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## Compact Perturbative Expressions For Neutrino Oscillations in Matter

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## Addendum to “Compact Perturbative Expressions for Neutrino Oscillations in Matter”

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# Exact Analytic Solution Issue:

- Solve Cubic Characteristic Eqn.

$$\begin{aligned} & \lambda^3 - (a + \Delta m_{21}^2 + \Delta m_{31}^2) \lambda^2 \\ & + [\Delta m_{21}^2 \Delta m_{31}^2 + a \{ (c_{12}^2 + s_{12}^2 s_{13}^2) \Delta m_{21}^2 + c_{13}^2 \Delta m_{31}^2 \}] \lambda \\ & - c_{12}^2 c_{13}^2 a \Delta m_{21}^2 \Delta m_{31}^2 = 0 \end{aligned}$$

IF

- $a = 0$
- or  $\Delta m_{21}^2 = 0$
- or  $\sin \theta_{12} = 0$
- or  $\sin \theta_{13} = 0$

THEN characteristic Eqn  
FACTORIZES !

BUT

See Zaglauer & Schwarzer, Z. Phys. C 1988

$$\lambda_1 = \frac{1}{3}s - \frac{1}{3}\sqrt{s^2 - 3t}[u + \sqrt{3(1-u^2)}],$$

$$\lambda_2 = \frac{1}{3}s - \frac{1}{3}\sqrt{s^2 - 3t}[u - \sqrt{3(1-u^2)}],$$

$$\lambda_3 = \frac{1}{3}s + \frac{2}{3}u\sqrt{s^2 - 3t},$$

$$s = \Delta_{21} + \Delta_{31} + a,$$

$$t = \Delta_{21}\Delta_{31} + a[\Delta_{21}(1 - s_{12}^2 c_{13}^2) + \Delta_{31}(1 - s_{13}^2)],$$

$$u = \cos \left[ \frac{1}{3} \cos^{-1} \left( \frac{2s^3 - 9st + 27a\Delta_{21}\Delta_{31}c_{12}^2 c_{13}^2}{2(s^2 - 3t)^{3/2}} \right) \right],$$

here  $\Delta_{ij} \equiv \Delta m_{ij}^2$

DOES NOT  
TRIVIALY SIMPLIFY !



## 2 flavor mixing in matter

$$ax^2 + bx + c = 0$$

simple, intuitive, useful

## 3 flavor mixing in matter

$$ax^3 + bx^2 + cx + d = 0$$

complicated, counter intuitive, ...



# Neutrino Evolution in Matter:

$$i \frac{d}{dx} \nu = H \nu \quad \text{with} \quad \nu = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

$$(2E) H = U_{PMNS} \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{bmatrix} U_{PMNS}^\dagger + \begin{bmatrix} a & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$a = 2\sqrt{2}G_F N_e E$$

$$U_{PMNS} \equiv U_{23}(\theta_{23}, 0) U_{13}(\theta_{13}, -\delta) U_{12}(\theta_{12}, 0) := U_{23}(\theta_{23}, \delta) U_{13}(\theta_{13}, 0) U_{12}(\theta_{12}, 0)$$

$:=$ : means equal after multiplying by a diagonal phase matrix on the left and/or right hand side.

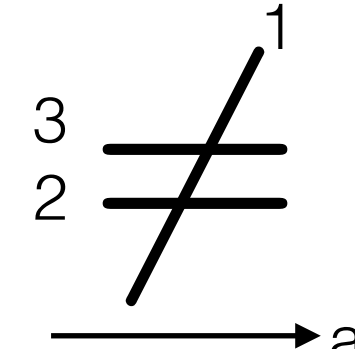
$$i \frac{d}{dx} \nu' = \boxed{U_{23}^\dagger(\theta_{23}, \delta) H U_{23}(\theta_{23}, \delta)} \nu' \quad \text{with} \quad \nu' = U_{23}^\dagger(\theta_{23}, \delta) \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$



# Neutrino Evolution in Matter (conti):

$$U_{23}^\dagger(\theta_{23}, \delta) H U_{23}(\theta_{23}, \delta) = H_D + H_{OD}$$

D=diagonal OD= off-diagonal

$$(2E) H_D = \begin{bmatrix} a + s_{13}^2 \Delta m_{ee}^2 & (c_{12}^2 - s_{12}^2) \Delta m_{21}^2 & c_{13}^2 \Delta m_{ee}^2 \\ & & \\ & & \end{bmatrix}$$


$$\Delta m_{ee}^2 \equiv \cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2$$

$$(2E) H_{OD} / \Delta m_{ee}^2 = \begin{matrix} \text{0.15} \nearrow s_{13} c_{13} \begin{bmatrix} & & 1 \\ & 0 & \\ 1 & & \end{bmatrix} \\ + c_{13} s_{12} c_{12} \left( \frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \right) \begin{bmatrix} & 1 & \\ 1 & & 0 \\ & 0 & \end{bmatrix} \\ \text{0.015} \nearrow \\ - s_{13} s_{12} c_{12} \left( \frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \right) \begin{bmatrix} & 0 & \\ 0 & & 1 \\ & 1 & \end{bmatrix} \\ \text{0.002} \nearrow \end{matrix}$$



# Rotation by $U_{13}(\tilde{\theta}_{13})$

$$a = 2\sqrt{2}G_F N_e E$$

$$\cos 2\tilde{\theta}_{13} = \frac{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)}{\sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}}}$$

