

A Search for the Higgs Boson Using Neural Networks in Events with Missing Energy and b -quark Jets in $p\bar{p}$ Collisions at $\sqrt{s} = 1.96$ TeV

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We report on a search for the standard model Higgs boson produced in association with a W or Z boson in $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV recorded by the CDF II experiment at the Tevatron in a data sample corresponding to an integrated luminosity of 2.1 fb^{-1} . We consider events which have no identified charged leptons, an imbalance in transverse momentum, and two or three jets where at least one jet is consistent with originating from the decay of a b hadron. We find good agreement between data and predictions. We place 95% confidence level upper limits on the production cross section for several Higgs boson masses ranging from $110 \text{ GeV}/c^2$ to $150 \text{ GeV}/c^2$. For a mass of $115 \text{ GeV}/c^2$ the observed (expected) limit is 6.9 (5.6) times the standard model prediction.

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The Higgs boson is the last particle of the standard model (SM) of particle physics that remains to be discovered. The existence of the Higgs boson is expected to be the direct physical manifestation of the mechanism that provides mass to fundamental particles [1]. Expectations from electroweak data collected at the Tevatron, LEP, and SLD indirectly constrain the Higgs boson mass to $m_H < 157 \text{ GeV}/c^2$ at 95% confidence level (C.L.) [2]. Direct searches at LEP have excluded $m_H < 114.4 \text{ GeV}/c^2$ at 95% C.L. [3]. Upper limits on the production cross section from searches in the region $110 < m_H < 135 \text{ GeV}/c^2$ remain well above the standard model prediction [2], and greatly benefit from improvements of the experimental sensitivity. In this mass region, $b\bar{b}$ is the main decay mode. The b quarks fragment into jets of hadrons, and the signal can be reconstructed as a resonance in the invariant mass distribution of the two jets. The CDF and D0 collaborations perform searches for the low mass Higgs boson (H) produced in association with a vector boson V ($V = W, Z$), since the requirement of a charged lepton or of large missing transverse energy from the decay of a vector boson dramatically reduces the backgrounds from multi-jet processes.

This Letter presents a search for the standard model VH associated production in events with b -quark jets and large missing transverse energy with data corresponding to an integrated luminosity of 2.1 fb^{-1} . This analysis significantly increases the acceptance for signal with respect to previous Tevatron searches [4, 5] and introduces advanced analysis methods. We consider ZH production, where $Z \rightarrow \nu\bar{\nu}$ and the neutrinos (ν) escape detection, or $Z \rightarrow \ell\ell$ when both charged leptons (ℓ) are undetected

or are identified as jets. For WH production we are sensitive to events where $W \rightarrow e\nu$ or $W \rightarrow \tau\nu$ when the charged lepton is identified as a jet, and $W \rightarrow \ell\nu$ when ℓ is undetected. Critical challenges for this analysis are to achieve a high signal-to-background ratio and to model the multi-jet background production accurately. We employ artificial neural networks (ANNs) [6] to improve the event selection and signal discrimination and implement a novel data-driven determination of the multi-jet background.

CDF II is a multipurpose detector that is described in detail elsewhere [7, 8]. Charged particle tracking is performed with silicon microstrip detectors surrounded by a cylindrical wire drift chamber. A superconducting solenoid provides a 1.4 T axial magnetic field in the tracking volume for momentum measurements. Segmented sampling calorimeters surround the solenoid, measure energies of interacting particles, and determine each event's missing transverse energy, \cancel{E}_T [8]. A system of drift chambers and scintillation counters outside the calorimeters detects muon candidates [7]. Jets are reconstructed from energy depositions in the calorimeter towers using a jet clustering cone algorithm [9] with a cone size of radius $\Delta R = \sqrt{(\Delta\phi)^2 + (\Delta\eta)^2} = 0.4$. Corrections are applied to account for effects that can cause mismeasurement of the jet energies, such as non-linear and non-uniform calorimeter response, energy loss in the uninstrumented detector regions, and multiple interactions [9]. Jet energies are further corrected using momentum measurements provided by the tracker, using a method similar to that described in [10]. The more precise measurement of the jet energies improves the candidate Higgs boson mass resolution by $\approx 10\%$ and increases the signal acceptance by $\approx 10\%$. Both the magnitude and direction of \cancel{E}_T are recomputed after the jet energies are corrected.

