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**Observation of the rare  $B_s^0 \rightarrow \mu^+\mu^-$  decay from the  
combined analysis of CMS and LHCb data**

The CMS and LHCb Collaborations<sup>†</sup>

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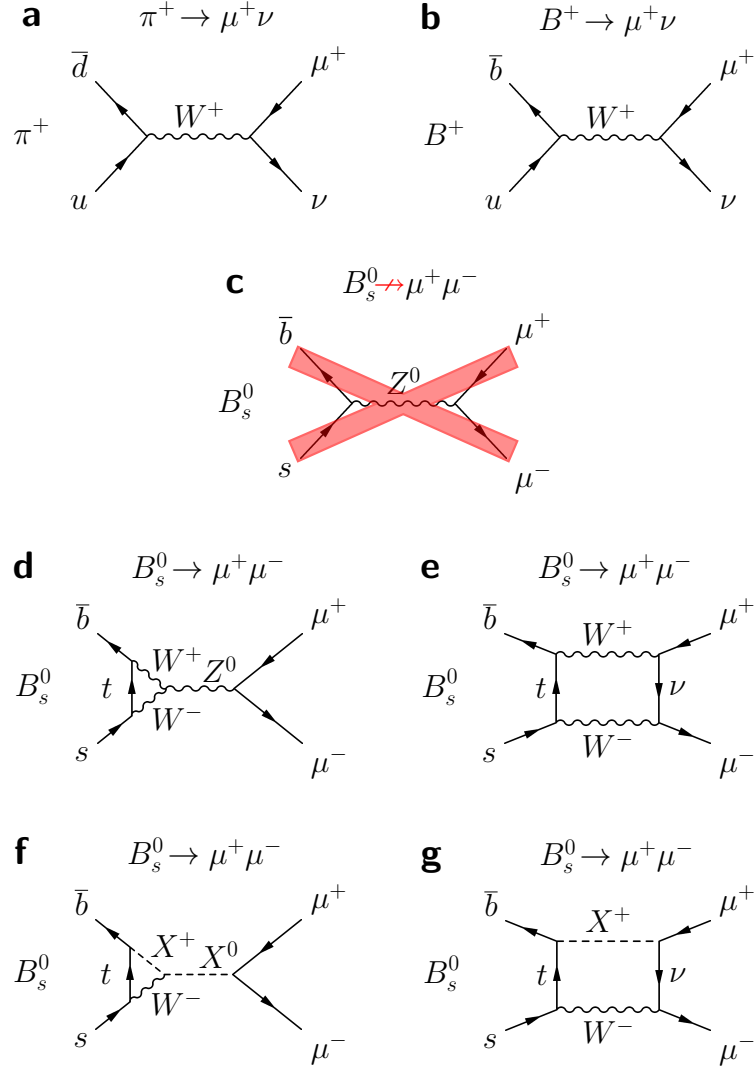
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The standard model of particle physics (SM) describes the fundamental particles and their interactions via the strong, electromagnetic, and weak forces. It provides precise predictions for measurable quantities that can be tested experimentally. The probabilities, or branching fractions, of the  $B_s^0$  and  $B^0$  mesons to decay into two oppositely charged muons are especially interesting because of their sensitivity to theories that extend the SM. The SM predicts that the  $B_s^0 \rightarrow \mu^+\mu^-$  and  $B^0 \rightarrow \mu^+\mu^-$  decays are very rare, with about four of these decays occurring for every billion  $B_s^0$  mesons produced and one decay for every 10 billion  $B^0$  mesons<sup>1</sup>. A difference in the observed branching fractions with respect to the SM predictions would provide a direction in which the SM should be extended. Prior to the start of operation of the Large Hadron Collider (LHC) at CERN<sup>2</sup>, no evidence for either decay mode had been found. Upper limits on the branching fractions were an order of magnitude above the SM predictions. This Letter presents the joint analysis of data from proton-proton collisions collected in 2011 at a centre-of-mass energy of 7 TeV and in 2012 of 8 TeV by the CMS and LHCb experiments. This analysis produces the first observation of the  $B_s^0 \rightarrow \mu^+\mu^-$  decay, with a statistical significance exceeding six standard deviations, and the best measurement of its branching fraction to date. Furthermore, a three standard deviation evidence for the  $B^0 \rightarrow \mu^+\mu^-$  decay is obtained. Both measurements are statistically compatible with SM predictions and allow stringent constraints to be placed on theories beyond the SM. The LHC experiments will resume data taking in 2015 with proton-proton collisions at a centre-of-mass energy of 13 TeV, which will approximately double the production rates for  $B_s^0$  and  $B^0$  mesons and lead to further improvements in the precision of these crucial tests of the SM.

Experimental particle physicists have been testing the predictions of the SM with increasing precision since the 1970's. Theoretical developments have kept pace by improving the accuracy of the SM predictions as the experimental results gained in precision. In the course of the past decades, the SM has passed critical tests from experiment, but it does not address some profound questions about the nature of the universe. For example, the existence of dark matter, which has been confirmed by cosmological data<sup>3</sup>, is not accommodated by the SM. It also fails to explain the origin of the asymmetry between matter and antimatter, which after the big bang led to the survival of the tiny amount of matter currently present in the universe<sup>3,4</sup>. Many theories have been proposed to modify the SM to provide solutions to these open questions.

The  $B_s^0$  and  $B^0$  mesons are unstable particles that decay via the weak interaction. The measurement of the branching fractions of the very rare decays of these mesons into a dimuon ( $\mu^+\mu^-$ ) final state is especially interesting.

At the elementary level, the weak force is composed of a “charged current” and a “neutral current” transmitted by the  $W^\pm$  and  $Z^0$  bosons, respectively. An example of the “charged current” is the decay of the  $\pi^+$  meson, which consists of an up ( $u$ ) quark of electrical charge  $+2/3$  of the charge of the proton and a down ( $d$ ) antiquark of charge



**Figure 1 | Feynman diagrams related to the  $B_s^0 \rightarrow \mu^+ \mu^-$  decay:** (a)  $\pi^+$  meson decay through charged-current process; (b)  $B^+$  meson decay through charged-current process; (c) forbidden  $B_s^0$  decay through flavour changing neutral current process; (d) and (e) higher-order flavour changing neutral current processes for the  $B_s^0 \rightarrow \mu^+ \mu^-$  decay allowed in the SM; and (f) and (g) examples of processes for the same decay in theories extending the SM, where new particles, denoted as  $X^0$  and  $X^+$ , can alter the decay rate.

+1/3. A pictorial representation of this process, known as a Feynman diagram, is shown in Fig. 1a. The  $u$  and  $d$  quarks are “first generation” or lowest mass quarks. Whenever a decay mode is specified in this Letter, the charge conjugate mode is implied.

The  $B^+$  meson is similar to the  $\pi^+$ , except that the light  $d$  antiquark is replaced by the heavy “third generation” beauty ( $b$ ) antiquark, which has a charge of +1/3 and a mass of  $\sim 5 \text{ GeV}/c^2$  (about five times the mass of a proton). The decay  $B^+ \rightarrow \mu^+ \nu$ , represented in Fig. 1b, is allowed but its branching fraction is highly suppressed because of

angular momentum considerations (helicity suppression) and because it involves transitions between quarks of different generations (CKM suppression), specifically the third and first generations of quarks. All  $b$  hadrons, including the  $B^+$ ,  $B_s^0$  and  $B^0$  mesons, decay predominantly via the transition of the  $b$  antiquark to a second generation charm ( $c$ ) antiquark, which is less CKM suppressed, in final states with charmed hadrons. Many allowed decay modes, which typically involve charmed hadrons and other particles, have angular momentum configurations that are not helicity suppressed.

The neutral  $B_s^0$  meson is similar to the  $B^+$  except that the  $u$  quark is replaced by a “second generation” strange ( $s$ ) quark of charge  $-1/3$ . The decay of the  $B_s^0$  meson to two muons, shown in Fig. 1c, is forbidden at the elementary level because the  $Z^0$  cannot couple directly to quarks of different flavours, i.e. there are no direct “flavour changing neutral currents”. However, it is possible to respect this rule and still have this decay occur through the “higher order” transitions such as those shown on Figs. 1d and 1e. These are highly suppressed because each additional vertex reduces their probability of occurring significantly. They are also helicity and CKM suppressed. Consequently, the branching fractions for the  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  decays are expected to be very small compared to the dominant  $b$  antiquark to  $c$  antiquark transitions. The corresponding decay of the  $B^0$  meson, where a  $d$  quark replaces the  $s$  quark, is even more CKM-suppressed because it requires a jump across two quark generations rather than just one.

The branching fractions of these two decays, accounting for higher-order electromagnetic and strong interaction effects, and using lattice quantum chromodynamics to compute the  $B_s^0$  and  $B^0$  meson decay constants<sup>5–7</sup>, are reliably calculated<sup>1</sup> in the SM. Their values are  $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)_{\text{SM}} = (3.66 \pm 0.23) \times 10^{-9}$  and  $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)_{\text{SM}} = (1.06 \pm 0.09) \times 10^{-10}$ .

Many theories that seek to go beyond the standard model (BSM) include new phenomena and particles<sup>8,9</sup>, such as in the diagrams shown on Figs. 1f and 1g, that can significantly modify the SM branching fractions. In particular, theories with additional Higgs bosons<sup>10,11</sup> predict possible enhancements to the branching fractions. A significant deviation of either of the two branching fraction measurements from the SM predictions would give insight on how the SM should be extended. Alternatively, a measurement compatible with the SM could provide strong constraints on BSM theories.

The ratio of the branching fractions of the two decay modes provides powerful discrimination among BSM theories<sup>12</sup>. It is predicted in the SM<sup>1,13–15</sup> to be  $\mathcal{R} \equiv \mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)_{\text{SM}} / \mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)_{\text{SM}} = 0.0295_{-0.0025}^{+0.0028}$ . Notably, BSM theories with the property of minimal flavour violation<sup>16</sup> predict the same value as the SM for this ratio.

