



# Long-baseline searches for sterile neutrinos using neutral current interactions in NOvA

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New Perspectives 2018  
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# Introduction

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- Previous NOvA talks considered neutral currents as a background to charged current processes. This talk discusses NOvA's 2018 searches for **neutral current disappearance**, in which NCs are the signal!
- Overview:
  - Motivation and experimental overview.
  - Search for NC disappearance in **neutrino** data, and upcoming plans with new covariance method.
  - Search for NC disappearance in **antineutrino** data, using standard extrapolation technique.
  - Future plans for NOvA sterile neutrino searches.

# 3+1 sterile neutrino oscillations

- Search for evidence of mixing between active neutrino states ( $\nu_e, \nu_\mu, \nu_\tau$ ) and fourth sterile state ( $\nu_s$ ).
- Look for disappearance among neutral current (NC) events, which are insensitive to standard three-flavour oscillations.
- Approximate near detector (SBL) and far detector (LBL) oscillation probabilities on right.
  - Note that in analysis, use exact treatment of 3+1 oscillation probabilities.

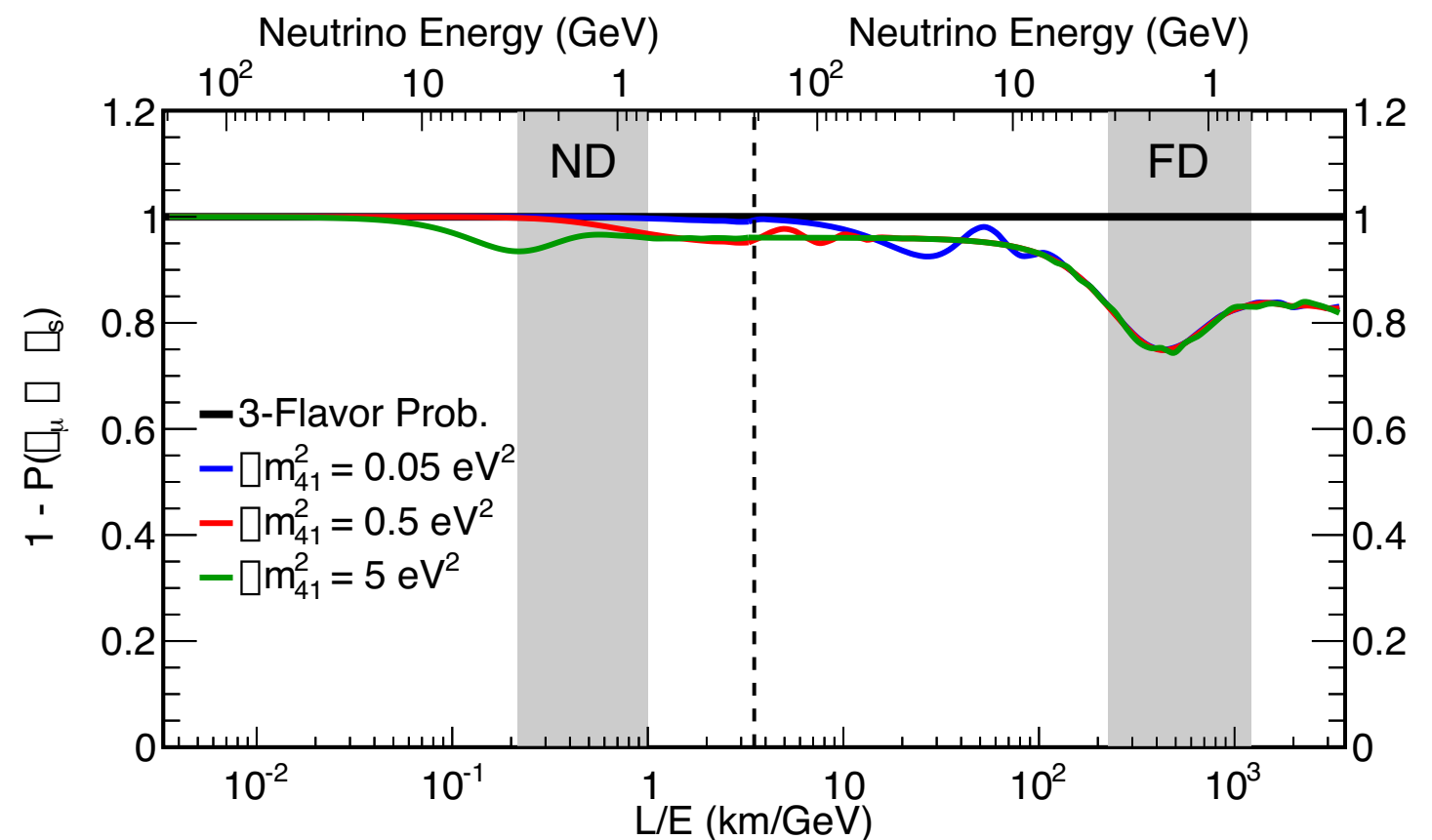
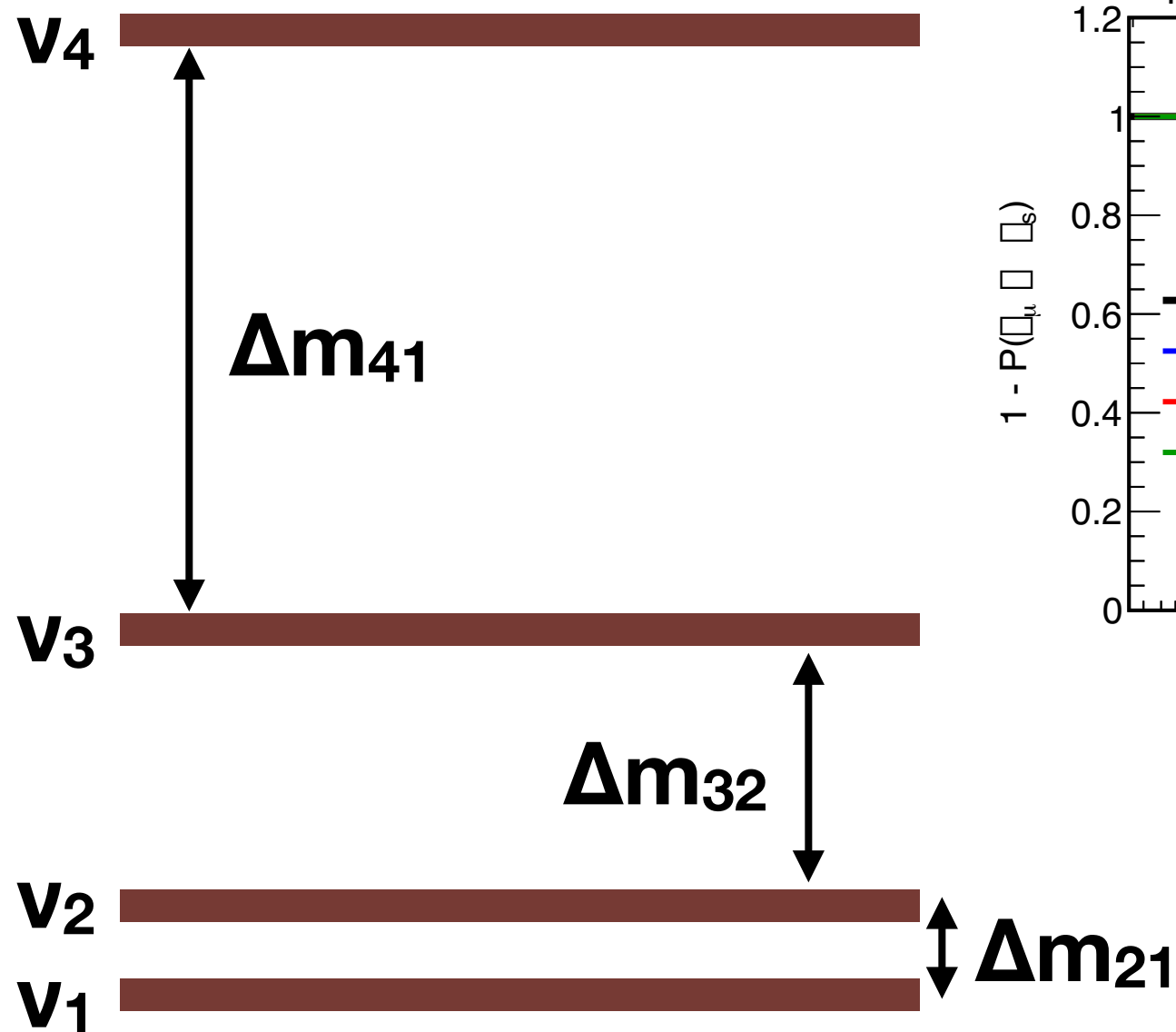
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

