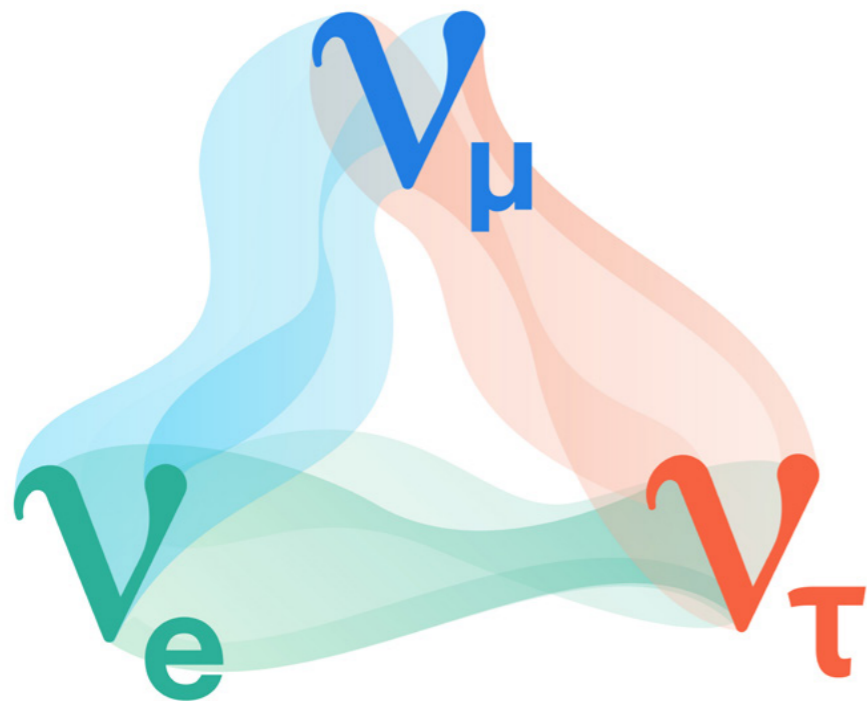




Why we need precision in Neutrino Physics

Stephen Parke
Fermilab



[10.5281/zenodo.1173797](https://doi.org/10.5281/zenodo.1173797)

This manuscript has been authored by Fermi Research Alliance, LLC under Contract No. DE-AC02-07CH11359 with the U.S. Department of Energy, Office of Science, Office of High Energy Physics



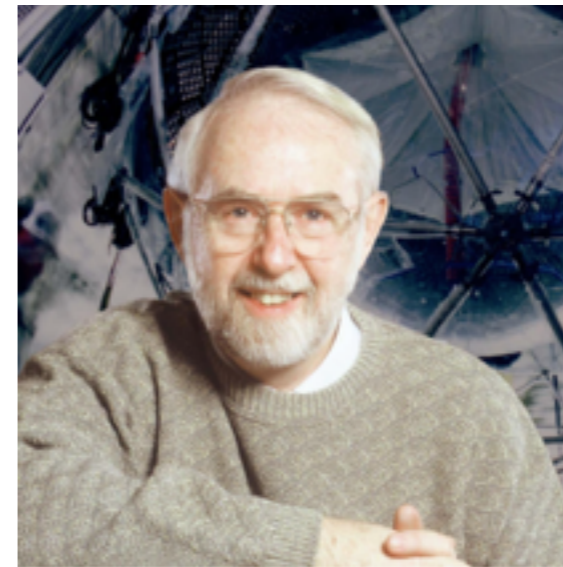
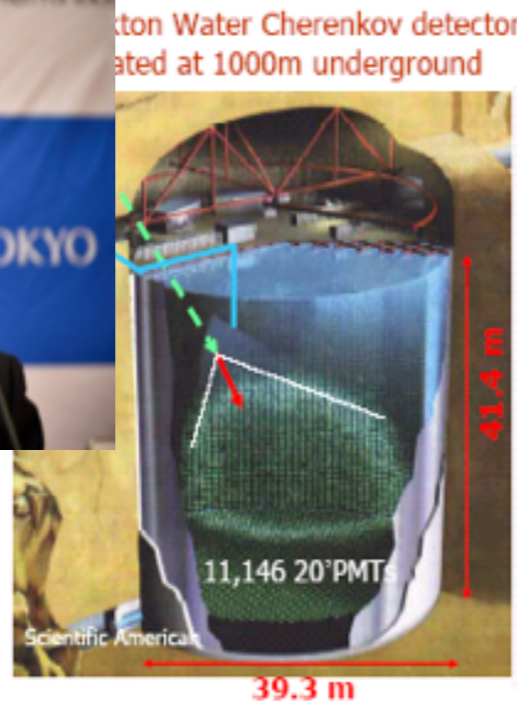
NOBEL 2015



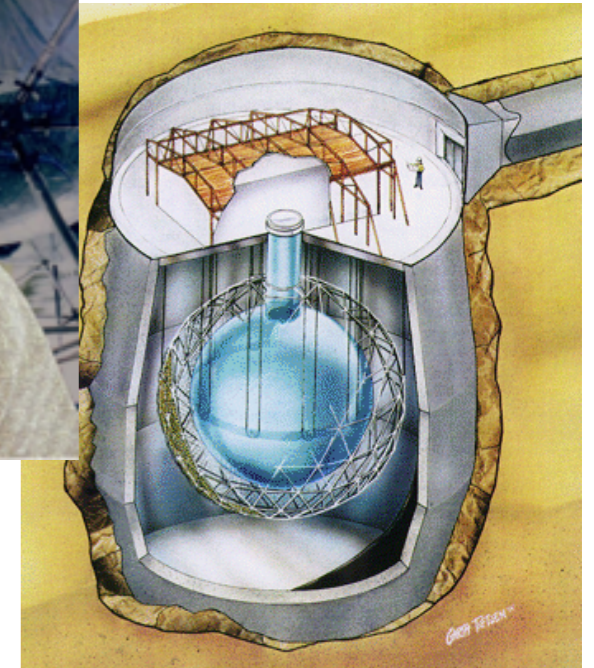
*“for the discovery of **neutrino oscillations**,
which shows that neutrinos have mass”*



Takaaki Kajita
SuperKamiokaNDE



Art McDonald
SNO



*“for the discovery of **neutrino flavor transformations**,
which shows that neutrinos have mass”*

~ vacuum
oscillations

See Smirnov [arXiv:1609.02386](https://arxiv.org/abs/1609.02386)

Wolfenstein matter
effects dominant flavor
transformations

19+ years ago

Mass Found in Elusive Particle; Universe May Never Be the Same

Discovery on Neutrino
Rattles Basic Theory
About All Matter

By MALCOLM W. BROWNE

TAKAYAMA, Japan, June 5 — In what colleagues hailed as a historic landmark, 120 physicists from 23 research institutions in Japan and the United States announced today that they had found the existence of mass in a notoriously elusive subatomic particle called the neutrino.

The neutrino, a particle that carries no electric charge, is so light that it was assumed for many years to have no mass at all. After today's announcement, cosmologists will have to confront the possibility that much of the mass of the universe is in the form of neutrinos. The discovery will also compel scientists to revise a highly successful theory of the composition of matter known as the Standard Model.

Word of the discovery had drawn some 300 physicists here to discuss neutrino research. Among other things, they said, the finding of neutrino mass might affect theories about the formation and evolution of galaxies and the ultimate fate of the universe. If neutrinos have sufficient mass, their presence throughout the universe would increase the overall mass of the universe, possibly slowing its present expansion.

Others said the newly detected but as yet unmeasured mass of the neutrino must be too small to cause cosmological effects. But whatever the case, there was general agreement here that the discovery will have far-reaching consequences for the investigation of the nature of matter.

Speaking for the collaboration of scientists who discovered the existence of neutrino mass using a huge underground detector called Super-Kamiokande, Dr. Takaaki Kajita of the Institute for Cosmic Ray Research of Tokyo University said that all explanations for the data collect-

Detecting Neutrinos



Neutrinos pass through the Earth's surface to a tank filled with 12.5 million gallons of ultra-pure water . . .

. . . and collide with other particles . . .

. . . producing a cone-shaped flash of light.



LIGHT AMPLIFIER

The light is recorded by 11,200 20-inch light amplifiers that cover the inside of the tank.

And Detecting Their Mass

By analyzing the cones of light, physicists determine that some neutrinos have changed form on their journey. If they can change form, they must have mass.

Source: University of Hawaii

The New York Times

ed by the detector except the existence of neutrino mass had been essentially ruled out.

Dr. Yoji Totsuka, leader of the coalition and director of the Kamioka Neutrino Observatory where the underground detector is situated, 30 miles north of here in the Japan Alps, acknowledged that his group's announcement was "very strong," but said, "We have investigated all

Continued on Page A14

1998, @Takayama
June 1998

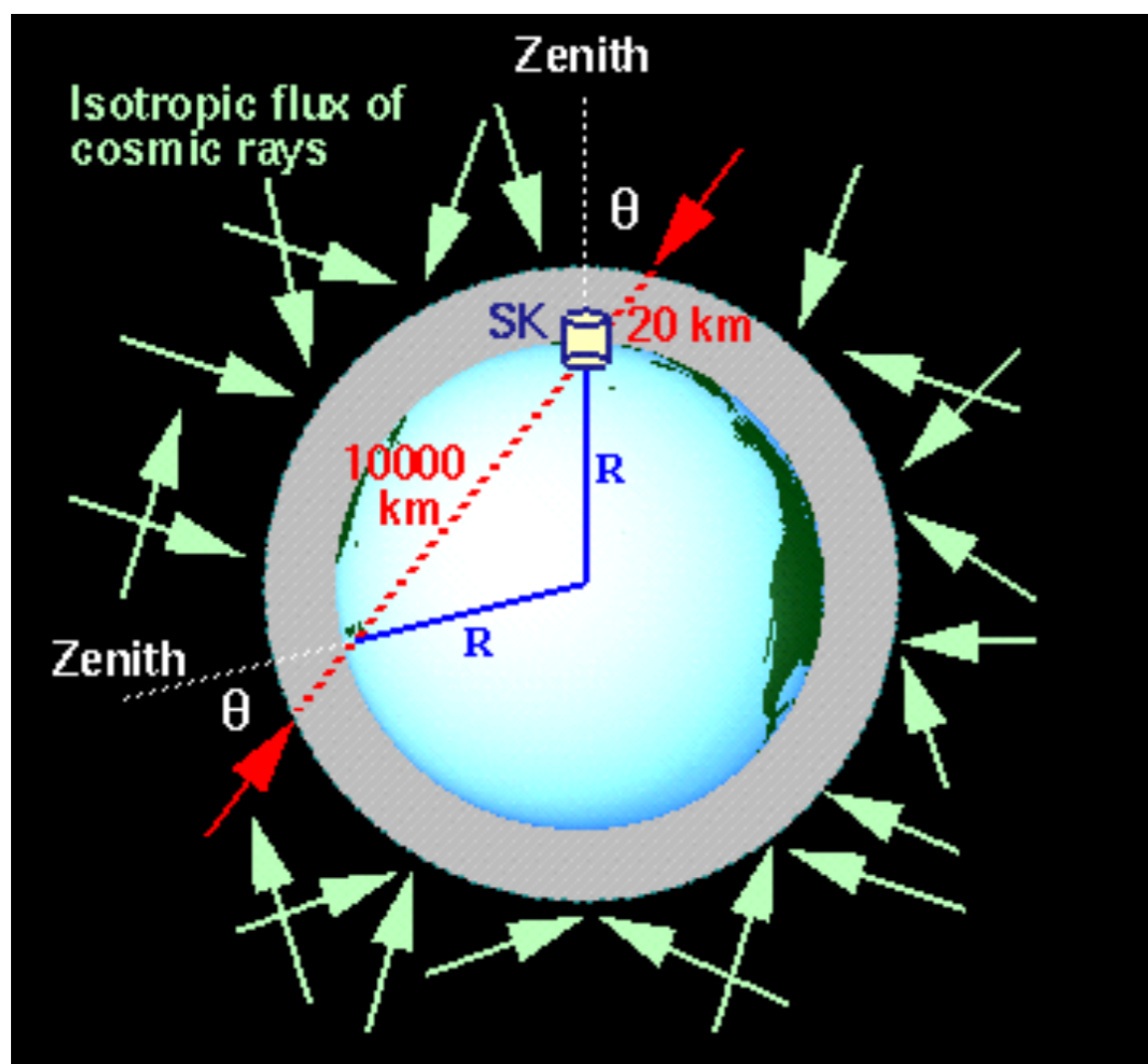
Atmospheric neutrino results
from Super-Kamiokande & Kamiokande
— Evidence for ν_μ oscillations —

T. Kajita

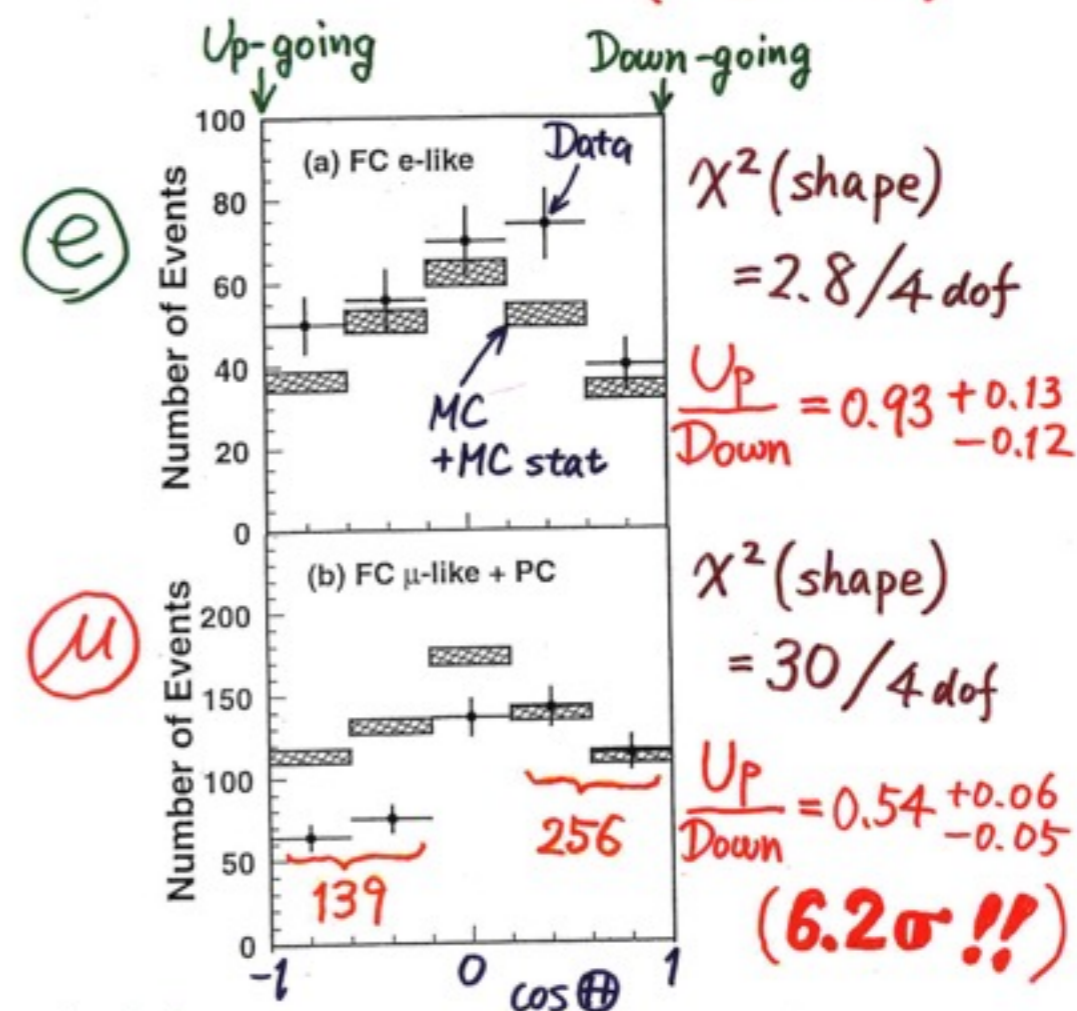
Kamioka observatory, Univ. of Tokyo

for the { Kamiokande
Super-Kamiokande } Collaborations

<http://www-sk.icrr.u-tokyo.ac.jp/nu98/scan/>



Zenith angle dependence (Multi-GeV)



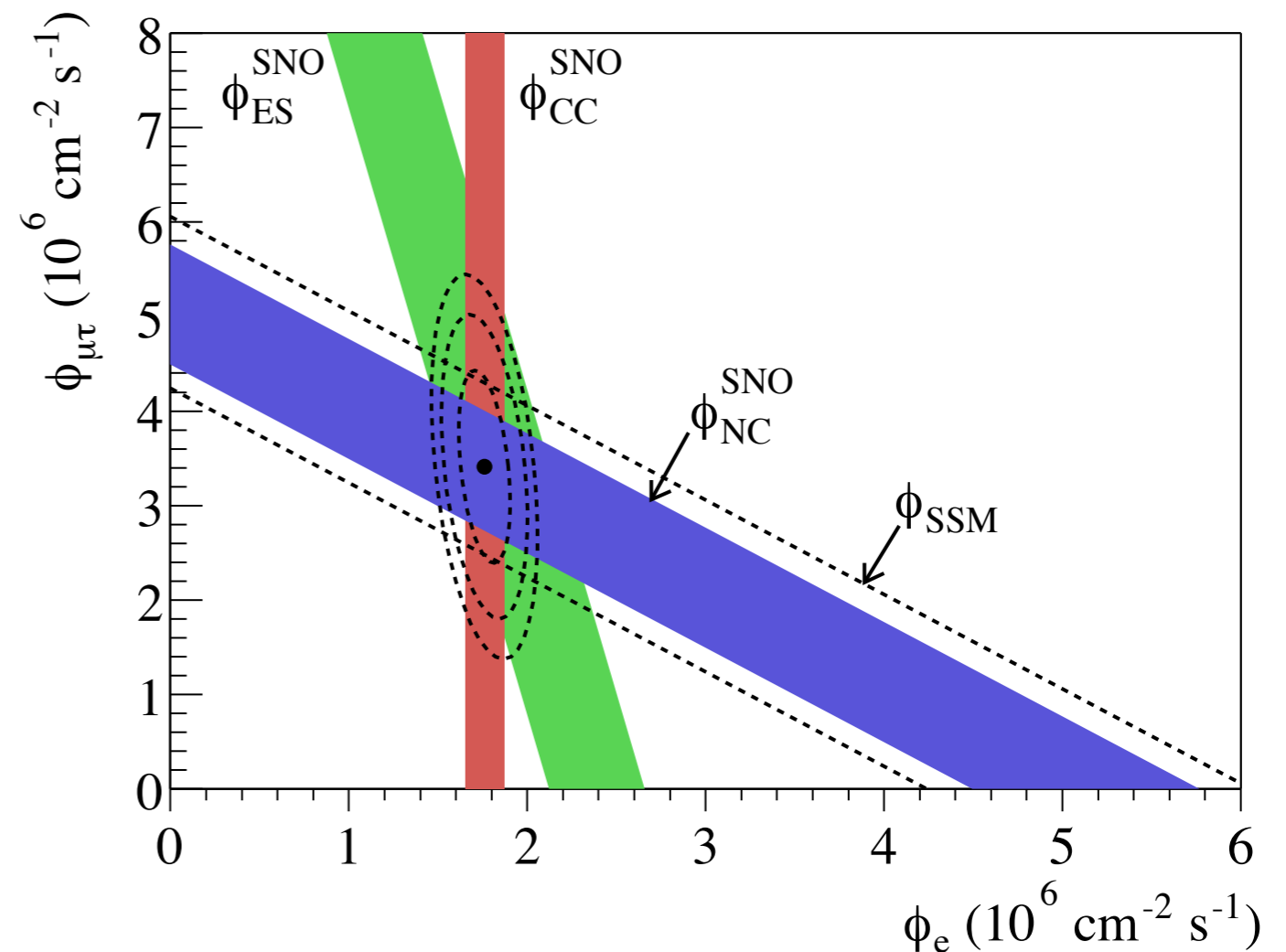
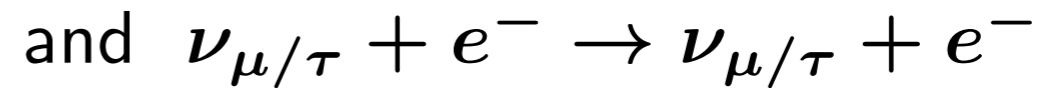
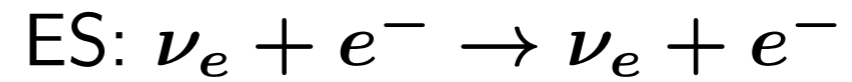
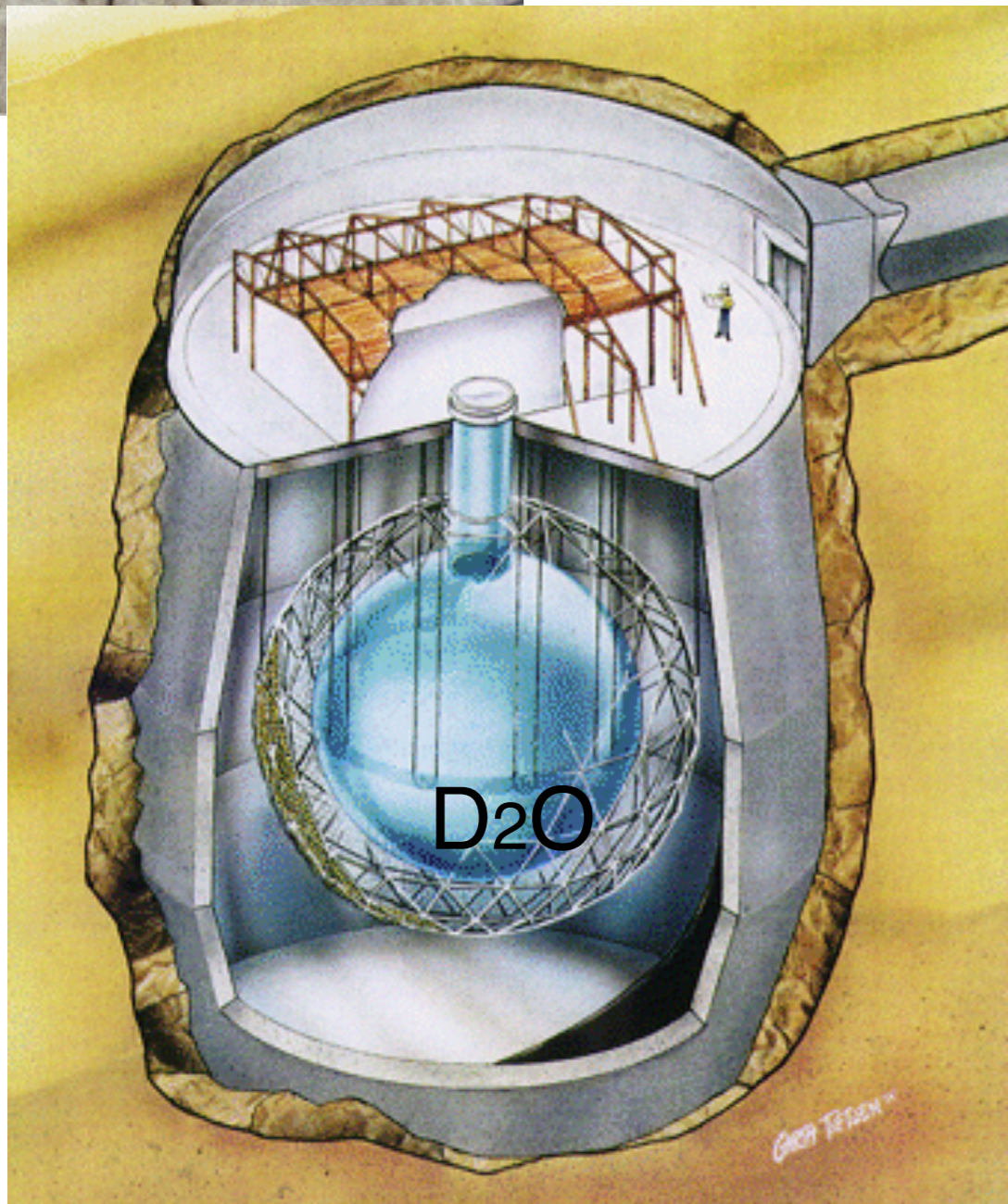
* Up/Down syst. error for μ -like

Prediction (flux calculation $\lesssim 1\%$
1km rock above SK 1.5%) 1.8%

Data (Energy calib. for $\uparrow\downarrow$ 0.7%
Non ν Background $< 2\%$) 2.1%



2001



Beacom and SP: hep-ph/0106128



Neutrinos are Everywhere !

from Big Bang $300 \text{ nus} / \text{cm}^3$

2 or more $v/c \ll 1$

SuperNovae

$> 10^{58}$

Sun's

$\sim 10^{38} \text{ nu/sec}$

Daya Bay

$3 \times 10^{21} \text{ nu/sec}$

Neutrinos are Forever !!!

(except for the highest energy neutrino's)



therefore in the Universe: $\frac{\partial N_\nu}{\partial t} > 0$



Key Neutrino Questions:

- **Nature of Neutrino Mass:**

- 2 comp & L violation (Majorana)
- or 4 comp & L conserved (Dirac)

- **Neutrino Standard Model:**

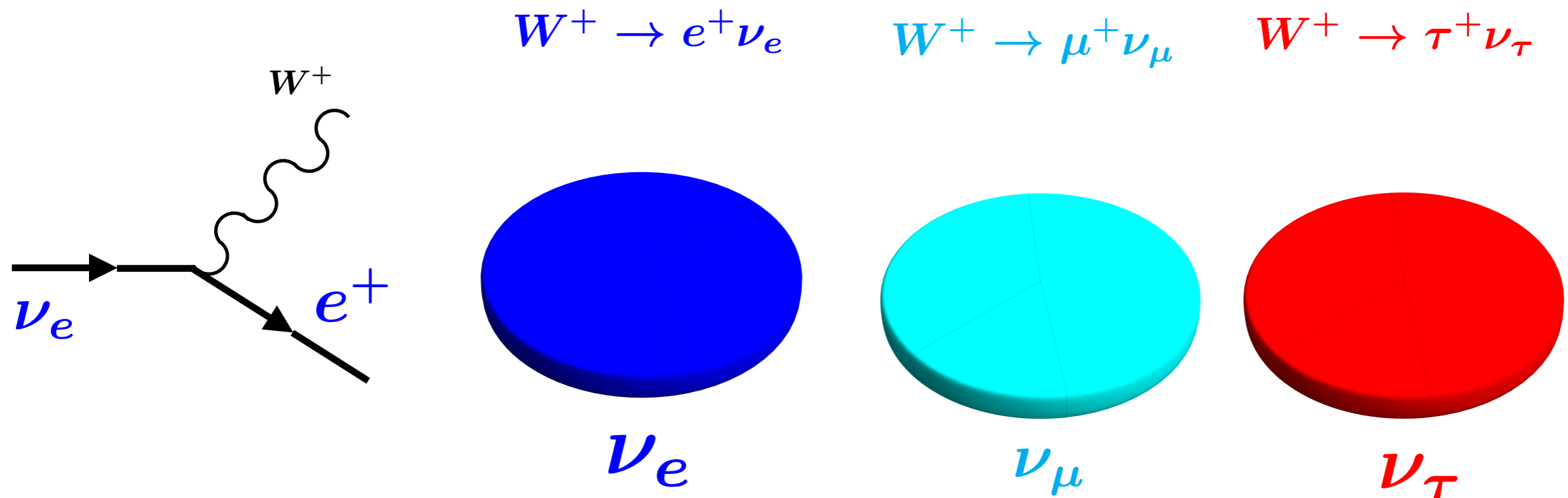
- Perform stringent tests 3 nu paradigm: check unitarity, ...
 - Determine size and sign of CPV
 - Determine atmospheric mass ordering
 - Does ν_μ or ν_τ dominate ν_3 ($\theta_{23} > < \pi/4$)
-

- **Beyond 3 nus:**

- Steriles, Non-Standard Interactions, Lorentz violation, nuBSM,



Neutrino Flavor or Interaction States:



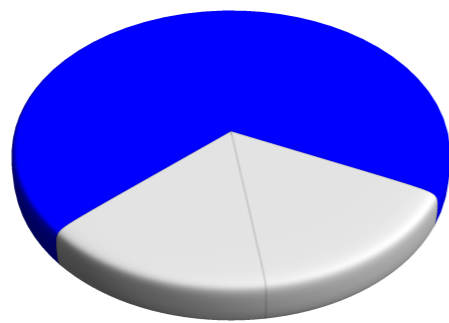
provided $L/E \ll 0.5 \text{ km/MeV} = 500 \text{ km/GeV} !!!$

~ 1 picosecond in Neutrino rest frame !!!

