



Semiclassical Sum Rules

C. QUIGG^{*}

Fermi National Accelerator Laboratory[†]
P. O. Box 500, Batavia, Illinois 60510

AND

JONATHAN L. ROSNER[‡]

School of Physics and Astronomy
University of Minnesota, Minneapolis, Minnesota 55455

ABSTRACT

The expression $|\Psi_n(0)|^2 = (2\mu)^{3/2} E_n^{1/2} (dE_n/dn)/4\pi^2$, relating the square of the n -th s -wave wavefunction at the origin to the bound state reduced mass μ and the excitation energy E_n , is derived semiclassically. The relation is then used to obtain several sum rules for electron-positron annihilation and an expression for the contribution of a given flavor of heavy quark to the photon-nucleon total cross section.

^{*} Alfred P. Sloan Foundation Fellow; also at Enrico Fermi Institute, University of Chicago, Chicago, Illinois 60637.

[‡] Supported in part by Energy Research and Development Administration under Contract No. E(11-1)-1764.



I. INTRODUCTION

Nonrelativistic models have been remarkably successful in describing many properties of mesons composed entirely of heavy quarks. A good deal has been learned by applying simple Schrödinger equation physics to the charmonium system,¹ and one expects the nonrelativistic approximations used with so much success for that system to be even more reliable for the recently discovered Υ family.^{2,3}

It was our interest in quarkonium families that led us to survey⁴ the behavior of simple quantities such as the excitation energy E_n of s-wave states and the squares of their wavefunctions at the origin $|\Psi_n(0)|^2$ as functions of the bound state reduced mass μ and the principal quantum number n . These investigations were carried out for potentials of the form $V = a r^{\epsilon}$ ⁴ and for a potential $V = C \ln(r/r_0)$.⁵ (The latter has the interesting property that it gives a level spacing independent of quark mass for which there is some evidence in $Q\bar{Q}$ systems.³) In discussing behavior as a function of n , the semiclassical (WKB) approximation⁶ was found to be particularly helpful.^{4,5,7} A potential-independent relation for the number of narrow quarkonium states below flavor threshold also was derived with the aid of the WKB approximation.⁸

In the present article we point out an interesting relation between $|\Psi_n(0)|^2$ and E_n that is independent of the potential, as long as that potential is not singular at the origin. This relation follows from an application of the

WKB approximation entirely analogous to those of Ref. 4, but the possibility of a more general result was overlooked there. We were led to search for a more general result by an exhortation at the end of an interesting paper by Farrar et al.,⁹ which discusses the seemingly unrelated subject of sum rules in electron-positron annihilation. The relation between $|\Psi_n(0)|^2$ and E_n obtained here in fact implies the existence of a family of sum rules derived in a somewhat different manner in Ref. 9 and in several other works.^{10,11} From these sum rules it has been possible to infer that the mass m_c of the charmed quark is rather low (see also Ref. 11): $m_c = 1.2 \pm 0.1 \text{ GeV}/c^2$. Moreover, the relation for $|\Psi_n(0)|^2$ permits an immediate (though probably rough) estimate of the contribution of higher $Q\bar{Q}$ vector meson states in a vector dominance model¹² for $\sigma_Q(\gamma p)$, the contribution of a given flavor of heavy quark to the photon-nucleon total cross section.

The expression for $|\Psi_n(0)|^2$ is derived in Section II. Section III treats the sum rules for electron-positron annihilation, while Section IV is devoted to an estimate of $\sigma_Q(\gamma p)$. Section V contains a brief discussion.

II. RELATION FOR $|\Psi_n(0)|^2$

For a two-body nonrelativistic bound state with reduced mass μ , it can be shown¹³ that

$$|\Psi_n(0)|^2 = \frac{\mu}{2\pi} \left\langle \frac{dV}{dr} \right\rangle_n \quad . \quad (1)$$

We shall construct a simple semiclassical approximation for $\langle dV/dr \rangle_n$.

This may be written

$$\langle dV/dr \rangle \approx \frac{\int_0^{r_0} dr \frac{dV}{dr} [u_{\text{WKB}}(r)]^2}{\int_0^{r_0} dr [u_{\text{WKB}}(r)]^2}, \quad (2)$$

where r_0 is the classical turning point.