$$\begin{aligned} P_{\nu_\mu \rightarrow \nu_\mu}^{SBL,3+1} &= 1 - 4|U_{\mu4}|^2(1 - |U_{\mu4}|^2) \sin^2 \Delta_{41} \\ &= 1 - \cos^2 \theta_{14} \sin^2 \theta_{24} \sin^2 \Delta_{41} \end{aligned}$$

$$\begin{aligned} 1 - P_{\nu_\mu \rightarrow \nu_s}^{LBL,3+1} &\approx 1 - \frac{1}{2} \cos^4 \theta_{14} \cos^2 \theta_{34} \sin^2 \theta_{24} \\ &\quad + A \sin^2 \Delta_{31} - B \sin 2\Delta_{31} \end{aligned}$$

$$\begin{aligned} \Delta_{ab} &= \frac{\Delta m_{ab}^2 L}{4E} & A &= \sin^2 \theta_{34} \sin^2 2\theta_{23} \\ B &= \frac{1}{2} \sin \delta_{24} \sin \theta_{24} \sin 2\theta_{34} \sin 2\theta_{23} \end{aligned}$$

# 3+1 sterile neutrino oscillations

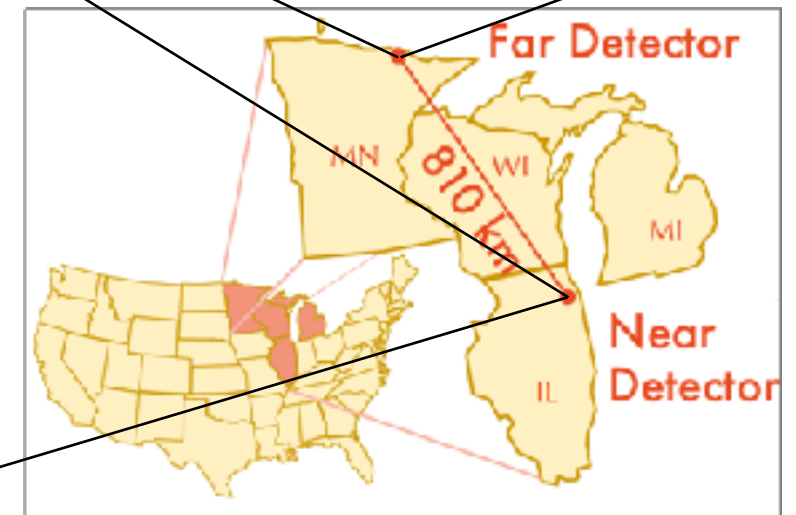
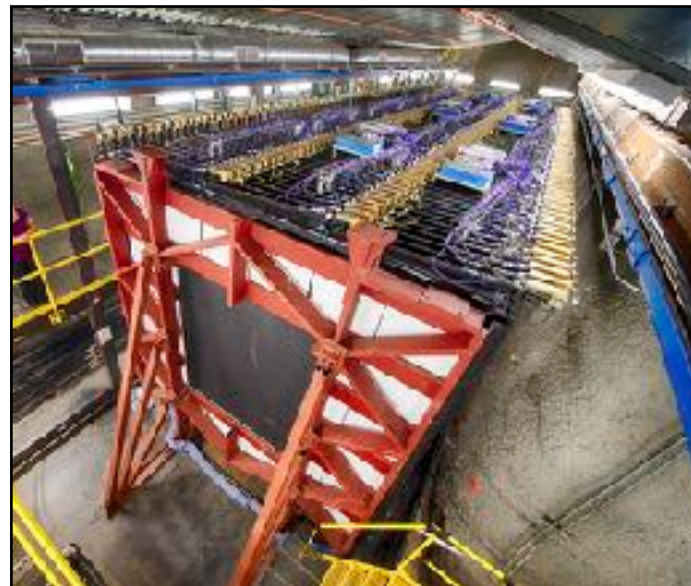
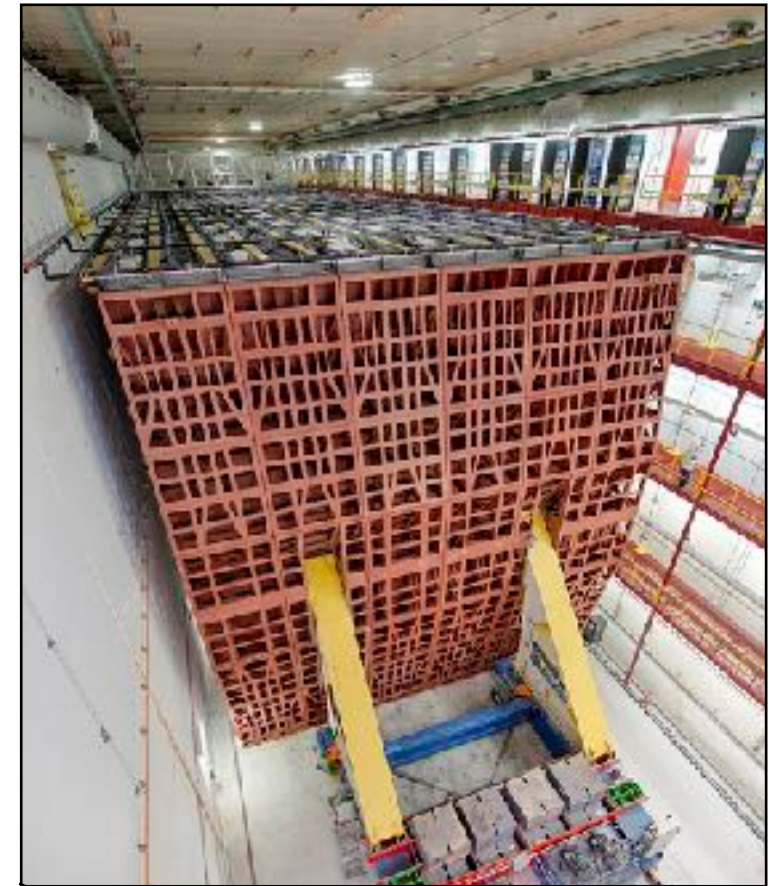


- Signal is always a deficit, never an excess — “smoking gun”.
- Sensitive to mixing parameters  $\theta_{24}$ ,  $\theta_{34}$ ,  $\Delta m_{41}^2$  and  $\delta_{24}$ .

