

# NuFact 09 Perspectives



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**NuFact09**

**11th International Workshop on Neutrino Factories, Superbeams and Beta Beams  
July 20-25, 2009 — Illinois Institute of Technology — Chicago**



# Neutrinos: A fascinating Story



W. Pauli



- continuous  $\beta$ -decay spectrum
- energy-momentum conservation

→ theory: a new particle

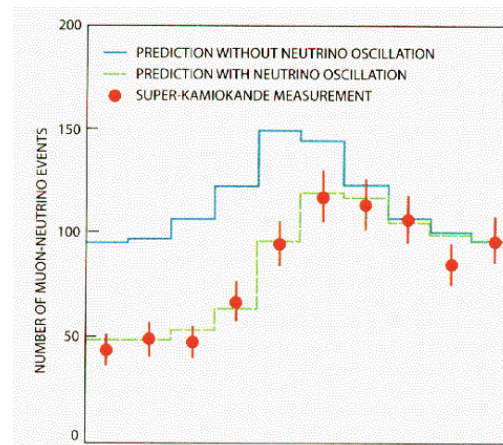
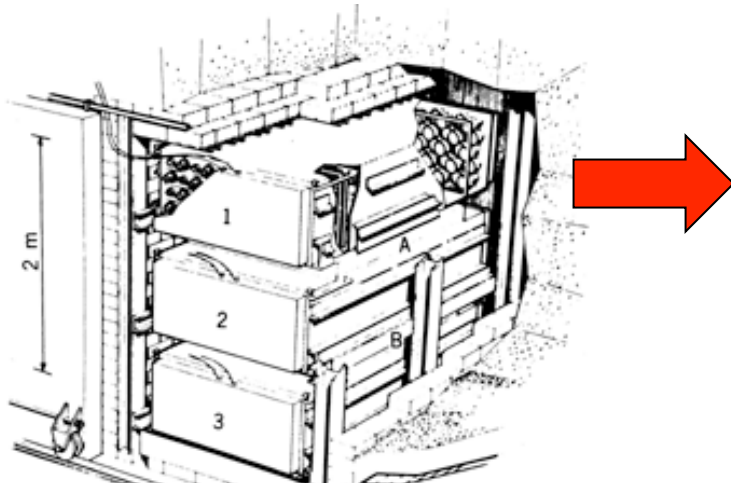
→ invisible, since  $Q=0$ , spin  $1/2$ , ...

**Dec. 1930: Letter to DPG @ Tübingen ... will never be detected**

- Cowen & Reines 1954-56
- project “poltergeist”
- detection of reactor  $\nu$ 's

- neutrino beams, DIS,
- oscillations
- masses and mixings

SM  $\leftrightarrow$  BSM

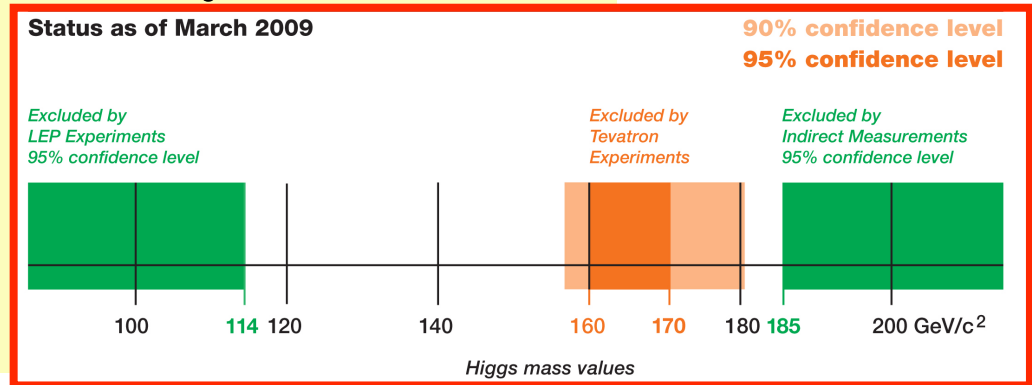


**what next?  
why?  
what?**

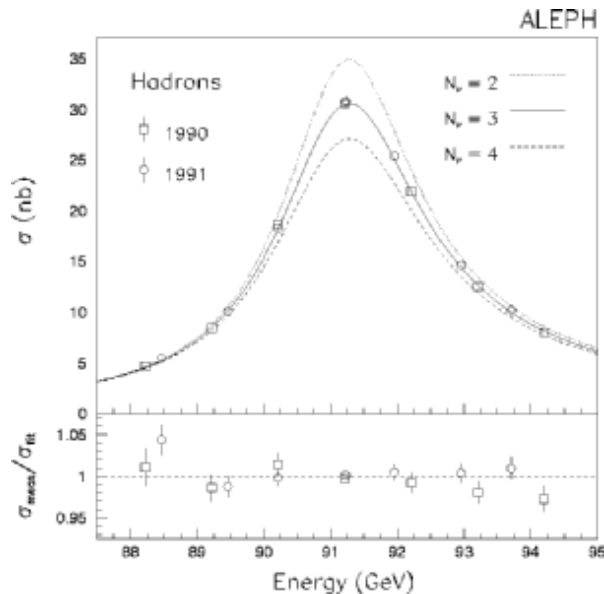
# Success of the Standard Model

SM describes everything perfectly ... besides:

- missing Higgs particle →
- $3.7\sigma$  deviation in  $g-2$
- no dark matter
- neutrinos are massless



SM: 3 neutrinos ↔ Z line shape @ LEP



parameters of SM	add massive $\nu$ 's
gauge bosons	3
Higgs particle	2
quarks (mass+mix)	6+4
leptons	3 → +3+6
strong CP problem	1
<b>total</b>	<b>19 → 28</b>

# Physics Beyond the Standard Model

## Theoretical arguments:

- SM does not exist without cutoff (triviality)
- Higgs-doublet = only simplest extension
- Gauge hierarchy problem
- Gauge coupling unification
- Strong CP problem
- Why: 3 generations, fermion representations
- Many parameters (9+? Masses, 4+? Mixings)
- Charge quantisation, unification: GUTs, Gravity

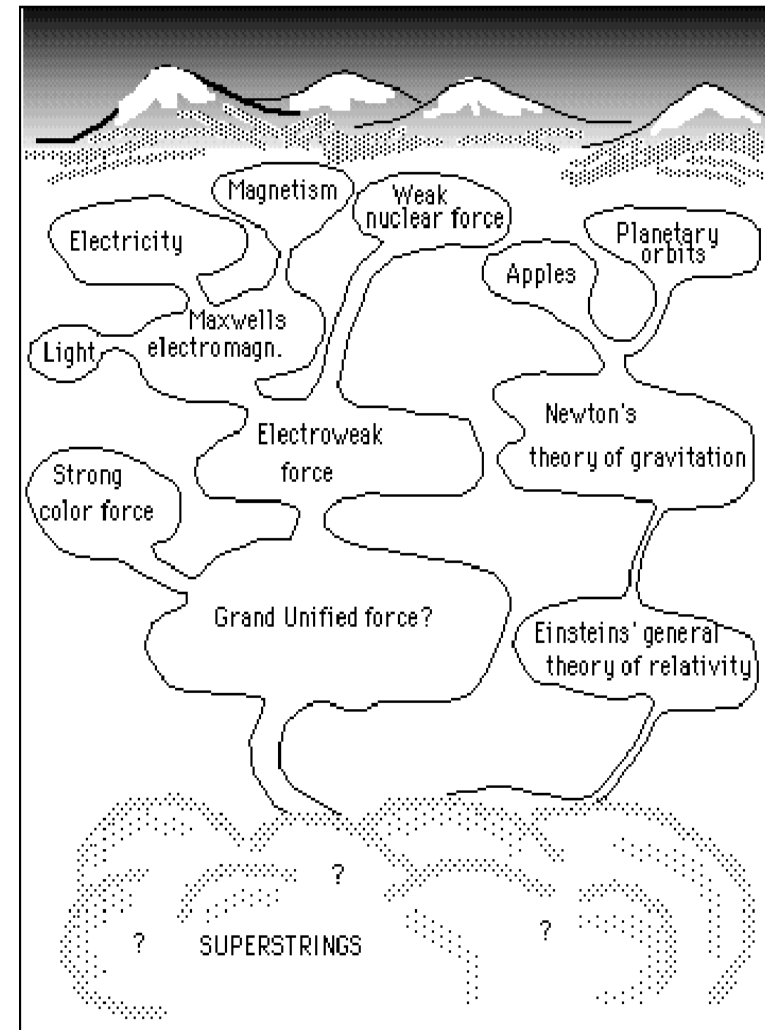
## Two main directions:

- Symmetry breaking  $\leftrightarrow$  LHC
- Origin of generations/flavour  $\leftrightarrow$   $\nu$ 's

## Experimental facts:

- Dark Matter & Dark Energy exist
- $g-2$  deviates from SM
- Neutrino masses have been detected
- Baryon asymmetry of the universe  $\leftrightarrow$   $m_\nu > 0$

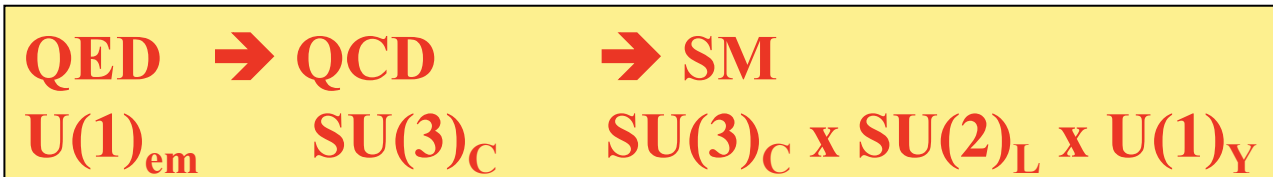
## unification





# Extending the Standard Model

→ success of renormalizable gauge field theories in  $d=4$




→ symmetry, renormalizability, no anomalies

→ particle content (symmetry representations):

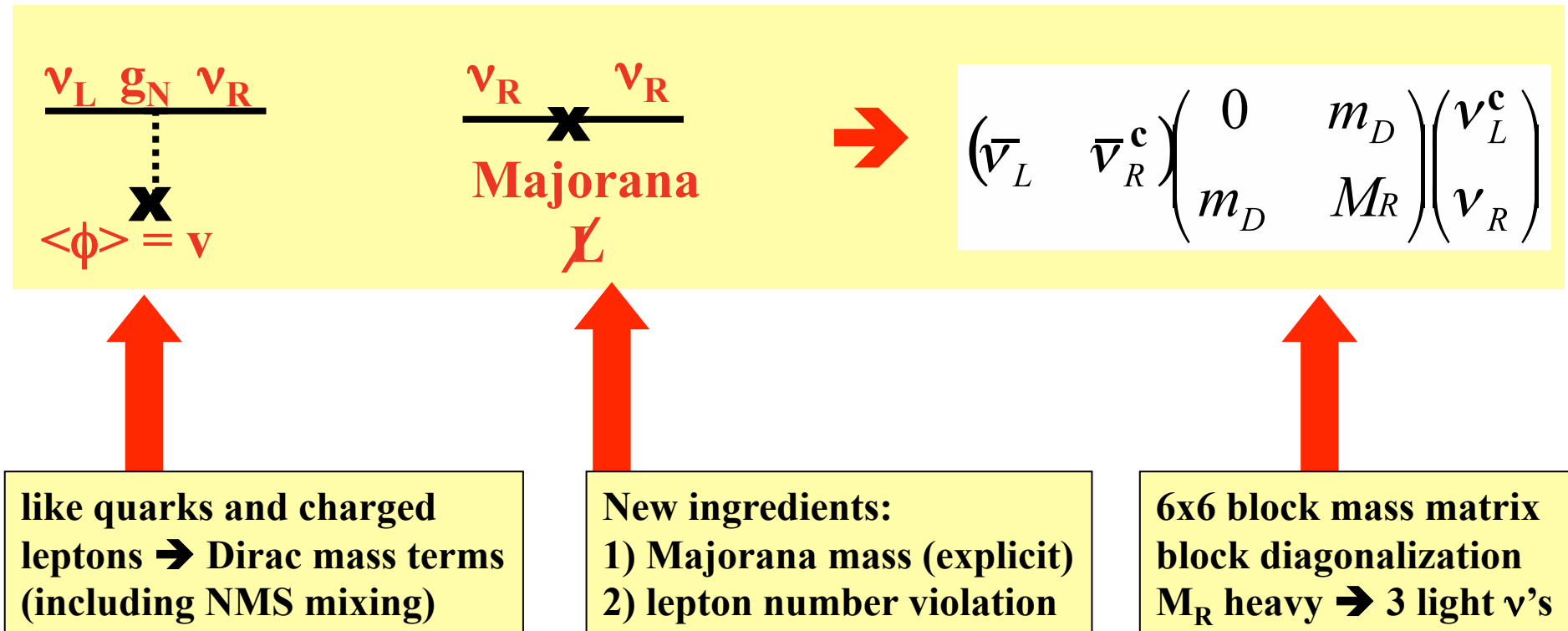
- gauge sector – fixed by gauge group
- scalar sector – must break EW symmetry,  $SB \sim 2_L$
- fermions – anomaly free combinations (least understood sector!!!)

→ different levels of SM extension... **Pavel Fileviez Perez**

- 
- add further SM representations: scalars, fermions
  - extend the gauge symmetry
  - add supersymmetry
  - extend/modify basic concepts: quantum fields and/or space-time

# Adding Neutrino Mass Terms

## 1) Simplest possibility: add 3 right handed neutrino fields

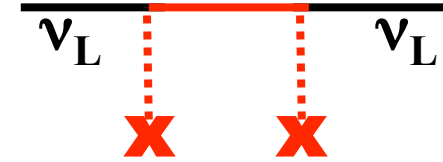
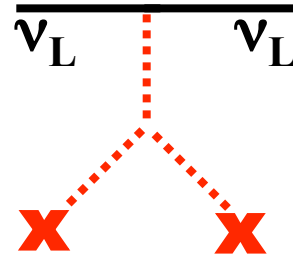


**NEW ingredients, 9 parameters  $\rightarrow$  SM+**

**Note:** Adding chiral fermion representations to SM non-trivial  $\leftrightarrow$  radiative corrections S, T, ...

# Other Neutrino Mass Operators

## 2) new scalar or fermionic triplets:



→ left-handed Majorana mass term:

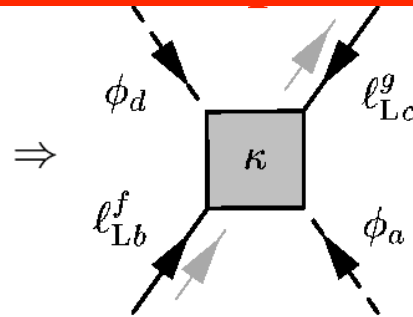
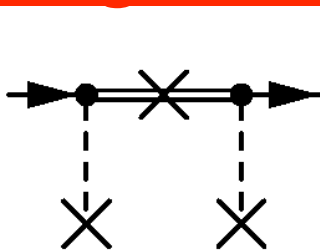
$$\rightarrow M_L \bar{L} L^c$$

## 3) Both $\nu_R$ and new triplets:

→ see-saw type II, III

$$m_\nu = M_L - m_D M_R^{-1} m_D^T$$

## 4) Higher dimensional operators: d=5, ...



⇔

$$\mathcal{L}_{mass} = \kappa \cdot \bar{\nu}_L^C \nu_L \Phi^T \Phi$$

$$\rightarrow M_L \bar{L} L^c$$

## 5-N) ...

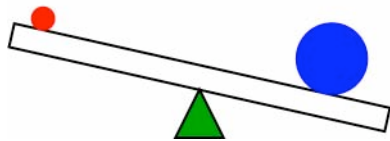
# Suggestive Seesaw Features

QFT: natural value of mass operators  $\leftrightarrow$  scale of symmetry

$m_D \sim$  electro-weak scale

$M_R \sim$  L violation scale  $\leftarrow ? \rightarrow$  embedding (GUTs, ...)