The reduced radial WKB wavefunction $u_{\text{WKB}}(r)$ contains a factor $[E_n - V(r)]^{-\frac{1}{4}}$ times an oscillatory term the square of which approximately averages to $\frac{1}{2}$. Then

$$\langle \frac{dV}{dr} \rangle_n \approx \frac{\int_0^{r_0} dr \frac{dV}{dr} [E_n - V(r)]^{-\frac{1}{2}}}{\int_0^{r_0} dr [E_n - V(r)]^{-\frac{1}{2}}}. \quad (3)$$

The integral in the numerator of (3) is elementary, as noted in Ref. 4, and yields $2 E_n^{\frac{1}{2}}$. We are defining $V(0) \equiv 0$. This derivation fails if $V(0) = -\infty$, but alternative results which apply to certain singular potentials are noted in Ref. 4.

The quantization condition

$$\int_0^{r_0} dr \sqrt{2\mu [E_n - V(r)]} = (n - \frac{1}{4})\pi \quad (4)$$

may be differentiated with respect to n :⁷

$$\frac{\sqrt{2\mu}}{2} \frac{dE_n}{dn} \int_0^{r_0} \frac{dr}{\sqrt{E_n - V(r)}} = \pi \quad (5)$$

But Eq. (5) permits one to evaluate the denominator in Eq. (3). With the help of Eq. (1), we then find that

$$|\Psi_n(0)|^2 = \frac{(2\mu)^{3/2}}{4\pi^2} E_n^{1/2} \frac{dE_n}{dn} \quad (6)$$

Eq. (6) is our central result.¹⁴ It is a concise summary of expressions obtained previously for power-law potentials.⁴ As an illustration, for a linear potential $\langle dV/dr \rangle$ is independent of the energy level and hence so is $|\Psi_n(0)|^2$. Thus $E_n^{1/2} (dE_n/dn) = \text{constant}$, and $E_n \sim n^{2/3}$, which is the correct nonrelativistic result.

III. SUM RULES FOR ELECTRON-POSITRON ANNIHILATION

It is expected that the onset of the production in e^+e^- annihilation of new quark flavors will be signalled by discrete narrow peaks (like ψ , ψ') in the cross section. Then, as the threshold for production of pairs of flavored mesons is passed, the peaks become broader and eventually merge into the multiparticle continuum.

It has been noted by several authors⁹⁻¹¹ that one can write sum rules for leptonic widths of the narrow states below flavor threshold. We shall use Eq. (6) to derive a family of such sum rules.

The leptonic width Γ_n of the n-th 3S_1 $Q\bar{Q}$ vector meson may be related to the corresponding square of its wavefunction at the origin:¹⁵ if e_Q denotes the quark charge,

$$\Gamma_n = 16\pi\alpha^2 e_Q^2 |\Psi_n(0)|^2 / M_n^2 \quad (7)$$

One may then form a weighted sum over the states below flavor threshold:

$$\sum_{\text{narrow states}} \Gamma_n / M_n^p \approx \int \frac{\Gamma_n dn}{M_n^p} = \frac{4\alpha^2 e_Q^2 m^{3/2}}{\pi} \left\{ \int_0^\Delta \frac{dE E^{1/2}}{(2m + E)^{2+p}} \right\} \quad (8)$$

or

$$S_p \equiv \frac{\pi}{\alpha^2 e_Q^2} \sum_{\text{narrow states}} \frac{\Gamma_n}{M_n^p} = (2m)^{1-p} \sqrt{2} I_p(\Delta/2m) \quad (9)$$

where

$$I_p(v) \equiv \int_0^v dy \sqrt{y} / (1 + y)^{2+p} \quad (10)$$

The quark mass m is twice the reduced mass μ . The zero of energy is set at $2m$, so that we have taken $M_n = 2m + E_n$, and flavor threshold ($2M_D$ for the charmonium system) lies at $2m + \Delta$.

The sum rules (9) may be tested for the charmonium system, in which the narrow states consist only of¹⁶

$$\begin{aligned}
 \psi(3095): \Gamma_{ee} &= 4.8 \pm 0.6 \text{ keV} \\
 \psi'(3684): \Gamma_{ee} &= 2.1 \pm 0.3 \text{ keV}
 \end{aligned}
 \tag{11}$$

For each value of $p \geq 0$, we find a range of values of the charmed quark mass m_c for which Eq. (9) is satisfied. (We take $2M_D = 3730 \text{ MeV}$). These ranges are shown in Fig. 1. Notice the very slow increase of the quark mass with increasing p . Very large values of p , which give all weight to the contribution of the ψ , do not make sense in view of the discreteness (and sparse nature!) of the spectrum. (Recall that our discussion is a semiclassical one, wherein we approximate the sum in Eq. (8) by an integral. This step is perhaps an unwarranted exercise in boldness for charmonium. We are comforted by the expectation that such a sum will include more states for heavier quarks.⁸⁾ The sum rules for small values of p also appear unreliable, if only for their rapid variation with p . But between $p = 3$ and $p = 14$, the central value of m_c varies only between 1.1 and 1.3 GeV. We are thus led to the inference that $m_c = 1.2 \pm 0.1 \text{ GeV}$.