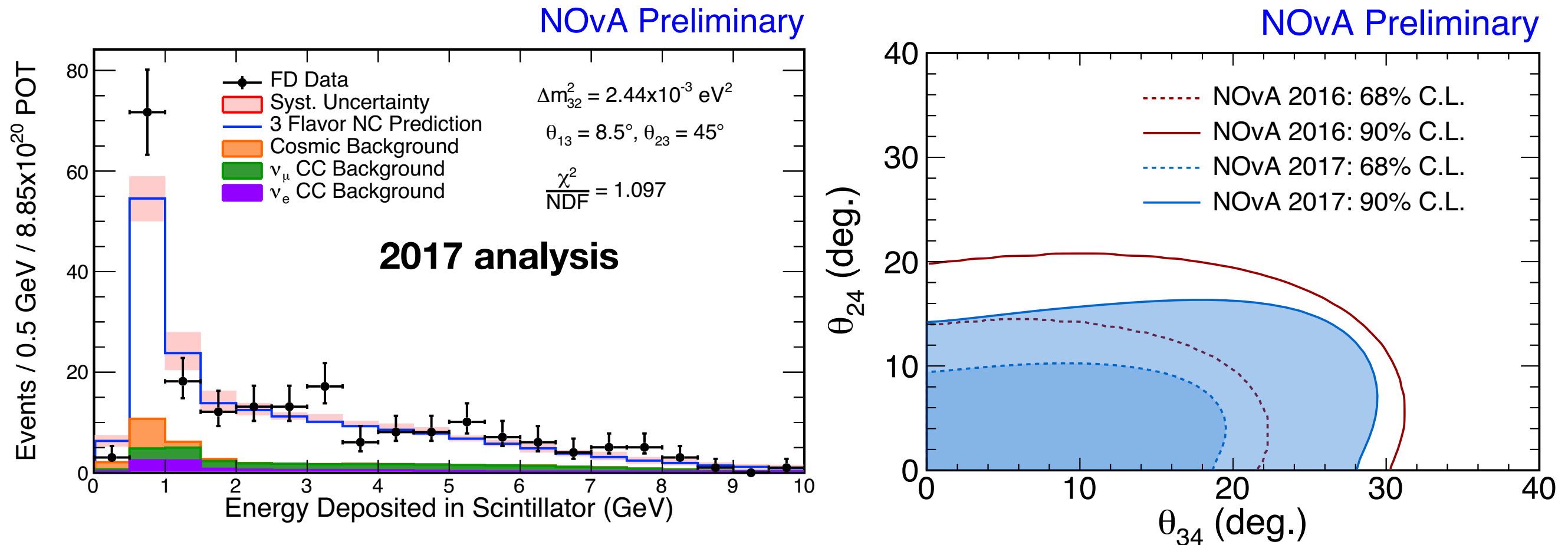


# The NOvA experiment

- **NOvA** (NuMI Off-axis  $\nu_e$  Appearance) is a long-baseline accelerator experiment based at Fermilab.
- Measures neutrinos from Fermilab's **NuMI beam**.
- Functionally identical **plastic scintillator** near and far detectors.
  - ND: 1km baseline, FNAL, 300 tons.
  - FD: 810km baseline, Ash River, 14 kt, 14 mrad off-axis.



# Previous NOvA NC analyses



- Previous NOvA analyses searched for NC disappearance in neutrino data using standard extrapolation technique.
- Allowed regions in  $\theta_{24}$  vs  $\theta_{34}$  parameter space produced at fixed values of  $\Delta m_{41}^2$ .
- Extrapolation technique limited to parameter space where no sterile oscillations occur in near detector,  $\Delta m_{41}^2 < 5 \text{ eV}^2$ .

# New NOvA NC analyses

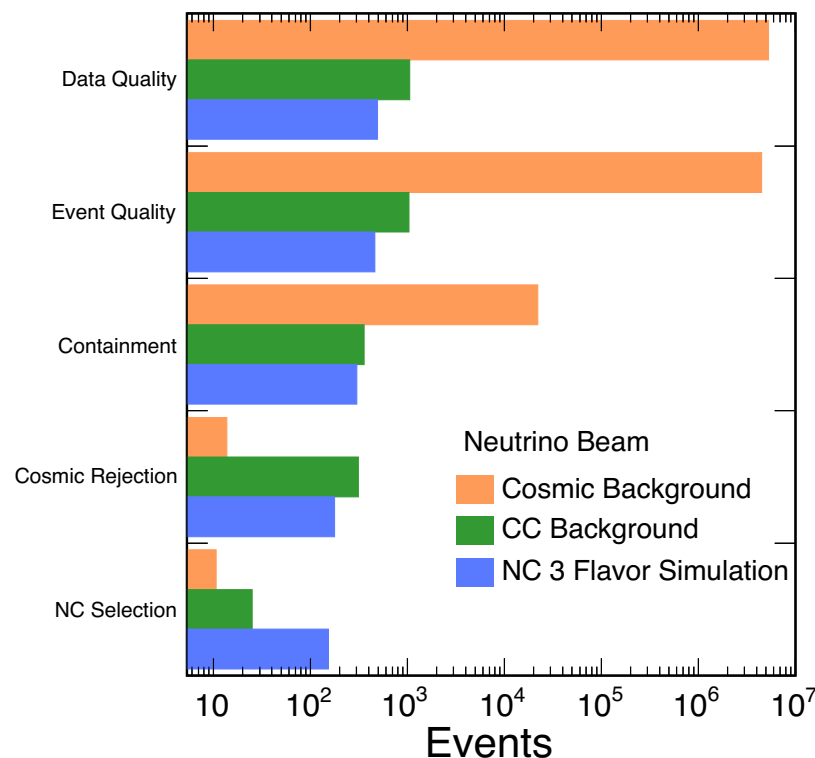
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- **Two new analyses** for 2018:
  - Re-analyse **neutrino beam** dataset with new event selection and joint two-detector method.
  - Analyse new **antineutrino beam** dataset with previously used extrapolation technique.
- Both analyses utilise NOvA's machine learning-based **CVN** (see previous talk by M. Groh) for event selection — arXiv:1604.01444
- Cosmic rejection and event selection retrained since previous analysis.
- Sample purity improved without sacrificing signal selection efficiency.



# Neutrino beam data event selection

NOvA Preliminary



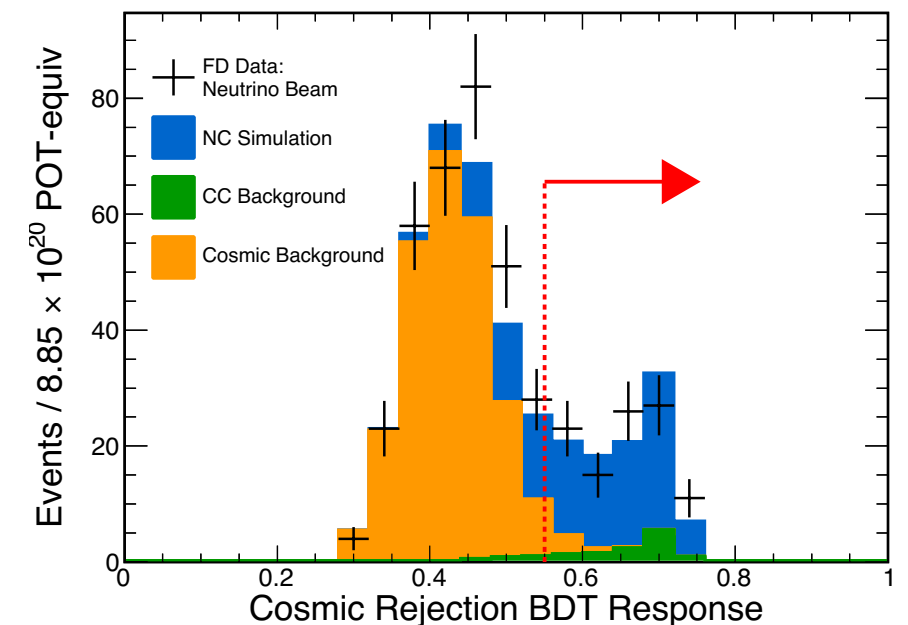
## Cosmic rejection:

- EM showers travelling away from beam.
- Transverse momentum cut.
- Activity close in time to candidate event.
- NC-specific boosted decision tree (BDT) trained to reject cosmics.

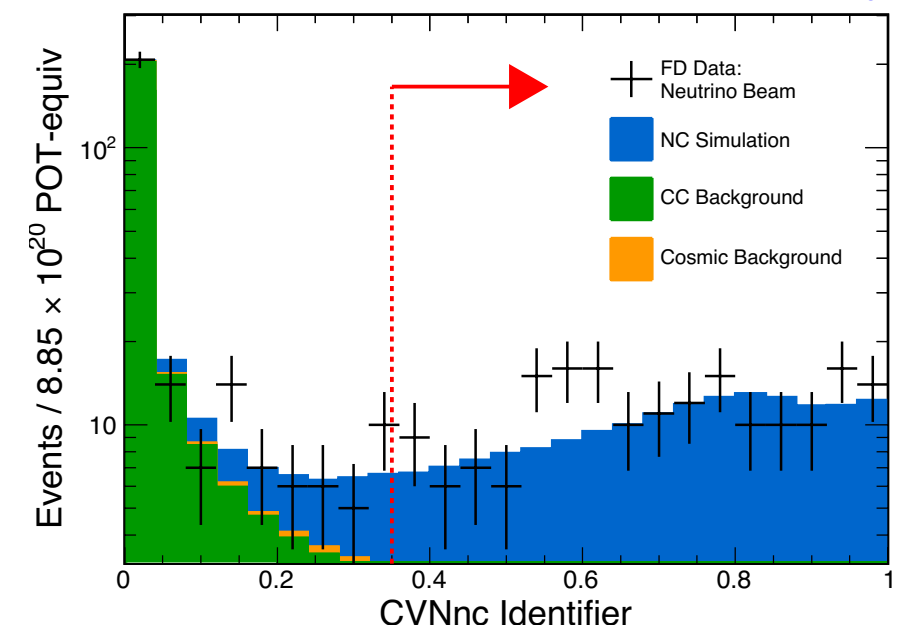
## Event selection:

- Use Convolutional Visual Network (CVN) event selection technique to identify NC events.
- Dominant backgrounds are charged current (CC) and cosmic interactions.