The events used in this search are selected by a three-level trigger system. The level 1 trigger requires $\cancel{E}_T \geq 25 \text{ GeV}$, where the \cancel{E}_T is determined using calorimeter trigger towers with $E_T > 1 \text{ GeV}$. The level 2 trigger adds the requirement that there be two jet clusters of $E_T > 10 \text{ GeV}$, one of which in the region $|\eta| < 1.1$. The level 3 trigger adds the requirement that $\cancel{E}_T > 35 \text{ GeV}$. Previous studies found that this trigger becomes fully efficient only at high values of the \cancel{E}_T and of the two leading jets' transverse energies [4]. After offline reconstruction, the event selection requires $\cancel{E}_T > 50 \text{ GeV}$, and the transverse energies $E_T^{J_1}$ and $E_T^{J_2}$ of the two jets with the highest transverse energy, J_1 and J_2 ("leading jets"), satisfy the conditions $E_T^{J_1} > 35 \text{ GeV}$ and $E_T^{J_2} > 25 \text{ GeV}$. We consider an event to have three jets if the E_T of the third leading jet, J_3 , is greater than 15 GeV. Events with four or more jets with $E_T > 15 \text{ GeV}$ and $|\eta| < 2.4$ are rejected. Events passing these criteria are denoted as the "pre-tagged" sample. After these selections, the expected signal-to-background ratio is around 1/20 000.

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We veto events with at least one high- p_T isolated electron or muon [11], deliberately using fairly loose lepton identification criteria. A muon candidate is defined as any isolated track with $|\eta| < 1.0$ and $p_T > 10$ GeV/ c that leaves energy in the calorimeters consistent with a minimum ionizing particle, where the isolation requirement is that the total energy in a cone of size 0.4 around the track is less than 10% of the track momentum. Candidate electrons are identified by clustered energy deposits in the electromagnetic calorimeter with $E_T > 10$ GeV that have an electromagnetic to hadronic energy ratio and a shower shape compatible with electrons and are associated to an isolated track.

In the mass range probed in this Letter, the SM Higgs boson decays predominantly to b -quark pairs; thus, large backgrounds originating from light-flavor jet production can be reduced by identifying b jets in the candidate events. Due to their relatively long lifetime, b and c hadrons can travel a few millimeters from the primary vertex before decaying into lighter hadrons. Jets originating from a b quark can be identified (“tagged”) by the SECVTX algorithm [12], which reconstructs vertices that are significantly displaced from the $p\bar{p}$ interaction point, and the JETPROB algorithm [13], which classifies jets using the probability that tracks within the jet are consistent with originating from the primary vertex. To enhance the expected signal significance we subdivide the sample into three independent categories: events with two jets tagged by SECVTX (SV+SV), events with one jet tagged by SECVTX and another by JETPROB (SV+JP) and events with only one jet tagged by SECVTX (SV).

The selected sample is dominated by background from the production of multi-jet (MJ), top quark (pair and electroweak production), W or Z plus jets, and WW , WZ or ZZ events. Significant \cancel{E}_T in multi-jet events appears when b quarks decay semileptonically or when jet energies are mismeasured. In both cases \cancel{E}_T is often aligned with $\vec{E}_T^{J_2}$. Therefore, events with $\Delta\phi(\vec{\cancel{E}}_T, \vec{E}_T^{J_2}) < 0.4$, no identified leptons, and $50 < \cancel{E}_T < 70$ GeV are used to measure the rates with which the heavy-flavor jets (h.f., originating from a b or c quark) from multi-jet production and light flavor jets mistakenly identified as b jets (“mistags”) are tagged. The tagging rate (TR) is parametrized as a function of H_T [8] of the event and E_T , η , and ζ of the jet. The observable ζ is defined as $\zeta = c \sum_i p_{T,\text{track}}^i / E_T$ where $p_{T,\text{track}}^i$ includes tracks within a jet with a significant impact parameter and $0.5 < p_{T,\text{track}}^i < 200$ GeV/ c . Jets originating from b quarks are expected to have a large ζ . The multi-jet background in the single (double) tagged sample is determined by the probability to tag one (two) jet(s) from the pre-tagged (single-tagged) sample [11]. When applying the tagging rate to the data we avoid double counting the contributions due to other backgrounds by applying the TR parametrization to all Monte Carlo (MC) sim-

