

Combined CDF and D0 Upper Limits on Standard Model Higgs Boson Production with up to 8.2 fb^{-1} of Data

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We combine results from CDF and D0's direct searches for the standard model (SM) Higgs boson (H) produced in $p\bar{p}$ collisions at the Fermilab Tevatron at $\sqrt{s} = 1.96 \text{ TeV}$. The results presented here include those channels which are most sensitive to Higgs bosons with mass between 130 and 200 GeV/c^2 , namely searches targeted at Higgs boson decays to W^+W^- , although acceptance for decays into $\tau^+\tau^-$ and $\gamma\gamma$ is included. Compared to the previous Tevatron Higgs search combination, more data have been added and the analyses have been improved to gain sensitivity. We use the MSTW08 parton distribution functions and the latest $gg \rightarrow H$ theoretical cross section predictions when testing for the presence of a SM Higgs boson. With up to 7.1 fb^{-1} of data analyzed at CDF, and up to 8.2 fb^{-1} at D0, the 95% C.L. upper limits on Higgs boson production is a factor of 0.54 times the SM cross section for a Higgs boson mass of $165 \text{ GeV}/c^2$. We exclude at the 95% C.L. the region $158 < m_H < 173 \text{ GeV}/c^2$.

Preliminary Results

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I. INTRODUCTION

The search for a mechanism for electroweak symmetry breaking, and in particular for a standard model (SM) Higgs boson, has been a major goal of particle physics for many years, and is a central part of the Fermilab Tevatron physics program, and also that of the Large Hadron Collider. Recently, the ATLAS and CMS collaborations at the Large Hadron Collider have released results on searches for the Standard Model Higgs boson decaying to W^+W^- [5, 6], ZZ [7], $\gamma\gamma$ [8]. Both the CDF and D0 collaborations have performed new combinations [1, 2] of multiple direct searches for the SM Higgs boson. The new searches cover a larger data sample, and incorporate improved analysis techniques compared to previous analyses. The sensitivities of these new combinations significantly exceed those of previous combinations [3, 4].

In this note, we combine the most recent results of searches for the SM Higgs boson produced in $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV. The analyses combined here seek signals of Higgs bosons produced in association with vector bosons ($q\bar{q} \rightarrow W/ZH$), through gluon-gluon fusion ($gg \rightarrow H$), and through vector boson fusion (VBF) ($q\bar{q} \rightarrow q'\bar{q}'H$) corresponding to integrated luminosities up to 7.1 fb^{-1} at CDF and up to 8.2 fb^{-1} at D0. The searches included here target Higgs boson decays to W^+W^- , although acceptance for decays into $\tau^+\tau^-$ and $\gamma\gamma$ are included in the D0 channels.

The searches are separated into 46 mutually exclusive final states (12 for CDF and 34 for D0; see Tables I and II) referred to as “analysis sub-channels” in this note. The selection procedures for each analysis are detailed in Refs. [1] through [14], and are briefly described below.

II. CHANNELS INCLUDED IN THE COMBINATION

Event selections are similar for the corresponding CDF and D0 analyses.

For the $H \rightarrow W^+W^-$ analyses which seek events in which both W bosons decay leptonically, signal events are characterized by large \cancel{E}_T and two oppositely-signed, isolated leptons. The presence of neutrinos in the final state prevents the accurate reconstruction of the candidate Higgs boson mass. D0 selects events containing large \cancel{E}_T and electrons and/or muons, dividing the data sample into three final states: e^+e^- , $e^\pm\mu^\mp$, and $\mu^+\mu^-$. The searches in the three final states each use 8.1 fb^{-1} of data which is subdivided according to the number of jets in the event: 0, 1, or 2 or more (“2+”) jets. Decays involving tau leptons are included in two orthogonal ways. For the first time, a dedicated analysis ($\mu\tau_{had}$) using 7.3 fb^{-1} of data studying the final state involving a muon and a hadronic tau decay, is included in the Tevatron combination. Final states involving other tau decays and mis-identified hadronic tau decays are included in the e^+e^- , $e^\pm\mu^\mp$, and $\mu^+\mu^-$ final state analyses. CDF separates the $H \rightarrow W^+W^-$ events in five non-overlapping samples, split into both “high s/b ” and “low s/b ” categories based on lepton types and different categories based on the number of reconstructed jets: 0, 1, or 2+ jets. The sample with two or more jets is not split into low s/b and high s/b lepton categories due to low statistics. A sixth CDF channel is the low dilepton mass ($m_{\ell\ell}$) channel, which accepts events with $m_{\ell\ell} < 16$ GeV. This channel increases the sensitivity of the $H \rightarrow W^+W^-$ analyses at low m_H , adding 10% additional acceptance at $m_H = 120$ GeV. The division of events into jet categories allows the analysis discriminants to separate three different categories of signals from the backgrounds more effectively. The signal production mechanisms considered are $gg \rightarrow H \rightarrow W^+W^-$, $WH + ZH \rightarrow jjW^+W^-$, and vector-boson fusion. The D0 e^+e^- , $e^\pm\mu^\mp$, and $\mu^+\mu^-$ final state channels use boosted decision tree outputs as the final discriminants while the $\mu\tau_{had}$ channel uses neural networks. CDF uses neural-network outputs, including likelihoods constructed from calculated matrix-element probabilities as additional inputs for the 0-jet bin.

D0 includes $VH \rightarrow \ell^\pm\ell'^\pm + X$ analyses in which the associated vector boson and the W boson from the Higgs boson decay that has the same charge are required to decay leptonically, thereby defining three like-sign dilepton final states ($e^\pm e^\pm$, $e^\pm\mu^\pm$, and $\mu^\pm\mu^\pm$). The combined output of two decision trees, trained against the instrumental and diboson backgrounds respectively, is used as the final discriminant. CDF also includes a separate analysis of events with same-sign leptons and large \cancel{E}_T to incorporate additional potential signal from associated production events in which the two leptons (one from the associated vector boson and one from a W boson produced in the Higgs decay) have the same charge. CDF for the first time also incorporates three tri-lepton channels to include additional associated production contributions where leptons result from the associated W boson and the two W bosons produced in the

Higgs decay or where an associated Z boson decays into a dilepton pair and a third lepton is produced in the decay of either of the W bosons resulting from the Higgs decay. In the latter case, CDF separates the sample into 1 jet and 2+ jet sub-channels to fully take advantage of the Higgs mass constraint available in the 2+ jet case where all of the decay products are reconstructed.

CDF also includes opposite-sign channels in which one of the two lepton candidates is a hadronic tau. Events are separated into $e\text{-}\tau$ and $\mu\text{-}\tau$ channels. The final discriminants are obtained from boosted decision trees which incorporate both hadronic tau identification and kinematic event variables as inputs.

D0 includes channels in which one of the W bosons in the $H \rightarrow W^+W^-$ process decays leptonically and the other decays hadronically. Electron and muon final states are studied separately, each with 5.4 fb^{-1} of data. Random forests are used for the final discriminants.

D0 has updated its search for Higgs boson production in which the Higgs decays into a pair of photons to include 8.2 fb^{-1} of data and to use a boosted decision tree as the final discriminant.

The D0 analysis of the $\tau^+\tau^- + 2$ jets final state has also been updated to include additional data (4.3 fb^{-1}) and both muonic and electronic tau decays. The output of boosted decision trees is used as the final discriminant.

For both CDF and D0, events from QCD multijet (instrumental) backgrounds are measured in independent data samples using different methods. For CDF, backgrounds from SM processes with electroweak gauge bosons or top quarks were generated using PYTHIA [15], ALPGEN [16], MC@NLO [17], and HERWIG [18] programs. For D0, these backgrounds were generated using PYTHIA, ALPGEN, and COMPHEP [19], with PYTHIA providing parton-showering and hadronization for all the generators. These background processes were normalized using either experimental data or next-to-leading order calculations (including MCFM [20] for the W + heavy flavor process).

Tables I and II summarize, for CDF and D0 respectively, the integrated luminosities, the Higgs boson mass ranges over which the searches are performed, and references to further details for each analysis.

TABLE I: Luminosity, explored mass range and references for the different processes and final states ($\ell = e, \mu$) for the CDF analyses.

| Channel | Luminosity (fb^{-1}) | m_H range (GeV/c^2) | Reference |
|--|---------------------------------|----------------------------------|-----------|
| $H \rightarrow W^+W^-$ $2 \times (0,1 \text{ jets}) + (2+ \text{ jets}) + (\text{low-}m_{\ell\ell}) + (e\text{-}\tau_{had}) + (\mu\text{-}\tau_{had})$ | 7.1 | 130-200 | [1] |
| $WH \rightarrow WW^+W^-$ (same-sign leptons 1+ jets)+(tri-leptons) | 7.1 | 130-200 | [1] |
| $ZH \rightarrow ZW^+W^-$ (tri-leptons 1 jet)+(tri-leptons 2+ jets) | 7.1 | 130-200 | [1] |

TABLE II: Luminosity, explored mass range and references for the different processes and final states ($\ell = e, \mu$) for the D0 analyses. Most analyses are in addition analyzed separately for Run IIa and IIb.

| Channel | Luminosity (fb^{-1}) | m_H range (GeV/c^2) | Reference |
|---|---------------------------------|----------------------------------|-----------|
| $H \rightarrow W^+W^- \rightarrow \ell^\pm \nu \ell^\mp \nu$ (0,1,2+ jet) | 8.1 | 130-200 | [9] |
| $H \rightarrow W^+W^- \rightarrow \mu\nu\tau_{had}\nu$ | 7.3 | 130-200 | [10] |
| $H \rightarrow W^+W^- \rightarrow \ell\bar{\nu}jj$ | 5.4 | 130-200 | [11] |
| $VH \rightarrow \ell^\pm \ell^\pm + X$ | 5.3 | 130-200 | [12] |
| $H+X \rightarrow \ell^\pm \tau_{had}^\mp jj$ | 4.3 | 130-200 | [13] |
| $H \rightarrow \gamma\gamma$ | 8.2 | 130-150 | [14] |

III. SIGNAL PREDICTIONS AND UNCERTAINTIES

We normalize our Higgs boson signal predictions to the most recent highest-order calculations available, for all production processes considered. The largest production cross section, $\sigma(gg \rightarrow H)$, is calculated at next-to-next-to-leading order (NNLO) in QCD with soft gluon resummation to next-to-next-to-leading-log (NNLL) accuracy, and also includes two-loop electroweak effects and handling of the running b quark mass [21, 22]. The numerical values in Table III are updates [23] of these predictions with m_t set to 173.1 GeV/ c^2 [24], and an exact treatment of the massive top and bottom loop corrections up to next-to-leading-order (NLO) + next-to-leading-log (NLL) accuracy. The factorization and renormalization scale choice for this calculation is $\mu_F = \mu_R = m_H$. These calculations are refinements of earlier NNLO calculations of the $gg \rightarrow H$ production cross section [25–27]. Electroweak corrections were computed in Refs. [28, 29]. Soft gluon resummation was introduced in the prediction of the $gg \rightarrow H$ production cross section in Ref. [30].

The $gg \rightarrow H$ production cross section depends strongly on the gluon parton density function, and the accompanying value of $\alpha_s(q^2)$. The cross sections used here are calculated with the MSTW 2008 NNLO PDF set [31], as recommended by the PDF4LHC working group [32]. The reason to use this PDF parameterization is that it is the only NNLO prescription that results from a fully global fit to all relevant data. We follow the PDF4LHC working group's prescription to evaluate the uncertainties on the $gg \rightarrow H$ production cross section due to the PDFs. This prescription is to evaluate the predictions of $\sigma(gg \rightarrow H)$ at NLO using the global NLO PDF sets CTEQ6.6 [33], MSTW08 [31], and NNPDF2.0 [34], and to take the envelope of the predictions and their uncertainties due to PDF+ α_s for the three sets as the uncertainty range at NLO. The ratio of the NLO uncertainty range to that of just MSTW08 is then used to scale the NNLO MSTW08 PDF+ α_s uncertainty, to estimate a larger uncertainty at NNLO. This procedure roughly doubles the PDF+ α_s uncertainty from MSTW08 at NNLO alone. Other PDF sets, notably ABKM09 [35] and HERAPDF1.0 [36], have been proposed [37, 38] as alternate PDF sets for use in setting the uncertainties on $\sigma(gg \rightarrow H)$. These two PDF sets fit HERA data only in the case of HERAPDF1.0, and HERA, fixed-target deep-inelastic scattering (DIS), fixed-target Drell-Yan (DY), and neutrino-nucleon di-muon data in the case of ABKM09, and do not include Tevatron jet data [39][41]. We note that HERA, fixed-target DIS, fixed-target DY, and neutrino-nucleon di-muon data do not probe directly the gluon PDF at the scales most relevant for our analyses, but are most sensitive to the quark distributions. The high- E_T Tevatron jet data contain gluon-initiated processes at the relevant momentum transfer scales. The PDF constraints from the HERA, fixed-target DIS, fixed-target DY, and di-muon data are extrapolated to make predictions of high- x gluons at the relevant energy scales. The reliability of these extrapolations is checked for the HERAPDF1.0 PDF set by showing the NLO prediction [42] of the inclusive jet spectrum as a function of jet E_T and η , as compared with measurements by CDF and D0 as shown in Figures 1 and 2, respectively. The measured inclusive jet cross sections are significantly under-predicted using this PDF set. The corresponding comparisons using the same NLO jet cross section calculation with the MSTW08 PDF set are shown in Figures 49 and 50 in Ref. [31]. The agreement with the jet data is much better with the MSTW2008 PDF set, which is expected, since those data are included in the MSTW08 PDF fit. Consequently the high- x gluon component is larger in the MSTW2008 PDF set than in the HERAPDF1.0 PDF set. This forms an important experimental foundation for the prediction of the gluon-fusion Higgs boson production cross section. Recently, it has been pointed out [43] that the gluon PDF in the ABKM09 fit, and thus the values of $\sigma(gg \rightarrow H)$, depend on the handling of the data input from the New Muon Collaboration (NMC), specifically whether the differential spectrum as measured by NMC is included in the fit or whether the structure function F_2 reported by NMC is used in the fit. It is verified by the NNPDF Collaboration [44] that the NNPDF2.1 global PDF fit is negligibly impacted by changing the handling of the input NMC data, indicating that the global fit, which includes Tevatron jet data, is more solid and less sensitive to the precise handling of NMC data. It should be noted however that this study is performed only at NLO.

