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Review of Physics Results from the Tevatron: Top Quark Physics

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We present results on top quark physics from the CDF and D0 collaborations at the Fermilab Tevatron $p\overline{p}$ collider. These include legacy results from Run II that were published or submitted for publication before mid-2014, as well as a summary of Run I results. The historical perspective of the discovery of the top quark in Run I is also described.

Keywords: Tevatron, CDF, D0, top quark

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1. Introduction

The top quark is the heaviest known elementary particle and completes the quark sector of the three-generation structure of the standard model (SM). It differs from the other quarks not only by its much larger mass, but also by its lifetime which is too short to build hadronic bound states.

The SM predicts that top quarks are created via two independent production mechanisms at hadron colliders. The primary mode, in which a $t\bar{t}$ pair is produced from a gtt vertex via the strong interaction a , was used by the D0 and CDF collaborations to discover the top quark in 1995 ^{1,2} The second production mode of top quarks at hadron colliders is the electroweak production of a single top quark from a Wtb vertex. The predicted cross section for single top quark production is about half that of $t\bar{t}$ pairs^{3,4} but the signal-to-background ratio is much worse; observation of single top quark production has therefore until recently been impeded by its low rate and difficult background environment compared to the top pair production.^{5, 6}

Since its discovery, all properties of the top quark have been measured at the Tevatron with increasing precision as new data from Run II were coming in. Most attention was focused on its mass, which is a crucial property of this particle: it is not predicted by theory and, together with the W boson mass, it constrains the Higgs boson mass through global electroweak fits. The large value of the top quark mass indicates a strong Yukawa coupling to the Higgs, and could provide special insights in our understanding of electroweak symmetry breaking. Other properties, including the electric charge, decay width and branching ratio, spin correlations, and $V - A$ structure of the Wtb vertex, have also been studied by both CDF and D0. Both collaborations have also measured the production cross sections of both $t\bar{t}$ and single top processes, and, with the larger data samples available at the end of Run II, differential cross sections as a function of various variables, including the forward-backwards asymmetry A_{FB} . In addition, both collaborations have extensively pursued searches for new physics in events with top quarks, including tests of fundamental symmetries in the top-quark sector as well as direct searches for new particles coupled to top quarks.

2. The Top Quark in Run I

2.1. Historical perspective

The existence of the top quark was expected since the discovery of its partner, the bottom quark, in 1977.⁷ The absence of flavor-changing neutral currents in b decay, evidenced by the small branching ratio of the $b \to s e^+e^-$ decay, indicated that the bquark had isospin $-1/2$, thus requiring a $+1/2$ partner to complete the weak-isospin doublet. However, no firm prediction about the mass of the top quark was available. During the 1980's, a series of new lepton colliders searched for the $e^+e^- \rightarrow t\bar{t}$

process, increasing the lower bound on the top quark mass from $m_t = 23.3$ GeV at PETRA to 30.2 GeV at TRISTAN, and finally to 45.8 GeV at SLC and LEP. The developments of hadron colliders led to searches for the production of W-bosons with subsequent decay $W \to tb$. After a false-positive observation of a $m_t = 40 \pm 10$ GeV top quark at the CERN $Sp\overline{p}S$,⁸ superseded by⁹ and replaced by a new lower limit of $m_t > 69$ GeV,¹⁰ the focus switched to searching for a top quark that is heavier than the W boson, with the dominant production mechanism of $p\bar{p} \to t\bar{t}$, and the subsequent decay $t \to Wb$. The CDF detector started taking data at the Fermilab Tevatron collider in 1998 (Run 0), eventually setting a lower limit of $m_t > 91$ GeV in $1992¹¹$ A second detector, D0, was being commissioned at the time.

2.2. The Discovery

Run I of the Tevatron, with proton-antiproton collisions at $\sqrt{s} = 1.8$ TeV, started in 1992 and continued until 1995. During this time the two detectors, CDF and D0, raced for the discovery of the top quark. In 1994, D0 set a new limit of $m_t =$ 131 GeV using 15 pb⁻¹ of data.¹² Later that year, CDF claimed first evidence for $t\bar{t}$ production using 19.3 pb⁻¹ of data.¹³ Using 12 candidate events, CDF measured a cross section of $13.9^{+6.1}_{-4.8}$ pb (about 2.5 times the one predicted by the SM at the time¹⁴) and a mass of $174 \pm 10^{+13}_{-12}$ GeV. D0 had a similar expected sensitivity of about 2 standard deviations, 15 observing 7 candidate events, with an expected background of 3.2 ± 1.1 events. Finally, in 1995, the CDF and D0 collaborations jointly announced the discovery of the top quark in the strong $t\bar{t}$ production.^{1,2} The top quark discovery is a major legacy of the Tevatron accelerator that opened a new window to our understanding of electroweak symmetry breaking mechanisms with couplings to heavy flavor.