A small value of the charmed quark mass has been deduced before from related sum rules.¹¹ We have also encountered the possibility that $m_c \simeq 1.1 \text{ GeV}$ within the context of a potential model that reproduced features of both the ψ and Υ families.⁵ (This value was favored by the leptonic width of the ψ in that model.)

The sum rule (9) for $p = 3$ and $\Delta/2m \ll 1$ is extremely similar to one derived in Ref. 9 in a similar limit, when one neglects effects due to the strong interactions. In Ref. 9, however, the term corresponding to the right-hand side of Eq. (9) is evaluated with the help of a vacuum polarization Feynman diagram. Evidently some of the information contained therein is of a very general and simple nature since we are able to reproduce it semiclassically.

IV. PHOTOPRODUCTION OF NEW FLAVORS

The suppression of charmed particle production in hadron physics is an obstacle to the study of new-flavor spectroscopy with hadron beams. No such suppression is seen in electron-positron annihilation above charm threshold, and there are suggestions^{17, 18} that charmed particle pairs also are photoproduced above threshold, possibly at a rate of several percent of all hadronic interactions.¹⁸

There have been numerous estimates of the photoproduction of new flavors, both of charm and of the new flavor that is presumably associated with the quarks in the Υ family.^{19, 20} We would like to focus on just one of these estimates,²⁰ in which the relation (6) allows the immediate expression of an electromagnetic cross section in terms of a hadronic one. It is not our purpose here to make a critical study of models of photoproduction.

We express $\sigma_Q(\gamma p)$, the contribution of the new flavor to the photon-proton total cross section, as a sum of contributions of vector mesons \mathcal{V} . Within a family, each vector meson is taken to have the same total cross section $\sigma(\mathcal{V} p)$ for scattering on the proton. Using vector dominance,¹² we then find

$$\sigma_Q(\gamma p) \approx \alpha \sigma(\mathcal{V} p) \sum_{n=1}^{\infty} \frac{4\pi}{g_n^2} \approx \alpha \sigma(\mathcal{V} p) \int dn \left[\frac{4\pi}{g_n^2} \right] \quad . \quad (12)$$

Here the nth vector-meson-photon coupling $eM_{\mathcal{V}}^2/g_n$ is related to Γ_n by

$$\Gamma_n = \frac{4\pi}{3} \frac{\alpha^2}{g_n^2} M_n \quad (13)$$

and to $|\Psi_n(0)|^2$ by

$$\frac{4\pi}{g_n^2} = 48\pi e_Q^2 |\Psi_n(0)|^2 / M_n^3 \quad . \quad (14)$$

But now, with the help of Eq. (6), we can do the integral in Eq. (12) in closed form, first transforming it to an integral over E:

$$\sigma_Q(\gamma p) = \alpha \sigma(\mathcal{V} p) \left\{ \frac{m^{3/2}}{4\pi^2} \right\} \left\{ 48\pi e_Q^2 \right\} \int_0^{\infty} \frac{E^{1/2} dE}{(2m + E)^3} \quad . \quad (15)$$

The integral in (15) can be evaluated by elementary means, and leads to the simple result:

$$\sigma_Q(\gamma p) = \frac{3\alpha e_Q^2}{4\sqrt{2}} \sigma(\gamma p) \quad . \quad (16)$$