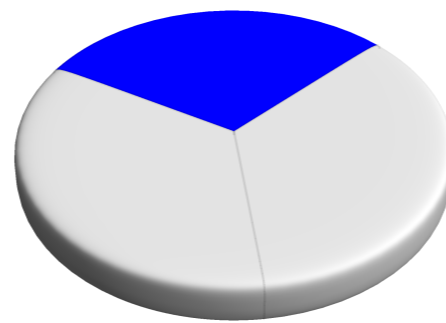
$\sim \text{Age of Universe} / \text{Avogadro's } \#$



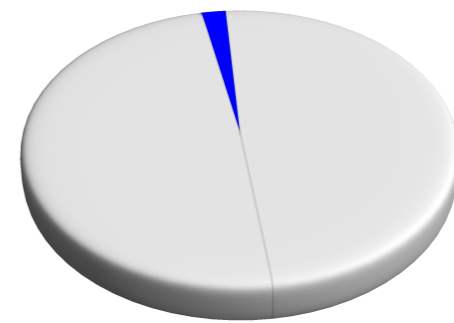
Neutrino Mass Eigenstates or Propagation States:



ν_1



ν_2



ν_3

$$\nu_e = \text{blue circle}$$

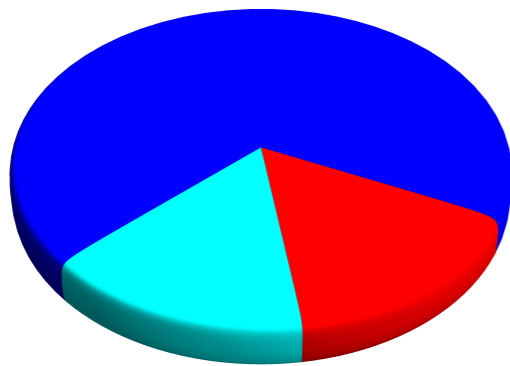
$$\text{Propagator } \nu_j \rightarrow \nu_k = \delta_{jk} e^{-i \left(\frac{m_j^2 L}{2E_\nu} \right)}$$

Use ν_e content to label these states



Neutrino Mass Eigenstates or Propagation States:

ν_1
most ν_e

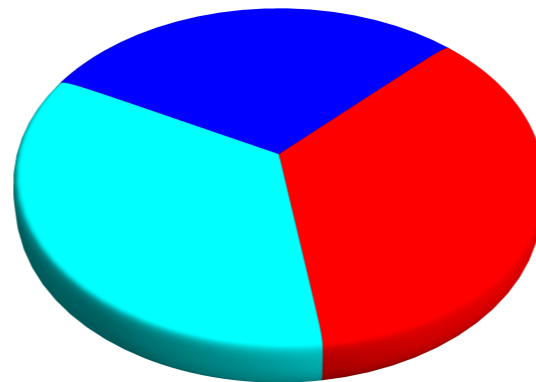


\longleftrightarrow
 δ, θ_{23}

$\nu_e =$ 

Solar Exp, SNO
KamiLAND
Daya Bay, RENO, ...

ν_2



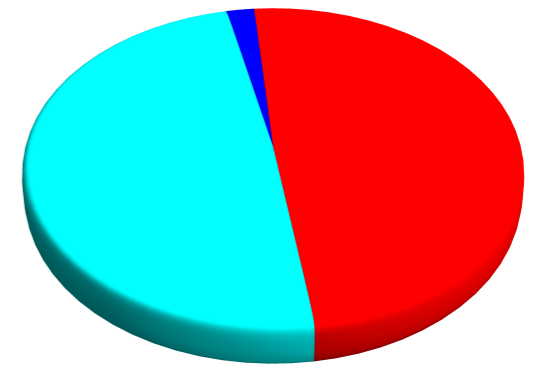
\longleftrightarrow
 δ, θ_{23}

$\nu_\mu =$ 

SuperK, K2K, T2K
MINOS, NOvA
ICECUBE

ν_3

least ν_e



\longleftrightarrow
 θ_{23}

$\nu_\tau =$ 

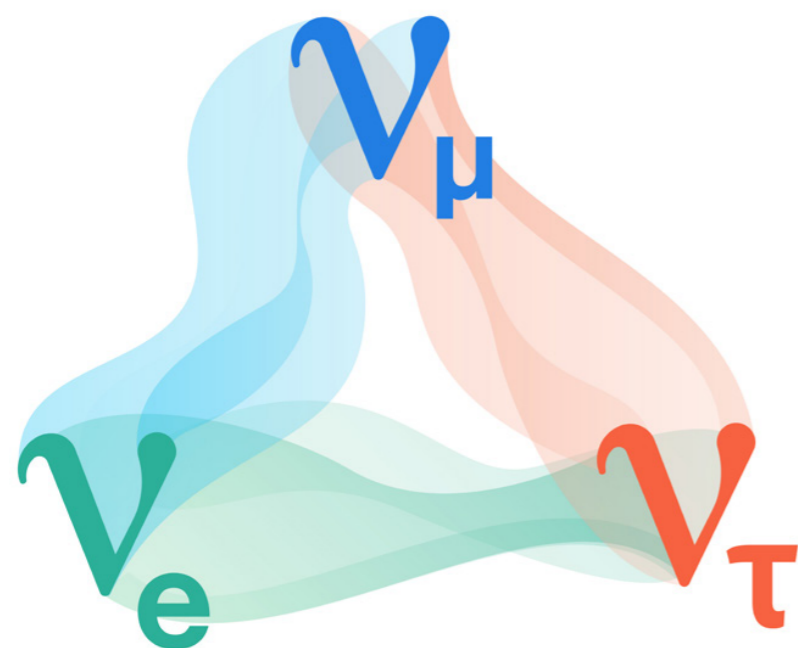
Unitarity
SK, Opera
ICECUBE ?



Interactions:

simple

complicated



$$= U$$



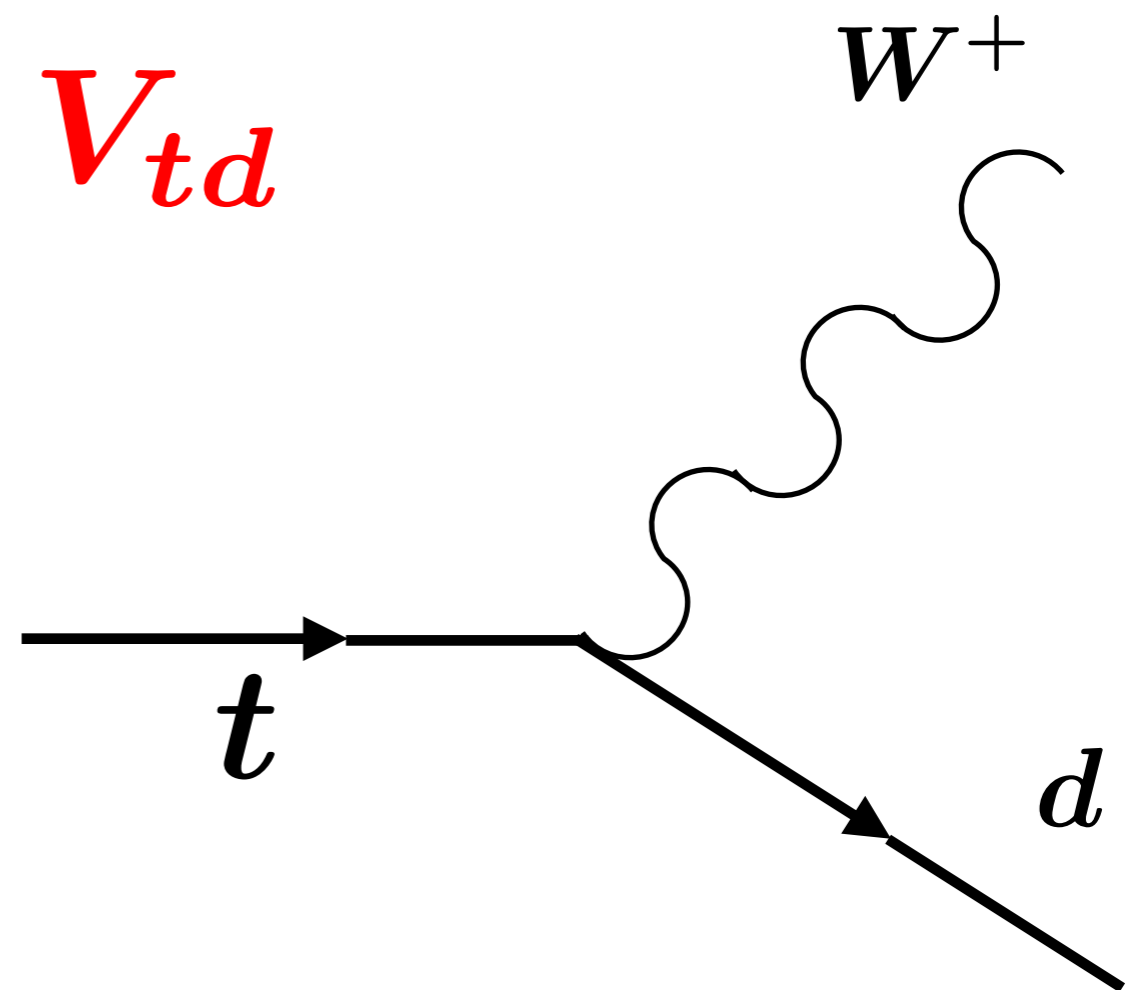
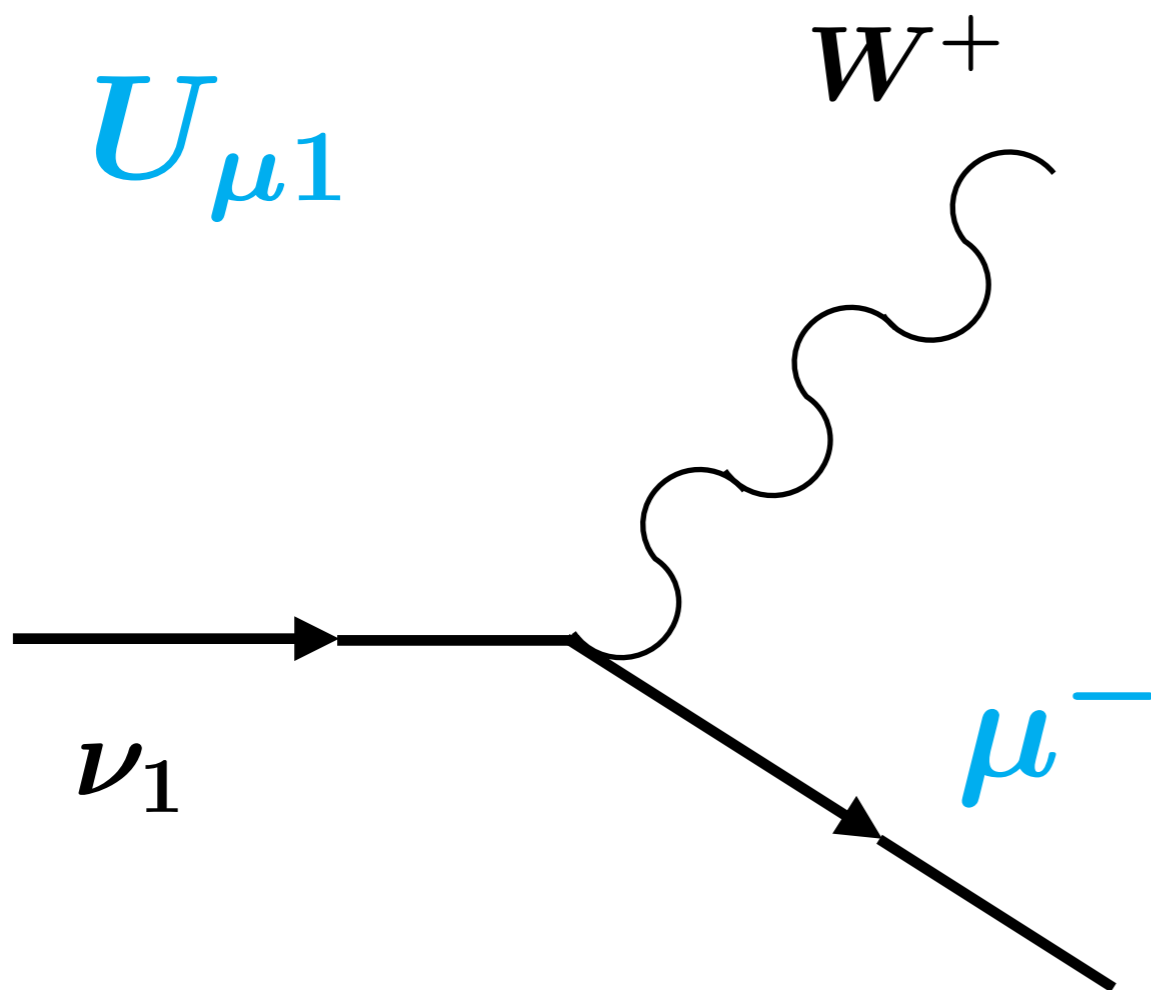
unitary matrix ?

complicated

simple

masses ?

Propagation:



Rates: $|U_{\mu 1}|^2$ & $|V_{td}|^2$



$$\begin{pmatrix} \mathbf{U}_{e1} & \mathbf{U}_{e2} & \mathbf{U}_{e3} \\ U_{\mu 1} & U_{\mu 2} & \mathbf{U}_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & \mathbf{U}_{\tau 3} \end{pmatrix} = U_{23}(\theta_{23}, 0) U_{13}(\theta_{13}, \delta) U_{12}(\theta_{12}, 0)$$

Why this order ???

$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} \overline{c_{12}} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\eta_1} & 0 & 0 \\ 0 & e^{i\eta_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$0\nu\beta\beta$ decays

Disappearance:

$$\nu_{\mu} \rightarrow \nu_{\mu}$$

500 km/GeV

$$\nu_e \rightarrow \nu_e$$

500 km/GeV

$$\nu_e \rightarrow \nu_e$$

15 km/MeV

Appearance:

$$\nu_{\mu} \rightarrow \nu_e$$

500 km/GeV



unitary matrix

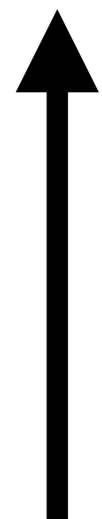
$$\begin{pmatrix} c_{13}c_{12} & c_{13}s_{12} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - s_{13}s_{23}c_{12}e^{i\delta} & c_{23}c_{12} - s_{13}s_{23}s_{12}e^{i\delta} & c_{13}s_{23} \\ s_{23}s_{12} - s_{13}c_{23}c_{12}e^{i\delta} & -s_{23}c_{12} - s_{13}c_{23}s_{12}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$



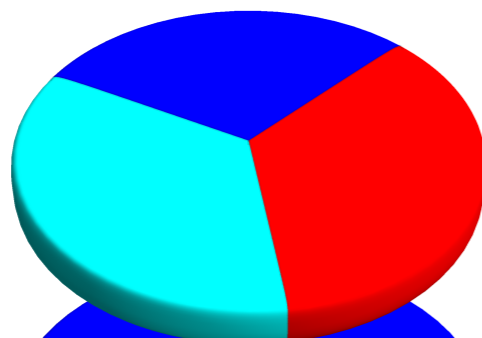
ν_1, ν_2 Mass Ordering:

–solar mass ordering

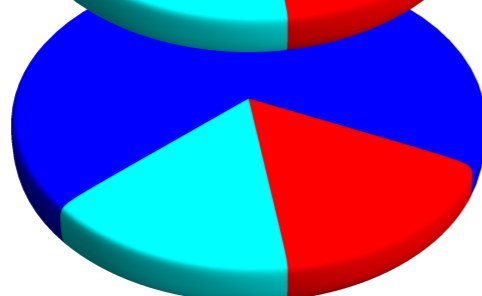
mass



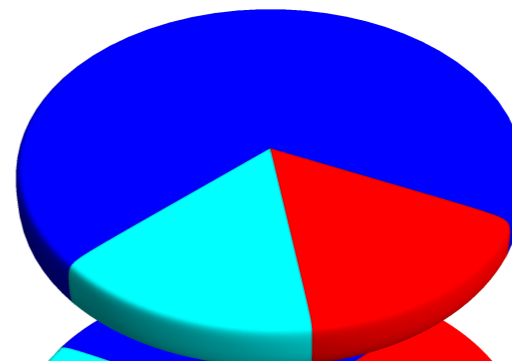
ν_2



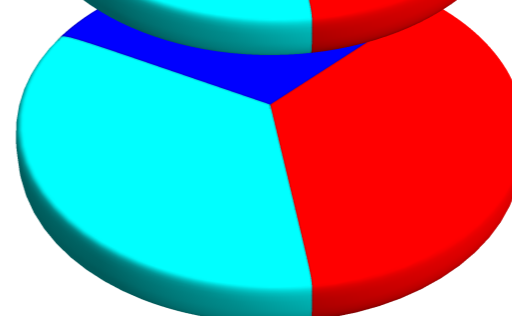
ν_1



ν_1



ν_2



$$|\Delta m_{21}^2| = |m_2^2 - m_1^2| = 7.5 \times 10^{-5} \text{ eV}^2$$

$$L/E = 15 \text{ km/MeV} = 15,000 \text{ km/GeV}$$

SNO

$$m_2 > m_1$$

$\nu_e =$ 

$\nu_\mu =$ 

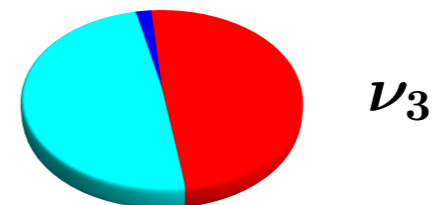
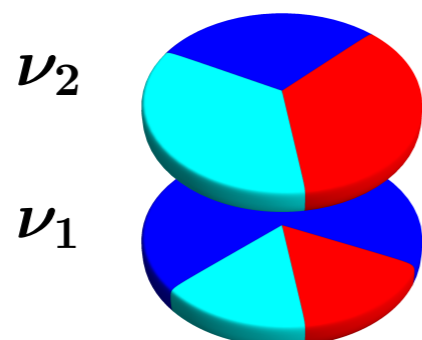
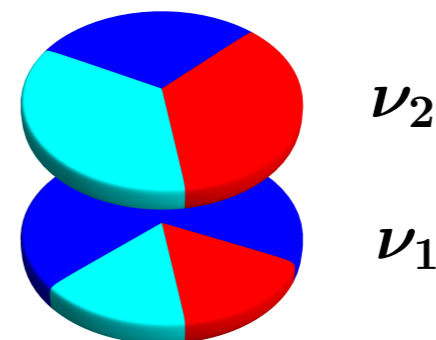
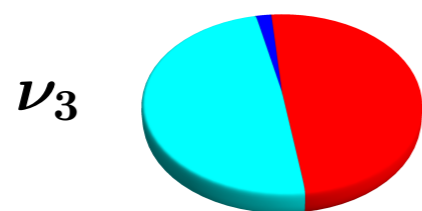
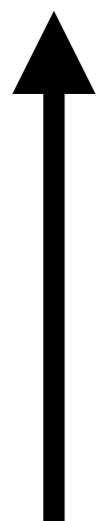
$\nu_\tau =$ 



$\nu_3, \nu_1/\nu_2$ Mass Ordering:

–atmospheric mass ordering

mass



$$|\Delta m_{31}^2| = |m_3^2 - m_1^2| = 2.5 \times 10^{-3} \text{ eV}^2 \quad L/E = 0.5 \text{ km/MeV} = 500 \text{ km/GeV}$$

Unknown: $\text{NO}\nu\text{A}$, JUNO, ICECUBE, DUNE, T2HKK....

$\nu_e =$ 

$\nu_\mu =$ 

$\nu_\tau =$ 



Summary:



Octant of θ_{23}

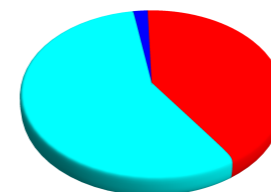
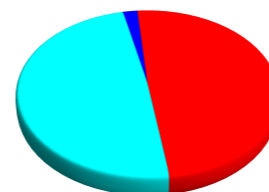
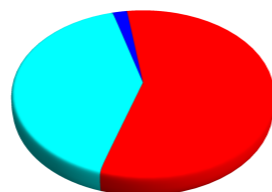
$\sin^2 \theta_{23}$

0.40

0.50

0.60

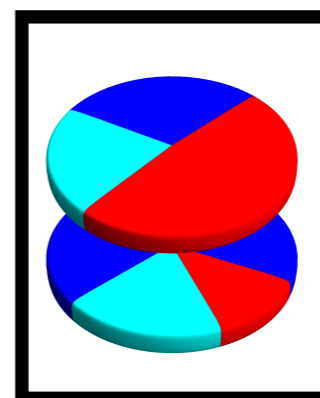
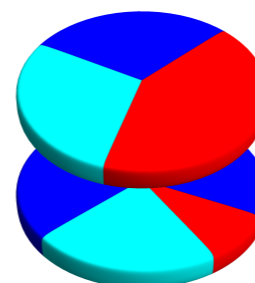
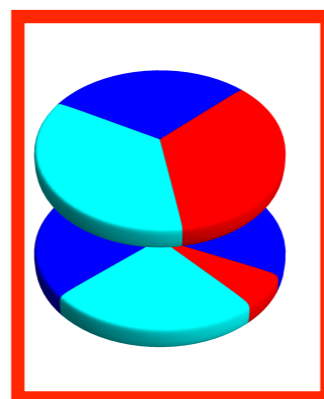
ν_3



0

ν_2

ν_1



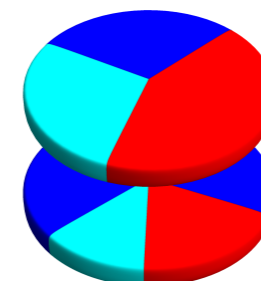
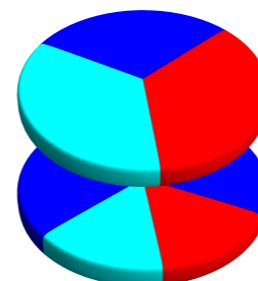
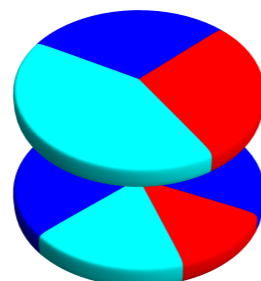
ν_2 variation

δ

$\pm \pi/2$

ν_2

ν_1



$\nu_e =$

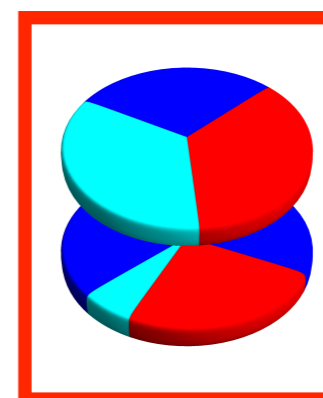
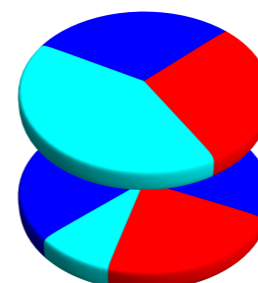
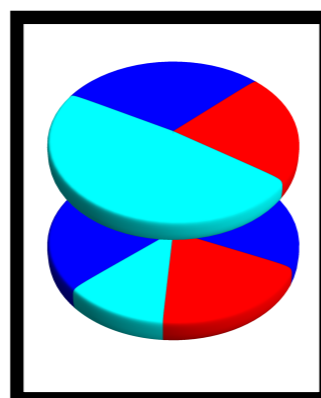
$\nu_\mu =$

$\nu_\tau =$

π

ν_2

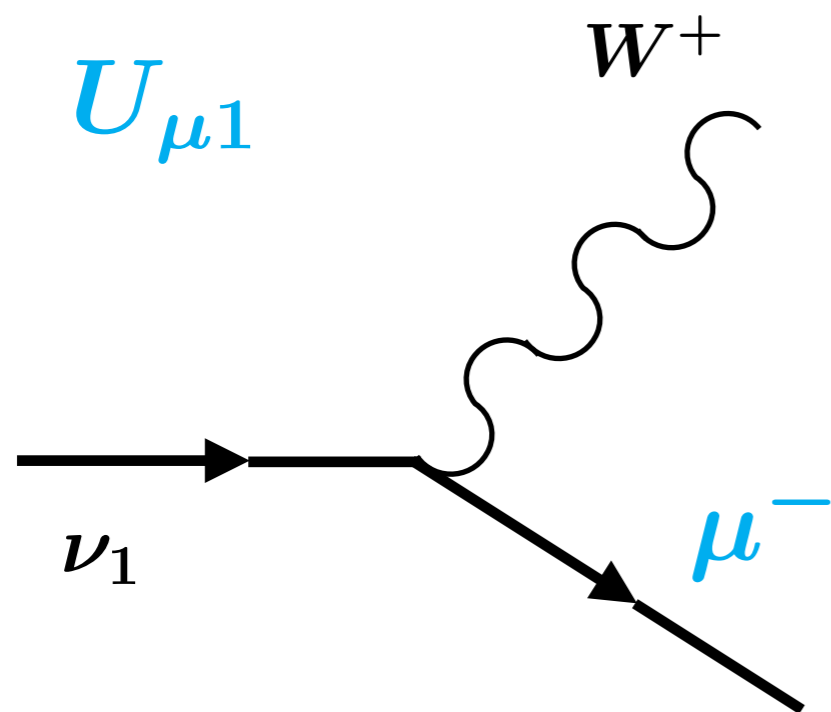
ν_1



ν_1 variation



Leptons:



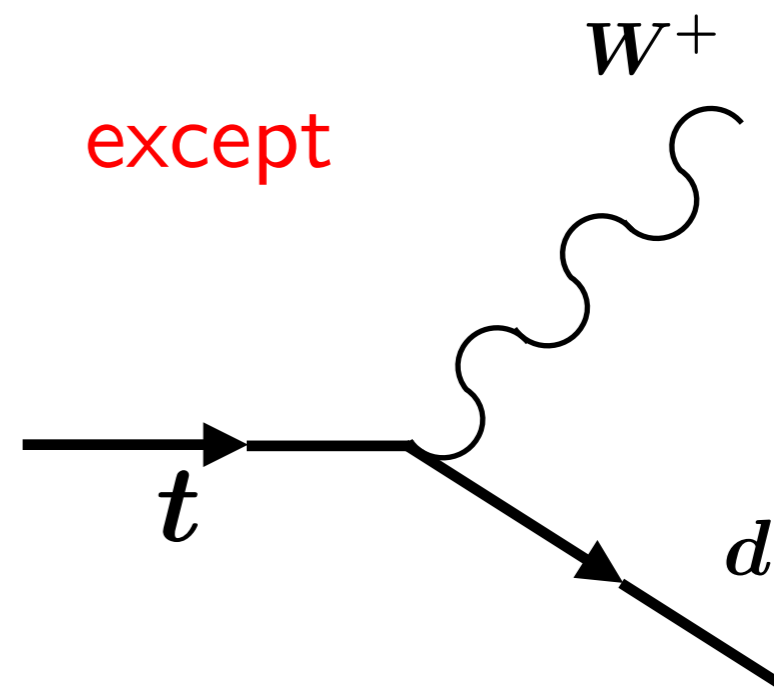
$0.08 < |U_{\mu 1}|^2 < 0.24$
variation in δ only !