In the discovery paper CDF used 67 pb^{-1} of data and saw a signal inconsistent with the background at the 4.8 σ level.¹ They measured the $t\bar{t}$ production cross section $\sigma_{t\bar{t}} = 6.8^{+3.6}_{-2.4}$ pb and the top quark mass $m_t = 176 \pm 8 \pm 10$ GeV. D0 used 50 pb⁻¹ of data and saw a signal inconsistent with the background at the 4.6σ level.² They measured the $t\bar{t}$ production cross section $\sigma_{t\bar{t}} = 6.2 \pm 2.2$ pb and the top quark mass $m_t = 199^{+19}_{-21} \pm 22$ GeV. Figures 1 and 2 show the fitted masses for CDF and D0, respectively. Both collaborations worked with two candidate samples with different purity. At CDF, the difference was the application or not of algorithms to identify jets originating from the decay of long-lived B hadrons (b-tagging) that relied on the resolution of the SVX detector. At D0, the loose sample was obtained by relaxing topological cuts.

2.3. Final Results from Run I

The entire Run I dataset of 109 pb^{-1} for CDF and 125 pb^{-1} for D0 roughly doubled the amount of luminosity used for the observation. Using those datasets, CDF and D0 produced final results for both the top quark mass and the $t\bar{t}$ production cross section at $\sqrt{s} = 1.8$ TeV. CDF combined data from all decay channels except

Fig. 1. Mass distributions from CDF's top discovery paper.¹ Left: Reconstructed mass distribution for the $W+$ 4-jet sample prior to b tagging (solid). Also shown is the background distribution (shaded) with the normalization constrained to the calculated value. Right: Reconstructed mass distribution for the b-tagged W+4-jet events (solid). Also shown are the background shape (dotted) and the sum of background plus $t\bar{t}$ Monte Carlo simulations for $m_t = 175$ GeV (dashed), with the background constrained to the calculated value. The inset shows the likelihood fit used to determine the top mass.

Fig. 2. Mass distributions from D0's top discovery paper.² Fitted mass distribution for candidate events (histogram) with the expected mass distribution for 199 GeV top quark events (dotted curve), background (dashed curve), and the sum of top and background (solid curve) for (a) standard and (b) loose events selection.

those including a hadronically decaying tau lepton and measured¹⁶ a $t\bar{t}$ production cross section of $\sigma_{t\bar{t}} = 6.5^{+1.7}_{1.4}$ pb for $m_t = 175$ GeV. The result included measurements that relied on identifying b quarks both by reconstructing secondary vertices (SECVTX) and the presence of soft leptons within the jets (SLT). All individual results were in agreement with each other. D0 based their result¹⁷ on the same channels, however, as it had no silicon vertex detector, the ability to reconstruct secondary vertices was not available. D0 thus utilized a series of topological variables designed to separate the $t\bar{t}$ sample from the backgrounds. All results were also in agreement with each other, as can be observed in Fig. 3. Their combination yield $\sigma_{t\bar{t}} = 5.69 \pm 1.21(stat) \pm 1.04(syst)$ pb for $m_t = 172.1$ GeV. The measurements from both collaborations were in good agreement with SM expectations. At the end of Run I, the uncertainty on the theoretical prediction for the $t\bar{t}$ cross section was about 20% of the experimental uncertainty.

Fig. 3. Measured $t\bar{t}$ production cross section values for all channels used by the D0 analyses, assuming a top quark mass of 172.1 GeV. The vertical line corresponds to the cross section for all channels combined and the shaded band shows the range of theoretical predictions.

The most precise measurements of the top quark mass were obtained by both col-

laborations using data in final states containing an isolated electron or muon, large missing energy and at least 4 jets.^{18, 19} The mass is extracted using a maximumlikelihood method and templates extracted from simulated samples generated at different masses that have been reconstructed according to a $t\bar{t}$ hypothesis. Figure 4 shows the reconstructed mass distribution for the four subsamples used in the CDF analysis and their combination. The combined measured mass was found to be $m_t = 175.9 \pm 4.8(stat) \pm 4.9(sys)$ GeV. The systematic uncertainty was dominated by the calibration of the jet energy and the signal modeling. The corresponding result from D0 was $m_t = 173.3 \pm 5.6(stat) \pm 5.5(sys)$ GeV. A reanalysis of the same dataset was published in 2004^{20} and yielded a final result of $m_t = 180.1 \pm 3.6(stat) \pm 3.9(sys)$ GeV, consistent with the previous result but with a precision comparable to all previous top mass measurements combined. The new method used leading-order matrix elements to calculate an event weight that was then used to assign more importance to events that were well measured and thus are more likely to correspond to the $t\bar{t}$ signal. This method became the method of choice of the D0 collaboration for top quark mass measurements in Run II.

