaging for quasar-host decomposition using the M/L calibration of Bell et al. (2003). DES J0218-0430 extends the $M_{\bullet}\text{-}M_{*}$ relation at $z\sim 1$ to smaller BH masses. DES J0218-0430 seems to have a BH mass $\sim 3\sigma$ smaller than the median value we would expect from its total stellar mass. This may indicate that variability selection may identify AGNs with lower masses than X-ray selected AGN, although a larger sample is needed to draw a firm conclusion. Note that we also have assumed that low-mass AGNs usually reside in low-mass galaxies in our sample selection.

Also shown for context in Figure 8 are the best-fit scaling relations for local samples of inactive galaxies (e.g., Häring & Rix 2004; Kormendy & Ho 2013; McConnell & Ma 2013) and low-redshift AGNs (Reines & Volonteri 2015). While DES J0218–0430 appears to fall below the best-fit relation of low-redshift AGNs of Reines & Volonteri (2015), the apparent offset is insignificant accounting for systematic uncertainties in the virial BH mass estimate (~ 0.44 dex at 1 σ ; Shen 2013). While based on only one data point, our result on DES J0218–0430 suggests no significant redshift evolution in the M_{\bullet} – M_{*} scaling relation from redshift $z\sim1$ to $z\sim0$ (see also Ding et al. 2020), which is consistent with previous results based on the M_{\bullet} – σ_{*} relation (e.g., Shen et al. 2015; Sexton et al. 2019).

5 CONCLUSION AND FUTURE WORK

We have identified a low-mass AGN in the redshift z=0.823galaxy DES J0218-0430 in DES-SN fields based on characterizing its long-term optical variability alone (Figures 1-3). We have not applied any color selection criterion to avoid bias induced by host galaxy starlight which dominates the optical to near-IR SED (Figure 5). We have confirmed the AGN nature by detecting broad H β and broad MgII in its archival optical spectrum (Figure 4) from the SDSS-IV/eBOSS survey and by measuring its high X-ray 2-10 keV luminosity using archival XMM-Newton observations (§2.4). We have estimated its virial BH mass as $M_{\bullet} \sim 10^{6.43}$ $10^{6.72} M_{\odot}$ based on broad H β from the SDSS (§3.1) and its host-galaxy stellar mass as $M_* = 10^{10.5 \pm 0.3} M_{\odot}$ based on SED modeling (§3.2). Comparing DES J0218-0430 to local samples of inactive galaxies and low-redshift AGN, we do not see any evidence for significant redshift evolution in the $M_{\bullet}-M_{*}$ relation from $z \sim 1$ to $z \sim 0$ (Figure 8).

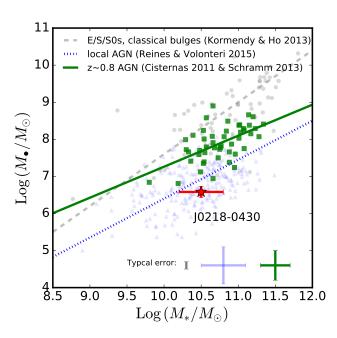


Figure 8. Black hole mass versus host-galaxy total stellar mass for DES J0218-0430 in comparison to X-ray selected intermediate-redshift AGN and local samples of AGN and inactive galaxies. The green solid line shows the best-fit relation of the sample of 48 X-ray selected AGN with a median $z \sim 0.8$ from Cisternas et al. (2011) and Schramm et al. (2013) re-analyzed by Ding et al. (2020). The blue dotted line represents the bestfit relation in local AGN from Reines & Volonteri (2015) where the blue triangles show individual objects. The gray dashed line denotes the best-fit relation using the sample of ellipticals and spiral/S0 galaxies with classical bulges from Kormendy & Ho (2013) with the gray dots showing individual systems. The error bars of DES J0218-0430 includes both statistical and systematic uncertainties. The error bars shown in the lower right corner denote typical uncertainties for the individual measurements in the comparison samples.

DES J0218-0430 is one of the lowest BH mass objects at similar redshift (Figure 7). At similar redshifts to DES J0218-0430, the literature IMBH candidates are all selected from X-ray deep-fields. Our work highlights the prospect of using optical variability to identify low-mass AGNs at higher redshift (see also Elmer et al. 2020, for a recent study based on NIR variability).

In future work we will present a systematic variability search of all high-redshift low-mass AGN candidates in the DES-SN and deep fields. We will also systematically search for IMBHs using variability in low-redshift dwarf galaxies over the entire DES wide field based on low-cadence but long-term optical light curves. We will measure the black hole occupation functions and particularly at low masses to distinguish seed formation mechanisms. Finally, future observations with LSST will discover more small BHs at higher redshift as the more "pristine" fossil record to study BH seed formation.

