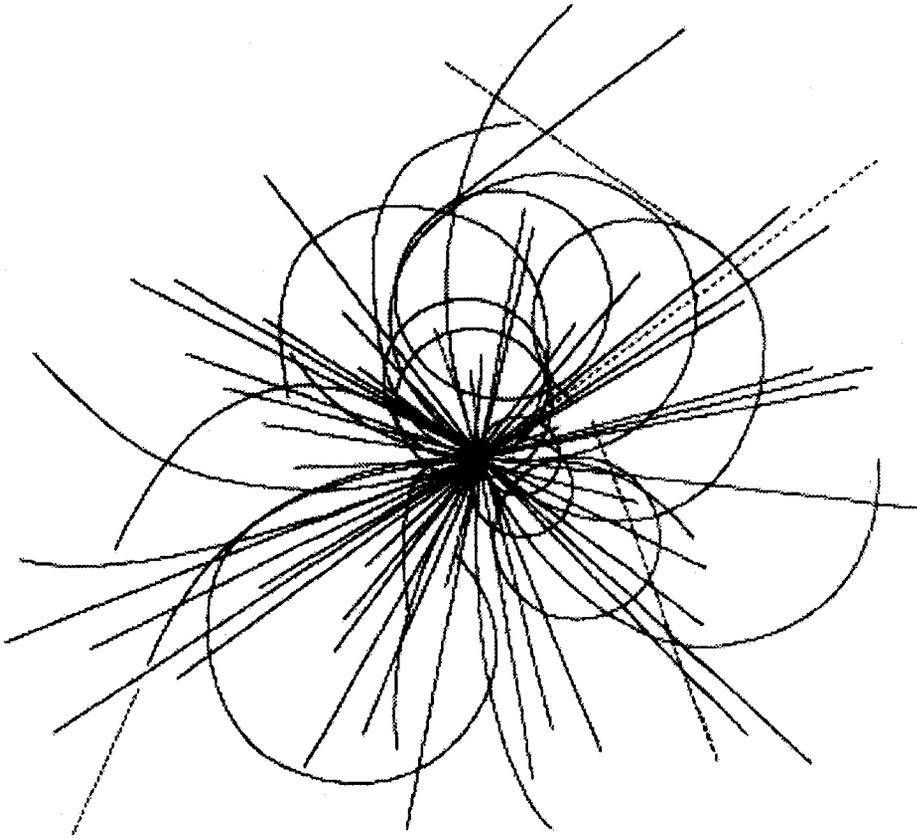


J. Shi
Y. T. Yan

**An Optimized Formulation for
Deprit-Type Lie
Transformations of Taylor Maps
for Symplectic Systems**



**Superconducting Super Collider
Laboratory**

**An Optimized Formulation for Deprit-Type Lie
Transformations of Taylor Maps for Symplectic Systems**

J. Shi

Department of Physics*
University of Houston
Houston, TX 77204-5506, USA

and

Y. T. Yan

Superconducting Super Collider Laboratory†
2550 Beckleymeade Ave.,
Dallas, TX 75237, USA

May 1993

* Supported by TNRLC under award FCFY9221 and the U.S. Department of Energy under grant DE-FG05-87ER40374.

† Operated by the Universities Research Association, Inc., for the U.S. Department of Energy under Contract No. DE-AC35-89ER40486.

An Optimized Formulation for Deprit-Type Lie Transformations of Taylor Maps for Symplectic Systems

Jicong Shi¹

Department of Physics, University of Houston, Houston, TX 77204-5506, USA

Yiton T. Yan

SSC Laboratory², 2550 Beckleymeade Ave., Dallas, TX 75237, USA

Abstract

We present an optimized iterative formulation for directly transforming a Taylor map of a symplectic system into a Deprit-type Lie transformation, which is a composition of a linear transfer matrix and a single Lie transformation, to an arbitrary order.