Fig. 4. Reconstructed mass distributions from the CDF experiment in (left) each of the four mass subsamples and (right) all subsamples combined. Each plot shows the data (points), the result of the combined fit to top $+$ background (dark shading), and the background component of the fit (light shading). The insets show the variation of the negative log-likelihoods with Mtop.

Both collaborations also measured the top quark mass using samples containing two isolated leptons and at least 2 jets^{21, 22} and CDF also measured the top quark mass in events with six jets and no reconstructed isolated leptons.²³ All results were in agreement with each other, giving further support to the hypothesis that the events originated from $t\bar{t}$ production.

The CDF collaboration used the $t\bar{t}$ sample to directly measure the $t \rightarrow Wb$

branching ratio from the number of events with 0, 1 and 2 b-tags. Assuming that top decays 100% to Wb and that only three generations of fermions exist, the CKM matrix element²⁴ was extracted²⁵ $|V_{tb}| = 0.97^{+0.16}_{-0.12}$ or $|V_{tb}| > 0.75$ at 95% C.L.

Both collaborations set upper limits at the 95% C.L. on the electroweak production of single tops^{26, 27} that were roughly six times larger than the SM prediction, an indication that far more data would be required before single top quark production could be observed. Both collaborations also searched for non-SM top decays, in particular, charged Higgs^{28, 29} and flavor changing neutral currents³⁰ (CDF), and measured other $t\bar{t}$ properties, namely W helicity³¹ (CDF) and $t\bar{t}$ spin correlations³² (D0). In all instances, the results agreed with SM expectations. The publications pioneered analysis methods that would be applied to the much larger $t\bar{t}$ samples in Run II and also to the data collected at the Large Hadron Collider by the ATLAS and CMS collaborations.

3. Studies of Top Quark Pair Production

3.1. $t\bar{t}$ Production and Decay

At Tevatron energies, top quarks are produced predominantly as a $t\bar{t}$ pair. The total $t\bar{t}$ production cross section for a hard scattering process initiated by a $p\bar{p}$ collision at a center of mass energy of 1.96 TeV is a function of the top quark mass m_t . For a top quark mass of 172.5 GeV, the predicted SM $t\bar{t}$ production cross section calculated with the TOP++ program³ at full NNLO+NNLL is $7.35_{-0.21}^{+0.11}$ pb, where the MSTW2008nnlo68cl PDF set was used. Deviations of the measured cross section from the theoretical prediction could indicate effects beyond quantum chromodynamics (QCD) perturbation theory. Explanations might include substantial non-perturbative effects, new production mechanisms, or additional top quark decay modes beyond the SM.

Within the SM, the top quark decays almost exclusively into a W boson and a b quark, resulting in two W bosons and 2 b jets in each $t\bar{t}$ pair event. The W boson itself decays into one lepton and its associated neutrino, or hadronically. $t\bar{t}$ pair decay channels are generally classified as follows: the dilepton channel where both W bosons decay leptonically into an electron or a muon (ee, $\mu\mu$, $e\mu$), the lepton + jets channel where one of the W bosons decays leptonically and the other hadronically $(e+{\text{jets}}, \mu+{\text{jets}})$, and the all-jets channel where both W bosons decay hadronically. While the all-jets channel has the largest branching fraction ($\approx 46\%$), it also suffers from large backgrounds from multi jet production. The dilepton channels, with a branching ratio of $\approx 4\%$, results in the cleanest sample with minimal contamination from Drell-Yan and diboson production. The lepton + jets channel, with a branching ratio of $\approx 35\%$, has a background dominated by $W+$ jets production at a level significantly smaller than the all-jets channel, making it the channel of choice for the measurement of top quark properties. In many analyses, the identification of jets originating from the decay of a bottom quark $(b\text{-tagging})$ is used for the rejection of backgrounds.

