

# Dark Energy Survey Year 1 Results: Cross-Correlation Redshifts in the DES – Calibration of the Weak Lensing Source Redshift Distributions

C. Davis<sup>1</sup>, M. Gatti<sup>2</sup>, P. Vielzeuf<sup>2</sup>, R. Cawthon<sup>3</sup>, E. Rozo<sup>4</sup>, A. Alarcon<sup>5</sup>, G. M. Bernstein<sup>6</sup>, C. Bonnett<sup>2</sup>, A. Carnero Rosell<sup>7,8</sup>, F. J. Castander<sup>5</sup>, C. Chang<sup>3</sup>, L. N. da Costa<sup>7,8</sup>, T. M. Davis<sup>9,10</sup>, J. De Vicente<sup>11</sup>, J. DeRose<sup>12,1</sup>, A. Drlica-Wagner<sup>13</sup>, J. Elvin-Poole<sup>14</sup>, E. Gaztanaga<sup>5</sup>, D. Gruen<sup>1,15</sup>, J. Gschwend<sup>7,8</sup>, W. G. Hartley<sup>16,17</sup>, B. Hoyle<sup>18</sup>, H. Lin<sup>13</sup>, M. A. G. Maia<sup>7,8</sup>, R. Miquel<sup>19,2</sup>, R. L. C. Ogando<sup>7,8</sup>, M. M. Rau<sup>18</sup>, A. Roodman<sup>1,15</sup>, E. S. Rykoff<sup>1,15</sup>, I. Sevilla-Noarbe<sup>11</sup>, M. A. Troxel<sup>20,21</sup>, R. H. Wechsler<sup>12,1,15</sup>, T. M. C. Abbott<sup>22</sup>, F. B. Abdalla<sup>16,23</sup>, S. Allam<sup>13</sup>, J. Annis<sup>13</sup>, K. Bechtol<sup>24</sup>, A. Benoit-Lévy<sup>25,16,26</sup>, D. Brooks<sup>16</sup>, E. Buckley-Geer<sup>13</sup>, D. L. Burke<sup>1,15</sup>, M. Carrasco Kind<sup>27,28</sup>, J. Carretero<sup>2</sup>, M. Crocce<sup>5</sup>, C. E. Cunha<sup>1</sup>, S. Desai<sup>29</sup>, H. T. Diehl<sup>13</sup>, P. Doel<sup>16</sup>, T. F. Eifler<sup>30,31</sup>, B. Flaugher<sup>13</sup>, J. Frieman<sup>13,3</sup>, J. García-Bellido<sup>32</sup>, D. W. Gerdes<sup>33,34</sup>, R. A. Gruendl<sup>27,28</sup>, G. Gutierrez<sup>13</sup>, K. Honscheid<sup>20,21</sup>, D. J. James<sup>35</sup>, T. Jeltema<sup>36</sup>, E. Krause<sup>1</sup>, R. Kron<sup>13,3</sup>, K. Kuehn<sup>37</sup>, N. Kuropatkin<sup>13</sup>, O. Lahav<sup>16</sup>, M. Lima<sup>38,7</sup>, M. March<sup>6</sup>, J. L. Marshall<sup>39</sup>, F. Menanteau<sup>27,28</sup>, R. C. Nichol<sup>40</sup>, B. Nord<sup>13</sup>, A. A. Plazas<sup>31</sup>, E. Sanchez<sup>11</sup>, V. Scarpine<sup>13</sup>, R. Schindler<sup>15</sup>, M. Smith<sup>41</sup>, M. Soares-Santos<sup>13</sup>, F. Sobreira<sup>42,7</sup>, E. Suchyta<sup>43</sup>, M. E. C. Swanson<sup>28</sup>, G. Tarle<sup>34</sup>, D. Thomas<sup>40</sup>, D. L. Tucker<sup>13</sup>, V. Vikram<sup>44</sup>, A. R. Walker<sup>22</sup>, J. Zuntz<sup>45</sup>

(DES Collaboration)

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## ABSTRACT

We present the calibration of the Dark Energy Survey Year 1 (DES Y1) weak lensing source galaxy redshift distributions from clustering measurements. By cross-correlating the positions of source galaxies with luminous red galaxies selected by the redMaGiC algorithm we measure the redshift distributions of the source galaxies as placed into different tomographic bins. These measurements constrain any such shifts to an accuracy of  $\sim 0.02$  and can be computed even when the clustering measurements do not span the full redshift range. The highest-redshift source bin is not constrained by the clustering measurements because of the minimal redshift overlap with the redMaGiC galaxies. We compare our constraints with those obtained from COSMOS 30-band photometry and find that our two very different methods produce consistent constraints.

**Key words:** galaxies: distances and redshifts – large-scale structure of Universe – surveys

## 1 INTRODUCTION

The Dark Energy Survey (DES) Year 1 (Y1) Key Project (DES Collaboration et al. 2017) constrains cosmological parameters by combining three distinct measurements of the growth of large scale structure over  $1321 \text{ deg}^2$ : first, measurements of the weak lensing shear fields (Troxel et al. 2017)

from the cross-correlations of the measured shapes of 26 million “source” galaxies divided into four redshift bins (Zuntz et al. 2017) from  $0.2 < z < 1.3$ ; second, cross-correlations of source galaxy shapes (Prat et al. 2017) with the positions of 650,000 luminous red (“lens”) galaxies at  $0.15 < z < 0.9$  as determined by the redMaGiC algorithm (Roza et al. 2016); third, the auto-correlations of the positions of redMaGiC galaxies (Elvin-Poole et al. 2017). Undergirding our cosmological constraints are estimates of the redshifts of these

Affiliations are listed at the end of the paper.

galaxies from DES photometry in the *griz* bands. These photometric redshifts (“photo- $z$ ”) are used twice: first to assign galaxies to tomographic bins, and then to determine the normalised redshift distribution  $n^i(z)$  of galaxies in the  $i$ -th bin.

This paper describes the calibration of the source galaxy redshift distributions used in the DES Y1 Key Project by looking at their cross-correlations with high-fidelity lens galaxy photometric redshifts. In analogy with photometric redshifts, we shall refer to these estimates of the redshift distribution as “clustering- $z$ ”. The redshift distributions are crucial for the prediction of the observable cosmological signals, and their uncertainties must be propagated into our cosmological parameter inference pipeline. For our measurement precision, the most important parameter of a source galaxy redshift distribution is its mean redshift. We focus here on the calibration of that parameter using angular cross-correlations with redMaGiC galaxies. Gatti et al. (2017, henceforth G17) describe how we use simulations to estimate the systematic uncertainties in this method. Hoyle et al. (2017) describe the binning and redshift determination of source galaxies as well as their validation with 30-band COSMOS redshifts (Laigle et al. 2016). The assignment and validation of lens galaxy redshifts are described in Rozo et al. (2016), Elvin-Poole et al. (2017), and Cawthon et al. (2017).

It has now been almost a decade since Newman (2008) first demonstrated on simulations the use of angular cross-correlations with finely-binned, high-fidelity-redshift galaxies to determine redshift distributions, and over a decade since Schneider et al. (2006) proposed using galaxy angular two-point correlation functions to determine redshift distributions. Since then, the method has been applied to both simulation and real data (Ménard et al. 2013; Schmidt et al. 2013; McQuinn & White 2013; Rahman et al. 2015). Of particular relevance is the recent work in Hildebrandt et al. (2017), Johnson et al. (2017), and Morrison et al. (2017), where clustering- $z$  methods were applied to Kilo-Degree Survey photometric data by cross-correlating with spectroscopic redshifts from the Galaxy And Mass Assembly (GAMA) survey and the Sloan Digital Sky Survey (SDSS). These papers used clustering- $z$  distributions as alternative redshift distributions to those from photometric techniques, and demonstrated the viability – and the potential – of using clustering- $z$  methods to determine redshift distributions. In our present work, we make two significant modifications. First, instead of spectroscopic redshifts over a minimal area in the sky (often only 10-100 deg<sup>2</sup>), we use the high-fidelity photometric redshifts determined by the redMaGiC algorithm to measure clustering- $z$  over our entire 1321 deg<sup>2</sup> footprint. This is also different from our previous work on DES Science Verification data in Davis et al. (2017), where we instead used redMaPPer galaxy clusters (Rykoff et al. 2014, 2016). redMaGiC redshifts are more than sufficiently accurate for our purposes, and we have many more redMaGiC galaxies than spectroscopic galaxies in our footprint. Second, because the limited redshift range of our redMaGiC photo- $z$ ’s means we can only measure part of the source redshift distribution directly with clustering- $z$ , we use the clustering- $z$  instead to calibrate shifts to the redshift distributions measured by photo- $z$ . This calibration procedure is combined with COSMOS calibrations described in Hoyle et al. (2017) to obtain

yet tighter constraints on the mean redshift of each source bin.

