The Reactor Antineutrino Anomaly 23rd Rencontres de Blois - Particle Physics and Cosmology

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May 31, 2011

Antineutrino spectrum emitted by a reactor

• The prediction of reactor ν spectrum is the dominant source of systematic error for single detector reactor neutrino experiments

$$\Phi_{\nu}(E,t) = \frac{P_{\rm th}}{\sum_{k} \alpha_k(t) E_k} \times \sum_{k} \alpha_k(t) S_k(E) \qquad k = \ ^{235} {\rm U,} \ ^{238} {\rm U,} \ ^{239} {\rm Pu,} \ ^{241} {\rm Pu}$$

- What is needed?

 - **2** Nuclear databases: E released per fissions of isotope k, $\delta E_k \approx 0.3\%$

 - ν spectrum per fission



• ILL $\bar{\nu}_e$ spectra for ²³⁵U, ²³⁹Pu and ²⁴¹Pu \Rightarrow reference for all reactor ν experiment so far

- Accurate β measurement @ ILL (1980-89)
 - high resolution spectrometer
 - intense & pure thermal n spectrum
 - extensive use of reference internal conversion e^- lines \Rightarrow norm. $\pm 1.8\%$
- Conversion into corresponding $\bar{\nu}_e$ spectra using a fit with limited number of effective branches

2 New conversion method developped

- +3% normalization shift w.r.t. old $\bar{\nu}_e$ spectrum
- Stringent test performed = origin of the bias identified
- 3 ²³⁸U spectrum not measured
 - \bullet Obtained through ab-initio calculations, $\pm 10\%$
 - Measurement at FRM-II on-going (N. Haag & K. Schreckenbach)



Off-equilibrium effects

- $\bullet~$ ILL β reference spectra: 12 hours to 1.8 days irradiation time
- Neutrino reactor experiments irradiation time \gg months
- $\bullet~$ 10% of fission products have a $\beta\text{-decay}$ lifetime long enough to keep accumulating after several days

Off-equilibrium effects:

- need a correction through simulation
- not included prior to the CHOOZ experiment

Correction included by default in our new reference model



The V-A inverse β -decay cross section

• Inverse β -decay: $\bar{\nu}_e + p \rightarrow e^+ + n$

• Theoretical predictions:

- Fayans Sov. J. Nucl. Phys. 42 (1985)
- also agree with Vogel-Beacom Phys. Rev. D60 (1999) 053003
- detailed review in Strumia-Vissani Phys. Lett. B564 (2003)

$$\sigma_{\text{V-A}}(E_e) = \kappa p_e E_e (1 + \delta_{\text{rec}} + \delta_{\text{wm}} + \delta_{\text{rad}})$$

• The pre-factor κ (two pseudo-independent approaches)

$$\kappa = \frac{G_F^2 \cos^2 \theta_C}{\pi} (1 + \Delta_{\text{inner}}^R) (1 + 3\lambda^2) = \frac{2\pi^2}{m_e^5 f^R \tau_n} \qquad \lambda = |\frac{g_A}{g_V}|^2$$

- κ ran down over the history, from $0.914 \times 10^{-42} \text{ cm}^2$ in 1981
 - Vogel-Beacom 1999: $\kappa = 0.952 \times 10^{-42} \ \mathrm{cm}^2$
 - Our work is based on 2010 PDG τ_n : $\kappa = 0.956 \times 10^{-42} \text{ cm}^2$
 - But we anticipate 2011: $\kappa = 0.961 \times 10^{-42} \text{ cm}^2 (\tau_n \text{ revision } +0.5\%)$

Computing the expected rate and/or spectrum

$$\sigma_f^{\text{pred}} = \int_0^{+\infty} S_{\text{tot}}(E_\nu) \sigma_{\text{V-A}}(E_\nu) dE_\nu$$
$$= \sum_k f_k \sigma_{f,k}^{\text{pred}}$$



• Bugey-4 benchmark

- Phys. Lett. B338 (1994) 383
- $\tau_n = 887.4 \text{ s}$
- "old" spectra
- no off-equilibrium corrections

$10^{-43}~\mathrm{cm}^2$	Bugey-4	Our work
235 U	$6.39 \pm 1.9\%$	$6.39 \pm 1.8\%$
²³⁹ Pu	$4.18\pm2.4\%$	$4.19\pm2.3\%$
^{241}Pu	$5.76\pm2.1\%$	$5.73 \pm 1.9\%$

\Rightarrow Final agreement to better than 0.1% on best known 235 U

The new cross section per fission, Phys. Rev. D83 (2011) 073006

- ν -flux: ²³⁵U +2.5%, ²³⁹Pu +3.1%, ²⁴¹Pu +3.7%, ²³⁸U +9.8% ($\sigma_f^{\text{pred}} \nearrow$)
- Off-equilibrium corrections now included $(\sigma_f^{\text{pred}} \nearrow)$
- Neutron lifetime decrease by a few % ($\sigma_f^{\rm pred} \nearrow$) $\left[\sigma_{\rm V-A}(E_{\nu}) \propto 1/\tau_n \right]$
- Slight evolution of the phase space factor $(\sigma_f^{\mathsf{pred}}
 ightarrow)$
- Slight evolution of the energy per fission per isotope $(\sigma_f^{\text{pred}} \rightarrow)$
- New results:

Isotopes	Old	New	New / Old
$\sigma_{f,^{235}U}^{pred}$	$6.39 \pm 1.9\%$	$6.61 \pm 2.11\%$	+3.4%
$\sigma_{f,^{239}Pu}^{\text{pred}}$	$4.19\pm2.4\%$	$4.34\pm2.45\%$	+3.6%
$\sigma_{f,238U}^{\text{pred}}$	$9.21 \pm 10\%$	$10.10 \pm 8.15\%$	+9.6%
$\sigma_{f,^{241}Pu}^{pred}$	$5.73 \pm 2.1\%$	$5.97\pm2.15\%$	+4.2%

result	Det. type	τ_n (s)	235 U	²³⁹ Pu	²³⁸ U	^{241}Pu	old	new	err(%)	corr(%)	L(m)
Bugey-4	3 He+H $_{2}$ O	888.7	0.538	0.328	0.078	0.056	0.987	0.942	3.0	3.0	15
ROVNO91	${}^{3}\text{He}+\text{H}_{2}\text{O}$	888.6	0.614	0.274	0.074	0.038	0.985	0.940	3.9	3.0	18
Bugey-3-I	⁶ Li-LS	889	0.538	0.328	0.078	0.056	0.988	0.946	4.8	4.8	15
Bugey-3-II	⁶ Li-LS	889	0.538	0.328	0.078	0.056	0.994	0.952	4.9	4.8	40
Bugey-3-III	⁶ Li-LS	889	0.538	0.328	0.078	0.056	0.915	0.876	14.1	4.8	95
Goesgen-I	³ He+LS	897	0.620	0.274	0.074	0.042	1.018	0.966	6.5	6.0	38
Goesgen-II	³ He+LS	897	0.584	0.298	0.068	0.050	1.045	0.992	6.5	6.0	45
Goesgen-II	³ He+LS	897	0.543	0.329	0.070	0.058	0.975	0.925	7.6	6.0	65
ILL	³ He+LS	889	$\simeq 1$	—	_	_	0.832	0.802	9.5	6.0	9
Krasn. I	³ He+PE	899	$\simeq 1$	_	_	_	1.013	0.936	5.8	4.9	33
Krasn. II	³ He+PE	899	$\simeq 1$	—	_	—	1.031	0.953	20.3	4.9	92
Krasn. III	³ He+PE	899	$\simeq 1$	—	_	—	0.989	0.947	4.9	4.9	57
SRP I	Gd-LS	887	$\simeq 1$	_	—	_	0.987	0.952	3.7	3.7	18
SRP II	Gd-LS	887	$\simeq 1$	—	—	_	1.055	1.018	3.8	3.7	24
ROVNO88-1I	³ He+PE	898.8	0.607	0.277	0.074	0.042	0.969	0.917	6.9	6.9	18
ROVNO88-2I	³ He+PE	898.8	0.603	0.276	0.076	0.045	1.001	0.948	6.9	6.9	18
ROVNO88-1S	Gd-LS	898.8	0.606	0.277	0.074	0.043	1.026	0.972	7.8	7.2	18
ROVNO88-2S	Gd-LS	898.8	0.557	0.313	0.076	0.054	1.013	0.959	7.8	7.2	25
ROVNO88-3S	Gd-LS	898.8	0.606	0.274	0.074	0.046	0.990	0.938	7.2	7.2	18
	result Bugey-4 ROVNO91 Bugey-3-I Bugey-3-II Bugey-3-II Goesgen-I Goesgen-II ILL Krasn. I Krasn. II Krasn. II Krasn. II SRP I SRP II ROVNO88-11 ROVNO88-21 ROVNO88-25 ROVNO88-35	result Det. type Bugey-4 ³ He+H ₂ O ROVNO91 ³ He+H ₂ O Bugey-3-II ⁶ Li-LS Bugey-3-II ⁶ Li-LS Bugey-3-III ⁶ Li-LS Bugey-3-III ⁶ Li-LS Goesgen-II ³ He+LS Goesgen-III ³ He+LS Goesgen-III ³ He+LS Krasn. I ³ He+PE Krasn. II ³ He+PE Krasn. III ³ He+PE SRP I Gd-LS SRP II Gd-LS ROVN088-1I ³ He+PE ROVN088-2I ³ He+PE ROVN088-2S Gd-LS ROVN088-3S Gd-LS	result Det. type τ_n (s) Bugey-4 3 He+H ₂ O 888.7 ROVNO91 3 He+H ₂ O 888.6 Bugey-3-I 6 Li-LS 889 Bugey-3-II 6 Li-LS 889 Bugey-3-III 6 Li-LS 889 Goesgen-II 3 He+LS 897 Krasn. I 3 He+LS 899 Krasn. II 3 He+PE 899 Krasn. III 3 He+PE 899 SRP I Gd-LS 887 SRP II Gd-LS 887 ROVNO88-1I 3 He+PE 898.8 ROVNO88-2I 3 He+PE 898.8 ROVNO88-2S Gd-LS 898.8 ROVNO88-3S Gd-LS 898.8	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$