The first evidence for the decay  $B_s^0 \rightarrow \mu^+ \mu^-$  was presented by the LHCb collaboration in 2012<sup>17</sup>. Both CMS and LHCb later published results from all data collected in proton-proton collisions at centre-of-mass energies of 7 TeV in 2011 and 8 TeV in 2012. The measurements had comparable precision and were in good agreement<sup>18,19</sup>, although neither of the individual results had sufficient precision to constitute the first definitive observation of the  $B_s^0$  decay to two muons.

In this Letter, the two sets of data are combined and analysed simultaneously to exploit fully the statistical power of the data and to account for the main correlations between

them. The data correspond to total integrated luminosities of  $25 \text{ fb}^{-1}$  and  $3 \text{ fb}^{-1}$  for the CMS and LHCb experiments, respectively, equivalent to a total of approximately  $10^{12}$   $B_s^0$  and  $B^0$  mesons produced in the two experiments together. Assuming the branching fractions given by the SM and accounting for the detection efficiencies, the predicted numbers of decays to be observed in the two experiments together are about hundred for  $B_s^0 \rightarrow \mu^+ \mu^-$  and ten for  $B^0 \rightarrow \mu^+ \mu^-$ .

The CMS<sup>20</sup> and LHCb<sup>21</sup> detectors are designed to measure SM phenomena with high precision and search for possible deviations. The two collaborations use different and complementary strategies. In addition to performing a broad range of precision tests of the SM and studying the newly-discovered Higgs boson<sup>22</sup>, CMS is designed to search for and study new particles with masses from about  $100 \text{ GeV}/c^2$  to a few  $\text{TeV}/c^2$ . Since many of these new particles would be able to decay into  $b$  quarks and many of the SM measurements also involve  $b$  quarks, the detection of  $b$ -hadron decays was a key element in the design of CMS. The LHCb collaboration has optimised its detector to study  $CP$  violation and rare decays of particles containing  $b$  quarks, aiming to detect BSM effects by measuring  $b$ -hadron decay properties that deviate from precise SM predictions. These different approaches, reflected in the design of the detectors, lead to the instrumentation of complementary angular regions with respect to the LHC beams, to the operation at different proton-proton collision rates, and to the selection of  $b$  quark events with different efficiency (for experimental details, see Methods). In general, CMS operates at a higher instantaneous luminosity than LHCb but has a lower efficiency for triggering on and reconstructing low-mass particles, resulting in a similar sensitivity to LHCb for  $B_{(s)}^0$  mesons decaying into two muons.

The experiments follow similar data analysis strategies. Decays compatible with  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  (candidate decays) are found by combining the reconstructed trajectories (tracks) of oppositely charged particles identified as muons. The separation between genuine  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  decays and random combinations of two muons (combinatorial background), is achieved using the dimuon invariant mass,  $m_{\mu^+ \mu^-}$ , and the established characteristics of  $B_{(s)}^0$ -meson decays. For example, because of their lifetimes of about 1.5 ps and their production at the LHC with momenta between a few  $\text{GeV}/c$  and  $\sim 100 \text{ GeV}/c$ ,  $B_{(s)}^0$  mesons travel up to a few centimetres before they decay. Therefore, the  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  “decay vertex”, from which the muons originate, is required to be displaced with respect to the “production vertex”, the space-point of the collision of the two protons. Furthermore, the negative of the  $B_{(s)}^0$  candidate’s momentum vector is required to point back to the production vertex.

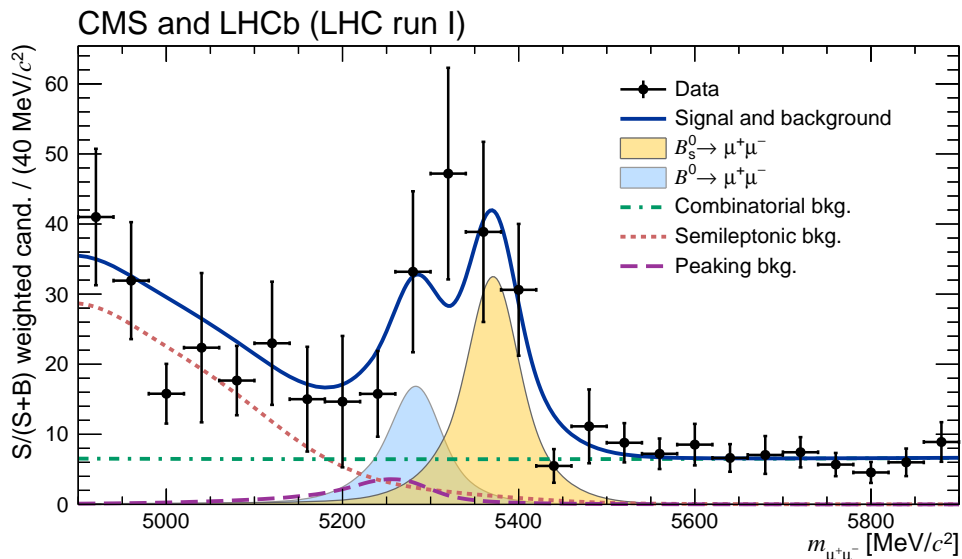
These criteria, amongst others that have some ability to distinguish known signal events from background events, are combined into boosted decision trees (BDT)<sup>23–25</sup>. A BDT is an ensemble of decision trees each placing different selection requirements on the individual variables to achieve the best discrimination between “signal-like” and “background-like” events. Both experiments evaluated many variables for their discriminating power and each chose the best set of about ten to be used in its respective BDT. These include variables related to the quality of the reconstructed tracks of the muons; kinematic variables such as transverse momentum (with respect to the beam axis) of the

individual muons and of the  $B_{(s)}^0$  candidate; variables related to the decay vertex topology and fit quality, such as candidate decay length; and isolation variables, which measure the activity in terms of other particles in the vicinity of the two muons or their displaced vertex. A BDT must be “trained” on collections of known background and signal events to generate the selection requirements on the variables and the weights for each tree. In the case of CMS, the background events used in the training are taken from intervals of dimuon mass above and below the signal region in data, while simulated events are used for the signal. LHCb uses simulated events for background and signal in the training of its BDT. After training, the relevant BDT is applied to each event in the data, returning a single value for the event, with high values being more signal-like.

In addition to the combinatorial background, specific  $b$ -hadron decays, such as  $B^0 \rightarrow \pi^- \mu^+ \nu$  where the neutrino cannot be detected and the charged pion is misidentified as a muon, or  $B^0 \rightarrow \pi^0 \mu^+ \mu^-$ , where the neutral pion in the decay is not reconstructed, can mimic the dimuon decay of the  $B_{(s)}^0$  mesons. The invariant mass of the reconstructed dimuon candidate for these processes (semileptonic background) is usually smaller than the mass of the  $B_s^0$  or  $B^0$  meson because the neutrino or another particle is not detected. There is also a background component from hadronic two-body  $B_{(s)}^0$  decays (peaking background) as  $B^0 \rightarrow K^+ \pi^-$ , when both hadrons from the decay are misidentified as muons. These misidentified decays can produce peaks in the dimuon invariant-mass spectrum near the expected signal, especially for the  $B^0 \rightarrow \mu^+ \mu^-$  decay. Particle identification algorithms are used to minimise the probability that pions and kaons are misidentified as muons, and thus suppress these background sources. Excellent mass resolution is mandatory for distinguishing between  $B^0$  and  $B_s^0$  mesons with a mass difference of about  $87 \text{ MeV}/c^2$  and for separating them from backgrounds. The mass resolution for  $B_s^0 \rightarrow \mu^+ \mu^-$  decays in CMS ranges from  $32$  to  $75 \text{ MeV}/c^2$ , depending on the direction of the muons relative to the beam axis, while LHCb achieves a uniform mass resolution of about  $25 \text{ MeV}/c^2$ .

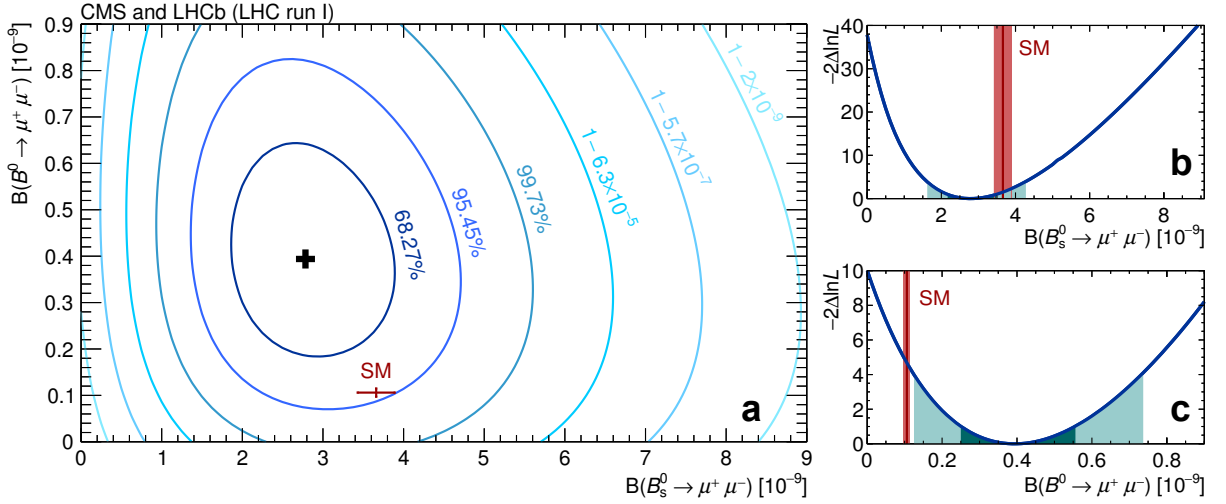
The CMS and LHCb data are combined by fitting a common value for each branching fraction to the data from both experiments. The branching fractions are determined from the observed numbers, efficiency-corrected, of  $B_{(s)}^0$  mesons that decay into two muons and the total numbers of  $B_{(s)}^0$  mesons produced. Both experiments derive the latter from the number of observed  $B^+ \rightarrow J/\psi K^+$  candidates, a decay whose branching fraction has been precisely measured elsewhere<sup>14</sup>. Assuming equal rates for  $B^+$  and  $B^0$  production, this gives the normalisation for  $B^0 \rightarrow \mu^+ \mu^-$ . To derive the number of  $B_s^0$  mesons from this  $B^+$  decay mode, the ratio of  $b$  quarks that form (hadronise into)  $B^+$  mesons to those that form  $B_s^0$  mesons is also needed. Measurements of this ratio<sup>26,27</sup> and of the branching fraction  $\mathcal{B}(B^+ \rightarrow J/\psi K^+)$  are used to normalise both sets of data and are constrained within Gaussian uncertainties in the fit. The use of these two results by both CMS and LHCb is the only significant source of correlation between their individual branching fraction measurements. The combined fit takes advantage of the larger data sample to increase the precision while properly accounting for the correlation.