We also include uncertainties on $\sigma(gg \rightarrow H)$ due to uncalculated higher order processes by following the standard procedure of varying the factorization renormalization scales up and down together by a factor $\kappa = 2$. It had been proposed to vary μ_R and μ_F independently [37], but the maximum impact on $\sigma(gg \rightarrow H)$ is found when varying the two scales together. At a central scale choice of $\mu_R = \mu_F = m_H/2$, the fixed-order calculations at NLO and NNLO converge rapidly and the scale uncertainties generously cover the differences. Furthermore, the NNLO calculation using a scale choice of $\mu_R = \mu_F = m_H/2$ is very close to the NNLL+NNLO calculation that we use. The authors of the NNLL+NNLO calculation recommend that we use the scale choice $\mu_R = \mu_F = m_H$ for the central value [22]. The

uncertainty on $\sigma(gg \rightarrow H)$ is evaluated by varying $\mu_R = \mu_F$ from a lower value of $m_H/2$ to an upper value of $2m_H$, the customary variation, and by evaluating the NNLO+NNLL cross sections at these scales. A larger scale variation has been proposed, varying the scale by a factor $\kappa = 3$ [37]. The original justification for this proposal [37] was for the LO calculation to cover the NNLO central value. The LO calculation however does not have enough scale-dependent terms for its scale uncertainty to be fairly considered – it is missing significant QCD radiation and other terms that increase the scale dependence at NLO compared with that at LO. The second justification [38] is simply that the higher-order QCD corrections are larger than those of other processes, such as Drell-Yan production. The corrections are large due to the fact that there are gluons in the initial state which radiate more gluons more frequently than the quarks that initiate other processes. The scale dependence of the calculations of $\sigma(gg \rightarrow H)$ which include this radiation and other terms is correspondingly larger, and the customary range of scale variation provides uncertainties which are commensurate with the values of the corrections. An independent calculation by Ahrens, Becher, Neubert, and Yang [45] using a renormalization-group improved resummation of radiative corrections at NNLL accuracy gives an alternative calculation of $\sigma(gg \rightarrow H)$ that converges more rapidly and requires even smaller scale uncertainties. The central values of this calculation of $\sigma(gg \rightarrow H)$ are in excellent agreement with those used in our analysis and which are given in Table III.

Because the $H \rightarrow W^+W^-$ analyses separate the data into categories based on the numbers of observed jets, we assess factorization and renormalization scale and PDF+ α_s variations separately for each jet category as evaluated in Ref. [46]. This calculation is at NNLO for $H+0$ jets, at NLO for $H+1$ jet, and at LO for $H+2$ or more jets. A newer, more precise calculation [47] of the $H+2$ or more jets cross section at NLO is used to evaluate the uncertainties in this category. These scale uncertainties are used instead of the inclusive NNLL+NNLO scale uncertainty because we require them in each jet category, and the uncertainties we use are significantly larger than the inclusive scale uncertainty. The scale choice affects the p_T spectrum of the Higgs boson when produced in gluon-gluon fusion, and this effect changes the acceptance of the selection requirements and also the shapes of the distributions of the final discriminants. The effect of the acceptance change is included in the calculations of Ref. [46] and Ref. [47], as the experimental requirements are simulated in these calculations. The effects on the final discriminant shapes are obtained by reweighting the p_T spectrum of the Higgs boson production in our Monte Carlo simulation to higher-order calculations. The Monte Carlo signal simulation used by CDF and D0 is provided by the LO generator PYTHIA [15] which includes a parton shower and fragmentation and hadronization models. We reweight the Higgs boson p_T spectra in our PYTHIA Monte Carlo samples to that predicted by HQT [48] when making predictions of differential distributions of $gg \rightarrow H$ signal events. To evaluate the impact of the scale uncertainty on our differential spectra, we use the RESBOS [49] generator, and apply the scale-dependent differences in the Higgs boson p_T spectrum to the HQT prediction, and propagate these to our final discriminants as a systematic uncertainty on the shape, which is included in the calculation of the limits.

We treat the scale uncertainties as 100% correlated between jet categories and between CDF and D0, and also treat the PDF+ α_s uncertainties in the cross section as correlated between jet categories and between CDF and D0. We treat however the PDF+ α_s uncertainty as uncorrelated with the scale uncertainty. The main reason is that the PDF uncertainty arises from experimental uncertainties and PDF parameterization choices, while the scale uncertainty arises from neglected higher-order terms in the perturbative cross section calculations. The PDF predictions do depend on the scale choice, however. The dependence of the prediction of $\sigma(gg \rightarrow H)$ due to the scale via the PDF dependence is included as part of the scale uncertainty and not as part of the PDF uncertainty, to ensure that all scale dependence is considered correlated. Furthermore, we have verified [50] that the fractional change in the prediction of $\sigma(gg \rightarrow H)$ due to the PDF variation depends negligibly on the value of the scale choice, justifying the treatment of PDF and scale uncertainties as uncorrelated. As described in Section V, systematic uncertainties arising from uncorrelated sources should not be added linearly together, but instead should be considered to fluctuate independently of one another. We have investigated the use of a Gaussian prior for the scale uncertainty using the $\kappa = 2$ variation as the $\pm 1\sigma$ variation, and compared it with the use of a flat prior between the low edge and the upper edge, and have found a negligible impact on our sensitivity and our observed limits. The main reason for this is that while the flat prior has a higher density near the edges, the Gaussian prior has longer tails, and both effects essentially compensate. The use of a flat prior with edges for the scale uncertainty does not affect the choice of whether to add this uncertainty linearly or not with the PDF uncertainty; that choice is determined by the correlations of the two sources of uncertainty.

Another source of uncertainty in the prediction of $\sigma(gg \rightarrow H)$ is the extrapolation of the QCD corrections computed

TABLE III: The production cross sections and decay branching fractions for the SM Higgs boson assumed for the combination.

| m_H (GeV/ c^2) | $\sigma_{gg \rightarrow H}$ (fb) | σ_{WH} (fb) | σ_{ZH} (fb) | σ_{VBF} (fb) | $B(H \rightarrow \tau^+\tau^-)$ (%) | $B(H \rightarrow W^+W^-)$ (%) | $B(H \rightarrow ZZ)$ (%) | $B(H \rightarrow \gamma\gamma)$ (%) |
|------------------------|-------------------------------------|-----------------------|-----------------------|------------------------|--|----------------------------------|------------------------------|--|
| 130 | 842.9 | 112.00 | 68.5 | 62.1 | 5.305 | 29.43 | 3.858 | 0.2182 |
| 135 | 750.8 | 97.20 | 60.0 | 57.5 | 4.400 | 39.10 | 5.319 | 0.2077 |
| 140 | 670.6 | 84.60 | 52.7 | 53.2 | 3.472 | 49.16 | 6.715 | 0.1897 |
| 145 | 600.6 | 73.70 | 46.3 | 49.4 | 2.585 | 59.15 | 7.771 | 0.1653 |
| 150 | 539.1 | 64.40 | 40.8 | 45.8 | 1.778 | 68.91 | 8.143 | 0.1357 |
| 155 | 484.0 | 56.20 | 35.9 | 42.4 | 1.057 | 78.92 | 7.297 | 0.09997 |
| 160 | 432.3 | 48.50 | 31.4 | 39.4 | 0.403 | 90.48 | 4.185 | 0.05365 |
| 165 | 383.7 | 43.60 | 28.4 | 36.6 | 0.140 | 95.91 | 2.216 | 0.02330 |
| 170 | 344.0 | 38.50 | 25.3 | 34.0 | 0.093 | 96.39 | 2.351 | 0.01598 |
| 175 | 309.7 | 34.00 | 22.5 | 31.6 | 0.073 | 95.81 | 3.204 | 0.01236 |
| 180 | 279.2 | 30.10 | 20.0 | 29.4 | 0.059 | 93.25 | 5.937 | 0.01024 |
| 185 | 252.1 | 26.90 | 17.9 | 27.3 | 0.046 | 84.50 | 14.86 | 0.008128 |
| 190 | 228.0 | 24.00 | 16.1 | 25.4 | 0.038 | 78.70 | 20.77 | 0.006774 |
| 195 | 207.2 | 21.40 | 14.4 | 23.7 | 0.033 | 75.88 | 23.66 | 0.005919 |
| 200 | 189.1 | 19.10 | 13.0 | 22.0 | 0.029 | 74.26 | 25.33 | 0.005285 |

for the heavy top quark loops to the light-quark loops included as part of the electroweak corrections. Uncertainties at the level of 1-2% are already included in the cross section values we use [21, 22]. In Ref. [21], it is argued that the factorization of QCD corrections is known to work well for Higgs boson masses many times in excess of the masses of the loop particles. A 4% change in the predicted cross section is seen when all QCD corrections are removed from the diagrams containing light-flavored quark loops, which is too conservative. For the b quark loop, which is computed separately in Ref. [21], the QCD corrections are much smaller than for the top loop, further giving confidence that it does not introduce large uncertainties.

We include all significant Higgs boson production modes in our searches. Besides gluon-gluon fusion through virtual quark loops (GGF), we include Higgs boson production in association with a W or Z vector boson, and vector boson fusion (VBF). We use the WH and ZH production cross sections computed at NNLO in Ref. [51]. This calculation starts with the NLO calculation of v2HV [52] and includes NNLO QCD contributions [53], as well as one-loop electroweak corrections [54]. We use the VBF cross section computed at NNLO in QCD in Ref. [55]. Electroweak corrections to the VBF production cross section are computed with the HAWK program [56], and are very small (0.03 fb or less) for the Higgs boson mass range considered here.

In order to predict the distributions of the kinematics of Higgs boson signal events, CDF and D0 use the PYTHIA [15] Monte Carlo program, with CTEQ5L and CTEQ6L [57] leading-order (LO) parton distribution functions. The Higgs boson decay branching ratio predictions are calculated with HDECAY [58], and are also listed in Table III. We use HDECAY Version 3.53. While the HWW coupling is well predicted, $Br(H \rightarrow W^+W^-)$ depends on the partial widths of all other Higgs boson decays. The partial width $\Gamma(H \rightarrow b\bar{b})$ is sensitive to m_b and α_s , $\Gamma(H \rightarrow c\bar{c})$ is sensitive to m_c and α_s , and $\Gamma(H \rightarrow gg)$ is sensitive to α_s . The impacts of these uncertainties on $Br(H \rightarrow W^+W^-)$ depend on m_H due to the fact that $Br(H \rightarrow b\bar{b})$, $Br(H \rightarrow c\bar{c})$, $Br(H \rightarrow gg)$ become very small for Higgs boson masses above 160 GeV/ c^2 , while they have a larger impact for lower m_H . We use the uncertainties on the branching fraction $Br(H \rightarrow W^+W^-)$ from Ref. [59]. At $m_H = 130$ GeV/ c^2 , for example, the m_b variation gives a ${}_{+1.70}^{-4.89}\%$ relative variation in $Br(H \rightarrow W^+W^-)$, α_s gives a ${}_{+1.09}^{-1.02}\%$ variation, and m_c gives a ${}_{+0.51}^{-0.45}\%$ variation. At $m_H = 165$ GeV/ c^2 , all three of these uncertainties are below 0.1% and remain small for higher m_H .

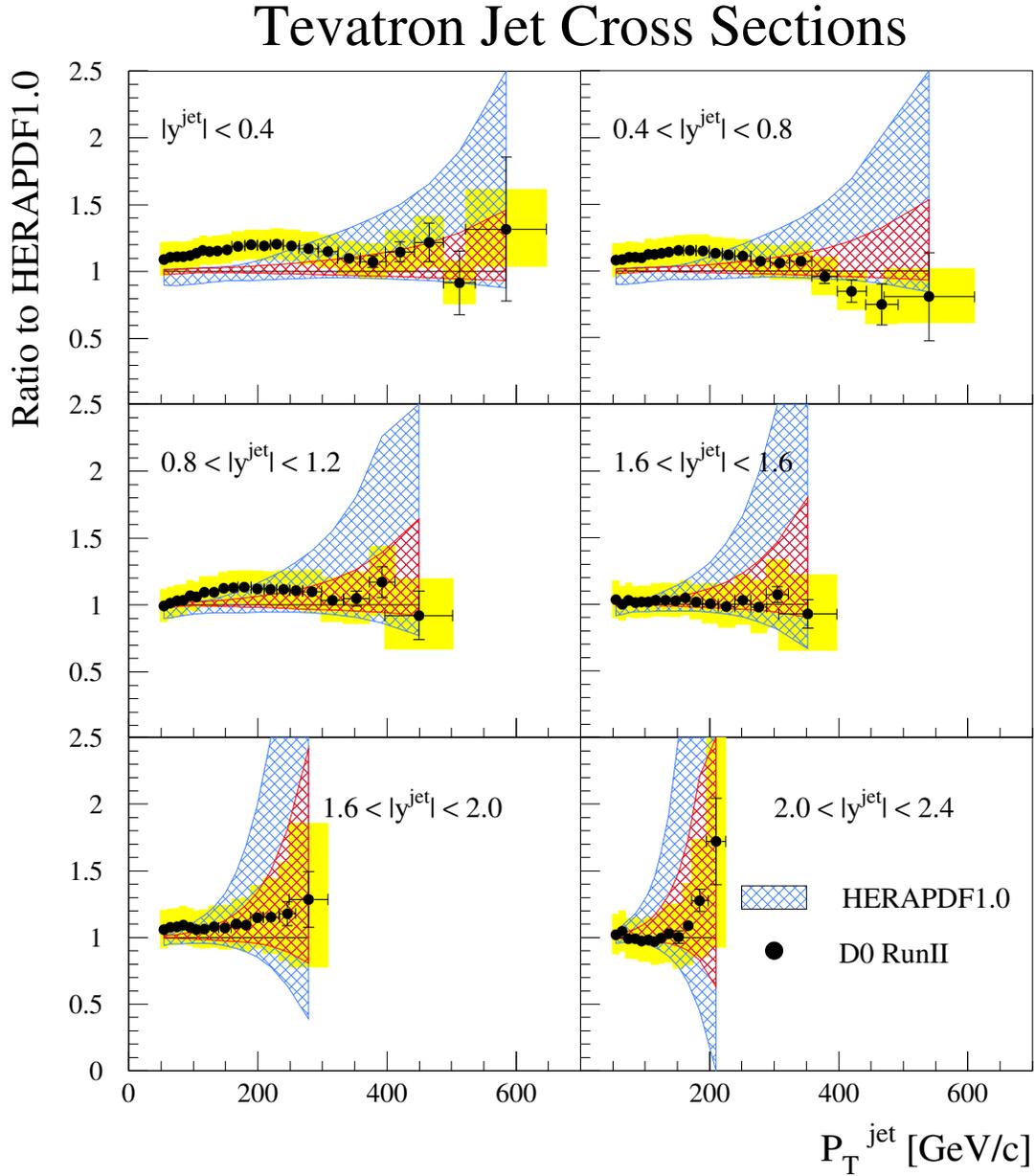


FIG. 1: Inclusive jet cross section measurements by D0 as a function of jet p_T in six bins of jet rapidity y , divided by the theoretical prediction. The data systematic uncertainties are displayed by the full shaded band. The theoretical prediction is an NLO perturbative QCD calculation [42], with renormalization and factorization scales set to jet p_T using the HERAPDF1.0 PDFs [36][40] and including nonperturbative corrections. The PDF uncertainties are shown as hatched regions – the blue hatching shows the total PDF uncertainty, and the red hatching shows the portion of the total due to experimental uncertainties. This figure is obtained using the same data and methods described in Ref. [41].