3.2. Inclusive $t\bar{t}$ Cross Sections

 $t\bar{t}$ production cross sections $\sigma_{t\bar{t}}$ have been measured in all decay channels, and good agreement with the theoretical prediction has been found. Figure 5 summarizes the latest $\sigma_{t\bar{t}}$ measurements from CDF and D0, as well as their combination.³³ In this publication, based on data samples with integrated luminosity between 2.9 fb⁻¹ and 8.8 fb−¹ , results from six analyses are combined, reducing the uncertainty on the measured $\sigma_{t\bar{t}}$ to $\approx 5\%$. The following results were included in the combination:

- CDF dilepton uses the entire 8.8 fb⁻¹ dataset and is based on counting the number of events with at least one b -tag.³⁴
- Two CDF lepton + jets analyses based on 4.6 fb⁻¹ of data.³⁵ While the first result relies on b-tagging to separate the signal from the backgrounds, the second one uses an artificial neural network (NN) and no b-tagging to discriminate between $t\bar{t}$ signal and $W+$ jets background. Both analyses reduce the uncertainty arising from the luminosity by concurrently measuring the ratio of the $t\bar{t}$ to the Z/γ^* cross sections. This technique replaces the 6% uncertainty on the luminosity with a 2% combined theoretical and experimental uncertainty on the Z/γ^* cross section, resulting in the most precise single $\sigma_{t\bar{t}}$ measurement.
- CDF all-jets, based on 2.9 fb[−]¹ of data, requires the presence of six to eight jets that satisfy a series of kinematical requirements imposed by means of a NN.³⁶ At least one of these jets must be b-tagged.
- D0 dilepton, based on 5.4 fb^{-1} of data, uses a likelihood fit to a discriminant based on a NN b-tag algorithm.³⁷
- D0 lepton + jets, based on 5.3 fb⁻¹ of data, uses events with at least three jets which are split into subsamples according to the total number of jets and b-tags.³⁸ A random forest multivariate discriminant is used in background-dominated subsamples to separate the signal from the background. The cross section is extracted by simultaneously fitting the number of events in the signal dominated subsamples (3 jets with 2 b-tags and 4 jets with at least $1 b$ -tag) and the random forest discriminant in the background dominated samples.

Channels with a τ -lepton decaying into an electron or a muon are included in the corresponding dilepton or lepton + jets channels. Events where the τ -lepton decays hadronically are treated separately. CDF measured $\sigma_{t\bar{t}}$ in the $\tau+$ lepton (electron or muon) channel³⁹ using the complete data set corresponding to 9.0 fb⁻¹ of data. D0 measured the $t\bar{t}$ cross section in the τ + jets channel⁴⁰ using 1.0 fb⁻¹ of data. Similarly, CDF used 0.3 fb⁻¹ of data and events with an inclusive signature of large missing transverse energy and jets⁴¹ to maintain the sensitivity to hadronic τ decays. These measurement are in good agreement with results from other channels and theoretical predictions.

Fig. 5. Measured $t\bar{t}$ cross sections from D0 and CDF together with their combinations.³³ The outer (blue) error bars show the total uncertainties on each measurement. The inner (red) error bars indicate the contribution from the statistical uncertainties for those measurements where this quantity is available.

Because beyond the standard model (BSM) processes can affect different $t\bar{t}$ decay modes differently, it has been a priority of the Tevatron experiments to measure $\sigma_{t\bar{t}}$ in different channels and with different techniques, in particular with and without the assumption of the presence of two b-quarks in the final state. Even though the early measurements suffered from rather large uncertainties ($\approx 30\%$), recent individual results have reached precisions as small as $7\%,$ ³⁵ allowing for stringent tests of QCD predictions.42–45

3.3. Differential $t\bar{t}$ Cross Sections and Forward-Backward $t\bar{t}$ Asymmetry

The larger $t\bar{t}$ samples available in Run II also allowed for differential $t\bar{t}$ cross section measurements. A result from D0 uses the entire dataset of 9.7 fb^{-1} and the lepton + jets channel to measure the $t\bar{t}$ production cross section as a function of the transverse momentum and absolute rapidity of the top quarks as well as of the invariant mass

of the $t\bar{t}$ pair.⁴⁶ The data are corrected for detector efficiency, acceptance and bin migration by means of a regularized unfolding procedure. In all cases, the differential cross sections agree well with QCD MC generators and predictions at approximate NNLO.⁴⁷ Figure 6 shows, as an example, the unfolded differential cross section as a function of the $t\bar{t}$ pair invariant mass compared to predictions.

Fig. 6. (a) The differential cross section as a function of the invariant mass of the $t\bar{t}$ pair for data compared to several QCD predictions. (b) The ratio of cross section to the QCD prediction at approximate NNLO.⁴⁷ In both cases, the inner error bars correspond to the statistical uncertainties and the outer error bars to the systematic uncertainties.