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ROVNO88-2S	Gd-LS	898.8	0.557	0.313	0.076	0.054	1.013	0.959	7.8	7.2	25
ROVNO88-3S	Gd-LS	898.8	0.606	0.274	0.074	0.046	0.990	0.938	7.2	7.2	18
	result Bugey-4 ROVNO91 Bugey-3-I Bugey-3-II Goesgen-I Goesgen-I Goesgen-II ILL Krasn. I Krasn. II Krasn. II Krasn. II SRP I SRP I SRP II ROVNO88-11 ROVNO88-21 ROVNO88-25 ROVNO88-35	result Det. type Bugey-4 ³ He+H ₂ O ROVNO91 ³ He+H ₂ O Bugey-3-II ⁶ Li-LS Bugey-3-III ⁶ Li-LS Bugey-3-III ⁶ Li-LS Bugey-3-III ⁶ Li-LS Bugey-3-III ⁶ Li-LS Goesgen-II ³ He+LS Goesgen-III ³ He+LS Goesgen-III ³ He+LS Krasn. I ³ He+PE Krasn. II ³ He+PE Krasn. III ³ He+PE SRP I Gd-LS SRP II Gd-LS ROVN088-1I ³ He+PE ROVN088-2I ³ He+PE ROVN088-2S Gd-LS ROVN088-3S Gd-LS	result Det. type $\tau_{r_{i}}$ (s) Bugey-4 3 He+H ₂ O 888.7 ROVNO91 3 He+H ₂ O 888.6 Bugey-3-I 6 Li-LS 889 Bugey-3-II 6 Li-LS 889 Bugey-3-III 6 Li-LS 889 Goesgen-II 3 He+LS 897 Goesgen-II 3 He+LS 897 Goesgen-II 3 He+LS 897 Goesgen-II 3 He+LS 897 ILL 3 He+LS 899 Krasn. I 3 He+PE 899 Krasn. III 3 He+PE 899 Krasn. III 3 He+PE 899 SRP I Gd-LS 887 SRP II Gd-LS 887 ROVNO88-1I 3 He+PE 898.8 ROVNO88-2I Gd-LS 898.8 ROVNO88-2S Gd-LS 898.8 ROVNO88-3S Gd-LS 898.8	result Det. type τ_n (s) 235 U Bugey-4 3 He+H ₂ O 888.7 0.538 ROVNO91 3 He+H ₂ O 888.6 0.614 Bugey-3-I 6 Li-LS 889 0.538 Bugey-3-II 6 Li-LS 889 0.538 Bugey-3-III 6 Li-LS 889 0.538 Bugey-3-III 6 Li-LS 889 0.538 Goesgen-I 3 He+LS 897 0.620 Goesgen-II 3 He+LS 897 0.543 ILL 3 He+LS 897 0.543 ILL 3 He+PE 899 $\simeq 1$ Krasn. I 3 He+PE 899 $\simeq 1$ Krasn. III 3 He+PE 899 $\simeq 1$ Krasn. III 3 He+PE 899 $\simeq 1$ Krasn. III 3 He+PE 899 $\simeq 1$ SRP I Gd-LS 887 $\simeq 1$ SRP II Gd-LS 898.8 0.603 ROVNO88-13 Gd-LS <td< td=""><td>$\begin{array}{c c c c c c c c c c c c c c c c c c c$</td><td>$\begin{array}{c c c c c c c c c c c c c c c c c c c$</td><td>$\begin{array}{c c c c c c c c c c c c c c c c c c c$</td><td>$\begin{array}{ c c c c c c c c c c c c c c c c c c c$</td><td>result Det. type τ_n (s) 235U 238U 241Pu old new Bugey-4 3He+H_2O 888.7 0.538 0.328 0.078 0.056 0.987 0.942 ROVNO91 3He+H_2O 888.6 0.614 0.274 0.074 0.038 0.985 0.942 Bugey-3-I 6Li-LS 889 0.538 0.328 0.078 0.056 0.988 0.940 Bugey-3-II 6Li-LS 889 0.538 0.328 0.078 0.056 0.940 0.952 Bugey-3-III 6Li-LS 889 0.538 0.328 0.078 0.056 0.940 0.952 Bugey-3-III 6Li-LS 889 0.538 0.328 0.078 0.056 0.915 0.876 Goesgen-II 3He+LS 897 0.543 0.298 0.068 0.050 1.045 0.925 ILL 3He+LS 897 0.543 0.329 0.070 0.058</td><td>$\begin{array}{ c c c c c c c c c c c c c c c c c c c$</td><td>resultDet. type$\tau_n$ (s)235U238U241Puoldnewerr(%)corr(%)Bugey-43He+H_2O888.70.5380.3280.0780.0560.9870.9423.03.0ROVNO913He+H_2O888.60.6140.2740.0740.0380.9850.9403.93.0Bugey-3-I6Li-LS8890.5380.3280.0780.0560.9880.9464.84.8Bugey-3-III6Li-LS8890.5380.3280.0780.0560.9940.9524.94.8Bugey-3-III6Li-LS8890.5380.3280.0780.0560.9410.87614.14.8Goesgen-I3He+LS8970.6200.2740.0740.0421.0180.9666.56.0Goesgen-II3He+LS8970.5430.3290.0700.580.9750.9257.66.0Goesgen-II3He+LS8970.5430.3290.0700.580.9750.9257.66.0ILL3He+LS899$\simeq 1$0.8320.8029.56.0Krasn. II3He+PE899$\simeq 1$0.9380.9474.94.9Krasn. III3He+PE899$\simeq 1$0.9380.9474.94.9SRP IGd-LS887$\simeq 1$</td></td<>	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	result Det. type τ_n (s) 235 U 238 U 241 Pu old new Bugey-4 3 He+H_2O 888.7 0.538 0.328 0.078 0.056 0.987 0.942 ROVNO91 3 He+H_2O 888.6 0.614 0.274 0.074 0.038 0.985 0.942 Bugey-3-I 6 Li-LS 889 0.538 0.328 0.078 0.056 0.988 0.940 Bugey-3-II 6 Li-LS 889 0.538 0.328 0.078 0.056 0.940 0.952 Bugey-3-III 6 Li-LS 889 0.538 0.328 0.078 0.056 0.940 0.952 Bugey-3-III 6 Li-LS 889 0.538 0.328 0.078 0.056 0.915 0.876 Goesgen-II 3 He+LS 897 0.543 0.298 0.068 0.050 1.045 0.925 ILL 3 He+LS 897 0.543 0.329 0.070 0.058	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	resultDet. type τ_n (s) 235 U 238 U 241 Puoldnewerr(%)corr(%)Bugey-4 3 He+H_2O888.70.5380.3280.0780.0560.9870.9423.03.0ROVNO91 3 He+H_2O888.60.6140.2740.0740.0380.9850.9403.93.0Bugey-3-I 6 Li-LS8890.5380.3280.0780.0560.9880.9464.84.8Bugey-3-III 6 Li-LS8890.5380.3280.0780.0560.9940.9524.94.8Bugey-3-III 6 Li-LS8890.5380.3280.0780.0560.9410.87614.14.8Goesgen-I 3 He+LS8970.6200.2740.0740.0421.0180.9666.56.0Goesgen-II 3 He+LS8970.5430.3290.0700.580.9750.9257.66.0Goesgen-II 3 He+LS8970.5430.3290.0700.580.9750.9257.66.0ILL 3 He+LS899 $\simeq 1$ 0.8320.8029.56.0Krasn. II 3 He+PE899 $\simeq 1$ 0.9380.9474.94.9Krasn. III 3 He+PE899 $\simeq 1$ 0.9380.9474.94.9SRP IGd-LS887 $\simeq 1$