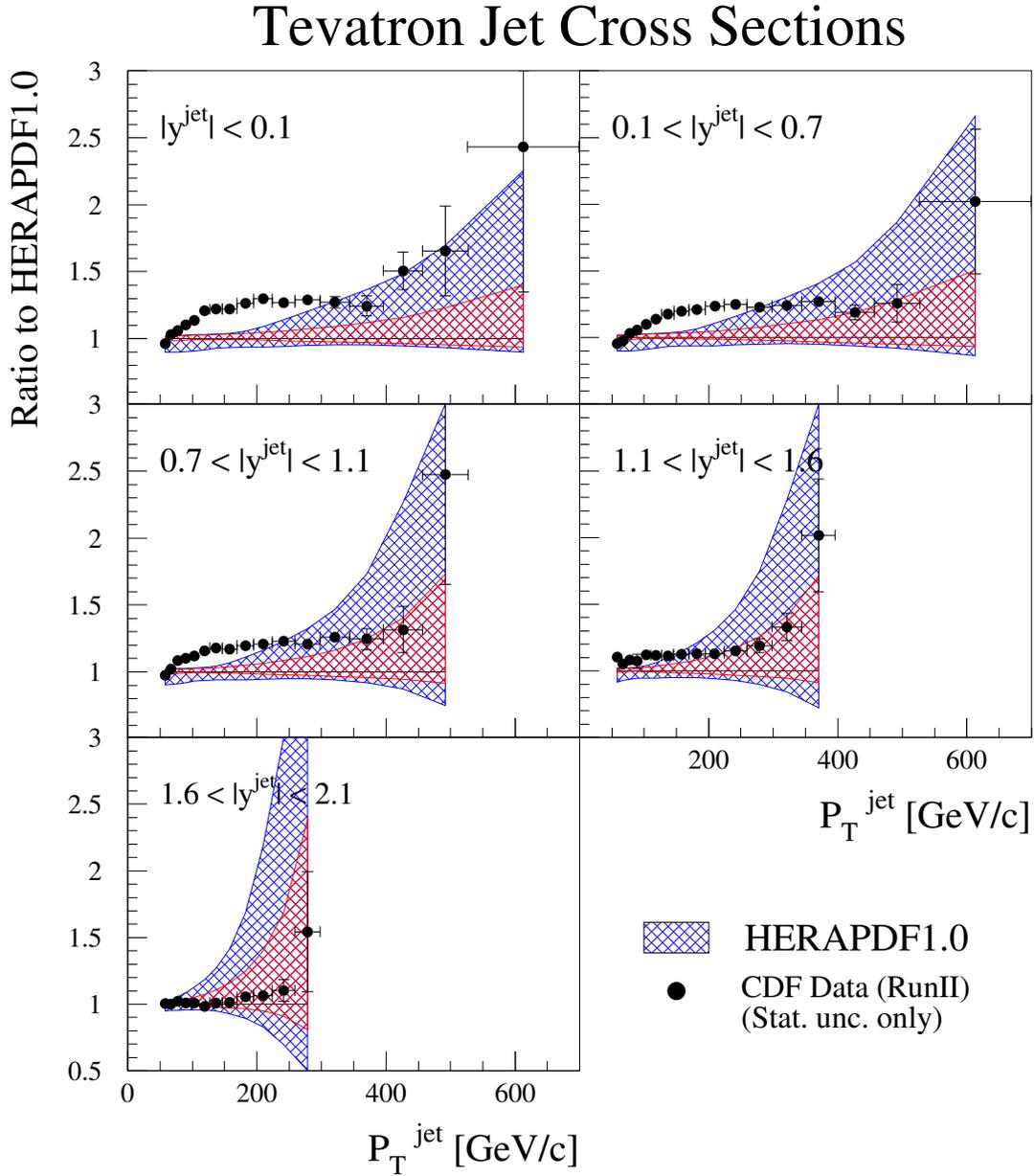


FIG. 2: Inclusive jet cross section measurements by CDF as a function of jet p_T in six bins of jet rapidity y , divided by the theoretical prediction. The theoretical prediction is an NLO perturbative QCD calculation [42], with renormalization and factorization scales set to jet p_T using the HERAPDF1.0 PDFs [36][40] and including nonperturbative corrections. The PDF uncertainties are shown as hatched regions – the blue hatching shows the total PDF uncertainty, and the red hatching shows the portion of the total due to experimental uncertainties. The CDF data are published in Ref. [39]. Experimental uncertainties on the CDF data are comparable to those of the D0 data, shown in Fig. 1.

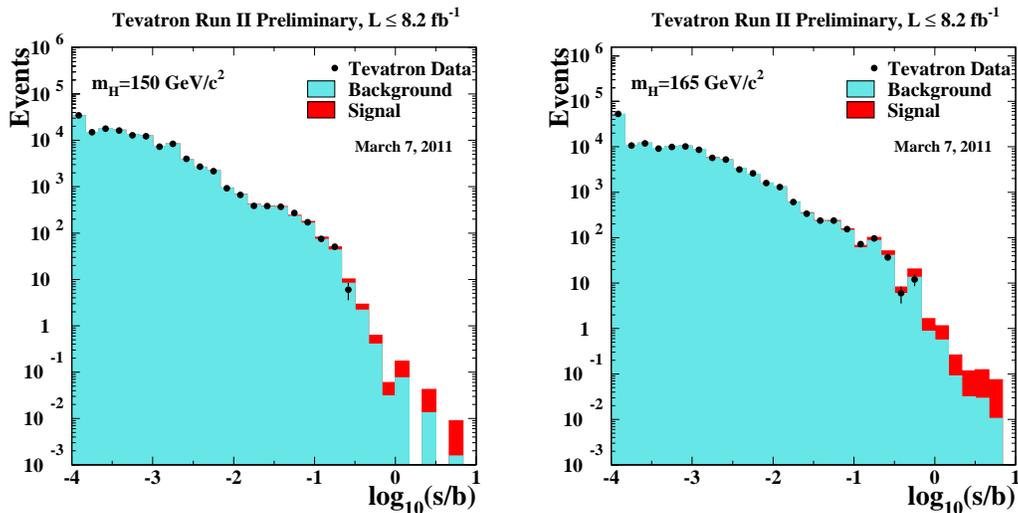


FIG. 3: Distributions of $\log_{10}(s/b)$, for the data from all contributing channels from CDF and D0, for Higgs boson masses of 150 and 165 GeV/c^2 . The data are shown with points, and the expected signal is shown stacked on top of the backgrounds. Underflows and overflows are collected into the bottom and top bins.

IV. DISTRIBUTIONS OF CANDIDATES

All analyses provide binned histograms of the final discriminant variables for the signal and background predictions, itemized separately for each source, and the observed data. The number of channels combined is large, and the number of bins in each channel is large. Therefore, the task of assembling histograms and checking whether the expected and observed limits are consistent with the input predictions and observed data is difficult. We thus provide histograms that aggregate all channels' signal, background, and data together. In order to preserve most of the sensitivity gain that is achieved by binning the data instead of collecting them all together and counting, we aggregate the data and predictions in narrow bins of signal-to-background ratio, s/b . Data with similar s/b may be added together with no loss in sensitivity, assuming similar systematic errors on the predictions. The aggregate histograms do not show the effects of systematic uncertainties, but instead compare the data with the central predictions supplied by each analysis.

The range of s/b is quite large in each analysis, and so $\log_{10}(s/b)$ is chosen as the plotting variable. Plots of the distributions of $\log_{10}(s/b)$ are shown for $m_H = 150$ and $165 \text{ GeV}/c^2$ in Figure 3. These distributions can be integrated from the high- s/b side downwards, showing the sums of signal, background, and data for the most pure portions of the selection of all channels added together. These integrals can be seen in Figure 4. The most significant candidates are found in the bins with the highest s/b ; an excess in these bins relative to the background prediction drives the Higgs boson cross section limit upwards, while a deficit drives it downwards. The lower- s/b bins show that the modeling of the rates and kinematic distributions of the backgrounds is very good.

We also show the distributions of the data after subtracting the expected background, and compare that with the expected signal yield for a standard model Higgs boson, after collecting all bins in all channels sorted by s/b . These background-subtracted distributions are shown in Figure 5. These graphs also show the remaining uncertainty on the background prediction after fitting the background model to the data within the systematic uncertainties on the rates and shapes in each contributing channel's templates.

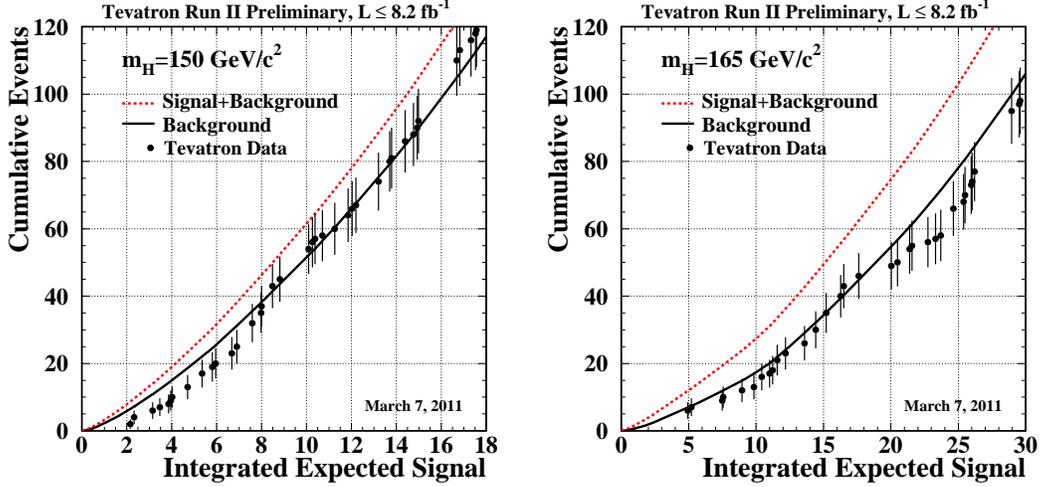


FIG. 4: Integrated distributions of s/b , starting at the high s/b side, for Higgs boson masses of 150 and 165 GeV/c^2 . The total signal-plus-background and background-only integrals are shown separately, along with the data sums. Data are only shown for bins that have data events in them.

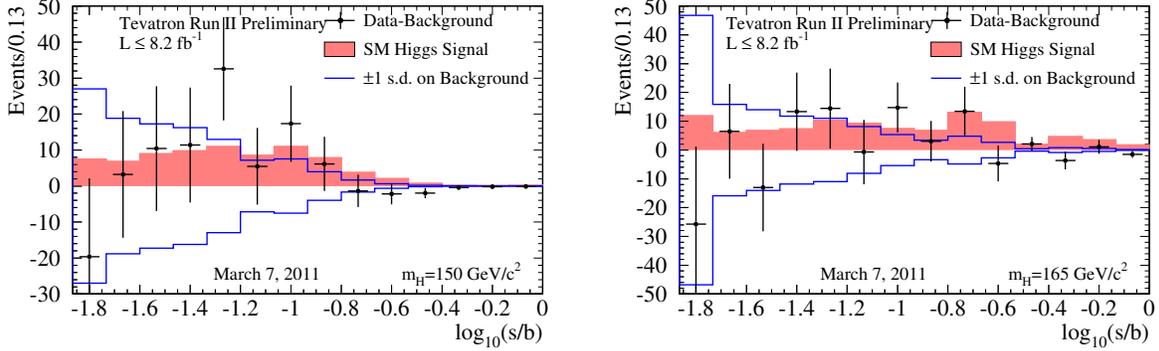


FIG. 5: Background-subtracted data distributions for all channels, summed in bins of s/b , for Higgs boson masses of 150, and 165 GeV/c^2 . The background has been fit, within its systematic uncertainties, to the data. The points with error bars indicate the background-subtracted data; the sizes of the error bars are the square roots of the predicted background in each bin. The unshaded (blue-outline) histogram shows the systematic uncertainty on the best-fit background model, and the shaded histogram shows the expected signal for a Standard Model Higgs boson.

V. COMBINING CHANNELS

To gain confidence that the final result does not depend on the details of the statistical formulation, we perform two types of combinations, using Bayesian and Modified Frequentist approaches, which yield limits on the Higgs boson production rate that agree within 10% at each value of m_H , and within 1% on average. Both methods rely on distributions in the final discriminants, and not just on their single integrated values. Systematic uncertainties enter

on the predicted number of signal and background events as well as on the distribution of the discriminants in each analysis (“shape uncertainties”). Both methods use likelihood calculations based on Poisson probabilities.

Both methods treat the systematic uncertainties in a Bayesian fashion, assigning a prior distribution to each source of uncertainty, parameterized by a nuisance parameter, and propagating the impacts of varying each nuisance parameter to the signal and background predictions, with all correlations included. A single nuisance parameter may affect the signal and background predictions in many bins of many different analyses. Independent nuisance parameters are allowed to vary separately within their prior distributions. Both methods use the data to constrain the values of the nuisance parameters, one by integration, the other by fitting. These methods reduce the impact of prior uncertainty in the nuisance parameters thus improving the sensitivity. Because of these constraints to the data, it is important to evaluate the uncertainties and correlations properly, and to allow independent parameters to vary separately, otherwise a fit may overconstrain a parameter and extrapolate its use improperly. The impacts of correlated uncertainties add together linearly on a particular prediction, while those of uncorrelated uncertainties are convoluted together, which is similar to adding in quadrature. Adding uncorrelated uncertainties linearly implies a correlation which is not present and which may result in incorrect results.

Both methods set limits at the 95% confidence (or “credibility” in the case of the Bayesian method). This is a probabilistic statement. To be consistent and accurate, we give equal treatment to all sources of uncertainty, both theoretical and experimental. Ref. [38] proposes testing the minimum possible production cross section and quoting our exclusion limits based on only this prediction. We do not claim that our exclusion limits are independent of the model choices that are made, and hence we are not required to only test the case in which all values of all uncertain parameters fluctuate simultaneously to the values that produce the weakest results. To do so would provide inconsistent results when computing limits and when attempting to discover. A set of values for the nuisance parameters which weakens the limit may strengthen a discovery and vice versa, particularly those parameters that affect the background rate and shape predictions. By always setting uncertain parameters to their most extreme values, we could find we have an excess of data over the background prediction when we set our limits, and a deficit of data with respect to the background in the same sample when attempting to make a discovery. The prescriptions described below provide a consistent method for both tasks.