Eq. (16) would underestimate the total photon-nucleon total cross section ($> 100 \mu\text{b}$) if we were to ascribe it mainly to the coupling of the photon to the ρ and ω families:

$$\sigma_\rho(\gamma p) = \frac{3\alpha}{4\sqrt{2}} \cdot \frac{1}{2} \cdot \sigma(\rho p) \simeq 50 \mu\text{b} \quad (17)$$

$$\sigma_\omega(\gamma p) = \frac{3\alpha}{4\sqrt{2}} \cdot \frac{1}{18} \cdot \sigma(\omega p) = 5.6 \mu\text{b} \quad (18)$$

where we have taken $\sigma(\rho p) = \sigma(\omega p) = 26 \text{ mb}$.²⁴ In fact, the coefficient of $\alpha\sigma(\rho p)$ in (17) which is ascribed to the whole ρ family is smaller $[3/(8\sqrt{2}) = 0.27]$ than that expected from the first term alone $(4\pi/g_\rho^2 \simeq 0.4)$ in the sum (12). This certainly indicates the crudeness of our approximations for light quarks. We expect matters to improve somewhat as the quark mass increases, the nonrelativistic approximation gets better, and the semiclassical approximation is more justified.

Let us assume that vector-meson-nucleon total cross sections scale as M_1^{-2} , where M_1 is the mass of the ground state of the $Q\bar{Q}$ system.²² Eq. (16) then predicts the results shown in Table 1. It is important to note that the predictions for heavy-quark production apply

far above threshold. Considerations such as those in Ref. 23 lead one to expect the charm production cross section to attain half its maximum for photon energies somewhere between 50 and 100 GeV, and the cross section for production of pairs of quarks in the Υ not to reach half its asymptotic value until at least 200 (and possibly as much as 500) GeV. One can improve these estimates of energy dependence somewhat with the help of photoproduction sum rules derived in Ref. 24, or with the aid of the more specific models considered in Ref. 19.

V. DISCUSSION

We have derived a semiclassical expression for the square of the s-wave bound state wave function at the origin in terms of the level density. There is another semiclassical expression which incorporates the level density. It is the relation between the potential and the bound state energies:²⁵

$$r(V) = \frac{2}{\sqrt{2\mu}} \int_0^V \frac{dE}{\sqrt{V-E}} \left[\frac{dE}{dn} \right]^{-1} . \quad (19)$$

Substituting Eq. (6) into this relation, one obtains a consistency condition

$$r(V) = \frac{\mu}{\pi^2} \int_0^V \frac{dE}{|\Psi(0)|^2} \sqrt{\frac{E}{V-E}} . \quad (20)$$

The potential thus derived must reproduce the observed energy levels.

While we believe the charmonium data are too sparse to permit a test

of this relation, bound states of heavier quarks (as in the T) may prove rich enough. At present we are exploring alternative means of estimating the quarkonium potential (if such a concept makes sense) in a model-independent way with the help of the inverse scattering formalism.²⁶

The relation between sum rules such as those we have derived in Sec. III and duality has been stressed by several authors.⁹⁻¹¹ Duality relates an integral over bound states or resonances to an integral over the continuum. It is amusing that the result (6), based on a simple semiclassical approximation to nonrelativistic quantum mechanics, makes contact with the duality between bound state and free-quark creation. It would be interesting to know the degree to which such semiclassical arguments are responsible for the success of duality in other contexts.

ACKNOWLEDGMENTS

One of us (J. L. R.) wishes to thank S. Gasiorowicz for an informative discussion. We are grateful to T. Nash for several useful conversations regarding photoproduction. We thank J. J. Sakurai for a discussion of his related work with K. Ishikawa, and for calling our attention to Ref. 14.

REFERENCES

- ¹The most recent review of this subject with which we are conversant is that of K. Gottfried, "The Spectroscopy of the New Particles," Cornell University report CLNS-376, November, 1977, invited paper presented at 1977 International Symposium on Lepton and Photon Interactions at High Energies, Hamburg, August 25-31, 1977.
- ²S. W. Herb, et al., Phys. Rev. Lett. 39, 252 (1977).
- ³W. R. Innes, et al., Phys. Rev. Lett. 39, 1240 (1977).
- ⁴C. Quigg and Jonathan L. Rosner, "Scaling the Schrödinger Equation," Fermilab-Pub-77/90-THY, September, 1977, to appear in Comments on Nuclear and Particle Physics.
- ⁵C. Quigg and Jonathan L. Rosner, Phys. Lett. 71B, 153 (1977).
- ⁶See, e.g., L. D. Landau and E. M. Lifshitz, Quantum Mechanics - Nonrelativistic Theory, translated by J. B. Sykes and J. S. Bell (Addison-Wesley, Reading, Mass., 1958), c. VII.
- ⁷Some useful examples of the WKB approximation are worked out in I. I. Gol'dman and V. D. Krivchenkov, Problems in Quantum Mechanics, translated by E. Marquit and E. Lepa (Addison-Wesley, Reading, Mass., 1961).