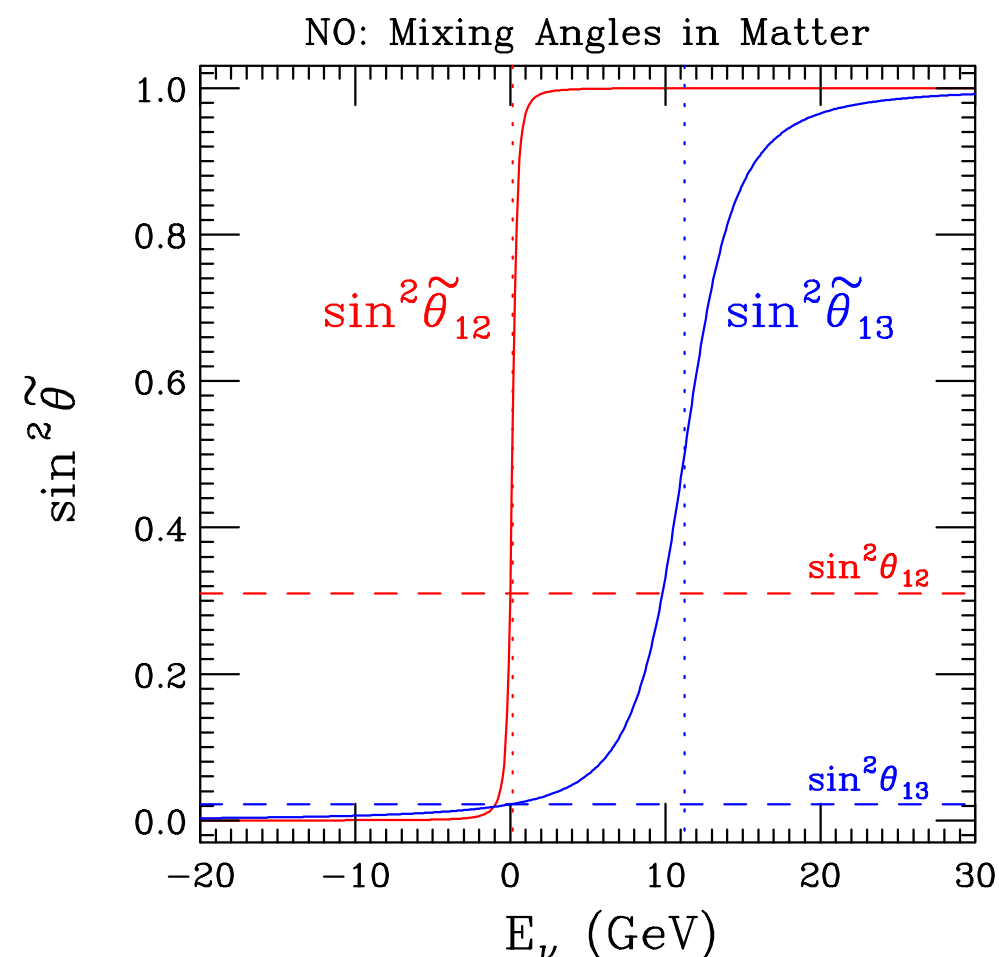
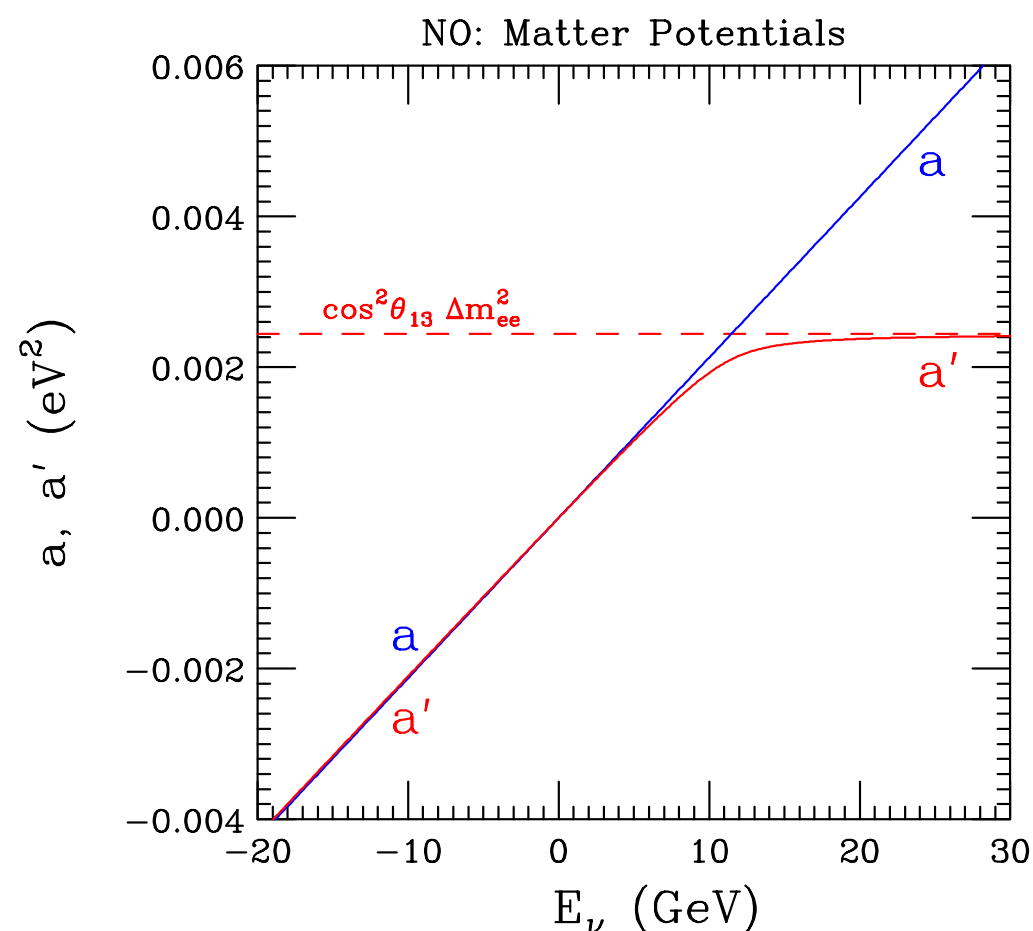
$$\cos 2\tilde{\theta}_{12} =$$

$$\frac{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)}{\sqrt{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)^2 + \sin^2 2\theta_{12} \cos^2(\tilde{\theta}_{13} - \theta_{13})}}$$

then  $U_{12}(\tilde{\theta}_{12})$

$$a' \equiv a \cos^2 \tilde{\theta}_{13} + \Delta m_{ee}^2 \sin^2(\tilde{\theta}_{13} - \theta_{13})$$