- Our guiding principles: be conservative be stable numerically (SRP case)
- Reactor antineutrino sources
 - 2% systematic on $\bar{\nu}_e$ -flux 100% correlated over ALL measurements
 - 1.8% corresponds to the normalization error on the ILL β data
- Detector = non-flux systematic error correlations across measurements
 - Same experiment with same technology: 100% correlated
 - ILL shares 6% correlated error with Goesgen although detector slightly different
 - Rovno88 integral measurement 100% correlated with Rovno91 despite detector upgrade, but not with Rovno88 LS data
 - Rovno91 integral measurement 100% correlated with Bugey-4
 - Rovno88 integral measurement 50% correlated with Bugey-4

Experiments correlation matrix



1) Main pink color comes from the 2% systematic on ILL β -spectra normalization uncertainty

2) The experiment block correlations come from identical detector, technology or $\bar{\nu}_e$ source

The Reactor Antineutrino Anomaly



$$\chi^2 = (r - \vec{R})^T W^{-1} (r - \vec{R})$$

- Best fit: $\mu = 0.943$
- Uncertainty: 0.023

•
$$\chi^2 = 19.6/19$$

- Deviation from unity
 - Naïve Gaussian: 99.3% C.L.
 - Toy MC: 98.6% C.L. (10⁶ trials)
- No hidden covariance
 - 18% of Toy MC have $\chi^2_{\rm min} < 19.6$

The Reactor Antineutrino Anomaly (cont'd)