This paper is organised as follows. In Section 2 we present the various galaxy samples, flux measurement methods, and photo- $z$  algorithms used. In Section 3 we briefly present the theory behind measuring redshift distributions with clustering- $z$  and the way we calibrate photo- $z$  distributions with clustering- $z$ . In Section 4 we present our calibrations of the redshift distributions. In Section 5 we compare the systematic errors we found in simulations in G17 to their equivalent measurements in data. In Section 6 we compare our calibration with a calibration of the redshift distributions from COSMOS 30-band photometry described in Hoyle et al. (2017). Finally, in Section 7 we discuss future prospects for this method and present our conclusions.

## 2 DATA

The Dark Energy Survey is a 5000 square degree photometric survey that will image about 300 million galaxies in *grizY* filters up to a redshift of  $z = 1.4$  with the Dark Energy Camera (DECam, Flaugher et al. 2015), a 570-megapixel camera built by the collaboration and stationed at the Cerro Tololo Inter-American Observatory (CTIO) 4-meter Blanco telescope. Here we use the DES Year 1 (Y1) data, which are based on observations taken between 31 August 2013 and 9 February 2014 during the first full season of the survey. We use the Y1 observations of the region overlapping with the South Pole Telescope (Carlstrom et al. 2011, SPT) footprint. These data are processed and extensively tested, resulting in a ‘Gold’ catalog of objects (Drlica-Wagner et al. 2017).

### 2.1 Reference redMaGiC Galaxies

From the DES Y1 Gold Catalog we select a subset of objects using the redMaGiC algorithm (Roza et al. 2016). The algorithm aims to define a sample of luminous red galaxies above some minimal luminosity threshold. These galaxies are selected by fitting to a red sequence template that was calibrated using galaxy clusters selected by the redMaPPer algorithm (Rykoff et al. 2014, 2016). These galaxies are selected to have a constant comoving number density of galaxies as a function of redshift, and have excellent photo- $z$ ’s, with an approximately Gaussian scatter of  $\sigma_z/(1+z) < 0.02$  (Roza et al. 2016). The high quality of the redMaGiC photometric redshifts makes them an appropriate sample for measuring clustering- $z$ .

There are several variants of redMaGiC we could use, based on the luminosity threshold and the input photometry (see Section 2.5). We choose to use the ‘higher luminosity’ sample, which has a luminosity threshold of  $L > 1.5L_\star$ , and which uses the ‘multi-object fitting’ (MOF) photometry described in Drlica-Wagner et al. (2017) and in Section 2.5 below. Further, when calculating correlations, we correct for systematic correlations between the object density and survey properties as detailed in Elvin-Poole et al. (2017).

In G17, the red sequence template measured in simulations by redMaPPer is redder than in DES Y1 data. Consequently, the maximum redshift in simulation over much of the footprint is  $z_{\max} = 0.85$ . For consistency with the analyses in the simulations from which we derive our errors,

we also will use  $z_{\max} = 0.85$  when we perform our analyses. Because our constraints here are derived from windowed means, the exclusion of  $0.85 < z < 0.90$  redshift range produces negligible changes to our calibration.

The calibration of the photo- $z$ 's of redMaGiC galaxies via clustering with spectra is the subject of Cawthon et al. (2017).

## 2.2 Source Galaxies

After the ‘Gold’ catalog is created, shape measurement algorithms are run on the galaxies to produce the shape catalogs that go into our cosmology analyses. The validation of these catalogs is the subject of Zuntz et al. (2017). It suffices for us to say that the primary catalog is the METACALIBRATION catalog (Huff & Mandelbaum 2017; Sheldon & Huff 2017), and we also calibrate a second shape catalog IM3SHAPE (Zuntz et al. 2013). These galaxies are placed into four redshift bins with edges (0.2, 0.43, 0.63, 0.9, 1.3) based on the point estimate of a photo- $z$  algorithm (see Section 2.3). We cannot constrain the source redshift distribution beyond  $z_{\max}$  using the correlation method. We are reliant upon the photo- $z$  determination of  $n(z)$  outside the redMaGiC redshift range, particularly in the highest-redshift bin. It is shown in DES Collaboration et al. (2017) that the uncertainties in this extrapolation do not significantly alter the Y1 cosmological inferences.

## 2.3 Photometric Redshifts

For redshift bin assignment and redshift distribution evaluation we turn primarily to a modified version of the Bayesian Photometric Redshifts (BPZ) code (Benítez 2000). This method uses a set of interpolated model spectral templates to calculate the likelihood of a galaxy’s photometry belonging to a given template at a given redshift via  $\chi^2$  between the observed fluxes and those of the filters integrated over the model template. A prior is then applied to the likelihood which consists of the relative luminosity functions of the templates and the distribution of magnitude in the  $i$ -band as a function of redshift. The details for how the templates are generated, how the prior is calibrated, and how we have modified the code may be found in Hoyle et al. (2017).

We also provide calibrations for the photo- $z$ 's obtained using Directional Neighborhood Fitting (DNF) of de Vicente et al. (2016). DNF is a machine-learning algorithm which takes as a training sample a collection of galaxies whose spectroscopic redshifts are known. Based on this training sample, DNF makes a predictive hyperplane to best fit the neighborhood about each target galaxy in flux space, which is then used to predict the redshift. Details about the training and validation of the Y1 DNF predictions may also be found in Hoyle et al. (2017).

## 2.4 Photo- $z$ Distributions

In this section we briefly explain how we obtain tomographic redshift distributions from our photo- $z$  catalogs. For every galaxy, the photo- $z$  method returns a probability distribution of the redshift given its measured fluxes, plus a point es-

timate that is used for bin assignment. For both BPZ and DNF this point estimate is simply the mean of the distribution. To obtain the redshift distribution of a specific tomographic bin, we then ‘stack’ the photo- $z$  probabilities of the galaxies using weights  $w_j$  assigned by the shape measurement algorithm:

$$n_{\text{BPZ}}^i(z) = \frac{\sum_{j \in \text{Bin } i} w_j P_j(z)}{\sum_{j \in \text{Bin } i} w_j}, \quad (1)$$

where  $P_j(z)$  is the probability of a given galaxy having redshift  $z$ . These weights are also used when we count pairs. We note that other uses of shape catalogs can have different redshift weights. These naturally lead to different effective redshift distributions and necessitate separate calibrations.

In practice, for every galaxy we draw a single redshift sample from  $P_j$  and then create a weighted histogram from that. Because we are using millions of galaxies in our tomographic bins, this is for all practical purposes equivalent to Equation (1).

## 2.5 ‘MOF’ and ‘METACAL’ Fluxes

In the DES Y1 sample we have two different measurements of a galaxy’s flux. The ‘multi-object fitting’ (MOF) fluxes are described in Drlica-Wagner et al. (2017). In brief, the NGMIX<sup>1</sup> code fits cutouts of DES Y1 galaxies to a highly constrained exponential+deVaucouleurs model convolved with each exposure’s point-spread function. The fit is multi-epoch and multi-band, with common shape parameters across bands and a single free flux per band. The fit also accounts for flux from neighbor galaxies in an iterative fashion, subtracting the current estimate of neighboring galaxies’ flux. In contrast, the METACALIBRATION catalog fits a simple elliptical Gaussian convolved with each exposure’s point-spread function. The fit is again multi-epoch and multi-band, with a single free flux per band, but it is not multi-object: no neighbor flux subtraction occurs. We shall refer to these two flux types as ‘MOF’ and ‘METACAL’ respectively.

The tomographic bin assignment and the redshift distribution evaluation may be done with different photo- $z$  algorithms, or even the same algorithm but with different flux types. For example, the DES Y1 sample uses the BPZ algorithm for both tasks, but assigns galaxies to tomographic bins based on METACAL fluxes, and evaluates redshift distributions with MOF fluxes. The redshift distributions derived from clustering depend on the shape catalog and bin assignment, but not on the redshift distribution estimated by the photo- $z$  algorithm. Of most interest are the following combinations of shape catalog, bin assignment, and redshift evaluation: the fiducial sample of the METACALIBRATION catalog placed into redshift bins by BPZ run on METACAL fluxes and whose redshift distribution is evaluated by BPZ run on MOF fluxes; and IM3SHAPE binned and evaluated by BPZ run on MOF fluxes. In DES Collaboration et al. (2017) these different samples are used to test the robustness of our analysis to different shape and redshift algorithms. For each of these two shape catalogs we may easily change the algorithm and fluxes used to evaluate the redshift distribution, so we also present their calibrations.

<sup>1</sup> <https://github.com/esheldon/ngmix>

Table 1 lists the mean redshifts in each bin for each redshift distribution. They are grouped by shape catalog and photo- $z$  algorithm used for tomographic assignment. Each group evaluates the redshift distribution of the same set of galaxies. The task of our calibration procedure is to correct these redshift distributions to have the true mean redshift.