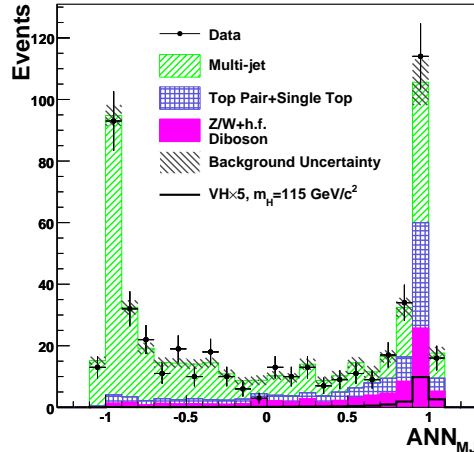


FIG. 1: The distribution of ANN_{MJ} for double-tagged events.

ulated data and subtracting their contribution from the multi-jet background. The validity of the tagging rate modeling is verified in various control regions, which are defined below. The remaining backgrounds are estimated using PYTHIA [14] simulations, and single top production is simulated with MADEVENT [15]. The signal MC samples are generated with PYTHIA. The normalizations of the MC samples are described in [11].

We start the selection of the signal region by requiring no identified charged leptons, $\cancel{E}_T > 50$ GeV, $\Delta\phi(\vec{\cancel{E}}_T, \vec{E}_T^{J_1}) \geq 1.5$, and $\Delta\phi(\vec{\cancel{E}}_T, \vec{E}_T^{J_{2,3}}) \geq 0.4$. These selection criteria remove $\approx 10\%$ of the signal in the pretag sample while reducing the backgrounds by approximately an order of magnitude. We employ an ANN, denoted as ANN_{MJ} , using kinematic variables to separate signal from multi-jet background. To discriminate against events with \cancel{E}_T due to mismeasurements in the calorimeter, we use the momentum imbalance in the tracker, \cancel{p}_T^{tr} [8]. The magnitude of \cancel{E}_T , \cancel{p}_T^{tr} , the angle between them, the azimuthal angles between \cancel{E}_T , \cancel{p}_T^{tr} and the jet directions, and several other less discriminating variables are used as inputs to ANN_{MJ} [11]. The distribution of ANN_{MJ} is shown in Fig. 1. The ANN_{MJ} peaks at 1 for the signal, while the background from multi-jet production peaks at -1 . Selecting events with $\text{ANN}_{\text{MJ}} \geq 0$ rejects over 50% of the total background and retains 95% of the signal. This region is defined as the signal region and is analyzed for the presence of the Higgs boson signal.

In order to avoid potential bias in the search, we test our understanding of the SM background in several control regions. The control region called EWK, sensitive to electroweak processes and top production, contains events with at least one lepton and $\Delta\phi(\vec{\cancel{E}}_T, \vec{E}_T^{J_2}) \geq 0.4$. We also define several control regions dominated by multi-jet processes where we have no identified lep-

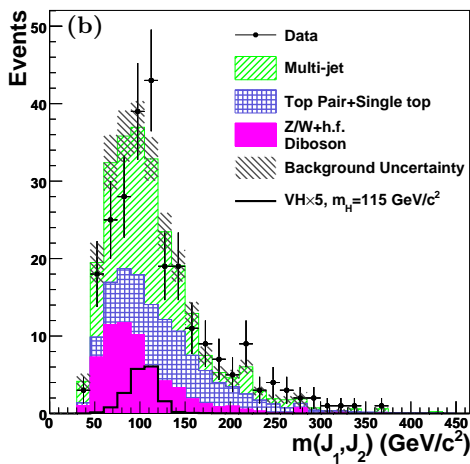
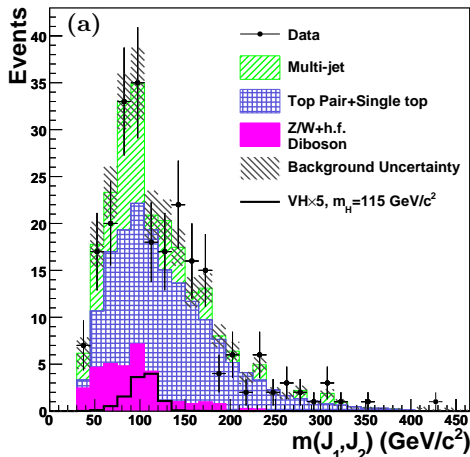