See-saw mechanism (type I)



$$m_\nu = m_D M_R^{-1} m_D^T$$

$$m_h = M_R$$

Numerical hints:

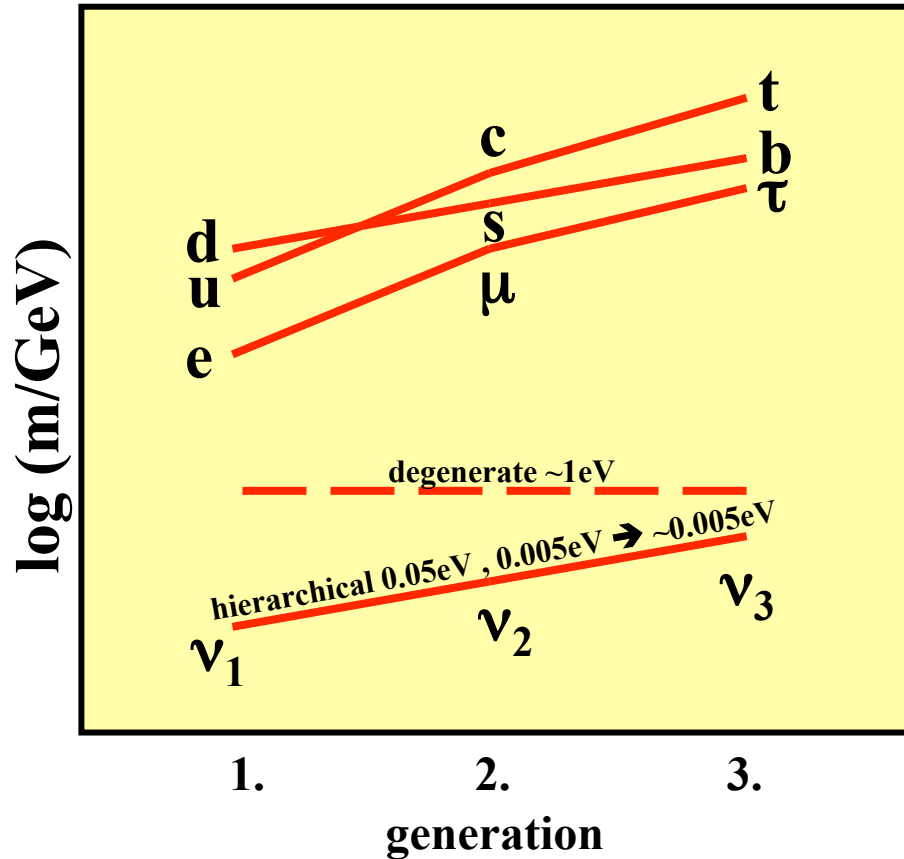
For  $m_3 \sim (\Delta m_{\text{atm}}^2)^{1/2}$ ,  $m_D \sim$  leptons  $\rightarrow M_R \sim 10^{11} - 10^{16} \text{ GeV}$

$\rightarrow \nu$ 's are **Majorana particles**,  $m_\nu$  probes  $\sim$  GUT scale physics!

$\rightarrow$  smallness of  $m_\nu \leftrightarrow$  high scale of  $L$ , symmetries of  $m_D, M_R$

# 2nd Look Questions

Quarks & charged leptons → hierarchical masses → neutrinos?



## Quarks and charged leptons:

$$m_D \sim H^n ; n = 0, 1, 2 \rightarrow H \geq 20 \dots 200$$

## Neutrinos:

$$m_\nu \sim H^n \rightarrow H \leq \sim 10$$

## See-saw:

$$m_\nu = -m_D^T M_R^{-1} m_D$$



H	$\sim 10$	$\geq 20$	?	$\geq 20$
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- less hierarchy in  $m_D$  or correlated hierarchy in  $M_R$ ? → theoretically connected!
- mixing patterns: not generically large, why almost maximal,  $\theta_{13}$  small?

# Other effective Operators Beyond the SM

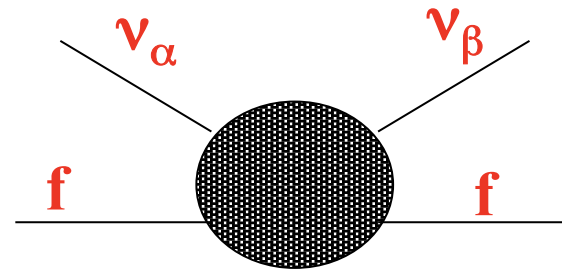
→ effects beyond 3 flavours

→ **Non Standard Interactions = NSIs** → effective 4f operators

$$\mathcal{L}_{NSI} \simeq \epsilon_{\alpha\beta} 2\sqrt{2}G_F (\bar{\nu}_{L\beta} \gamma^\rho \nu_{L\alpha}) (\bar{f}_L \gamma_\rho f_L)$$

• **integrating out heavy physics (c.f.  $G_F \leftrightarrow M_W$ )**

$$|\epsilon| \simeq \frac{M_W^2}{M_{NSI}^2}$$





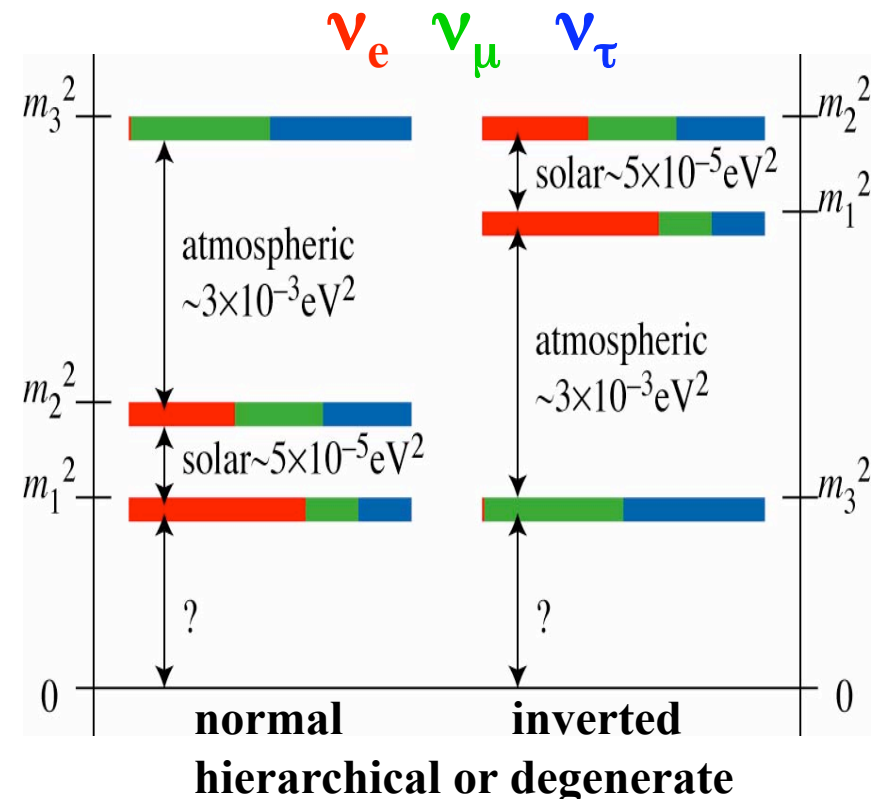
# Parameters for 3 Light Neutrinos

mass & mixing parameters:  $m_1$ ,  $\Delta m^2_{21}$ ,  $|\Delta m^2_{31}|$ ,  $\text{sign}(\Delta m^2_{31})$

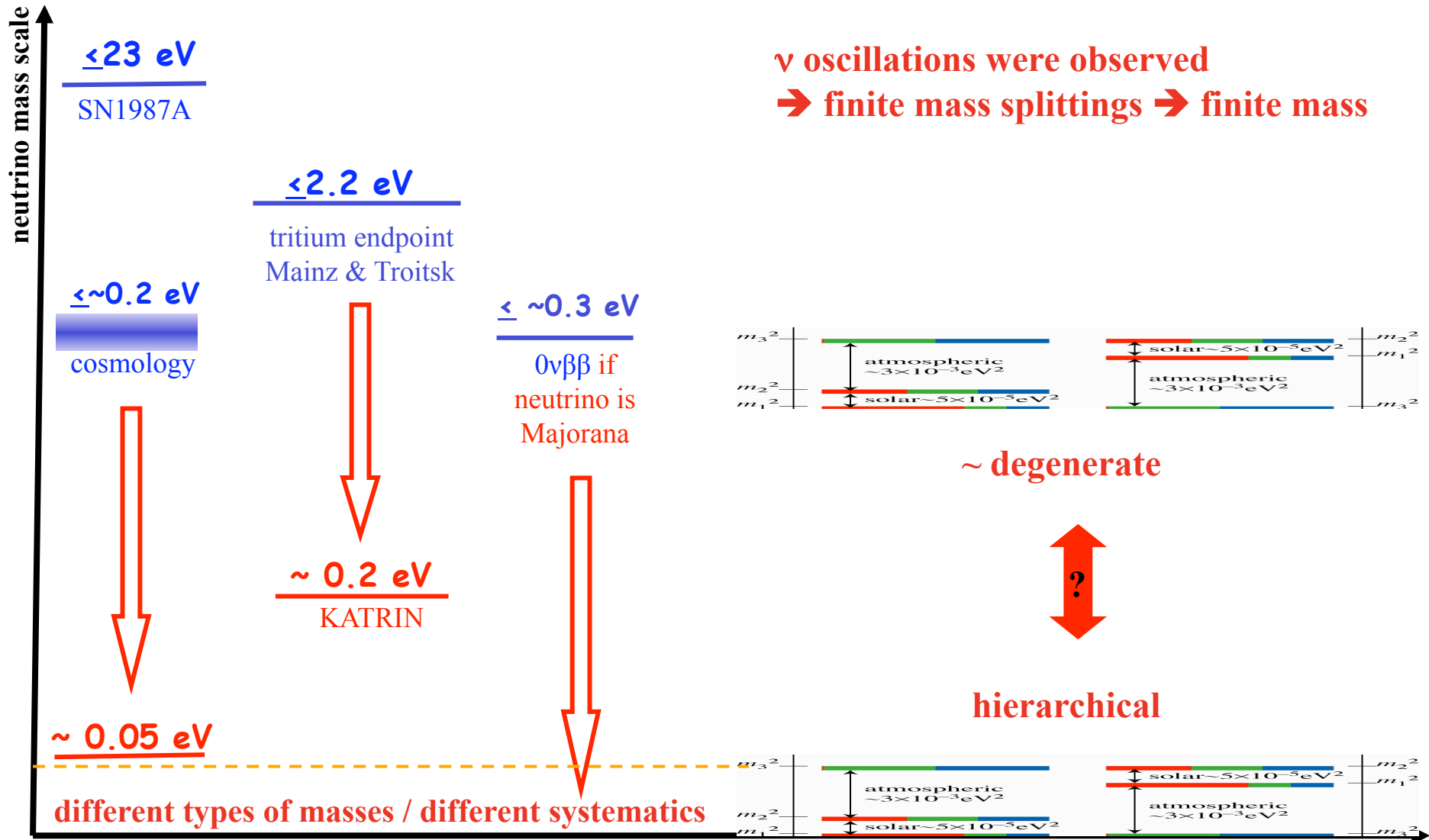
$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \text{diag}(e^{i\alpha}, e^{i\beta}, 1)$$

## questions:

- Dirac / Majorana
- mass scale:  $m_1$
- mass ordering:  $\text{sgn}(\Delta m^2_{31})$
- how small is  $\theta_{13}$ ,  $\theta_{23}$  maximal?
- leptonic CP violation
- 3 flavour unitarity?
- why 3 generations,  $d=4$ , gauge group, ...