NOvA Preliminary

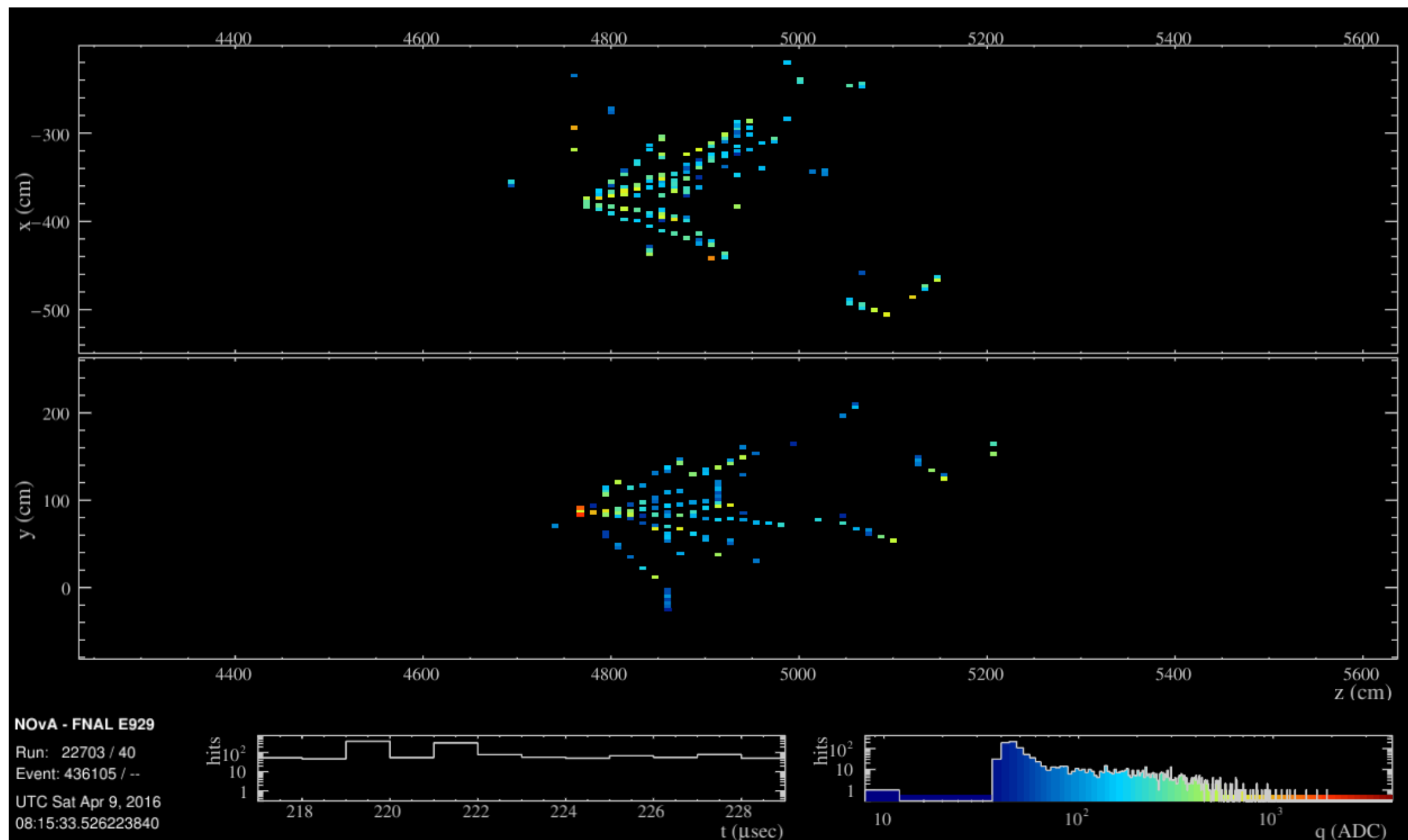


NOvA Preliminary



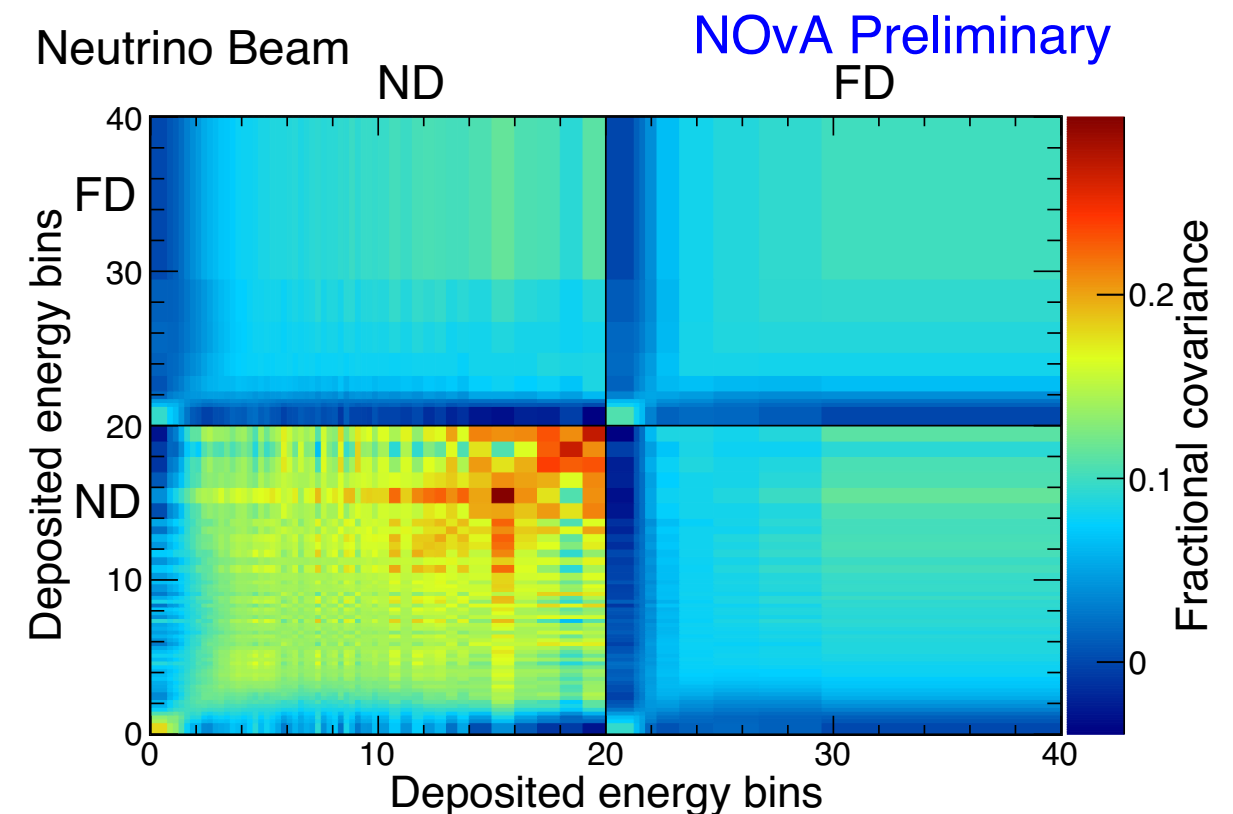
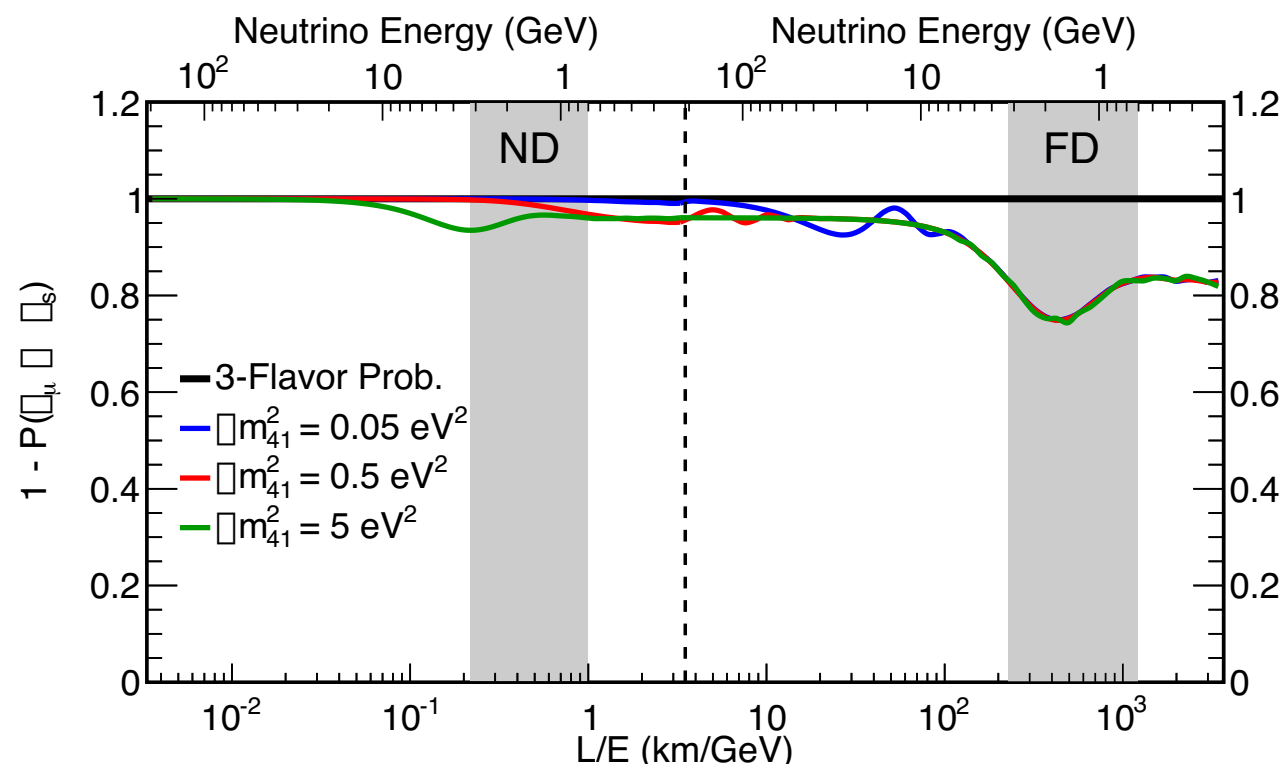