factor of 3 diff.

$$\begin{aligned} |U_{\mu 3}|^2 &= 0.4 - 0.6 \\ |U_{\mu 2}|^2 &= 0.26 - 0.41 \\ |U_{\mu 1}|^2 &= 0.08 - 0.24 \end{aligned}$$

Quarks:

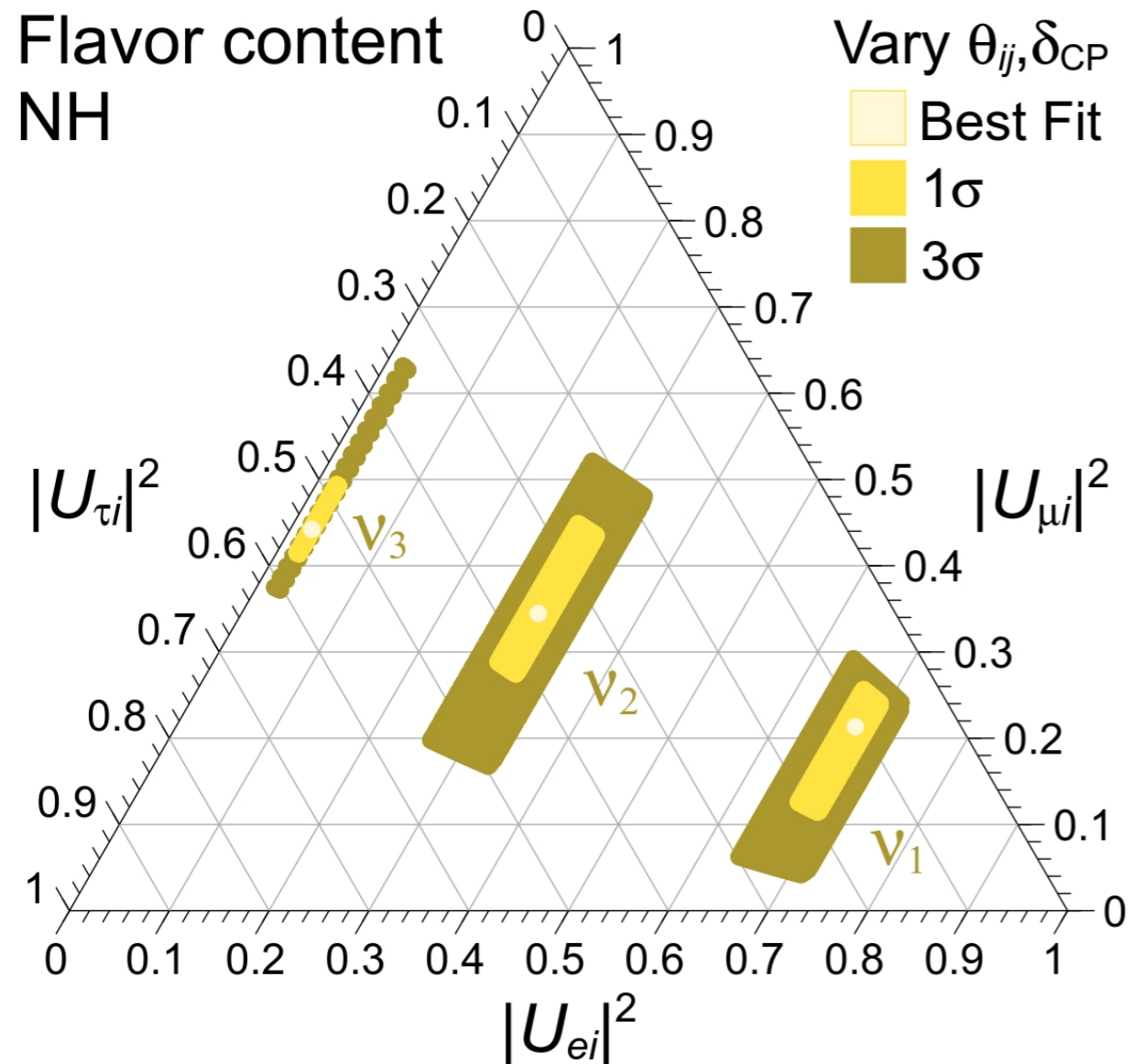
$|V_{ij}|^2$ essentially independent of δ_q !



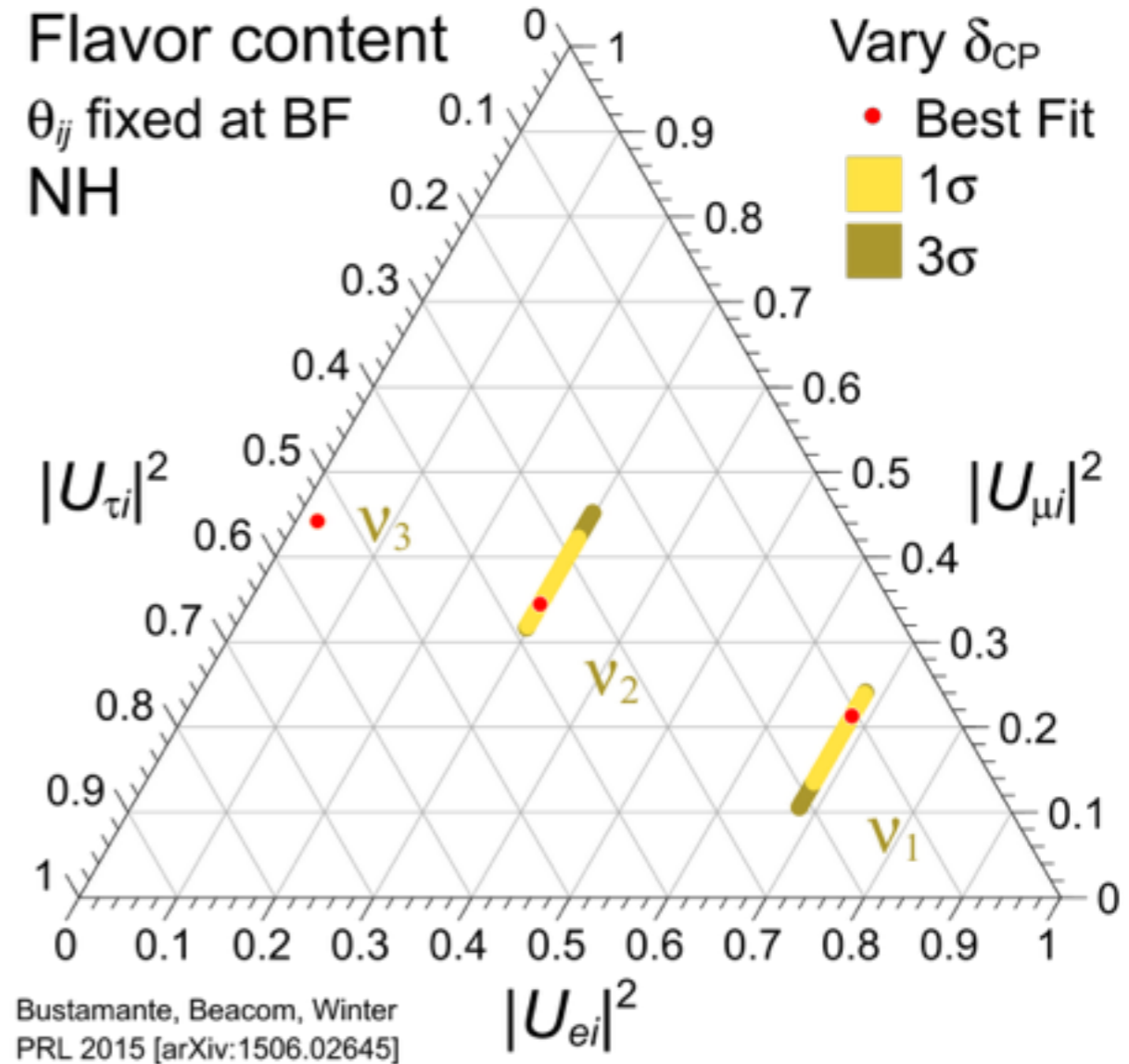
$$\begin{aligned} V_{td} &\approx A\lambda^3(1 - 0.37e^{i\delta_q}) \\ |V_{td}|^2 &\approx 10^{-4} \end{aligned}$$



$$\begin{aligned} |V_{tb}|^2 &\approx 1 \\ |V_{ts}|^2 &\sim \lambda^4 \approx 2 \times 10^{-3} \\ |V_{td}|^2 &\sim \lambda^6 \approx 8 \times 10^{-5} \end{aligned}$$



δ & θ_{23} uncertainty



no θ_{23} uncertainty



**Determine flavor
fractions of neutrino
mass states**

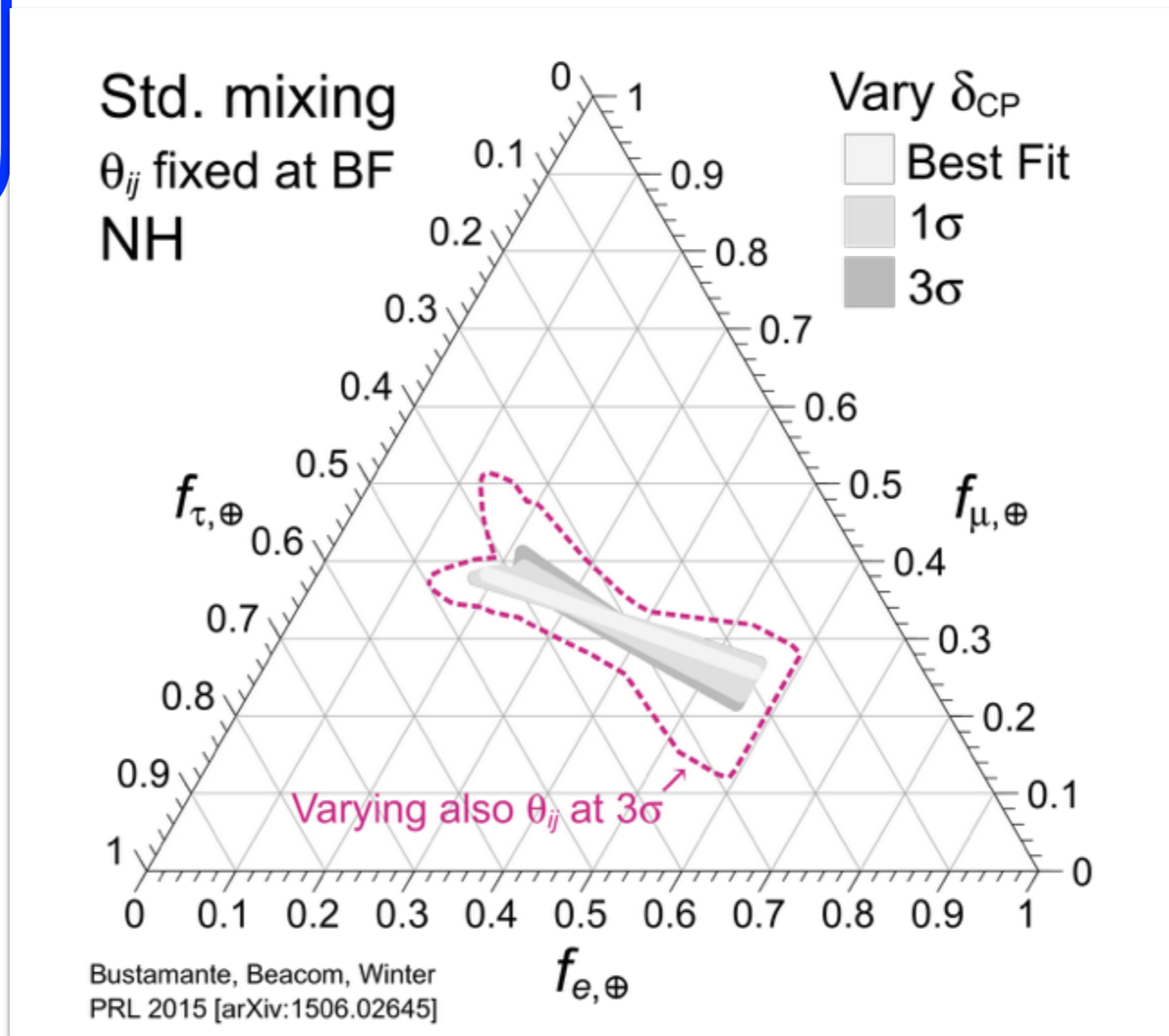
WHY?

**Precision
Neutrino
Measurements:**

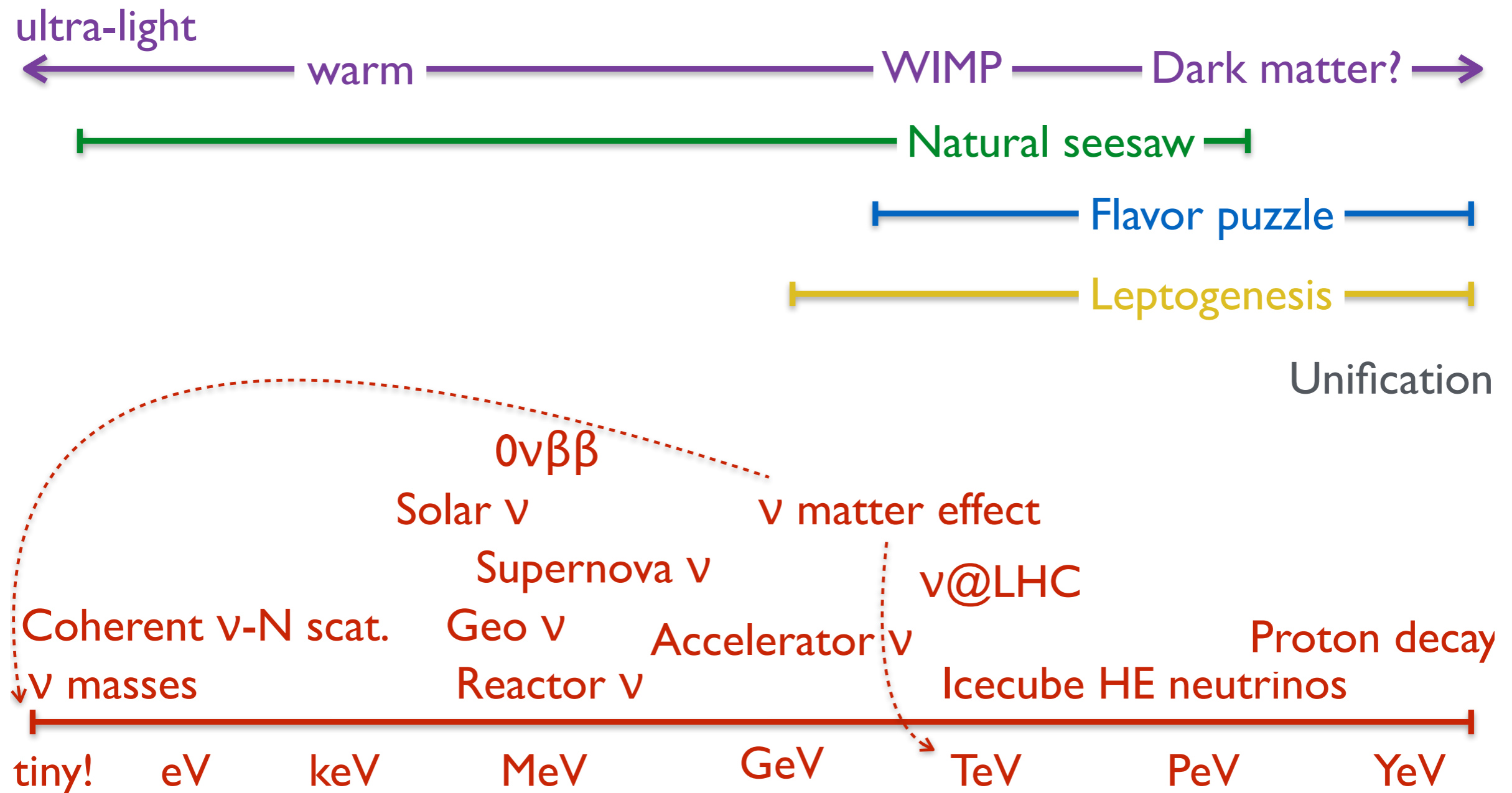
**To discover neutrino BSM,
one needs precision predictions for nuSM**

Determine flavor
fractions of neutrino
mass states

Precision
Predictions for
flavor ratios
at ICECUBE.



Neutrinos as a portal to new Physics





WHY?

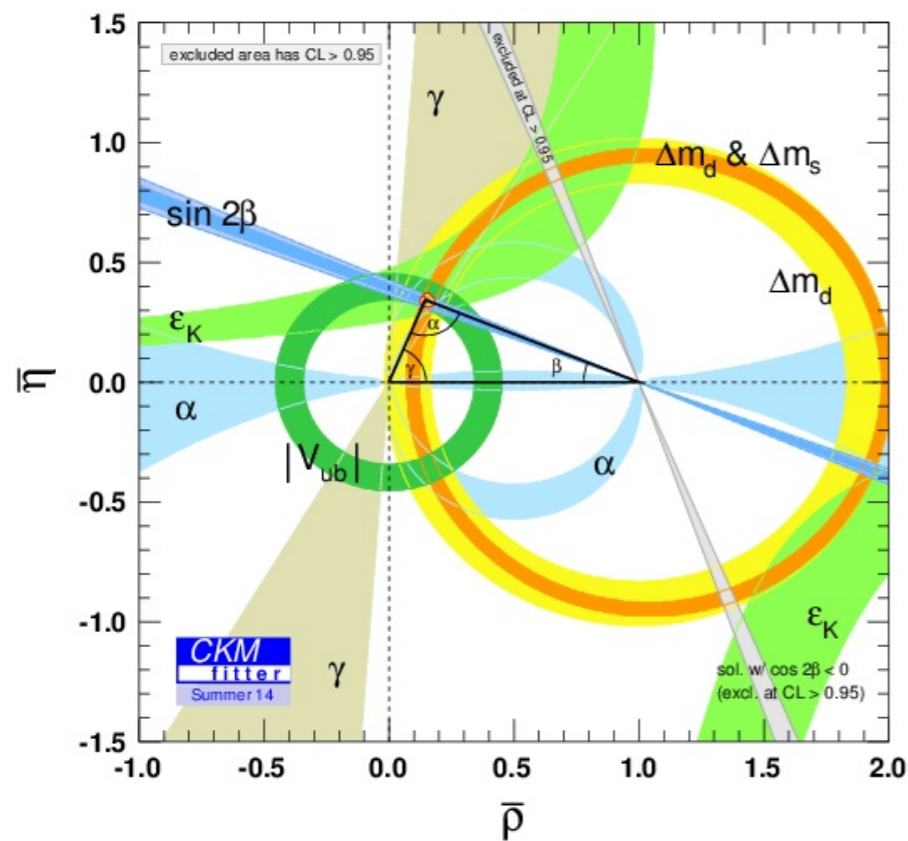
**Determine flavor
fractions of neutrino
mass states**

**Stress Test
Neutrino paradigm
search for new physics**

**Precision
Neutrino
Measurements:**



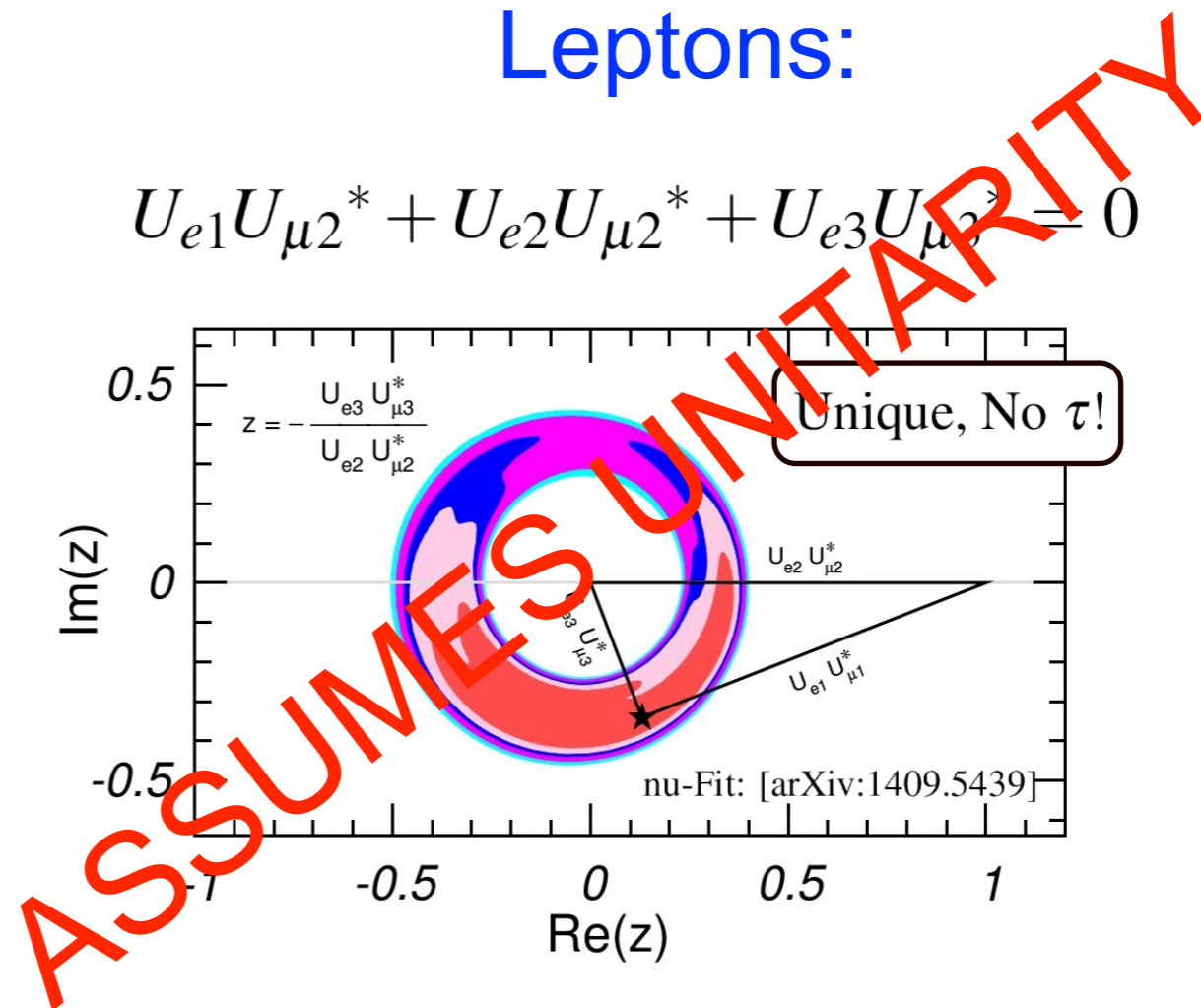
Quarks:



Unitarity *Not* assumed

Leptons:

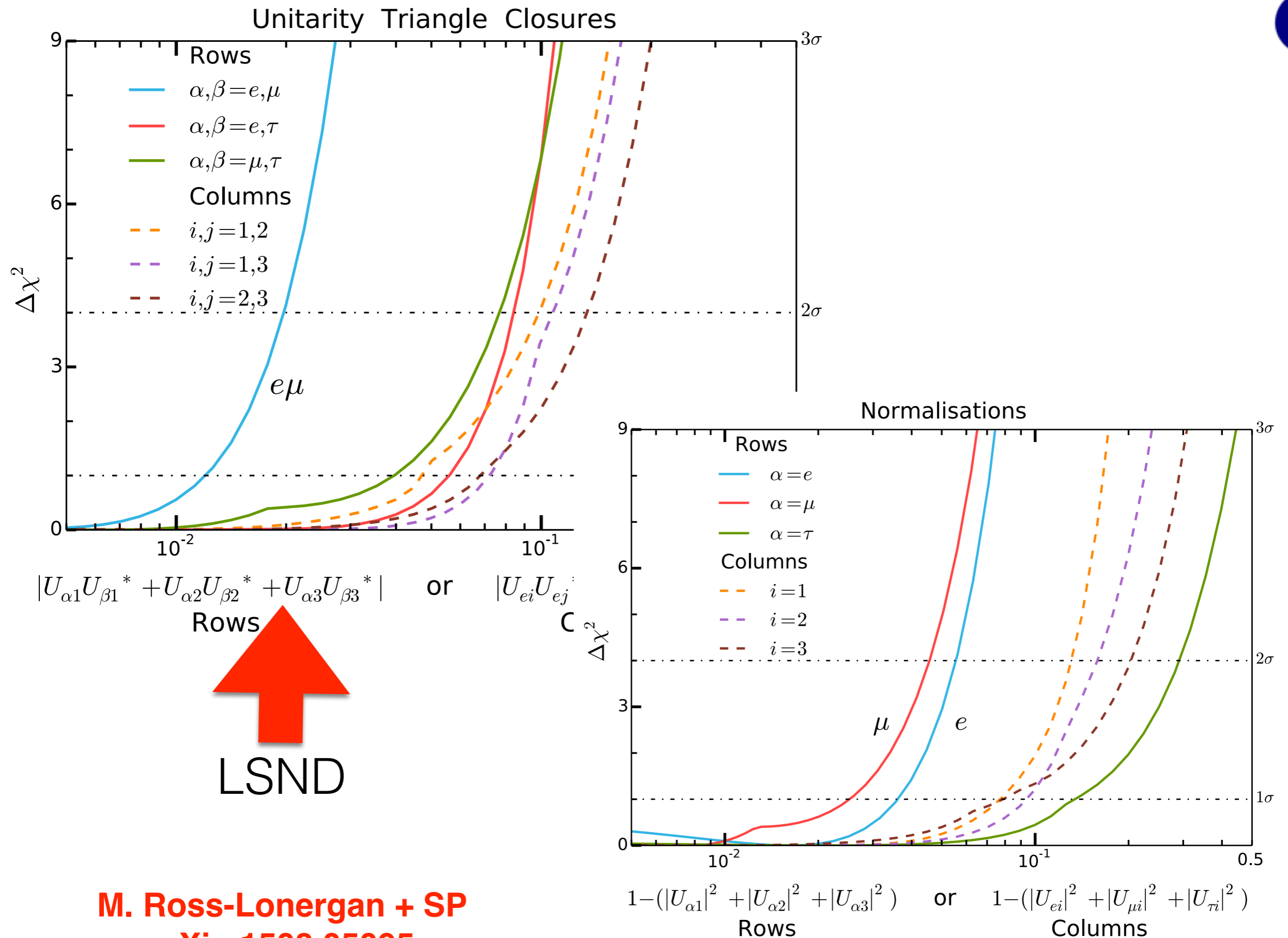
$$U_{e1}U_{\mu 2}^* + U_{e2}U_{\mu 2}^* + U_{e3}U_{\mu 3}^* = 0$$



Unitarity *Is* assumed.

$$|J| = 2 \times \text{Area}$$

$$= |s_{12}c_{12}s_{23}c_{23}s_{13}c_{13}^2 \sin \delta_{CP}|$$





$$U_{\text{PMNS}}^{\text{Extended}} = \begin{pmatrix} \overbrace{\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}}^{U_{\text{PMNS}}^{3 \times 3}} & \cdots & \begin{pmatrix} U_{en} \\ U_{\mu n} \\ U_{\tau n} \end{pmatrix} \\ \vdots & \ddots & \vdots \\ U_{s_n 1} & U_{s_n 2} & U_{s_n 3} & \cdots & U_{s_n n} \end{pmatrix}$$

Cauchy-Schwartz

$$\left| \sum_{i=1}^3 U_{ei} U_{\mu i}^* \right|^2 \leq \left(1 - \sum_{i=1}^3 |U_{ei}|^2 \right) \left(1 - \sum_{i=1}^3 |U_{\mu i}|^2 \right)$$

• $\nu_{\mu} \rightarrow \nu_e$ Appearance

• ν_e Disappearance

• ν_{μ} Disappearance

• ν_{μ} Disappearance

MINOS+, NOvA, T2K, atmospheric neutrinos (SK and ICECUBE)

• ν_e Disappearance

Daya Bay, RENO, many $\sim 10\text{m}$ Reactor experiments & source experiments.