In the simultaneous fit to both the CMS and LHCb data, the branching fractions of the two signal channels are common parameters of interest and are free to vary. Other



**Figure 2 | Weighted distribution of the dimuon invariant mass for all categories.** Superimposed on the data points in black are the combined fit (solid blue) and its components: the  $B_s^0$  (yellow shaded) and  $B^0$  (light-blue shaded) signal components; the combinatorial background (dash-dotted green); the sum of the semileptonic backgrounds (dotted salmon); and the peaking backgrounds (dashed violet).

parameters in the fit are considered as nuisance parameters. Those for which additional knowledge is available are constrained to be near their estimated values by using Gaussian penalties with their estimated uncertainties while the others are free to float in the fit. The ratio of the hadronisation probability into  $B^+$  and  $B_s^0$  mesons and the branching fraction of the normalisation channel  $B^+ \rightarrow J/\psi K^+$  are common, constrained parameters. Candidate decays are categorised according to whether they were detected in CMS or LHCb and to the value of the relevant BDT discriminant. In the case of CMS, they are further categorised according to the data-taking period, and, because of the large variation in mass resolution with angle, whether the muons are both produced at large angles relative to the proton beams (central-region) or at least one muon is emitted at small angle relative to the beams (forward-region). An unbinned extended maximum likelihood fit to the dimuon invariant-mass distribution, in a region of about  $\pm 500$  MeV/ $c^2$  around the  $B_s^0$  mass, is performed simultaneously in all categories (twelve categories from CMS and eight from LHCb). Likelihood contours in the plane of the parameters of interest,  $\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)$  versus  $\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$ , are obtained by constructing the test-statistic  $-2\Delta\ln L$  from the difference in log-likelihood values between fits with fixed values for the parameters of interest and the nominal fit. For each of the two branching fractions, a one-dimensional profile likelihood scan is likewise obtained by fixing only the single parameter of interest and allowing the other to vary during the fits. Additional fits are performed where the parameters under consideration are the ratio of the branching fractions relative to their SM predictions,  $\mathcal{S}_{\text{SM}}^{B(s)} \equiv \mathcal{B}(B_{(s)}^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_{(s)}^0 \rightarrow \mu^+\mu^-)_{\text{SM}}$ , or the ratio  $\mathcal{R}$  of



**Figure 3 | Likelihood contours in the  $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$  versus  $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$  plane.** The (black) cross on panel (a) marks the best-fit central value. The SM expectation and its uncertainty is shown as the (red) marker. Each contour encloses a region approximately corresponding to the reported confidence level. Variations of the test statistic  $-2\Delta\ln L$  for  $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$  and  $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$  are shown on panels (b) and (c), respectively. The dark and light (cyan) areas define the  $\pm 1\sigma$  and  $\pm 2\sigma$  confidence intervals for the branching fraction, respectively. The SM prediction and its uncertainty for each branching fraction is denoted with the vertical (red) band.

the two branching fractions.

The combined fit result is shown for all 20 categories in Extended Data Fig. 1. To represent the result of the fit in a single dimuon invariant mass spectrum, the mass distributions of all categories, weighted according to values of  $S/(S+B)$ , where  $S$  is the expected number of  $B_s^0$  signal and  $B$  is the number of background events under the  $B_s^0$  peak in that category, are added together and shown in Fig. 2. The result of the simultaneous fit is overlaid. An alternative representation of the fit to the dimuon invariant mass distribution for the six categories with the highest  $S/(S+B)$  value for CMS and LHCb, as well as displays of events with high probability to be genuine signal decays, are shown in the Extended Data Figs. 2–4.

The combined fit leads to the measurements

$$\begin{aligned}\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) &= (2.8_{-0.6}^{+0.7}) \times 10^{-9} \text{ and} \\ \mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) &= (3.9_{-1.4}^{+1.6}) \times 10^{-10},\end{aligned}$$

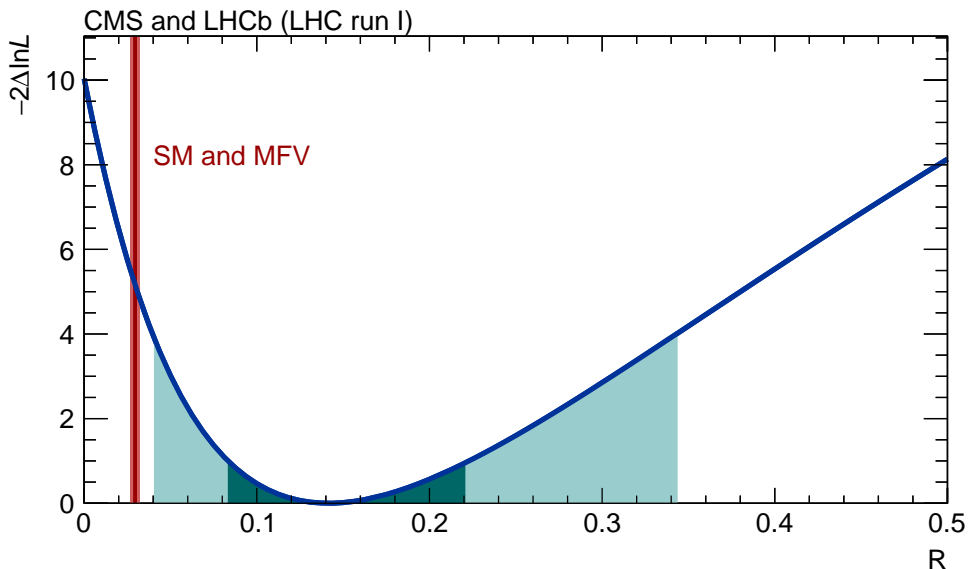
where the uncertainties include both statistical and systematic sources, the latter contributing 35% and 18% of the total uncertainty for the  $B_s^0$  and  $B^0$  signals, respectively. Using Wilks' theorem<sup>28</sup>, the statistical significance in unit of standard deviations,  $\sigma$ , is computed to be 6.2 for the  $B_s^0 \rightarrow \mu^+ \mu^-$  decay mode and 3.2 for the  $B^0 \rightarrow \mu^+ \mu^-$  mode. For each signal the null hypothesis that is used to compute the significance includes all background components predicted by the SM as well as the other signal, whose branching



fraction is allowed to vary freely. The median expected significances assuming the SM branching fractions are  $7.4\sigma$  and  $0.8\sigma$  for the  $B_s^0$  and  $B^0$  modes, respectively. Likelihood contours for  $\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$  versus  $\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)$  are shown in Fig. 3. One-dimensional likelihood scans for both decay modes are displayed in the same figure. In addition to the likelihood scan, the statistical significance and confidence intervals for the  $B^0$  branching fractions are determined using simulated experiments. This determination yields a significance of  $3.0\sigma$  for a  $B^0$  signal with respect to the same null hypothesis described above. Following the Feldman–Cousins<sup>29</sup> procedure,  $\pm 1\sigma$  and  $\pm 2\sigma$  confidence intervals for  $\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)$  of  $[2.5, 5.6] \times 10^{-10}$  and  $[1.4, 7.4] \times 10^{-10}$  are obtained, respectively (see Extended Data Fig. 5).

The fit for the ratios of the branching fractions relative to their SM predictions yields  $\mathcal{S}_{\text{SM}}^{B_s^0} = 0.76_{-0.18}^{+0.20}$  and  $\mathcal{S}_{\text{SM}}^{B^0} = 3.7_{-1.4}^{+1.6}$ . Associated likelihood contours and one-dimensional likelihood scans are shown in the Extended Data Fig. 6. The measurements are compatible with the SM branching fractions of the  $B_s^0 \rightarrow \mu^+\mu^-$  and  $B^0 \rightarrow \mu^+\mu^-$  decays at the  $1.2\sigma$  and  $2.2\sigma$  level, respectively, when computed from the one-dimensional hypothesis tests. Finally, the fit for the ratio of branching fractions yields  $\mathcal{R} = 0.14_{-0.06}^{+0.08}$ , which is compatible with the SM at the  $2.3\sigma$  level. The one-dimensional likelihood scan for this parameter is shown in Fig. 4.

The combined analysis of data from CMS and LHCb, taking advantage of their full statistical power, establishes conclusively the existence of the  $B_s^0 \rightarrow \mu^+\mu^-$  decay and provides an improved measurement of its branching fraction. This concludes a search that



**Figure 4 | Variation of the test statistic  $-2\Delta\ln L$  as a function of the ratio of branching fractions  $\mathcal{R} \equiv \mathcal{B}(B^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$ .** The dark and light (cyan) areas define the  $\pm 1\sigma$  and  $\pm 2\sigma$  confidence intervals for  $\mathcal{R}$ , respectively. The value and uncertainty for  $\mathcal{R}$  predicted in the SM, which is the same in BSM theories with the minimal flavour violation (MFV) property, is denoted with the vertical (red) band.

started more than three decades ago<sup>30</sup>, and initiates a phase of precision measurements of the properties of this decay. It also produces  $3\sigma$  evidence for the  $B^0 \rightarrow \mu^+\mu^-$  decay. The measured branching fractions of both decays are compatible with SM predictions. This is the first time that a combined analysis of sets of data from more than one LHC experiment is performed in order to obtain a statistically significant observation.