## 2.6 Simulations and Estimation of Systematic Error

Our systematic errors are estimated from the `Buzzard-v1.1` simulation and are described in more detail in G17. The simulation and creation of mock survey data are described in DeRose et al. (2017); Wechsler et al. (2017); MacCrann et al. (2017), but we now provide a brief summary. Three  $N$ -body simulations are run using a modified version of `GADGET2` (Springel 2005) called `L-GADGET2`. The boxes range in size from one to four Gpc/ $h$  on a side. `ROCKSTAR` (Behroozi et al. 2013) identifies halos of dark matter, and galaxies are added to the simulations via the `ADDGALS` algorithm (Wechsler et al. 2017). Spectral energy distributions (SEDs) from the Sloan Digital Sky Survey (SDSS) DR7 (Cooper et al. 2011) are assigned to galaxies based on local environmental density, which are then integrated through the Dark Energy Camera filters to generate *grizY* magnitudes. Galaxy positions, shapes, and magnitudes are lensed using the `CALCLENS` ray-tracing code (Becker 2013), and are cut to lie in the DES Y1 footprint using the DES Y1 depth maps (Rykoff et al. 2015; Drlica-Wagner et al. 2017).

From the simulated galaxies we construct our source and reference galaxy distributions. The `redMaPPer` and `redMaGiC` algorithms are run to produce reference galaxies. The bias and scatter mimic observed `redMaGiC` performance from spectroscopic SDSS samples. As described above, the red sequence in this simulation is redder than in DES Y1 data, leading to a maximum redshift of  $z_{\max} = 0.85$  over much of the simulation footprint. This arises from the way SEDs are assigned to galaxies, and will be fixed in future iterations of these simulations. We find that all other relevant properties of the simulated `redMaGiC` catalogs – for example, clustering and number density – are sufficiently accurate for our calibrations. In order to create our simulation source galaxies, we cut on size and flux to mimic the `METACALIBRATION` sample. Both photo- $z$  algorithms are run on these galaxies to produce the redshift distributions. The shapes of the redshift distributions as estimated by the photo- $z$  algorithms matches well-enough what we observe in data, although imperfections in galaxy SED modeling lead to small differences.

By having a simulated dataset that mimics our data we are able to estimate systematic errors arising from our calibration by calibrating the simulated catalogs and comparing to the true redshifts. The simulated catalogs are quite good, but they are not perfect. For example, the distribution of SEDs with redshift, luminosity, and environment may be different in the simulation than in our universe, but the magnitude of the scatter should be reliable. In Section 5 we will compare our results against the simulation results found in G17.

## 3 METHODS

### 3.1 Determining the Clustering- $z$

We outline the theory in this section and present our estimator. The approach presented here is based on Ménard et al. (2013), Schmidt et al. (2013), and Davis et al. (2017), with some modifications. We refer interested readers to G17 for further details.

Our goal is to measure the redshift distributions of the DES Y1 sample by measuring the cross-correlation of its angular positions with a reference sample which has well-measured redshifts. We shall refer to our reference sample by the superscript  $r$  and our source galaxy sample by the superscript  $u$ , for ‘reference’ and ‘unknown’ respectively. The angular cross-correlation between two samples  $n^u(z)$  and  $n^r(z)$  with (linear) biases  $b^u$  and  $b^r$  is

$$w^{ur} = \int dR dz' dz'' W(R) n^u(z') n^r(z'') \times b^u(R, z') b^r(R, z'') \xi(R, z', z'') \quad (2)$$

where  $\xi$  is the matter–matter correlation function  $\langle \delta\delta \rangle(R, z', z'')$ , and we allow the galaxy clustering bias  $b$  to have both redshift and scale dependence for some separation  $R$  and redshift  $z$ . We choose to integrate over *comoving* scales  $R = (1+z)D_A(z)\theta$ , from  $R_{\min}$  to  $R_{\max}$ , which we choose to be 500 to 1500 kpc (G17). We explore the effects of varying these scales in Section 5.1. Following Schmidt et al. (2013), we weight this integration with  $W(R) \propto R^{-1}$  and the normalisation defined such that the weight function integrates to one over our chosen scales. If we consider the case where we slice the reference sample into thin redshift bins such that  $n^r(z'') = \delta(z - z'')$  for a slice centred at redshift<sup>2</sup>  $z$  and if we use the fact that  $\xi$  drops off quickly with comoving separation, such that  $\xi(R, z', z'') = \xi(R, z', z'')\delta(z' - z'')$ , we may pull out the redshift distribution  $n^u$ , upgrade  $w^{ur}$  to a function with a dependence on redshift  $z$ , and arrive at

$$w^{ur}(z) = n^u(z) \int_{R_{\min}}^{R_{\max}} dR W(R) b^u(R, z) b^r(R, z) \xi(R, z, z). \quad (3)$$

We define the function  $f(z)$  to be the weighted integral of the product of the bias terms and the projected matter-matter correlation function:

$$f(z) = \int_{R_{\min}}^{R_{\max}} dR W(R) b^u(R, z) b^r(R, z) \xi(R, z, z). \quad (4)$$

The unknown function  $f(z)$  characterises the (possibly non-linear) growth in the correlation function and/or possibly evolving non-linearities in the correlation function. For the purposes of this paper, we have little power in constraining the value of  $f(z)$ , and instead will use simulations to characterise its impact in our calibration. We are finally left with

$$w^{ur}(z) = f(z) n^u(z), \quad (5)$$

which relates the weighted average of the angular correlation function to the redshift distribution of the sample of interest.

<sup>2</sup> In practice, our reference sample has redshift errors of order  $\sigma_z/(1+z) < 0.02$ . We slice our reference sample into bins of width 0.02, although we note that our results are largely independent of reference bin size (G17).

Catalog	Photo-z Binning	Photo-z Redshift	$\langle z^1 \rangle + \Delta z^1 \pm \sigma_{\Delta z^1}$ $0.2 < z < 0.43$	$\langle z^2 \rangle + \Delta z^2 \pm \sigma_{\Delta z^2}$ $0.43 < z < 0.63$	$\langle z^3 \rangle + \Delta z^3 \pm \sigma_{\Delta z^3}$ $0.63 < z < 0.9$
METACAL	METACAL BPZ	MOF BPZ	0.378 + 0.007 ± 0.026	0.515 − 0.023 ± 0.017	0.740 + 0.003 ± 0.014
METACAL	METACAL BPZ	MOF DNF	0.422 − 0.055 ± 0.014	0.535 − 0.047 ± 0.014	0.747 − 0.001 ± 0.019
METACAL	METACAL BPZ	METACAL BPZ	0.359 + 0.008 ± 0.026	0.527 − 0.050 ± 0.017	0.750 − 0.016 ± 0.014
METACAL	METACAL BPZ	METACAL DNF	0.421 − 0.051 ± 0.014	0.538 − 0.053 ± 0.014	0.747 − 0.002 ± 0.019
IM3SHAPE	MOF BPZ	MOF BPZ	0.360 + 0.008 ± 0.026	0.516 − 0.031 ± 0.017	0.750 − 0.010 ± 0.014
IM3SHAPE	MOF BPZ	MOF DNF	0.430 − 0.078 ± 0.014	0.553 − 0.059 ± 0.014	0.754 − 0.025 ± 0.019
METACAL	METACAL DNF	MOF BPZ	0.387 − 0.007 ± 0.026	0.490 + 0.008 ± 0.017	0.747 + 0.017 ± 0.014
METACAL	METACAL DNF	MOF DNF	0.377 − 0.004 ± 0.014	0.524 − 0.034 ± 0.014	0.758 + 0.007 ± 0.019
METACAL	METACAL DNF	METACAL BPZ	0.393 − 0.033 ± 0.026	0.505 − 0.005 ± 0.017	0.767 + 0.011 ± 0.014
METACAL	METACAL DNF	METACAL DNF	0.371 + 0.003 ± 0.014	0.528 − 0.037 ± 0.014	0.760 + 0.005 ± 0.019

**Table 1.** Table of mean redshifts, calibrations, and errors on the calibrations for the first three tomographic bins ordered by shape catalog, photo-z used in tomographic binning, and photo-z used in estimating the redshift distribution. Each grouping by shape and binning measures the same underlying redshift distribution. The numbers are prior to calibration. Grey rows are samples used in [DES Collaboration et al. \(2017\)](#) to check the robustness of cosmological measurements.