- 18(19) short baseline experiments <100 m from a reactor observed a deficit of $\bar{\nu}_e$ compared to the new prediction
- The effect is statistically significant at more than 98.6%
- Effect partly due to re-evaluation of cross-section parameters, especially neutron lifetime, accounting for off-equilibrium effects
- At least three alternatives:
 - **(**) Our calculations are wrong: ILL β data are unchanged w.r.t old prediction...
 - Bias in all short-baseline experiments near reactors: unlikely...
 - New physics at short baselines, explaining a deficit of v
 _e. Oscillation towrads a 4th sterile v?

$$\begin{pmatrix} \nu_e \\ \nu_s \end{pmatrix} = \begin{pmatrix} \cos \theta_{\text{new}} & \sin \theta_{\text{new}} \\ -\sin \theta_{\text{new}} & \cos \theta_{\text{new}} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_{\text{new}} \end{pmatrix}$$

$$P_{\nu_e \to \nu_e} = |\langle \nu_e(L) | \nu_e(0) \rangle|^2 = 1 - \sin^2 \left(2\theta_{\text{new}} \right) \sin^2 \left(\frac{\Delta m_{\text{new}}^2 L}{4E} \right)$$

The 4th neutrino hypothesis

Fit to $\bar{\nu}_e$ disappearance hypothesis \Rightarrow combined reactor rate + shape contours



The Reactor Antineutrino Anomaly

Reactor rate + shape + Gallium + MiniBooNE



The no-oscillation hypothesis is disfavored at 99.8% C.L. for Gallium neutrino anomaly, see *Giunti-Laveder Phys. Rev. D82 (2010) 053005*

Th. A. Mueller

The Reactor Antineutrino Anomaly

Normalization dilemma: need for new experimental inputs!



CHOOZ and KamLAND combined limit on θ_{13}

Normalization with $\sigma_{\rm \scriptscriptstyle f}^{\rm pred,new}$

 $3-\nu$ framework & 2.7% uncertainty

Normalization using σ_f^{ano}

 $3-\nu$ framework & 2.7% uncertainty



• Our interpretation:

- **()** No hint on $\theta_{13} > 0$ from reactor experiments: $\sin^2(2\theta_{13}) < 0.11$ (90% C.L., 1 dof)
- Q CHOOZ 90% C.L. limit stays identical to Eur. Phys. J. C27 (2003) 331-374
- Multi-detector reactor experiments are not affected

Conclusion & perspectives

- Reactor antineutrino anomaly discovered
 - Experimental bias to be deeply investigated
 - ② New physics hypothesis tested: 4th neutrino ⇒ no-oscillation hypothesis disfavored at 99.8%
- Multi-detector reactor experiments not affected \rightarrow Daya Bay, RENO, Double Chooz
- Clear experimental confirmation / infirmation is needed:
 - $L/E \approx \text{few m/MeV or km/GeV}$
 - New experiment at reactor: short baseline, shape + rate analysis
 - Mci ν_e / $\bar{\nu}_e$ source in / close to a large liquid scintillator: like SNO+, Borexino, KamLAND
 - New ν beam experiment probing for GeV ν_e disappearance at 100 m & 1 km

BACK-UP SLIDES

The guts of $S_k(E)$

• Sum of all fission products' activities:

$$S_k(E) = \sum_{f=1}^{N_f} A_f(t) \times S_f(E)$$

• Sum of all β -branches of each fission product:

$$S_{f}(E) = \sum_{b=1}^{N_{b}} BR_{f}^{b} \times S_{f}^{b}(Z_{f}, A_{f}, E_{0,f}^{b}, E)$$

• Theory of β -decay:

$$\begin{split} S_{f}^{b}(Z_{f},A_{f},E_{0,f}^{b},E) &= \overbrace{K_{f}^{b}}^{\text{Normalization}} \times \overbrace{\mathcal{F}(Z_{f},A_{f},E)}^{\text{Fermi function}} \\ &\times \underbrace{pE(E-E_{0,f}^{b})^{2}}_{\text{Phase space}} \times \underbrace{C_{f}^{b}(E)}_{\text{Shape factor}} \times \underbrace{\left(1 + \underbrace{\delta_{f}^{b}(Z_{f},A_{f},E)}_{\text{Corrections}}\right)}_{\text{Corrections}} \end{split}$$

• Corrections to Fermi theory of β -decay :

 $\delta^b_f(Z_f,A_f,E) = \delta_{\mathsf{QED}}(E) + A_C(Z_f,A_f) \times E + A_W \times E$

Complementary approaches to compute the ν flux

	Ab-initio	Integral measurements of electron spectra
Sum of all fission products' activities	fission product inventory (t)	fission rates (t)
	* Fission yields (J * Lifetime	EFF, ENDF, JENDL)
Sum of all β -branches of each fission product	Build total spectrum from sum of β -branches	Reference spectrum per isotopes
Theory of β decay	* Complete β -decays schemes (ENSDF) * β -strength (Greenwood et al.) * Total β spect. per nucleus (Rudstam et al.) * Masses (Q_β) * Nuclear models	* ILL electron data * Effective conversion
	Full ab-initio Our mixed	approach Effective