$\approx 1$





# Masses Squared:

$$(2E) H_D = \text{diag}( \widetilde{m}_1^2, \widetilde{m}_2^2, \widetilde{m}_3^2 )$$

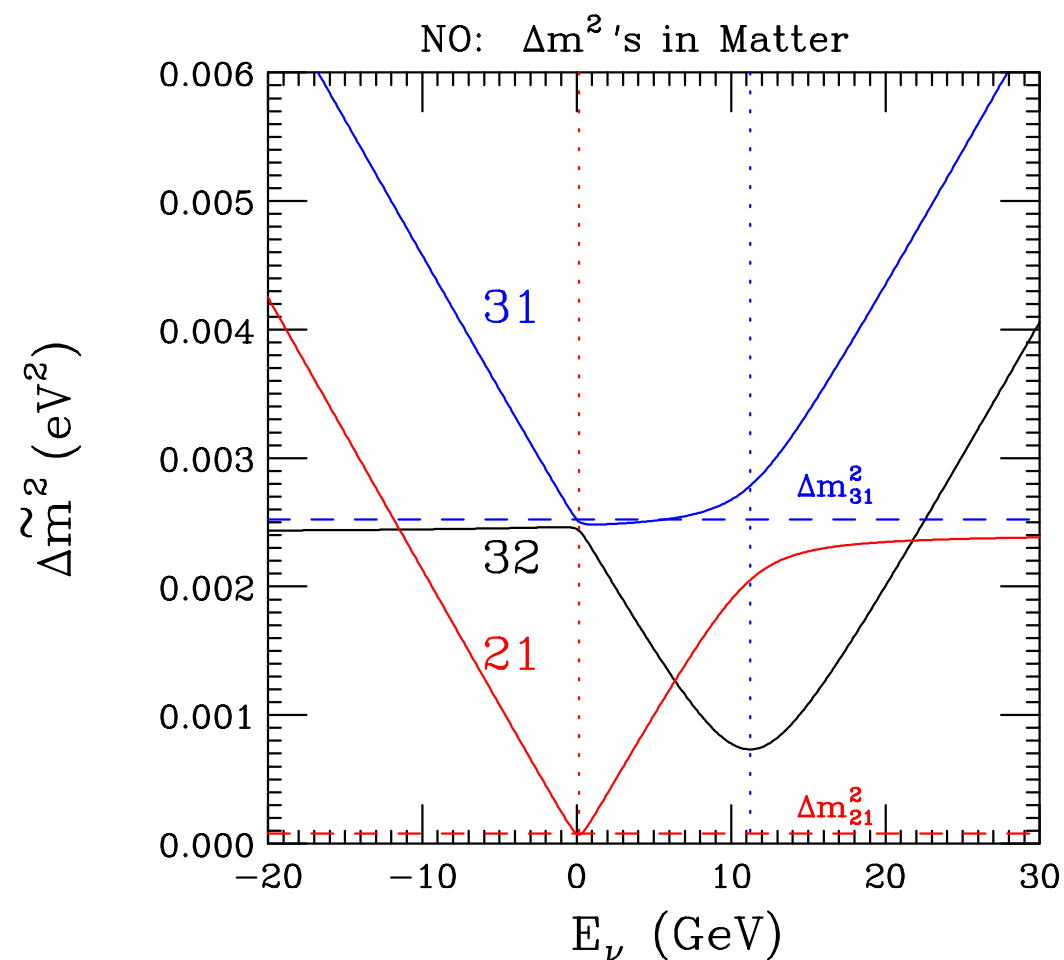
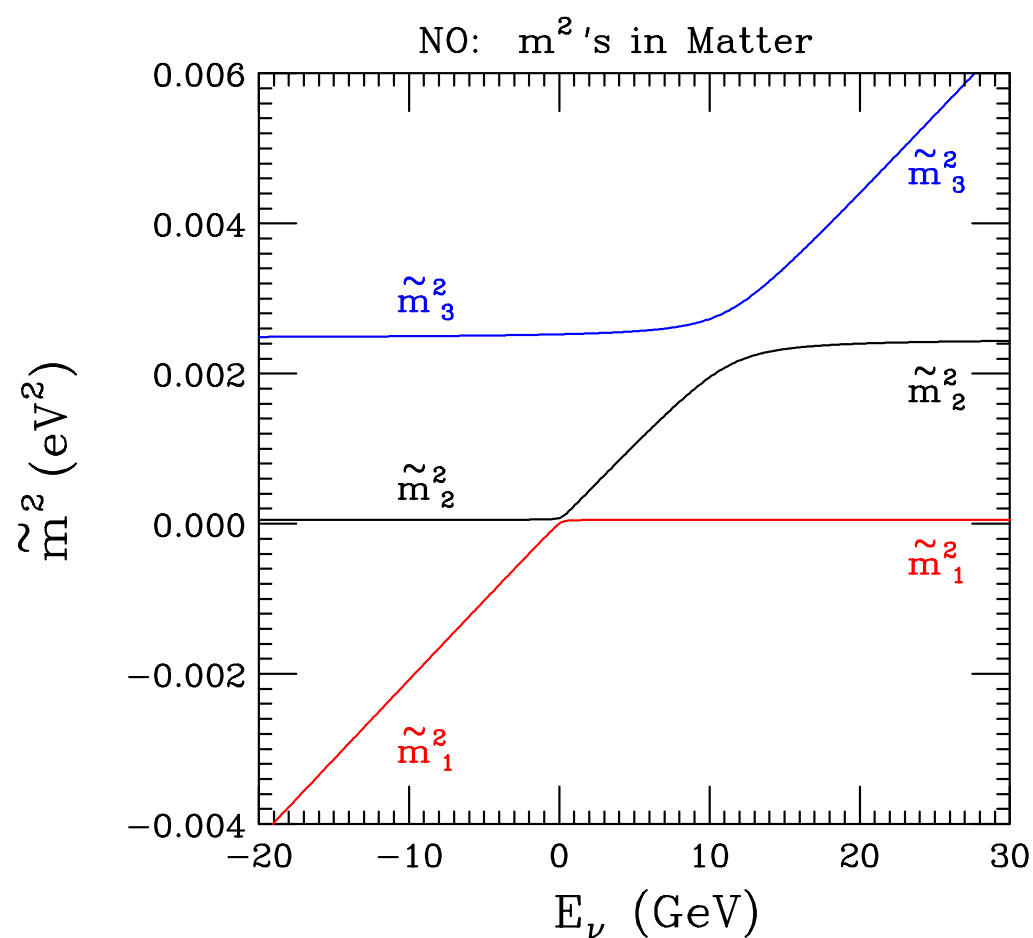
$$\widetilde{m}_1^2 = \frac{1}{2}(\Delta m_{21}^2 - \Delta \widetilde{m}_{21}^2 + a')$$