We measure the angular correlation function by counting the number of pairs between our unknown and reference data  $D^u D^r$  separated over a range of comoving separations from  $R_{\min}$  to  $R_{\max}$ . Given the true angular correlation  $w^{ur}$ , the number of unknown–reference pairs is:

$$D^u D^r(z) = \bar{n}^u \bar{n}^r \int_{R_{\min}}^{R_{\max}} dR \int dx dx' W(R) [1 + w^{ur}(R, z)] \times S(x)S(x')\Theta(x, x', R), \quad (6)$$

where  $\bar{n}^u$  and  $\bar{n}^r$  are the number densities of the unknown and reference samples,  $S(x)$  is the survey window function, such that  $S(x) = 1$  if sky coordinate  $x$  is in the survey and 0 otherwise,  $\Theta(x, x', R)$  is a step function that is 1 if the distance between points  $x$  and  $x'$  is  $R$  and 0 otherwise, and  $dx$  and  $dx'$  are double integrals over the sky. In practice, the terms representing the area are estimated using ‘randoms,’ or catalogs of random points placed on the sky that mimic where a galaxy *could* have been observed. We may then measure their pairs and recover Equation (6), only with  $w^{ur} = 0$ . However, it is difficult to estimate the selection function of galaxies in shape catalogs. The reasons a galaxy may or may not pass shape measurement quality flags are numerous and can depend on location in the sky in complicated and opaque manners. Consequently, there are no randoms for the shape catalogs, and so we cannot choose the common Landy-Szalay estimator ([Landy & Szalay 1993](#)). Instead, the estimator we use is analogous to  $\hat{w}_2$  in [Landy & Szalay \(1993\)](#):

$$\hat{w}^{ur}(z) = \frac{D^u D^r N_{R^r}}{D^u R^r N_{D^r}}(z) - 1, \quad (7)$$

where  $N_{R^r}$  is the total number of reference randoms and  $N_{D^r}$  is the total number of reference galaxies. In the limit of infinitely large random catalogs its expectation value is

$$\langle \hat{w}^{ur} \rangle(z) = f(z)n^u(z). \quad (8)$$

Thus we have shown that we can use angular cross-correlations to obtain measurements of the redshift distribution, and that our ability to calibrate a redshift distribution depends upon our understanding of the evolution in redshift of the matter–matter correlation function and the biases of both the reference and unknown samples.

### 3.2 Calibrating Photometric Redshift Distributions with Clustering- $z$

We use the cross-correlation measurements of the redshift distribution to calibrate the bias in photometric redshift estimates as described in [G17](#). In brief, for the  $i$ -th redshift bin with a photometrically estimated redshift distribution  $n_{PZ}^i(z)$ , we solve for the bias  $\Delta z^i$  such that  $n_{PZ}^i(z - \Delta z^i)$  has the same mean source redshift over the same window as the clustering- $z$   $\hat{w}^{ir}$ :<sup>3</sup>

$$\frac{\int_{z_{\min}^i}^{z_{\max}^i} dz z n_{PZ}^i(z - \Delta z^i)}{\int_{z_{\min}^i}^{z_{\max}^i} dz n_{PZ}^i(z - \Delta z^i)} = \frac{\int_{z_{\min}^i}^{z_{\max}^i} dz z \hat{w}^{ir}(z)}{\int_{z_{\min}^i}^{z_{\max}^i} dz \hat{w}^{ir}(z)}. \quad (9)$$

In Equation (9) we implicitly assume that  $f(z)$  is constant. We check in [G17](#) with simulations how much this assumption biases our calibration, and find that while it is one of our dominant systematics, it is sufficiently small for our DES Y1 analysis. We must also decide upon a minimum and maximum redshift for evaluating the mean redshift. We want sufficient range to get a good measure of the mean, but if the range is too broad, then we include noisy points in the tails. We decide upon the following algorithm for determining the range of redshifts used in the fit based on comparisons with simulations in [G17](#):

- Calculate the mean and standard deviation of the clustering- $z$  signal:

$$\bar{z}^i = \frac{\int dz z \hat{w}^{ir}(z)}{\int dz \hat{w}^{ir}(z)} \quad (10)$$

$$(\sigma^i)^2 = \frac{\int dz (z - \bar{z}^i)^2 \hat{w}^{ir}(z)}{\int dz \hat{w}^{ir}(z)}. \quad (11)$$

We integrate the clustering- $z$  signal over  $0.15 < z < 0.85$  in the first two tomographic bins, and  $0.40 < z < 0.85$  in the third bin. In the third bin we cut  $z < 0.40$  for the reason that we found systematically negative clustering- $z$  signal ( $\hat{w}^{ir} < 0$ ) at lower redshifts; reference galaxies at low redshifts are *anti*-correlated with the source galaxy sample. [G17](#)

<sup>3</sup> We adopt the convention that a positive  $\Delta z^i$  means that galaxies are *farther* away than our initial measurements indicated.

showed that low-redshift reference galaxies cross-correlating with high-redshift source galaxies could be anti-correlated due to magnification. Modeling magnification is outside the scope of this paper. Furthermore, all redshift codes indicate that there are very few galaxies in the third tomographic bin with  $z < 0.40$ . Because the negative  $\hat{w}^{ir}$  biases our mean and widths in Equations (10) and (11), we exclude these points.

- Choose a two sigma cut about the mean:  $z_{\min}^i = \bar{z}^i - 2\sigma^i$  and  $z_{\max}^i = \bar{z}^i + 2\sigma^i$ .

We will return to the impact of the choice of  $2\sigma^i$  in Section 5.2.

There are many ancillary choices to be made in this analysis. For example, we could have chosen a different range of scales, a different redshift range, or a different luminosity threshold for our reference redMaGiC galaxies. Where possible, we tried, in an attempt to ensure our methodology is somewhat ‘blinded,’ to decide our fiducial methodology purely by considering the impact of our choices on simulations. Thus, we find in G17 with simulations that our calibration procedure performed best if we used the method from Schmidt et al. (2013) for determining the redshift distribution, and we decide through these simulations that we would not apply an auto-correlation bias correction. Where possible, we check these choices on data. These checks are outlined in Section 5.

We also use simulations to estimate systematic errors in our calibration procedure. In G17, we find that our dominant systematic errors are (1) the bias evolution in our source galaxy sample caused by the tomographic binning procedure; and (2) mismatch between the shapes of the photo- $z$  and clustering- $z$  distribution, including errors arising from asymmetry in the outlier galaxies and from our decision to use windowed means to calibrate shifts to the photometric redshift distribution.

The total systematic uncertainty is estimated as the sum in quadrature of all uncertainties, and varies between 0.014 and 0.026 depending on the tomographic bin in question. The statistical precision of this measurement of the  $\Delta z^i$ 's is  $\sim 0.005$ . Thus we are dominated by systematic errors, but at an acceptable level for our cosmological constraints. When we quote constraints on  $\Delta z^i$ , our errors are the quadrature sum of our statistical precision and these systematic errors.

## 4 RESULTS

We present our clustering- $z$  distributions and the calibrated photo- $z$  distribution for the DES Y1 sample in Figure 1. As we described in Section 2, galaxies are assigned to redshift bins by point estimates (mean of the individual galaxy probability distributions) from BPZ on METACAL fluxes. Measurements of the tomographic bins are denoted by their color. The points represent our clustering- $z$  measurements, and the empty squares are points excluded by our  $z^i \pm 2\sigma^i$  cut. The dashed lines are the original redshift distributions from stacking the photo- $z$  signal as described in Section 2.4. The solid lines are the calibrated photo- $z$  signal,  $n_{\text{PZ}}^i(z - \Delta z^i)$ , where  $\Delta z^i$  is found by comparing with clustering- $z$  as described in Section 3.2. In the first and third tomographic bins, the clustering- $z$  distribution and the photo- $z$  distribu-

tion agree remarkably well, however the second tomographic bin features significant disagreement between the two redshift distributions. We reiterate, however, that for constraining cosmology, the most important quantity relating to the redshift distribution is its mean (Troxel et al. 2017).

The constraints from our calibrations are presented in Table 1. As is evident from Figure 1 and Table 1, the shifts are quite small by eye. Indeed, for the DES Y1 sample the clustering- $z$  measurements alone indicate that the shifts derived for BPZ are consistent with zero. The biggest corrections happen in the second tomographic bin, where the shapes of the photo- $z$  and clustering- $z$  distributions are most discrepant.