# Neutral current candidate event



# Covariance method

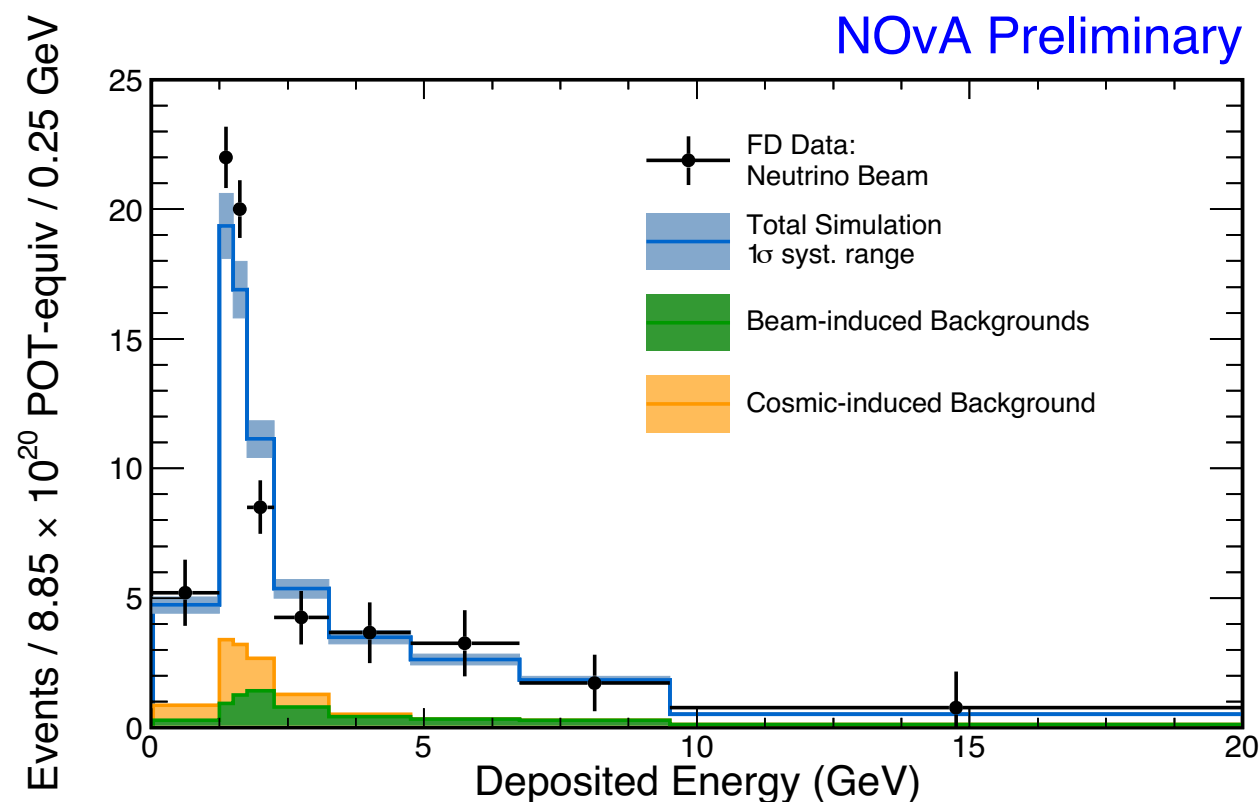
- At high sterile mass splitting  $\Delta m_{41}^2$ , extrapolation method breaks down due to disappearance in near detector.
- Use covariance matrix to treat both detectors on an equal footing, while still cancelling systematic uncertainties.



$$V_{ij,\text{syst}} = \frac{\sum_{n=1}^U (S_{n,i} - \mu_i)(S_{n,j} - \mu_j)}{U - 1}$$

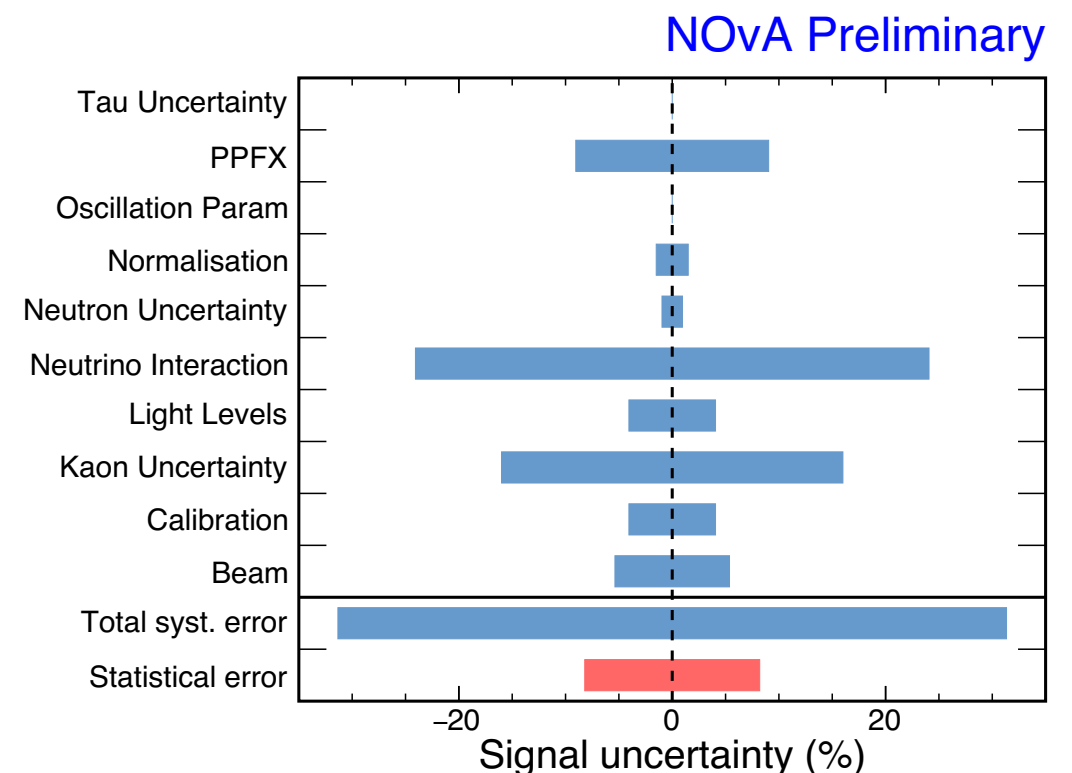
$$\chi^2 = \sum_{i=1}^N \sum_{j=1}^N (x_i - \mu_i)[V^{-1}]_{ij}(x_j - \mu_j)$$

# Neutrino beam data results



**Far detector spectrum**

- Observed **201** events, compared to **188  $\pm$  13** (syst.) predicted from MC simulation.
- Consistent with three-flavour oscillations.