$$\widetilde{m}_2^2 = \frac{1}{2}(\Delta m_{21}^2 + \Delta \widetilde{m}_{21}^2 + a')$$

$$\widetilde{m}_3^2 = \Delta m_{31}^2 + (a - a')$$

$$\Delta \widetilde{m}_{21}^2 = \Delta m_{21}^2 \sqrt{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)^2 + \sin^2 2\theta_{12} \cos^2(\tilde{\theta}_{13} - \theta_{13})} \approx |\Delta m_{21}^2 \cos 2\theta_{12} - a'|$$

when  $|a'| \gg \Delta m_{21}^2$





vacuum  $\Rightarrow$  matter

$$\Delta m_{jk}^2 \rightarrow \Delta \tilde{m}_{jk}^2$$

$$\theta_{13} \rightarrow \tilde{\theta}_{13}$$

$$\theta_{12} \rightarrow \tilde{\theta}_{12}$$

$$\theta_{23} \rightarrow \theta_{23}$$

$$\delta \rightarrow \delta$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{vac}(\Delta m_{31}^2, \Delta m_{21}^2, \theta_{13}, \theta_{12}, \theta_{23}, \delta)$$

$$\Rightarrow P_{\nu_\alpha \rightarrow \nu_\beta}^{mat}(\Delta \tilde{m}_{31}^2, \Delta \tilde{m}_{21}^2, \tilde{\theta}_{13}, \tilde{\theta}_{12}, \theta_{23}, \delta)$$

0th order !

What about  $H_{OD}$  ?

$$\tilde{s}_{12} \equiv \sin \tilde{\theta}_{12}, \text{ etc}$$

$$(2E) H_{OD} / \Delta m_{ee}^2 = \sin(\tilde{\theta}_{13} - \theta_{13}) s_{12} c_{12} \left( \frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \right) \begin{bmatrix} & -\tilde{s}_{12} \\ -\tilde{s}_{12} & \tilde{c}_{12} \end{bmatrix}$$

$$\sin(\tilde{\theta}_{13} - \theta_{13}) \approx s_{13} c_{13} \left( \frac{a}{\Delta m_{ee}^2} \right)$$

$$\uparrow$$

$$4 \times 10^{-4}$$

for  $E = 2 \text{ GeV}$  and  $\rho = 3 \text{ g.cm}^{-3}$

Perturbation Theory !!!





# 1st order:

- $\Delta \widetilde{m}^2_{jk}$  are unchanged since  $[H_{OD}]_{jj} \equiv 0$

- $U_{PMNS}^M \Rightarrow U_{PMNS}^M (1 + W_1)$

defn:  $U_{PMNS}^M \equiv U_{23}(\theta_{23}, \delta) U_{13}(\widetilde{\theta}_{13}) U_{12}(\widetilde{\theta}_{12})$

where:

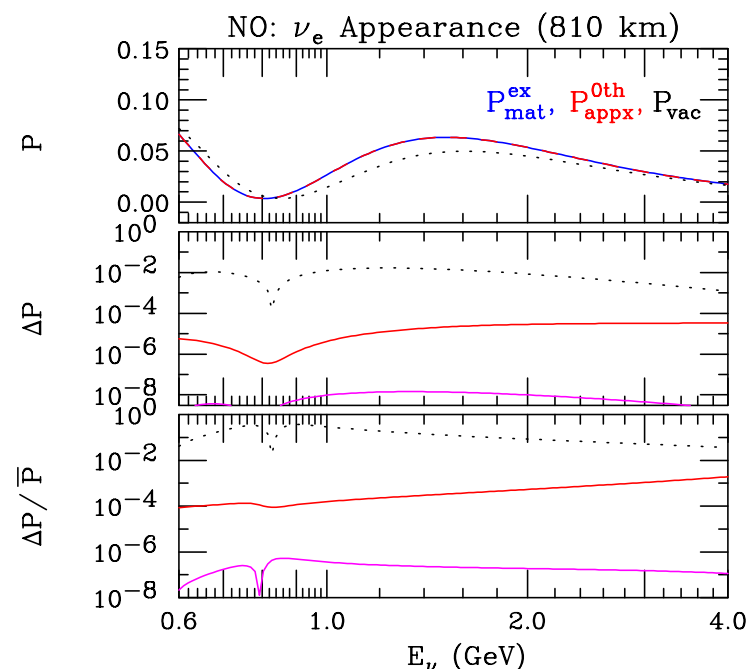
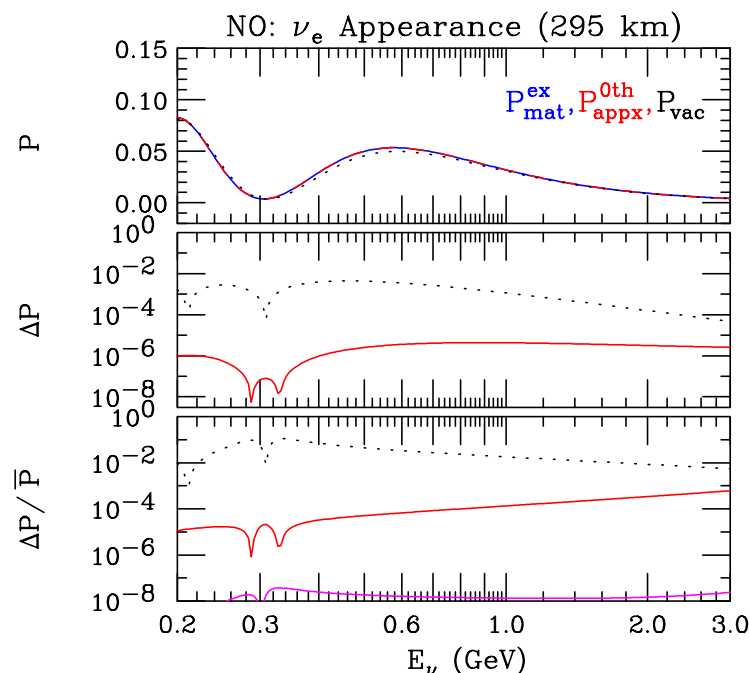
$$W_1 = \sin(\widetilde{\theta}_{13} - \theta_{13}) s_{12} c_{12} \Delta m_{21}^2 \begin{pmatrix} 0 & 0 & -\widetilde{s}_{12}/\Delta \widetilde{m}_{31}^2 \\ 0 & 0 & +\widetilde{c}_{12}/\Delta \widetilde{m}_{32}^2 \\ +\widetilde{s}_{12}/\Delta \widetilde{m}_{31}^2 & -\widetilde{c}_{12}/\Delta \widetilde{m}_{32}^2 & 0 \end{pmatrix}$$

$$0.002 \leq |W_1| \leq 0.01$$

2nd order: see paper



T2K/HK



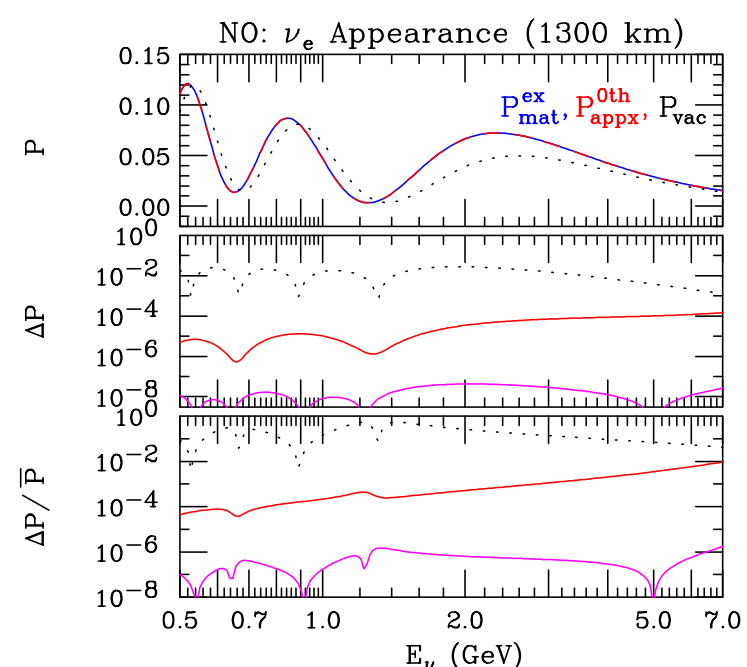
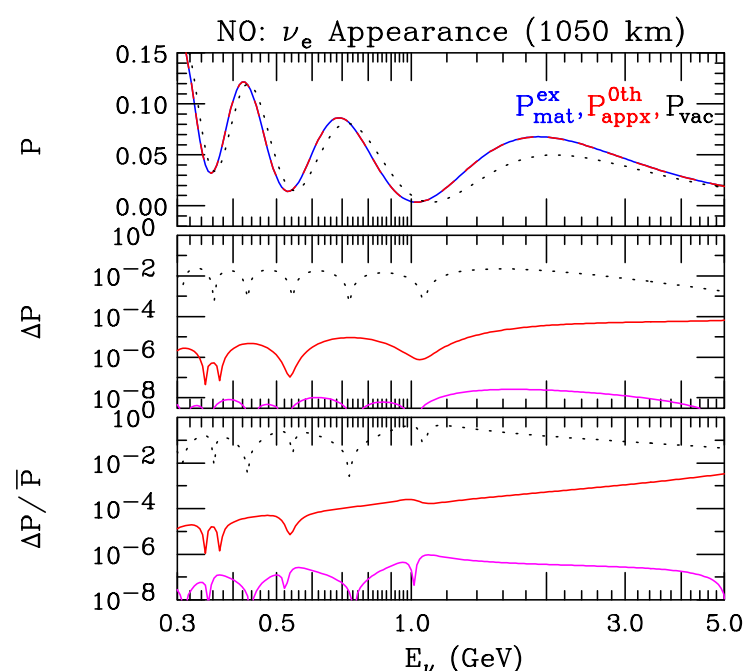
NOvA