FIG. 2: Dijet invariant mass distribution for double-tagged events in (a) EWK control regions, and in (b) signal region.

tons. The region denoted as MJ1 contains events with $\Delta\phi(\vec{\cancel{E}}_T, \vec{E}_T^{J_2}) < 0.4$ and $\cancel{E}_T \geq 70$ GeV. The region denoted as MJ2 contains events with $\Delta\phi(\vec{\cancel{E}}_T, \vec{E}_T^{J_1}) \geq 1.5$, $\Delta\phi(\vec{\cancel{E}}_T, \vec{E}_T^{J_{2,3}}) \geq 0.4$, and $\text{ANN}_{\text{MJ}} < -0.5$. The predictions of the multi-jet background are tested in MJ1 and MJ2. The distributions of kinematic variables, such as the invariant mass of the two leading jets $m(J_1, J_2)$, have been found to be in agreement with observations in the control regions [11], as shown in Fig.2(a).

To achieve a greater separation between signal and background we deploy a second ANN, denoted as ANN_{sig} , for discriminating the remaining backgrounds from the expected signal. Since the background fraction and composition are different for events with two or three jets we train the ANN_{sig} separately for these categories. The outputs of the two ANN_{sig} are combined into a single discriminant for each tagging category. The ANN_{sig} is trained for several values of m_H . Six input variables are

TABLE I: Comparison of the total number of expected and observed events in the signal region for different b -tagging categories. The uncertainties contain both MC statistical error and systematic uncertainties.

Process	SV+SV or SV+JP	SV
Multi-jet	120.1 ± 21.3	941.2 ± 86.0
Single Top	15.7 ± 3.0	43.2 ± 7.9
Top Pair	54.5 ± 7.9	124.5 ± 17.0
Di-boson	9.2 ± 1.8	35.6 ± 6.8
W + h.f.	32.0 ± 14.7	296.9 ± 129.5
Z + h.f.	22.1 ± 11.5	107.0 ± 45.8
Total Prediction	254 ± 39	1548.4 ± 168.1
Observed	253	1443

Expected Signal for $m_H=115$ GeV/ c^2		
$ZH \rightarrow \nu\nu bb$	1.8 ± 0.2	2.1 ± 0.2
$WH \rightarrow (\ell)\nu bb$	1.6 ± 0.2	1.8 ± 0.2
$ZH \rightarrow (\ell\ell)bb$	0.07 ± 0.01	0.09 ± 0.01

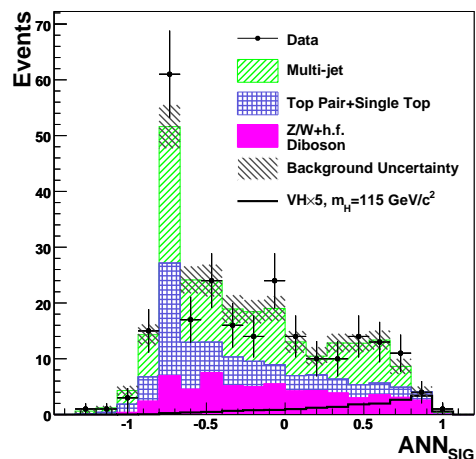


FIG. 3: The distribution of ANN_{sig} for double-tagged events.

used in ANN_{sig} : the invariant mass of the two leading jets $m(J_1, J_2)$ (Fig.2), the invariant mass of the \cancel{E}_T and all jets, $H_T - \cancel{E}_T$, $\cancel{H}_T - \cancel{E}_T$, TRACKMET [16] and the maximum $\Delta R(\vec{E}_T^{J_i}, \vec{E}_T^{J_k})$. The variable TRACKMET is the output of a ANN developed using tracking information to enhance the separation of events with real \cancel{E}_T . The most discriminating variable of the ANN_{sig} is $m(J_1, J_2)$.