# Interplay of Neutrino Mass Determinations



# Four Methods of Mass Determination

- **kinematical**
- **lepton number violation**  
     $\leftrightarrow$  **Majorana nature**
- **astrophysics & cosmology**
- **oscillations**

# Beta Decay Energy Spectrum

$m_\nu$  from kinematics & energy conservation  
 → ‘theoretically clean’

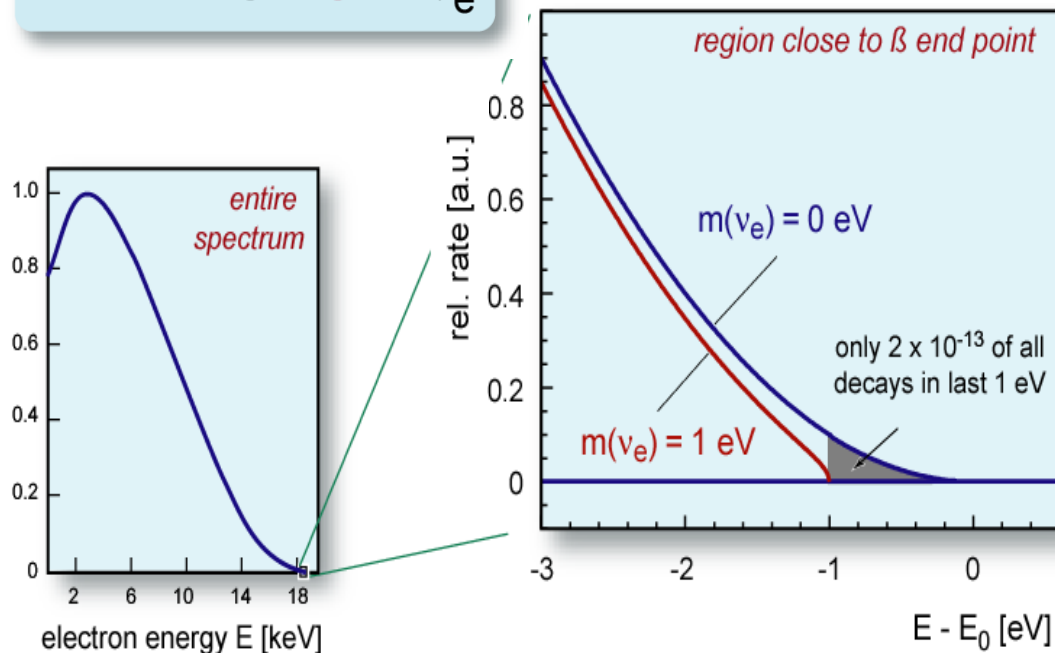
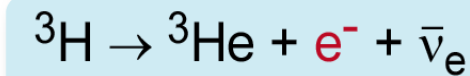
$$m(\nu_e) = \sqrt{\sum_{i=1}^3 |U_{ei}^2| \cdot m_i^2}$$

$$\frac{d\Gamma_i}{dE} = C \cdot p \cdot (E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m_\nu^2} \cdot F(E, Z) \cdot \theta(E_0 - E - m_\nu)$$



## KATRIN aim

sensitivity:  
 $m_\nu < 0.2 \text{ eV (90\%CL)}$



# Neutrino-less Double $\beta$ -Decay

Beta particle (electron)

Majorana  $\nu \rightarrow 0\nu 2\beta$  decay

$\propto |\langle m_{ee} \rangle| = |\sum m_i U_{ei}^2| \leq 0.35 \text{ eV ?}$

Heidelberg-Moscow experiment

$$m_{ee} = |m_{ee}^{(1)}| + |m_{ee}^{(2)}| \cdot e^{i\Phi_2} + |m_{ee}^{(3)}| \cdot e^{i\Phi_3}$$

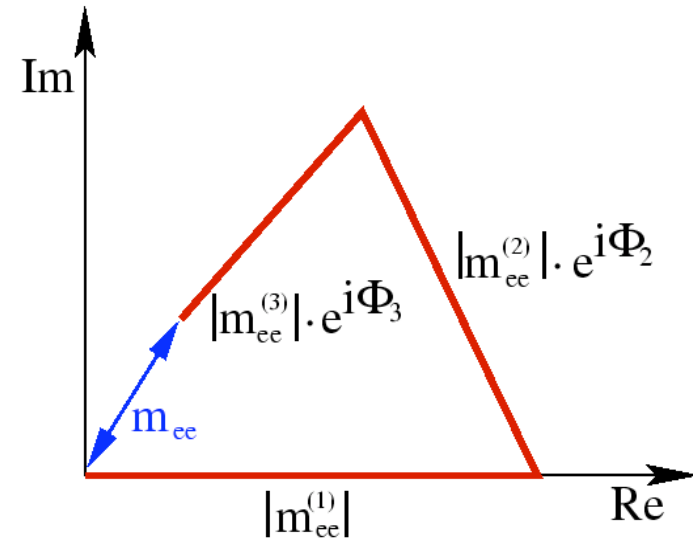
$$|m_{ee}^{(1)}| = |U_{e1}|^2 m_1$$

$$|m_{ee}^{(2)}| = |U_{e2}|^2 \sqrt{m_1^2 + \Delta m_{21}^2}$$

$$|m_{ee}^{(3)}| = |U_{e3}|^2 \sqrt{m_1^2 + \Delta m_{31}^2}$$

solar  $\Rightarrow |U_{e1}|^2, |U_{e2}|^2, \Delta m_{21}^2$     atmosph.  $\Rightarrow |\Delta m_{31}^2|$     CHOOZ  $\Rightarrow |U_{e3}|^2 < 0.05$

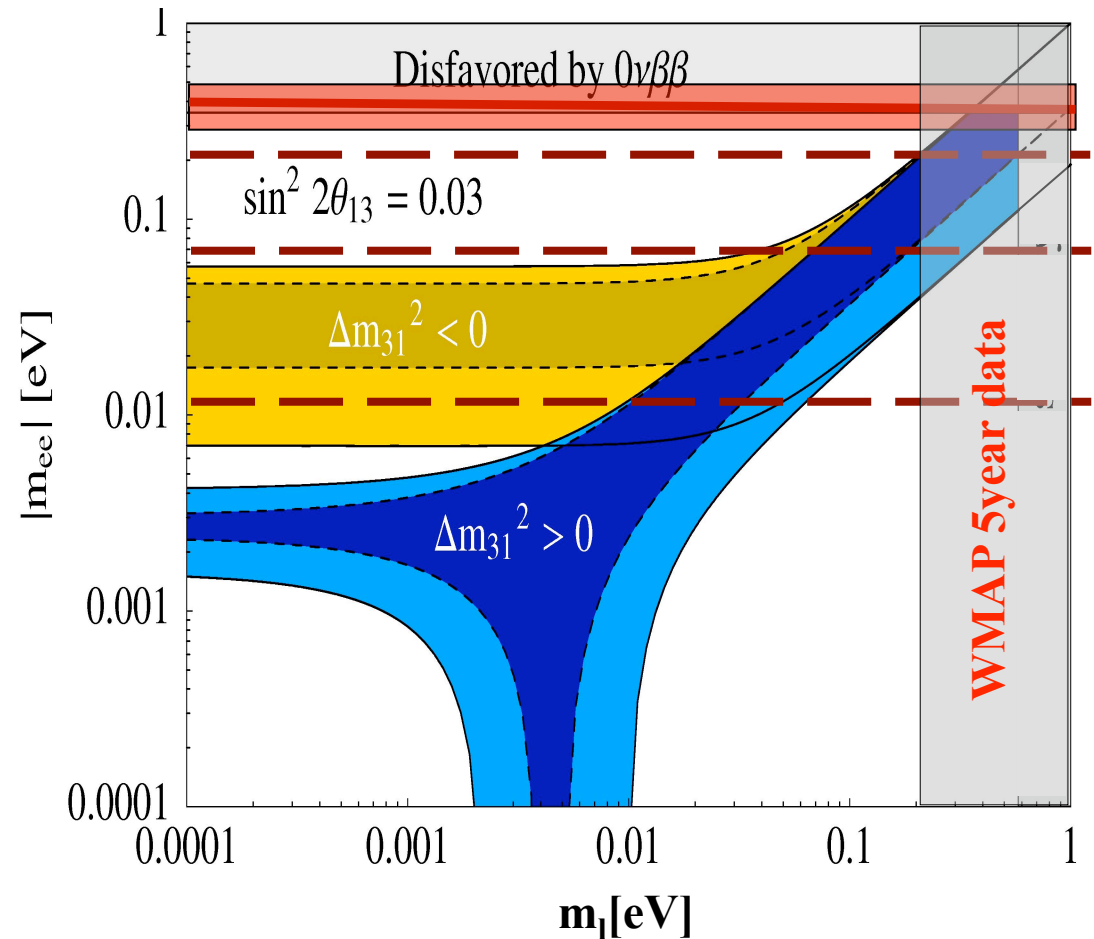
$\rightarrow$  free parameters:  $m_1, \text{sign}(\Delta m_{31}^2), \text{CP-phases } \Phi_2, \Phi_3$



**Claim of part of the original Heidelberg-Moscow experiment**  
**↔ cosmology**

**aims of new experiments:**

- test HM claim
- $(\Delta m_{31}^2)^{1/2} \simeq 0.05\text{eV} \pm \text{errors}$ 
  - ➔ reach 0.01eV
  - ➔ CUORE
  - ➔ GERDA phases I, II, (III)

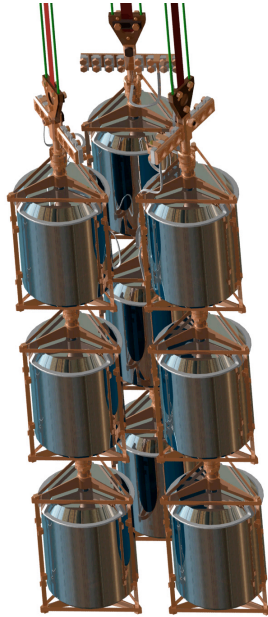
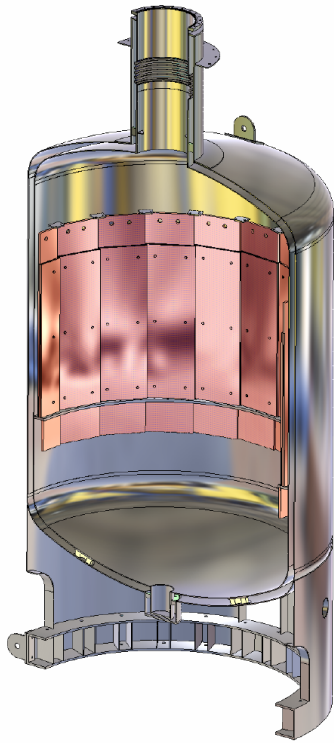


**Comments:**

- cosmology: systematical errors ➔ ~another factor 5?
- $0\nu\beta\beta$  nuclear matrix elements ~factor 1.3-2 **theoretical** uncertainty in  $m_{ee}$
- $\Delta m^2 > 0$  allows complete cancellation ➔  $0\nu\beta\beta$  signal not guaranteed
- $0\nu\beta\beta$  signal from \*some other\* new BSM lepton number violating operator
  - ➔ **very promising interplay of cosmology, other mass determinations**
  - LHC, LVF and theoretical ideas**

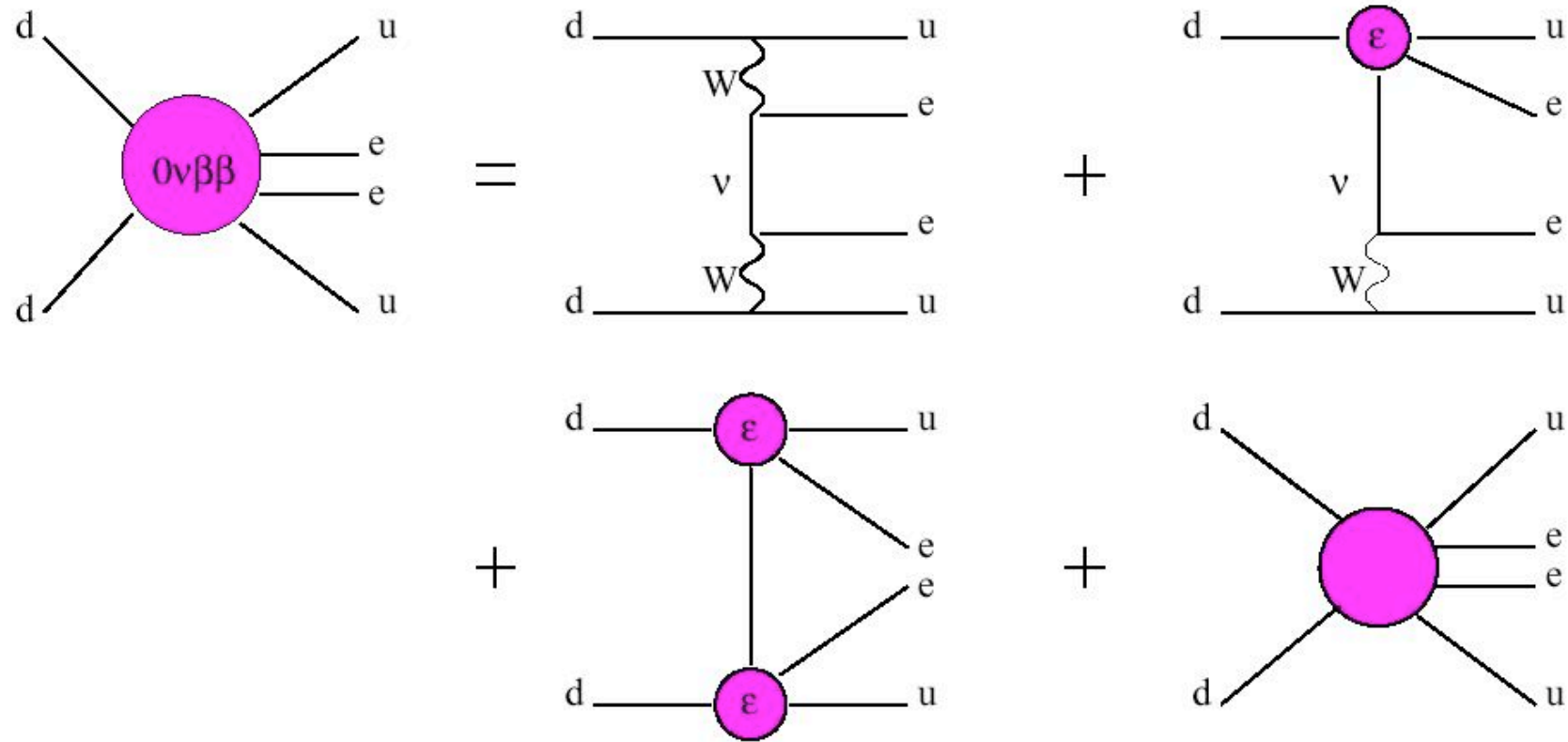


# GERDA Construction



→ detector filling 2009

alternatives: LR, RPV-SUSY, ... → other  $L$  operators ↔ NSI's



**Schechter+Valle:**

**$L$  violating operator → radiative mass generation → Majorana nature of  $\nu$ 's**

**However: This may only be a tiny correction to a much larger Dirac mass term**

# Lepton Flavour Violation

- Majorana neutrino mass terms

- ...

- R-parity violating supersymmetry

Hall+Kosteleck+Rabi, Borzumati+Masiero, Hisano+Tobe, Casas+Ibarra, Antusch+Arganda+Herrero+Teixeira, Joaquim+Rossi, ...