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C. Martinez Rivero<sup>100</sup>, F. Matorras<sup>100</sup>, F.J. Munoz Sanchez<sup>100</sup>, J. Piedra Gomez<sup>100</sup>,  
T. Rodrigo<sup>100</sup>, A.Y. Rodríguez-Marrero<sup>100</sup>, A. Ruiz-Jimeno<sup>100</sup>, L. Scodellaro<sup>100</sup>, I. Vila<sup>100</sup>,  
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A. De Roeck<sup>101</sup>, S. De Visscher<sup>101</sup>, E. Di Marco<sup>101</sup>, M. Dobson<sup>101</sup>, M. Dordevic<sup>101</sup>,  
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 M. Mulhearn<sup>121</sup>, D. Pellett<sup>121</sup>, J. Pilot<sup>121</sup>, F. Ricci-Tam<sup>121</sup>, S. Shalhout<sup>121</sup>, J. Smith<sup>121</sup>,  
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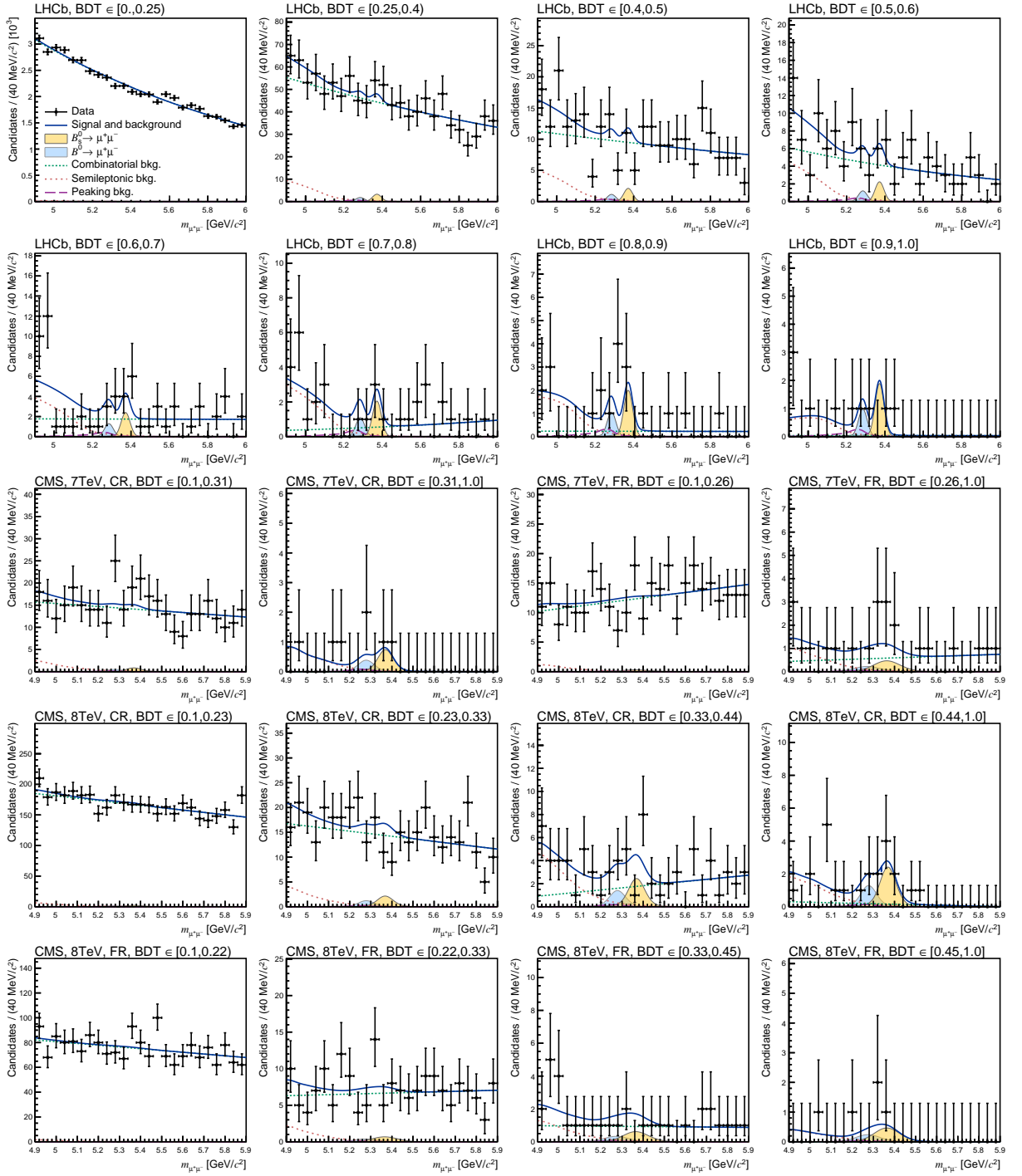
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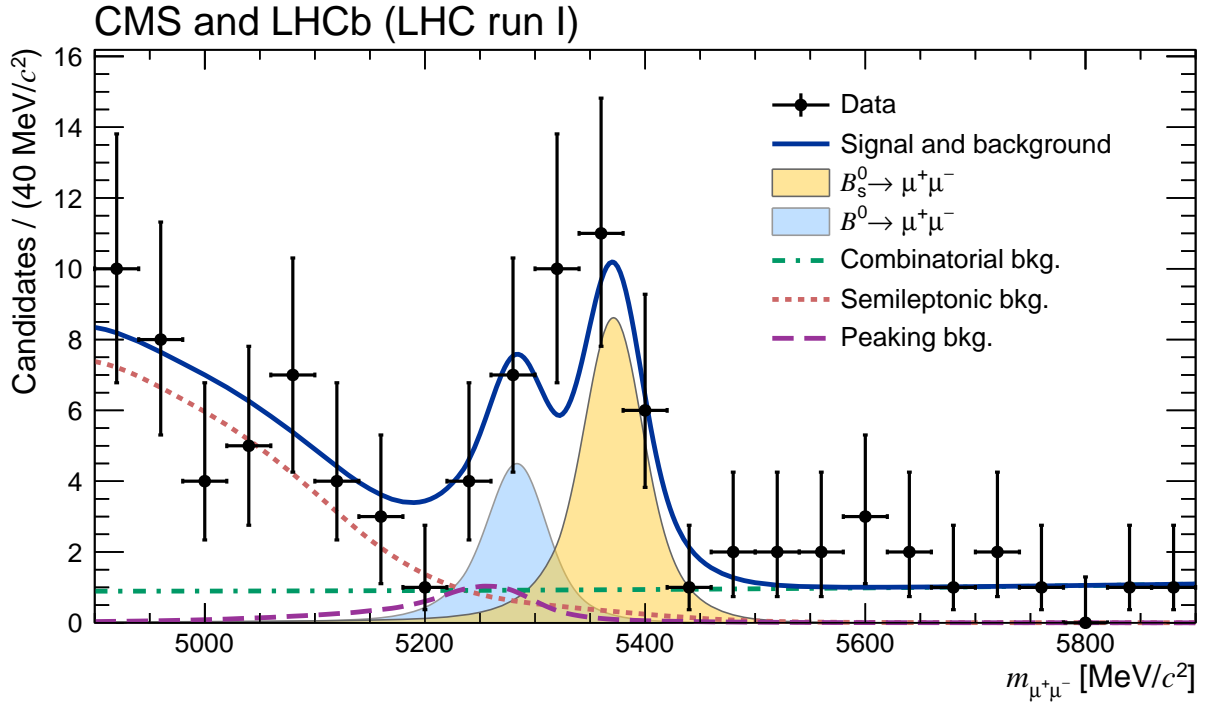