0th order

1st order

2nd order

T2HK



DUNE

Top panel:  $P_{mat}^{ex}$ ,  $P_{appx}^{0th}$  and  $P_{vac}$

Middle and bottom panels:

black dotted lines

red solid lines

magenta solid lines

$$\Delta P = |P_{mat}^{ex} - P_{vac}|$$

$$\Delta P = |P_{mat}^{ex} - P_{appx}^{0th}|$$

$$\Delta P = |P_{mat}^{ex} - P_{appx}^{1st}|$$

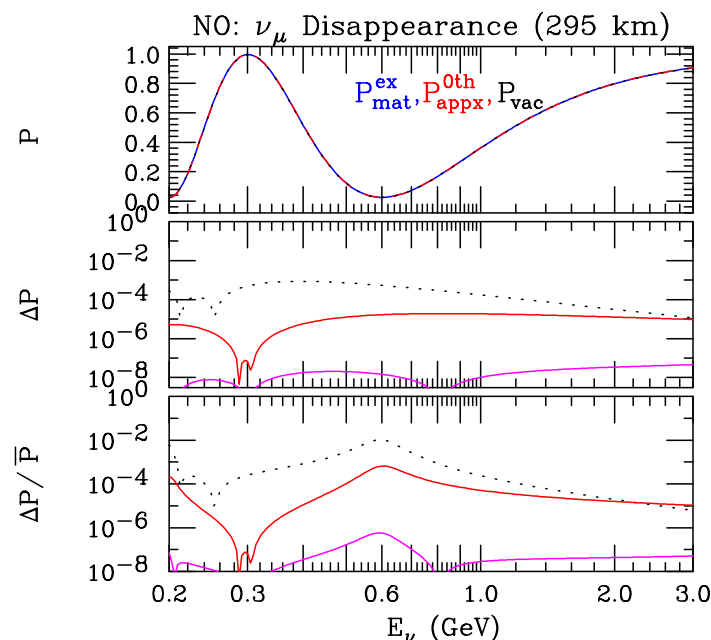
$$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{vac})$$

$$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{appx}^{0th})$$

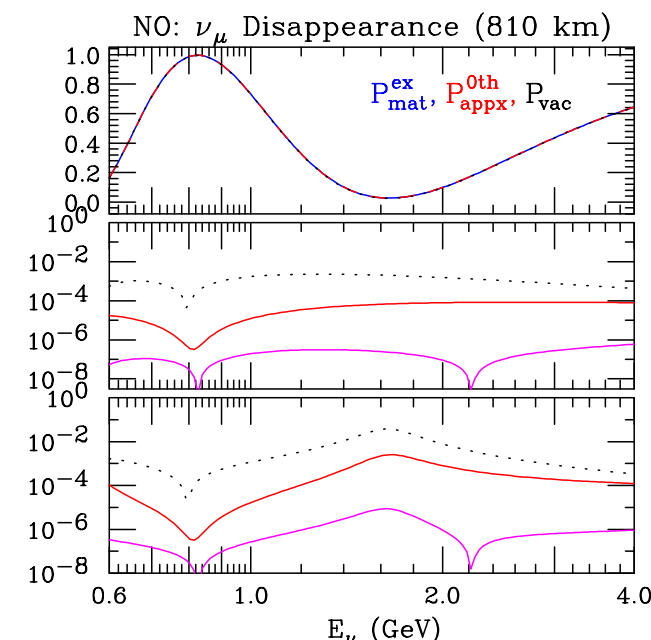
$$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{appx}^{1st})$$