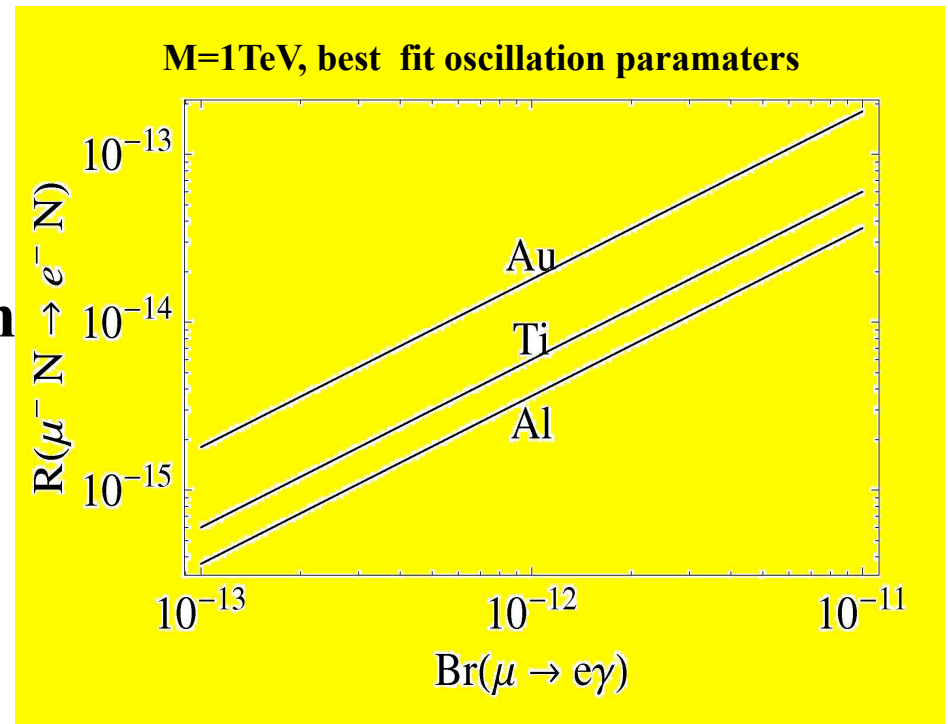
→ LFV and leptonic CP violation can even exist for  $m_\nu \rightarrow 0$

→ e.g. modifications of correlation between  $\mu^- \rightarrow e^- \gamma$  decay and nuclear  $\mu^- \rightarrow e^-$  conversion

MEG:  $10^{-13}$

PRISM:  $10^{-18}$

→ interplay:  $\nu$ 's – LFV – LHC in the coming years

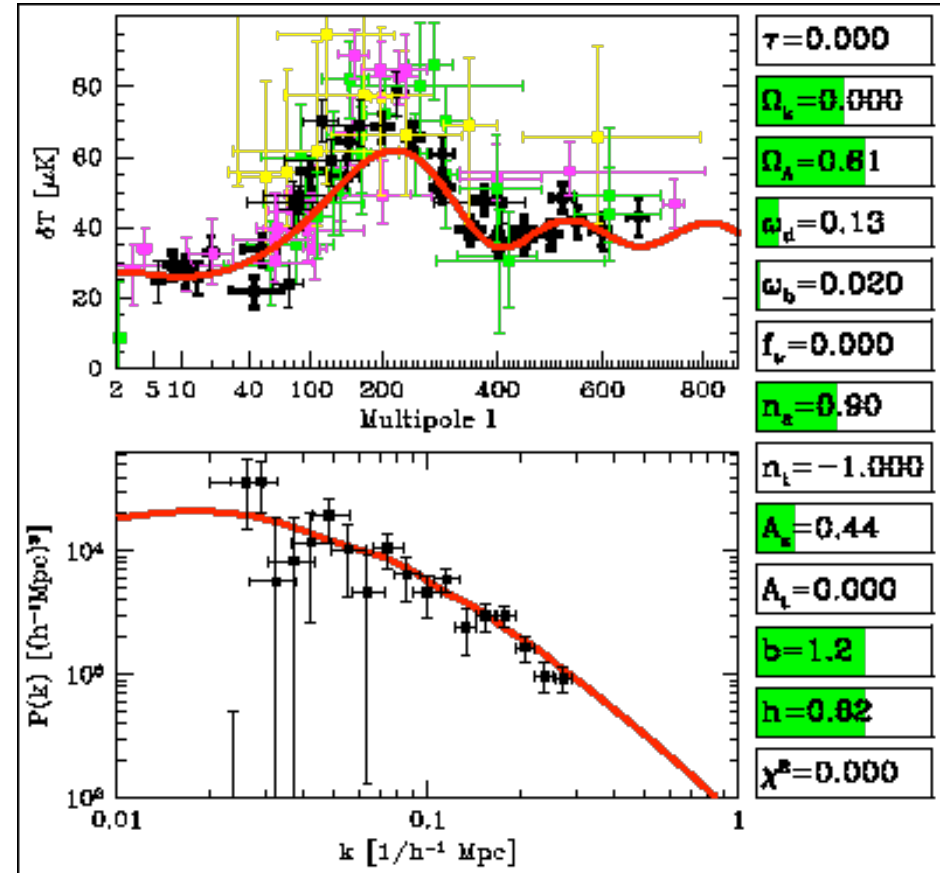
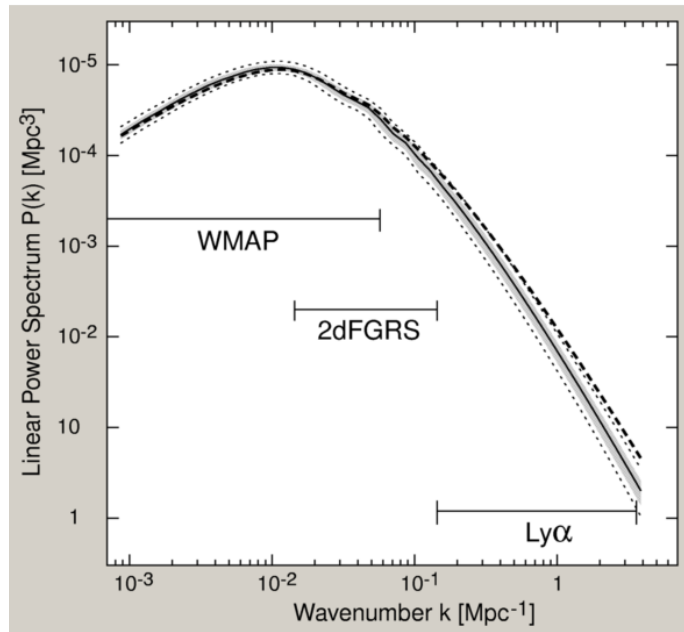


Deppisch+Kosmas+Valle

# Cosmology and Neutrino Mass

- $\nu$ 's hot dark matter  $\rightarrow$  smears structure @ small scales

Tegmark



- WMAP+2dFGRS + Ly $\alpha$ +...

bound:  $\Sigma m_\nu < 0.17 - 1.2$  eV

$\leftrightarrow$  levels of systematic errors

- 3 degenerate neutrinos, conservative approach

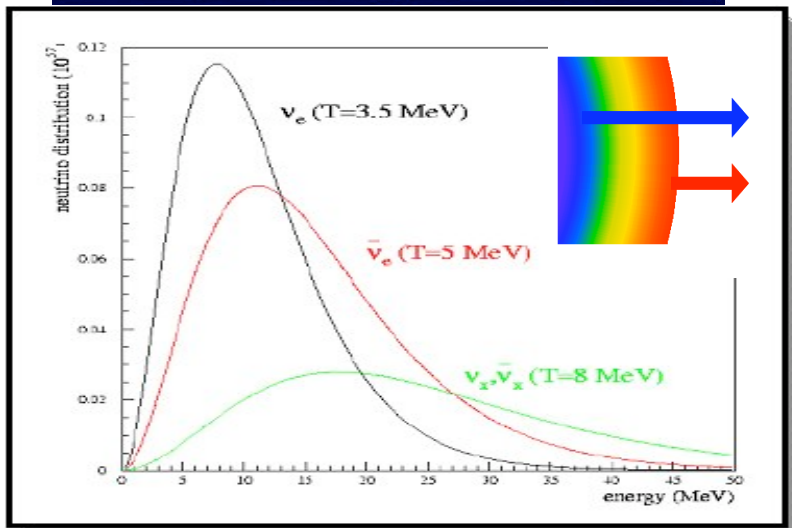
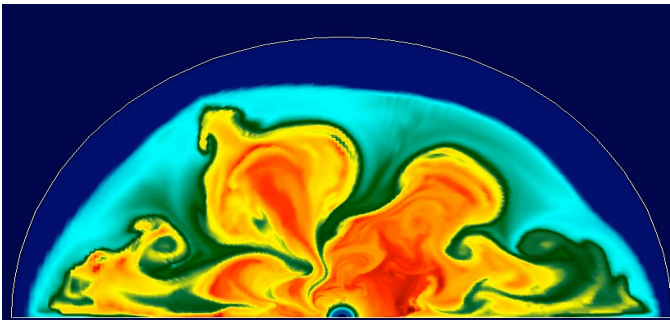
$\rightarrow m_\nu < 0.25$  eV future improvements:  $\sim$ factor 5-10 ?

$$f_\nu = \Omega_\nu / \Omega_{\text{matter}}$$



# Supernova Neutrinos

- Collaps of a typical star  $\rightarrow \sim 10^{57}$   $\nu$ 's
- $\sim 99\%$  of the energy in  $\nu$ 's
- $\nu$ 's essential for explosion
- **3d simulations do not explode**  
(so far... 2d  $\rightarrow$  3d,  $\rightarrow$  convection? ...)

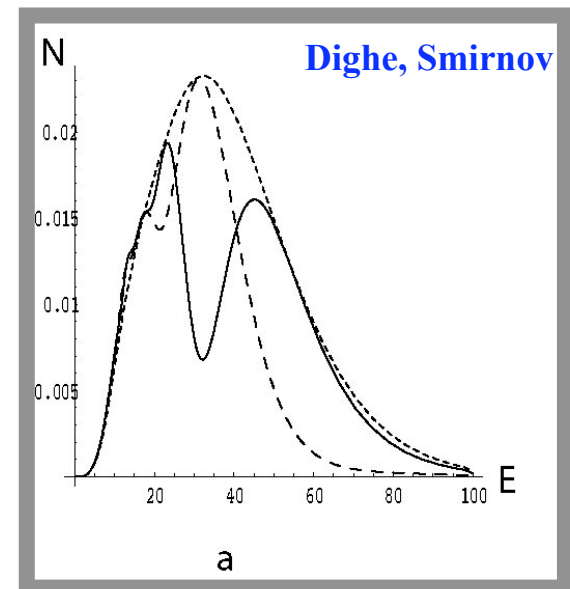
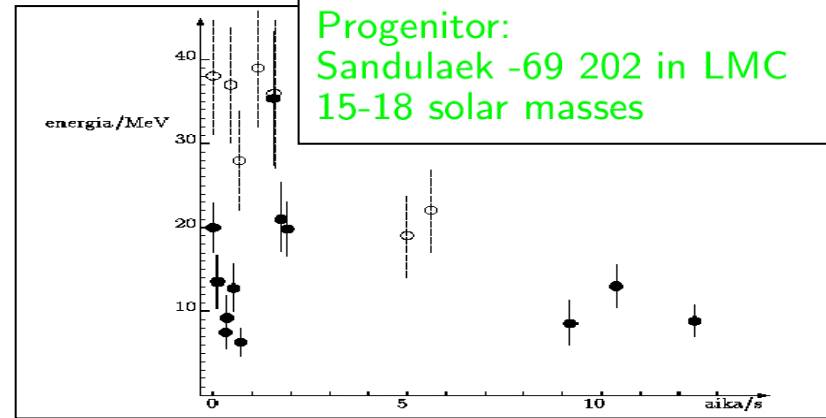


**MSW: SN & Earth**

**Very sensitive to finite  $\theta_{13}$  and  $\text{sgn}(\Delta m^2)$**

## SN1987A neutrino burst

Progenitor:  
Sandulaek -69 202 in LMC  
15-18 solar masses



## 2 possibilities:

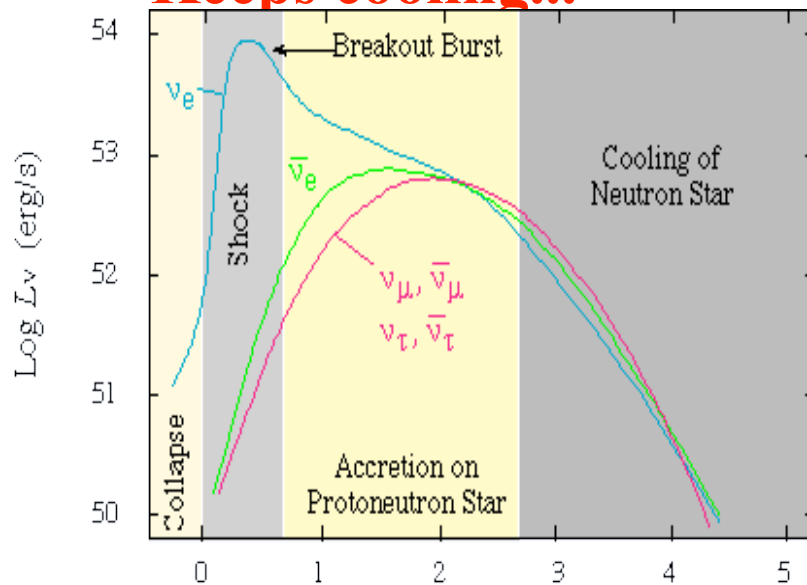
Supernova

neutron star or

black hole

Keeps cooling...