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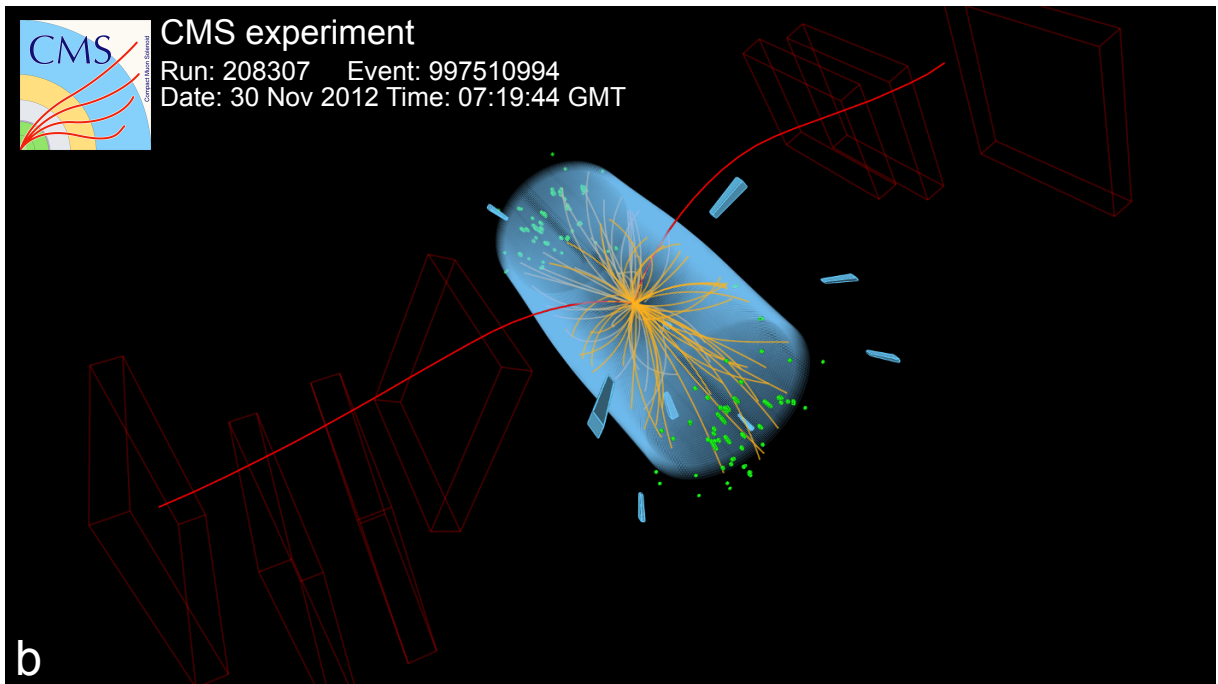
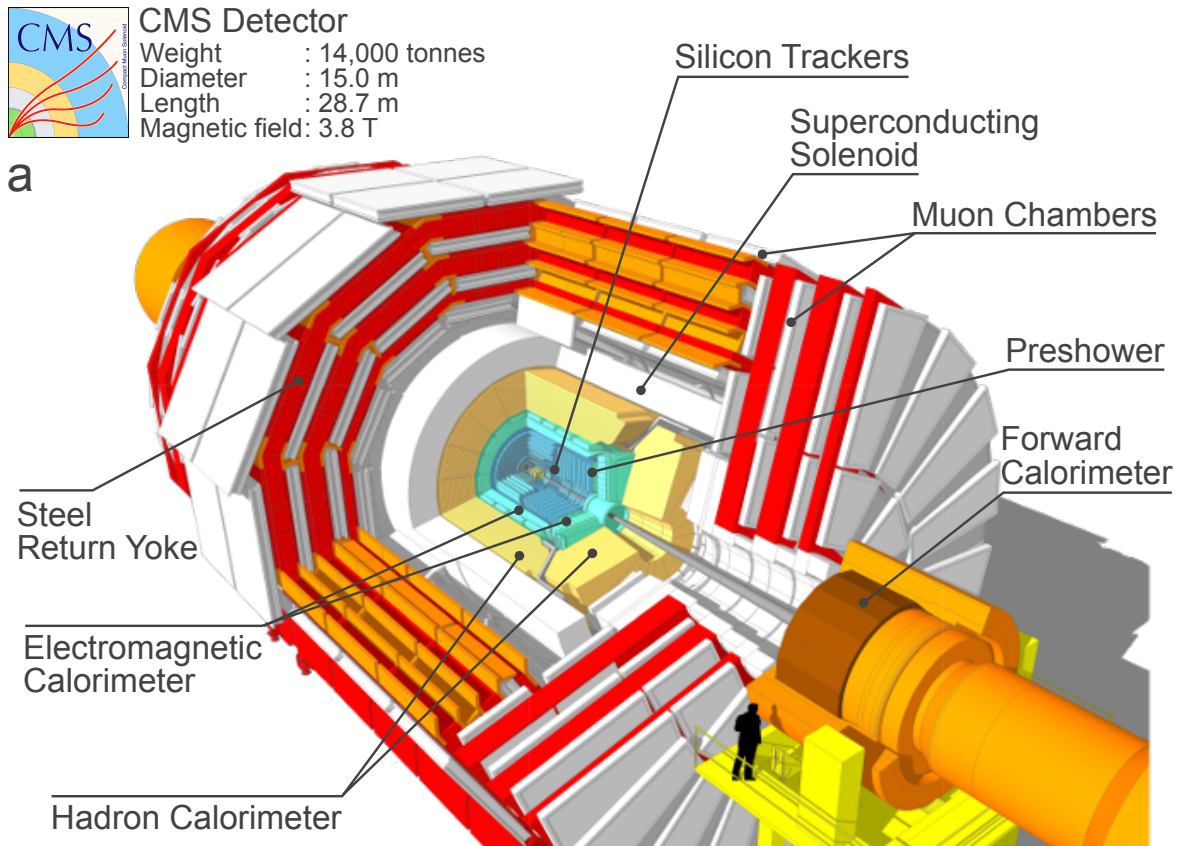
## Extended data



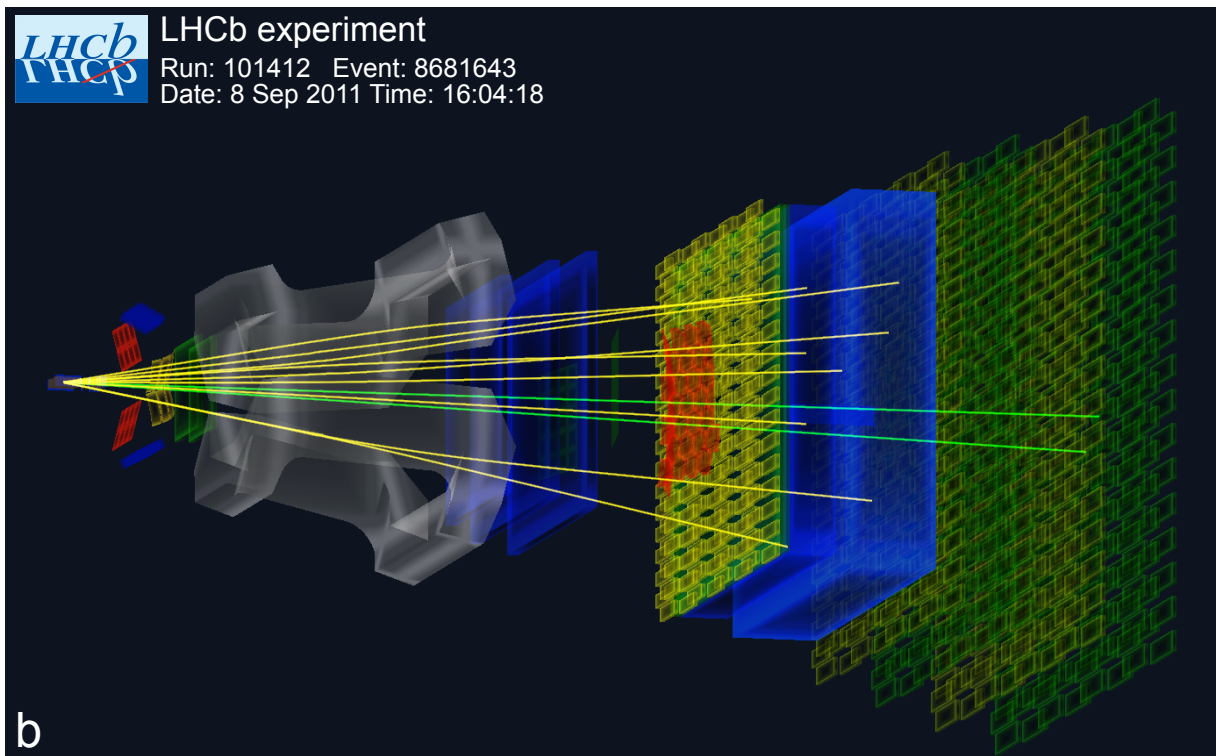
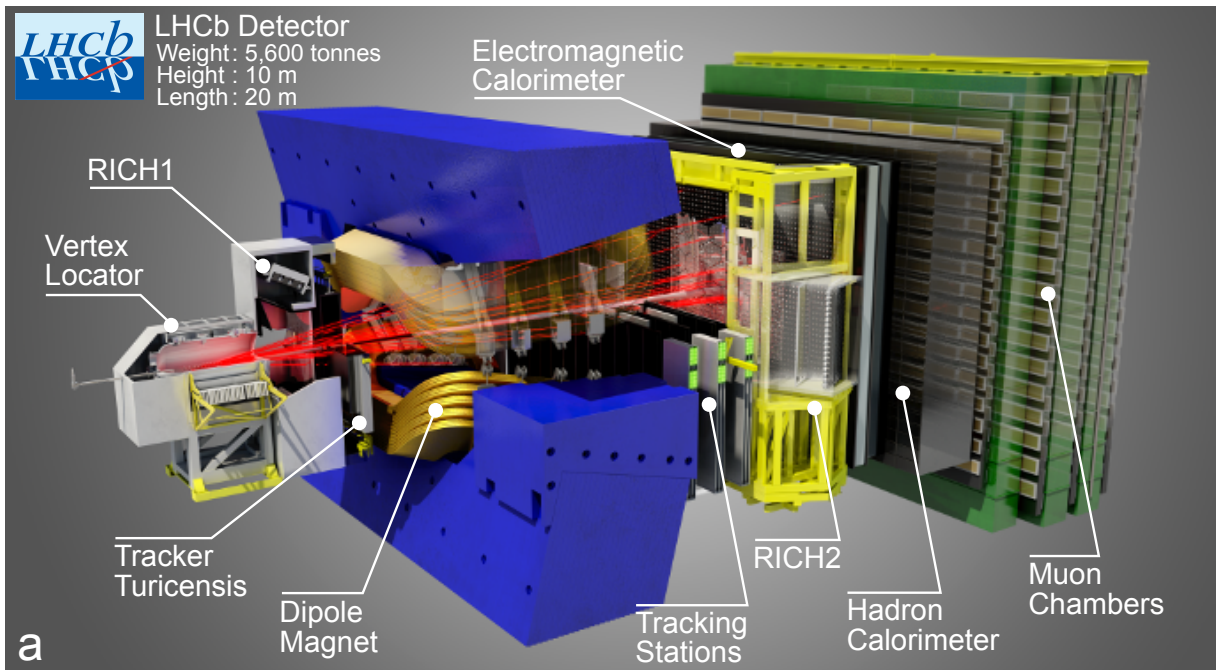
**Extended Data Figure 1 | Distribution of the dimuon invariant mass in each of the 20 categories.** Superimposed on the data points in black are the combined fit (solid blue) and its components: the  $B_s^0$  (yellow shaded) and  $B^0$  (light-blue shaded) signal components; the combinatorial background (dash-dotted green); the sum of the semileptonic backgrounds (dotted salmon); and the peaking backgrounds (dashed violet). The categories are defined by the range of BDT values for LHCb, and for CMS, by centre-of-mass energy, by the region of the detector muons are detected in, and by the range of BDT values. Categories for which both muons are detected in the central region of the CMS detector are denoted with “CR”, those for which at least one muon was detected into the forward region with “FR”.