• $\nu_{\mu} \rightarrow \nu_e$ Appearance

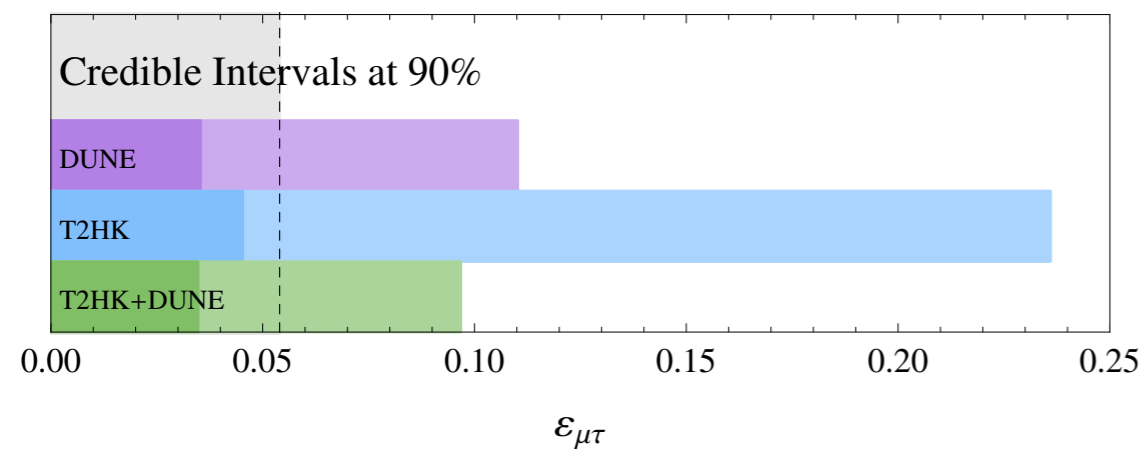
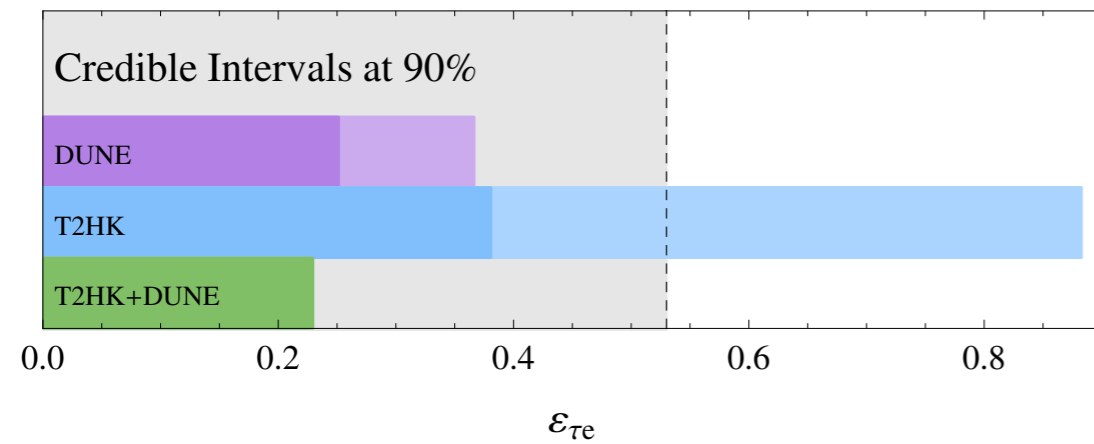
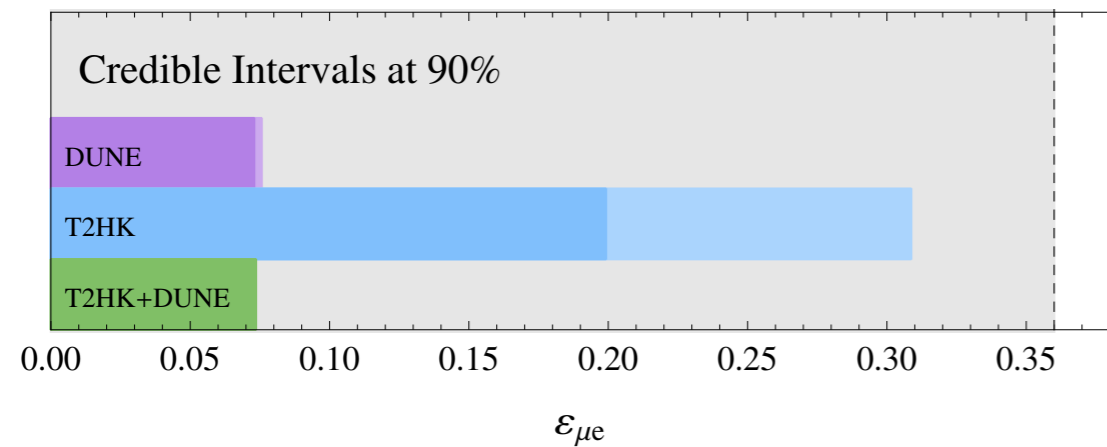
Fermilab SBN Program, T2K and NOvA: DUNE & HyperK



NSI



Stress Test
Neutrino paradigm
search for new physics



P.Coloma
arXiv:1511.06357



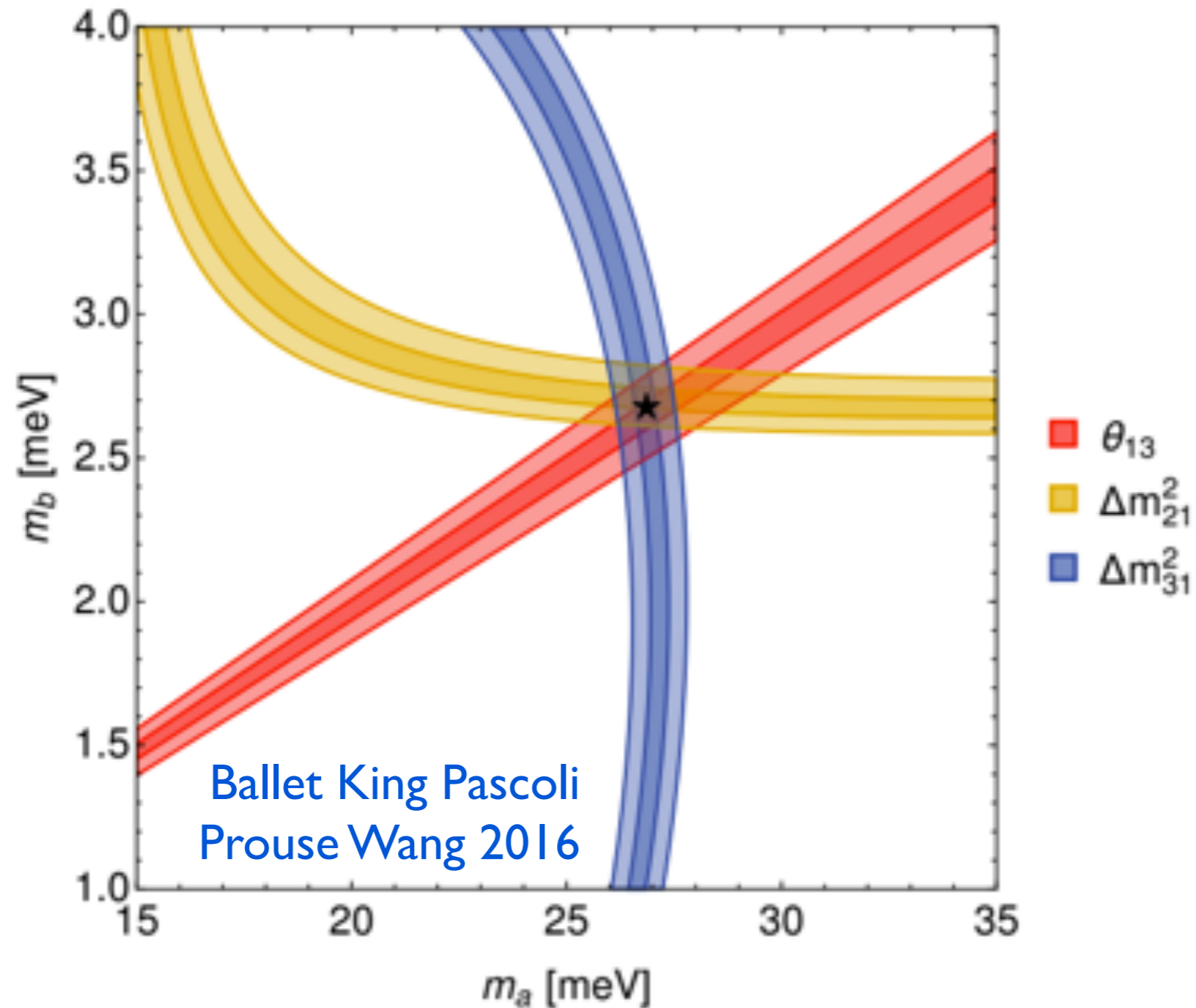
WHY?

**Determine flavor
fractions of neutrino
mass states**

**Stress Test
Neutrino paradigm
search for new physics**

**Precision
Neutrino
Measurements:**

**Connection to
Leptogenesis
Understanding Universe**



Connection to
Leptogenesis
Understanding Universe



WHY?

**Determine flavor
fractions of neutrino
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**Precision
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Measurements:**

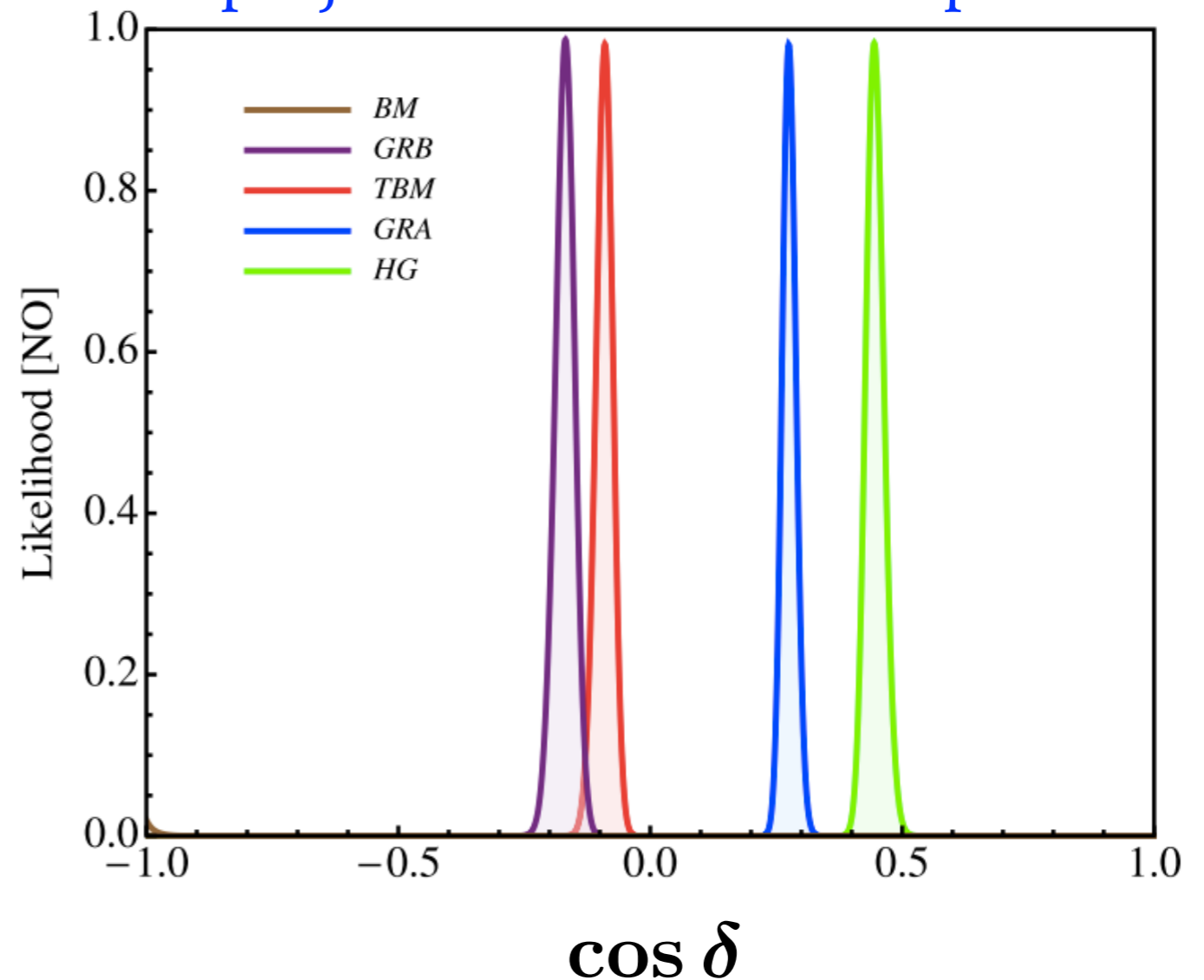
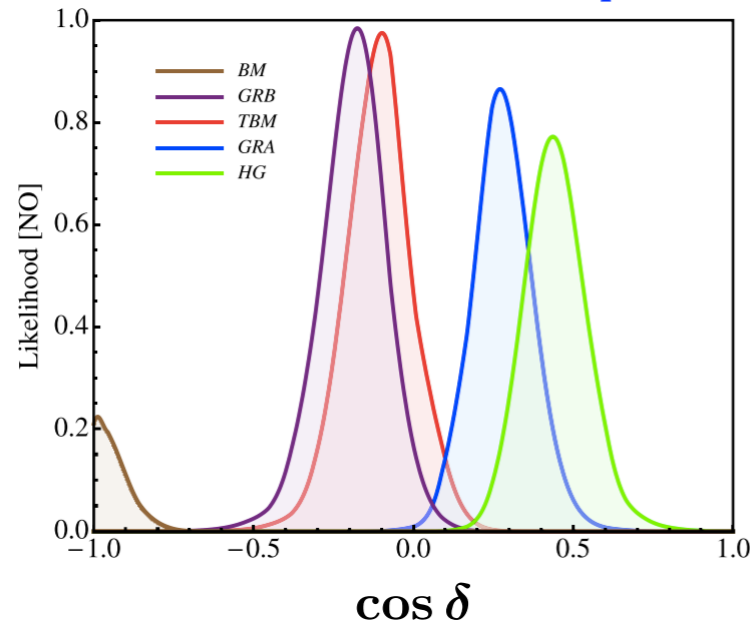
**Test Theoretical
Neutrino Models**

**Connection to
Leptogenesis
Understanding Universe**



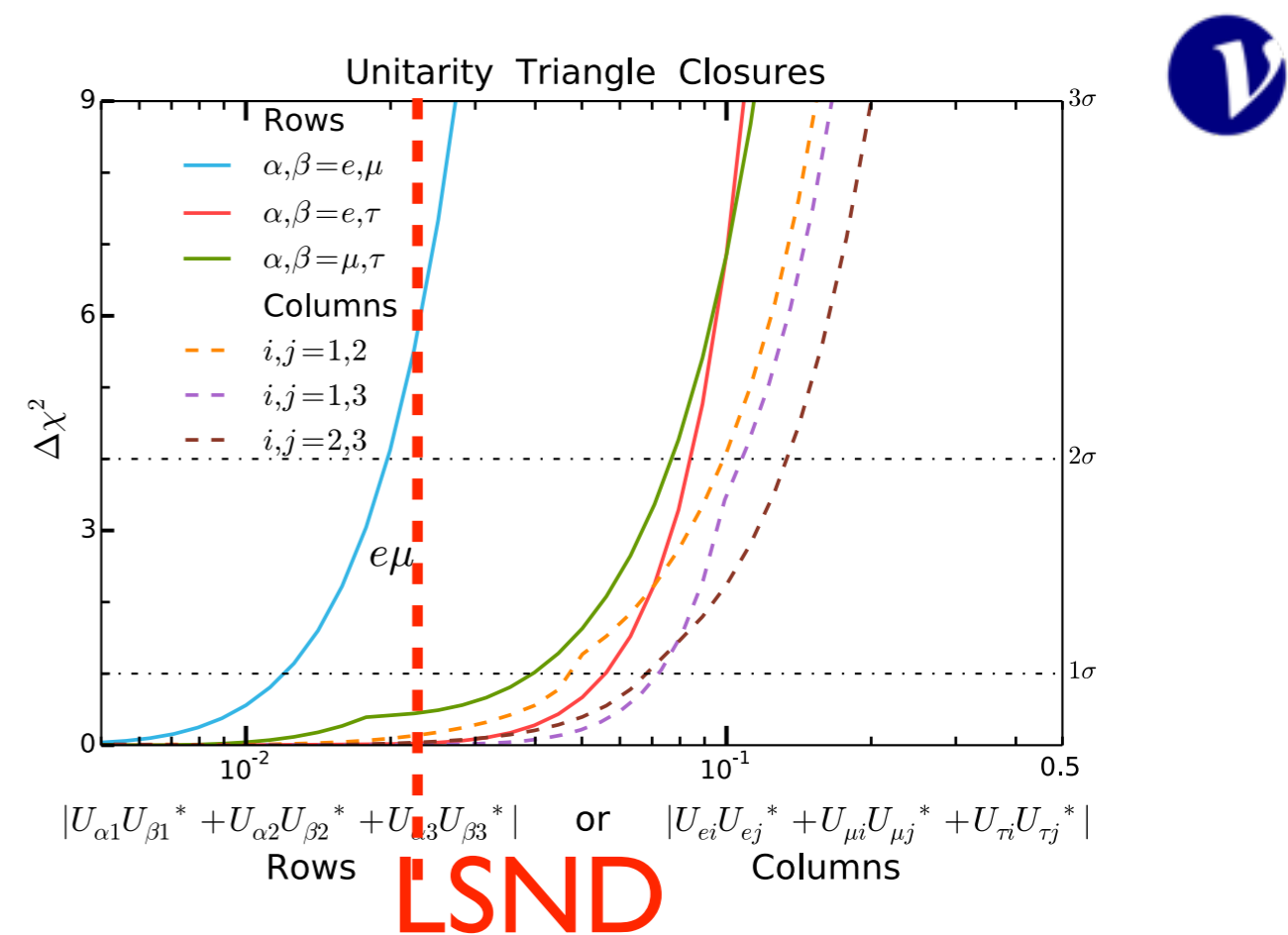
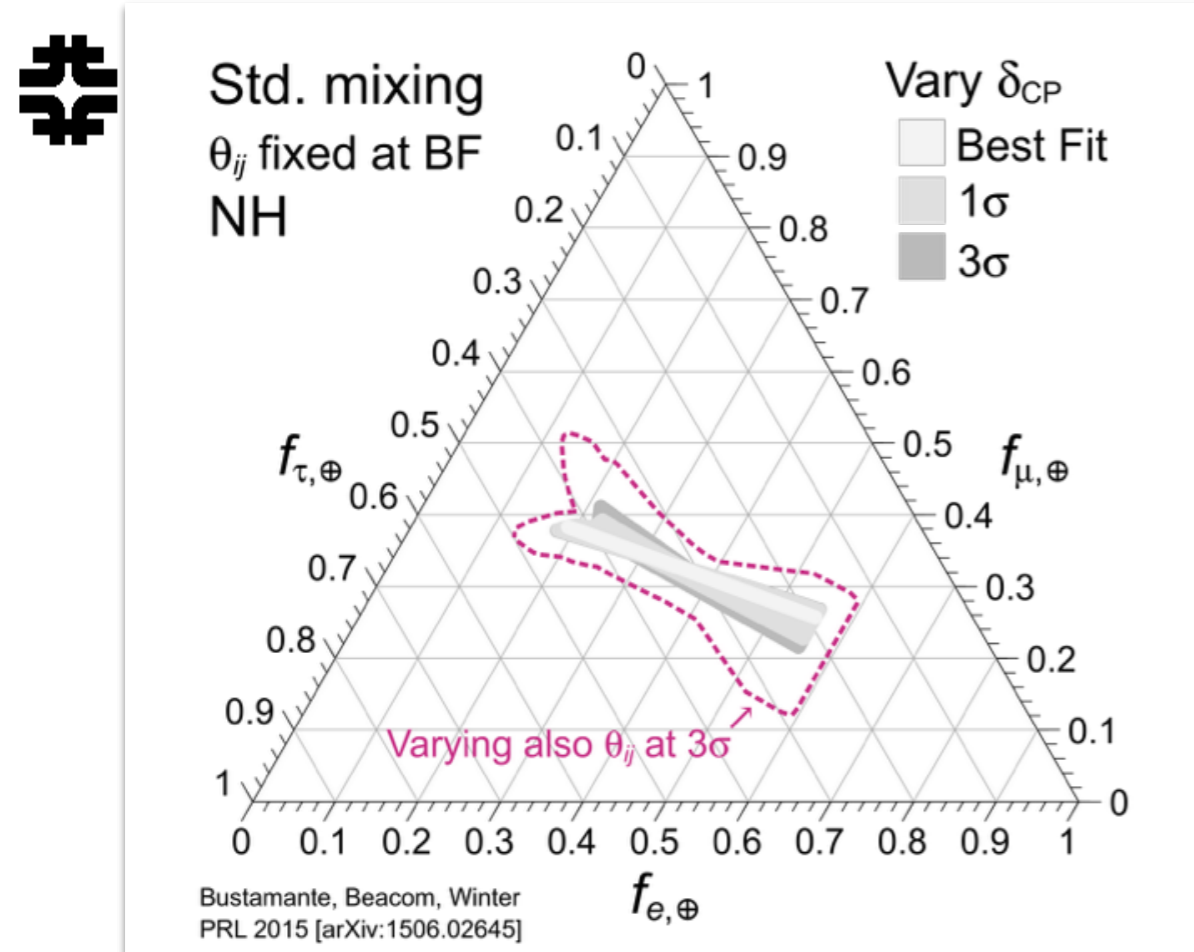
Predictions of flavor symmetry forms with projected measurement precision

Predictions from flavor symmetry forms
with current measurement precision

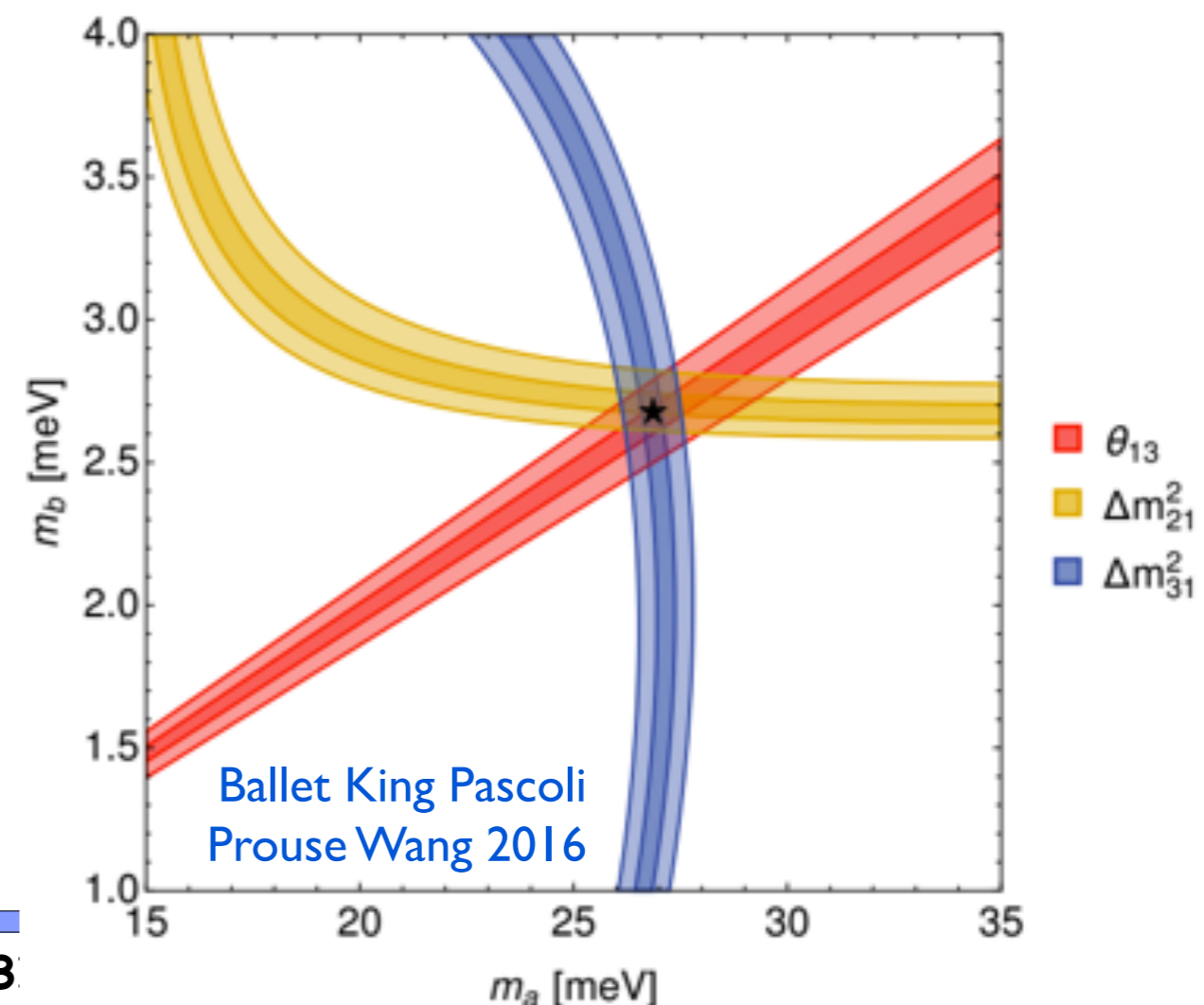
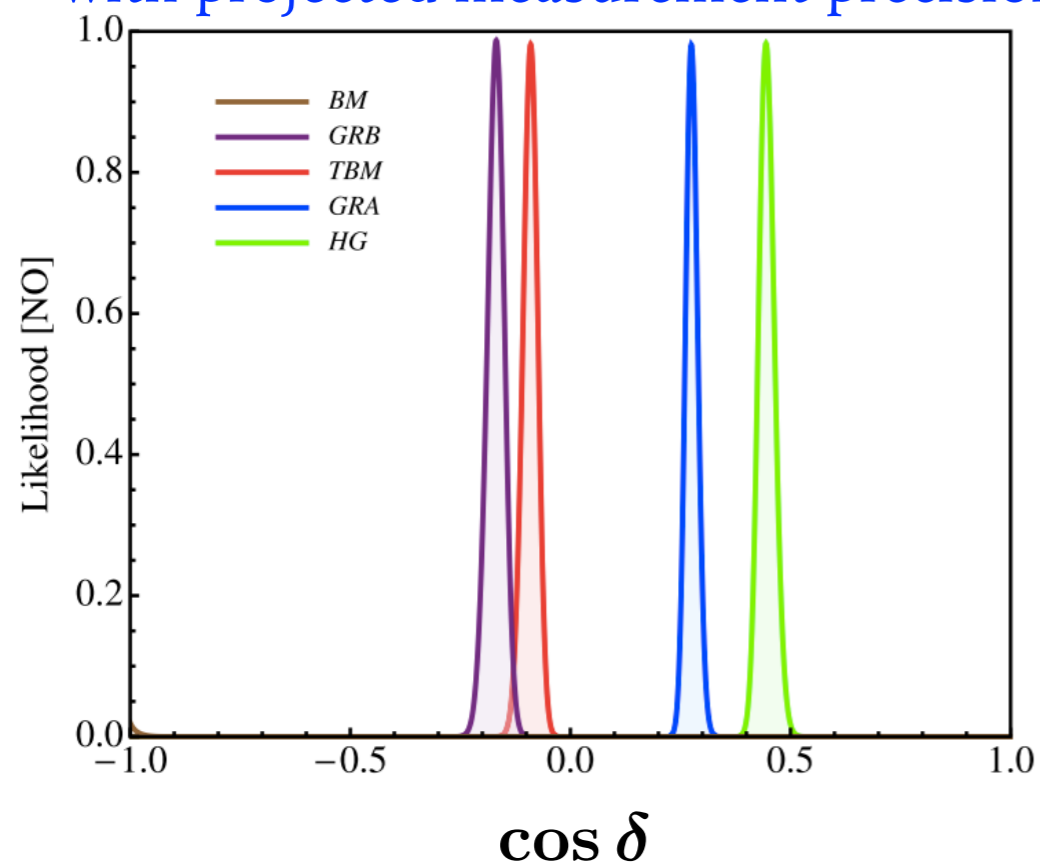


**Test Theoretical
Neutrino Models**

Girardi, Petcov, Titov, arXiv:1410.8056
Nucl. Phys. B, Vol. 894, 733-768 (2015)



Predictions of flavor symmetry forms
 with projected measurement precision





Towards a better understanding of Osc. Prob.