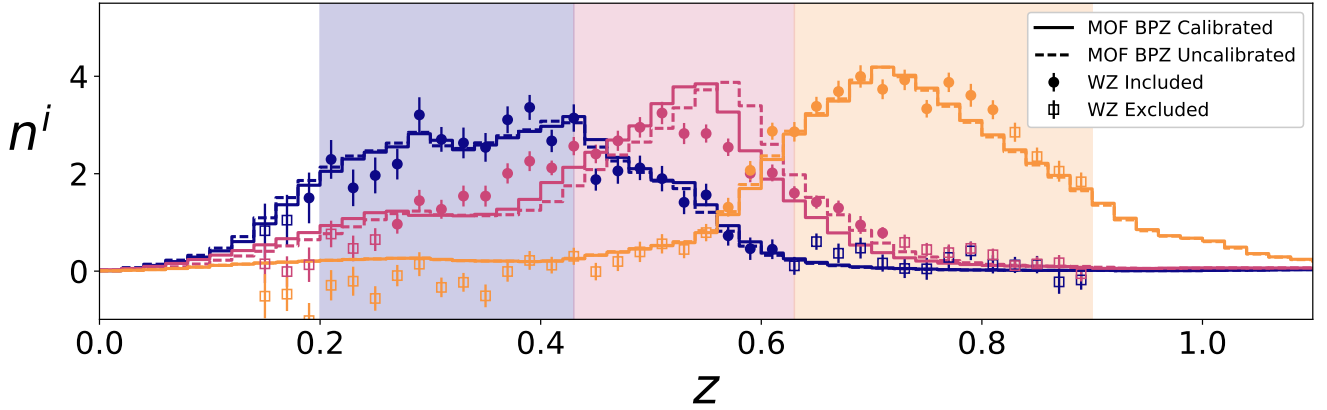
### 4.1 Other Photo- $z$ Algorithms and Shape Samples

In the creation of the photo- $z$  distributions, a photo- $z$  algorithm is used twice: first, in the assignment of galaxies to tomographic bins; second, in the measurement of the redshift distribution of a particular tomographic bin. In this section we present results for varying the photo- $z$  algorithm of these two parts.

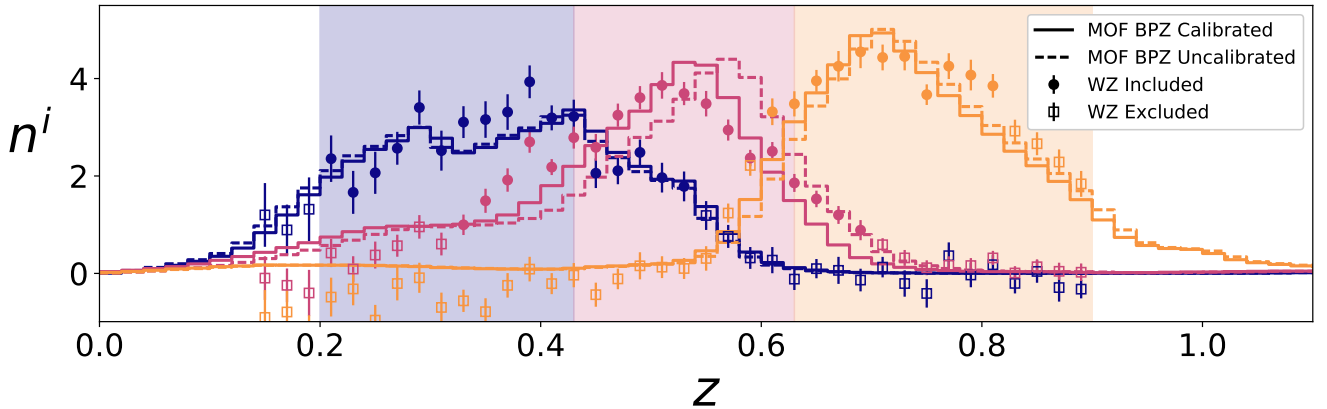
We examine a different shape catalog. We take the IM3SHAPE catalog, and bin and evaluate the redshift distributions based on the BPZ algorithm. We show as solid lines the corrected redshift distribution after calibration from clustering- $z$ . These results are shown in Figure 2. We also look at using METACAL DNF to assign galaxies to redshift bins and evaluate redshift distributions, after which we repeat the same exercise. These results are presented in Figure 3. The Figures show that the shifts are often quite small, and that the redshift distributions measured by clustering- $z$  agree in the broad features with most of the photo- $z$  redshift distributions. We may also vary the photo- $z$  algorithm used to evaluate the redshift distribution of an ensemble of galaxies. We collect all of these results into Table 1.

After applying the calibrations the resultant calibrated means will still not be exactly the same because the matching is performed within a window about the mean of the clustering- $z$  and not the full photo- $z$  distribution. Recall from Section 3.2 that the windowing was chosen to mitigate the impact of noisy clustering- $z$  tails. G17 estimates the impact of this effect on our calibrations to be at the  $\sim 0.01$  level or less, which is incorporated into our total systematic error. We can check the impact in data by looking at the dispersion in calibration of different photo- $z$  algorithms run on the same set of galaxies. We find that our calibrations on the same sets of galaxies (but different photo- $z$  distributions) have about this level of dispersion; it is not unreasonable that differences in shapes of the redshift distributions affect our calibrations at the  $\sim 0.01$  level.

We stress, however, that differences in shape, while interesting from many perspectives (clustering- $z$  algorithm validation, photo- $z$  algorithm performance, galaxy evolution), do not significantly impact our cosmology analysis. As long as the mean is properly calibrated, which particular redshift distribution we use has a subdominant impact on cosmological constraints from our data (Troxel et al. 2017).



**Figure 1.** The redshift distributions of the fiducial METACALIBRATION sample as measured by the uncalibrated and calibrated BPZ algorithm (dashed and solid lines) and by clustering- $z$  (points). Galaxies are placed into each tomographic bin according to their mean BPZ estimate (background colors). Each color corresponds to a different tomographic bin. The photo- $z$  distributions are calibrated by the clustering- $z$  points (circles) as described in Section 3.2, while the unfilled squares are excluded by the  $\pm 2\sigma$  window. The values of the mean redshift calibrations shown may be found in Table 1.



**Figure 2.** The redshift distributions of the IM3SHAPE sample as measured by the uncalibrated and calibrated BPZ algorithm (dashed and solid lines) and by clustering- $z$  (points). Galaxies are placed into each tomographic bin according to their mean BPZ estimate (background colors). Each color corresponds to a different tomographic bin. The photo- $z$  distributions are calibrated by the clustering- $z$  points (circles) as described in Section 3.2, while the unfilled squares are excluded by the  $\pm 2\sigma$  window. The values of the mean redshift calibrations shown may be found in Table 1.

## 5 SYSTEMATIC ERRORS

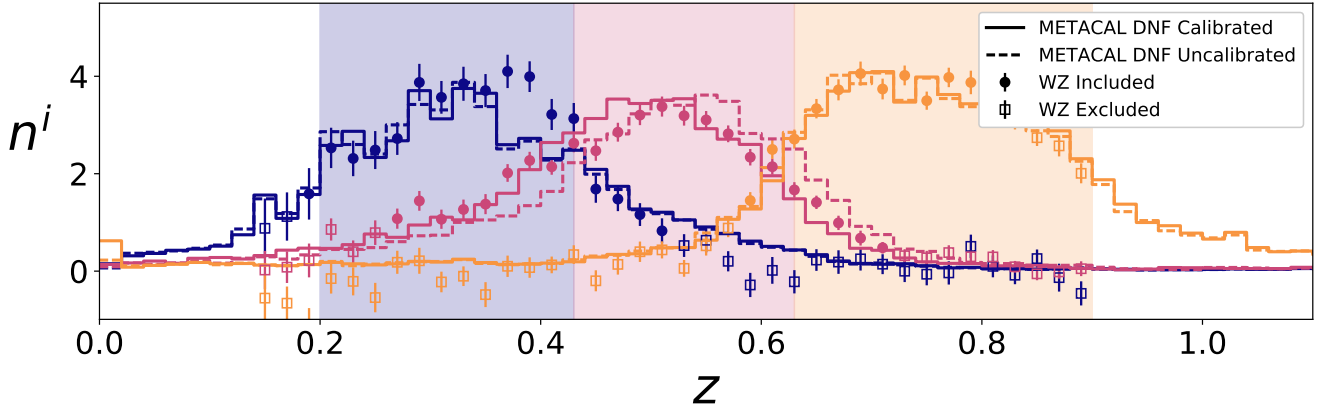
Many of the systematic error estimates made in G17 can be verified on data. In this section, we check four of the sources: the choice of scales, the range of clustering- $z$  signal used in the mean calibration, the bias evolution of the reference redMaGiC sample, and finally our use of weights from systematics maps in our redMaGiC galaxies and randoms.