A. Bayesian Method

Because there is no experimental information on the production cross section for the Higgs boson, in the Bayesian technique [3][60] we assign a flat prior for the total number of selected Higgs events. For a given Higgs boson mass, the combined likelihood is a product of likelihoods for the individual channels, each of which is a product over histogram bins:

$$\mathcal{L}(R, \vec{s}, \vec{b} | \vec{n}, \vec{\theta}) \times \pi(\vec{\theta}) = \prod_{i=1}^{N_C} \prod_{j=1}^{N_b} \mu_{ij}^{n_{ij}} e^{-\mu_{ij}} / n_{ij}! \times \prod_{k=1}^{n_{np}} e^{-\theta_k^2/2} \quad (1)$$

where the first product is over the number of channels (N_C), and the second product is over N_b histogram bins containing n_{ij} events, binned in ranges of the final discriminants used for individual analyses, such as the dijet mass, neural-network outputs, or matrix-element likelihoods. The parameters that contribute to the expected bin contents are $\mu_{ij} = R \times s_{ij}(\vec{\theta}) + b_{ij}(\vec{\theta})$ for the channel i and the histogram bin j , where s_{ij} and b_{ij} represent the expected background and signal in the bin, and R is a scaling factor applied to the signal to test the sensitivity level of the experiment. Truncated Gaussian priors are used for each of the nuisance parameters θ_k , which define the sensitivity of the predicted signal and background estimates to systematic uncertainties. These can take the form of uncertainties on overall rates, as well as the shapes of the distributions used for combination. These systematic uncertainties can be far larger than the expected SM Higgs boson signal, and are therefore important in the calculation of limits. The truncation is applied so that no prediction of any signal or background in any bin is negative. The posterior density function is then integrated over all parameters (including correlations) except for R , and a 95% credibility level upper limit on R is estimated by calculating the value of R that corresponds to 95% of the area of the resulting distribution.

B. Modified Frequentist Method

The Modified Frequentist technique relies on the CL_s method, using a log-likelihood ratio (LLR) as test statistic [2]:

$$LLR = -2 \ln \frac{p(\text{data}|H_1)}{p(\text{data}|H_0)}, \quad (2)$$

where H_1 denotes the test hypothesis, which admits the presence of SM backgrounds and a Higgs boson signal, while H_0 is the null hypothesis, for only SM backgrounds. The probabilities p are computed using the best-fit values of the nuisance parameters for each pseudo-experiment, separately for each of the two hypotheses, and include the Poisson probabilities of observing the data multiplied by Gaussian priors for the values of the nuisance parameters. This technique extends the LEP procedure [60] which does not involve a fit, in order to yield better sensitivity when expected signals are small and systematic uncertainties on backgrounds are large [61].

The CL_s technique involves computing two p -values, CL_{s+b} and CL_b . The latter is defined by

$$1 - CL_b = p(LLR \leq LLR_{\text{obs}}|H_0), \quad (3)$$

where LLR_{obs} is the value of the test statistic computed for the data. $1 - CL_b$ is the probability of observing a signal-plus-background-like outcome without the presence of signal, i.e. the probability that an upward fluctuation of the background provides a signal-plus-background-like response as observed in data. The other p -value is defined by

$$CL_{s+b} = p(LLR \geq LLR_{\text{obs}}|H_1), \quad (4)$$

and this corresponds to the probability of a downward fluctuation of the sum of signal and background in the data. A small value of CL_{s+b} reflects inconsistency with H_1 . It is also possible to have a downward fluctuation in data even in the absence of any signal, and a small value of CL_{s+b} is possible even if the expected signal is so small that it cannot be tested with the experiment. To minimize the possibility of excluding a signal to which there is insufficient sensitivity (an outcome expected 5% of the time at the 95% C.L., for full coverage), we use the quantity $CL_s = CL_{s+b}/CL_b$. If $CL_s < 0.05$ for a particular choice of H_1 , that hypothesis is deemed to be excluded at the 95% C.L. In an analogous way, the expected CL_b , CL_{s+b} and CL_s values are computed from the median of the LLR distribution for the background-only hypothesis.

Dividing CL_{s+b} by CL_b incurs a median penalty of a factor of two in the expected p -value, as the distribution of CL_b is uniform under the null hypothesis. One may use CL_{s+b} directly to set limits, and solve the problem of excluding non-testable models by introducing a ‘‘power-constraint’’. The power-constrained limit (PCL) method [5, 7, 8] involves excluding models for which $CL_{s+b} < 0.05$, but if the limit thus obtained makes an excursion below a previously chosen lower bound, to quote the lower-bound limit instead. The ATLAS collaboration sets this lower bound at -1σ in the distribution of limits expected from background-only outcomes. The PCL method thus retains the desired coverage rate and does not exclude untestable models, and it provides by construction stronger expected and observed limits than CL_s . The expected and observed PCL limits with Tevatron data may be estimated from Figure 9 and Table VIII which show and list the observed and expected values of CL_{s+b} as functions of m_H . Nowhere in the range is there more than a 1σ downward fluctuation relative to the background prediction, and so a similar power constraint to the one ATLAS applies would not have an effect on the observed limits. With the PCL method, the region of m_H expected to be excluded at the 95% C.L. grows by $\sim 40\%$ compared to that obtained with the CL_s method. The PCL method has a larger false exclusion rate than the CL_s and Bayesian methods that we use to quote our results, the distribution of possible limits under the null hypothesis is highly asymmetrical, and this distribution depends on the arbitrary choice of the location of the power constraint. We decided to continue quoting CL_s and Bayesian limits also because they provide a strong numerical cross-check of each other, and they are comparable to those of LEP [62], CMS [6], and our own previous results [3].

Systematic uncertainties are included by fluctuating the predictions for signal and background rates in each bin of each histogram in a correlated way when generating the pseudo-experiments used to compute CL_{s+b} and CL_b .

C. Systematic Uncertainties

Systematic uncertainties differ between experiments and analyses, and they affect the rates and shapes of the predicted signal and background in correlated ways. The combined results incorporate the sensitivity of predictions to values of nuisance parameters, and include correlations between rates and shapes, between signals and backgrounds, and between channels within experiments and between experiments. More discussion on this topic can be found in the individual analysis notes [1] through [14]. Here we consider only the largest contributions and correlations between and within the two experiments.

1. Correlated Systematics between CDF and D0

The uncertainties on the measurements of the integrated luminosities are 6% (CDF) and 6.1% (D0). Of these values, 4% arises from the uncertainty on the inelastic $p\bar{p}$ scattering cross section, which is correlated between CDF and D0. CDF and D0 also share the assumed values and uncertainties on the production cross sections for top-quark processes ($t\bar{t}$ and single top) and for electroweak processes (WW , WZ , and ZZ). In order to provide a consistent combination, the values of these cross sections assumed in each analysis are brought into agreement. We use $\sigma_{t\bar{t}} = 7.04_{-0.36}^{+0.24}$ (scale) ± 0.14 (PDF) ± 0.30 (mass), following the calculation of Moch and Uwer [63], assuming a top quark mass $m_t = 173.0 \pm 1.2$ GeV/ c^2 [24], and using the MSTW2008nnlo PDF set [31]. Other calculations of $\sigma_{t\bar{t}}$ are similar [64].

For single top, we use the NLL t -channel calculation of Kidonakis [65], which has been updated using the MSTW2008nnlo PDF set [31] [66]. For the s -channel process we use [67], again based on the MSTW2008nnlo PDF set. Both of the cross section values below are the sum of the single t and single \bar{t} cross sections, and both assume $m_t = 173 \pm 1.2$ GeV.

$$\sigma_{t\text{-chan}} = 2.10 \pm 0.027(\text{scale}) \pm 0.18(\text{PDF}) \pm 0.045(\text{mass})\text{pb.} \quad (5)$$

$$\sigma_{s\text{-chan}} = 1.046 \pm 0.006(\text{scale}) \pm 0.059 (\text{PDF}) \pm 0.030 (\text{mass}) \text{ pb.} \quad (6)$$

Other calculations of $\sigma_{\text{SingleTop}}$ are similar for our purposes [68].

mcfm [20] has been used to compute the NLO cross sections for WW , WZ , and ZZ production [69]. Using a scale choice $\mu_0 = M_V^2 + p_T^2(V)$ and the MSTW2008 PDF set [31], the cross section for inclusive W^+W^- production is

$$\sigma_{W^+W^-} = 11.34_{-0.49}^{+0.56} (\text{scale})_{-0.28}^{+0.35}(\text{PDF})\text{pb} \quad (7)$$

and the cross section for inclusive $W^\pm Z$ production is

$$\sigma_{W^\pm Z} = 3.22_{-0.17}^{+0.20} (\text{scale})_{-0.08}^{+0.11} (\text{PDF}) \text{ pb} \quad (8)$$

For the Z , leptonic decays are used in the definition of the cross section, and we assume both γ and Z exchange. The $W^\pm Z$ cross section quoted above involves the requirement $75 \leq m_{\ell+\ell^-} \leq 105$ GeV for the leptons from the neutral current exchange. The same dilepton invariant mass requirement is applied to both sets of leptons in determining the ZZ cross section which is

$$\sigma_{ZZ} = 1.20_{-0.04}^{+0.05} (\text{scale})_{-0.03}^{+0.04} (\text{PDF}) \text{ pb} \quad (9)$$

For the diboson cross section calculations, we use $|\eta_\ell| < 5$ for all calculations. Loosening this requirement to include all leptons leads to $\sim +0.4\%$ change in the predictions. Lowering the factorization and renormalization scales by a factor of two increases the cross section, and raising the scales by a factor of two decreases the cross section. The PDF uncertainty has the same fractional impact on the predicted cross section independent of the scale choice. All PDF uncertainties are computed as the quadrature sum of the twenty 68% C.L. eigenvectors provided with MSTW2008 (MSTW2008nlo68cl). We furthermore constrain $\sigma_{W^+W^-}$ in the signal regions of the channels in the process of setting

our limits, either by integration over the uncertain parameters, or by a direct fit, depending on the method. Our posterior constraint on σ_{W+W^-} has a fractional uncertainty of $\pm 4\%$, which includes the prior theoretical constraint of $\pm 6\%$, indicating that the data and the theoretical prediction are approximately equally constraining on σ_{W+W^-} .

In many analyses, the dominant background yields are calibrated with data control samples. Since the methods of measuring the multijet (“QCD”) backgrounds differ between CDF and D0, and even between analyses within the collaborations, there is no correlation assumed between these rates. Similarly, the large uncertainties on the background rates for W +heavy flavor (HF) and Z +heavy flavor are considered at this time to be uncorrelated, as both CDF and D0 estimate these rates using data control samples, but employ different techniques. The calibrations of fake leptons, unvetoes $\gamma \rightarrow e^+e^-$ conversions, b -tag efficiencies and mistag rates are performed by each collaboration using independent data samples and methods, and are therefore also treated as uncorrelated.

2. Correlated Systematic Uncertainties for CDF

The dominant systematic uncertainties for the CDF analyses are shown in the Appendix in Tables IX, X, and XI for the $H \rightarrow W^+W^- \rightarrow \ell'^{\pm}\nu\ell''^{\mp}\nu$ channels, in Table XII for the $WH \rightarrow WWW \rightarrow \ell'^{\pm}\ell'^{\pm}$ and $WH \rightarrow WWW \rightarrow \ell^{\pm}\ell^{\pm}\ell''^{\mp}$ channels, and in Table XIII for the $ZH \rightarrow ZWW \rightarrow \ell^{\pm}\ell^{\mp}\ell'^{\pm}$ channels. Each source induces a correlated uncertainty across all CDF channels’ signal and background contributions which are sensitive to that source. Shape dependencies of templates on jet energy scale and “FSR”) are taken into account in the analyses (see tables). For $H \rightarrow W^+W^-$, the largest uncertainties on signal acceptance originate from Monte Carlo modeling. Uncertainties on background event rates vary significantly for the different processes. The backgrounds with the largest systematic uncertainties are in general quite small. Such uncertainties are constrained by fits to the nuisance parameters, and they do not affect the result significantly. Because the largest background contributions are measured using data, these uncertainties are treated as uncorrelated for the $H \rightarrow b\bar{b}$ channels. The differences in the resulting limits when treating the remaining uncertainties as either correlated or uncorrelated, is less than 5%.

3. Correlated Systematic Uncertainties for D0

The dominant systematic uncertainties for the D0 analyses are shown in the Appendix, in Tables XIV, XV, XVI, XVII, XVIII, and XIX. Each source induces a correlated uncertainty across all D0 channels sensitive to that source. Wherever appropriate the impact of systematic effects on both the rate and shape of the predicted signal and background is included. For the $H \rightarrow W^+W^-$ and $VH \rightarrow \ell^{\pm}\ell'^{\pm} + X$ analyses, a significant source of uncertainty is the measured efficiencies for selecting leptons. Significant sources for all analyses are the uncertainties on the luminosity and the cross sections for the simulated backgrounds. For analyses involving jets the determination of the jet energy scale, jet resolution and the multijet background contribution are significant sources of uncertainty. All systematic uncertainties arising from the same source are taken to be correlated among the different backgrounds and between signal and background.

VI. COMBINED RESULTS

Before extracting the combined limits we study the distributions of the log-likelihood ratio (LLR) for different hypotheses, to quantify the expected sensitivity across the mass range tested. Figure 6 displays the LLR distributions for the combined analyses as functions of m_H . Included are the median of the LLR distributions for the background-only hypothesis (LLR_b), the signal-plus-background hypothesis (LLR_{s+b}), and the observed value for the data (LLR_{obs}). The shaded bands represent the one and two standard deviation (σ) departures for LLR_b centered on the median. Table IV lists the observed and expected LLR values shown in Figure 6.