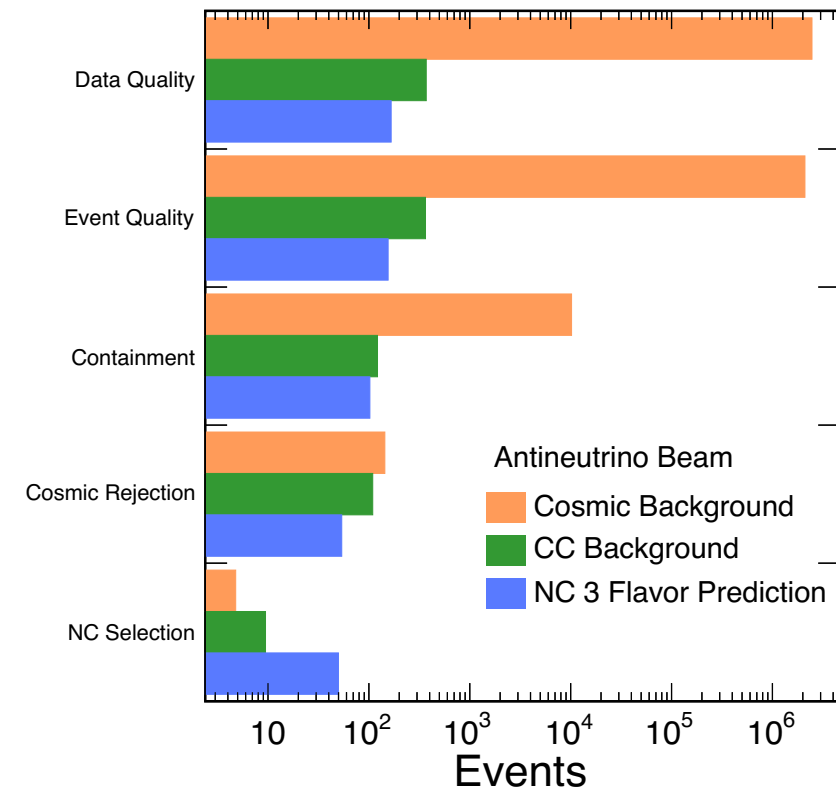
Far detector signal systematic uncertainties dominated by cross-sections.

Large uncertainty on kaon flux in neutrino beam.

Significant contributions from flux uncertainties.

# Antineutrino beam data event selection

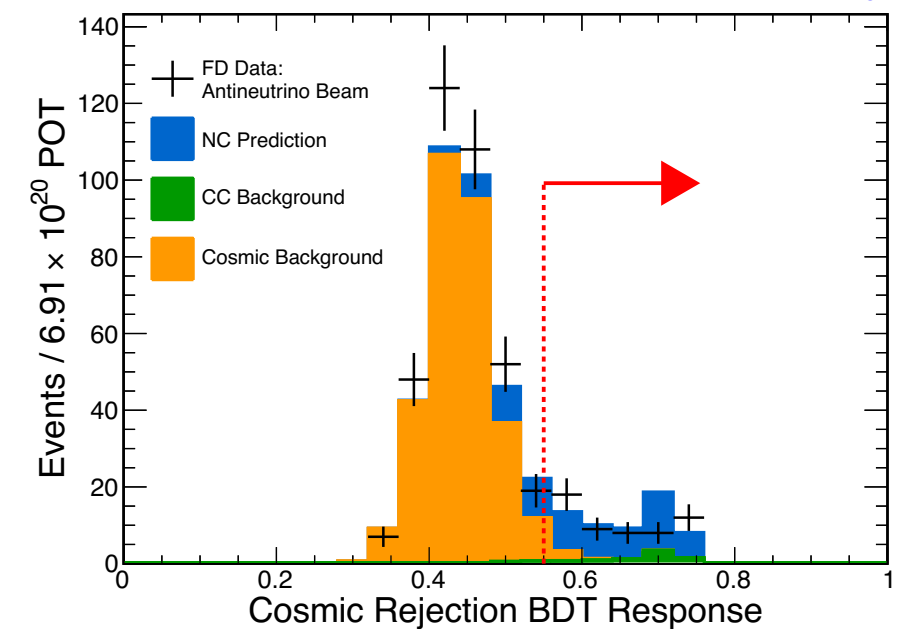
NOvA Preliminary



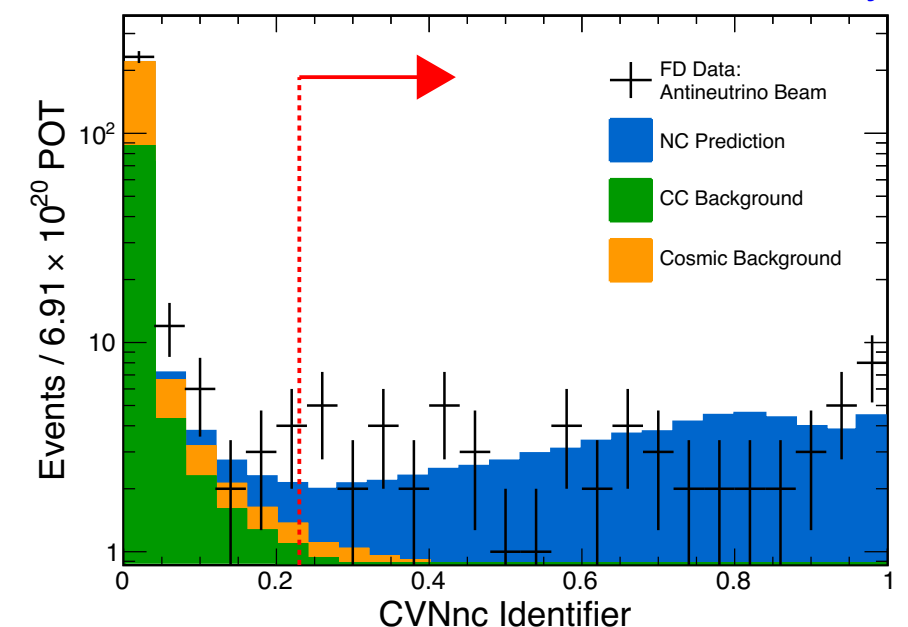
## Cosmic rejection:

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NOvA Preliminary



NOvA Preliminary



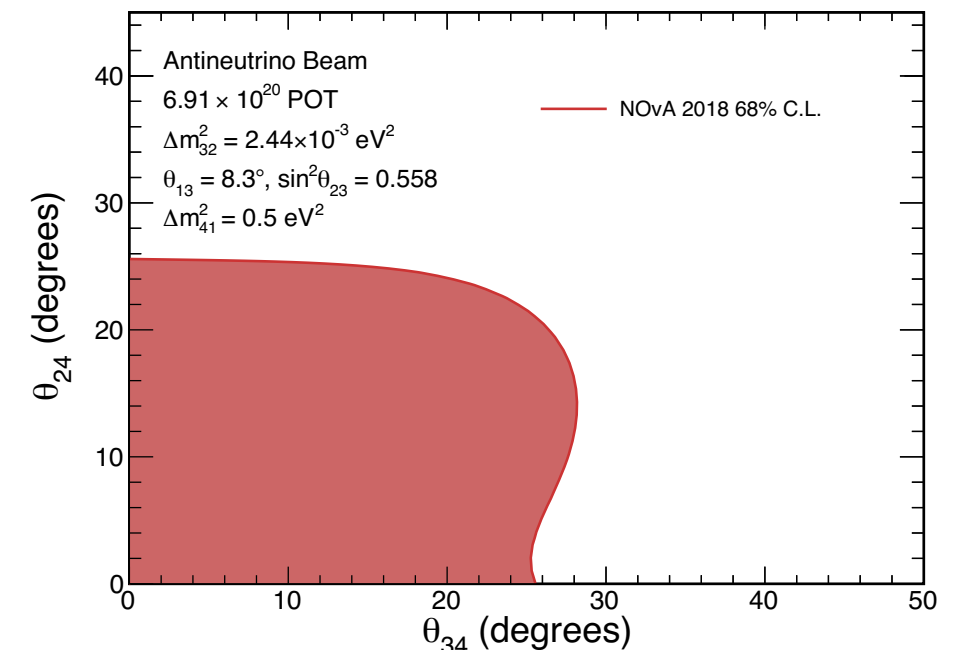
## Event selection:

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- Dominant background are charged current (CC) and cosmic interactions.