### 5.1 Dependence on Scale

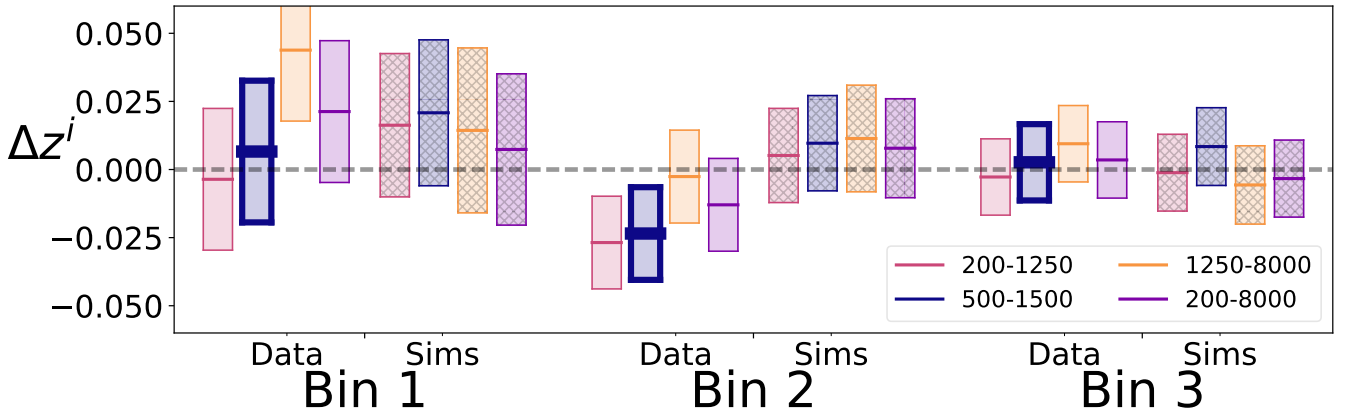
The choice of scale is an important decision in the clustering- $z$  analysis. Larger scales tend to have poor signal-to-noise, while smaller scales are more likely to suffer from non-linear bias. In G17, we found with simulations that the clustering- $z$  calibration was largely scale-independent, with a decrease

in signal-to-noise at larger scales. We expected that on data the smaller scales would become suspect because of issues like deblending which were not modeled in the simulations. Avoiding these issues lead us in G17 to decide on a fiducial range of scales of 500-1500 kpc. Variations in calibrations due to choice of scale was *not* included as a systematic error.

We are able to test the accuracy of this assumption in data by repeating our calibration over multiple scale ranges and comparing the trend with our work on simulations in G17. We caution that the  $\Delta z^i$  measured in simulations does not have to be the same as in the DES Y1 sample, as spectral energy distributions used to generate galaxies in the simulation do not precisely match the spectral energy distributions of the galaxies in our final data sample. Improved simulations will be used for future analyses, but for the purposes of this paper we only need differential measurements (*e.g.* of



**Figure 3.** The redshift distributions of the METACAL sample as measured by the uncalibrated and calibrated DNF algorithm (dashed and solid lines) and by clustering- $z$  (points). Galaxies are placed into each tomographic bin according to their mean METACAL DNF estimate (background colors). Each color corresponds to a different tomographic bin. The photo- $z$  distributions are calibrated by the clustering- $z$  points (circles) as described in Section 3.2, while the unfilled squares are excluded by the  $\pm 2\sigma$  window. The values of the mean redshift calibrations shown may be found in Table 1. Unlike Figure 1, galaxies are placed into tomographic bins based on the DNF photo- $z$  algorithm.



**Figure 4.** Dependence of calibration on projected scales used in measuring the clustering- $z$  signal in data (solid colors) and in simulations (hashed) for the three tomographic bins of the DES Y1 sample. Our fiducial choice of scales is 500-1500 kpc (bold blue bars). The other scales used are 200-1250 kpc (pink), 1250-8000 kpc (yellow), and 200-8000 kpc (purple). Results are grouped by tomographic bin, with results on data on the left with solid bars and with results on simulations on the right with hashed bars. Errors are statistical and systematic added in quadrature. Because the redshift distributions differ between data and simulation, calibrated  $\Delta z^i$  are different. The larger scales have appreciably different calibration  $\Delta z^i$  than the other scale choices.

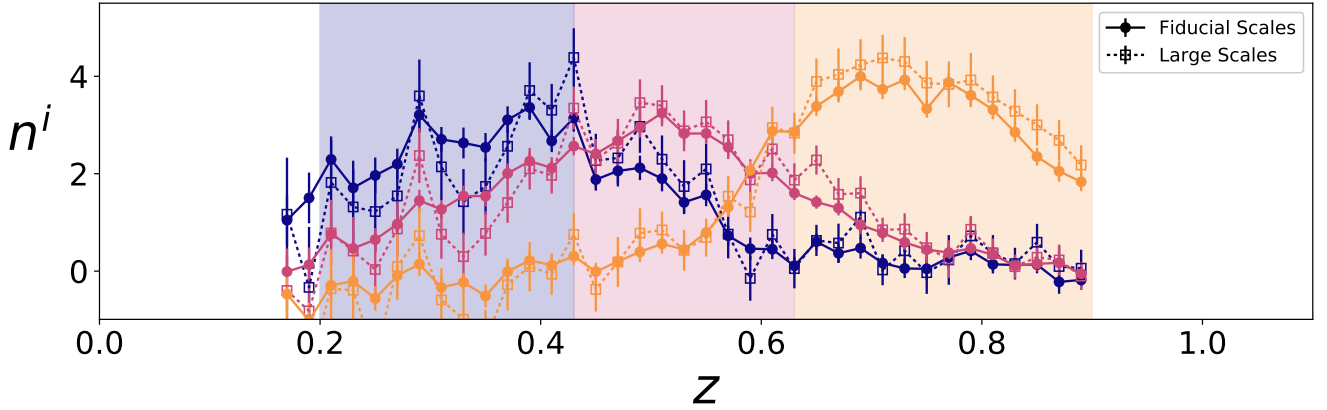
how the mean redshift shifts with different ranges of scales used), and therefore the current simulations are adequate. In Figure 4 we show the value of the redshift calibration,  $\Delta z^i$ , as a function of the range of scales used in the analysis. For each redshift bin we show a range of scales probed: 200-1250 kpc, 500-1500 kpc, 1250-8000 kpc, 200-8000 kpc. The left bars are the scale variation in data, while the hatched bars on the right are the results run on simulations. We note that 500-1500 kpc is our fiducial choice of scales. We find that calibrations using only larger scales (1250-8000 kpc) shift the calibration by  $\sim 0.03$  and  $\sim 0.02$  in the first and second redshift bins. We observe that the large-scale clustering- $z$  are tilted relative to the fiducial scales. As can also be seen in Figure 5, the large-scale clustering- $z$  have stronger correla-

tions between redshift bins, and are also significantly noisier. This noisiness reinforces our decision to use 500-1500 kpc as our fiducial choice of scales.

## 5.2 Sensitivity to Choice of Redshift Window

When choosing to calibrate the redshift distributions by matching the means of the clustering- $z$  and photo- $z$  signals, we must be clear about the range of redshifts used. The clustering- $z$  measurements do not span the full range of redshift space, and low-signal tails can exhibit unphysical behavior like negative redshift distributions ( $\hat{w}^{ir} < 0$ ), and unmodelled behavior from lensing magnification more strongly affect measurements of the mean redshift. We de-





**Figure 5.** Comparison of clustering- $z$  signal from fiducial scales (500-1500 kpc; solid lines) and large scales (1250-8000 kpc; dotted lines) on the DES Y1 sample. In addition to a tilt in the clustering- $z$  from large scales relative to the fiducial scales, there is noticeable correlation between the redshift bins in the large-scales clustering- $z$  which is not observed on the smaller scales. The differences observed here between the two scales correspond to the  $\sim 0.02 - 0.03$  shifts in calibrations plotted in Figure 4.

cided to evaluate the means of the redshift distributions in the space within two standard deviations about the mean of the clustering- $z$  signal. G17 characterises the uncertainty introduced by choosing two standard deviations by comparing the calibrations obtained by using 1.5 and 2.5 standard deviations. With these values, we found that we typically introduced an uncertainty of order 0.005 but in certain cases found uncertainties as high as 0.015. These uncertainties are included in our systematic error budget.

We check if this remains so in data. We illustrate the impact of different ranges of redshifts with Figure 6. Our fiducial separation,  $\bar{z}^i \pm 2\sigma^i$ , is plotted in blue, while other choices are shown in pink. We show the results from simulations on the right side. As we go to larger numbers of standard deviations, we reach our full clustering- $z$  distribution, and so the calibration levels out for some tomographic bins. The clustering- $z$  distributions in real data tend to have broader and more skewed tails than in simulations. A consequence of this is that our calibrations do exhibit a stronger dependence on redshift range than in simulations, particularly in the second tomographic bin, where we find that going from 2 standard deviations to 2.5 changes our  $\Delta z^i$  constraint by  $\sim 0.025$ . G17 also find that this tomographic bin behaves worst, finding a shift of 0.012. This is still a factor of two smaller than what we observe in data, but we caution that this second redshift bin is also the most discrepant between simulation and observation. The estimated redshift distribution in simulations is considerably narrower, and we do not find a low-redshift peak in the DES Y1 clustering- $z$  distribution. Finally, 2.5 standard deviations includes all measured clustering- $z$ , including the noisy tails that we sought to cut using the windowing procedure.