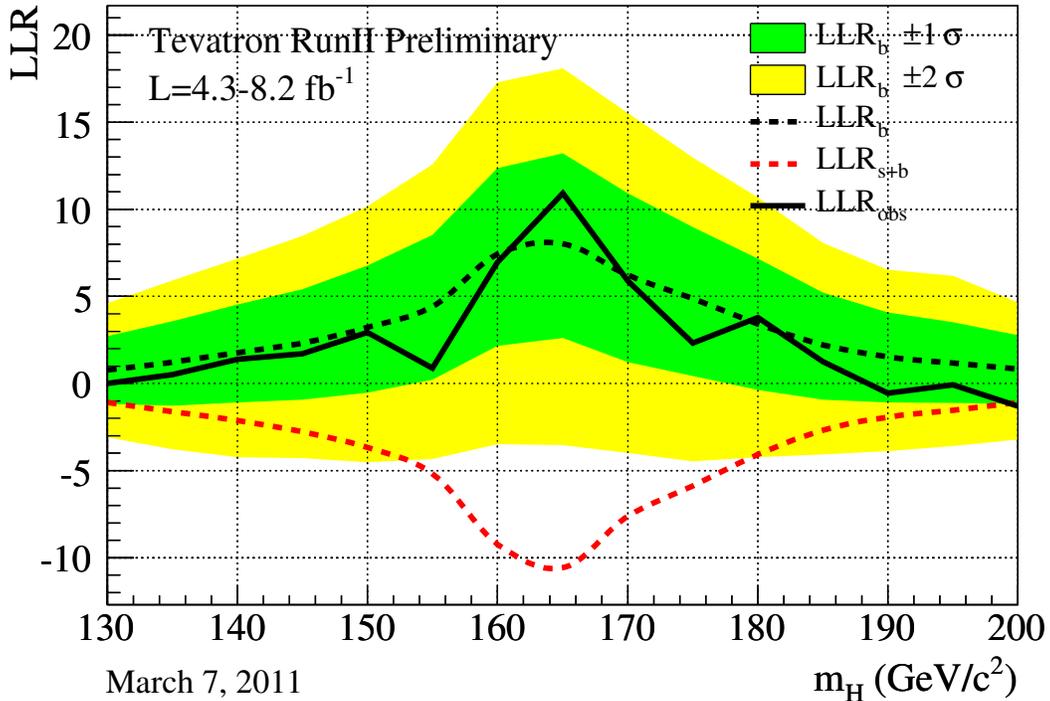


FIG. 6: Distributions of the log-likelihood ratio (LLR) as a function of Higgs mass obtained with the CL_s method for the combination of all CDF and D0 analyses.

These distributions can be interpreted as follows: The separation between the medians of the LLR_b and LLR_{s+b} distributions provides a measure of the discriminating power of the search. The sizes of the one- and two- σ LLR_b bands indicate the width of the LLR_b distribution, assuming no signal is truly present and only statistical fluctuations and systematic effects are present. The value of LLR_{obs} relative to LLR_{s+b} and LLR_b indicates whether the data distribution appears to resemble what we expect if a signal is present (i.e. closer to the LLR_{s+b} distribution, which is negative by construction) or whether it resembles the background expectation more closely; the significance of any departures of LLR_{obs} from LLR_b can be evaluated by the width of the LLR_b bands.

Using the combination procedures outlined in Section III, we extract limits on SM Higgs boson production $\sigma \times B(H \rightarrow X)$ in $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV for $130 \leq m_H \leq 200$ GeV/ c^2 . To facilitate comparisons with the SM and to accommodate analyses with different degrees of sensitivity, we present our results in terms of the ratio of obtained limits to the SM Higgs boson production cross section, as a function of Higgs boson mass, for test masses for which both experiments have performed dedicated searches in different channels. A value of the combined limit ratio which is equal to or less than one indicates that that particular Higgs boson mass is excluded at the 95% C.L. A value less than one indicates that a Higgs boson of that mass is excluded with a smaller cross section than the SM prediction, and that the SM prediction is excluded with more certainty than 95% C.L.

The combinations of results [1, 2] of each single experiment, as used in this Tevatron combination, yield the following ratios of 95% C.L. observed (expected) limits to the SM cross section: 0.92 (0.93) for CDF and 0.75 (0.92) for D0 at $m_H = 165$ GeV/ c^2 . Both collaborations independently exclude $m_H = 165$ GeV/ c^2 at the 95% C.L.

The ratios of the 95% C.L. expected and observed limit to the SM cross section are shown in Figure 7 for the combined CDF and D0 analyses. The observed and median expected ratios are listed for the tested Higgs boson masses in Table V for $m_H \leq 150$ GeV/ c^2 , and in Table VI for $m_H \geq 155$ GeV/ c^2 , as obtained by the Bayesian and the CL_s methods. In the following summary we quote only the limits obtained with the Bayesian method, which was chosen *a priori*. It turns out that the Bayesian limits are slightly less stringent. The corresponding limits and

TABLE IV: Log-likelihood ratio (LLR) values for the combined CDF + D0 Higgs boson search obtained using the CL_s method.

| m_H (GeV/ c^2) | LLR _{obs} | LLR _{S+B} ^{med} | LLR _B ^{-2σ} | LLR _B ^{-1σ} | LLR _B ^{med} | LLR _B ^{+1σ} | LLR _B ^{+2σ} |
|---------------------|--------------------|-----------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| 130 | 0.01 | -1.07 | 4.62 | 2.73 | 0.78 | -1.18 | -3.08 |
| 135 | 0.52 | -1.62 | 5.92 | 3.58 | 1.23 | -1.27 | -3.77 |
| 140 | 1.39 | -2.12 | 7.17 | 4.53 | 1.77 | -1.07 | -4.22 |
| 145 | 1.71 | -2.77 | 8.47 | 5.42 | 2.33 | -0.93 | -4.28 |
| 150 | 2.95 | -3.67 | 10.18 | 6.78 | 3.23 | -0.53 | -4.53 |
| 155 | 0.89 | -5.17 | 12.57 | 8.53 | 4.42 | 0.23 | -4.33 |
| 160 | 6.96 | -9.22 | 17.27 | 12.38 | 7.42 | 2.17 | -3.48 |
| 165 | 10.93 | -10.57 | 18.07 | 13.22 | 8.03 | 2.62 | -3.52 |
| 170 | 5.90 | -7.62 | 15.53 | 10.93 | 6.22 | 1.23 | -3.98 |
| 175 | 2.33 | -5.88 | 12.97 | 8.97 | 4.88 | 0.42 | -4.47 |
| 180 | 3.78 | -4.08 | 10.68 | 7.17 | 3.42 | -0.38 | -4.22 |
| 185 | 1.26 | -2.67 | 8.07 | 5.22 | 2.23 | -0.93 | -4.08 |
| 190 | -0.56 | -1.93 | 6.53 | 4.08 | 1.52 | -1.07 | -3.88 |
| 195 | -0.08 | -1.52 | 6.17 | 3.52 | 1.18 | -1.12 | -3.58 |
| 200 | -1.30 | -1.07 | 4.67 | 2.77 | 0.82 | -1.18 | -3.23 |

expected limits obtained using the CL_s method are shown alongside the Bayesian limits in the tables. We obtain the observed (expected) values of 1.31 (0.92) at $m_H = 155$ GeV/ c^2 , 0.54 (0.65) at $m_H = 165$ GeV/ c^2 , 1.13 (0.85) at $m_H = 175$ GeV/ c^2 and 1.49 (1.30) at $m_H = 185$ GeV/ c^2 .

TABLE V: Ratios of median expected and observed 95% C.L. limit to the SM cross section for the combined CDF and D0 analyses as a function of the Higgs boson mass in GeV/ c^2 , obtained with the Bayesian and with the CL_s method.

| | | | | | |
|-----------------|------|------|------|------|------|
| Bayesian | 130 | 135 | 140 | 145 | 150 |
| Expected | 2.18 | 1.72 | 1.46 | 1.31 | 1.09 |
| Observed | 2.69 | 2.05 | 1.65 | 1.42 | 1.18 |
| CL _s | 130 | 135 | 140 | 145 | 150 |
| Expected: | 2.14 | 1.72 | 1.49 | 1.29 | 1.14 |
| Observed: | 2.57 | 1.98 | 1.60 | 1.42 | 1.16 |

TABLE VI: Ratios of median expected and observed 95% C.L. limit to the SM cross section for the combined CDF and D0 analyses as a function of the Higgs boson mass in GeV/ c^2 , obtained with the Bayesian and with the CL_s method.

| | | | | | | | | | | |
|-----------------|------|------|------|------|------|------|------|------|------|------|
| Bayesian | 155 | 160 | 165 | 170 | 175 | 180 | 185 | 190 | 195 | 200 |
| Expected | 0.92 | 0.68 | 0.65 | 0.75 | 0.85 | 1.06 | 1.30 | 1.59 | 1.83 | 2.13 |
| Observed | 1.31 | 0.72 | 0.54 | 0.82 | 1.13 | 1.04 | 1.49 | 2.13 | 2.20 | 3.22 |
| CL _s | 155 | 160 | 165 | 170 | 175 | 180 | 185 | 190 | 195 | 200 |
| Expected | 0.92 | 0.68 | 0.64 | 0.77 | 0.87 | 1.05 | 1.32 | 1.60 | 1.82 | 2.09 |
| Observed | 1.28 | 0.70 | 0.52 | 0.80 | 1.09 | 1.03 | 1.49 | 2.13 | 2.22 | 3.13 |

We show in Figure 8 and list in Table VII the observed 1-CL_s and its expected distribution for the background-only hypothesis as a function of the Higgs boson mass. This is directly interpreted as the level of exclusion of our search using the CL_s method. The region excluded at the 95% C.L. agrees very well with that obtained via the Bayesian calculation.

In addition, we provide in Figure 9 (and listed in Table VIII) the values for the observed $1-\text{CL}_{s+b}$ and its expected distribution as a function of m_H . The value CL_{s+b} is the p -value for the signal-plus-background hypothesis. These values can be used to obtain alternative upper limits that are more constraining compared to those obtained via the CL_s method. In such a formulation, the power of the search is limited at a level chosen *a priori* to avoid setting limits when the background model grossly overpredicts the data or the data exhibit a large background-like fluctuation (*e.g.*, limit at the -1σ background fluctuation level.). Within Figure 9, 95% C.L. power-constrained limits can be found at the points for which $1-\text{CL}_{s+b}$ exceeds 95%. The expected range of exclusion is $\sim 40\%$ larger using PCL than the Bayesian and CL_s limits quoted here. We continue our convention of quoting Bayesian and CL_s limits however.

In summary, we combine CDF and D0 results on SM Higgs boson searches, based on luminosities up to 8.2 fb^{-1} . Compared to our previous combination, more data have been added to the existing channels, additional channels have been included, and analyses have been further optimized to gain sensitivity. We use the recommendation of the PDF4LHC working group for the central value of the parton distribution functions and uncertainties [32]. We use the

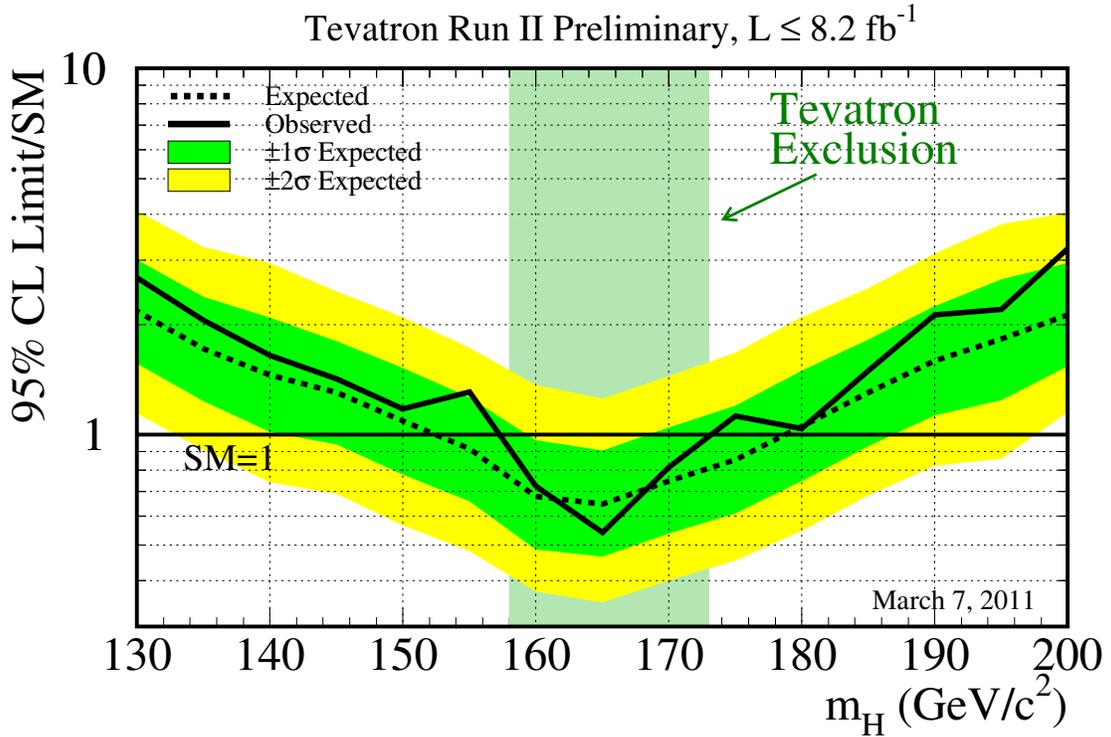


FIG. 7: Observed and expected (median, for the background-only hypothesis) 95% C.L. upper limits on the ratios to the SM cross section, as functions of the Higgs boson mass for the combined CDF and D0 analyses. The limits are expressed as a multiple of the SM prediction for test masses (every $5 \text{ GeV}/c^2$) for which both experiments have performed dedicated searches in different channels. The points are joined by straight lines for better readability. The bands indicate the 68% and 95% probability regions where the limits can fluctuate, in the absence of signal. The limits displayed in this figure are obtained with the Bayesian calculation.