T2K/HK



NOvA

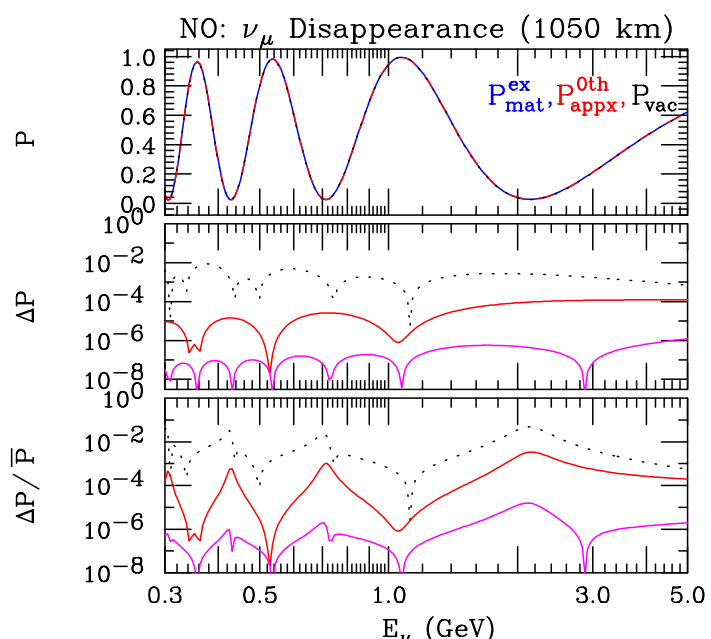


0th order

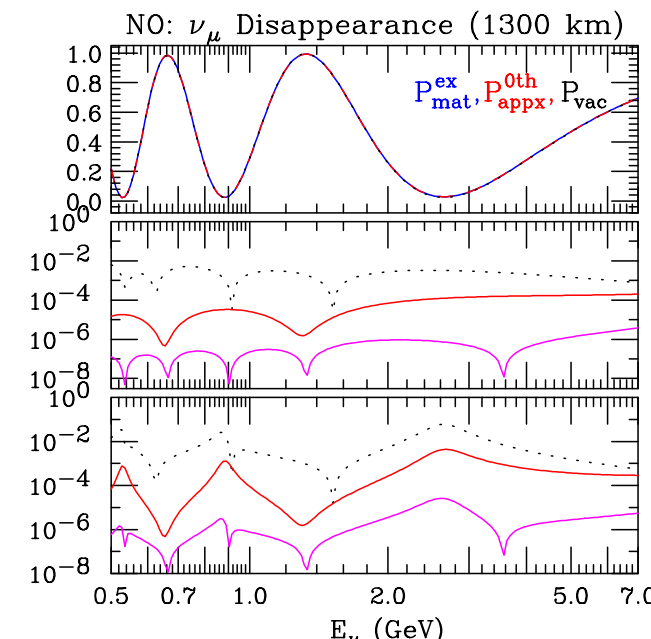
1st order

2nd order

T2HK



DUNE



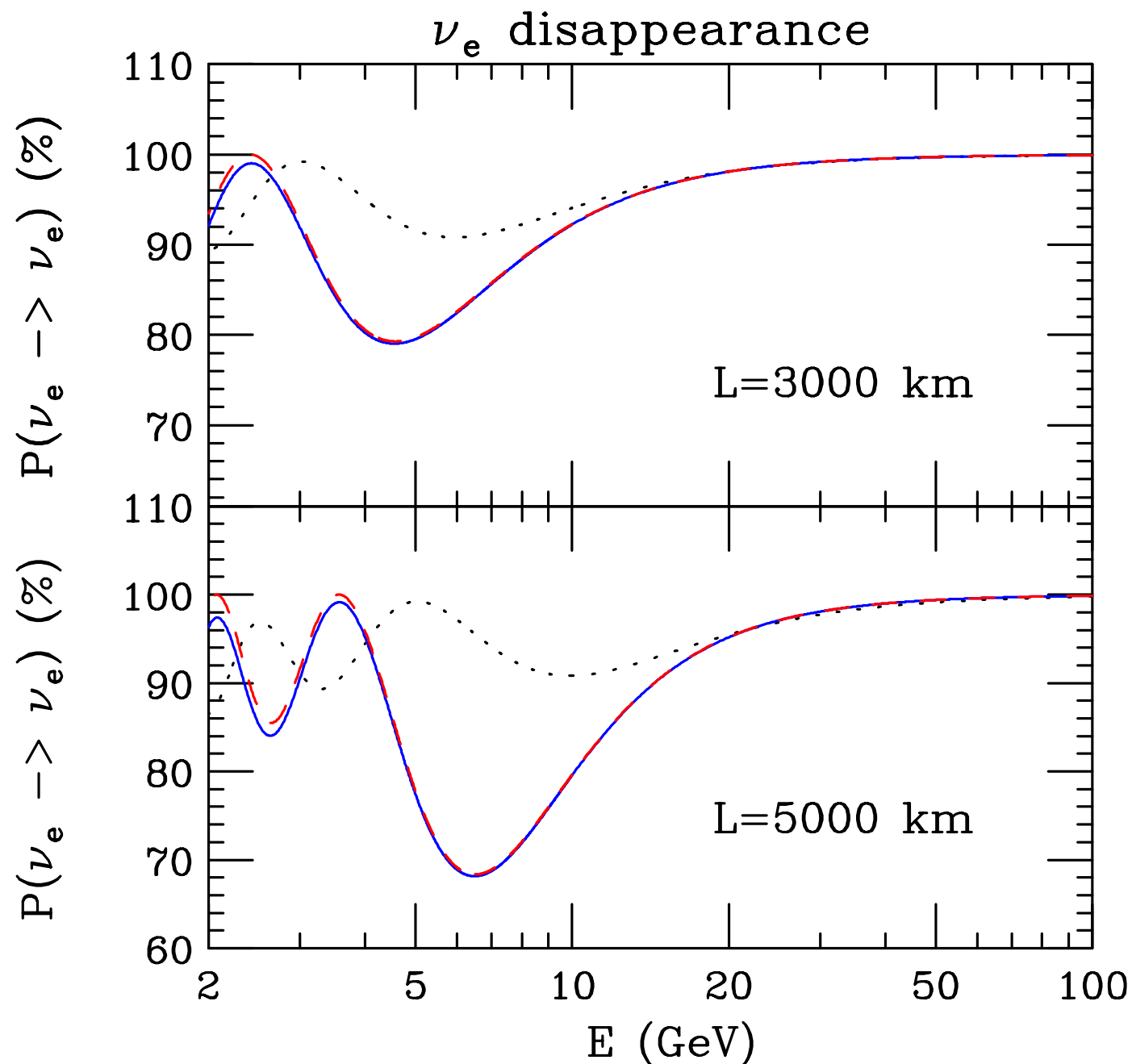
Top panel:  $P_{mat}^{ex}$ ,  $P_{appx}^{0th}$  and  $P_{vac}$

Middle and bottom panels:

black dotted lines	red solid lines	magenta solid lines
$\Delta P =  P_{mat}^{ex} - P_{vac} $	$\Delta P =  P_{mat}^{ex} - P_{appx}^{0th} $	$\Delta P =  P_{mat}^{ex} - P_{appx}^{1st} $
$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{vac})$	$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{appx}^{0th})$	$\bar{P} = \frac{1}{2}(P_{mat}^{ex} + P_{appx}^{1st})$

$\tilde{\theta}_{13}$ 

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\tilde{\theta}_{13} \sin^2 \frac{\Delta \tilde{m}_{ee}^2 L}{4E} - \dots$$



$$\sin 2\tilde{\theta}_{13} = \frac{\sin^2 2\theta_{13}}{[(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}]}$$

$$\Delta \tilde{m}_{ee}^2 = \Delta m_{ee}^2 \sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}}$$

depth of first minimum

$$\sin 2\theta_{13} \rightarrow \sin 2\tilde{\theta}_{13}$$

energy at first minimum

$$\frac{\Delta m_{ee}^2 L}{2\pi} \rightarrow \frac{\Delta \tilde{m}_{ee}^2 L}{2\pi}$$