Unique reference to be met by any other measurement and/or calculation

- Accurate electron spectra measurements @ ILL (1980-89)
- High resolution electromagnetic spectrometer
- $\bullet\,$ Intense and pure thermal n spectrum from the core
- Extensive use of reference internal conversion electron lines \Rightarrow normalization $\pm 1.8\%$



ILL data: conversion to ν spectra

- Fit β spectrum with a sum of 30 effective branches
- $\bullet\,$ Conversion of the effective branches to ν spectra $\equiv\,$ energy conservation







• All theory included in these effective branches but:

- What $Z? \to$ mean fit on nuclear data $Z = f(E_0)$ $Z(E_0) = 49.5 - 0.7 \times E_0 - 0.09 \times E_0^2, \quad Z > 34$
- What $A_{\rm WC}$? \rightarrow effective correction on the ν spectra $\Delta N_{\nu}^{\rm WC}(E_{\nu}) = 0.65 \times (E_{\nu} - 4 \text{ MeV})\%$
- Conversion error from envelop of numerical studies



The full ab-initio attempt (electron data)

- MURE evolution code: core composition and off-equilibrium effects
- BESTIOLE code: build up database of 800 nuclei and 10000 β -branches



() $95\pm5\%$ of the spectrum reproduced but still not meeting required precision

④ Useful estimate of ²³⁸U spectrum which couldn't be measured @ ILL ⇒ Measurement at FRM-II on-going (N. Haag & K. Schreckenbach)

Th. A. Mueller

The new mixed conversion approach

- () Same ILL β data anchorage
- **2** Ab-initio: "true" distribution of β -branches reproduces > 90% of ILL β data
- Old procedure: 5 effective branches to the remaining 10%



- +3% normalization shift with respect to old ν spectrum (²³⁵U, ²³⁹Pu & ²⁴¹Pu)
 Stringent test performed origin of the biais identified
 - Th. A. Mueller

Consistency check

- Define "true" β and $\bar{\nu}_e$ spectra from reduced set of well-known branches from ENSDF nuclei database
- Apply exact same OLD conversion procedure to true β spectrum
- Compare converted ve spectrum to the true ve spectrum
- This technique gives a 3% biais to the true ve spectrum



 \Rightarrow OLD effective conversion method biaises the predicted $\bar{\nu}_e$ spectrum at the level of $\sim 3\%$ in normalization

Origin of the 3% shift



• E < 4 MeV: deviation from effective linear $A_{\rm WC}$ correction of ILL data

$$\Delta N_{\nu}^{\mathsf{WC}}(E_{\nu}) = 0.65 \times (E_{\nu}4 \; \mathsf{MeV})\%$$

• E > 4 MeV: mean fit of $Z(E_0)$ doesn't take into account the very large dispersion of Z around the mean curve

$$Z(E_0) = 49.5 - 0.7 \times E_0 - 0.09 \times E_0^2$$

Reactor Electron Antineutrino Detection

• Inverse β decay, threshold 1.806 MeV:

$$\bar{\nu}_e + p \to e^+ + n$$

• $\bar{\nu}_e$ interaction rate:

$$n_{\nu} = \frac{1}{4\pi R^2} \frac{P_{\rm th}}{\langle E_f \rangle} N_p \epsilon \sigma_f$$

• Experimental cross section per fission:

$$\sigma_{f}^{\rm meas.} = \frac{4\pi R^2 n_{\nu}^{\rm meas.}}{N_{p}\epsilon} \frac{\langle E_{f} \rangle}{P_{\rm th}}$$

• Predicted cross section per fission:

$$\sigma_f^{\rm pred.} = \int_0^{+\infty} \phi_f^{\rm pred.}(E_\nu) \sigma_{\rm V-A} dE_\nu$$

ROVNO88, 5 measurements, Sov. Phys. JETP 67 (1988)

- Rovno, Russia, VVER, 1983-1986
- Technology:
 - Integral detector with PE target containing ³He counters, only neutrons are detected
 - Liquid scintillator detector
- Baselines:
 - 18 m & 25 m
- Typical fuel composition:
 - 60.7% ²³⁵U, 27.7% ²³⁹Pu, 7.4% ²³⁸U, 4.2% ²⁴¹Pu
- Uncertainties:
 - statistics: < 0.9%
 - systematics: 7 8%
- Correlated with:
 - BUGEY-4,
 - ROVNO-91 (integral measurement only),
 - with each other



FIG. 1. Schematic diagram of the experimental setup: 1—integrating detector; 2—scinillation-counter spectrometer; 3—polyethylene; 4—scintillators of the anticoincidence shield; 5—tanks containing the liquid scintillator (anticoincidence "hood"); 6—steel; 7—heavy concrete; 8 concrete; 9—additional shielding.