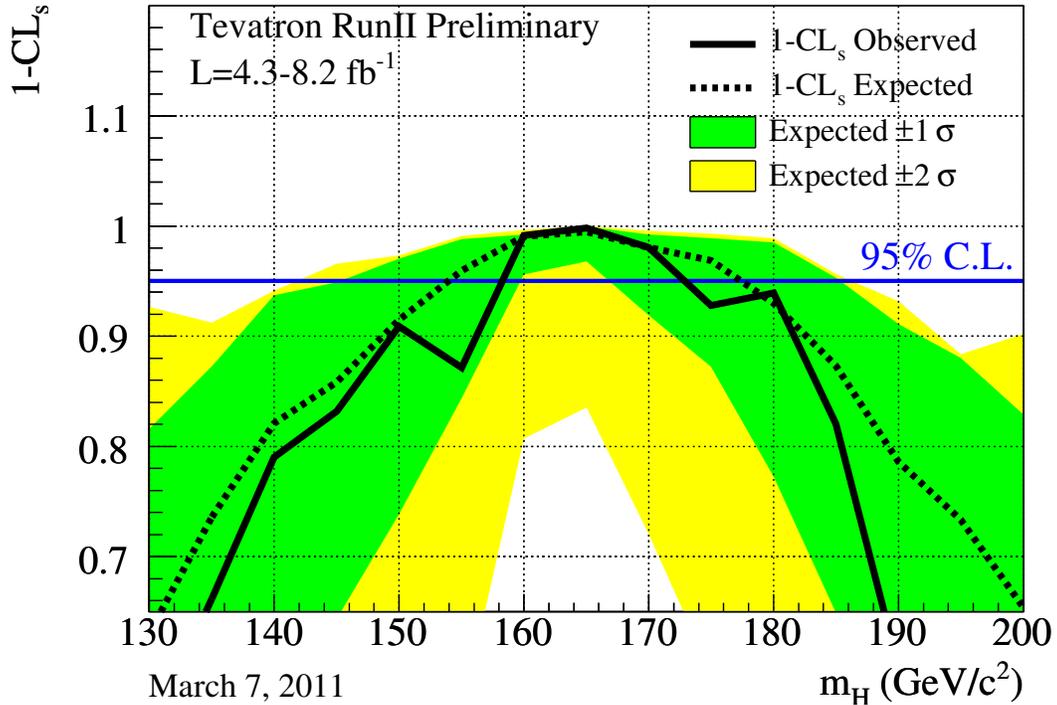


FIG. 8: The exclusion strength $1-CL_s$ as a function of the Higgs boson mass (in steps of $5 \text{ GeV}/c^2$), as obtained with CL_s method for the combination of the CDF and D0 analyses.

highest-order calculations of $gg \rightarrow H$, WH , ZH , and VBF theoretical cross sections when comparing our limits to the SM predictions at high mass. We include consensus estimates of the theoretical uncertainties on these production cross sections and the decay branching fractions in the computations of our limits.

The 95% C.L. upper limit on Higgs boson production is a factor of 0.54 times the SM cross section for a Higgs boson mass of $m_H = 165 \text{ GeV}/c^2$. Based on simulation, the corresponding median expected upper limit is 0.65 times the SM cross section. Standard Model branching ratios, calculated as functions of the Higgs boson mass, are assumed.

We choose to use the intersections of piecewise linear interpolations of our observed and expected rate limits in order to quote ranges of Higgs boson masses that are excluded and that are expected to be excluded. The sensitivities of our searches to Higgs bosons are smooth functions of the Higgs boson mass and depend most strongly on the predicted cross sections and the decay branching ratios (the decay $H \rightarrow W^+W^-$ is the dominant decay for the region of highest sensitivity). The mass resolution of the channels is poor due to the presence of two highly energetic neutrinos in signal events. We therefore use the linear interpolations to extend the results from the $5 \text{ GeV}/c^2$ mass grid investigated to points in between. This procedure yields higher expected and observed interpolated rate limits than if the full dependence of the cross section and branching ratio were included as well, since the latter produces limit curves that are concave upwards. We exclude in this way the region $158 < m_H < 173 \text{ GeV}/c^2$ at the 95% C.L. The expected exclusion region, given the current sensitivity, is $153 < m_H < 179 \text{ GeV}/c^2$. The excluded region obtained by finding the intersections of the linear interpolations of the observed $1 - CL_s$ curve shown in Figure 8 is slightly larger than that obtained with the Bayesian calculation. As previously stated, and following the procedure used in previous combinations [3], we make the *a priori* choice to quote the exclusion region using the Bayesian calculation.

The results presented in this paper significantly extend the individual limits of each collaboration and those obtained in our previous combination. The sensitivity of our combined search is sufficient to exclude a Higgs boson at high mass and is expected to grow substantially in the future as more data are added and further improvements are made to the analysis techniques.

TABLE VII: The observed and expected $1-CL_s$ values as functions of m_H , for the combined CDF and D0 Higgs boson searches.

| m_H (GeV/c^2) | $1-CL_s^{\text{obs}}$ | $1-CL_s^{-2\sigma}$ | $1-CL_s^{-1\sigma}$ | $1-CL_s^{\text{median}}$ | $1-CL_s^{+1\sigma}$ | $1-CL_s^{+2\sigma}$ |
|----------------------------|-----------------------|---------------------|---------------------|--------------------------|---------------------|---------------------|
| 130 | 0.530 | 0.929 | 0.816 | 0.638 | 0.366 | 0.130 |
| 135 | 0.654 | 0.925 | 0.888 | 0.729 | 0.466 | 0.167 |
| 140 | 0.790 | 0.923 | 0.919 | 0.811 | 0.549 | 0.233 |
| 145 | 0.840 | 0.956 | 0.950 | 0.865 | 0.636 | 0.283 |
| 150 | 0.909 | 0.985 | 0.980 | 0.916 | 0.737 | 0.398 |
| 155 | 0.864 | 0.987 | 0.984 | 0.951 | 0.834 | 0.545 |
| 160 | 0.991 | 0.995 | 0.992 | 0.990 | 0.952 | 0.795 |
| 165 | 0.998 | 1.000 | 0.999 | 0.995 | 0.967 | 0.839 |
| 170 | 0.982 | 0.997 | 0.994 | 0.987 | 0.923 | 0.727 |
| 175 | 0.933 | 0.999 | 0.995 | 0.970 | 0.876 | 0.614 |
| 180 | 0.941 | 0.989 | 0.980 | 0.929 | 0.785 | 0.476 |
| 185 | 0.820 | 0.947 | 0.943 | 0.866 | 0.650 | 0.311 |
| 190 | 0.598 | 0.918 | 0.914 | 0.775 | 0.519 | 0.227 |
| 195 | 0.602 | 0.931 | 0.899 | 0.751 | 0.469 | 0.203 |
| 200 | 0.370 | 0.925 | 0.823 | 0.647 | 0.387 | 0.121 |

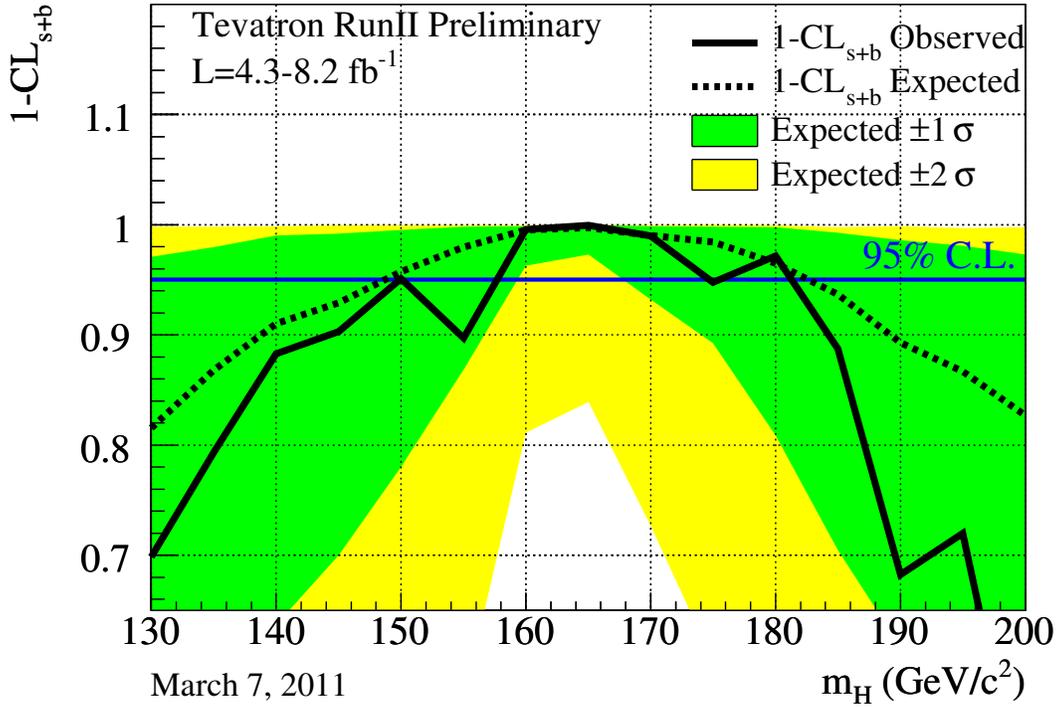
FIG. 9: The signal exclusion p -value $1-CL_{s+b}$ as a function of the Higgs boson mass (in steps of $5 \text{ GeV}/c^2$) for the combination of the CDF and D0 analyses.

TABLE VIII: The observed and expected 1-CL_{s+b} values as functions of m_H , for the combined CDF and D0 Higgs boson searches.

| m_H (GeV/c^2) | $1\text{-CL}_{s+b}^{\text{obs}}$ | $1\text{-CL}_{s+b}^{-2\sigma}$ | $1\text{-CL}_{s+b}^{-1\sigma}$ | $1\text{-CL}_{s+b}^{\text{median}}$ | $1\text{-CL}_{s+b}^{+1\sigma}$ | $1\text{-CL}_{s+b}^{+2\sigma}$ |
|----------------------------|----------------------------------|--------------------------------|--------------------------------|-------------------------------------|--------------------------------|--------------------------------|
| 130 | 0.69856 | 0.99833 | 0.97078 | 0.81544 | 0.47078 | 0.16344 |
| 135 | 0.79378 | 0.99800 | 0.97978 | 0.86800 | 0.55056 | 0.20189 |
| 140 | 0.88293 | 0.99840 | 0.99000 | 0.91040 | 0.63680 | 0.23773 |
| 145 | 0.90322 | 0.99922 | 0.99189 | 0.92922 | 0.70000 | 0.32522 |
| 150 | 0.95089 | 0.99922 | 0.99533 | 0.95744 | 0.78011 | 0.40944 |
| 155 | 0.89711 | 0.99956 | 0.99811 | 0.97967 | 0.86833 | 0.56667 |
| 160 | 0.99551 | 0.99956 | 0.99878 | 0.99533 | 0.96289 | 0.81144 |
| 165 | 0.99951 | 0.99993 | 0.99993 | 0.99746 | 0.97289 | 0.83888 |
| 170 | 0.98971 | 0.99956 | 0.99878 | 0.99022 | 0.93189 | 0.72667 |
| 175 | 0.94778 | 0.99967 | 0.99822 | 0.98444 | 0.89267 | 0.60356 |
| 180 | 0.97163 | 0.99928 | 0.99763 | 0.96493 | 0.80864 | 0.48246 |
| 185 | 0.88767 | 0.99767 | 0.99256 | 0.93656 | 0.70467 | 0.34278 |
| 190 | 0.68256 | 0.99844 | 0.98589 | 0.89300 | 0.61711 | 0.24367 |
| 195 | 0.71978 | 0.99700 | 0.98100 | 0.86678 | 0.55878 | 0.20411 |
| 200 | 0.45800 | 0.99778 | 0.97289 | 0.82689 | 0.47867 | 0.15800 |

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Appendices

APPENDIX A: SYSTEMATIC UNCERTAINTIES

TABLE IX: Systematic uncertainties on the signal and background contributions for CDF's $H \rightarrow W^+W^- \rightarrow \ell^\pm \ell'^\mp$ channels with zero, one, and two or more associated jets. These channels are sensitive to gluon fusion production (all channels) and WH, ZH and VBF production. Systematic uncertainties are listed by name (see the original references for a detailed explanation of their meaning and on how they are derived). Systematic uncertainties for H shown in this table are obtained for $m_H = 160$ GeV/ c^2 . Uncertainties are relative, in percent, and are symmetric unless otherwise indicated. The uncertainties associated with the different background and signal processed are correlated within individual jet categories unless otherwise noted. Boldface and italics indicate groups of uncertainties which are correlated with each other but not the others on the line.

CDF: $H \rightarrow W^+W^- \rightarrow \ell^\pm \ell'^\mp$ with no associated jet channel relative uncertainties (%)

| Contribution | <i>WW</i> | <i>WZ</i> | <i>ZZ</i> | <i>t\bar{t}</i> | DY | <i>Wγ</i> | <i>W+jet</i> | <i>gg \rightarrow H</i> | <i>WH</i> | <i>ZH</i> | VBF |
|---------------------------|------------|-------------|-------------|------------------------------|-------------|-----------------------------|--------------|--------------------------------------|-------------|-------------|-------------|
| Cross Section : | | | | | | | | | | | |
| Scale | | | | | | | | 7.0 | | | |
| PDF Model | | | | | | | | 7.6 | | | |
| Total | <i>6.0</i> | <i>6.0</i> | <i>6.0</i> | 7.0 | | | | | 5.0 | 5.0 | 10.0 |
| Acceptance : | | | | | | | | | | | |
| Scale (jets) | <i>0.3</i> | | | | | | | | | | |
| PDF Model (leptons) | | | | | | | | 2.7 | | | |
| PDF Model (jets) | <i>1.1</i> | | | | | | | 5.5 | | | |
| Higher-order Diagrams | | <i>10.0</i> | <i>10.0</i> | 10.0 | | 10.0 | | | 10.0 | 10.0 | 10.0 |
| \cancel{E}_T Modeling | | | | | 19.5 | | | | | | |
| Conversion Modeling | | | | | | 10.0 | | | | | |
| Jet Fake Rates | | | | | | | | | | | |
| (Low S/B) | | | | | | | | 22.0 | | | |
| (High S/B) | | | | | | | | 26.0 | | | |
| Jet Energy Scale | <i>2.6</i> | <i>6.1</i> | <i>3.4</i> | <i>26.0</i> | <i>17.5</i> | <i>3.1</i> | | <i>5.0</i> | <i>10.5</i> | <i>5.0</i> | <i>11.5</i> |
| Lepton ID Efficiencies | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | | | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> |
| Trigger Efficiencies | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | | | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> |
| Luminosity | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | | | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> |
| Luminosity Monitor | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | | | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> |

CDF: $H \rightarrow W^+W^- \rightarrow \ell^\pm \ell'^\mp$ with one associated jet channel relative uncertainties (%)

| Contribution | <i>WW</i> | <i>WZ</i> | <i>ZZ</i> | <i>t\bar{t}</i> | DY | <i>Wγ</i> | <i>W+jet</i> | <i>gg \rightarrow H</i> | <i>WH</i> | <i>ZH</i> | VBF |
|---------------------------|-------------|-------------|-------------|------------------------------|-------------|-----------------------------|--------------|--------------------------------------|-------------|-------------|-------------|
| Cross Section : | | | | | | | | | | | |
| Scale | | | | | | | | 23.5 | | | |
| PDF Model | | | | | | | | 17.3 | | | |
| Total | <i>6.0</i> | <i>6.0</i> | <i>6.0</i> | 7.0 | | | | | 5.0 | 5.0 | 10.0 |
| Acceptance : | | | | | | | | | | | |
| Scale (jets) | <i>-4.0</i> | | | | | | | | | | |
| PDF Model (leptons) | | | | | | | | 3.6 | | | |
| PDF Model (jets) | <i>4.7</i> | | | | | | | -6.3 | | | |
| Higher-order Diagrams | | <i>10.0</i> | <i>10.0</i> | 10.0 | | 10.0 | | | 10.0 | 10.0 | 10.0 |
| \cancel{E}_T Modeling | | | | | 20.0 | | | | | | |
| Conversion Modeling | | | | | | 10.0 | | | | | |
| Jet Fake Rates | | | | | | | | | | | |
| (Low S/B) | | | | | | | | 23.0 | | | |
| (High S/B) | | | | | | | | 29.0 | | | |
| Jet Energy Scale | <i>-5.5</i> | <i>-1.0</i> | <i>-4.3</i> | <i>-13.0</i> | <i>-6.5</i> | <i>-9.5</i> | | <i>-4.0</i> | <i>-8.5</i> | <i>-7.0</i> | <i>-6.5</i> |
| Lepton ID Efficiencies | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | | | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> |
| Trigger Efficiencies | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | | | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> |
| Luminosity | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | | | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> |
| Luminosity Monitor | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | | | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> |