Globes,
while a very useful tool,
is not enough !



Reactor θ_{13} Experiments

Daya Bay



RENO



Double Chooz





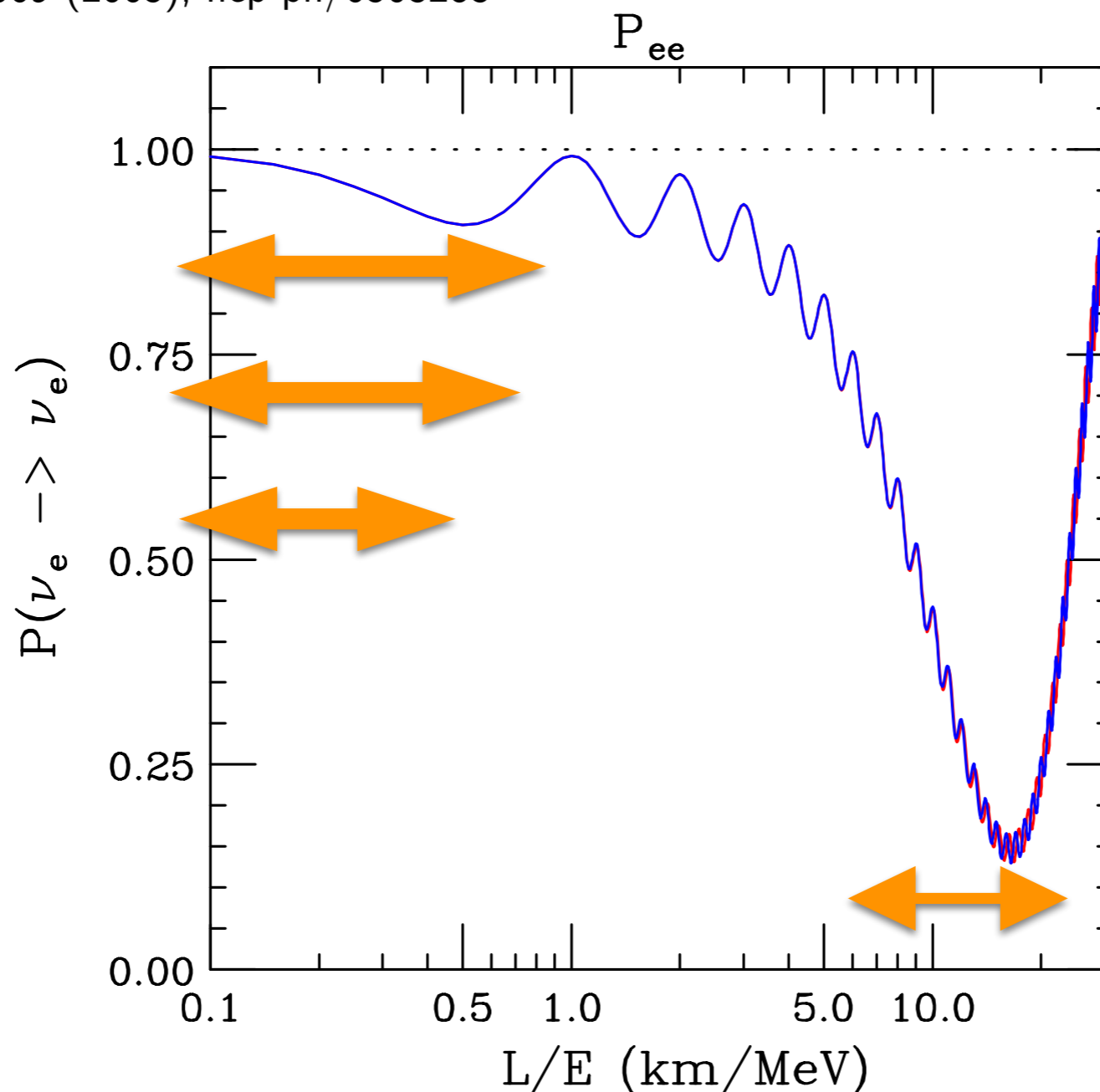
What is Δm_{ee}^2 ?

Stephen Parke
Fermilab

H. Nunokawa, S. J. Parke and R. Zukanovich Funchal,
“Another possible way to determine the neutrino mass hierarchy,”
Phys. Rev. D **72**, 013009 (2005), hep-ph/0503283

SP arXiv:1601.07464

Daya Bay
RENO
D-Chooz



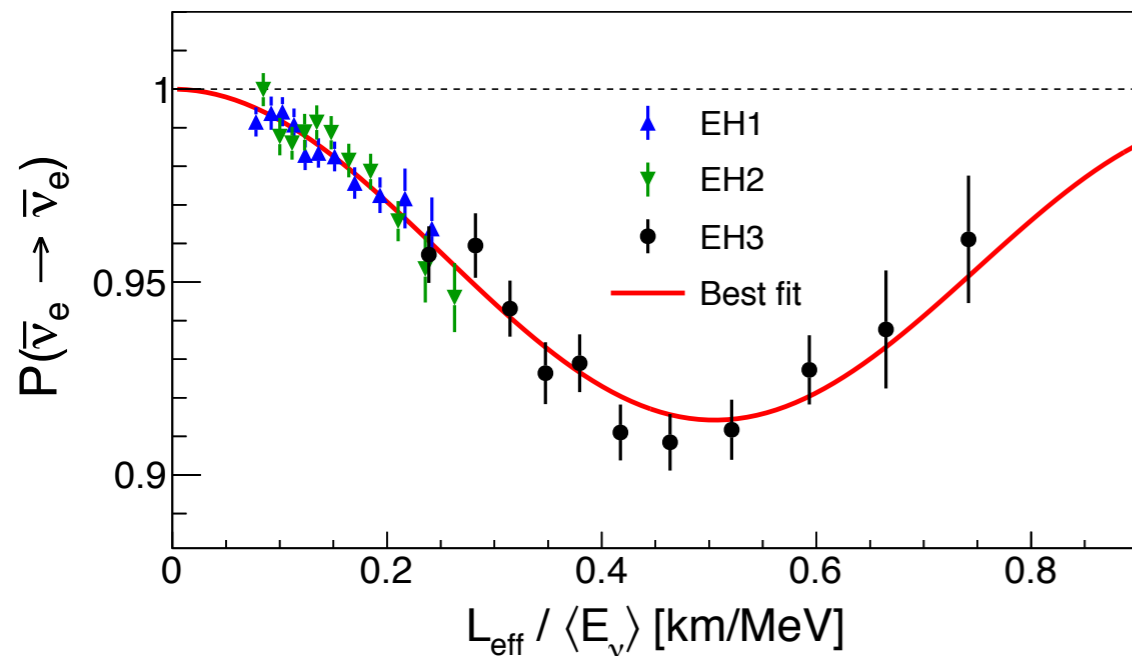
JUNO
RENO 50

Amplitude Modulation & Phase Advancement (NO) / Retardation (IO)

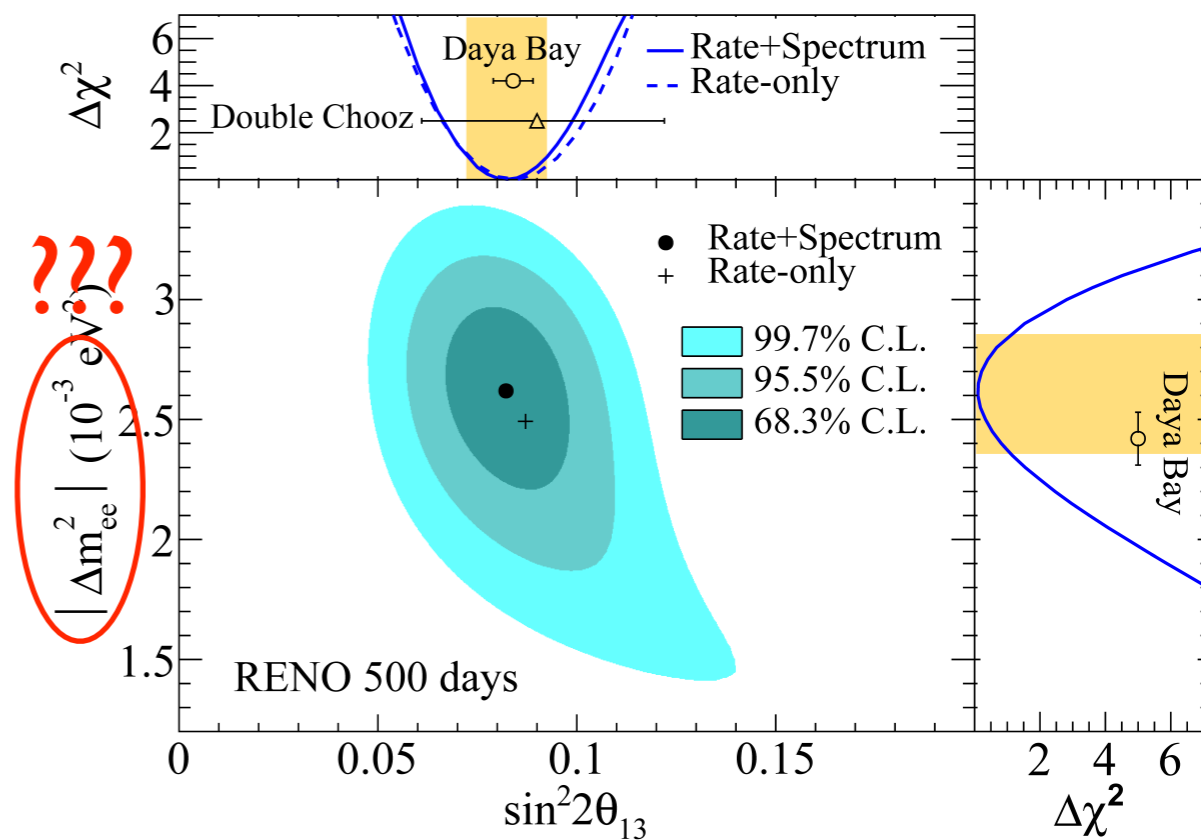
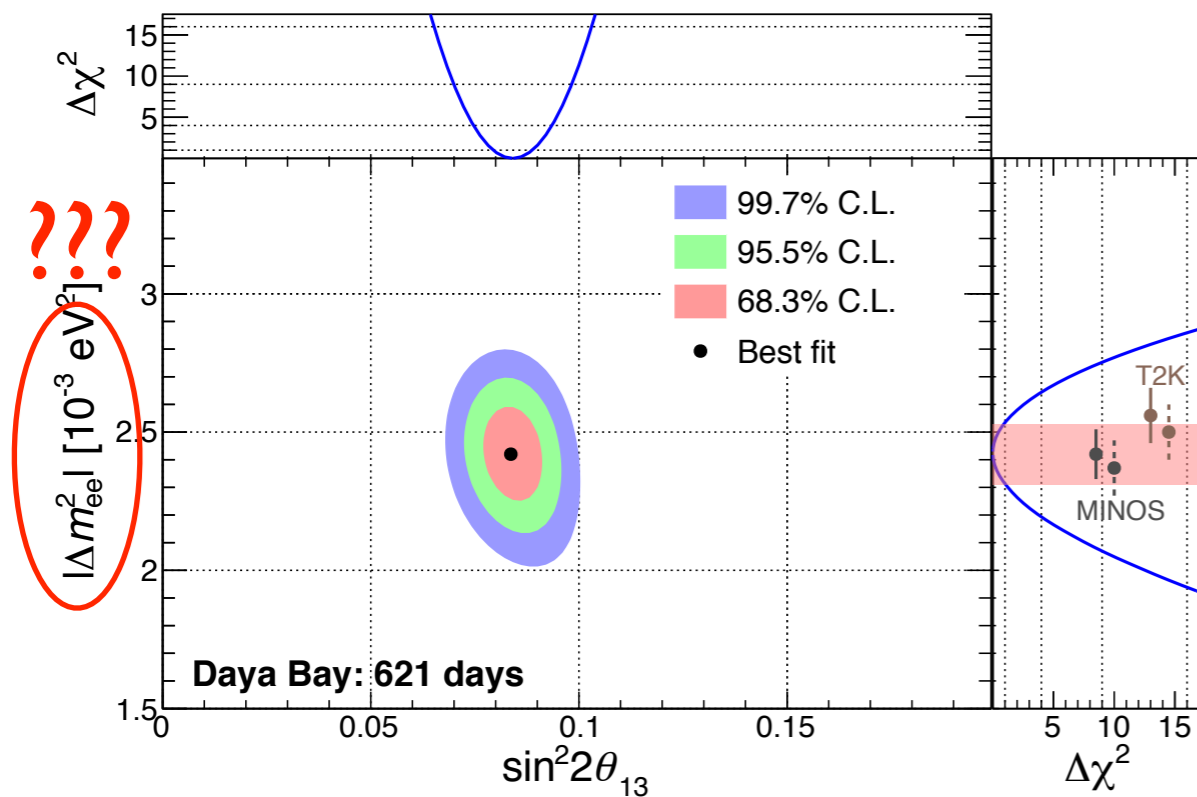
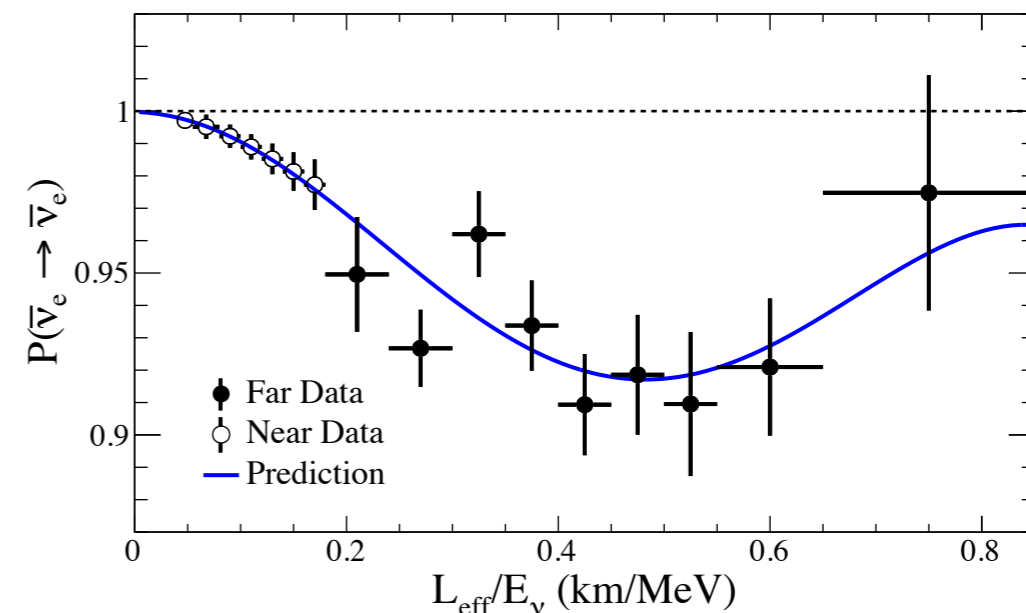


What is Δm_{ee}^2 ?

from Daya Bay: arXiv:1505.03456



from RENO arXiv:1511.05849





$$\bar{\nu}_e \rightarrow \bar{\nu}_e$$

- $P_{ee} \approx 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \sin^2 2\theta_{13} \sin^2 \Delta_{ee}$
 $\Delta \equiv \Delta m^2 L / 4E$

$$+ \mathcal{O}(0) \quad \Delta m_{YY}^2 \equiv \left(\frac{4E}{L} \right) \arcsin \left[\sqrt{(\cos^2 \theta_{12} \sin^2 \Delta_{31} + \sin^2 \theta_{12} \sin^2 \Delta_{32})} \right]$$

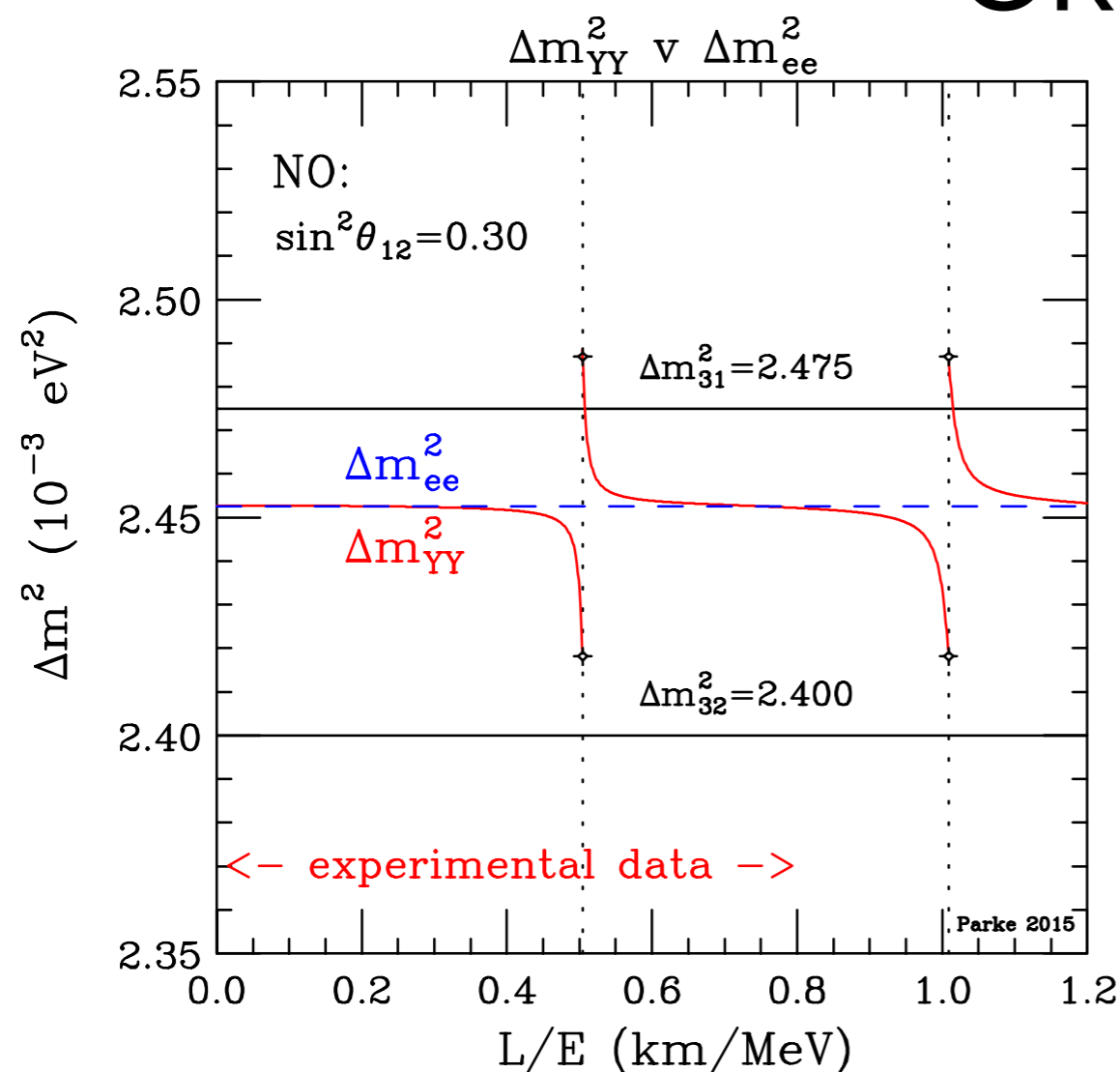
DAYA BAY

OR

$$\Delta m_{ee}^2 \equiv \cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2$$

$$+ \mathcal{O}(10^{-4})$$

ν_e average !



3%

H. Nunokawa, S. J. Parke and R. Zukanovich Funchal,
 "Another possible way to determine the neutrino mass hierarchy,"
 Phys. Rev. D **72**, 013009 (2005), hep-ph/0503283

SP arXiv:1601.07464



- Running experiments:

T2K (295km) and NOvA (810km)

- Future experiments:

DUNE (40 ktons LAr, 1300km)

HyperKamiokaNDE (0.5kMtons H₂O, 295km)

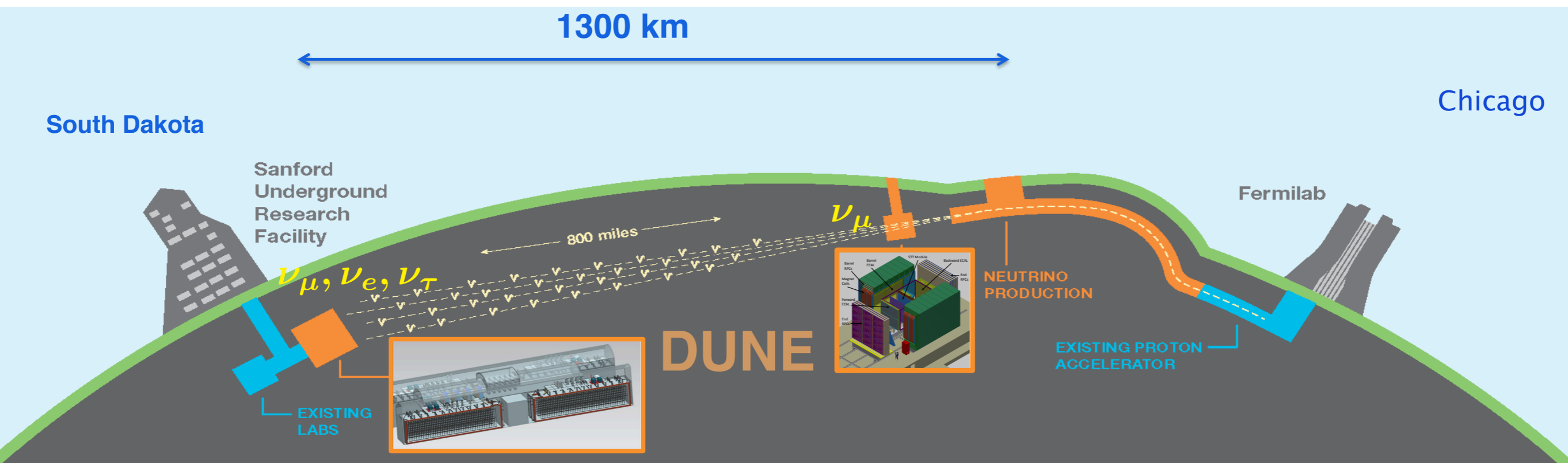
0.2Mt + T2HK



What is DUNE/LBNF ?