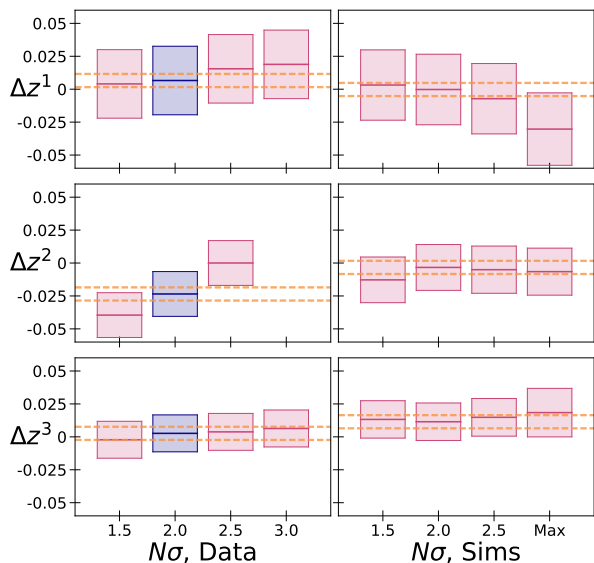
### 5.3 Sensitivity to redMaGiC Catalog and Weights

We have some freedom in the particular reference catalog we use. While we used the redMaGiC ‘higher luminosity’ sample ( $L > 1.5L_\star$ ), we also could have used the ‘high den-

sity’ ( $L > 0.5L_\star$ ) or ‘high luminosity’ ( $L > L_\star$ ) samples,<sup>4</sup> or even a combination of them. In the DES Y1 cosmology analyses, a ‘combined’ sample of redMaGiC galaxies is used as lenses, where the ‘high density’ sample is used over the redshift range  $0.15 < z < 0.6$ , the ‘high luminosity’ over  $0.6 < z < 0.75$ , and the ‘higher luminosity’ over  $0.75 < z < 0.90$  (Elvin-Poole et al. 2017; Prat et al. 2017). G17 finds that the evolution of clustering bias is a dominant systematic, mostly from the photo- $z$  algorithm systematically identifying certain types of low redshift galaxies as high redshift, or vice versa, and then selecting galaxies into tomographic bins based on that estimated redshift. For example, a galaxy whose colors might lead a photo- $z$  algorithm to assign it a moderate redshift (the algorithm measures it to be a redshifted blue galaxy), but which is actually at a low redshift (a red galaxy) has different clustering properties than a redshifted blue galaxy. By stitching different samples together, we may introduce bias evolution as we compare ‘high density’ clustering with ‘higher luminosity’. In simulations we found that the bias of the ‘combined’ and ‘higher luminosity’ samples were compatible within our errors, and that the  $\Delta z^i$  measured from one varied from the other at about the  $\sim 0.005$  level. We decided to choose the single redMaGiC ‘higher luminosity’ sample in order to minimise the potential for systematic errors from bias evolution in our reference sample. In repeating our analysis with the ‘combined’ sample, we find that  $\Delta z^i$  shifts by about no more than 0.0025.

In addition to the different redMaGiC catalogs, we also weighted our reference galaxies by the systematics in our survey. Elvin-Poole et al. (2017) derives these weights in order to remove spurious correlations with systematics like seeing and exposure time. This consideration of correlations with systematics has, to our knowledge, not been considered in clustering- $z$  studies. We can repeat our exercise without

<sup>4</sup> These samples correspond to galaxy samples with lower luminosity thresholds but higher comoving densities, which also means their maximum redshifts are lower.



**Figure 6.** Dependence of calibration on width of clustering redshift distribution considered in the calibration for the three tomographic bins in the DES Y1 sample. The blue bar corresponds to the scale used in our analysis, and the orange dashed lines are that central value  $\pm 0.005$ , the approximate size of changing  $N$  from 2 to 1.5 or 2.5 as measured in simulations (G17). The effects of changing  $N$  in simulations are shown on the right plots, and are the same values as shown in Figure 5 from G17. The ‘Max’ column shows the measurements in simulations for  $N = (3.5, 3.0, 5.0)$  for the three tomographic bins. Errors are statistics and systematics added in quadrature. When considering only a small range of the clustering- $z$  signal, skewness and shape discrepancies can bias the calibration. In contrast, considering the full redshift distributions can introduce biases from using noisy tails to perform the calibration. In the second tomographic bin for data, considering larger widths does not include any more points because we already use all points at  $N = 2.5$ . We choose to consider two standard deviations about the clustering- $z$  signal as a reasonable compromise.

the weights in the reference galaxy sample. We find that while the redshift distributions are affected at the  $\sim 10\%$  level, the means are only negligibly shifted. We keep the correction, but conclude that its impact on our calibration is minor.

## 6 COMPARISON WITH COSMOS CALIBRATION

In this Section we briefly recapitulate the COSMOS redshift distribution calibration. Interested readers should turn to Hoyle et al. (2017) for more details. We perform our COSMOS redshift distribution calibration by turning to the COSMOS2015 catalog from Laigle et al. (2016). This catalog provides photometry in 30 different bands spanning the ultraviolet to the infrared as well as probability distribution functions for the redshift of each galaxy based on this photometry from the LEPHARE template-fitting code (Arnouts et al. 1999; Ilbert et al. 2006).

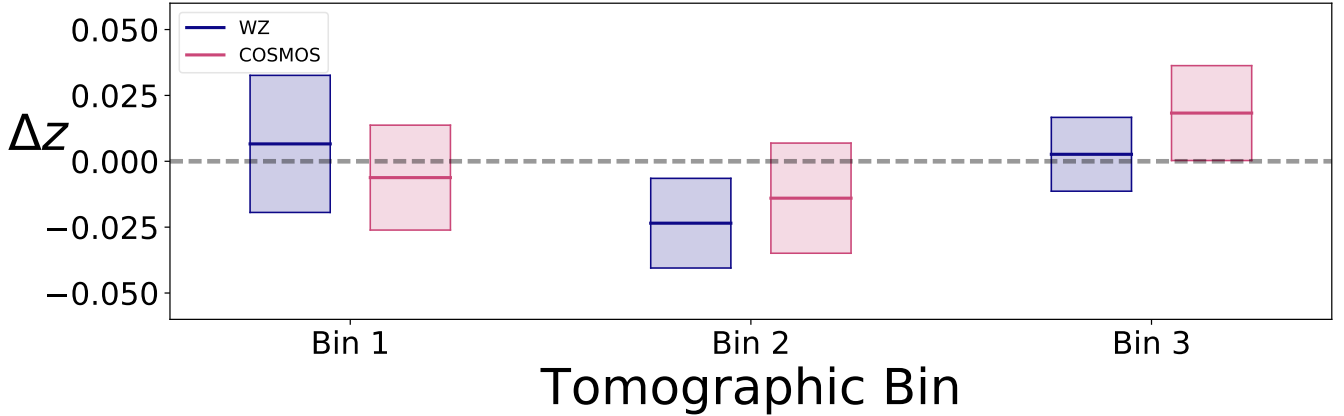
Galaxies in the COSMOS footprint are reweighted to match the color-size distribution of our source galaxy samples. We run our photo- $z$  algorithms on these galaxies, using their outputs to place galaxies into tomographic bins and to measure their redshift distributions. Because we also have the high fidelity redshifts, we can compute a shift in the mean redshift between the photo- $z$  and COSMOS redshifts. This quantity should yield the same  $\Delta z^i$  as we measure here. Like our clustering calibration, the COSMOS calibration estimates uncertainties such as cosmic variance from the small size of the COSMOS footprint with simulations.

A comparison of the calibrations for the fiducial sample can be found in Figure 7. The numerical values of several comparisons are listed in Table 2. In these plots, we show bars representing the one sigma constraints on  $\Delta z^i$  as measured by both clustering- $z$  and COSMOS in different tomographic bins. The calibrations are entirely compatible, despite being quite different procedures – an indication of the robustness of our calibration procedure.

The COSMOS and clustering- $z$  calibrations are combined in Hoyle et al. (2017) and lead to constraints on the mean redshifts of  $\sim \pm 0.015$ .

## 7 CONCLUSION

We have presented the clustering- $z$  measurements of the DES Y1 source galaxy sample. Using these measurements, we calibrated the mean redshifts of binned source galaxies to the  $\sim \pm 0.020$  level. When combined with COSMOS calibrations, we measure the mean redshifts to  $\sim \pm 0.015$  (Hoyle et al. 2017). These uncertainties are a significant but subdominant contribution to the error budget of the DES Y1 cosmological parameter measurements. Analysis of the DES three-year (Y3) data is underway. At almost four times the area, greater depth, and more sophisticated calibration techniques, we expect a larger than twofold decrease in our statistical uncertainties over our current Y1 efforts. Unless our redshift distribution measurements improve accordingly, uncertainties in them may become a major source of uncertainty in DES Y3 and other future imaging surveys. It may no longer be sufficient to calibrate the mean redshifts, and we may need to consider the width and skewness, if not more sophisticated parameterisations of the redshift distribution. Clustering redshifts may need improvement as well. redMaGiC does not extend to high enough redshift, and so additional reference sources may need to be incorporated. The effectiveness of clustering- $z$  for calibrating redshift distributions is severely diminished by both the evolution of bias within a tomographic bin and also the width of that bin. Both can be mitigated by using clustering- $z$  and photo- $z$  properties to create galaxy samples with tight tomographic bins. Clustering redshifts have an already significant part to play in mitigating redshift systematics. We expect clustering redshifts, and particularly their calibration of redshift distributions, will remain a critical area of research in the coming years.