CDF: $H \rightarrow W^+W^- \rightarrow \ell^\pm \ell'^\mp$ with two or more associated jets channel relative uncertainties (%)

| Contribution | WW | WZ | ZZ | $t\bar{t}$ | DY | $W\gamma$ | $W+\text{jet}$ | $gg \rightarrow H$ | WH | ZH | VBF |
|---------------------------|------------|------------|------------|------------|------------|-----------|----------------|--------------------|-------------|-------------|-------------|
| Cross Section : | | | | | | | | | | | |
| Scale | | | | | | | | 33.0 | | | |
| PDF Model | | | | | | | | 29.7 | | | |
| Total | <i>6.0</i> | <i>6.0</i> | <i>6.0</i> | 7.0 | | | | | 5.0 | 5.0 | 10.0 |
| Acceptance : | | | | | | | | | | | |
| Scale (jets) | -8.2 | | | | | | | | | | |
| PDF Model (leptons) | | | | | | | | 4.8 | | | |
| PDF Model (jets) | 4.2 | | | | | | | -12.3 | | | |
| Higher-order Diagrams | | 10.0 | 10.0 | 10.0 | | 10.0 | | | 10.0 | 10.0 | 10.0 |
| \cancel{E}_T Modeling | | | | | 25.5 | | | | | | |
| Conversion Modeling | | | | | | 10.0 | | | | | |
| Jet Fake Rates | | | | | | | 28.0 | | | | |
| Jet Energy Scale | -14.8 | -12.9 | -12.1 | -1.7 | -29.2 | -22.0 | | -17.0 | -4.0 | -2.3 | -4.0 |
| b -tag Veto | | | | 3.8 | | | | | | | |
| Lepton ID Efficiencies | 3.8 | 3.8 | 3.8 | 3.8 | 3.8 | | | 3.8 | 3.8 | 3.8 | 3.8 |
| Trigger Efficiencies | 2.0 | 2.0 | 2.0 | 2.0 | 2.0 | | | 2.0 | 2.0 | 2.0 | 2.0 |
| Luminosity | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | | | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> |
| Luminosity Monitor | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | | | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> |

TABLE X: Systematic uncertainties on the signal and background contributions for CDF's low- $M_{\ell\ell}$ $H \rightarrow W^+W^- \rightarrow \ell^\pm \ell'^\mp$ channel with zero or one associated jets. This channel is sensitive to only gluon fusion production. Systematic uncertainties are listed by name (see the original references for a detailed explanation of their meaning and on how they are derived). Systematic uncertainties for H shown in this table are obtained for $m_H = 160$ GeV/ c^2 . Uncertainties are relative, in percent, and are symmetric unless otherwise indicated. The uncertainties associated with the different background and signal processed are correlated within individual categories unless otherwise noted. In these special cases, the correlated uncertainties are shown in either italics or bold face text.

CDF: low $M_{\ell\ell}$ $H \rightarrow W^+W^- \rightarrow \ell^\pm \ell'^\mp$ with zero or one associated jets channel relative uncertainties (%)

| Contribution | WW | WZ | ZZ | $t\bar{t}$ | DY | $W\gamma$ | $W+\text{jet}(s)$ | $gg \rightarrow H$ |
|---------------------------|------------|------------|------------|------------|------------|-----------|-------------------|--------------------|
| Cross Section : | | | | | | | | |
| Scale | | | | | | | | 12.0 |
| PDF Model | | | | | | | | 10.5 |
| Total | <i>6.0</i> | <i>6.0</i> | <i>6.0</i> | 7.0 | 5.0 | | | |
| Acceptance : | | | | | | | | |
| Scale (jets) | -0.4 | | | | | | | |
| PDF Model (leptons) | | | | | | | | 1.0 |
| PDF Model (jets) | 1.6 | | | | | | | 2.1 |
| Higher-order Diagrams | | 10.0 | 10.0 | 10.0 | 10.0 | | | |
| Jet Energy Scale | 1.0 | 2.3 | 2.0 | 12.9 | 6.4 | 1.3 | | 2.4 |
| Conversion Modeling | | | | | | 10.0 | | |
| Jet Fake Rates | | | | | | | 18.4 | |
| Lepton ID Efficiencies | 3.8 | 3.8 | 3.8 | 3.8 | 3.8 | | | 3.8 |
| Trigger Efficiencies | 2.0 | 2.0 | 2.0 | 2.0 | 2.0 | | | 2.0 |
| Luminosity | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | | | <i>3.8</i> |
| Luminosity Monitor | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | | | <i>4.4</i> |

TABLE XII: Systematic uncertainties on the signal and background contributions for CDF's $WH \rightarrow WWW \rightarrow \ell^\pm \ell'^\pm$ channel with one or more associated jets and $WH \rightarrow WWW \rightarrow \ell^\pm \ell'^\pm \ell''^\mp$ channel. These channels are sensitive to only WH and ZH production. Systematic uncertainties are listed by name (see the original references for a detailed explanation of their meaning and on how they are derived). Systematic uncertainties for H shown in this table are obtained for $m_H = 160 \text{ GeV}/c^2$. Uncertainties are relative, in percent, and are symmetric unless otherwise indicated. The uncertainties associated with the different background and signal processed are correlated within individual categories unless otherwise noted. In these special cases, the correlated uncertainties are shown in either italics or bold face text.

CDF: $WH \rightarrow WWW \rightarrow \ell^\pm \ell'^\pm$ channel relative uncertainties (%)

| Contribution | WW | WZ | ZZ | $t\bar{t}$ | DY | $W\gamma$ | $W+\text{jet}$ | WH | ZH |
|----------------------------|--------------|-------------|-------------|-------------|-------------|-------------|----------------|-------------|-------------|
| Cross Section | <i>6.0</i> | <i>6.0</i> | <i>6.0</i> | 7.0 | 5.0 | | | 5.0 | 5.0 |
| Scale (Acceptance) | <i>-6.1</i> | | | | | | | | |
| PDF Model (Acceptance) | <i>5.7</i> | | | | | | | | |
| Higher-order Diagrams | | <i>10.0</i> | <i>10.0</i> | 10.0 | 10.0 | 10.0 | | 10.0 | 10.0 |
| Conversion Modeling | | | | | | 10.0 | | | |
| Jet Fake Rates | | | | | | | 38.5 | | |
| Jet Energy Scale | <i>-14.0</i> | <i>-3.9</i> | <i>-2.8</i> | <i>-0.6</i> | <i>-7.7</i> | <i>-7.6</i> | | <i>-1.0</i> | <i>-0.7</i> |
| Charge Mismeasurement Rate | <i>19.0</i> | | | <i>19.0</i> | <i>19.0</i> | | | | |
| Lepton ID Efficiencies | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | | | <i>3.8</i> | <i>3.8</i> |
| Trigger Efficiencies | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | <i>2.0</i> | | | <i>2.0</i> | <i>2.0</i> |
| Luminosity | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | <i>3.8</i> | | | <i>3.8</i> | <i>3.8</i> |
| Luminosity Monitor | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | <i>4.4</i> | | | <i>4.4</i> | <i>4.4</i> |

CDF: $WH \rightarrow WWW \rightarrow \ell^\pm \ell'^\pm \ell''^\mp$ channel relative uncertainties (%)

| Contribution | WZ | ZZ | $Z\gamma$ | $t\bar{t}$ | Fakes | WH | ZH |
|---------------------------|-------------|-------------|-------------|------------|-------|-------------|-------------|
| Cross Section | <i>6.0</i> | <i>6.0</i> | 10.0 | 7.0 | | 5.0 | 5.0 |
| Higher-order Diagrams | <i>10.0</i> | <i>10.0</i> | 15.0 | 10.0 | | 10.0 | 10.0 |
| Jet Energy Scale | | | <i>-2.7</i> | | | | |
| Jet Fake Rates | | | | | 25.6 | | |
| b -Jet Fake Rates | | | | 27.3 | | | |
| MC Run Dependence | | | 5.0 | | | | |
| Lepton ID Efficiencies | <i>5.0</i> | <i>5.0</i> | | <i>5.0</i> | | <i>5.0</i> | <i>5.0</i> |
| Trigger Efficiencies | <i>2.0</i> | <i>2.0</i> | | <i>2.0</i> | | <i>2.0</i> | <i>2.0</i> |
| Luminosity | <i>3.8</i> | <i>3.8</i> | | <i>3.8</i> | | <i>3.8</i> | <i>3.8</i> |
| Luminosity Monitor | <i>4.4</i> | <i>4.4</i> | | <i>4.4</i> | | <i>4.4</i> | <i>4.4</i> |

TABLE XIII: Systematic uncertainties on the signal and background contributions for CDF's $ZH \rightarrow ZWW \rightarrow \ell^\pm \ell^\mp \ell'^\pm$ channels with 1 jet and 2 or more jets. These channels are sensitive to only WH and ZH production. Systematic uncertainties are listed by name (see the original references for a detailed explanation of their meaning and on how they are derived). Systematic uncertainties for H shown in this table are obtained for $m_H = 160 \text{ GeV}/c^2$. Uncertainties are relative, in percent, and are symmetric unless otherwise indicated. The uncertainties associated with the different background and signal processed are correlated within individual categories unless otherwise noted. In these special cases, the correlated uncertainties are shown in either italics or bold face text.

CDF: $ZH \rightarrow ZWW \rightarrow \ell^\pm \ell^\mp \ell'^\pm$ with one associated jet channel relative uncertainties (%)

| Contribution | WZ | ZZ | $Z\gamma$ | $t\bar{t}$ | Fakes | WH | ZH |
|---------------------------|-------------|-------------|-------------|------------|-------|-------------|-------------|
| Cross Section | <i>6.0</i> | <i>6.0</i> | 10.0 | 7.0 | | 5.0 | 5.0 |
| Higher-order Diagrams | <i>10.0</i> | <i>10.0</i> | 15.0 | 10.0 | | 10.0 | 10.0 |
| Jet Energy Scale | <i>-7.6</i> | <i>-2.3</i> | <i>-5.3</i> | <i>9.4</i> | | <i>-9.0</i> | <i>8.1</i> |
| Jet Fake Rates | | | | | 24.8 | | |
| b -Jet Fake Rates | | | | 42.0 | | | |
| MC Run Dependence | | | 5.0 | | | | |
| Lepton ID Efficiencies | <i>5.0</i> | <i>5.0</i> | | <i>5.0</i> | | <i>5.0</i> | <i>5.0</i> |
| Trigger Efficiencies | <i>2.0</i> | <i>2.0</i> | | <i>2.0</i> | | <i>2.0</i> | <i>2.0</i> |
| Luminosity | <i>3.8</i> | <i>3.8</i> | | <i>3.8</i> | | <i>3.8</i> | <i>3.8</i> |
| Luminosity Monitor | <i>4.4</i> | <i>4.4</i> | | <i>4.4</i> | | <i>4.4</i> | <i>4.4%</i> |

CDF: $ZH \rightarrow ZWW \rightarrow \ell^\pm \ell^\mp \ell'^\pm$ with two or more associated jets channel relative uncertainties (%)

| Contribution | WZ | ZZ | $Z\gamma$ | $t\bar{t}$ | Fakes | WH | ZH |
|---------------------------|--------------|--------------|--------------|-------------|-------|--------------|-------------|
| Cross Section | <i>6.0</i> | <i>6.0</i> | 10.0 | 7.0 | | 5.0 | 5.0 |
| Higher-order Diagrams | <i>10.0</i> | <i>10.0</i> | 15.0 | 10.0 | | 10.0 | 10.0 |
| Jet Energy Scale | <i>-17.8</i> | <i>-13.1</i> | <i>-18.2</i> | <i>-3.6</i> | | <i>-15.4</i> | <i>-4.9</i> |
| Jet Fake Rates | | | | | 25.6 | | |
| b -Jet Fake Rates | | | | 22.2 | | | |
| MC Run Dependence | | | 5.0 | | | | |
| Lepton ID Efficiencies | <i>5.0</i> | <i>5.0</i> | | <i>5.0</i> | | <i>5.0</i> | <i>5.0</i> |
| Trigger Efficiencies | <i>2.0</i> | <i>2.0</i> | | <i>2.0</i> | | <i>2.0</i> | <i>2.0</i> |
| Luminosity | <i>3.8</i> | <i>3.8</i> | | <i>3.8</i> | | <i>3.8</i> | <i>3.8</i> |
| Luminosity Monitor | <i>4.4</i> | <i>4.4</i> | | <i>4.4</i> | | <i>4.4</i> | <i>4.4</i> |

TABLE XIV: Systematic uncertainties on the signal and background contributions for D0's $H \rightarrow WW \rightarrow \ell^\pm \ell'^\mp$ channels. Systematic uncertainties are listed by name; see the original references for a detailed explanation of their meaning and on how they are derived. Shape uncertainties are labeled with the “s” designation. Systematic uncertainties shown in this table are obtained for the $m_H = 165 \text{ GeV}/c^2$ Higgs selection. Uncertainties are relative, in percent, and are symmetric unless otherwise indicated.