ROVNO91, JETP Lett. 54 (1991)

- Rovno, Russia, VVER, late 80's
- Technology:
 - Upgraded integral detector: water target containing ³He counters, only neutrons are detected
- Baselines:
 - 18 m
- Fuel composition:
 - 61.4% ²³⁵U, 27.4% ²³⁹Pu, 7.4% ²³⁸U, 3.8% ²⁴¹Pu
- Uncertainties:
 - statistics: <1%
 - systematics: 3.8%
- Correlated with:
 - BUGEY-4 (same detector)





FIG. 4. Schematic diagram of the detectors: a—integrating detector; 1—proportional counter filled with ³He; 2—polyethylene; 3—borated polyethylene; b—scintillation-counter spectrometer: 1—liquid scintillator; 2—lightguide; 3—FEU-49B; 4—borated polyethylene. Bugey-4, Phys. Lett. B338 (1994)

- Bugey, France, PWR, early 1990's
- Technology:
 - Integral detector: water target containing ³He counters, only neutrons are detected
- Baselines:
 - 15 m
- Fuel composition:
 - 53.8% ²³⁵U, 32.8% ²³⁹Pu, 7.8% ²³⁸U, 5.6% ²⁴¹Pu
- Uncertainties:
 - statistics: 0.04%
 - systematics: 3% (most precise exp.)
- Correlated with:
 - ROVNO-91 (same detector)
 - ROVNO-88 (50% arb.)



FIG. 4. Schematic diagram of the detectors: a—integrating detector; 1—proportional counter filled with ³He; 2—polyethylene; 3—borated polyethylene; b—scintillation-counter spectrometer: 1—liquid scintillator; 2—lightguide; 3—FEU-49B; 4—borated polyethylene.

\Rightarrow Experimental cross section used to normalize the CHOOZ experiment result

Bugey-3, 3 measurements, Nucl. Phys. B434 (1995)

- Bugey, France, PWR, early 80's
- Technology:
 - Liquid scintillator segmented detectors with ⁶Li
- Baselines:
 - 14 m, 42 m & 95 m
- Fuel composition typical of PWR:
 - 53.8% 235 U, 32.8% 239 Pu, 7.8% 238 U, 5.6% 241 Pu
- Uncertainties:
 - statistics: 0.4%, 1.0% & 13.2%
 - systematics: 5.0%
- Correlated with:
 - each other
- Stringent shape distortion analysis disfavoring sub-eV² oscillations



Fig. 1. A schematic view of one detection module and of the detection principle.

Goesgen, 3 measurements, Phys. Rev. D34 (1986)

- Gösgen, Switzerland, PWR, 1981-1984
- Technology:
 - Liquid scintillator segmented detectors + $^{3}\mathrm{He}$ counters for neutron capture
- Baselines:
 - 37.9 m, 45.9 m & 64.7 m
- 3 fuel composition. Typical:
 - 61.9% ²³⁵U, 27.2% ²³⁹Pu, 6.7% ²³⁸U, 4.2% ²⁴¹Pu
- Uncertainties:
 - statistics: 2.4%, 2.4% & 4.7%
 - systematics: 6.0%
- Correlated with:
 - ILL (same detector)
 - each other



FIG. 8. Detector assembly of the neutrino experiments 1–III at Gösgen. The central detector, normally located within the concrete house H is shown in its rolled out position. (1) is the central detector unit, (2) are the tanks of the active veto, (4) are water tanks, (5) is a movable concrete closing door. The various parts of the detector move on a system of rails (3).



FIG. 3. Neutrino-detection principle and realization: The central neutrino-detector unit consists of 30 liquid-scintillator cells arranged in five planes for positron detection and four ³He-filled wire chambers for neutron detection.

ILL-*v*, Phys. Rev. D24 (1981)

- ILL, Reasearch reactor, Grenoble, 1980-81
- Technology:
 - Liquid scintillator segmented detectors + ${}^{3}\mbox{He}$ counters for neutron capture
- Baselines:
 - 8.76 (15) m
- Fuel composition:
 - ${\scriptstyle \bullet}\,$ almost pure $^{235}{\rm U}$
- Uncertainties:
 - statistics: 3.5%
 - systematics: 8.9%
- Correlated with:
 - Goesgen





FIG. 1. Experimental arrangement of the detector system and shielding.

Krasnoyarsk, 3 measurements, JETP 93 (1987)

- Krasnoyarsk research reactor, Russia
- Technology:
 - Integral detector filled with PE + ³He counters for neutron capture
- Baselines:
 - 33 m & 92 m from 2 reactors (1987)
 - 57.3 m from 2 reactors (1994)
- Fuel composition:
 - mainly ²³⁵U
- Uncertainties (33 m, 57.3 m & 92 m):
 - statistics: 3.6%, 1.0% & 19.9%
 - systematics: 4.8% to 5.5% (corr.)
- Correlated with:
 - each other



FIG. 1. Neutrino detector. *I*—³He proportional neutrino counters; 2 detector; 3—shielding made of borated polyethylene; 4—films for active shielding from cosmic-ray mesons; 5—FEU-125 photomultiplier.