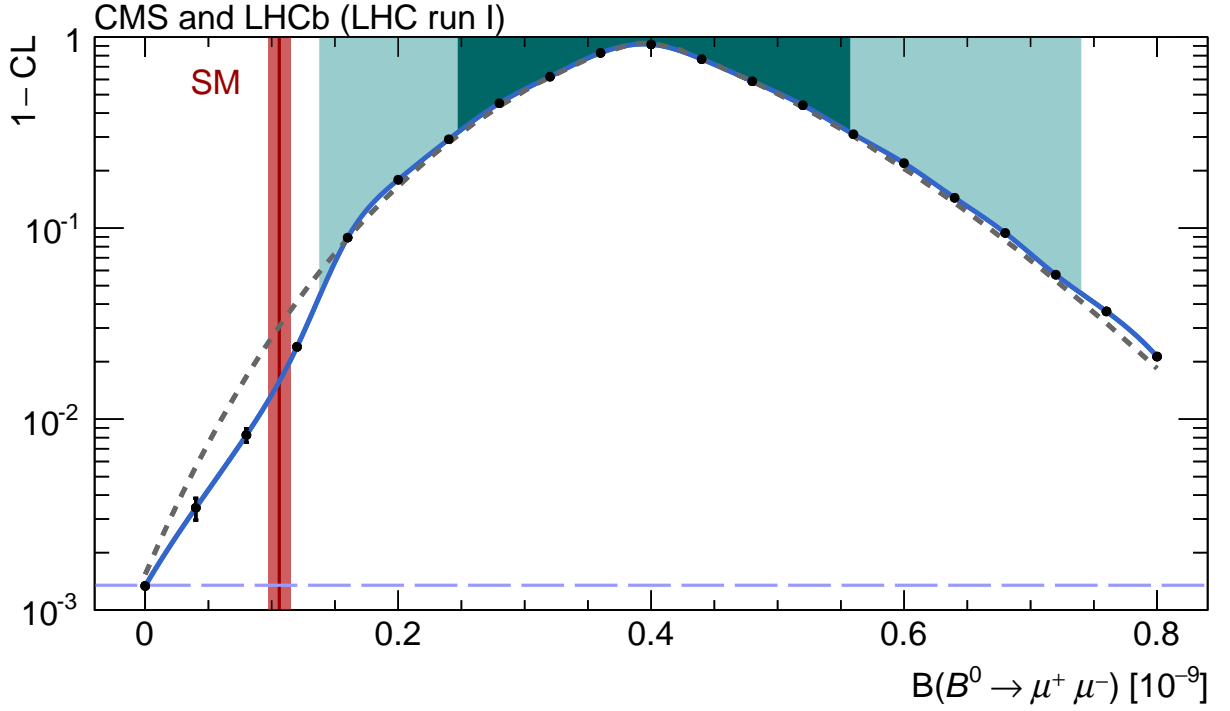
**Extended Data Figure 2 | Dimuon invariant-mass distribution for the best six categories.** Categories are ranked according to values of  $S/(S + B)$  where  $S$  and  $B$  are the numbers of signal events expected assuming the SM rates and background events under the  $B_s^0$  peak for a given category, respectively. The mass distribution for the six highest-ranking categories, three per experiment, is shown. Superimposed on the data points in black are the combined full fit (solid blue) and its components: the  $B_s^0$  (yellow shaded) and  $B^0$  (light-blue shaded) signal components; the combinatorial background (dash-dotted green); the sum of the semileptonic backgrounds (dotted salmon); and the peaking backgrounds (dashed violet).



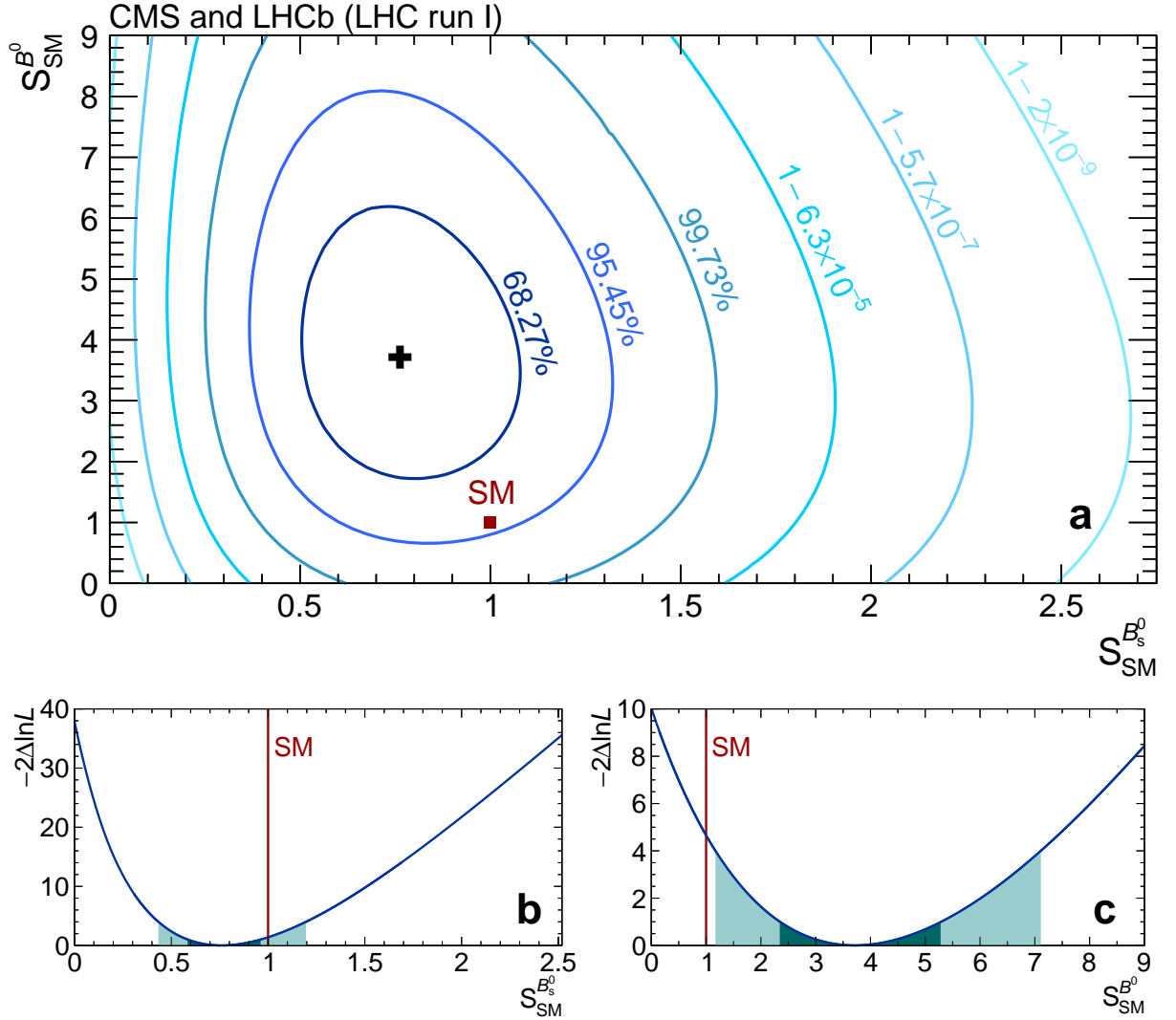
**Extended Data Figure 3 | Schematic of the CMS detector and event display for a candidate  $B_s^0 \rightarrow \mu^+ \mu^-$  decay at CMS.** The CMS detector and its components are illustrated on panel (a), Ref. 20 should be consulted for more details. A candidate  $B_s^0 \rightarrow \mu^+ \mu^-$  decay produced in proton-proton collisions at 8 TeV in 2012 and recorded in the CMS detector is shown on panel (b). The red arched curves represent the trajectories of the muons from the  $B_s^0$  decay candidate.



**Extended Data Figure 4 | Schematic of the LHCb detector and event display for a candidate  $B_s^0 \rightarrow \mu^+\mu^-$  decay at LHCb.** The LHCb detector and its components are illustrated on panel (a), Ref. 21 should be consulted for more details. A candidate  $B_s^0 \rightarrow \mu^+\mu^-$  decay produced in proton-proton collisions at 7 TeV in 2011 and recorded in the LHCb detector is shown on panel (b). The proton-proton collision occurs on the left-hand side, at the origin of the trajectories depicted with the yellow curves. The green curves represent the trajectories of the muons from the  $B_s^0$  candidate decay.



**Extended Data Figure 5 | Confidence level as a function of the  $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$  hypothesis.** Value of  $1 - \text{CL}$ , where  $\text{CL}$  is the confidence level obtained with the Feldman–Cousins procedure, as a function of  $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$  is shown in logarithmic scale. The points mark the computed  $1 - \text{CL}$  values and the curve is their spline interpolation. The dark and light (cyan) areas define the two-sided  $\pm 1\sigma$  and  $\pm 2\sigma$  confidence intervals for the branching fraction, while the dashed horizontal line defines the confidence level for the  $3\sigma$  one-sided interval. The dashed (grey) curve shows the  $1 - \text{CL}$  values computed from the one-dimensional  $-2\Delta\ln L$  test statistic using Wilks’ theorem. Deviations between these confidence level values and those from the Feldman–Cousins procedure<sup>29</sup> illustrate the degree of approximation implied by the asymptotic assumptions inherent to Wilks’ theorem<sup>28</sup>.



**Extended Data Figure 6 | Likelihood contours for the ratios of the branching fractions with respect to their SM prediction, in the  $S_{SM}^{B^0}$  versus  $S_{SM}^{B_s^0}$  plane.** On panel (a), the (black) cross marks the central value returned by the fit. The SM point is shown as the (red) square located, by construction, at  $S_{SM}^{B^0} = S_{SM}^{B_s^0} = 1$ . Each contour encloses a region approximately corresponding to the reported confidence level. The SM branching fractions are assumed uncorrelated to each other, and their uncertainties are accounted for in the likelihood contours. Variations of the test statistic  $-2\Delta\ln L$  for  $S_{SM}^{B_s^0}$  and  $S_{SM}^{B^0}$  are shown on panels (b) and (c), respectively. The SM is represented by the (red) vertical lines. The dark and light (cyan) areas define the  $\pm 1\sigma$  and  $\pm 2\sigma$  confidence intervals, respectively.