The CDF and D0 collaborations also investigated other properties of the $t\bar{t}$ production mechanism in search of deviation from the SM predictions. Some deviation from SM predictions was found on the mass and rapidity dependent forward-backward $t\bar{t}$ asymmetry reported by CDF using 5.3 fb⁻¹ of lepton+jets data.⁴⁸ The corresponding D0 analysis,⁴⁹ based on 5.4 fb⁻¹ of lepton+jets data, also finds a disagreement between data and SM predictions, however, it finds no statistically significant enhancement of the asymmetry neither as a function of the $t\bar{t}$ mass nor for the rapidity. A follow up analysis from CDF uses the entire Run II data set⁵⁰ and observes a linear dependence on both the rapidity difference and the $t\bar{t}$ mass, with higher slopes than the NLO prediction. The D0 analysis using the entire dataset⁵¹ measures an inclusive forward-backward asymmetry of $A_{FB} = (10.6 \pm 3.0)\%$, in agreement with SM predictions which range from 5% at NLO to 9% once electroweak effects are taken into account.⁵² The measured dependences of the asymmetry on rapidity and mass are also in agreement with the SM predictions, but do not disagree with the larger asymmetries observed by previous analyses, as can be seen in Fig. 7.

It is important to note that the theoretical predictions for differential distributions, including A_{FB} , are only available at order α_s^3 , where α_s is the strong coupling constant. Since the SM $t\bar{t}$ forward-backward asymmetry only appears at this order, no full higher order prediction exists. The relative uncertainty on the α_s^3 calculation

Fig. 7. The dependence of the forward-backward asymmetry on the $t\bar{t}$ invariant mass (left) and the difference in rapidities of top quark and antiquark (right). The measurements from CDF and D0 are compared to theoretical predictions.

due to higher order corrections could therefore be significant.

Alternatively, both collaborations also measure the asymmetry in the chargeweighted rapidity of the lepton, which does not require the reconstruction of the kinematic properties of the full $t\bar{t}$ system. The D0 collaboration combined 9.7 fb⁻¹ of dilepton⁵³ and lepton+jets⁵⁴ data and measures the asymmetry at production level $A_{FB}^l = (4.2 \pm 2.4)\%$ for $|y_l| \le 1.5$, in agreement with the prediction of 2.0% from the NLO QCD generator MC@NLO. These two measurements are individually extrapolated to cover the full phase space (using the MC@NLO simulation), and combined. The extrapolated result of A_{FB}^l (ex) = (4.7 ± 2.3(stat) ± 1.5(syst))% facilitates the comparison with theoretical calculations and the extrapolated result from CDF.

The CDF collaboration uses 9.4 fb⁻¹ of lepton+jets data⁵⁵ and finds the extrapolated value of $A_{FB}^l = (9.4 \pm 3.2)^{\circ}\%$, to be compared with the prediction of $(3.8 \pm 0.3)\%$ ⁵² A corresponding measurement in the dilepton channel A_{FB}^l = $(7.2 \pm 6.0)\%$,⁵⁶ is consistent with predictions and the D0 results. Figure 8 summarizes the measured lepton asymmetries for the various samples used in the analyses.

The CDF collaboration also used the entire dataset of 9.4 fb^{-1} and the lepton $+$ jets channel to measure the $t\bar{t}$ production cross section as a function of the production angle of the top quark with respect to the incoming proton momentum as measured in the $t\bar{t}$ center-of-mass frame (θ_t) .⁵⁷ The inclusive measurements of A_{FB} are equivalent to a two-bin measurement of this normalized differential cross section, with one bin forward ($\cos\theta_t > 0$) and one bin backward ($\cos\theta_t < 0$). The full shape of the differential cross section thus provides additional information and has the potential to identify which aspects of the shape of $d\sigma/d(cos\theta_t)$ affect the forward-backward asymmetry. The shape of $d\sigma/d(cos\theta_t)$ is characterized by Legendre polynomials with Legendre moments a_1 to a_8 . A mild excess is observed in the differential cross section in the linear term a_1 in $\cos\theta_t$, as can be observed in

Forward-Backward Lepton Asymmetry, %

Fig. 8. Measured production-level A_{FB}^l by analysis channel compared to the theoretical prediction for D0 (top) and CDF (bottom). Statistical (total) uncertainties are indicated by the inner (outer) vertical lines.

Fig. 9. All other terms agree well with NLO SM predictions.

These differential measurements, possible for the first time due to the high statistic samples available in Run II, constitute detailed probes into the $t\bar{t}$ system modeling and serve as a means to better understand higher-order corrections to SM predictions. A precise modeling is vital in many searches for new phenomena, where differential top quark cross sections are used to set constraints on beyond the SM processes. A detailed modeling is also needed in searches for rare processes that involve new particles that decay to a $t\bar{t}$ pair, where particles are produced in

Fig. 9. Fraction of cross section accruing in 10 bins of $cos\theta_t$, obtained by integrating the series of Legendre polynomials over the width of each bin.

association with a $t\bar{t}$ pair, or where $t\bar{t}$ is among the dominant backgrounds.