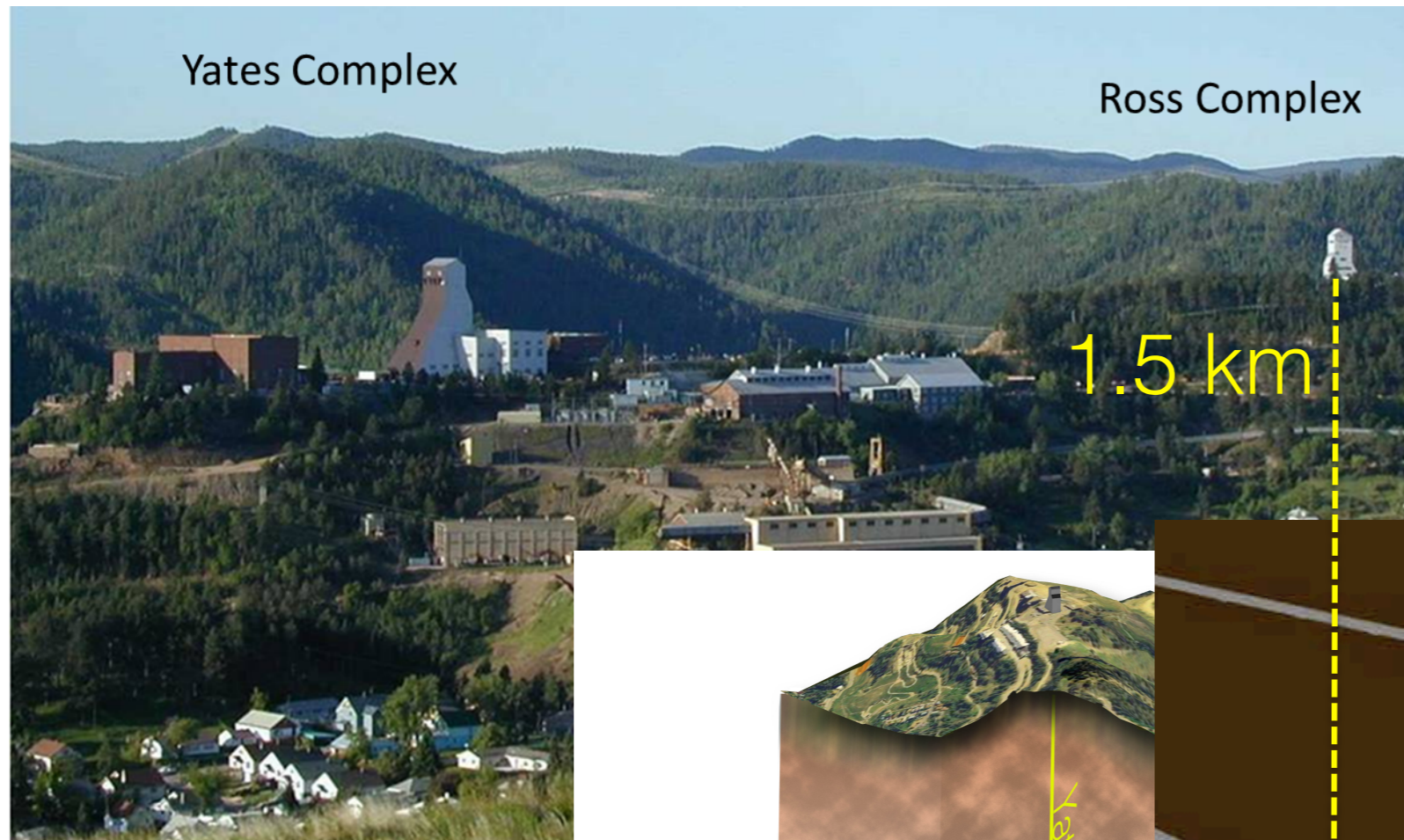
- DUNE/LBNF will consist of
 - An intense (1-2 MW) neutrino beam from Fermilab
 - A massive (70 kton) deep underground LAr Detector South Dakota
 - A large Near Detector at Fermilab
 - A large International Collaboration (~1000 scientist)



DUNE Far Detector site

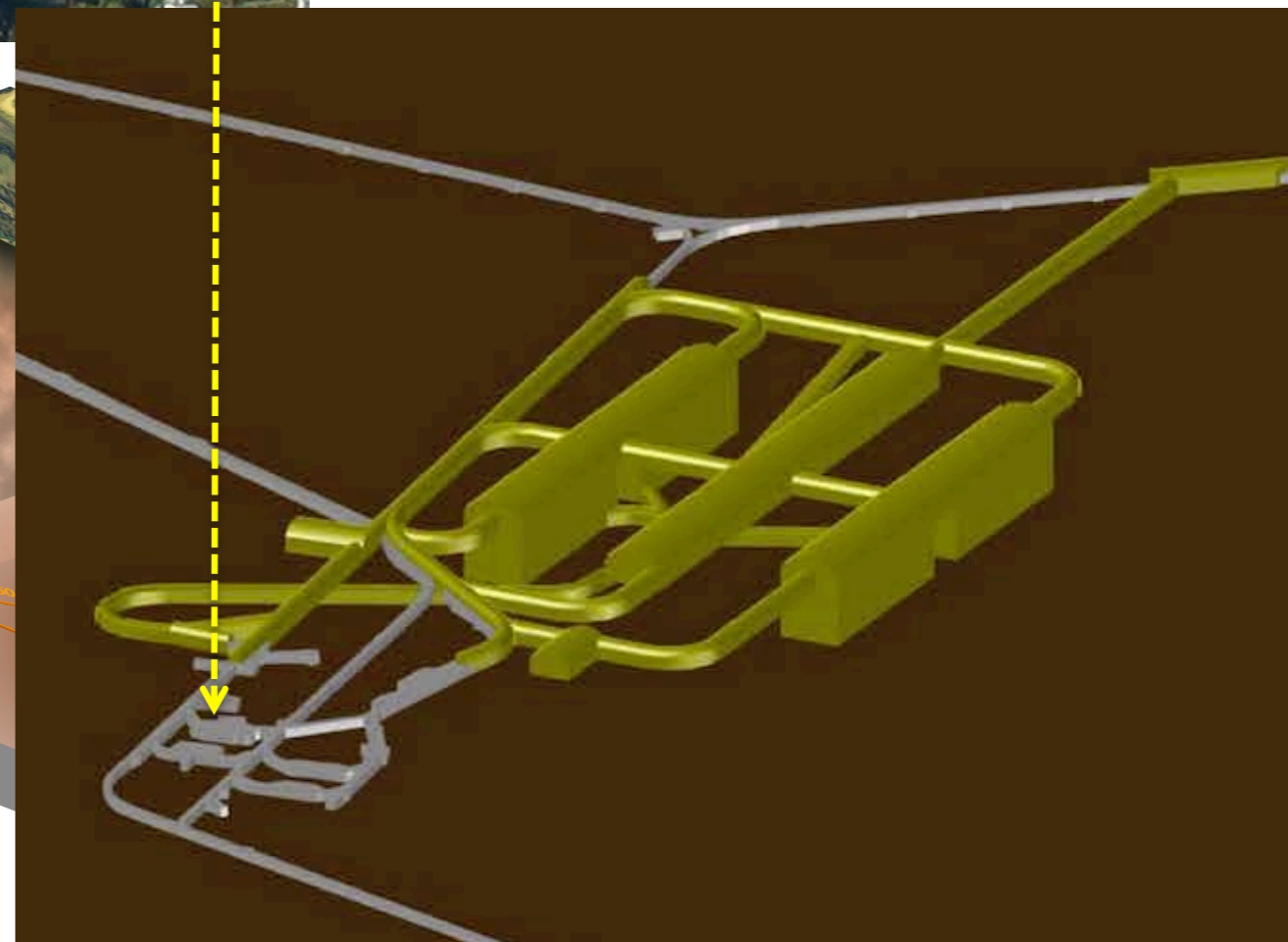
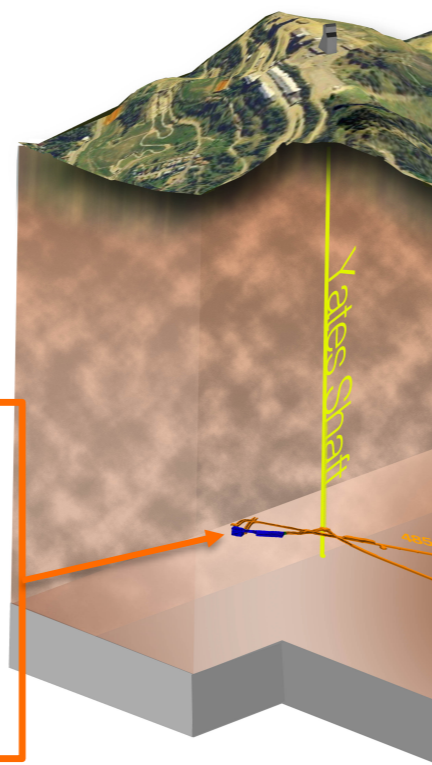


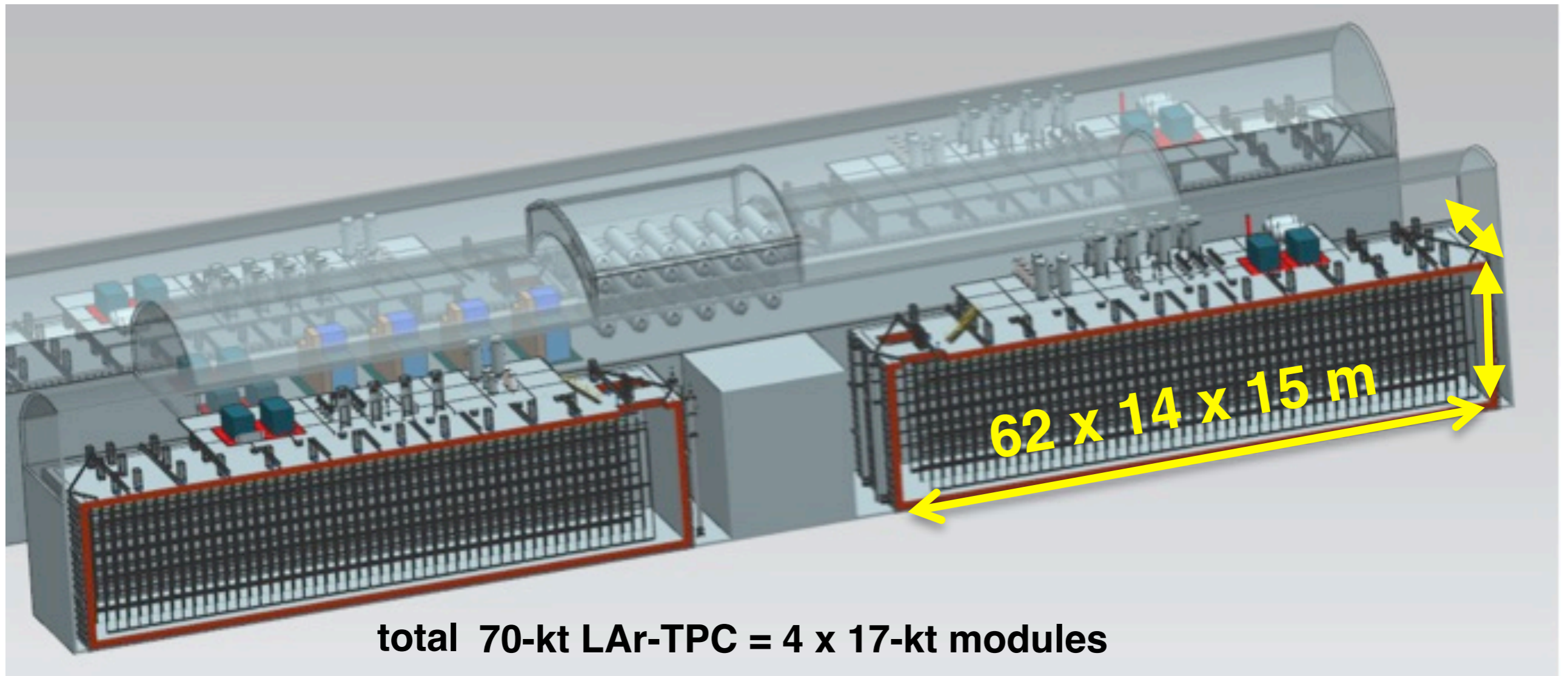
- Sanford Underground Research Facility (SURF), South Dakota
- Four caverns on 4850ft level (~1.5km underground)



Davis Campus:

- LUX
- Majorana demo.
- ...
- LZ



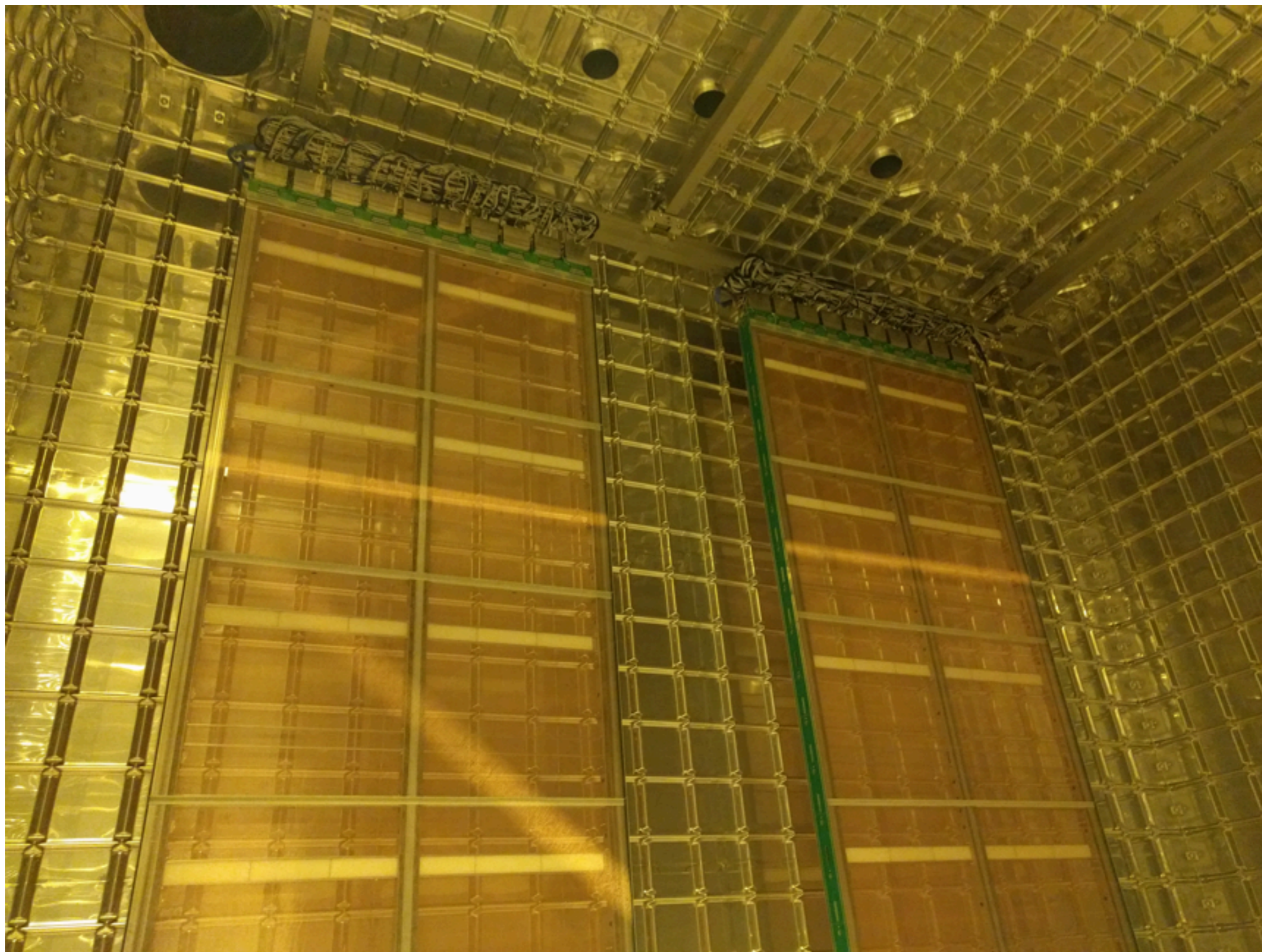


total 70-kt LAr-TPC = 4 x 17-kt modules

Fiducial = 4 x 10 kt

Ar from $\sim 10 \text{ km}^3$ of air

= 300m \times Area of Fermilab site (30 km^2)





Neutrino Oscillation Amplitudes

$$P(\nu_\alpha \rightarrow \nu_\beta) = |\mathcal{A}_{\alpha\beta}|^2$$

Two Flavors:

$$\mathcal{A}_{\alpha\alpha} = 1 + (2i) s_\theta^2 e^{+i\Delta} \sin \Delta$$

$$\text{and } \mathcal{A}_{\alpha\beta} = (2i) s_\theta c_\theta e^{-i\Delta} \sin \Delta$$

$$\Delta \equiv \Delta m^2 L / 4E$$



Neutrino Oscillation Amplitudes in vacuum:

“the billion \$ process”

$$P(\nu_\mu \rightarrow \nu_e) = |\mathcal{A}_{\mu e}|^2$$

$$\mathcal{A}_{\mu e} = (2i) [(s_{23}s_{13}c_{13}) [c_{12}^2 e^{-i\Delta_{32}} \sin \Delta_{31} + s_{12}^2 e^{-i\Delta_{31}} \sin \Delta_{32}] \\ + (c_{23}c_{13}s_{12}c_{12}) e^{i\delta} \sin \Delta_{21}]$$

maintain the symmetry: $m_1^2 \leftrightarrow m_2^2$ with $\theta_{12} \rightarrow \theta_{12} \pm \pi/2$

Denton, Minakata, SP arXiv:1604.08167

$$\Delta P_{CP} = 8 \underbrace{(s_{23}s_{13}c_{13}) (c_{23}c_{13}s_{12}c_{12}) \sin \delta}_{J} \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32}$$

$$\Delta_{32} \approx \Delta_{31}$$

$$\mathcal{A}_{\mu e} \approx (2i) [(s_{23}s_{13}c_{13}) \sin \Delta_{31} + (c_{23}c_{13}s_{12}c_{12}) e^{i(\delta+\Delta_{31})} \sin \Delta_{21}]$$



$$\nu_\mu \rightarrow \nu_e$$



$$A_{31} = 2s_{23}s_{13}c_{13} \sin \Delta_{31}$$

$$A_{21} = 2c_{13}c_{23}s_{12}c_{12} \sin \Delta_{21}$$

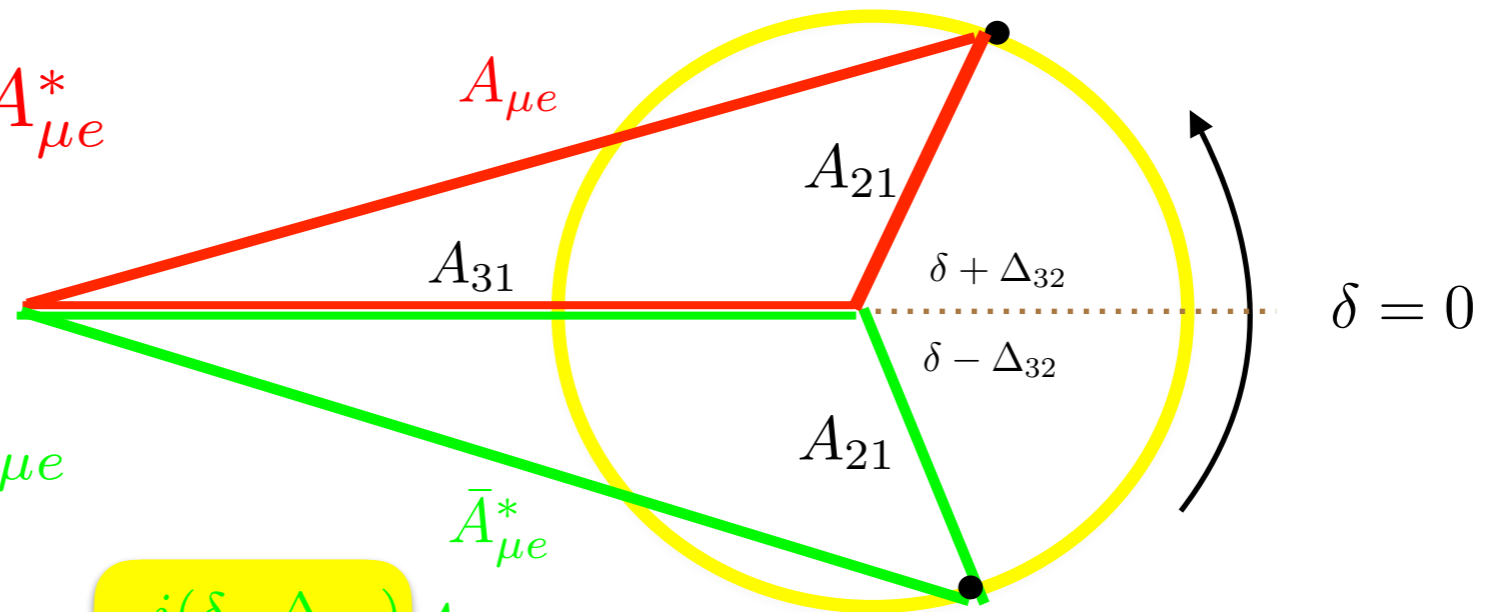
$$A_{\mu e} = A_{31} + e^{i(\delta + \Delta_{32})} A_{21}$$

$$\Delta_{ij} = \Delta m_{ij}^2 L / 4E$$

$$P(\nu_\mu \rightarrow \nu_e) = A_{\mu e} A_{\mu e}^*$$

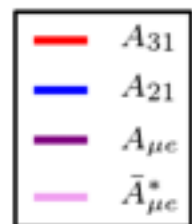
$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \bar{A}_{\mu e}^* \bar{A}_{\mu e}$$

$$\bar{A}_{\mu e}^* = A_{31} + e^{i(\delta - \Delta_{32})} A_{21}$$



$$\delta = 0.0\pi$$

$$\Delta_{32} = 0.40\pi$$



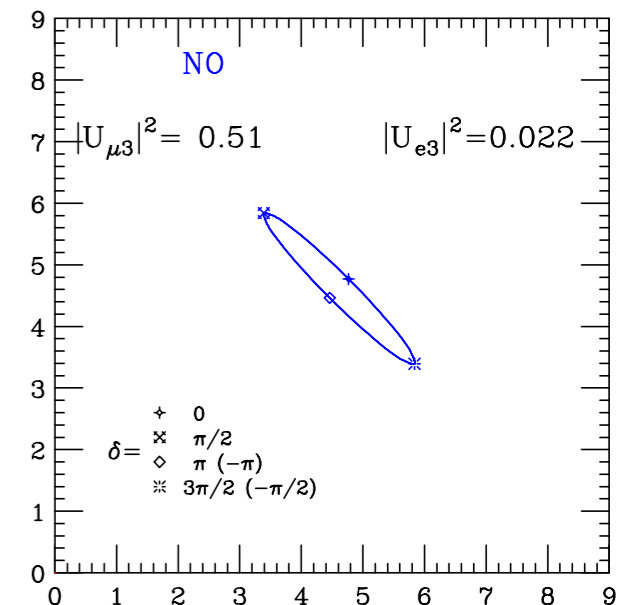
$$P(\nu_\mu \rightarrow \nu_e) = A_{\mu e} A_{\mu e}^*$$

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \bar{A}_{\mu e}^* \bar{A}_{\mu e}$$



Denton & Parke

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = |\bar{A}_{\mu e}|^2$$



$$P(\nu_\mu \rightarrow \nu_e) = |A_{\mu e}|^2$$



Matter Effects:



Neutrino Evolution in Matter:



$$i\frac{d}{dx}\nu = H\nu \quad \text{with} \quad \nu = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

$$(2E) H = U_{PMNS} \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{bmatrix} U_{PMNS}^\dagger + \begin{bmatrix} a & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$a = 2\sqrt{2}G_F N_e E$$

uniform matter



- Solve Cubic Characteristic Eqn.

$$\lambda^3 - (a + \Delta m_{21}^2 + \Delta m_{31}^2) \lambda^2 + [\Delta m_{21}^2 \Delta m_{31}^2 + a \{ (c_{12}^2 + s_{12}^2 s_{13}^2) \Delta m_{21}^2 + c_{13}^2 \Delta m_{31}^2 \}] \lambda - c_{12}^2 c_{13}^2 a \Delta m_{21}^2 \Delta m_{31}^2 = 0$$

IF

- $a = 0$
- or $\Delta m_{21}^2 = 0$
- or $\sin \theta_{12} = 0$
- or $\sin \theta_{13} = 0$

BUT

See Zaglauer & Schwarzer, Z. Phys. C 1988

$$\lambda_1 = \frac{1}{3}s - \frac{1}{3}\sqrt{s^2 - 3t}[u + \sqrt{3(1-u^2)}],$$

$$\lambda_2 = \frac{1}{3}s - \frac{1}{3}\sqrt{s^2 - 3t}[u - \sqrt{3(1-u^2)}],$$

$$\lambda_3 = \frac{1}{3}s + \frac{2}{3}u\sqrt{s^2 - 3t},$$

$$s = \Delta_{21} + \Delta_{31} + a,$$

$$t = \Delta_{21}\Delta_{31} + a[\Delta_{21}(1 - s_{12}^2 c_{13}^2) + \Delta_{31}(1 - s_{13}^2)],$$

$$u = \cos \left[\frac{1}{3} \cos^{-1} \left(\frac{2s^3 - 9st + 27a\Delta_{21}\Delta_{31}c_{12}^2 c_{13}^2}{2(s^2 - 3t)^{3/2}} \right) \right],$$

here $\Delta_{ij} \equiv \Delta m_{ij}^2$

THEN characteristic Eqn
FACTORIZES !

DOES NOT
TRIVIAALLY SIMPLIFY !



2 flavor mixing in matter

$$ax^2 + bx + c = 0$$

simple, intuitive, useful

3 flavor mixing in matter

$$ax^3 + bx^2 + cx + d = 0$$

complicated, counter intuitive, ...



Stephen Parke, Fermilab 1/31/2018

arXiv:1604.08167v1 [hep-ph] 27 Apr 2016

Compact Perturbative Expressions For Neutrino Oscillations in Matter

Peter B. Denton^{a,b} Hisakazu Minakata^{c,d} Stephen J. Parke^a

Addendum to “Compact Perturbative Expressions for Neutrino Oscillations in Matter”

1801.06514

Peter B. Denton,^a Hisakazu Minakata,^b Stephen J. Parke^c

doi: 10.5281/zenodo.1163591



Neutrino Evolution in Matter:



$$i \frac{d}{dx} \nu = H \nu \quad \text{with} \quad \nu = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

$$(2E) H = U_{PMNS} \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{bmatrix} U_{PMNS}^\dagger + \begin{bmatrix} a & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$a = 2\sqrt{2}G_F N_e E$$

$$U_{PMNS} \equiv U_{23}(\theta_{23}, 0) U_{13}(\theta_{13}, -\delta) U_{12}(\theta_{12}, 0) := U_{23}(\theta_{23}, \delta) U_{13}(\theta_{13}, 0) U_{12}(\theta_{12}, 0)$$

$:=$: means equal after multiplying by a diagonal phase matrix on the left and/or right hand side.

$$i \frac{d}{dx} \nu' = \boxed{U_{23}^\dagger(\theta_{23}, \delta) H U_{23}(\theta_{23}, \delta)} \nu' \quad \text{with} \quad \nu' = U_{23}^\dagger(\theta_{23}, \delta) \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$



Neutrino Evolution in Matter (conti):

$$U_{23}^\dagger(\theta_{23}, \delta) H U_{23}(\theta_{23}, \delta) =$$

$$\frac{1}{2E} \begin{pmatrix} a + s_{13}^2 \Delta m_{31}^2 + s_{12}^2 c_{13}^2 \Delta m_{21}^2 & c_{13} s_{12} c_{12} \Delta m_{21}^2 & s_{13} c_{13} \Delta m_{31}^2 - s_{12}^2 s_{13} c_{13} \Delta m_{21}^2 \\ c_{13} s_{12} c_{12} \Delta m_{21}^2 & c_{12}^2 \Delta m_{21}^2 & -s_{13} s_{12} c_{12} \Delta m_{21}^2 \\ s_{13} c_{13} \Delta m_{31}^2 - s_{12}^2 s_{13} c_{13} \Delta m_{21}^2 & -s_{13} s_{12} c_{12} \Delta m_{21}^2 & c_{13}^2 \Delta m_{31}^2 + s_{12}^2 s_{13}^2 \Delta m_{21}^2 \end{pmatrix}$$

$$\text{Expansions in } \begin{cases} s_{13} & \sim 0.15 \\ (\Delta m_{21}^2 / \Delta m_{31}^2) & \sim 0.03 \\ (a / \Delta m_{31}^2) & \sim (E_\nu / 10 \text{ GeV}) \end{cases}$$

Key observations:

- Don't use Δm_{31}^2
- Use $\Delta m_{ee}^2 = \Delta m_{31}^2 - s_{12}^2 \Delta m_{21}^2$
- Subtract $s_{12}^2 \Delta m_{21}^2$ from all diagonal elements

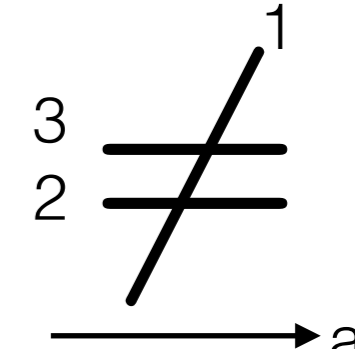
Simple but major improvements in accuracy !