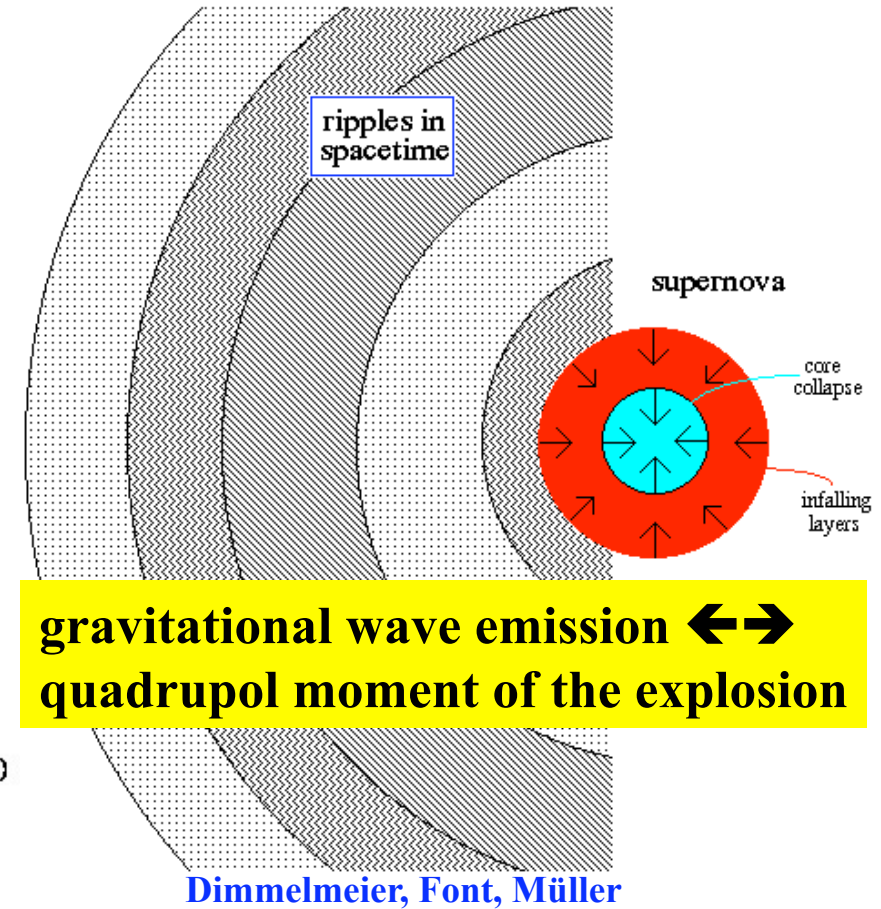
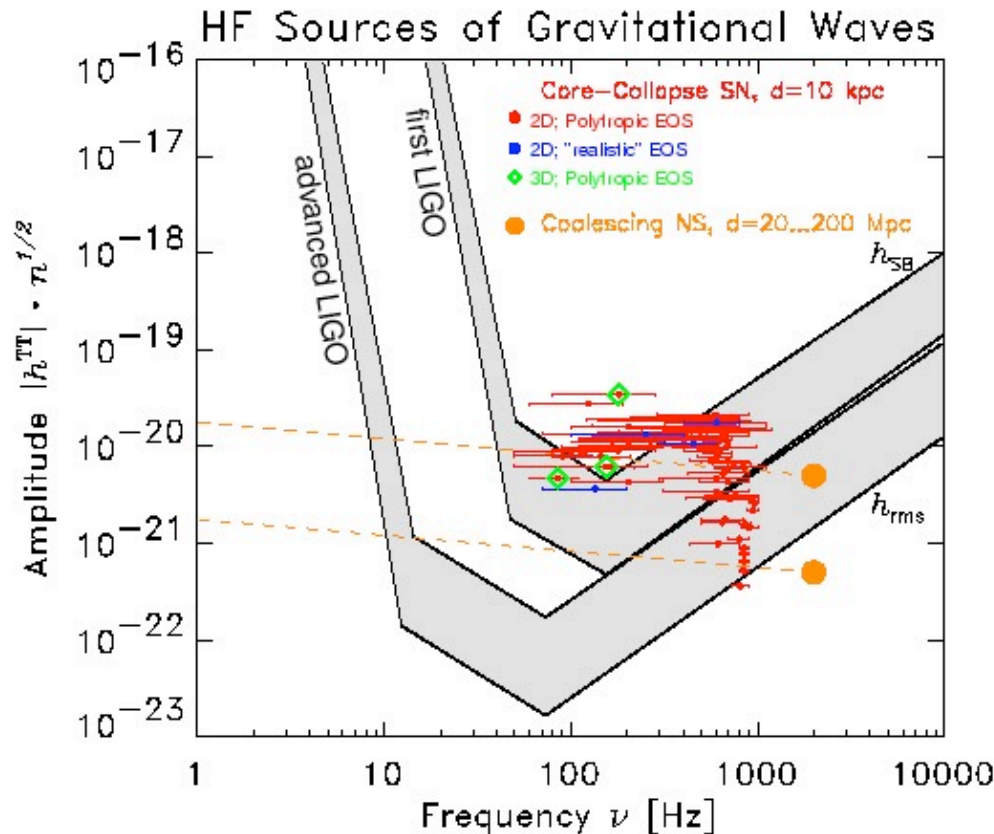
abrupt end of  $\nu$ -emission



- impressive signal of a black hole in neutrino light
- neutrino masses  $\leftrightarrow$  edge of  $\nu$ -signal

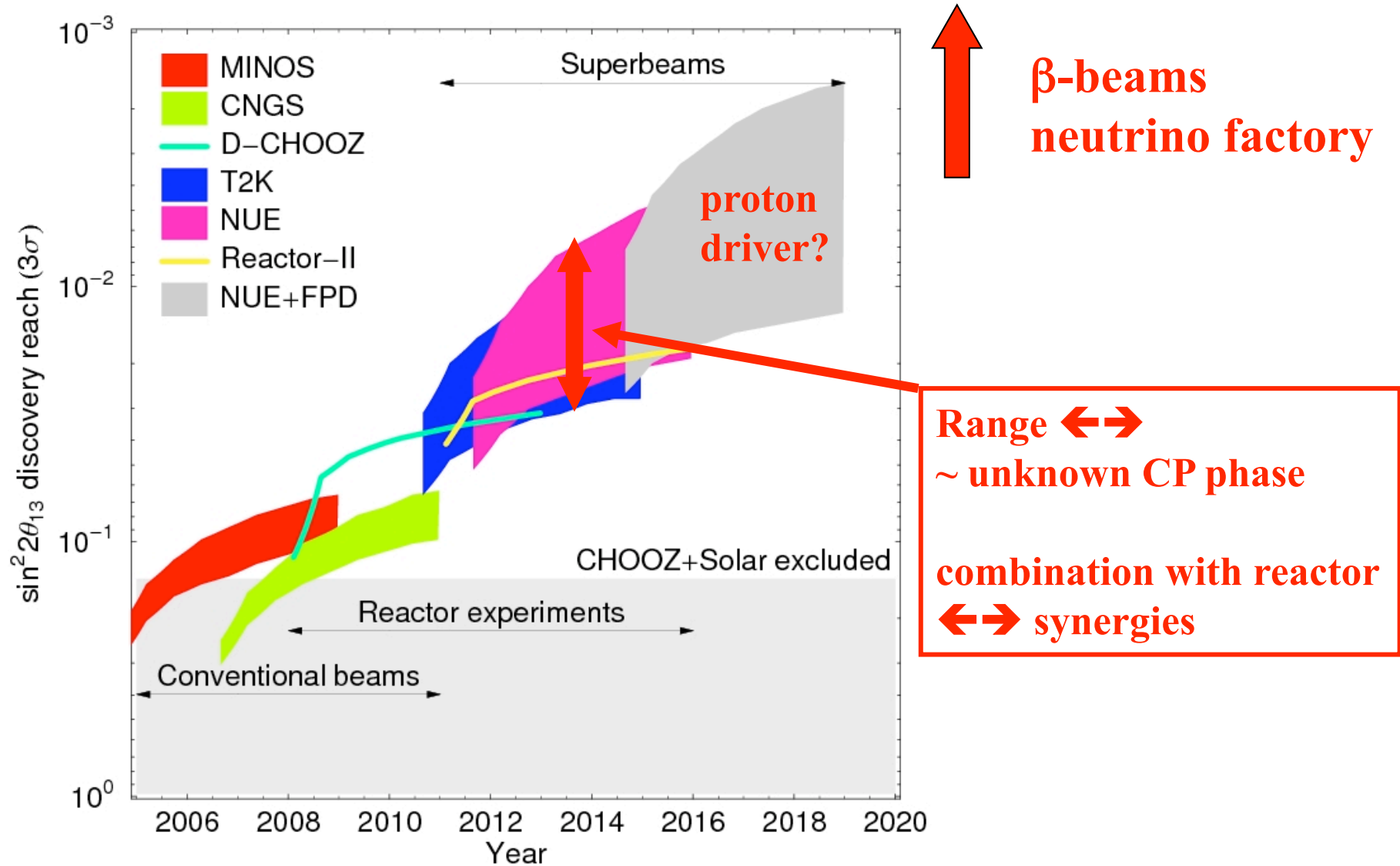


# Supernovae & Gravitational Waves



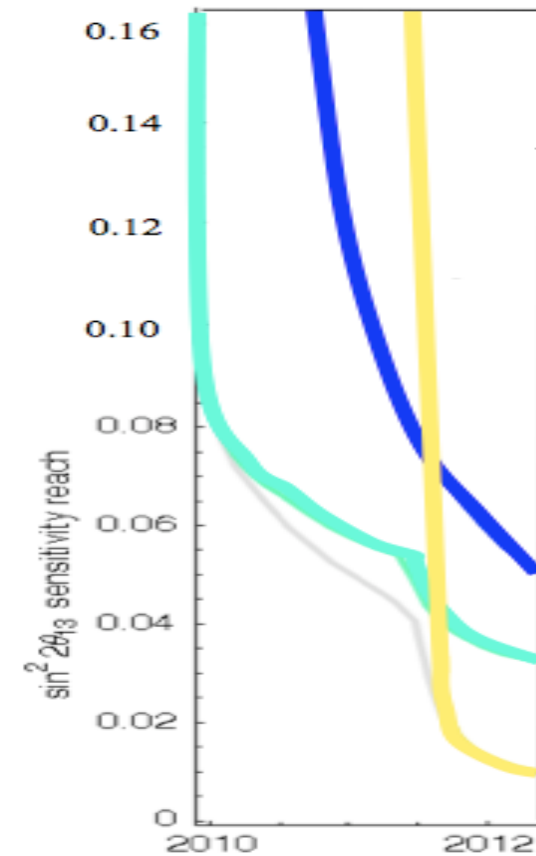
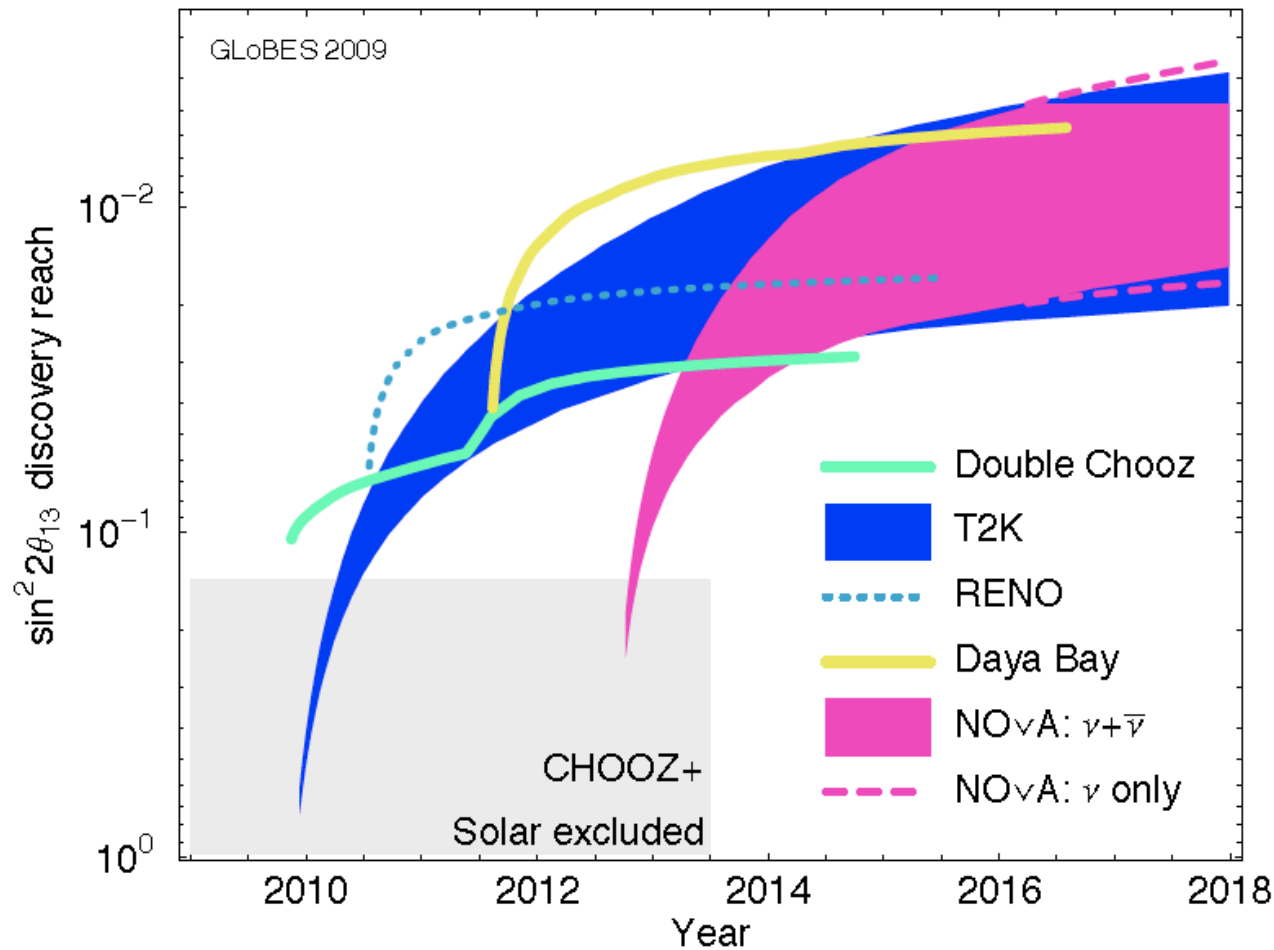
- ➔ additional information about galactic SN
- ➔ global fits: optical + neutrinos + gravitational waves
- ➔ neutrino properties + SN explosion dynamics
- ➔ SN1987A: strongest constraints on large extra dimensions

# Oscillations: $\theta_{13}$ Sensitivity Versus Time



# Update for next Generation

$\sin^2 2\theta_{13}$  discovery potential (NH, 90% CL)