3.4. Searches for Anomalous $t\bar{t}$ Production

Both collaborations have also searched for anomalous $t\bar{t}$ production. Several beyond the SM theories predict resonant production of $t\bar{t}$ pairs.⁵⁸ Examples include topcolor models⁵⁹ and models with extra dimensions, such as Kaluza–Klein (KK) excitations of gluons or gravitons in various extensions of the Randall–Sundrum model.⁶⁰ Using 9.5 fb⁻¹ of data, CDF has studied the invariant mass distribution in lepton + jets events.⁶¹ The observed spectrum is consistent with SM expectations, showing no evidence for additional resonant production mechanisms. Consequently, the data is used to set upper limits on $\sigma \times B(X \to t\bar{t})$ for different hypothesized resonance masses. Similar results had been obtained by the D0 collaboration using 5.3 fb^{-1} of data.⁶²

Various axigluon models with different couplings⁶³ that enhance A_{FB} also modify the differential cross section distributions, in particular for the $t\bar{t}$ invariant mass. The D0 collaboration has extended its differential cross section analysis 46 to search for axigluons by comparing various models to the unfolded cross section data. Several of these models were constructed to account for the larger than predicted forward-backward asymmetries observed at the Tevatron. Models including heavy masses are disfavored by the Tevatron and LHC data, with the Tevatron data being especially sensitive to the lower mass regions. Figure 10 shows the ratio of the different models to data, as a function of the $t\bar{t}$ invariant mass and the top transverse momentum. Even though the invariant mass is the most sensitive variable, the

top transverse momentum and rapidity shows sensitivity that allows to disentangle the various models. Alternatively, the D0 collaboration has also compared the for-

Fig. 10. Differential cross section distributions as a function of (a) invariant mass and (b) top transverse momentum comparing the D0 data to various benchmark models of axigluon contributions to the $t\bar{t}$ production cross section.

ward backward asymmetries in the lepton+jets and dilepton channels to SM NLO predictions and axigluon models.⁵³ The ratio of the measured asymmetry in the lepton+jets and dilepton samples is consistent at the level of 2 standard deviations with the SM prediction, and sensitive to various axigluon models, as can be seen in Figure 11

The CDF collaboration has also searched for a heavy vector boson that decays to two gluons (chromophilic $Z^{\prime 64}$), in the case where the off-shell gluon converts to a tt pair. Using 8.7 fb⁻¹ of data, and selecting events with one charged lepton, large $\not\!\!E_T$ and at least five jets, upper limits between 300 and 40 fb (at the 95% C.L.) are set for Z' masses from 400 to 1000 GeV.

4. Observation and Studies of Single Top Quark Production

4.1. Single Top Quark Production

In the SM, single top production at hadron colliders provides an opportunity to study the charged-current weak-interaction of the top quark. At the Tevatron, the dominant production mode is the exchange of a space-like virtual W boson between a light quark and a bottom quark in the t-channel. The second mode is the decay of a time-like virtual W boson in the s-channel. A third process, usually called "associated production" or Wt , has negligible cross section at the Tevatron, but has been recently observed at the LHC.⁶⁵ Figure 12 shows the lowest level Feynman diagrams for single top quark production at the Tevatron.

Fig. 11. Extrapolated forward-backward asymmetry in the lepton+jets data vs dilepton $t\bar{t}$ data as measured by D0. The measurement is compared to SM predictions and various axigluon models. The ellipses represent contours of total uncertainty at 1, 2, and 3 SD on the measured result. All values are given in %. Predicted asymmetries are shown with their statistical uncertainties.

Fig. 12. Lowest level Feynman diagrams for (left) s-channel and (center) t-channel and (right) associated single top quark production at the Tevatron.

For a top mass of 172.5 GeV the predicted cross section, calculated at NLO+NNLL, is 2.10 ± 0.13 pb for the t-channel and 1.05 ± 0.06 pb for the schannel,^{66, 68} about half the rate as the one for $t\bar{t}$ production. Naively one would expect the production rate via the electroweak force to be much lower, however,

the strong interaction cannot change the flavor of the particles, which means a top quark produced by it must be accompanied by a top antiquark. The weak interaction can change one kind of particle into another, and thus it may produce one top quark at a time. The strong force is the stronger one, but the requirement of enough energy to produce two top quarks suppresses the production cross section.