Neutrino Evolution in Matter (conti):

$$U_{23}^\dagger(\theta_{23}, \delta) H U_{23}(\theta_{23}, \delta) = H_D + H_{OD}$$

D=diagonal OD= off-diagonal

$$(2E) H_D = \begin{bmatrix} a + s_{13}^2 \Delta m_{ee}^2 & (c_{12}^2 - s_{12}^2) \Delta m_{21}^2 & c_{13}^2 \Delta m_{ee}^2 \\ & & \\ & & \end{bmatrix}$$


$$\Delta m_{ee}^2 \equiv \cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2$$

$$(2E) H_{OD} / \Delta m_{ee}^2 = \begin{matrix} \text{0.15} \nearrow s_{13} c_{13} \begin{bmatrix} & & 1 \\ & 0 & \\ 1 & & \end{bmatrix} \\ + c_{13} s_{12} c_{12} \left(\frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \right) \begin{bmatrix} & 1 & \\ 1 & & 0 \\ & 0 & \end{bmatrix} \\ \text{0.015} \nearrow \\ - s_{13} s_{12} c_{12} \left(\frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \right) \begin{bmatrix} & 0 & \\ 0 & & 1 \\ & 1 & \end{bmatrix} \\ \text{0.002} \nearrow \end{matrix}$$



Rotation by $U_{13}(\tilde{\theta}_{13})$

$$a = 2\sqrt{2}G_F N_e E$$

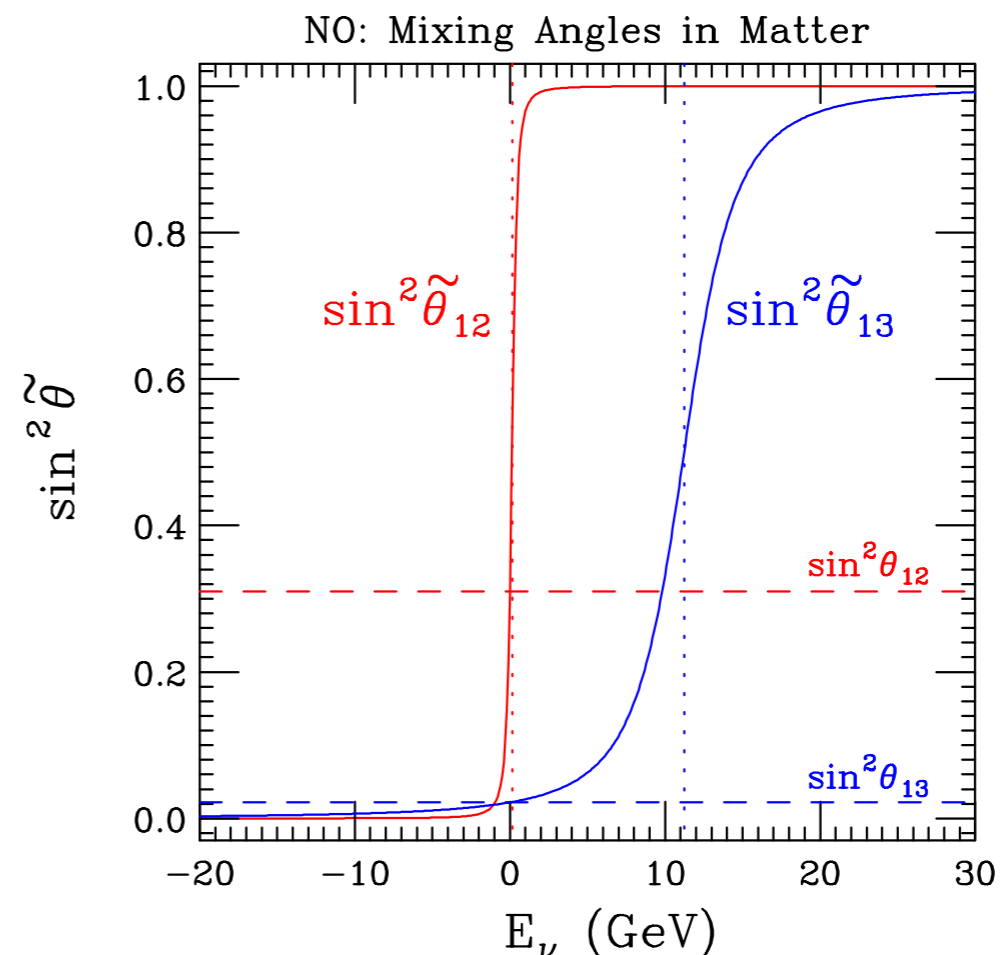
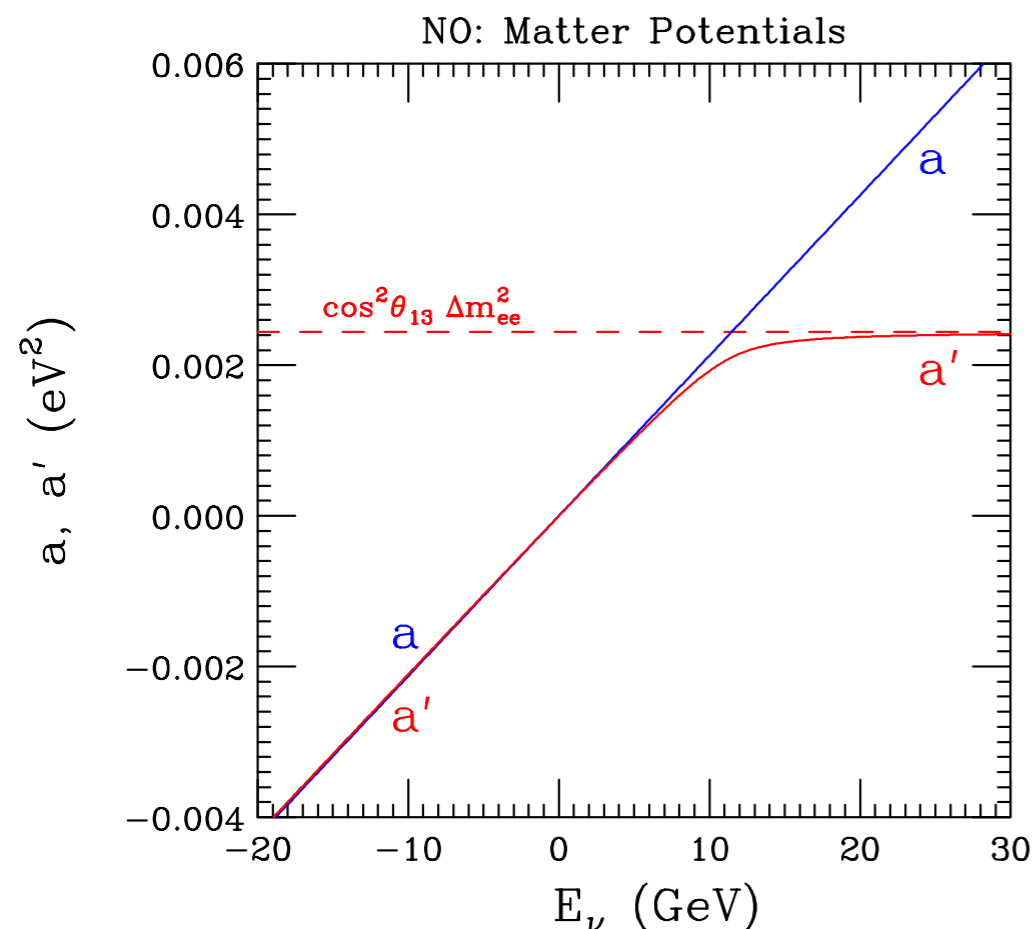
$$\cos 2\tilde{\theta}_{13} = \frac{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)}{\sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}}}$$

$$\cos 2\tilde{\theta}_{12} =$$

$$\frac{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)}{\sqrt{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)^2 + \sin^2 2\theta_{12} \cos^2(\tilde{\theta}_{13} - \theta_{13})}}$$

then $U_{12}(\tilde{\theta}_{12})$

$$a' \equiv a \cos^2 \tilde{\theta}_{13} + \Delta m_{ee}^2 \sin^2(\tilde{\theta}_{13} - \theta_{13})$$





Masses Squared:

$$(2E) H_D = \text{diag}(\widetilde{m}_1^2, \widetilde{m}_2^2, \widetilde{m}_3^2)$$

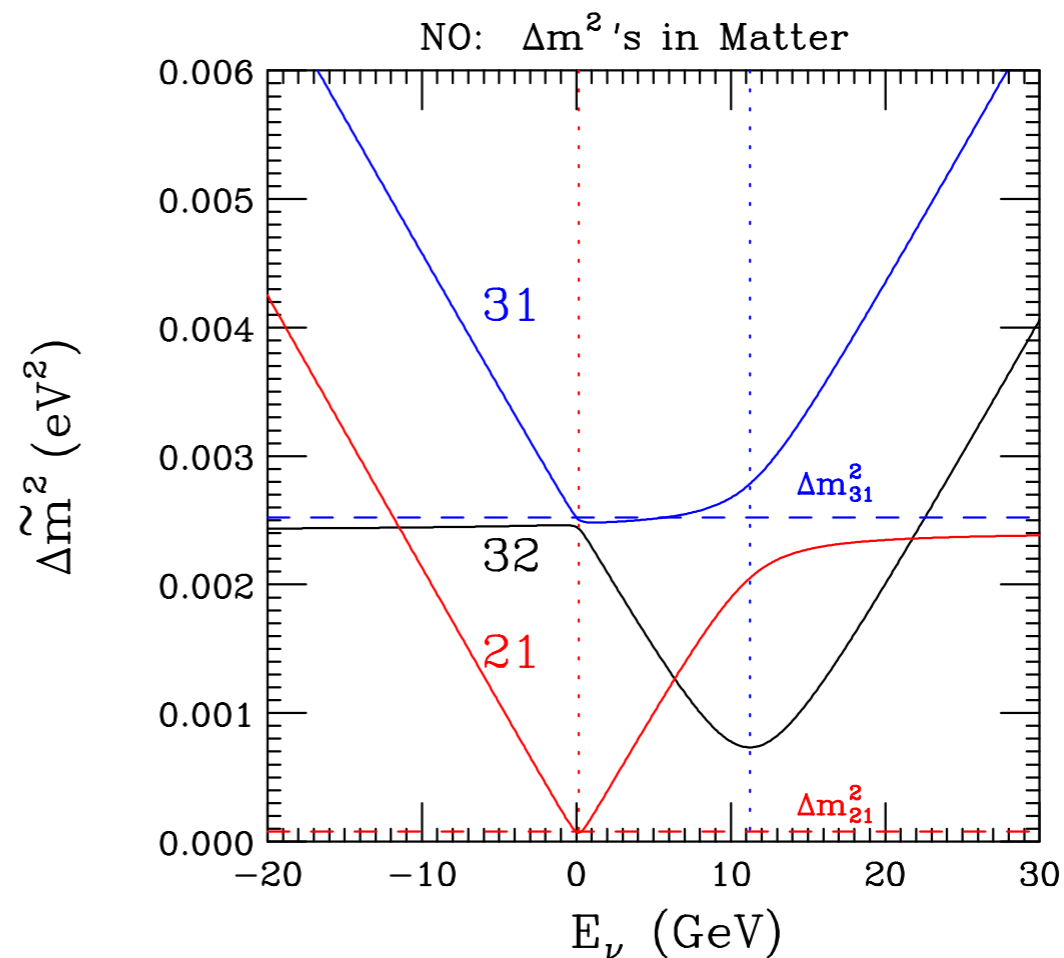
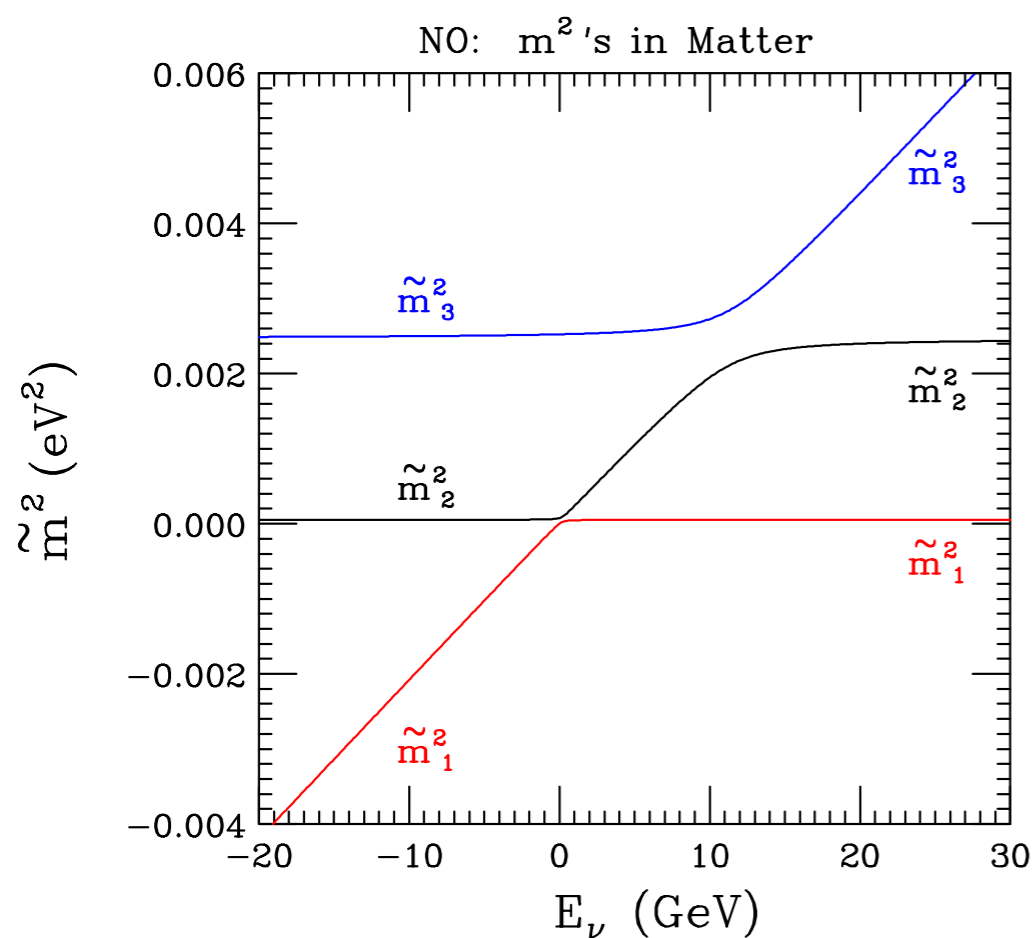
$$\widetilde{m}_1^2 = \frac{1}{2}(\Delta m_{21}^2 - \Delta \widetilde{m}_{21}^2 + a')$$

$$\widetilde{m}_2^2 = \frac{1}{2}(\Delta m_{21}^2 + \Delta \widetilde{m}_{21}^2 + a')$$

$$\widetilde{m}_3^2 = \Delta m_{31}^2 + (a - a')$$

$$\Delta \widetilde{m}_{21}^2 = \Delta m_{21}^2 \sqrt{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)^2 + \sin^2 2\theta_{12} \cos^2(\tilde{\theta}_{13} - \theta_{13})} \approx |\Delta m_{21}^2 \cos 2\theta_{12} - a'|$$

when $|a'| \gg \Delta m_{21}^2$





vacuum \Rightarrow matter



$$\Delta m_{jk}^2 \rightarrow \Delta \widetilde{m}_{jk}^2$$

$$\theta_{13} \rightarrow \widetilde{\theta}_{13}$$

$$\theta_{12} \rightarrow \widetilde{\theta}_{12}$$

$$\theta_{23} \rightarrow \theta_{23}$$

$$\delta \rightarrow \delta$$

0th order !

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{vac}(\Delta m_{31}^2, \Delta m_{21}^2, \theta_{13}, \theta_{12}, \theta_{23}, \delta)$$

$$\Rightarrow P_{\nu_\alpha \rightarrow \nu_\beta}^{mat}(\Delta \widetilde{m}_{31}^2, \Delta \widetilde{m}_{21}^2, \widetilde{\theta}_{13}, \widetilde{\theta}_{12}, \theta_{23}, \delta)$$

Intuitive and Analytically simple !



$$U_{23}^\dagger(\theta_{23}, \delta) H U_{23}(\theta_{23}, \delta) = H_D + H_{OD}$$

What about H_{OD} ?

$$(2E) H_{OD} / \Delta m_{ee}^2 = \sin(\tilde{\theta}_{13} - \theta_{13}) s_{12} c_{12} \left(\frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \right) \begin{bmatrix} -\tilde{s}_{12} & \tilde{c}_{12} \\ -\tilde{s}_{12} & \tilde{c}_{12} \end{bmatrix}$$

$$\sin(\tilde{\theta}_{13} - \theta_{13}) \approx s_{13} c_{13} \left(\frac{a}{\Delta m_{ee}^2} \right) \sim 0.03 \left(\frac{E}{2 \text{ GeV}} \right) \left(\frac{\rho}{3 \text{ g.cm}^{-3}} \right) \quad 0.015$$

$\tilde{s}_{12} \equiv \sin \tilde{\theta}_{12}$, etc

Vanishes in Vacuum

$$4 \times 10^{-4} \quad \text{for } E = 2 \text{ GeV and } \rho = 3 \text{ g.cm}^{-3}$$

Perturbation Theory !!!



T2K/HK

T2HK

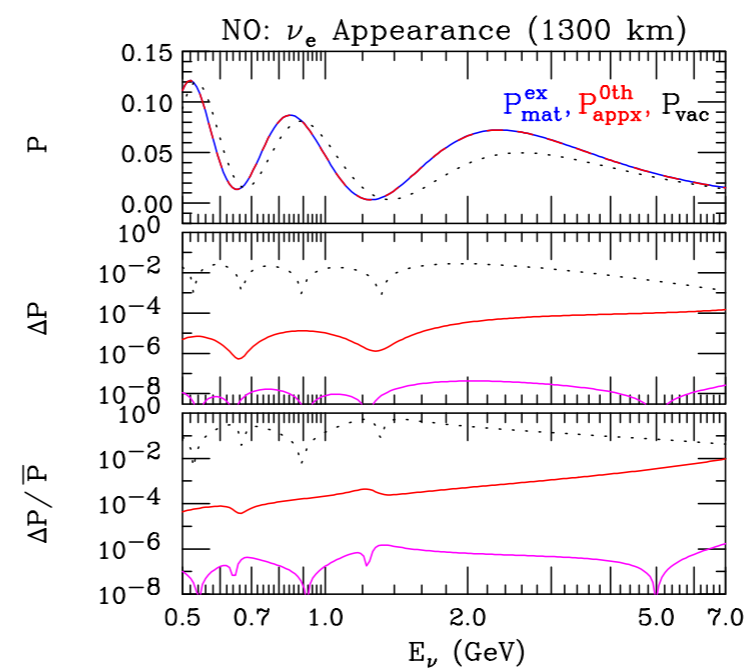
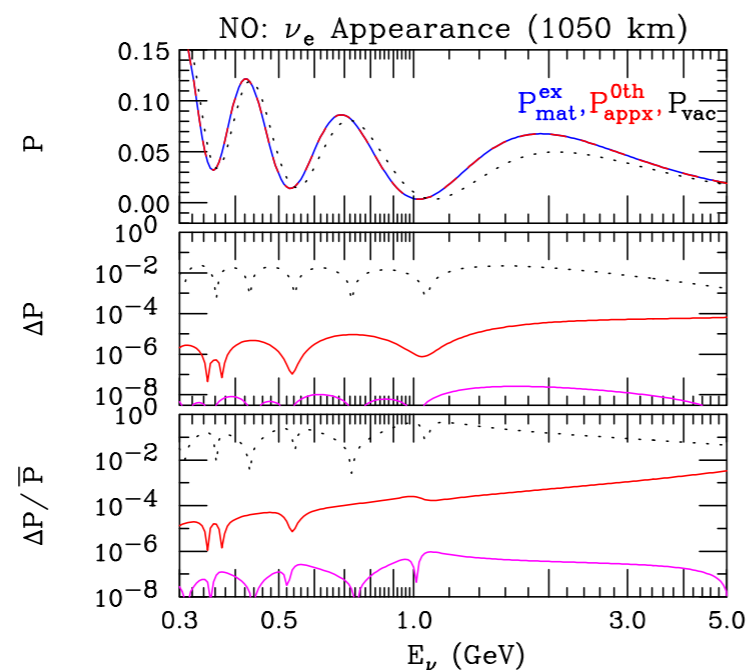
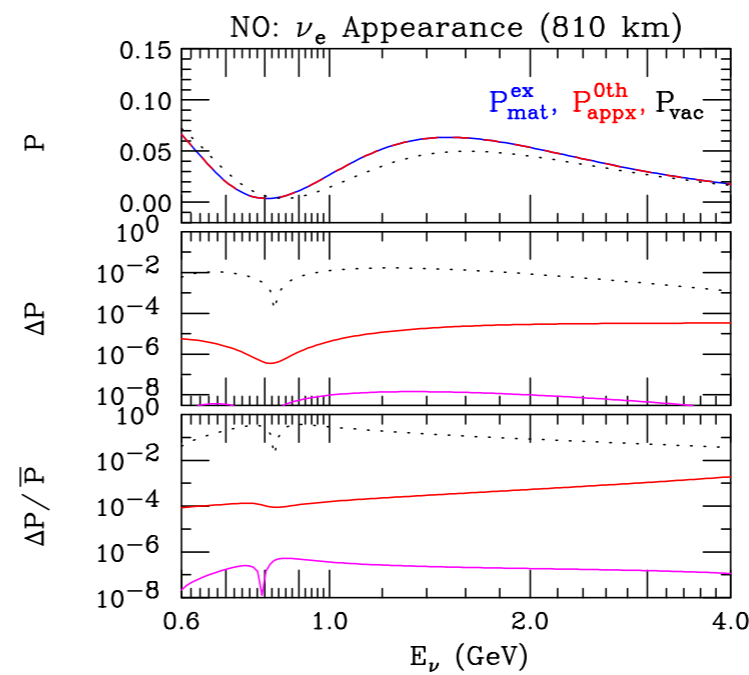
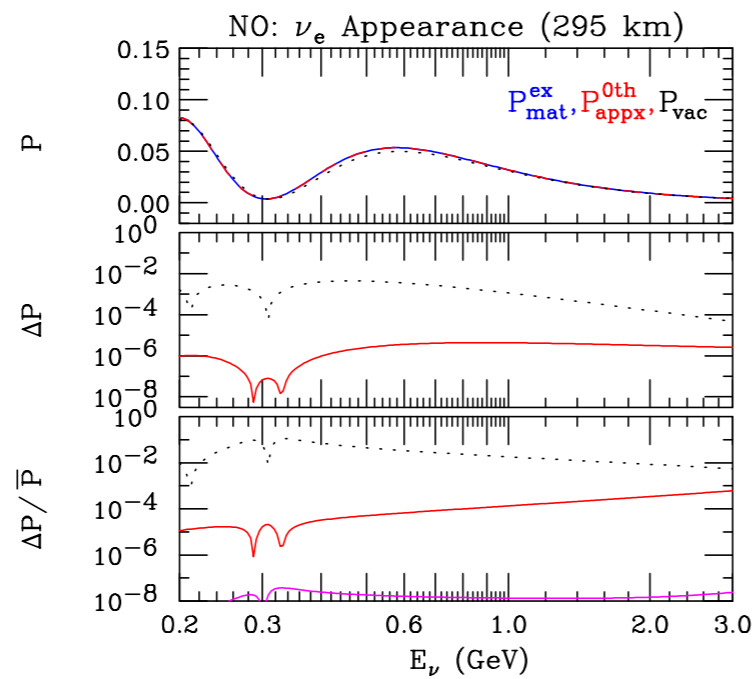
NOvA

0th order

1st order

2nd order

DUNE



Top panel: P_{mat}^{ex} , P_{appx}^{0th} and P_{vac}

Middle and bottom panels:

black dotted lines

red solid lines

magenta solid lines

$$\Delta P = |P_{mat}^{ex} - P_{vac}|$$

$$\Delta P = |P_{mat}^{ex} - P_{appx}^{0th}|$$

$$\Delta P = |P_{mat}^{ex} - P_{appx}^{1st}|$$

$$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{vac})$$

$$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{appx}^{0th})$$

$$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{appx}^{1st})$$

Correlations between

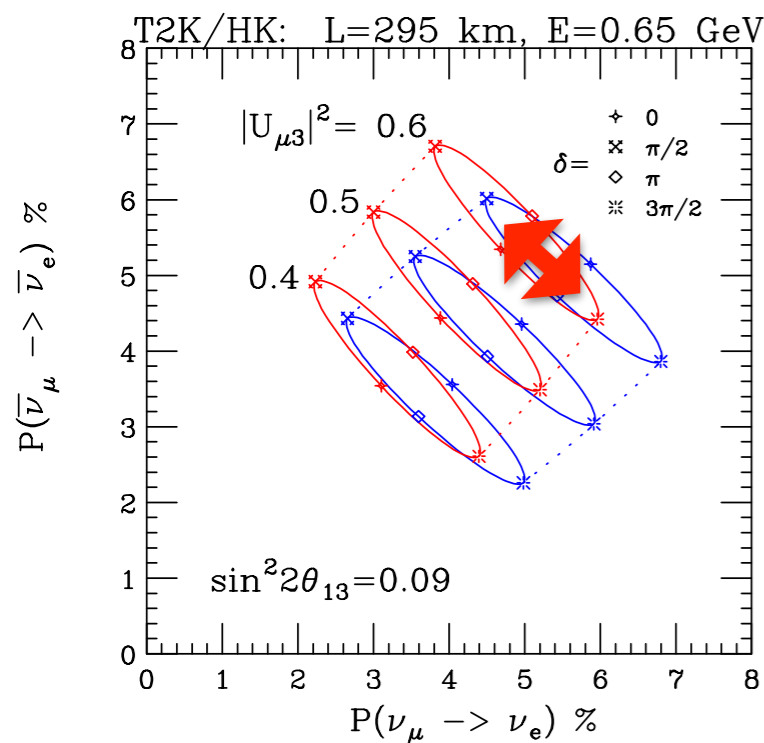
$$\nu_\mu \rightarrow \nu_e \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