## Methods

**Experimental Setup** At the Large Hadron Collider (LHC), two counter-rotating beams of protons, contained and guided by superconducting magnets spaced around a 27 km circular tunnel, located approximately 100 m underground near Geneva, Switzerland, are brought into collision at four interaction points (IPs). The study presented in this Letter uses data collected at energies of 3.5 TeV per beam in 2011 and 4 TeV per beam in 2012 by the CMS and LHCb experiments located at two of these IPs.

The CMS and LHCb detectors are both designed to look for phenomena beyond the SM (BSM), but using complementary strategies. The CMS detector<sup>20</sup>, shown in Extended Data Fig. 3, is optimised to search for yet unknown heavy particles, with masses ranging from 100 GeV/ $c^2$  to a few TeV/ $c^2$ , which, if observed, would be a direct manifestation of BSM phenomena. Since many of the hypothesised new particles can decay into particles containing  $b$  quarks or into muons, CMS is able to detect efficiently and study  $B^0$  (5280 MeV/ $c^2$ ) and  $B_s^0$  (5367 MeV/ $c^2$ ) mesons decaying to two muons even though it is designed to search for particles with much larger masses. The CMS detector covers a very large range of angles and momenta to reconstruct high-mass states efficiently. To that extent, it employs a 13 m long, 6 m diameter superconducting solenoid magnet, operated at a field of 3.8 T, centred on the IP with its axis along the beam direction and covering both hemispheres. A series of silicon tracking layers, consisting of silicon pixel detectors near the beam and silicon strips farther out, organised in concentric cylinders around the beam, extending to a radius of 1.1 m and terminated on each end by planar detectors (disks) perpendicular to the beam, measures the momentum, angles, and position of charged particles emerging from the collisions. Tracking coverage starts from the direction perpendicular to the beam and extends to within 220 mrad from it on both sides of the IP. The inner three cylinders and disks extending from 4.3 to 10.7 cm in radius transverse to the beam are arrays of  $100 \times 150 \mu\text{m}^2$  silicon pixels, which can distinguish the displacement of the  $b$ -hadron decays from the primary vertex of the collision. The silicon strips, covering radii from 25 cm to approximately 110 cm, have pitches ranging from 80 to 183  $\mu\text{m}$ . The impact parameter is measured with a precision of 10  $\mu\text{m}$  for transverse momenta of 100 GeV/ $c$  and 20  $\mu\text{m}$  for 10 GeV/ $c$ . The momentum resolution, provided mainly by the silicon strips, changes with the angle relative to the beam direction, resulting in a mass resolution for  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  decays that varies from 32 MeV/ $c^2$  for  $B_{(s)}^0$  mesons produced perpendicularly to the proton beams to 75 MeV/ $c^2$  for those produced in the direction along the beams. After the tracking system, at a greater distance from the IP, there is an electromagnetic calorimeter, followed by a hadron calorimeter. Muons are identified by their ability to penetrate the steel return yoke of the solenoid magnet and to produce signals in gaseous particle detectors located in compartments within the steel. The CMS detector has no capability to discriminate between charged hadron species, pions, kaons, or protons, that is effective at the typical particle momenta in this analysis.

The primary goal of the LHCb collaboration is the study of particle-antiparticle asymmetries and rare decays of particles containing  $b$  and  $c$  quarks. LHCb aims at detecting BSM particles indirectly by measuring their effect on  $b$ -hadron properties for which precise

SM predictions exist. The production cross section of  $b$  hadrons at the LHC is particularly large at small angles relative to the colliding beams. The small-angle region also provides advantages for the detection and reconstruction of a wide range of their decays. The LHCb experiment<sup>21</sup>, shown in Extended Data Fig. 4, instruments the angular interval from 10 to 300 mrad with respect to the beam direction on one side of the interaction region. Its detectors are designed to reconstruct efficiently a wide range of  $b$ -hadron decays, resulting in charged pions and kaons, protons, muons, electrons, and photons in the final state. The detector includes a high-precision tracking system consisting of a silicon strip vertex detector, a large-area silicon strip detector located upstream of a dipole magnet characterised by a field integral of  $4 \text{ T} \cdot \text{m}$ , and three stations of silicon strip detectors and straw drift tubes downstream of the magnet. The vertex detector has sufficient spatial resolution to distinguish the slight displacement of the weakly decaying  $b$  hadron from the primary production vertex where the two protons collided and produced it. The tracking detectors upstream and downstream of the dipole magnet measure the momenta of charged particles. The combined tracking system provides a momentum measurement with an uncertainty that varies from 0.4% at  $5 \text{ GeV}/c$  to 0.6% at  $100 \text{ GeV}/c$ . This results in an invariant-mass resolution of  $25 \text{ MeV}/c^2$  for  $B_{(s)}^0$  mesons decaying to two muons that is nearly independent of the angle with respect to the beam. The impact parameter resolution is smaller than  $20 \mu\text{m}$  for particle tracks with large transverse momentum. Different types of charged hadrons are distinguished by information from two ring-imaging Cherenkov detectors. Photon, electron, and hadron candidates are identified by calorimeters. Muons are identified by a system composed of alternating layers of iron and multiwire proportional chambers.

Neither CMS nor LHCb records all the interactions occurring at its IP because the data storage and analysis costs would be prohibitive. Since most of the interactions are reasonably well characterised (and can be further studied by recording only a small sample of them) specific event filters (known as “triggers”) select the rare processes that are of interest to the experiments. Both CMS and LHCb implement triggers that specifically select events containing two muons. The triggers of both experiments have a hardware stage, based on information from the calorimeter and muon systems, followed by a software stage, consisting of a large computing cluster that uses all the information from the detector, including the tracking, to make the final selection of events to be recorded for subsequent analysis. Since CMS is designed to look for much heavier objects than  $B_{(s)}^0$  mesons, it selects events that contain muons with higher transverse momenta than those selected by LHCb. This eliminates many of the  $B_{(s)}^0$  decays while permitting CMS to run at a higher proton-proton collision rate to look for the more rare massive particles. Thus CMS runs at higher collision rates but with lower efficiency than LHCb for  $B_{(s)}^0$  mesons decaying to two muons. The overall sensitivity to these decays turns out to be similar in the two experiments.

**Analysis description** The analysis techniques used to obtain the results presented in this Letter are very similar to those used to obtain the individual result in each collaboration, described in more details in Refs. 18, 19. Here only the main analysis steps are

reviewed and the changes used in the combined analysis are highlighted. Data samples for this analysis were collected by the two experiments in proton-proton collisions at a centre-of-mass energy of 7 and 8 TeV during 2011 and 2012, respectively. These samples correspond to a total integrated luminosity of 25 and  $3\text{fb}^{-1}$  for the CMS and LHCb experiments, respectively, and represent their complete data sets from the first running period of the LHC.

The trigger criteria were slightly different between the two experiments. The large majority of events were triggered by requirements on one or both muons of the signal decay: the LHCb detector triggered on muons with transverse momentum  $p_T > 1.5\text{ GeV}/c$  while the CMS detector, because of its geometry and higher instantaneous luminosity, triggered on two muons with  $p_T > 4(3)\text{ GeV}/c$ , for the leading (sub-leading) muon.

The data analysis procedures in the two experiments follow similar strategies. Pairs of high-quality oppositely charged particle tracks that have one of the expected patterns of hits in the muon detectors are fitted to form a common vertex in three dimensions, which is required to be displaced from the primary interaction vertex (PV) and to have a small  $\chi^2$  in the fit. The resulting  $B_{(s)}^0$  candidate is further required to point back to the PV, e.g. to have a small impact parameter, consistent with zero, with respect to it. The final classification of data events is done in categories of the response of a multivariate discriminant (MVA) combining information from the kinematics and vertex topology of the events. The type of MVA used is a boosted decision tree (BDT)<sup>23–25</sup>. The branching fractions are then obtained by a fit to the dimuon invariant mass,  $m_{\mu^+\mu^-}$ , of all categories simultaneously.

The signals appear as peaks at the  $B_s^0$  and  $B^0$  masses in the invariant-mass distributions, observed over background events. One of the components of the background is combinatorial in nature, as it is due to the random combinations of genuine muons. These produce a smooth dimuon mass distribution in the vicinity of the  $B_s^0$  and  $B^0$  masses, estimated in the fit to the data by extrapolation from the sidebands of the invariant-mass distribution. In addition to the combinatorial background, certain specific  $b$ -hadron decays can mimic the signal or contribute to the background in its vicinity. In particular, the semileptonic decays  $B^0 \rightarrow \pi^-\mu^+\nu$ ,  $B_s^0 \rightarrow K^-\mu^+\nu$ ,  $\Lambda_b^0 \rightarrow p\mu^-\nu$ , can have reconstructed masses that are near the signal if one of the hadrons is misidentified as a muon, and is combined with a genuine muon. Similarly the dimuon coming from the rare  $B^0 \rightarrow \pi^0\mu^+\mu^-$  and  $B^+ \rightarrow \pi^+\mu^+\mu^-$  decays can also fake the signal. All these background decays, when reconstructed as a dimuon final state, have invariant masses that are lower than the masses of the  $B^0$  and  $B_s^0$  mesons, because they are missing one of the original decay particles. An exception is the decay  $\Lambda_b^0 \rightarrow p\mu^-\nu$ , which can also populate, with a smooth mass distribution, higher-mass regions. Furthermore, background due to misidentified hadronic two-body decays  $B_{(s)}^0 \rightarrow h^+h'^-$ , where  $h^{(\prime)} = \pi$  or  $K$ , is present when both hadrons are misidentified as muons. These misidentified decays produce an apparent dimuon invariant-mass peak close to the  $B^0$  mass value. Such a peak can mimic a  $B^0 \rightarrow \mu^+\mu^-$  signal and is estimated from control channels and added to the fit.

The distributions of signal in the invariant mass and in the MVA discriminant are derived from simulations with a detailed description of the detector response for CMS

and are calibrated using exclusive two-body hadronic decays in data for LHCb. The distributions for the backgrounds are obtained from simulation with the exception of the combinatorial background. This is obtained by interpolating from the data invariant-mass sidebands separately for each category, after the subtraction of the other background components.