- ⁸C. Quigg and Jonathan L. Rosner, "Counting Narrow Quarkonium Levels," Fermilab-Pub-77/101-THY, October, 1977, to be published in Physics Letters B.
- ⁹Glennys R. Farrar, et al., Phys. Lett. 71B, 115 (1977).
- ¹⁰J. J. Sakurai, Phys. Lett. 46B, 207 (1973); G. J. Gounaris, E. K. Manesis, and A. Verganelakis, Phys. Lett. 56B, 457 (1975); F. E. Close, D. M. Scott, and D. Sivers, Nuclear Phys. B117, 134 (1976); G. J. Gounaris, "Asymptotic Symmetry for the Charmed and Upsilon Vector Mesons," University of Ioannina report, 1977 (unpublished); V. Barger, W. F. Long, and M. G. Olsson, Phys. Lett. 57B, 452 (1975); E. Poggio, H. R. Quinn, and S. Weinberg, Phys. Rev. D13, 1958 (1976).
- ¹¹V. A. Novikov, et al., Phys. Rev. Lett. 38, 626, 791 (E) (1977); Phys. Lett. 67B, 409 (1977).
- ¹²J. J. Sakurai, Ann. Phys. (NY) 11, 1 (1960). The specific model to be used here is that of B. Margolis, Fermilab-Pub-77/71-THY (unpublished).
- ¹³This result is quoted by E. Eichten, et al., Phys. Rev. Lett. 34, 369 (1975). It may be proved by solving the s-wave

Schrödinger equation for dV/dr , taking the expectation value, and integrating by parts, as noted in Ref. 4.

- ¹⁴Eq. (6) has been applied to the duality properties of e^+e^- annihilation by M. Krammer and P. Leal Ferreira, *Revista Brasileira de Fisica*, 6, 7 (1976).
- ¹⁵R. Van Royen and V. F. Weisskopf, *Nuovo Cimento* 50, 617 (1967); 51, 583 (1967).
- ¹⁶P. A. Rapidis, et al., *Phys. Rev. Lett.* 39, 526 (1977).
- ¹⁷B. Knapp, et al., *Phys. Rev. Lett.* 37, 882 (1976).
- ¹⁸T. Nash, Fermilab-CONF-77/61-EXP, presented at the European Conference on Particle Physics, Budapest.
- ¹⁹Some of these include: D. Horn, Cornell preprint CLNS-377 (unpublished); F. Halzen and D. M. Scott, Wisconsin preprint COO-881-8 (unpublished); T. Nash (private communication); J. Ellis, M. K. Gaillard, D. V. Nanopoulos, and S. Rudaz, CERN preprint TH.2346 (unpublished); G. J. Aubrecht, II, and W. Wada, *Phys. Rev. Lett.* 39, 978 (1977); L. M. Jones and H. W. Wyld, Illinois preprint ILL-(TH)-77-24 (unpublished); J. Babcock, D. Sivers, and S. Wolfram, ANL-HEP-PR-77-68 (unpublished).
- ²⁰B. Margolis, Ref. 12.
- ²¹The approximate equality of $\sigma(\rho N)$ and $\sigma(\pi N)$ was demonstrated by R. L. Anderson, et al., *Phys. Rev. D* 4, 3245 (1971).
- ²²C. E. Carlson and P. G. O. Freund, *Phys. Lett.* 39B, 349 (1972);

- ²³D. Horn, Ref. 19, and Phys. Lett. 58B, 323 (1975); R. Aviv, Y. Goren, D. Horn, and S. Nussinov, Phys. Rev. D12, 2862 (1975).
- ²⁴M. A. Shifman, A. I. Vainshtein, and V. I. Zakharov, Phys. Lett. 65B, 255 (1976).
- ²⁵Goldman and Krivchenkov, Ref. 7, chapter 1, problem 23. The factor of 2 in the numerator of the right-hand-side of eq. (19) is appropriate to the present three-dimensional case.
- ²⁶C. Quigg, Jonathan L. Rosner, and H. B. Thacker, in preparation.