Savannah River Plant, 2 measurements, Phys. Rev. D53 (1996)

- Savannah River, USA, long standing program initiated by F. Reines. Only the last 2 results are included in our work
- Technology:
 - Liquid scintillator doped with 0.5% Gd
- Baselines:
 - 18.2 m & 23.8 m
- Fuel composition:
 - $\bullet\,$ Difference with pure ^{235}U below 1.5%
- Uncertainties:
 - statistics: 0.6% & 1.0%
 - systematics: 3.7%
- Correlated with:
 - each other
 - but the two results are in slight tension



FIG. 1. The major components of the mobile detector.

Are the ratios normally distributed?

- Our data points are ratios of Gaussians :
 - Numerator: measurement, Gaussian with stat. and syst. error, partially correlated
 - $\bullet\,$ Denominator: common prediction assumed to have Gaussian fluctuation of $2\%\,$
- $\bullet\,$ Toy MC with correlated denominator with 2% fluctuation $\to\,10^6$ events
 - Estimate weighted average R of 19 random points with correlations around 0.943
 - P-value for $(R\geq 1){:}$ 1.4% (2.2 $\sigma)$ compared to nave Gaussian 2.4 σ
 - Our contours are reweighted by $(2.2/2.4)^2$ to take this slight non-normality into account
- Hidden covariance
 - $\chi^2_{\rm min}$ of data to straight line in the 18% quantile \rightarrow data not incompatible with fluctuations





The 1981 ILL measurement

- Reactor at ILL with almost pure ²³⁵U, with compact core
- Detector 8.76(?) m from core. Any bias?
- Reanalysis in 1995 by part of the collaboration to account for overestimation of flux at ILL reactor by 10%... Affects the rate only



• Large errors, but a striking pattern is seen by eye?

Spectral shape analysis of Bugey-3

- $\bullet\,$ Bugey-3 spectral measurement at 15 m, 45 m and 90 m
- \bullet Best constraint from high statistics R = 15 m / 40 m ratio
- Very robust since it does not rely on reactor spectra



- Reproduction of the collaboration's raster-scan analysis
- Use of a global-scan in combined analysis



The Gallium anomaly, Phys. Rev. D82 (2010)

- 4 calibration runs with intense MCi neutrino sources:
 - 2 runs at Gallex with a 51 Cr source (750 keV u_e emitter)
 - 1 run at SAGE with a 51 Cr source and 1 with a 37 Ar source (810 keV u_e emitter)
 - All observed a deficit of neutrino interactions compared to the expected activity. Hint of oscillation?
- Our analysis for Gallex & SAGE:
 - · Monte Carlo computing mean path lenghts of neutrinos in gallium tanks
 - NEW: correlate the 2 Gallex runs together & the 2 SAGE runs together



The Gallium anomaly (cont'd)



The normalization dilemma

- Experiments with baselines > 500 m
- How do you normalize the expected flux, knowing the fuel composition?
- If near + far detectors, not an issue anymore

$$\sigma_f^{\rm pred} = 6.102 \ 10^{-43} \ {\rm cm}^2/{\rm fission} \ \pm 2.7\%$$

Choices



Use σ_f^{ecp} Bugey-4 = 5.750 10⁻⁴³ cm²/fission ±1.4% CHOOZ's choice: use lower error (total 2.7% instead of 3.3%) Bugey-4 is a kind of "near detector" for CHOOZ

Use $\langle \sigma_f^{\text{ecp}} \rangle = \sigma_f^{\text{ano}} = 5.750 \ 10^{-43} \ \text{cm}^2/\text{fission} \pm 1\% \pm ?\%$ (syst.) Average over short-baseline experiments

CHOOZ reanalysis

- ullet The choice of σ_f changes the limit on θ_{13} /gallium
- $\bullet\,$ CHOOZ original choice was $\sigma_{f}^{\rm exp}$ from Bugey-4 with low error



- If $\sigma_f^{\text{pred,new}}$ is used, limit is worse by factor of 2
- If σ_f^{ano} is used with 2.7%, we obtain the original limit \rightarrow but which error should we associate to σ_f^{ano} (burnup)?

Reanalysis of KamLAND's 2010 results

Systematics

	Detector-related ((%)	Reactor-related (%)		
Δm_{21}^2	Energy scale	1.9	$\bar{\nu}_e$ -spectra	0.6	
	Fiducial volume	1.8	$\bar{\nu}_e$ -spectra	2.4	
Event rate	Energy threshold	1.5	Reactor power	2.1	
Event late	Efficiency	0.6	Fuel composition	1.0	
	Cross section	0.2	Long-lived nuclei	0.3	
	Total	2.3	Total	3.3	

Reproduced KamLAND spectra within 1% in 1-6 MeV range



Spectra from Japanese reactors (with osc.)



With new spectra predictions



 \Rightarrow no changes $\tan^2 \theta_{12}$ & Δm_{21}^2 , shift θ_{13}