For a symplectic system, a one-turn map can be written as a composition of a linear transfer matrix and a nonlinear Taylor map M of the form [1]

$$M\vec{z} = \vec{z} + \vec{U}_2(\vec{z}) + \vec{U}_3(\vec{z}) + \dots \quad (1)$$

which can be converted order-by-order into Lie transformations in the form of Dragt-Finn factorization [2]:

$$M\vec{z} = e^{:f_3(\vec{z}):} e^{:f_4(\vec{z}):} \dots \vec{z}, \quad (2)$$

where \vec{z} represents the canonical phase-space coordinates; $f_i(\vec{z})$ and \vec{U}_i are the homogeneous polynomial and the vectorial homogeneous polynomial of degree i , respectively; $:f_i(\vec{z}):$ is the Lie operator associated with the function $f_i(\vec{z})$, which is defined by the Poisson bracket operation $:f_i(\vec{z}): \vec{z} = [f_i(\vec{z}), \vec{z}]$. By means of the Campbell-Baker-Hausdorff (CBH) formula [2], the product of Lie transformations in Eq. (2) can be combined to form a single Lie transformation:

$$M\vec{z} = e^{:g(\vec{z}):} \vec{z}, \quad (3)$$

where

$$g(\vec{z}) = g_3(\vec{z}) + g_4(\vec{z}) + \dots, \quad (4)$$

and $g_i(\vec{z})$ is a homogeneous polynomial of order i . Note that except $g_3(\vec{z}) = f_3(\vec{z})$, $g_i(\vec{z})$ is generally different from $f_i(\vec{z})$. Since obtaining a single Lie transformation from Eq. (2) via CBH formula is pretty tedious and one may need such a single Lie transformation under certain circumstances [3], we have worked out an optimized algorithmic formulation for obtaining this single Lie transformation directly from the Taylor map of Eq. (1) [4]. It should

be noted that we are not claiming that we are the first to try such a direct single Lie transformation. It is very likely that others may have different approach. The purpose of this note is to share with colleagues the simple and optimized algorithm we have obtained. The algorithm is described as follows.

Let us define, for each order n , a set of auxiliary vector homogeneous polynomials of degree n , $\{\vec{W}_n^{(m)}(\vec{z}), m = 1, 2, \dots, n\}$. $g_{n+1}(\vec{z})$ for $n = 2, 3, \dots$ are then obtained through order-by-order iteration given by the following steps:

$$g_{n+1}(\vec{z}) = -\frac{1}{n+1} \vec{z}^T S \vec{W}_n^{(1)}(\vec{z}), \quad (5)$$

where

$$\vec{W}_2^{(1)}(\vec{z}) = \vec{U}_2(\vec{z}), \quad (6)$$

and for $n \geq 3$,

$$\vec{W}_n^{(1)}(\vec{z}) = \vec{U}_n(\vec{z}) - \sum_{m=2}^{n-1} \vec{W}_n^{(m)}(\vec{z}), \quad (7)$$

where $\vec{W}_n^{(m)}$ for $2 \leq m \leq n$ is given by

$$\vec{W}_n^{(m)}(\vec{z}) = \frac{1}{m} \sum_{i=1}^{n-m} :g_{i+2}(\vec{z}): \vec{W}_{n-i}^{(m-1)}(\vec{z}). \quad (8)$$

In Eq. (5), S is the antisymmetric matrix [1] and the superscript T denotes the transpose.

This optimized algorithm is planned to be implemented in Zlib [5], a differential Lie algebraic numerical library.

We would like to thank S.K. Kauffmann for useful discussions and S. Ohnuma for numerous encouragement.

REFERENCES

- [1] Y.T. Yan, *AIP Conf. Proc. No. 249*, edited by M. Month and M. Dienes (AIP, 1992), p. 378.
- [2] A. Dragt and J. Finn, *J. Math. Phys.* **17**, 2215 (1976).
- [3] J. Shi and Y. T. Yan, "Symmetric Integrable-Polynomial Factorization for Symplectic One-Turn-Map Tracking" in these proceedings.
- [4] J. Shi and Y. T. Yan, preprint (1993).
- [5] Y.T. Yan and C. Yan, SSCL-300 (1990).

¹Supported by TNRLC under award FCFY9221 and the U.S. Department of Energy under grant DE-FG05-87ER40374.

²Operated by the Universities Research Association, Inc., for the U.S. Department of Energy under Contract No. DE-AC35-89ER40486.