**Figure 7.** Comparison of  $\Delta z^i$  obtained from clustering- $z$ , COSMOS, and the combined calibrations for the DES Y1 sample. The values may also be found in Table 2. The clustering- $z$  measurements do not extend beyond  $z = 0.9$ , and so a clustering- $z$  calibration of the last tomographic bin is not performed. Despite using very different methods, the two modes of calibration agree remarkably well.

Shape Catalog	Photo- $z$	$z_{\min}$	$z_{\max}$	Clustering- $z$ Correction	COSMOS Correction
METACAL	METACAL/MOF BPZ	0.20	0.43	$+0.007 \pm 0.026$	$-0.006 \pm 0.020$
		0.43	0.63	$-0.023 \pm 0.017$	$-0.014 \pm 0.021$
		0.63	0.90	$+0.003 \pm 0.014$	$+0.018 \pm 0.018$
		0.90	1.30	-	$-0.018 \pm 0.022$
IM3SHAPE	MOF BPZ	0.20	0.43	$+0.008 \pm 0.026$	$+0.001 \pm 0.020$
		0.43	0.63	$-0.031 \pm 0.017$	$-0.014 \pm 0.021$
		0.63	0.90	$-0.010 \pm 0.014$	$+0.008 \pm 0.018$
		0.90	1.30	-	$-0.057 \pm 0.022$
METACAL	METACAL DNF	0.20	0.43	$+0.003 \pm 0.014$	$-0.024 \pm 0.017$
		0.43	0.63	$-0.037 \pm 0.014$	$-0.042 \pm 0.021$
		0.63	0.90	$+0.005 \pm 0.019$	$+0.006 \pm 0.021$
		0.90	1.30	-	$+0.037 \pm 0.020$

**Table 2.** Table of redshift distribution calibrations from clustering- $z$  and COSMOS methods, ordered by shape catalog, photo- $z$  used in tomographic binning, and redshift range. Results for the fiducial sample are displayed in Figure 7. Results for different photo- $z$  algorithms run on the same data are shown in Table 1. The clustering- $z$  measurements do not extend beyond  $z = 0.9$ , and so a clustering- $z$  calibration of the last tomographic bin is not performed. Calibrations are consistent between the two methods.

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## AFFILIATIONS

- <sup>1</sup> Kavli Institute for Particle Astrophysics & Cosmology, P. O. Box 2450, Stanford University, Stanford, CA 94305, USA
- <sup>2</sup> Institut de Física d'Altes Energies (IFAE), The Barcelona Institute of Science and Technology, Campus UAB, 08193 Bellaterra (Barcelona) Spain
- <sup>3</sup> Kavli Institute for Cosmological Physics, University of Chicago, Chicago, IL 60637, USA
- <sup>4</sup> Department of Physics, University of Arizona, Tucson, AZ 85721, USA
- <sup>5</sup> Institute of Space Sciences, IEEC-CSIC, Campus UAB, Carrer de Can Magrans, s/n, 08193 Barcelona, Spain
- <sup>6</sup> Department of Physics and Astronomy, University of Pennsylvania, Philadelphia, PA 19104, USA
- <sup>7</sup> Laboratório Interinstitucional de e-Astronomia - LIneA, Rua Gal. José Cristino 77, Rio de Janeiro, RJ - 20921-400, Brazil
- <sup>8</sup> Observatório Nacional, Rua Gal. José Cristino 77, Rio de Janeiro, RJ - 20921-400, Brazil
- <sup>9</sup> ARC Centre of Excellence for All-sky Astrophysics (CAASTRO)
- <sup>10</sup> School of Mathematics and Physics, University of Queensland, Brisbane, QLD 4072, Australia
- <sup>11</sup> Centro de Investigaciones Energéticas, Medioambientales y Tecnológicas (CIEMAT), Madrid, Spain
- <sup>12</sup> Department of Physics, Stanford University, 382 Via Pueblo Mall, Stanford, CA 94305, USA
- <sup>13</sup> Fermi National Accelerator Laboratory, P. O. Box 500, Batavia, IL 60510, USA
- <sup>14</sup> Jodrell Bank Center for Astrophysics, School of Physics and Astronomy, University of Manchester, Oxford Road, Manchester, M13 9PL, UK
- <sup>15</sup> SLAC National Accelerator Laboratory, Menlo Park, CA 94025, USA
- <sup>16</sup> Department of Physics & Astronomy, University College London, Gower Street, London, WC1E 6BT, UK

<sup>17</sup> Department of Physics, ETH Zurich, Wolfgang-Pauli-Strasse 16, CH-8093 Zurich, Switzerland

<sup>18</sup> Universitäts-Sternwarte, Fakultät für Physik, Ludwig-Maximilians Universität München, Scheinerstr. 1, 81679 München, Germany

<sup>19</sup> Institució Catalana de Recerca i Estudis Avançats, E-08010 Barcelona, Spain

<sup>20</sup> Center for Cosmology and Astro-Particle Physics, The Ohio State University, Columbus, OH 43210, USA

<sup>21</sup> Department of Physics, The Ohio State University, Columbus, OH 43210, USA

<sup>22</sup> Cerro Tololo Inter-American Observatory, National Optical Astronomy Observatory, Casilla 603, La Serena, Chile

<sup>23</sup> Department of Physics and Electronics, Rhodes University, PO Box 94, Grahamstown, 6140, South Africa

<sup>24</sup> LSST, 933 North Cherry Avenue, Tucson, AZ 85721, USA

<sup>25</sup> CNRS, UMR 7095, Institut d’Astrophysique de Paris, F-75014, Paris, France

<sup>26</sup> Sorbonne Universités, UPMC Univ Paris 06, UMR 7095, Institut d’Astrophysique de Paris, F-75014, Paris, France

<sup>27</sup> Department of Astronomy, University of Illinois, 1002 W. Green Street, Urbana, IL 61801, USA

<sup>28</sup> National Center for Supercomputing Applications, 1205 West Clark St., Urbana, IL 61801, USA

<sup>29</sup> Department of Physics, IIT Hyderabad, Kandi, Telangana 502285, India

<sup>30</sup> Department of Physics, California Institute of Technology, Pasadena, CA 91125, USA

<sup>31</sup> Jet Propulsion Laboratory, California Institute of Technology, 4800 Oak Grove Dr., Pasadena, CA 91109, USA

<sup>32</sup> Instituto de Física Teórica UAM/CSIC, Universidad Autónoma de Madrid, 28049 Madrid, Spain

<sup>33</sup> Department of Astronomy, University of Michigan, Ann Arbor, MI 48109, USA

<sup>34</sup> Department of Physics, University of Michigan, Ann Arbor, MI 48109, USA

<sup>35</sup> Astronomy Department, University of Washington, Box 351580, Seattle, WA 98195, USA

<sup>36</sup> Santa Cruz Institute for Particle Physics, Santa Cruz, CA 95064, USA

<sup>37</sup> Australian Astronomical Observatory, North Ryde, NSW 2113, Australia

<sup>38</sup> Departamento de Física Matemática, Instituto de Física, Universidade de São Paulo, CP 66318, São Paulo, SP, 05314-970, Brazil

<sup>39</sup> George P. and Cynthia Woods Mitchell Institute for Fundamental Physics and Astronomy, and Department of Physics and Astronomy, Texas A&M University, College Station, TX 77843, USA

<sup>40</sup> Institute of Cosmology & Gravitation, University of Portsmouth, Portsmouth, PO1 3FX, UK

<sup>41</sup> School of Physics and Astronomy, University of Southampton, Southampton, SO17 1BJ, UK

<sup>42</sup> Instituto de Física Gleb Wataghin, Universidade Estadual de Campinas, 13083-859, Campinas, SP, Brazil

<sup>43</sup> Computer Science and Mathematics Division, Oak Ridge National Laboratory, Oak Ridge, TN 37831

<sup>44</sup> Argonne National Laboratory, 9700 South Cass Avenue, Lemont, IL 60439, USA

<sup>45</sup> Institute for Astronomy, University of Edinburgh, Edinburgh EH9 3HJ, UK

burgh EH9 3HJ, UK

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