To compute the signal branching fractions, the numbers of  $B_s^0$  and  $B^0$  mesons that are produced, as well as the numbers of those that have decayed into a dimuon pair, are needed. The latter numbers are the raw results of this analysis, whereas the former need to be determined from measurements of one or more “normalisation” decay channels, which are abundantly produced, have an absolute branching fraction that is already known with good precision, and that share characteristics with the signals, so that their trigger and selection efficiencies do not differ significantly. Both experiments use the  $B^+ \rightarrow J/\psi K^+$  decay as a normalisation channel with  $\mathcal{B}(B^+ \rightarrow J/\psi(\mu^+\mu^-)K^+) = (6.10 \pm 0.19) \times 10^{-5}$ , and LHCb also uses the  $B^0 \rightarrow K^+\pi^-$  channel with  $\mathcal{B}(B^0 \rightarrow K^+\pi^-) = (1.96 \pm 0.05) \times 10^{-5}$ . Both branching fraction values are taken from Ref. 14. Hence, the  $B_s^0 \rightarrow \mu^+\mu^-$  branching fraction is expressed as a function of the number of signal candidates ( $N_{B_s^0 \rightarrow \mu^+\mu^-}$ ) in the data normalised to the numbers of  $B^+ \rightarrow J/\psi K^+$  and  $B^0 \rightarrow K^+\pi^-$  candidates:

$$\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-) = \frac{N_{B_s^0 \rightarrow \mu^+\mu^-}}{N_{\text{norm.}}} \times \frac{f_d}{f_s} \times \frac{\varepsilon_{\text{norm.}}}{\varepsilon_{B_s^0 \rightarrow \mu^+\mu^-}} \times \mathcal{B}_{\text{norm.}} = \alpha_{\text{norm.}} \times N_{B_s^0 \rightarrow \mu^+\mu^-}, \quad (1)$$

where the “norm.” subscript refers to either of the normalisation channels. The values of the normalisation parameter  $\alpha_{\text{norm.}}$  obtained by LHCb from the two normalisation channels are found in good agreement and their weighted average is used. In this formula  $\varepsilon$  indicates the total event detection efficiency including geometrical acceptance, trigger selection, reconstruction, and analysis selection for the corresponding decay. The  $f_d/f_s$  factor is the ratio of the probabilities for a  $b$ -quark to hadronise into a  $B^0$  as compared to a  $B_s^0$  meson; the probability to hadronise into a  $B^+$  ( $f_u$ ) is assumed to be equal to that into  $B^0$  ( $f_d$ ) on the basis of theoretical grounds, and this assumption is checked on data. The value of  $f_d/f_s = 3.86 \pm 0.22$  measured by LHCb<sup>26,27,31</sup> is used in this analysis. As the value of  $f_d/f_s$  depends on the kinematic range of the considered particles, which differ between LHCb and CMS, CMS checked this observable with the decays  $B_s^0 \rightarrow J/\psi\phi$  and  $B^+ \rightarrow J/\psi K^+$  within its acceptance, finding a consistent value. An additional systematic uncertainty of 5% was assigned to  $f_d/f_s$  to account for the extrapolation of the LHCb result to the CMS acceptance. An analogous formula to that in Eq. (1) holds for the normalisation of the  $B^0 \rightarrow \mu^+\mu^-$  decay, with the notable difference that the  $f_d/f_s$  factor is replaced by  $f_d/f_u = 1$ .

The antiparticle  $\bar{B}^0$  ( $\bar{B}_s^0$ ) and the particle  $B^0$  ( $B_s^0$ ) can both decay into two muons and no attempt is made in this analysis to determine whether the antiparticle or particle was produced (untagged method). However, the  $B^0$  and  $B_s^0$  particles are known to oscillate, that is to transform continuously into their antiparticles and vice versa. Therefore, a quantum superposition of particle and antiparticle states propagates in the laboratory before decaying. This superposition can be described by two “mass eigenstates”, which are symmetric and anti-symmetric in the charge-parity (CP) quantum number, and have

slightly different masses. In the SM, the heavy eigenstate can decay into two muons, whereas the light eigenstate cannot without violating the CP quantum number conservation. In BSM models, this is not necessarily the case. In addition to their masses, the two eigenstates of the  $B_s^0$  system also differ in their lifetime values<sup>14</sup>. The lifetimes of the light and heavy eigenstates are also different from the average  $B_s^0$  lifetime, which is used by CMS and LHCb in the simulations of signal decays. Since the information on the displacement of the secondary decay with respect to the PV is used as a discriminant against combinatorial background in the analysis, the efficiency versus lifetime has a model-dependent bias<sup>32</sup> that must be removed. This bias is estimated assuming SM dynamics. Owing to the smaller difference between the lifetime of its heavy and light mass eigenstates, no correction is required for the  $B^0$  decay mode.

Small changes are made to the analysis procedure with respect to Refs. 18, 19 in order to achieve a consistent combination between the two experiments. In the LHCb analysis, the  $\Lambda_b^0 \rightarrow p\mu^-\nu$  background component, which was not included in the fit for the previous result but whose effect was accounted for as an additional systematic uncertainty, is now included in the standard fit. The following modifications are made to the CMS analysis: the  $\Lambda_b^0 \rightarrow p\mu^-\nu$  branching fraction is updated to a more recent prediction<sup>33,34</sup> of  $\mathcal{B}(\Lambda_b^0 \rightarrow p\mu^-\nu) = (4.94 \pm 2.19) \times 10^{-4}$ ; the phase space model of the decay  $\Lambda_b^0 \rightarrow p\mu^-\nu$  is changed to a more appropriate semileptonic decay model<sup>33</sup>; and the decay time bias correction for the  $B_s^0$ , previously absent from the analysis, is now calculated and applied with a different correction for each category of the multivariate discriminant.

These modifications result in changes in the individual results of each experiment. The modified CMS analysis, applied on the CMS data, yields

$$\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-) = (2.8_{-0.9}^{+1.0}) \times 10^{-9} \quad \text{and} \quad \mathcal{B}(B^0 \rightarrow \mu^+\mu^-) = (4.4_{-1.9}^{+2.2}) \times 10^{-10}, \quad (2)$$

while the LHCb results change to

$$\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-) = (2.7_{-0.9}^{+1.1}) \times 10^{-9} \quad \text{and} \quad \mathcal{B}(B^0 \rightarrow \mu^+\mu^-) = (3.3_{-2.1}^{+2.4}) \times 10^{-10}. \quad (3)$$

These results are only slightly different from the published ones and are in agreement with each other.

**Simultaneous fit** The goal of the analysis presented in this Letter is to combine the full data sets of the two experiments to reduce the uncertainties on the branching fractions of the signal decays obtained from the individual determinations. A simultaneous unbinned extended maximum likelihood fit is performed to the data of the two experiments, using the invariant-mass distributions of the joint 20 MVA discriminant categories of both experiments. The invariant-mass distributions are defined in the dimuon mass ranges  $m_{\mu^+\mu^-} \in [4.9, 5.9] \text{ GeV}/c^2$  and  $[4.9, 6.0] \text{ GeV}/c^2$  for the CMS and LHCb experiments, respectively. The branching fractions of the signal decays, the hadronisation fraction ratio  $f_d/f_s$ , and the branching fraction of the normalisation channel  $B^+ \rightarrow J/\psi K^+$  are treated as common parameters. The value of the  $B^+ \rightarrow J/\psi K^+$  branching fraction is the combination of results from five different experiments<sup>14</sup>, taking advantage of all their data

to achieve the most precise input parameters for this analysis. The combined fit takes advantage of the larger data sample and proper treatment of the correlations between the individual measurements to increase the precision and reliability of the result, respectively.

Fit-parameters, other than those of primary physics interest, whose limited knowledge affect the results, are called “nuisance parameters”. In particular, systematic uncertainties are modelled by introducing nuisance parameters into the statistical model and allowing them to vary in the fit; those for which additional knowledge is present are constrained using Gaussian distributions. The mean and standard deviation of these distributions are set to the central value and uncertainty obtained either from other measurements or from control channels. The statistical component of the final uncertainty on the branching fractions is obtained by repeating the fit after fixing all of the constrained nuisance parameters to their best fitted values. The systematic component is then calculated by subtracting in quadrature the statistical component from the total uncertainty. In addition to the free fit, a two-dimensional likelihood ratio scan in the plane  $\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)$  versus  $\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$  is performed.

**Feldman–Cousins Confidence Interval** The Feldman–Cousins likelihood ratio ordering procedure<sup>29</sup> is a unified frequentist method to construct single- and double-sided confidence intervals for parameters of a given model adapted to the data. It provides a natural transition between single-sided confidence intervals, used to define upper or lower limits, and double-sided ones. Since the single-experiment results<sup>18,19</sup> showed that the  $B^0 \rightarrow \mu^+\mu^-$  signal is at the edge of the probability region customarily used to assert statistically significant evidence for a result, a Feldman–Cousins procedure is performed. This allows a more reliable determination of the confidence interval and significance of this signal without the assumptions required for the use of Wilks’ theorem. In addition, a prescription for the treatment of nuisance parameters has to be chosen because scanning the whole parameter space in the presence of more than a few parameters is computationally too intensive. In this case the procedure described by the ATLAS and CMS Higgs combination group<sup>35</sup> is adopted. For each point of the space of the relevant parameters, the nuisance parameters are fixed to their best value estimated by the mean of a maximum likelihood fit to the data with the value of  $\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)$  fixed and all nuisance parameters profiled with Gaussian penalties. Sampling distributions are constructed for each tested point of the parameter of interest by generating simulated experiments and performing maximum likelihood fits in which the Gaussian mean values of the external constraints on the nuisance parameters are randomised around the best-fit values for the nuisance parameters used to generate the simulated experiments. The sampling distribution is constructed from the distribution of the negative log-likelihood ratio evaluated on the simulated experiments by performing one likelihood fit in which the value of  $\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)$  is free to float and another with the  $\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)$  fixed to the tested point value. This sampling distribution is then converted to a confidence level by evaluating the fraction of simulated experiments entries with a value for the negative log-likelihood ratio greater than or equal to the value observed in the data for each tested point. The results of this procedure are shown in Extended Data Fig. 5.