Table I. Cross sections for photoproduction of new flavors (at asymptotic energies)

QUARK	LOWEST $Q\bar{Q}$ STATES	$\sigma(\gamma p)$	Q	$\sigma_Q(\gamma p)$
s	$\phi(1020)$	14.8 mb	-1/3	6.4 μ b
c	$\psi(3095)$	1.6 mb	2/3	2.8 μ b
b } t }	$\Upsilon(9400)$	174 μ b	$\left\{ \begin{array}{l} -1/3 \\ 2/3 \end{array} \right.$	$\left\{ \begin{array}{l} 75 \text{ nb} \\ 300 \text{ nb} \end{array} \right.$

FIGURE CAPTION

Fig. 1: Ranges of charmed quark masses m_c implied by the sum rules of Eq. (9).

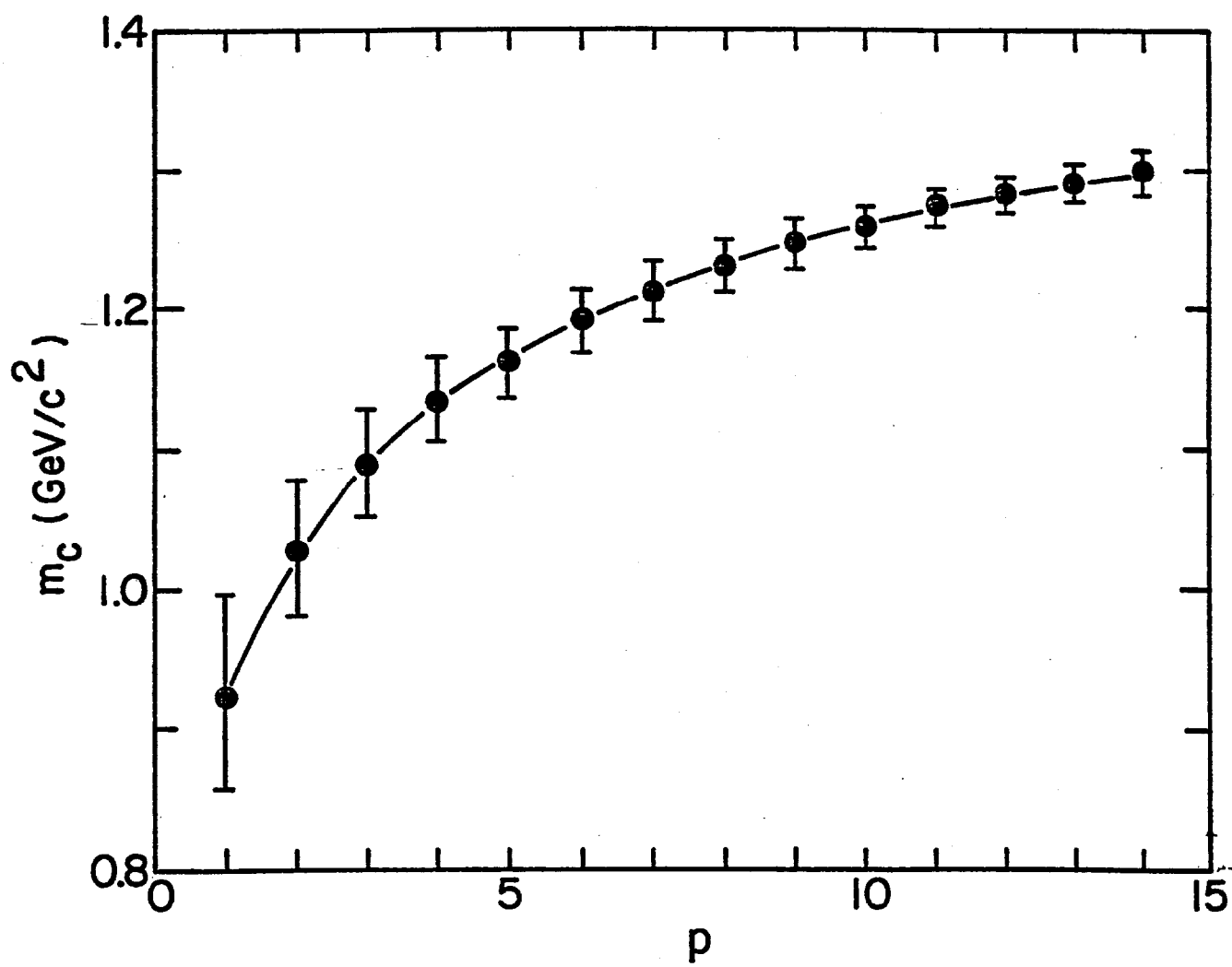


Fig. 1