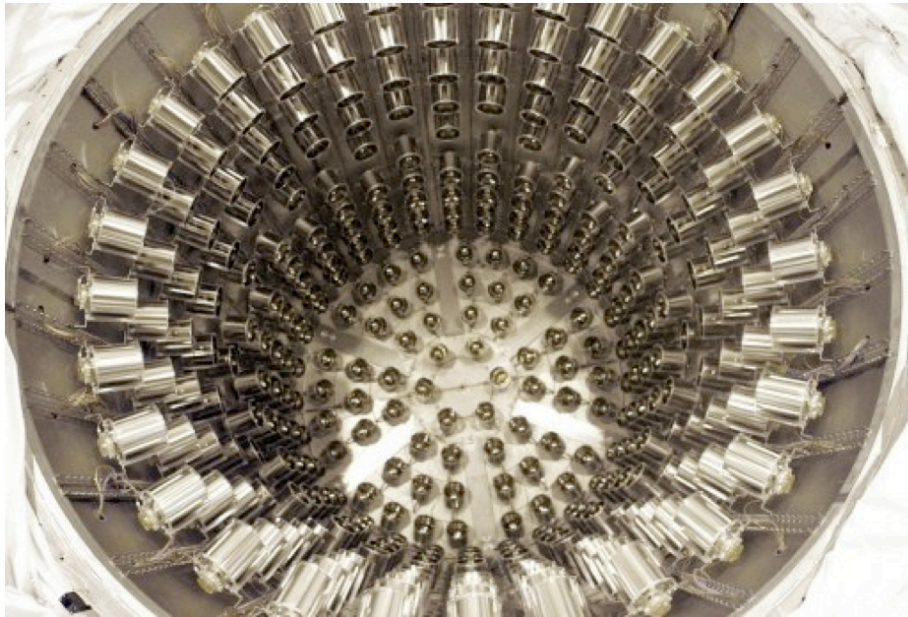


Huber, ML, Schwetz, Winter, arXiv:0907.1896

a linear scale – next years:  
significant improvements



# Things will move again soon...



Double Chooz far detector

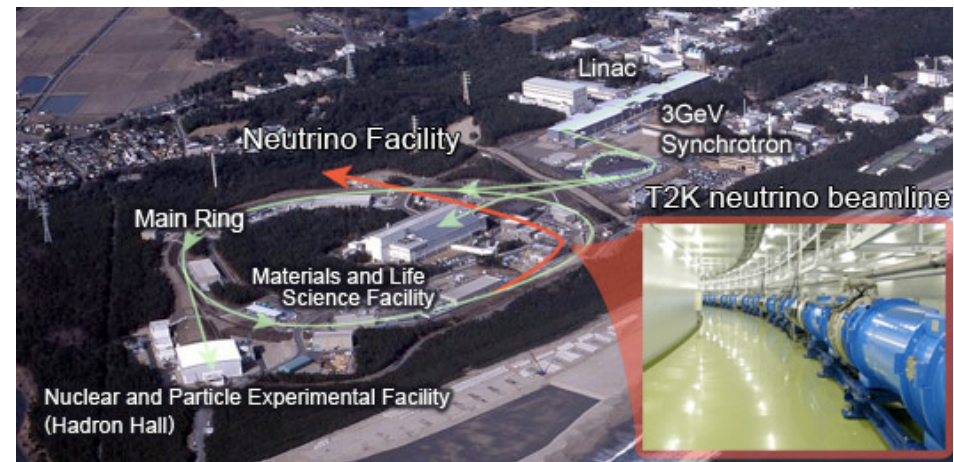


detector tank

Daya Bay components

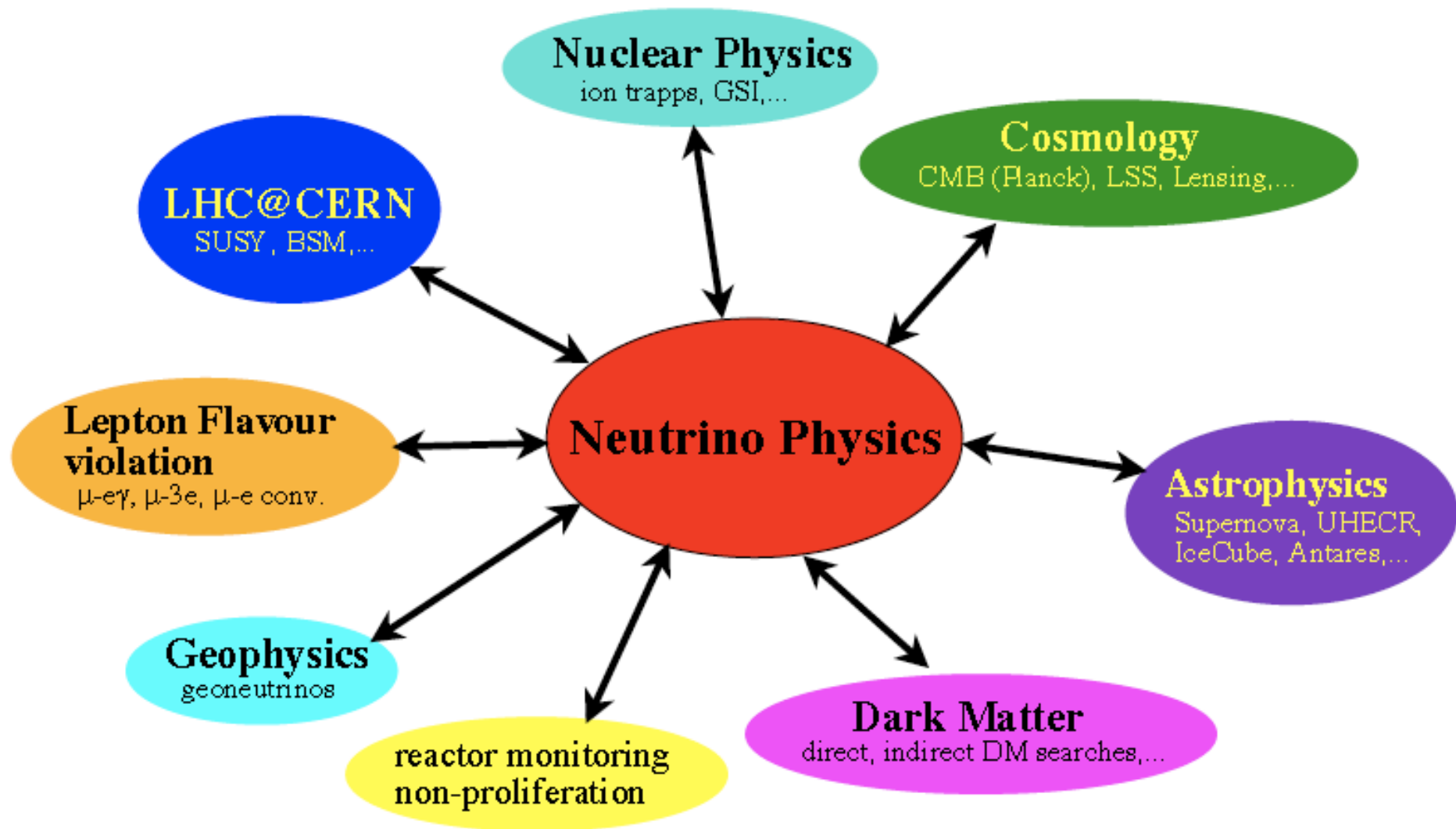
+ NOvA ground breaking

→ very promising,  
especially if hints for  
finite  $\sin^2\theta_{13}$  are correct...



T2K neutrino beamline started operation

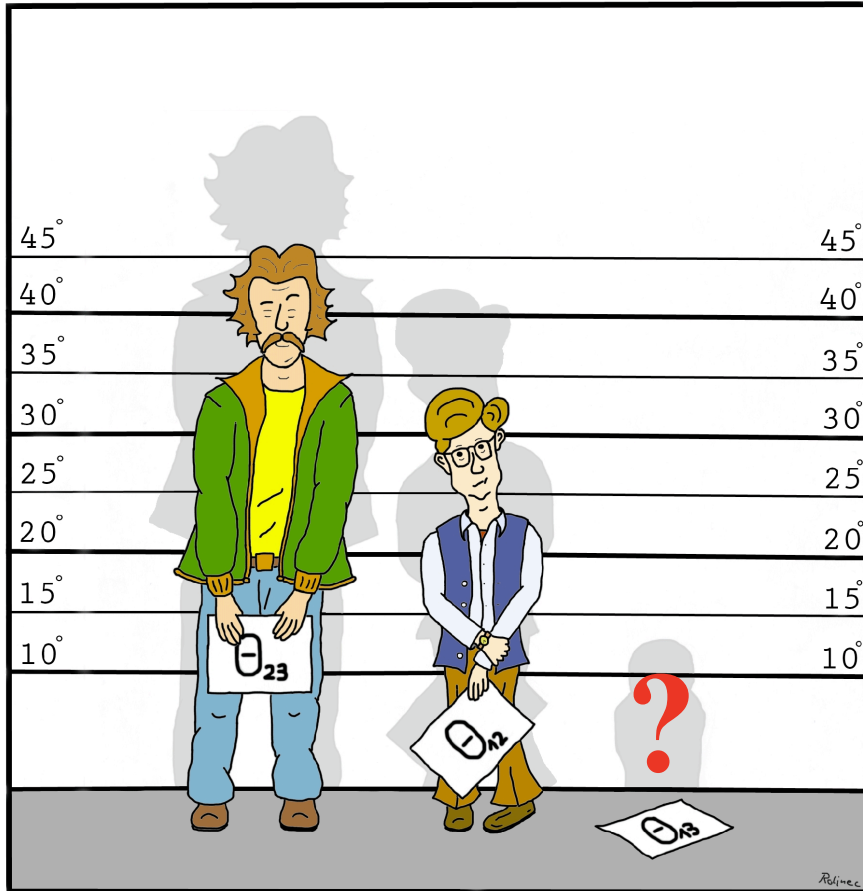
# Many Connections to other Fields



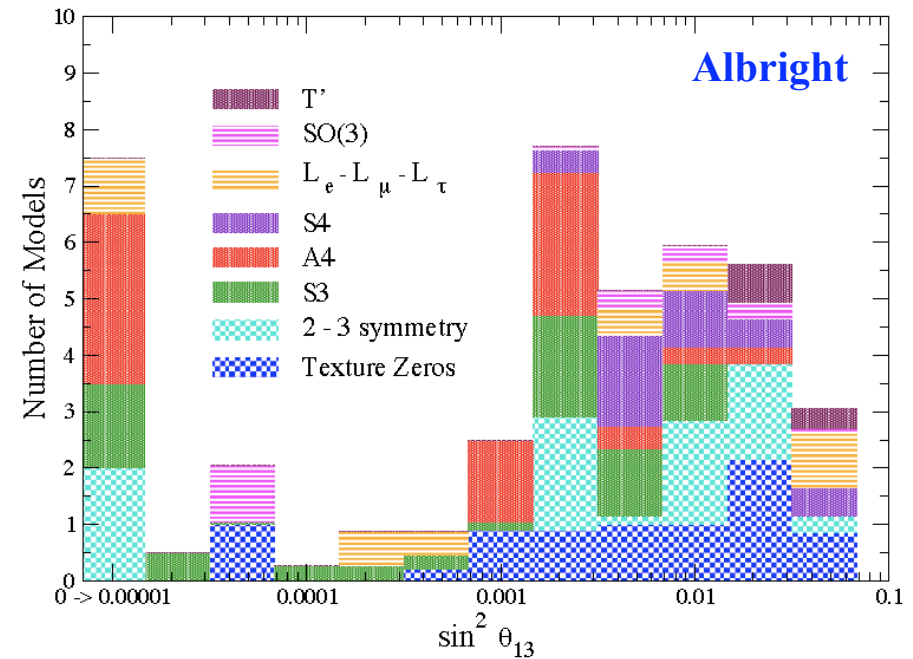
# $\theta_{13}$ – just one small Number?

... why care about  $\theta_{13}$

- Good to know...
- Leptonic CP violation
- Theory models



Predictions of Lepton Flavor Models

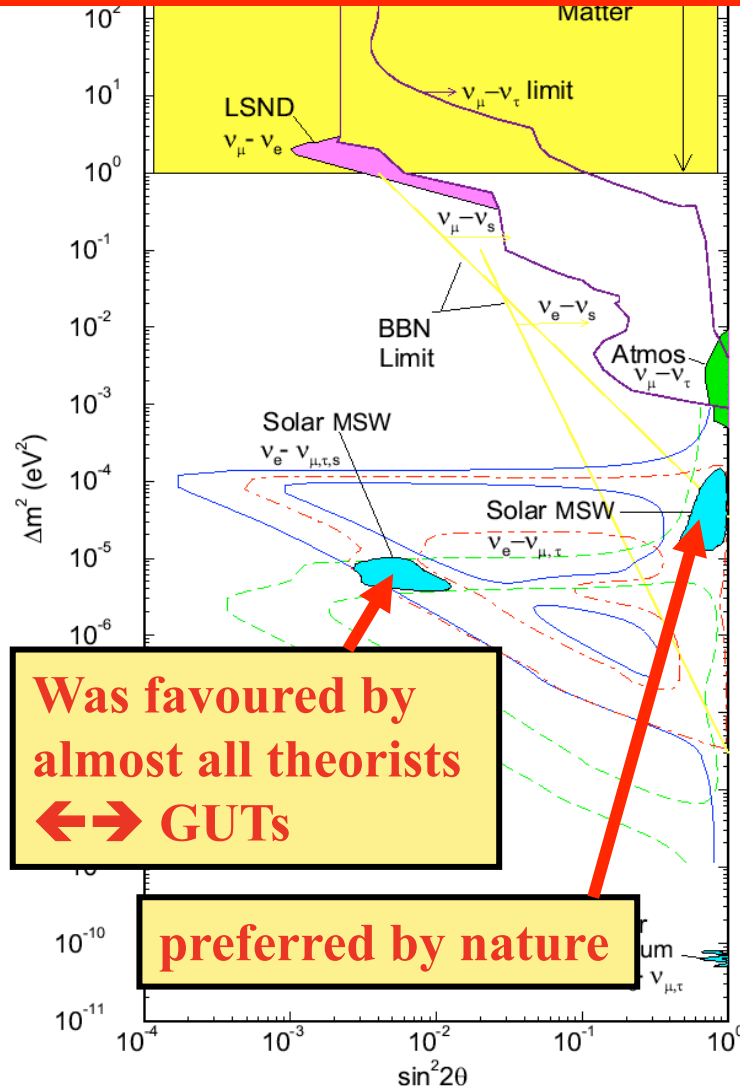


- Is this enough? What else ???



# Learning about Flavour

## History: Elimination of SMA



Was favoured by almost all theorists  
 $\leftrightarrow$  GUTs

preferred by nature

## Next: Smallness of $\theta_{13}$ , $\theta_{23}$ maximal

- models for masses & mixings
- input: known masses & mixings
  - distribution of  $\theta_{13}$  **predictions**
  - $\theta_{13}$  expected close to ex. bound
  - well motivated experiments

what if  $\theta_{13}$  is very tiny?  
 or if  $\theta_{23}$  is very close to maximal?

- numerical coincidence unlikely
- special reasons (symmetry, ...)
- addressed by coming precision

# The larger Picture: GUTs

Gauge unification suggests that some GUT exists

Requirements:

gauge unification

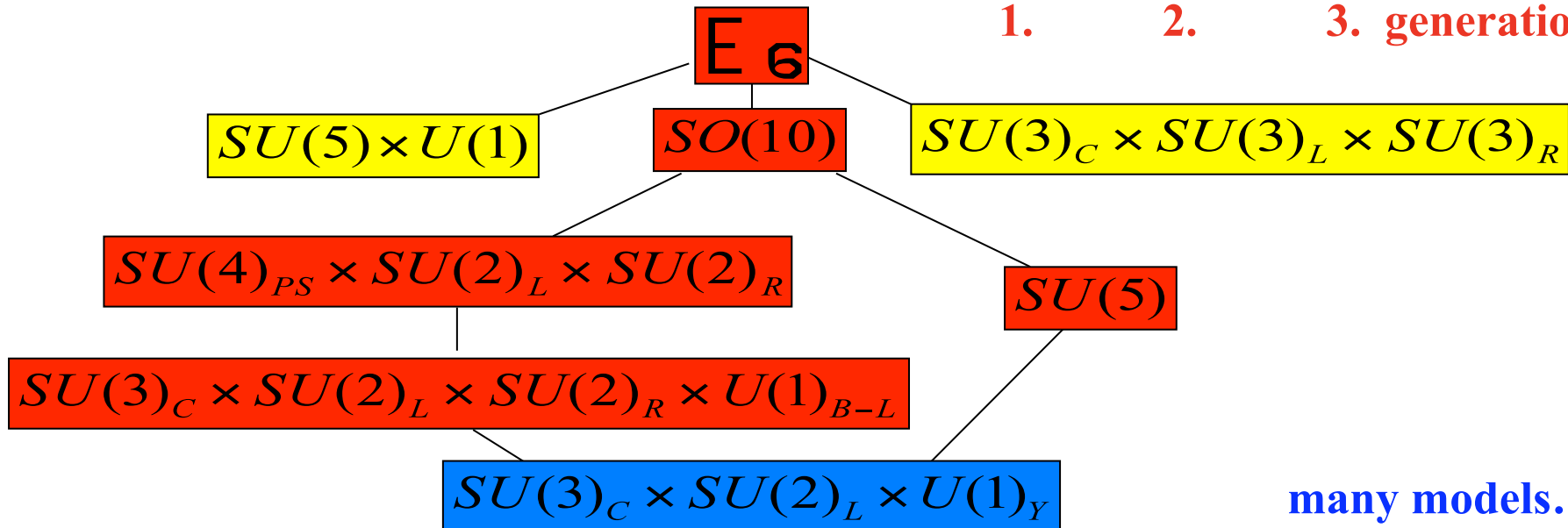
particle multiplets  $\leftrightarrow \nu_R$

proton decay

...