D0: $H \rightarrow WW \rightarrow \ell^\pm \ell'^\mp$ channels relative uncertainties (%)

| Contribution | Diboson | $Z/\gamma^* \rightarrow \ell\ell$ | $W + jet/\gamma$ | $t\bar{t}$ | Multijet | H |
|--------------------------|---------|-----------------------------------|------------------|------------|----------|------|
| Luminosity/Normalization | 6 | 6 | 6 | 6 | 30 | 6 |
| Cross Section | 7 | 5 | 6 | 10 | – | 7-33 |
| PDF | 2.5 | 2.5 | 2.5 | 2.5 | – | 8-30 |
| EM Identification | 2.5 | 2.5 | 2.5 | 2.5 | – | 2.5 |
| Muon Identification | 4 | 4 | 4 | 4 | – | 4 |
| Vertex Confirmation (s) | 2-6 | 1-7 | 1-6 | 1-8 | – | 1-8 |
| Jet identification (s) | 2-5 | 2-5 | 2-5 | 2-5 | – | 2-5 |
| Jet Energy Scale (s) | 2-3 | 1-4 | 1-8 | 1-4 | – | 1-10 |
| Jet Energy Resolution(s) | 1-4 | 1-4 | 1-12 | 1-3 | – | 1-12 |
| B-tagging | 10 | 10 | 10 | 5 | – | 10 |

TABLE XV: Systematic uncertainties on the signal and background contributions for D0's $H \rightarrow W^+W^- \rightarrow \mu\nu\tau_{had}\nu$ channel. Systematic uncertainties are listed by name; see the original references for a detailed explanation of their meaning and on how they are derived. Shape uncertainties are labeled with the shape designation (s). Systematic uncertainties shown in this table are obtained for the $m_H = 165 \text{ GeV}/c^2$ Higgs selection. Uncertainties are relative, in percent, and are symmetric unless otherwise indicated.

D0: $H \rightarrow W^+W^- \rightarrow \mu\nu\tau_{had}\nu$ channel relative uncertainties (%)

| Contribution | Diboson | $Z/\gamma^* \rightarrow \ell\ell$ | $W + jets$ | $t\bar{t}$ | Multijet | H |
|------------------------------------|---------|-----------------------------------|------------|------------|----------|-----|
| Luminosity ($\sigma_{inel}(pp)$) | 4.6 | 4.6 | - | 4.6 | - | 4.6 |
| Luminosity Monitor | 4.1 | 4.1 | - | 4.1 | - | 4.1 |
| Trigger | 5.0 | 5.0 | - | 5.0 | - | 5.0 |
| Lepton ID | 3.7 | 3.7 | - | 3.7 | - | 3.7 |
| EM veto | 5.0 | - | - | 5.0 | - | 5.0 |
| Tau Energy Scale (s) | 1.0 | 1.1 | - | <1 | - | <1 |
| Jet Energy Scale (s) | 8.0 | <1 | - | 1.8 | - | 2.5 |
| Jet identification (s) | <1 | <1 | - | 7.5 | - | 5.0 |
| Multijet (s) | – | - | - | - | 20-50 | - |
| Cross Section | 7.0 | 4.0 | - | 10 | - | 10 |
| Modeling | 1.0 | - | 10 | - | - | 3.0 |

TABLE XVI: Systematic uncertainties on the signal and background contributions for D0’s $WH \rightarrow WWW \rightarrow \ell'^{\pm}\ell'^{\pm}$ channel. Systematic uncertainties are listed by name; see the original references for a detailed explanation of their meaning and on how they are derived. Shape uncertainties are labeled with the “shape” designation. Systematic uncertainties for signal shown in this table are obtained for $m_H = 165 \text{ GeV}/c^2$. Uncertainties are relative, in percent, and are symmetric unless otherwise indicated.

D0: $VH \rightarrow \ell^{\pm}\ell'^{\pm} + X$ Run IIa channel relative uncertainties (%)

| Contribution | WZ/ZZ | W+jet | ChargeFlip | Multijet | $VH \rightarrow \ell\ell X$ |
|---------------------------|-------|-------|------------|----------|-----------------------------|
| Cross section | 7 | 6 | 0 | 0 | 0 |
| Normalization | 4 | 4 | 0 | 0 | 0 |
| Trigger ($\mu\mu$) | 0 | 0 | 0 | 0 | 2 |
| LeptonID (ee) | 8.6 | 8.6 | 0 | 0 | 8.6 |
| LeptonID ($\mu\mu$) | 4 | 4 | 0 | 0 | 4 |
| LeptonID ($e\mu$) | 6.3 | 6.3 | 0 | 0 | 6.3 |
| JetID/JES | 2 | 2 | 0 | 0 | 2 |
| Jet-Lepton Fake | 0 | 20 | 0 | 0 | 0 |
| Instrumental (ee) | 0 | 0 | 0 | 52 | 44 |
| Instrumental ($e\mu$) | 0 | 0 | 0 | 0 | 29 |
| Instrumental ($\mu\mu$) | 0 | 0 | 0 | 155 | 42 |
| Instrumental Model | - | - | shape | shape | - |

D0: $VH \rightarrow \ell^{\pm}\ell'^{\pm} + X$ Run IIb channel relative uncertainties (%)

| Contribution | WZ/ZZ | W+jet | ChargeFlip | Multijet | $VH \rightarrow \ell\ell X$ |
|---------------------------|-------|-------|------------|----------|-----------------------------|
| Cross section | 7 | 6 | 0 | 0 | 0 |
| Normalization | 4 | 4 | 0 | 0 | 0 |
| Trigger ($\mu\mu$) | 0 | 0 | 0 | 0 | 5 |
| LeptonID (ee) | 8.6 | 8.6 | 0 | 0 | 8.6 |
| LeptonID ($\mu\mu$) | 4 | 4 | 0 | 0 | 4 |
| LeptonID ($e\mu$) | 6.3 | 6.3 | 0 | 0 | 6.3 |
| JetID/JES | 2 | 2 | 0 | 0 | 2 |
| Jet-Lepton Fake | 0 | 20 | 0 | 0 | 0 |
| Instrumental (ee) | 0 | 0 | 0 | 23 | 31 |
| Instrumental ($e\mu$) | 0 | 0 | 0 | 0 | 19 |
| Instrumental ($\mu\mu$) | 0 | 0 | 0 | 43 | 28 |
| Instrumental Model | - | - | shape | shape | - |

TABLE XVII: Systematic uncertainties on the signal and background contributions for D0's $H \rightarrow WW^* \rightarrow \ell\nu jj$ electron and muon channels. Systematic uncertainties are listed by name; see the original references for a detailed explanation of their meaning and on how they are derived. Signal uncertainties are shown for $m_H = 160 \text{ GeV}/c^2$ for all channels except for WH , shown for $m_H = 115 \text{ GeV}/c^2$. Those affecting the shape of the RF discriminant are indicated with "Y." Uncertainties are listed as relative changes in normalization, in percent, except for those also marked by "S," where the overall normalization is constant, and the value given denotes the maximum percentage change from nominal in any region of the distribution.

D0: $H \rightarrow WW^* \rightarrow \ell\nu jj$ Run II channel relative uncertainties (%)

| Contribution | Shape | W +jets | Z +jets | Top | Diboson | $gg \rightarrow H$ | $qq \rightarrow qqH$ | WH |
|-----------------------------|-------|--|-------------|-----------|--|--|----------------------|--|
| Jet energy scale | Y | $\begin{pmatrix} +6.7 \\ -5.4 \end{pmatrix}^S$ | < 0.1 | ± 0.7 | ± 3.3 | $\begin{pmatrix} +5.7 \\ -4.0 \end{pmatrix}$ | ± 1.5 | $\begin{pmatrix} +2.7 \\ -2.3 \end{pmatrix}$ |
| Jet identification | Y | $\pm 6.6^S$ | < 0.1 | ± 0.5 | ± 3.8 | ± 1.0 | ± 1.1 | ± 1.0 |
| Jet resolution | Y | $\begin{pmatrix} +6.6 \\ -4.1 \end{pmatrix}^S$ | < 0.1 | ± 0.5 | $\begin{pmatrix} +1.0 \\ -0.5 \end{pmatrix}$ | $\begin{pmatrix} +3.0 \\ -0.5 \end{pmatrix}$ | ± 0.8 | ± 1.0 |
| Association of jets with PV | Y | $\pm 3.2^S$ | $\pm 1.3^S$ | ± 1.2 | ± 3.2 | ± 2.9 | ± 2.4 | $\begin{pmatrix} +0.9 \\ -0.2 \end{pmatrix}$ |
| Luminosity | N | n/a | n/a | ± 6.1 | ± 6.1 | ± 6.1 | ± 6.1 | ± 6.1 |
| Muon trigger | Y | $\pm 0.4^S$ | < 0.1 | < 0.1 | < 0.1 | < 0.1 | < 0.1 | < 0.1 |
| Electron identification | N | ± 4.0 | ± 4.0 | ± 4.0 | ± 4.0 | ± 4.0 | ± 4.0 | ± 4.0 |
| Muon identification | N | ± 4.0 | ± 4.0 | ± 4.0 | ± 4.0 | ± 4.0 | ± 4.0 | ± 4.0 |
| ALPGEN tuning | Y | $\pm 1.1^S$ | $\pm 0.3^S$ | n/a | n/a | n/a | n/a | n/a |
| Cross Section | N | ± 6 | ± 6 | ± 10 | ± 7 | ± 10 | ± 10 | ± 6 |
| Heavy-flavor fraction | Y | ± 20 | ± 20 | n/a | n/a | n/a | n/a | n/a |
| PDF | Y | $\pm 2.0^S$ | $\pm 0.7^S$ | < 0.1^S | < 0.1^S | < 0.1^S | < 0.1^S | < 0.1^S |
| Multijet Background | Y | Electron channel ± 6.5 | | | Muon channel ± 26 | | | |

TABLE XVIII: Systematic uncertainties on the signal and background contributions for D0's $\tau\tau jj$ Run IIb channel. Systematic uncertainties for the Higgs signal shown in this table are obtained for $m_H = 135 \text{ GeV}/c^2$. Systematic uncertainties are listed by name; see the original references for a detailed explanation of their meaning and on how they are derived. Uncertainties are relative, in percent, and are symmetric unless otherwise indicated. A systematic is denoted as flat if it affects the normalization only, and as 'shape' otherwise.

D0: $\mu\tau_{had}jj$ Run IIb channel relative uncertainties (%)

| Contribution | VH Signal | VBF Signal | GGF Signal | $W + jets$ | $Z + jets$ | Top | diboson | Multijet |
|----------------------------------|-------------|--------------|--------------|------------|------------|-----------|-----------|-----------|
| Luminosity (D0 specific) | 4.1 | 4.1 | 4.1 | 4.1 | 4.1 | 4.1 | 4.1 | - |
| Luminosity (Tevatron common) | 4.6 | 4.6 | 4.6 | 4.6 | 4.6 | 4.6 | 4.6 | - |
| μ ID | 2.9 | 2.9 | 2.9 | 2.9 | 2.9 | 2.9 | 2.9 | - |
| μ trigger | 8.6 | 8.6 | 8.6 | 8.6 | 8.6 | 8.6 | 8.6 | - |
| τ energy correction | 9.8 | 9.8 | 9.8 | 9.8 | 9.8 | 9.8 | 9.8 | - |
| τ track efficiency | 1.4 | 1.4 | 1.4 | 1.4 | 1.4 | 1.4 | 1.4 | - |
| τ selection by type | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | - |
| Cross section | 6.2 | 4.9 | 33 | 6.0 | 6.0 | 10.0 | 7.0 | - |
| GGF Signal PDF | - | - | 29 | - | - | - | - | - |
| GGF H_{pT} Reweighting (Shape) | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | - |
| Vertex confirmation for jets | 4.0 | 4.0 | 4.0 | 4.0 | 4.0 | 4.0 | 4.0 | - |
| Jet ID(Shape) | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | - |
| Jet Energy Resolution (Shape) | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | - |
| Jet energy Scale (Shape) | ~ 15 | ~ 15 | ~ 15 | ~ 15 | ~ 15 | ~ 15 | ~ 15 | - |
| Jet pT | 5.5 | 5.5 | 5.5 | 5.5 | 5.5 | 5.5 | 5.5 | - |
| PDF reweighting | 2 | 2 | 2 | 2 | 2 | 2 | 2 | - |
| Multijet Normalization | - | - | - | - | - | - | - | 5.3 |
| Multijet Shape | - | - | - | - | - | - | - | ~ 15 |

D0: $e\tau_{had}jj$ Run IIb relative uncertainties (%)

| Contribution | VH Signal | VBF Signal | GGF Signal | $W + jets$ | $Z + jets$ | Top | diboson | Multijet |
|----------------------------------|-------------|--------------|--------------|------------|------------|-----------|-----------|-----------|
| Luminosity (D0 specific) | 4.1 | 4.1 | 4.1 | 4.1 | 4.1 | 4.1 | 4.1 | - |
| Luminosity (Tevatron common) | 4.6 | 4.6 | 4.6 | 4.6 | 4.6 | 4.6 | 4.6 | - |
| EM ID | 4 | 4 | 4 | 4 | 4 | 4 | 4 | - |
| e trigger | 2 | 2 | 2 | 2 | 2 | 2 | 2 | - |
| τ energy correction | 9.8 | 9.8 | 9.8 | 9.8 | 9.8 | 9.8 | 9.8 | - |
| τ track efficiency | 1.4 | 1.4 | 1.4 | 1.4 | 1.4 | 1.4 | 1.4 | - |
| τ selection by type | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | 12,4,2,7 | - |
| Cross section | 6.2 | 4.9 | 33 | 6.0 | 6.0 | 10.0 | 7.0 | - |
| GGF Signal PDF | - | - | 29 | - | - | - | - | - |
| GGF H_{pT} Reweighting (Shape) | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | - |
| Vertex confirmation for jets | 4.0 | 4.0 | 4.0 | 4.0 | 4.0 | 4.0 | 4.0 | - |
| Jet ID(Shape) | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | - |
| Jet Energy Resolution (Shape) | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | ~ 10 | - |
| Jet energy Scale (Shape) | ~ 15 | ~ 15 | ~ 15 | ~ 15 | ~ 15 | ~ 15 | ~ 15 | - |
| Jet pT | 5.5 | 5.5 | 5.5 | 5.5 | 5.5 | 5.5 | 5.5 | - |
| PDF reweighting | 2 | 2 | 2 | 2 | 2 | 2 | 2 | - |
| Multijet Normalization | - | - | - | - | - | - | - | 4.7 |
| Multijet Shape | - | - | - | - | - | - | - | ~ 15 |

TABLE XIX: Systematic uncertainties on the signal and background contributions for D0's $H \rightarrow \gamma\gamma$ channel. Systematic uncertainties for the Higgs signal shown in this table are obtained for $m_H = 125 \text{ GeV}/c^2$. Systematic uncertainties are listed by name; see the original references for a detailed explanation of their meaning and on how they are derived. Uncertainties are relative, in percent, and are symmetric unless otherwise indicated.

D0: $H \rightarrow \gamma\gamma$ channel relative uncertainties (%)

| Contribution | Background | Signal |
|-----------------------------------|------------|--------|
| Luminosity | 6 | 6 |
| Acceptance | – | 2 |
| electron ID efficiency | 2 | – |
| electron track-match inefficiency | 10 | – |
| Photon ID efficiency | 3 | 3 |
| Photon energy scale | 2 | 1 |
| Cross Section | 4 | 10 |
| Background subtraction | 15 | - |