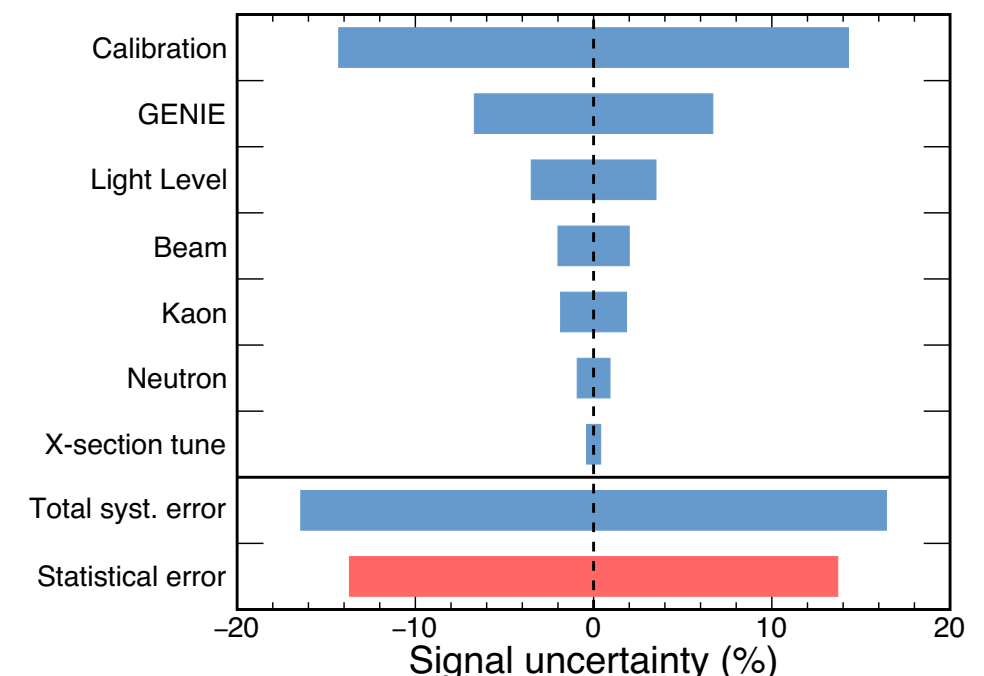
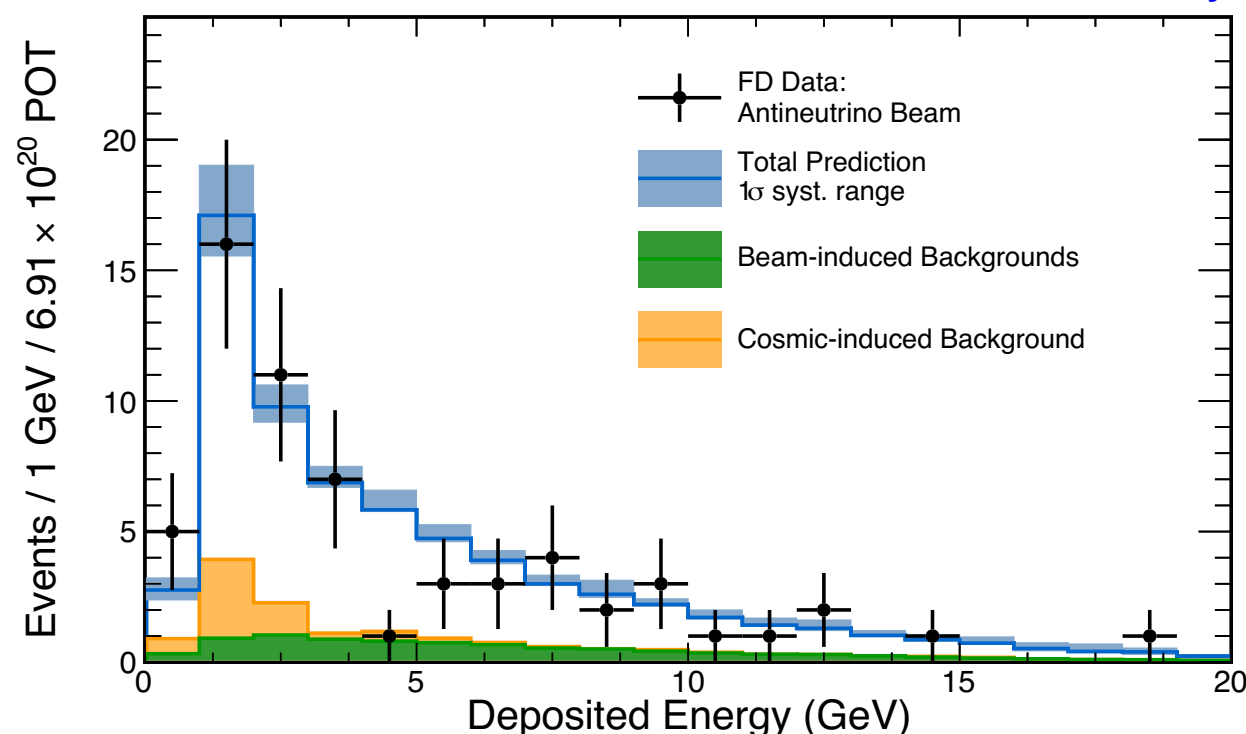
# Antineutrino beam data results

- Observed **61** compared to  **$69 \pm 8$**  (syst.) from MC prediction.
- Use extrapolation method to create 68% CL allowed region (non-FC corrected).
- 1D 68% CL limits:  **$25.5^\circ$**  for  **$\theta_{24}$** ,  **$31.5^\circ$**  for  **$\theta_{34}$** .
- Anticipate limit will improve as NOvA adds more statistics.

NOvA Preliminary

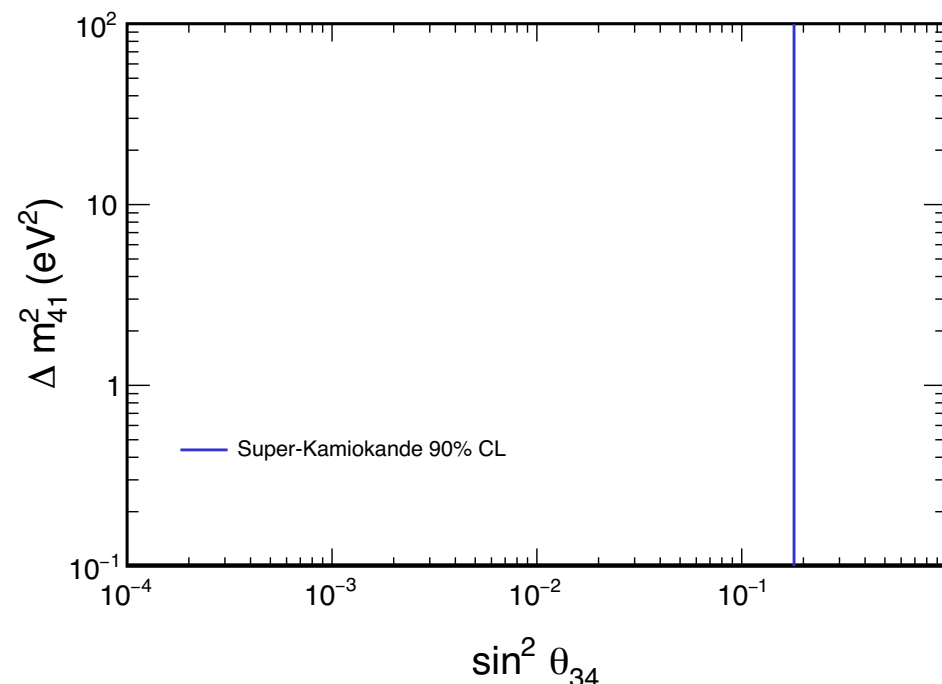
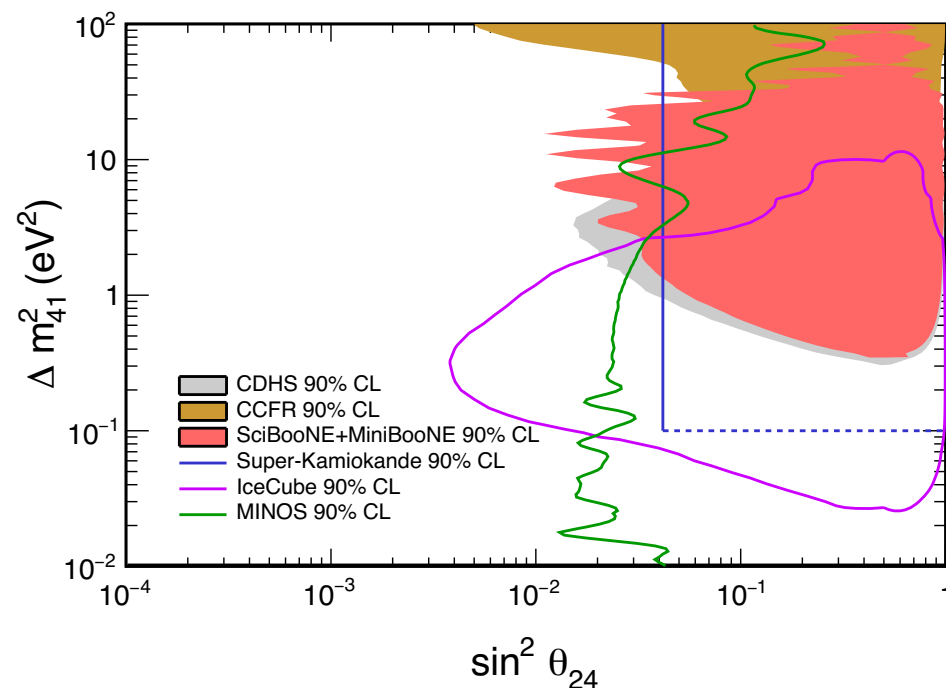