4.2. Single Top Quark Discovery

In the search for single top production, both collaborations select events containing an isolated electron or muon and large missing transverse energy $(\not\hspace{-.15cm}E_T)$ from the decay of the W boson originating from the top quark. Events are also required to have at least 2 jets, with at least one of the jets being b-tagged. This topology is referred to as "lepton+jets". The CDF collaboration also selects events containing jets and $\not\!\!E_T$, but no reconstructed leptons, referred to as " $\not\!\!E_T+{\rm jets}$ ". The D0 collaboration also searched for single top production in the τ +jets channel, using 5.4 fb⁻¹ of data, and selecting events containing an isolated hadronically decaying τ lepton and at least two jets, with at least one being b -tagged.⁶⁷ In all cases, the resulting samples are dominated by backgrounds, and the expected amount of signal is smaller than the uncertainties on those backgrounds. Both collaborations thus developed multivariate analysis techniques (MVA) to separate the single top signal from the overwhelming backgrounds, as a simple counting experiment is not possible. In most cases, multiple MVAs were used on the same dataset, each defining a discriminant that was then used to constrain the uncertainties on the backgrounds and extract the signal contribution. The correlation between the outputs of the individual methods was typically found to be $\approx 70\%$. An increase in sensitivity can therefore be obtained by using their outputs as inputs to a "superdiscriminant", a method employed by both collaborations. The cross section measurements were in all cases obtained using a Bayesian statistical analysis of the superdiscriminant output, where the data is compared to the sum of the predictions for signal and background processes.

Single top quark production for the combined s and t channel was first observed by the CDF and D0 collaborations in 2009^{5, 6, 69} using 3.2 fb⁻¹ and 2.3 fb⁻¹ of integrated luminosity, respectively. The measured cross sections for the combined s and t-channel production were $2.32^{+0.6}_{-0.5}$ pb and 3.94 ± 0.88 pb, respectively. For these analyses, the CDF collaboration combined their lepton+jets and their E_T +jets samples, while the D0 collaboration relied on the combination of their lepton+jets samples selected depending on the number of jets and the number of b-jets in the events. Figure 13 shows the output of the superdiscriminant for the CDF and the D0 analyses.

4.3. Final results from Run II

Subsequent measurements by the D0 collaboration^{70–72} used larger datasets of 5.4 fb⁻¹ and 9.7 fb⁻¹ and reported both the s+t cross section, as well as the

Fig. 13. Output of the superdiscriminant for the CDF (Left) and D0 (Right). The single top signal is shown in red in the CDF figure and in blue in the D0 figure.

individual contributions, and include the individual observation of the t-channel production with a measured cross section of $\sigma_t = 2.9 \pm 0.59$ pb, as well as evidence for s-channel production. The CDF collaboration later also announced evidence for s-channel production using the entire Run II dataset.^{75,76} All results are in good agreement with each other and with SM expectations, as can be observed in Figure 14.

The D0 and CDF results were combined⁷⁷ and resulted in the observation of s-channel production with a significance of 6.3 standard deviations and a measured cross section of $\sigma_s = 1.29_{-0.24}^{+0.26}$ pb. Figure 15 shows the output discriminant for the combined result. The combination of the t-channel measurements is forthcoming but was not available at the time of submission of this review.

The SM predicts that the top quark decays almost exclusively to a W boson and a bottom quark with $B(t \to Wb) \approx 1$. The rate for the process leads to a firm prediction for the top quark decay width Γ_t . If there are only three generations, the unitarity constraint of the CKM matrix implies that $|V_{tb}|$ is very close to unity. But the presence of a heavy fourth generation quark with a large CKM coupling to the top quark could be consistent with large values of $B(t \rightarrow Wb)$, while resulting in an almost entirely unconstrained value for $|V_{tb}|$. A direct measurement of $|V_{tb}|$, which is possible through the measurement of the single top production cross section, can therefore explore the possibility of a fourth generation or an additional heavy quark singlet that mixes with the top quark. The most stringent limit on the value of $|V_{tb}|$ comes from the full Run II dataset analysis by the D0 collaboration⁷² that used data corresponding to 9.7 fb⁻¹ of integrated luminosity. Using the lepton+jets channel, the s+t cross section $\sigma_{s+t} = 4.11_{-0.49}^{+0.60}$ pb translates in a lower limit on $|V_{tb}| > 0.92$ at the 95% C.L., for a top mass of 172.5 GeV. CDF used 7.5 fb⁻¹ and the same channel and obtained $\sigma_{s+t} = 3.04_{-0.53}^{+0.57}$ pb which translates into $|V_{tb}| > 0.78$ at the

Fig. 14. Measured s-channel single top quark cross sections from D0 and CDF together with their combinations, taken from.⁷⁷ The combined result is in good agreement with SM expectations.