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AFFLIATIONS

- Department of Astronomy, University of Illinois at Urbana-Champaign, 1002 W. Green Street, Urbana, IL 61801, USA
- National Center for Supercomputing Applications, 1205 West Clark St., Urbana, IL 61801, USA
- ³ Department of Physics, University of Illinois at Urbana-Champaign, 1110 West Green Street, Urbana, IL 61801,USA
- ⁴ The Research School of Astronomy and Astrophysics, Australian National University, ACT 2601, Australia
- ⁵ Departamento de Física Matemática, Instituto de Física, Universidade de São Paulo, CP 66318, São Paulo, SP, 05314-970, Brazil
- ⁶ Laboratório Interinstitucional de e-Astronomia LIneA, Rua Gal. José Cristino 77, Rio de Janeiro, RJ - 20921-400, Brazil
- ⁷ Fermi National Accelerator Laboratory, P. O. Box 500, Batavia, IL 60510, USA
- 8 Instituto de Fisica Teorica UAM/CSIC, Universidad Autonoma de Madrid, 28049 Madrid, Spain
- ⁹ CNRS, UMR 7095, Institut d'Astrophysique de Paris, F-75014, Paris, France
- ¹⁰ Sorbonne Universités, UPMC Univ Paris 06, UMR 7095, Institut d'Astrophysique de Paris, F-75014, Paris, France
- ¹¹ Department of Physics & Astronomy, University College London, Gower Street, London, WC1E 6BT, UK
- ¹² Centro de Investigaciones Energéticas, Medioambientales y Tecnológicas (CIEMAT), Madrid, Spain
- ¹³ INAF, Astrophysical Observatory of Turin, I-10025 Pino Torinese, Italy
- ¹⁴ INAF-Osservatorio Astronomico di Trieste, via G. B. Tiepolo 11, I-34143 Trieste, Italy
- 15 Institute for Fundamental Physics of the Universe, Via Beirut 2, 34014 Trieste, Italy
- ¹⁶ Observatório Nacional, Rua Gal. José Cristino 77, Rio de Janeiro, RJ 20921-400, Brazil
- ¹⁷ Department of Physics, IIT Hyderabad, Kandi, Telangana 502285, India
- ¹⁸ Department of Astronomy/Steward Observatory, University of Arizona, 933 North Cherry Avenue, Tucson, AZ 85721-0065, USA
- ¹⁹ Jet Propulsion Laboratory, California Institute of Technology, 4800 Oak Grove Dr., Pasadena, CA 91109, USA
- 20 Santa Cruz Institute for Particle Physics, Santa Cruz, CA 95064, USA
- ²¹ Institut d'Estudis Espacials de Catalunya (IEEC), 08034 Barcelona, Spain
- 22 Institute of Space Sciences (ICE, CSIC), Campus UAB, Carrer de Can Magrans, s/n, 08193 Barcelona, Spain
- 23 Department of Astronomy, University of Michigan, Ann Arbor, MI 48109, USA
- 24 Department of Physics, University of Michigan, Ann Arbor, MI 48109, USA
- ²⁵ Department of Physics, Stanford University, 382 Via Pueblo Mall, Stanford, CA 94305, USA
- ²⁶ Kavli Institute for Particle Astrophysics & Cosmology,
 P. O. Box 2450, Stanford University, Stanford, CA 94305,
 USA
- $^{\rm 27}$ SLAC National Accelerator Laboratory, Menlo Park, CA 94025, USA
- ²⁸ School of Mathematics and Physics, University of Queensland, Brisbane, QLD 4072, Australia

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²⁹ Center for Cosmology and Astro-Particle Physics, The Ohio State University, Columbus, OH 43210, USA ³⁰ Department of Physics, The Ohio State University,

Columbus, OH 43210, USA

³¹ Center for Astrophysics | Harvard & Smithsonian, 60 Garden Street, Cambridge, MA 02138, USA

³² Australian Astronomical Optics, Macquarie University, North Ryde, NSW 2113, Australia

Lowell Observatory, 1400 Mars Hill Rd, Flagstaff, AZ

³⁴ Institució Catalana de Recerca i Estudis Avançats, E-08010 Barcelona, Spain

³⁵ Institut de Física d'Altes Energies (IFAE), The Barcelona Institute of Science and Technology, Campus UAB, 08193 Bellaterra (Barcelona) Spain

³⁶ Universités Clermont Auvergne, CNRS/IN2P3, LPC, F-63000 Clermont-Ferrand, France

³⁷ Kavli Institute for Cosmological Physics, University of Chicago, Chicago, IL 60637, USA

³⁸ Institute of Astronomy, University of Cambridge, Madin-

gley Road, Cambridge CB3 0HA, UK ³⁹ Department of Astrophysical Sciences, Princeton Univer-

sity, Peyton Hall, Princeton, NJ 08544, USA ⁴⁰ Department of Physics and Astronomy, Pevensey Building, University of Sussex, Brighton, BN1 9QH, UK

School of Physics and Astronomy, University of

Southampton, Southampton, SO17 1BJ, UK
⁴² Brandeis University, Physics Department, 415 South Street, Waltham MA 02453
43 Computer Science and M

Computer Science and Mathematics Division, Oak Ridge

National Laboratory, Oak Ridge, TN 37831

44 Max Planck Institute for Extraterrestrial Physics, Giessenbachstrasse, 85748 Garching, Germany

⁴⁵ Universitäts-Sternwarte, Fakultät für Physik, Ludwig-Maximilians Universität München, Scheinerstr. 1, 81679 München, Germany This paper has been typeset from a

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