Quarks	$2/3$	$2/3$	$2/3$
	u ~5	c ~1350	t 175000
Leptons	$-1/3$	$-1/3$	$-1/3$
	d ~9	s ~175	b ~4500
	$0?$	$0?$	$0?$
	$v_1$	$v_2$	$v_3$
	e 0.511	$\mu$ 105.66	$\tau$ 1777.2

1. 2. 3. generation



many models...

# GUT Expectations and Requirements

## Quarks and leptons sit in the same multiplets

- one set of Yukawa couplings for given GUT multiplet
- ~ tension: small quark mixings  $\leftrightarrow$  large leptonic mixings
- this was in fact the reason for the 'prediction' of small mixing angles (SMA) – ruled out by data

## Mechanisms to post-dict large mixings:

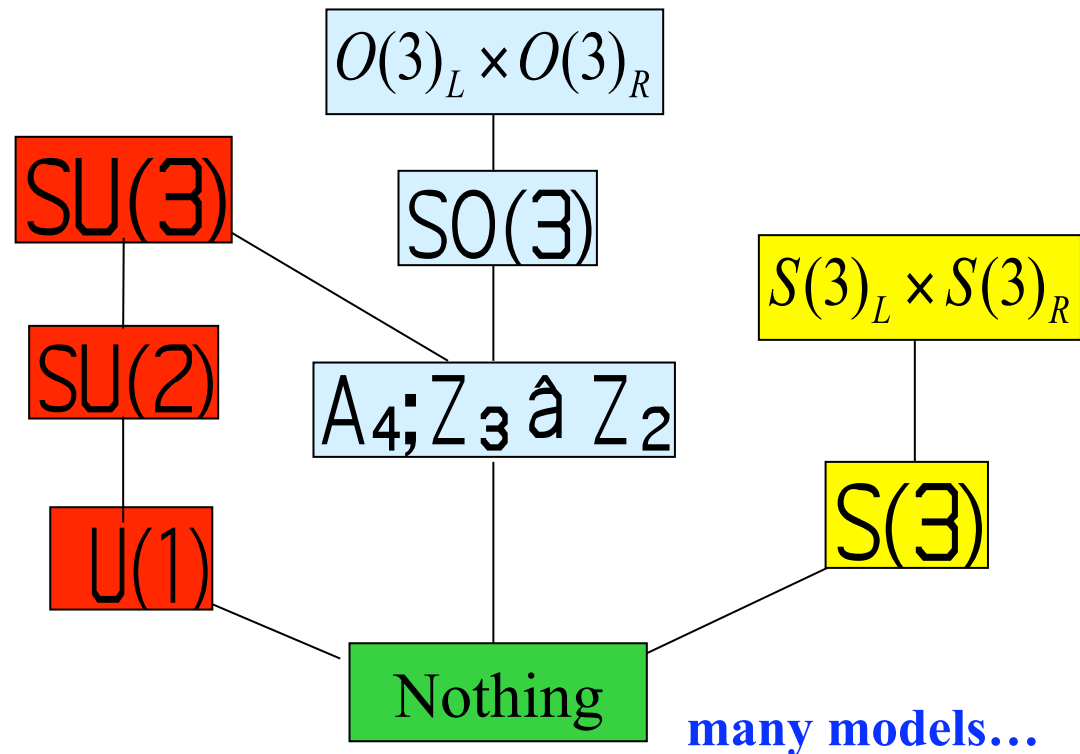
- sequential dominance
- type II see-saw
- Dirac screening
- ...

# Flavour Unification

- so far **no understanding of flavour, 3 generations**
- apparant regularities in quark and lepton parameters
- ➔ flavour symmetries (finite number for limited rank)
- ➔ **symmetry** not texture zeros

Quarks	u	c	t
	$\sim 5$	$\sim 1350$	175000
	d	s	b
	$\sim 9$	$\sim 175$	$\sim 4500$
Leptons	$\nu_1$	$\nu_2$	$\nu_3$
	0?	0?	0?
	e	$\mu$	$\tau$
	0.511	105.66	1777.2
	1.	2.	3.
	generation		

Examples:



# GUT $\otimes$ Flavour Unification

$SO(10)$	Quarks	$\begin{matrix} 2/3 \\ u \\ \sim 5 \end{matrix}$	$\begin{matrix} 2/3 \\ c \\ \sim 1350 \end{matrix}$	$\begin{matrix} 2/3 \\ t \\ 175000 \end{matrix}$
	Leptons	$\begin{matrix} -1/3 \\ d \\ \sim 9 \end{matrix}$	$\begin{matrix} -1/3 \\ s \\ \sim 175 \end{matrix}$	$\begin{matrix} -1/3 \\ b \\ \sim 4500 \end{matrix}$
		$\begin{matrix} \nu_1 \\ 0? \end{matrix}$	$\begin{matrix} \nu_2 \\ 0? \end{matrix}$	$\begin{matrix} \nu_3 \\ 0? \end{matrix}$
		$\begin{matrix} e \\ 0.511 \end{matrix}$	$\begin{matrix} \mu \\ 105.66 \end{matrix}$	$\begin{matrix} \tau \\ 1777.2 \end{matrix}$
		1.	2.	3.
	generation	$SO(3)_F$		

→ GUT group  $\otimes$  flavour group

example:  $SO(10) \otimes SU(3)_F$

- SSB of  $SU(3)_F$  between  $\Lambda_{GUT}$  and  $\Lambda_{Planck}$

- all flavour Goldstone Bosons eaten

- discrete sub-groups survive  $\leftrightarrow$  SSB

e.g.  $Z_2, S_3, D_5, A_4$

→ structures in flavour space

→ compare with data

GUT  $\otimes$  flavour is rather restricted

$\leftrightarrow$  small quark mixings **\*AND\*** large leptonic mixings ; quantum numbers

→ only a few viable models; phenomenological success highly non-trivial

Adulpravitchai, Blum, ML:

no-go theorem:  $SU(2)$  or  $SU(3)$  + reasonably small representations → only  $D'_2$

→ alternatives: e.g. discrete flavor sym. from  $T^2/Z_N$  orbifolds, ... ???

→ aim: learn about the origin of flavour by future precision

# Neutrinos = Potential for Surprises

... many untested assumptions: Majorana, 3  $\nu$ 's, mass mechanism

→ there may be more surprises

- light sterile neutrinos  $\leftrightarrow$  good theoretical reasons, keV DM
- ... → example: How NSI's can fool us in precision experiments:

Source	⊗	Oscillation	⊗	Detector
- neutrino energy E		- oscillation channels		- effective mass, material
- flux and spectrum		- realistic baselines		- threshold, resolution
- flavour composition		- MSW matter profile		- particle ID (flavour, charge, event reconstruction, ...)
- contamination		- <b>degeneracies</b>		- backgrounds
- symmetric $\nu/\bar{\nu}$ operation		- <b>correlations</b>		- x-sections (at low E)



precision experiments might see new effects beyond oscillations!

# NSI Operators

- **Good reasons for physics beyond the SM+ (with  $\nu$ 's)**
  - expect effects beyond 3 flavours in many models
  - effective 4f interactions

$$\mathcal{L}_{NSI} \simeq \epsilon_{\alpha\beta} 2\sqrt{2}G_F (\bar{\nu}_{L\beta} \gamma^\rho \nu_{L\alpha}) (\bar{f}_L \gamma_\rho f_L)$$

- **integrating out heavy physics (c.f.  $G_F \leftrightarrow M_W$ )**

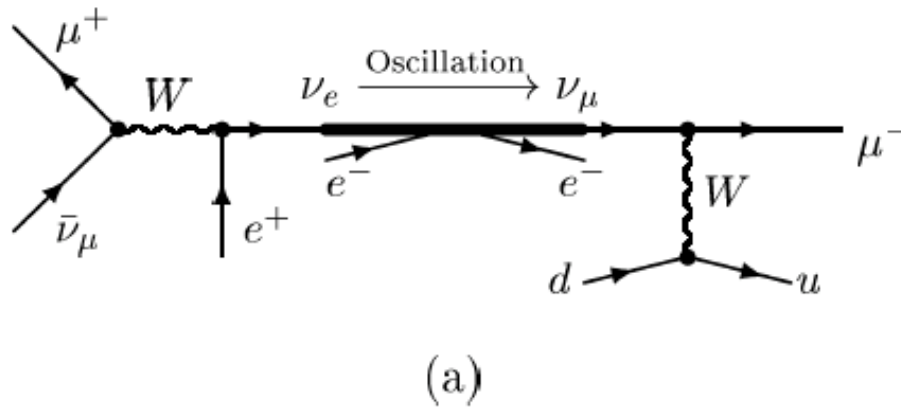
$$|\epsilon| \simeq \frac{M_W^2}{M_{NSI}^2}$$

Grossman, Bergmann+Grossman, Ota+Sato, Honda et al., Friedland+Lunardini, Blennow+Ohlsson+Skrotzki, Huber+Valle, Huber+Schwetz+Valle, Campanelli+Romanino, Bueno et al., Kopp+ML+Ota, ...

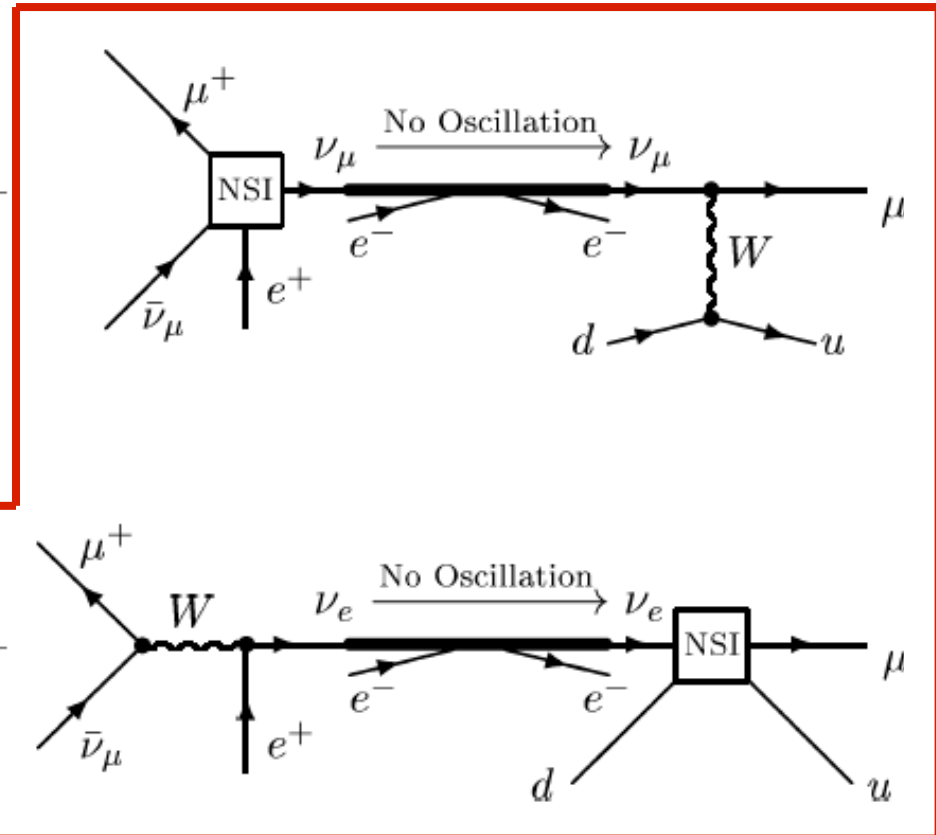


# NSIs interfere with Oscillations

the “golden” oscillation channel



NSI contributions to the “golden” channel



note: interference in oscillations  $\sim \epsilon$  | FCNC effects  $\sim \epsilon^2$

# Physics Potential with NSIs included

## Simulations

- full oscillation framework with NSIs included

→ 4 possibilities for flavour transition:

- Oscillation

- NSI operator at source

- NSI operator at detector

- NSI effects in propagation

} no L/E dependence

**Important: sensitivity limit from few events (small statistics)**

→ no capability to distinguish different L/E dependence

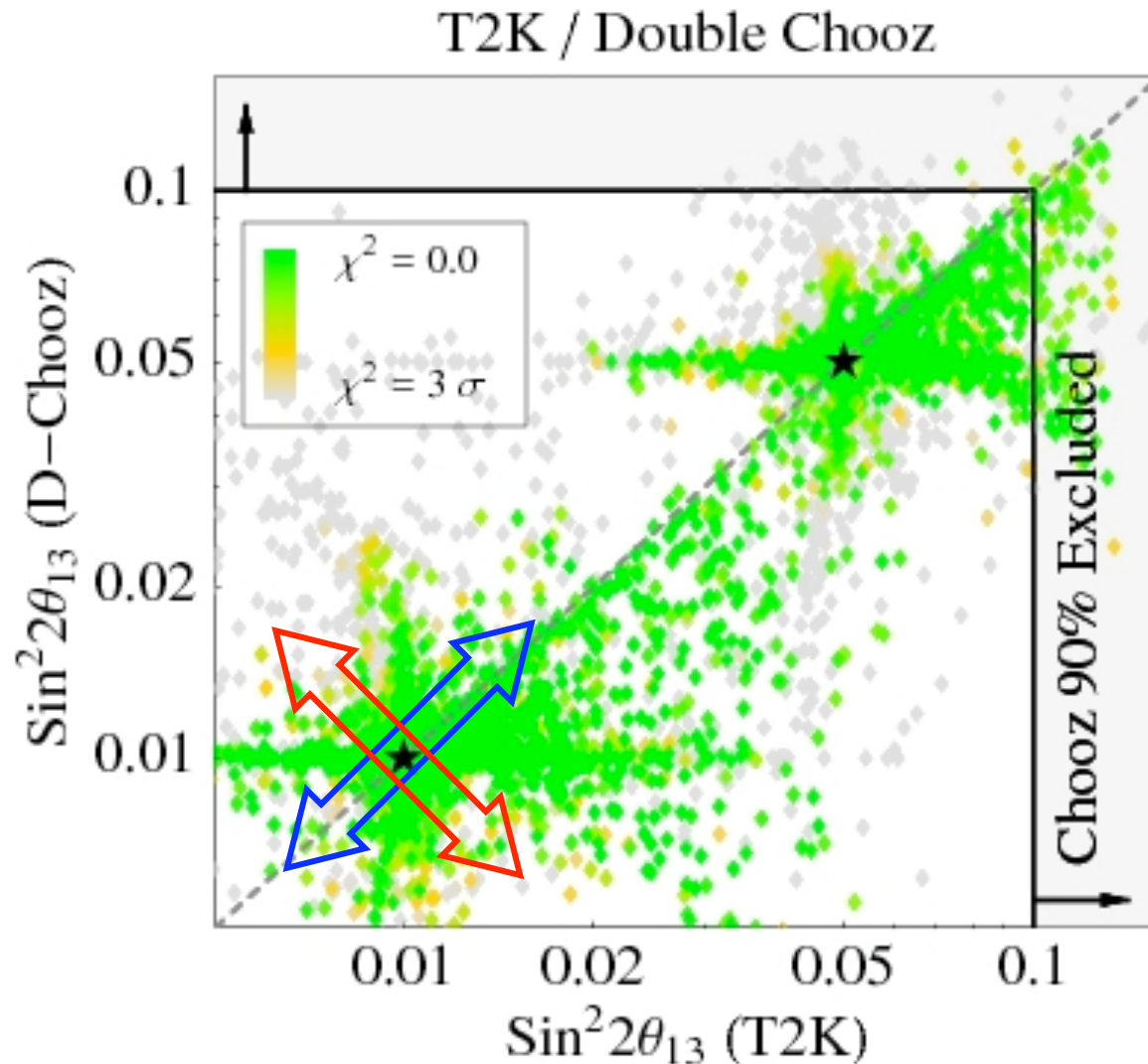
→ potential misinterpretation of NSI flavour transition effects