The distribution of ANN_{sig} is shown in Fig. 3 for double-tagged events. The number of signal and background events after the final selection are shown in Table I. Since no significant excess is observed, we compute 95% C.L. upper limits for the Higgs boson production cross section times the branching fraction $B(H \rightarrow b\bar{b})$. For $m_H=115$ GeV/ c^2 we expect a total of 4.0 (3.5) signal events with one (two) b -tagged jets [17].

We analyze the binned ANN_{sig} discriminant distribution to test for a WH or ZH signal in the presence of SM backgrounds. The systematic uncertainties included

TABLE II: The combined 95% C.L. upper limits on cross section times $H \rightarrow b\bar{b}$ branching fraction when the Higgs boson is produced in association with a weak vector boson. The last two columns give the ratio of the expected and observed limits with respect to the SM cross section.

m_H (GeV/ c^2)	Expected (pb)	Observed (pb)	Ratio Expected	Ratio Observed
110	1.3	1.5	$4.9^{+2.1}_{-1.4}$	5.8
115	1.2	1.5	$5.6^{+2.4}_{-1.6}$	6.9
120	1.2	1.5	$7.2^{+2.9}_{-2.1}$	8.9
130	1.0	1.4	$10.3^{+4.3}_{-2.9}$	14.4
140	0.9	1.0	$18.6^{+7.8}_{-5.4}$	21.0
150	0.8	1.0	$43.3^{+19.0}_{-12.4}$	49.8

in the calculation are classified as correlated (uncorrelated) depending on if they do (do not) affect both signal and the background processes [11, 16]. The uncorrelated systematic uncertainties are the following: multi-jet normalization (20.6% in SV+SV, 15.6% in SV+JP and 5.5% in SV categories) and MC statistical fluctuations. Additionally we assign the following uncertainties due to cross sections: 15.9% and 15.2% to single top in s - and t -channels, 8.6% to top pair, 11.5% to diboson and 40% to $W + \text{h.f.}$ and $Z + \text{h.f.}$ The statistical variations in the determination of the TR can also modify the shape of the kinematic distributions. The shapes obtained by varying the TR probabilities by $\pm 1\sigma$ are applied as systematic uncertainties to each bin of ANN_{sig} . The correlated systematic uncertainties are the following: luminosity measurement (6.0%), b -tagging efficiency scale factor between data and MC (8.6% for SV+SV, 12.4% for SV+JP and 4.3% for SV), trigger efficiency (<3%), lepton veto efficiency (2%), parton distribution function uncertainty (2%) and 3.8-13.0% for jet energy scale (JES) [9]. We also assign systematic uncertainties on the shape of ANN_{sig} due to JES and trigger efficiency uncertainties. Initial- and final-state-radiation systematic uncertainties (between 1% and 5%) are applied to the signal predictions.

Including all the uncertainties, the expected and observed upper limits at the 95% C.L. on VH production cross section times branching fraction $Br(H \rightarrow b\bar{b})$ are shown in Table II. Expected limits are obtained by generating pseudo-experiments from the expected SM ANN_{sig} shapes to calculate the median ZH and WH contribution which could be excluded at the 95% C.L. in the zero signal hypothesis. The limits are computed using the Bayesian likelihood method [18] with a flat prior probability for the signal cross section and Gaussian priors for the uncertainties on acceptance and backgrounds. We combine the search channels SV+SV, SV+JP, and SV by taking the product of their likelihoods and simultaneously varying the correlated uncertainties. The observed limits agree with the expected ones.

In summary, we have performed a direct search for the SM Higgs boson decaying into b -jet pairs using data with integrated luminosity of 2.1 fb^{-1} accumulated in Run II by the CDF II detector. We use ANNs to separate the signal from the multi-jet and other backgrounds and achieve significant improvements in Higgs boson sensitivity over previous analyses in this decay mode [4]. Distributions of the observed event kinematics and ANN_{sig} show no significant excess over the background predicted by the SM. The combination of all improvements described above increases the sensitivity of this search by a factor of three with respect to [4], which is a factor of two better than would be expected from the increased luminosity alone.

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the i^{th} calorimeter tower, and E_T^i is the transverse energy therein. The scalar sum of transverse energies of the leading jets is denoted as H_T , and the vector sum of jet E_T 's is denoted as \vec{H}_T . The $\vec{\cancel{H}}_T$ is defined as negative vector sum of track p_T 's.

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