NOvA Preliminary





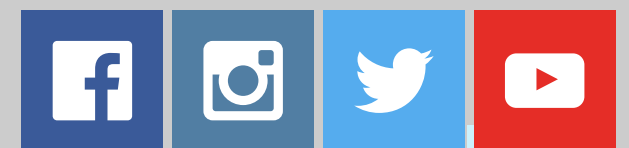
# Future plans



- Development of covariance method allows for limits to be set over a broader range of parameter space.
- First, analysis of neutrino beam data using covariance method allows limits to be set in  $\Delta m_{41}^2$ .
- Once this method is used for neutrino beam data, it can be extended to antineutrino beam data also.
- More long-term goal: set limit using neutrino and antineutrino data simultaneously.

# Summary

- Search for active neutrino disappearance into a sterile flavour state in NOvA.
- Both neutrino and antineutrino data are consistent with three-flavor oscillations.
- Produced 68% CL allowed region in  $\theta_{24}$  vs  $\theta_{34}$  for antineutrino data using extrapolation method.
- Will use covariance method to perform a joint ND-FD fit to produce contours in  $\theta_{24}$ ,  $\theta_{34}$  and  $\Delta m_{41}^2$  for neutrino data.
- In the longer term, intend to perform similar analyses for antineutrino data, and ultimately fit neutrino and antineutrino data (and CC and NC data) simultaneously.

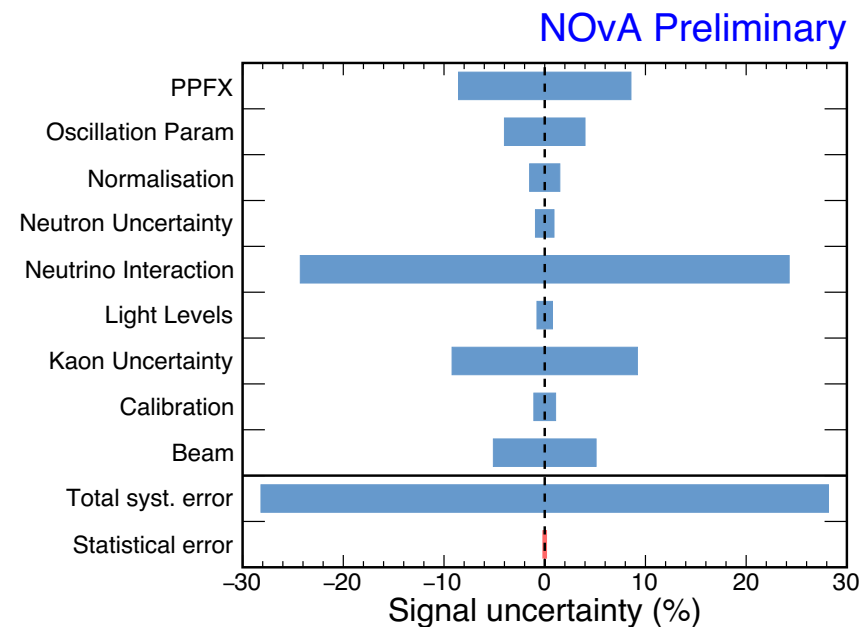


<http://novaexperiment.fnal.gov>

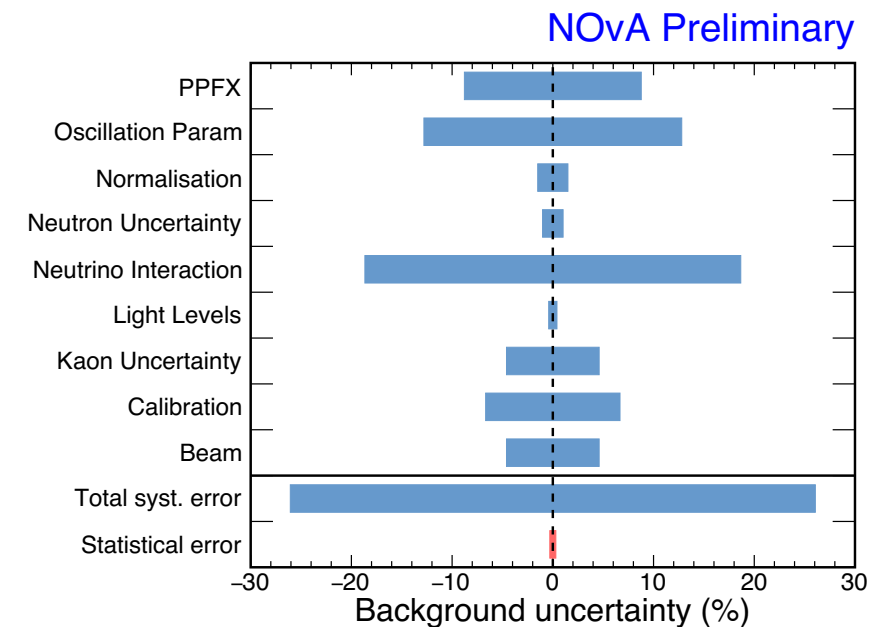
Backup slides

# Neutrino beam data systematic uncertainties

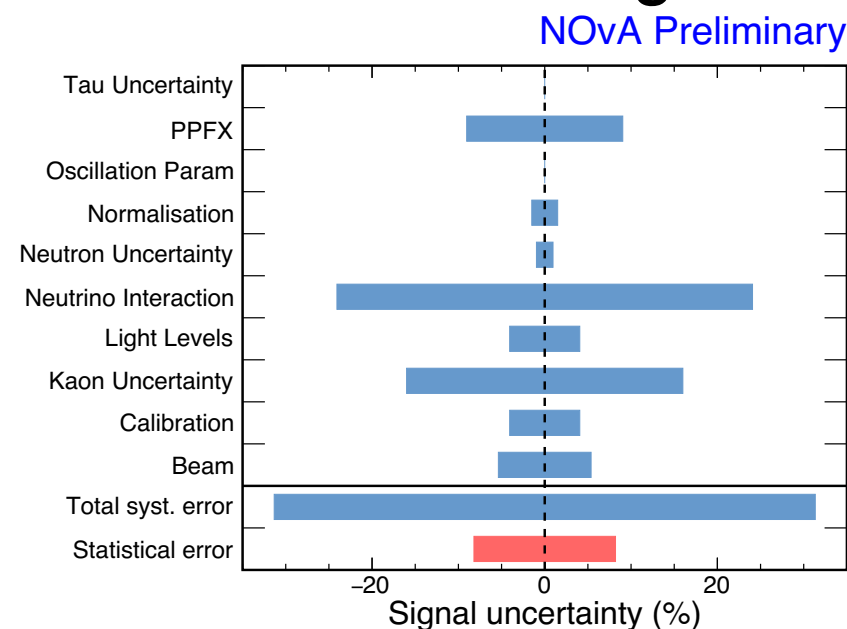
## Near detector signal



## Near detector background



## Far detector signal



## Far detector background