**Potential consequences:**

- offsets in parameter determinations

- conflicting analyses

# NSI: Offset and Mismatch in $\theta_{13}$



## Redundant measurements:

**Double Chooz + T2K**

**\*=assumed 'true' values of  $\theta_{13}$**

scatter-plot:  $\epsilon$  values random

- below existing bounds

- random phases

## NSIs can lead to:

- **offset**

- **mismatch**

➔ **redundancy**

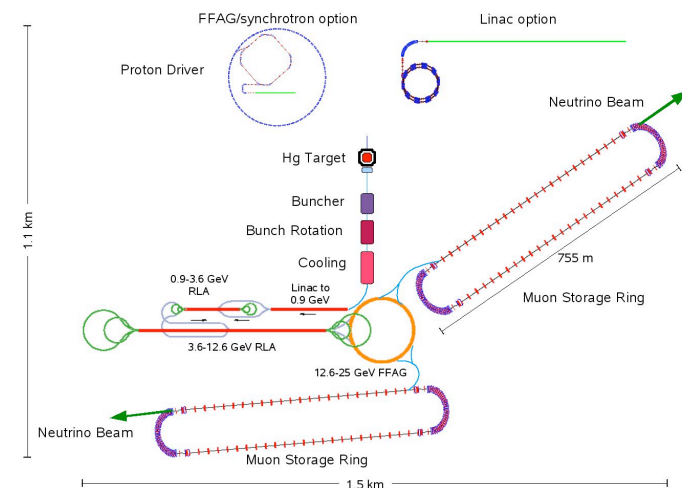
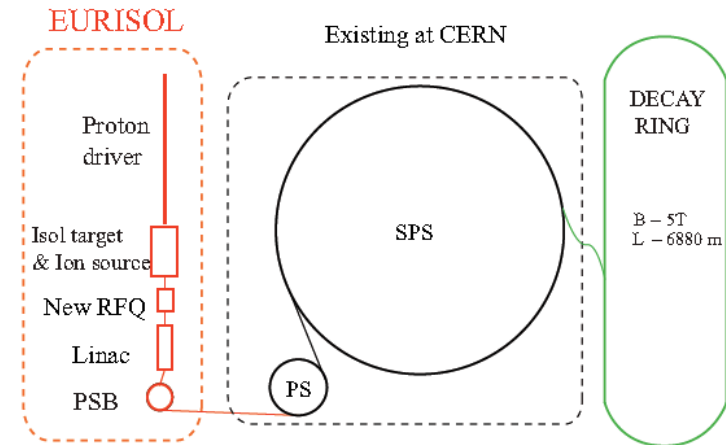
➔ **interesting potential**

'natural magnitude' ...more natural for NuFact

# Where do we stand?

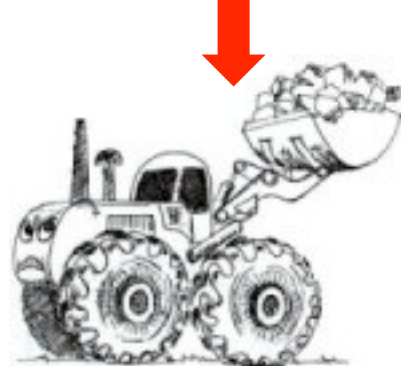
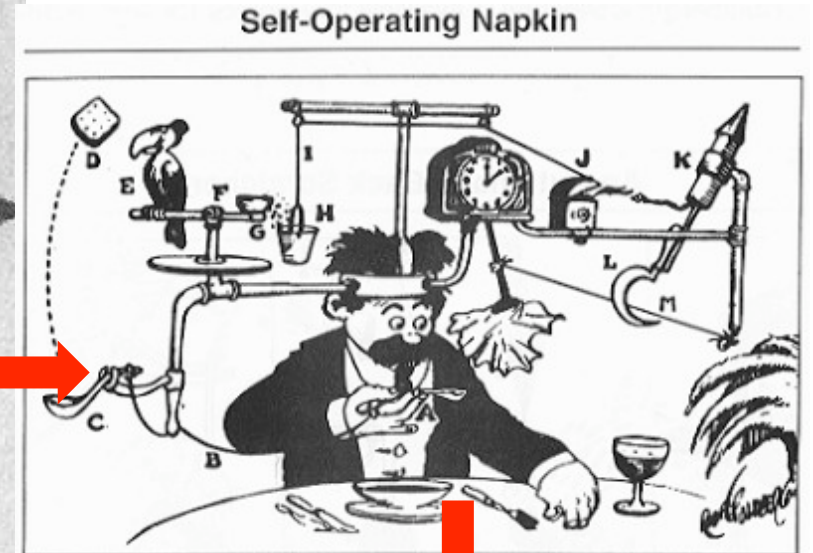
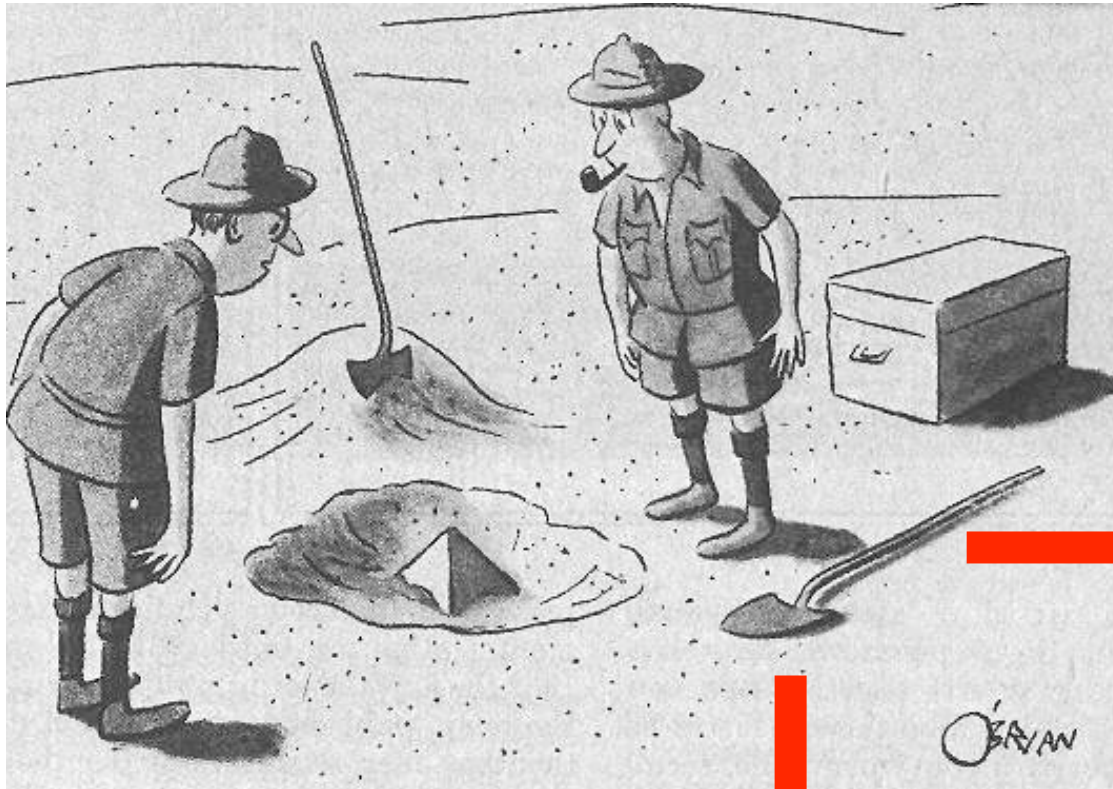
# Enormous Progress made

- **Experimental side:**
  - **super beams...**
  - **beta beams...**
  - **project X...**
  - **neutrino factory...**
    - ...low and high energy
  - **muon collider...**
  - ...
- **Important detector R&D ...**
- **Development of better beams ...**



➔ **crutial: timely R&D towards future options**

# Which Experimental Direction?





# Progress continued

## Phenomenology:

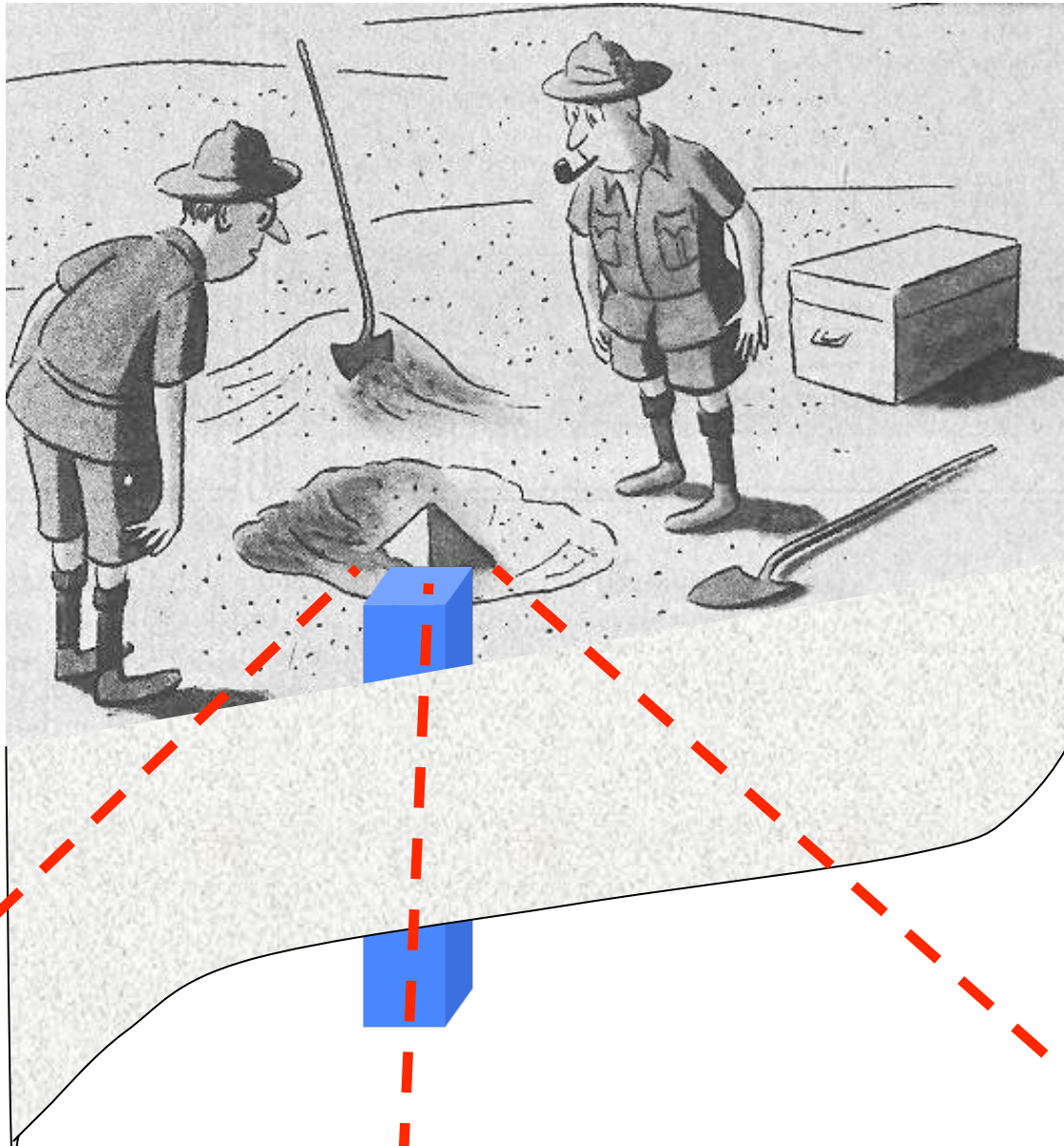
- interesting new ideas for improved / combined measurements
  - which are realistic (backgrounds, technological requirements)?
- improved theoretical understanding (SN, QFT, ...)
- new analytical and numerical tools
- further improvements of GLoBES

## Theory:

- many interesting ideas, concepts, developments (new symmetries, x-tra dim., new particles ...)
- models of masses and mixings
- ... the unexpected...

**→ progress requires future precision !**

# Development of Theory



experimental facts →

- global fits
- theoretical ideas
- **naturalness**
- options/speculations
- big surprises?

- all legitimate
- no 'probability'
- very promising
- experiment will tell

# Questions & Conclusions

- **\$\$\$\$: Will there be enough funds for all R&D...?**
- **People: Will the community be large enough?**
- **Time: When could facility X,Y,Z fit in / be ready?**
- **New ideas: Maybe there exist other easier ways to measure neutrino properties...?**

## Conclusions:

- **Double Chooz, Daya Bay, RENO, Gerda, Cuore, KATRIN, T2K, NOvA and others ...are coming**
  - **R&D towards future machines is crucial...  
↔ 2012 -2013 should be important**
- future  $\nu$  facilities are part of an exciting decade ahead!**

**Thanks to all!**