Normal Ordering — Inverted Ordering

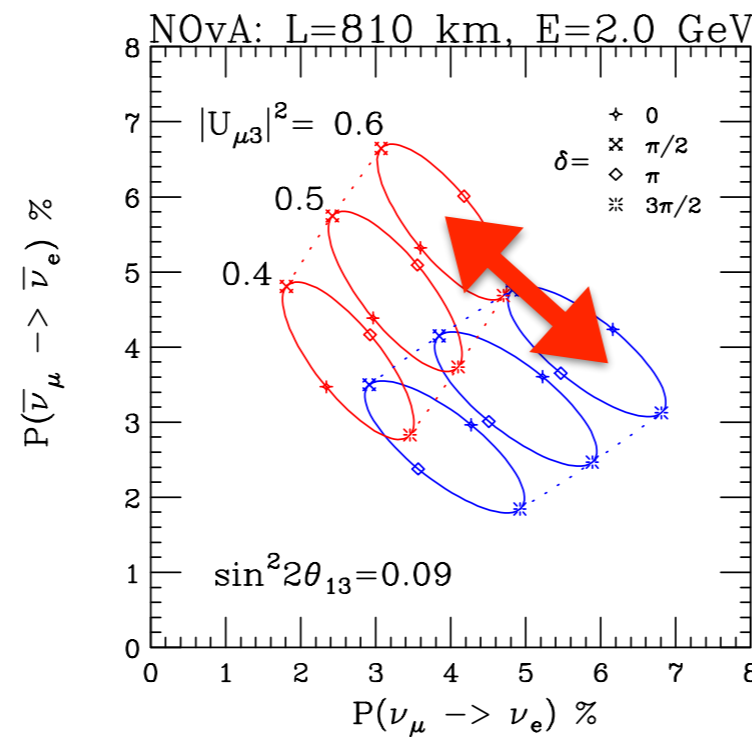
$$\nu_\mu \rightarrow \nu_\mu \text{ gives: } \sin^2 2\theta_{\mu\mu} \equiv 4|U_{\mu 3}|^2(1 - |U_{\mu 3}|^2) = 0.96 - 1.00$$

$$|U_{\mu 3}|^2 \leftrightarrow (1 - |U_{\mu 3}|^2) \text{ degeneracy !}$$

T2K/HK

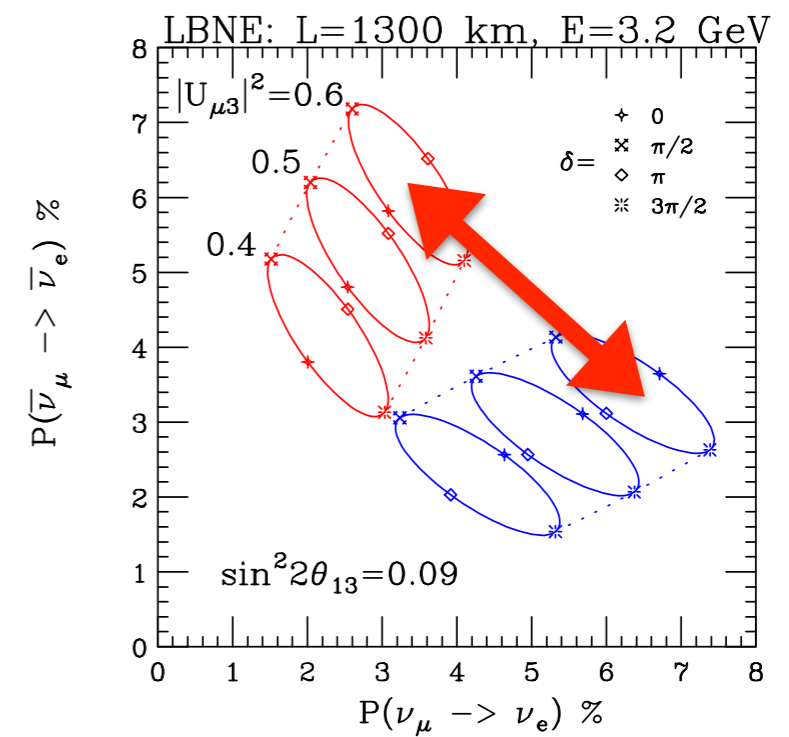


NOvA



DUNE

Same L/E as NOvA



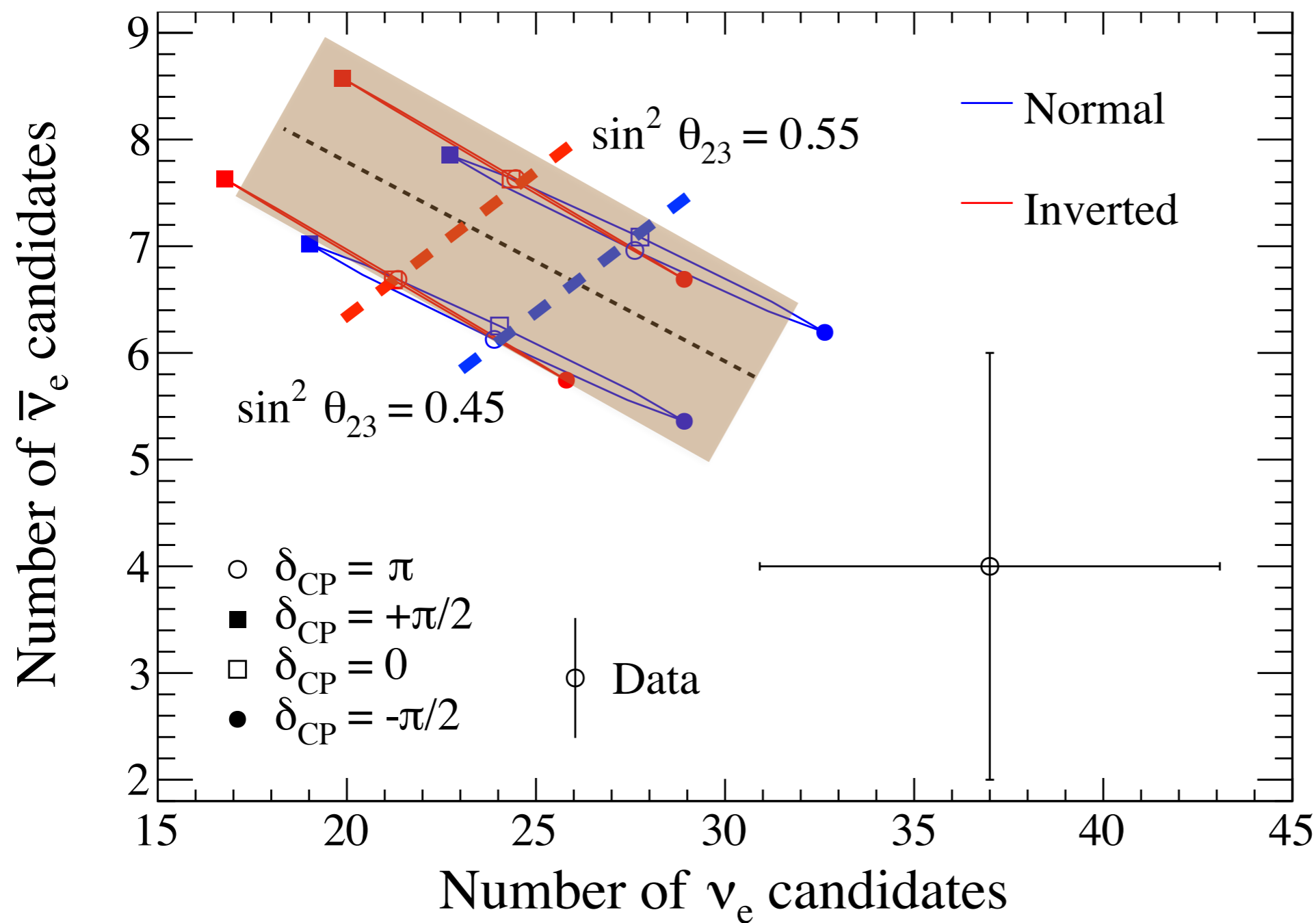
$$\propto \rho L \sin^2 \theta_{23}$$

$$\sin \delta_{NO} - \sin \delta_{IO} = \tan \theta_{23} \times \begin{cases} 0.48 & \text{T2K} \\ 1.62 & \text{NO}\nu\text{A} \\ 2.60 & \text{DUNE} \end{cases}$$

O. Mena & SP hep-ph/0408070



T2K



CPC - IO

CPC - NO

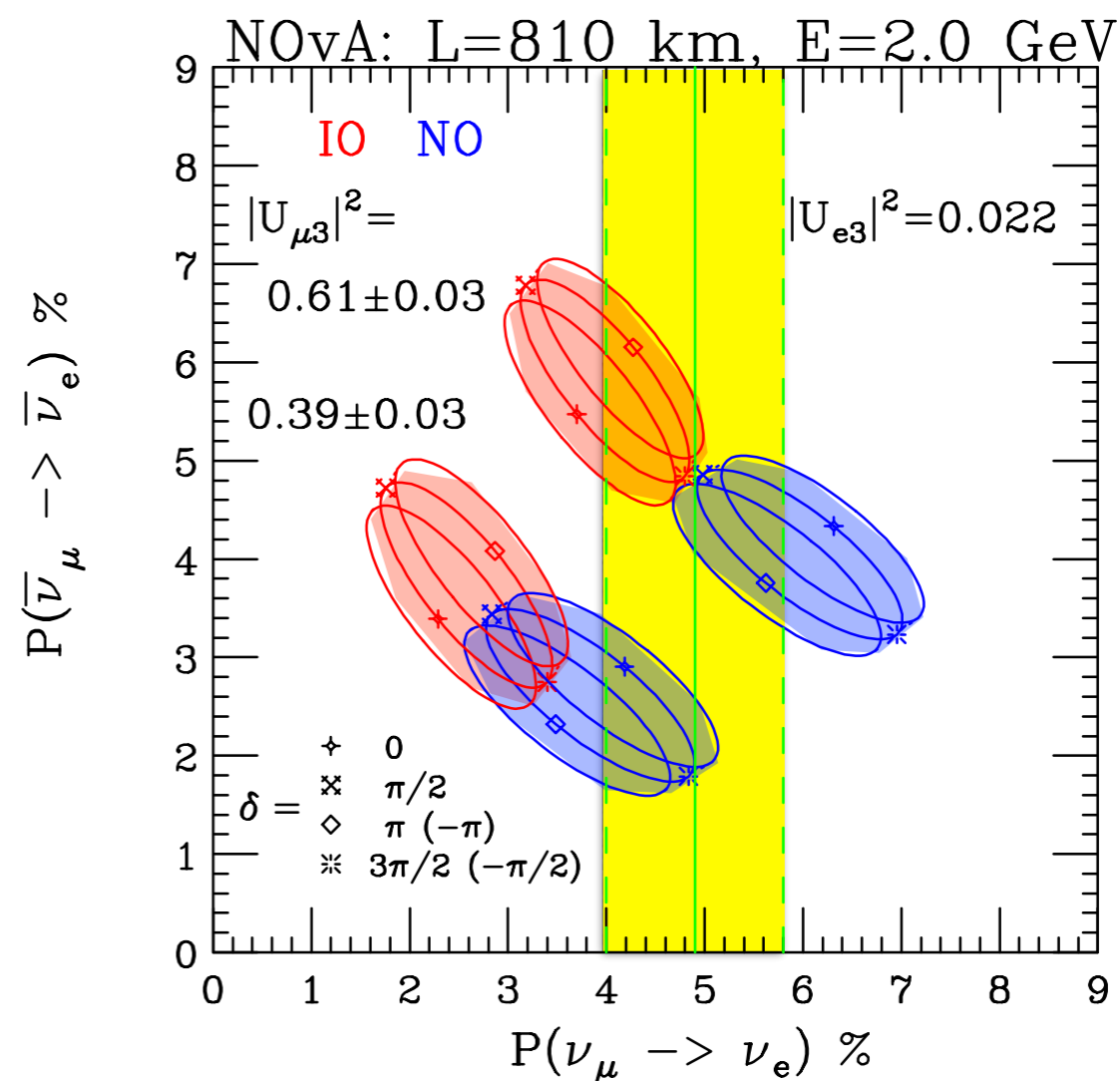
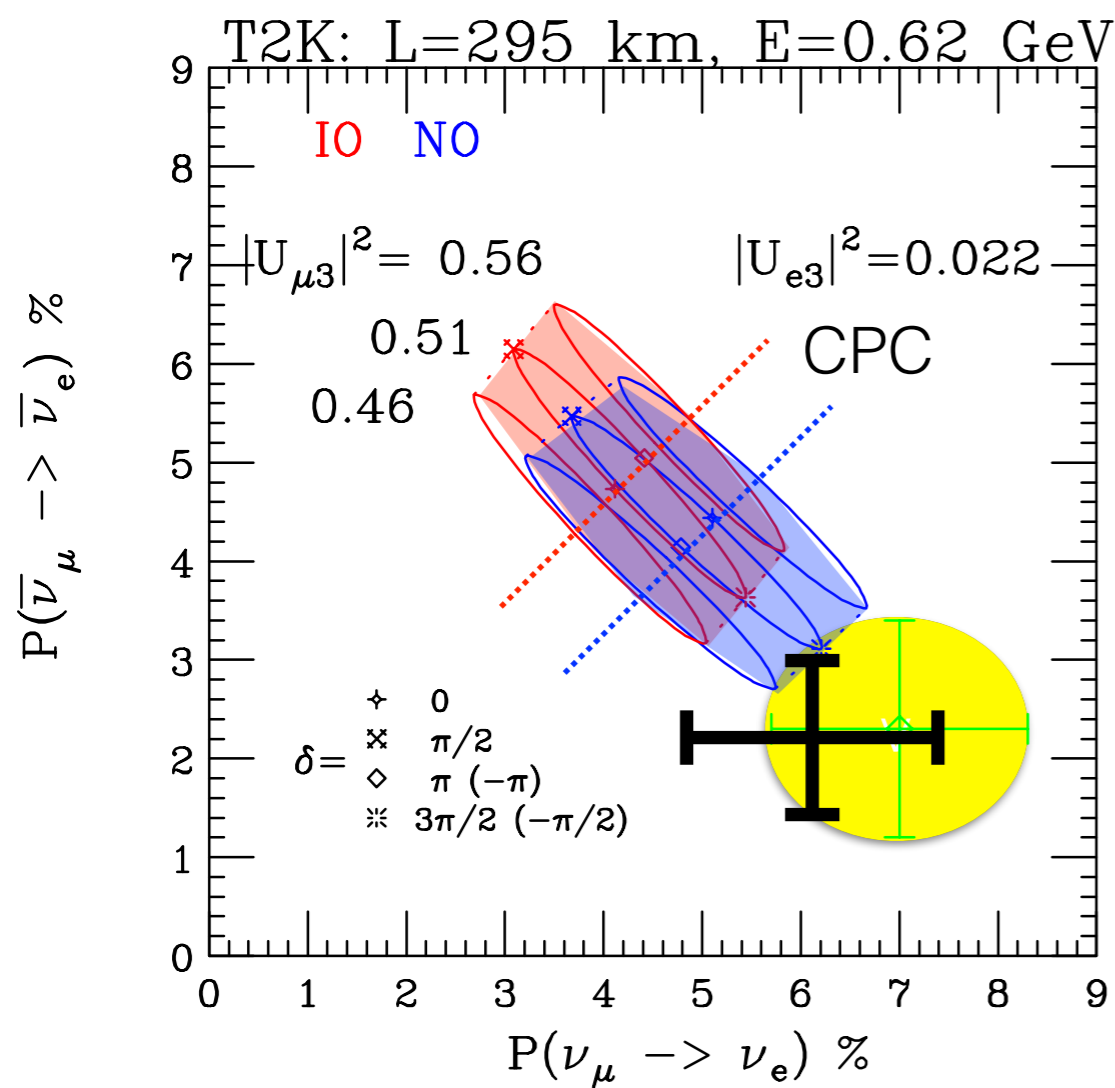


All except appearance !



T2K & NOvA

Number of Events proportional to Oscillation Probability

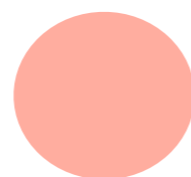


Needs an update !

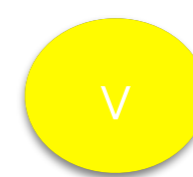
1 sigma:



NO



IO



Appearance
data



Summary:

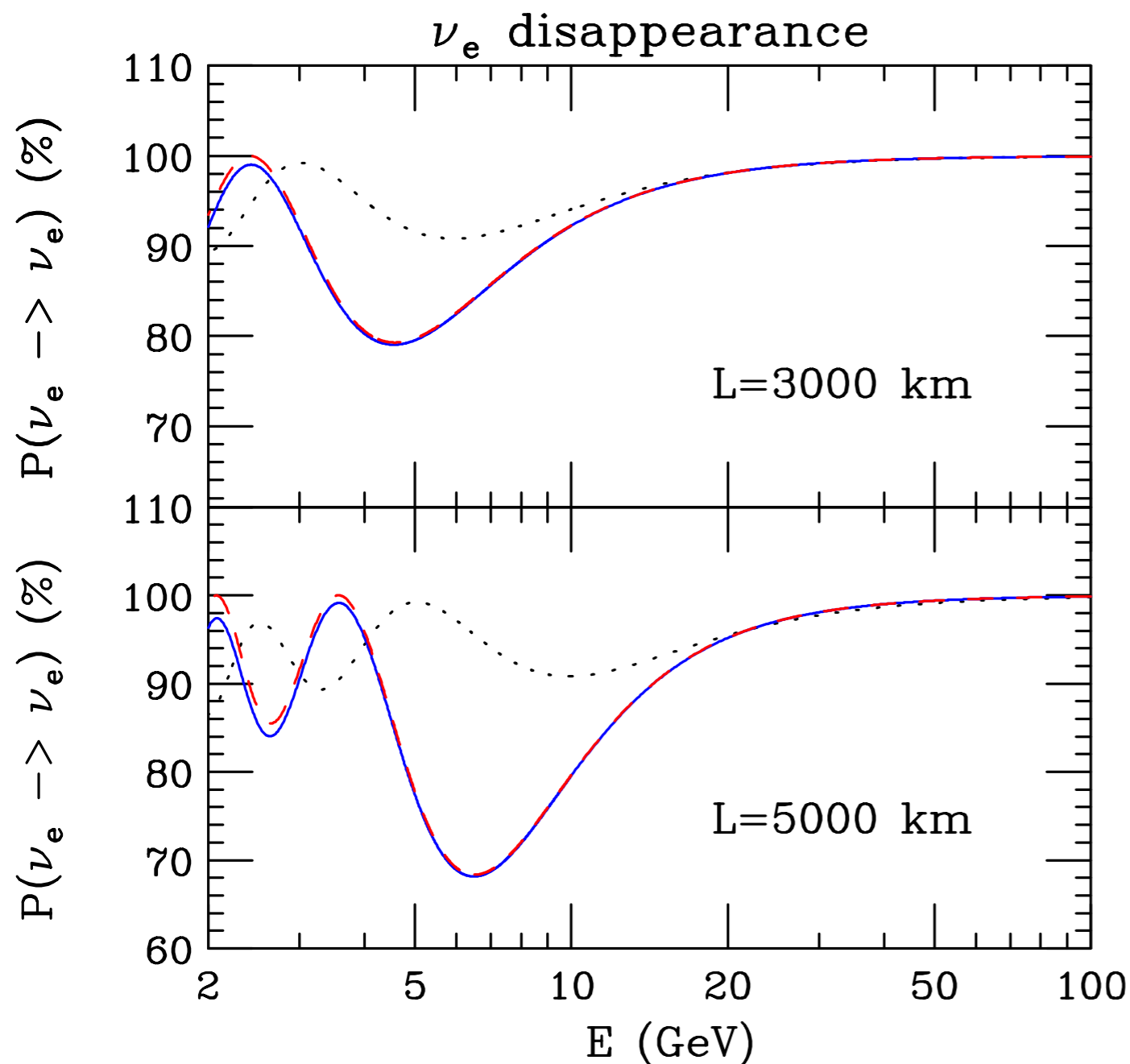
- from Nu1998 to now, tremendous exp. progress on Neutrino SM: more at Nu2018
- LSND Sterile Nu's neither confirmed or ruled out at acceptable CL: – ultra short baseline reactor exp.
- Great Theoretical progress on understand many aspects of Quantum Neutrino Physics: – Oscillations, Decoherence, Osc. Probabilities in Matter, Leptogenesis,
- Still searching for convincing model of Neutrino masses and mixings: with testable and confirmed predictions !



extras

 $\tilde{\theta}_{13}$

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\tilde{\theta}_{13} \sin^2 \frac{\Delta \tilde{m}_{ee}^2 L}{4E} - \dots$$



$$\sin 2\tilde{\theta}_{13} = \frac{\sin^2 2\theta_{13}}{[(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}]}$$

$$\Delta \tilde{m}_{ee}^2 = \Delta m_{ee}^2 \sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}}$$

depth of first minimum

$$\sin 2\theta_{13} \rightarrow \sin 2\tilde{\theta}_{13}$$

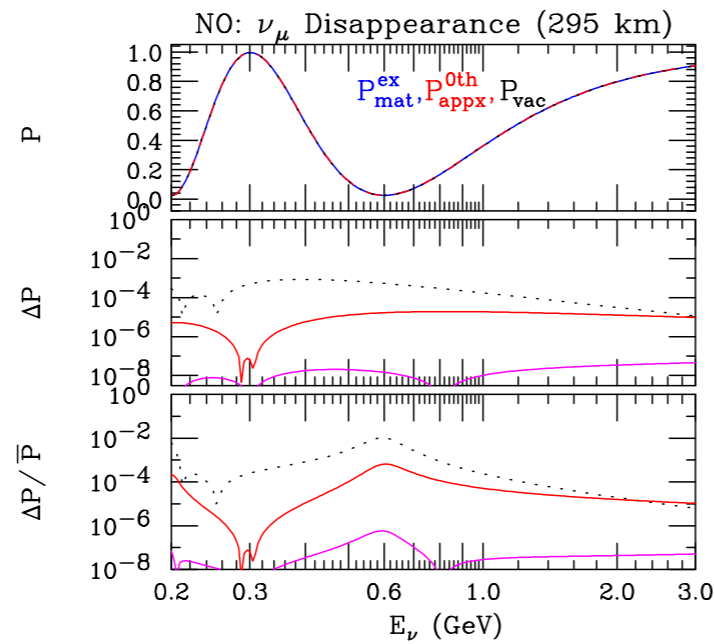
energy at first minimum

$$\frac{\Delta m_{ee}^2 L}{2\pi} \rightarrow \frac{\Delta \tilde{m}_{ee}^2 L}{2\pi}$$

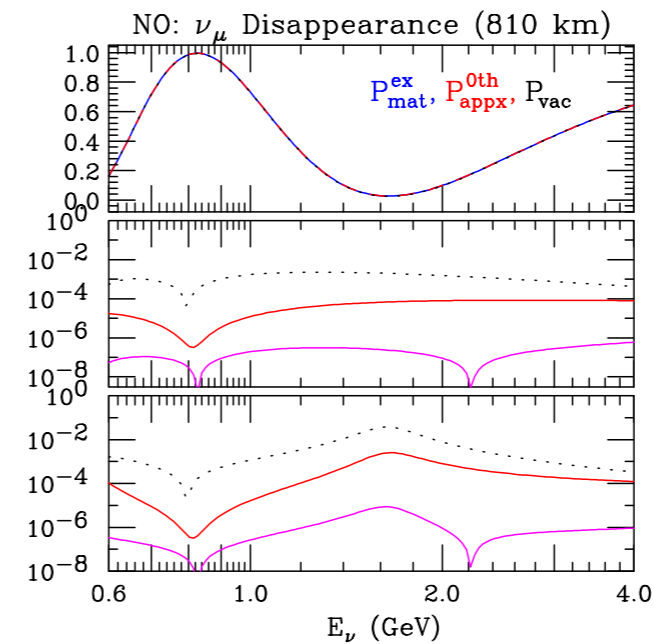
exact - approx - vacuum



T2K/HK



NOvA

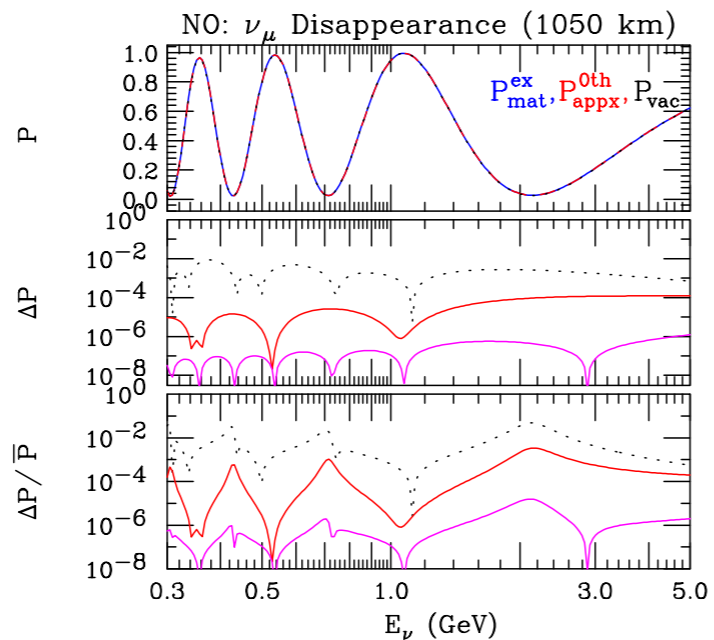


0th order

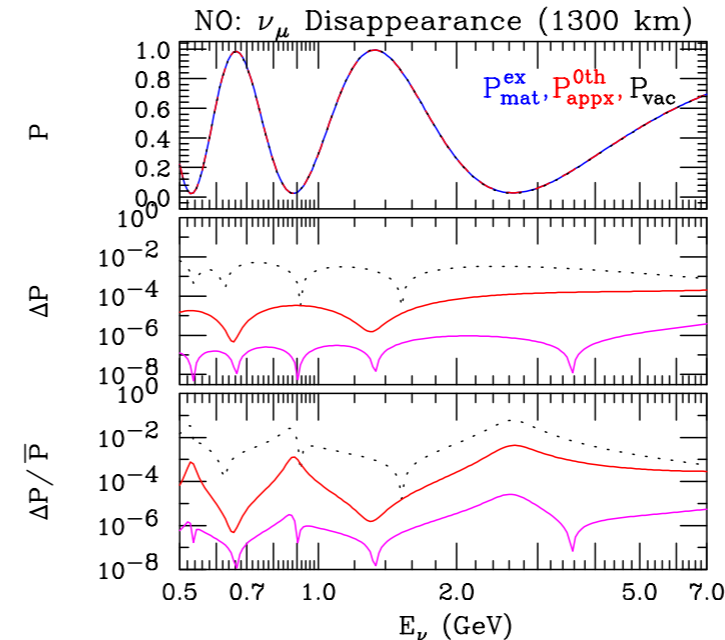
1st order

2nd order

T2HK



DUNE



Top panel: P_{mat}^{ex} , P_{appx}^{0th} and P_{vac}

Middle and bottom panels:

black dotted lines

red solid lines

magenta solid lines

$$\Delta P = |P_{mat}^{ex} - P_{vac}|$$

$$\Delta P = |P_{mat}^{ex} - P_{appx}^{0th}|$$

$$\Delta P = |P_{mat}^{ex} - P_{appx}^{1st}|$$

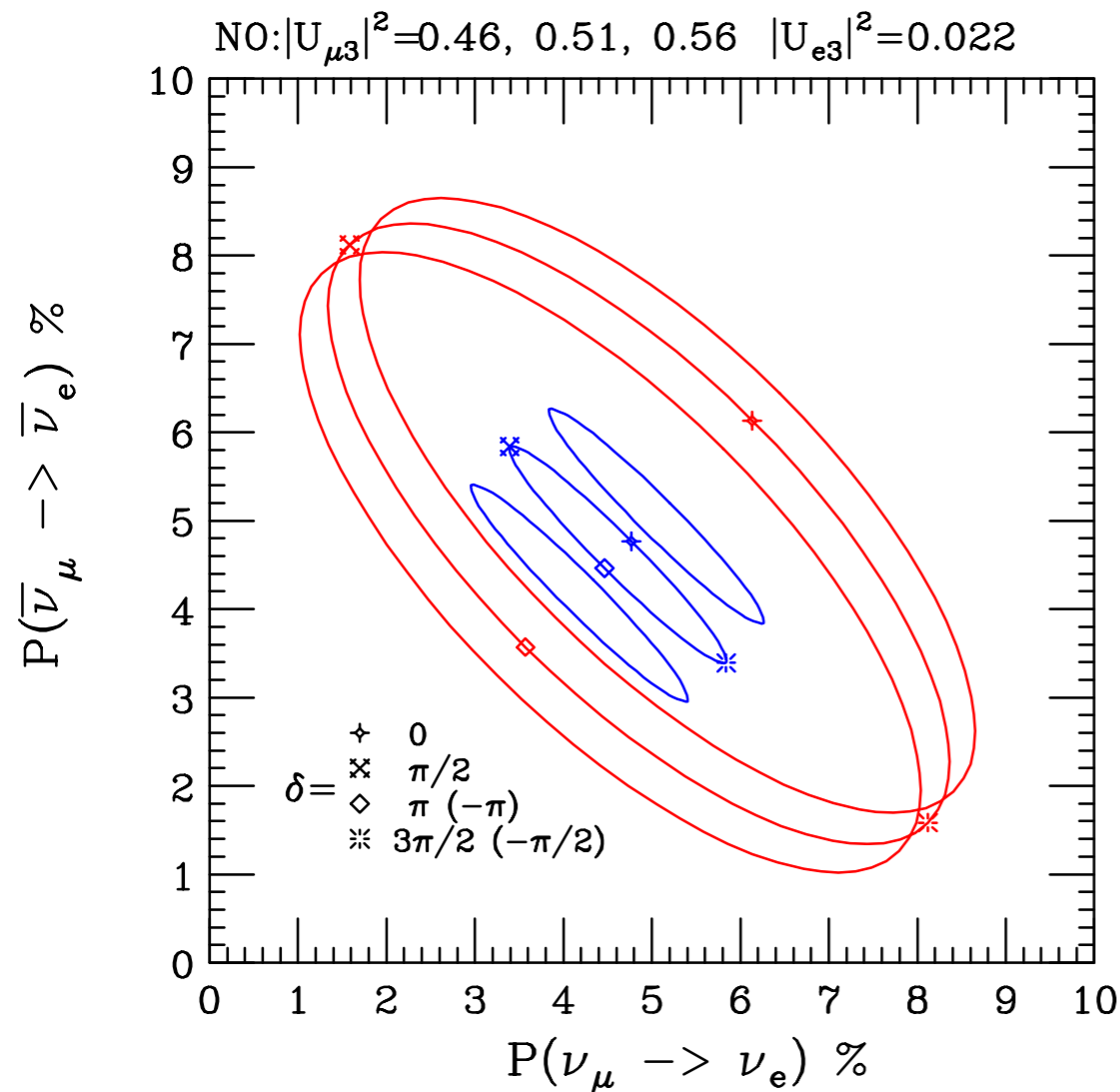
$$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{vac})$$

$$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{appx}^{0th})$$

$$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{appx}^{1st})$$



2nd Osc Max: (vacuum)



$$A \equiv \frac{\bar{P} - P}{\bar{P} + P}$$

$$A_1 \approx 0.30 \sin \delta$$

$$A_2 \approx 0.75 \sin \delta$$

$$\frac{A_2}{A_1} \approx 2.5 \quad \frac{\sqrt{N_2}}{\sqrt{N_1}} \approx \frac{1}{3}$$

Approximately **same uncertainty on δ**
until **systematic uncertainties** dominate at 1st OM !

ESSnuSB, T2HKK