exact - approx - vacuum



# Summary:

- DMP (1604.08167) gives us a SYSTEMATIC EXPANSION for the oscillation probabilities in matter
- 0th order is VERY SIMPLE and SUFFICIENT for most accelerator experiments
- the expansion parameter is SMALL:  $\sin(\tilde{\theta}_{13} - \theta_{13}) s_{12} c_{12} \left( \frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \right) \sim 0.0004$  for  $E = 2 \text{ GeV}$  and  $\rho = 3 \text{ g.cm}^{-3}$  and proportional to  $\rho E$
- by construction:
  - the 0th order REPRODUCES vacuum oscillation probabilities exactly
  - at 1st order ONLY the mixing matrix is modified, implying that at 0th order the neutrino masses in matter are very accurate
- at high orders, BOTH neutrino masses in matter and mixing matrix in matter are modified
- this DMP perturbative expansion gives an ENHANCED understanding of oscillation probabilities in matter

backup



$$\lambda_c - \lambda_a(a=0) = \Delta m_{ee}^2 \cos 2\theta_{13}$$

$$\lambda_a - \lambda_a(a=0) = a$$

$$\lambda_c - \lambda_a = \Delta m_{ee}^2 \cos 2\theta_{13} - a$$

$$\lambda_+ - \lambda_- = \Delta m_{ee}^2 \sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}}$$

$$eq : (2.3.5) \Rightarrow \cos 2\phi = \cos 2\tilde{\theta}_{13} \Rightarrow \phi = \tilde{\theta}_{13}$$

12 sector:

$$\lambda_0 - \lambda_-(a=0) = \Delta m_{21}^2 \cos 2\theta_{12}$$

$$\lambda_- = \lambda_a c_\phi^2 - 2s_\phi c_\phi s_{12} c_{12} \Delta m_{ee}^2 + \lambda_c s_\phi^2$$

$$\lambda_- - \lambda_-(a=0) = a c_\phi^2 + \Delta m_{ee}^2 \sin^2(\phi - \theta_{13}) = a'$$

$$\lambda_0 - \lambda_- = \Delta m_{21}^2 \cos 2\theta_{12} - a'$$

$$\lambda_2 - \lambda_1 = \Delta m_{21}^2 \sqrt{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)^2 + \sin^2 2\theta_{12} \cos^2(\phi - \theta_{13})}$$

$$\equiv \Delta \tilde{m}_{21}^2$$

$$\cos 2\psi = \cos 2\tilde{\theta}_{12} \Rightarrow \psi = \tilde{\theta}_{12} \quad eq : (2.4.9)$$

 $\tilde{m}_{21}^2$ :

$$\lambda_+ + \lambda_- = \lambda_a + \lambda_c = \Delta m_{31}^2 + a + s_{12}^2 \Delta m_{21}^2$$

$$\lambda_2 + \lambda_1 = \lambda_0 + \lambda_- = \Delta m_{21}^2 + a'$$

$$\lambda_2 - \lambda_1 = \Delta \tilde{m}_{21}^2$$

$$\lambda_1 \equiv \tilde{m}_{21}^2 = \frac{1}{2}(\Delta m_{21}^2 - \Delta \tilde{m}_{21}^2 + a')$$

$$\lambda_2 \equiv \tilde{m}_{22}^2 = \frac{1}{2}(\Delta m_{21}^2 + \Delta \tilde{m}_{21}^2 + a')$$

$$\lambda_3 = \lambda_+ \equiv \tilde{m}_{31}^2 = \Delta m_{31}^2 + (a - a')$$



# DMP Summary:

The mixing angles in matter, which we denote by  $\tilde{\theta}_{13}$  and  $\tilde{\theta}_{12}$  here, can also be calculated in the following way, using  $\Delta m_{ee}^2 \equiv \cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2$ , as follows, see Addendum<sup>5</sup>:

$\tilde{\theta}_{13}$

$$\cos 2\tilde{\theta}_{13} = \frac{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)}{\sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}}}, \quad (6)$$

where  $a \equiv 2\sqrt{2}G_F N_e E_\nu$  is the standard matter potential, and

$\tilde{\theta}_{12}$

$$\cos 2\tilde{\theta}_{12} = \frac{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)}{\sqrt{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)^2 + \sin^2 2\theta_{12} \cos^2(\tilde{\theta}_{13} - \theta_{13})}}, \quad (7)$$

where  $a' \equiv a \cos^2 \tilde{\theta}_{13} + \Delta m_{ee}^2 \sin^2(\tilde{\theta}_{13} - \theta_{13})$  is the  $\theta_{13}$ -modified matter potential for the 1-2 sector. In these two flavor rotations, both  $\tilde{\theta}_{13}$  and  $\tilde{\theta}_{12}$  are in range  $[0, \pi/2]$ .

$\theta_{23}$  and  $\delta$  are unchanged in matter for this approximation.

From the neutrino mass squared eigenvalues in matter, given by

$$\begin{aligned} \tilde{m}_3^2 &= \Delta m_{31}^2 + (a - a'), \\ \tilde{m}_2^2 &= \frac{1}{2}(\Delta m_{21}^2 + \Delta \tilde{m}_{21}^2 + a'), \\ \tilde{m}_1^2 &= \frac{1}{2}(\Delta m_{21}^2 - \Delta \tilde{m}_{21}^2 + a'), \end{aligned} \quad (8)$$

it is simple to obtain the neutrino mass squared differences in matter, i.e. the  $\Delta m_{jk}^2$  in matter, which we denote by  $\Delta \tilde{m}_{jk}^2$ , which are given by

$$\begin{aligned} \Delta \tilde{m}_{21}^2 &= \Delta m_{21}^2 \sqrt{(\cos 2\theta_{12} - a'/\Delta m_{21}^2)^2 + \sin^2 2\theta_{12} \cos^2(\tilde{\theta}_{13} - \theta_{13})}, \\ \Delta \tilde{m}_{31}^2 &= \Delta m_{31}^2 + (a - \frac{3}{2}a') + \frac{1}{2}(\Delta \tilde{m}_{21}^2 - \Delta m_{21}^2), \\ \Delta \tilde{m}_{32}^2 &= \Delta \tilde{m}_{31}^2 - \Delta \tilde{m}_{21}^2. \end{aligned} \quad (9)$$