 95% C.L.,⁷³ for a top mass of 172.5 GeV.

4.4. Searches for Anomalous Single Top Quark Production

The single top quark production is also expected to be sensitive to several models of physics beyond the $SM⁷⁸$ in particular, the t-channel is most sensitive to those in which flavor changing neutral current (FCNC) couplings between a gluon, a top quark, and up or charm quarks may be large, and the s-channel is most sensitive to additional particles that contribute to the single top production, such as a W' vector boson that couples to top and bottom quarks. Both collaborations searched for these processes^{79–82} and find no indication of BSM processes. Using 7.7 fb⁻¹ of data, the CDF collaboration also searched for a dark-matter candidate produced in association with a single top quark that decays hadronically.⁸³ The final state considered consists of three jets and $\not\!\!E_T$. Figure 16 shows the $\not\!\!E_T$ distribution for data, which is in agreement with the prediction from the SM and is used to set limits of ≈ 0.5 pb for a dark-matter particle with mass below 150 GeV.

Using 9.7 fb⁻¹ of data, the D0 collaboration constructed a two-dimensional (2D) posterior probability density as a function of the s and t-channel production cross sections, $7²$ with the position of the maximum defining the value of the cross sections, and the width of the distribution in the minimal region that encompasses 68% of the entire area defining the uncertainty (statistical and systematic components combined). This 2D posterior is shown in Fig. 16, which also shows the sensitivity

Fig. 15. Distribution of the output discriminant, summed for bins with similar signal-tobackground ratio (s/b). The expected sum of the backgrounds is shown by the unfilled histogram, and the uncertainty of the background is represented by the grey shaded band. The expected s-channel signal contribution is shown by a filled blue histogram.

to some models of beyond the SM physics that would change the s- or t-channel cross sections.

Fig. 16. Left (CDF): The $\not\!\!E_T$ distribution in the signal region. The data are compared to the sum of the SM contributions. A dark matter signal of mass 125 GeV is also shown. Right (D0):2D posterior density distribution with SM prediction and predictions from various beyond the SM theories that would modify the s (tb) or t (tqb) cross section.

5. Top Quark Mass

5.1. Introduction

One of the fundamental properties of an elementary particle is its mass. In the SM, fermions acquire mass through interactions with the Higgs field, 84 but actual values of these masses are not predicted by the SM. In theoretical calculations, the mass of a particle can be defined in more than one way, and it depends on how higher-order terms in perturbative QCD calculations are renormalized. In the modified minimal subtraction scheme $(\overline{\text{MS}})$, for example, the mass definition reflects short-distance effects, whereas in the pole-mass scheme the mass definition reflects long-distance effects.⁸⁵ The concept of the pole mass is not well defined since color confinement does not provide S-matrix poles at $m = m_t$.⁸⁶ Direct mass measurements rely on Monte Carlo (MC) generators to extract m_t . Hence the measured mass corresponds to the mass parameter in the MC. Work is proceeding to address the exact difference between the measured mass and the pole mass, as presented, for example, in Appendix C of Ref.⁸⁷ One alternative way to address this problem is to extract m_t from a measurement of the $t\bar{t}$ cross section.⁸⁸ The D0 Collaboration has shown that the directly measured mass of the top quark is closer to the pole mass extracted from a measurement of the $t\bar{t}$ cross section than to an $\overline{\text{MS}}$ mass extracted in a similar way.⁸⁸ Hence, within the precision of theory and data, the directly measured m_t is best interpreted as the top-quark pole mass.

Before 1995, global fits to electroweak data from the CERN and SLAC $e^+e^$ colliders (LEP and SLC) and from other experiments produced estimates of m_t that ranged from $\approx 90 \text{ GeV}$ to $\approx 190 \text{ GeV}$.⁸⁹ At the time of the first observation of the top quark in 1995, the fits indicated a mass close to the current Tevatron value of m_t , but with an uncertainty of $\approx \pm 10\%$ and an assumption of 300 GeV for the mass of the Higgs boson.⁹⁰ Since then, the CDF and D0 Collaborations have developed many novel measurement techniques and published about 50 journal papers on their measurements of m_t .⁹¹

5.2. Methods for Measuring the Top Mass

At the Tevatron, the mean transverse momentum (p_T) of the $t\bar{t}$ system at parton level is \approx 20 GeV, which is attributed to initial-state radiation (i.e., gluon emission). The mean transverse momentum of the top quarks at parton level is ≈ 95 GeV.⁸⁰ Top quarks have a lifetime of $\approx 0.3 \times 10^{-24}$ s,⁹² which is an order of magnitude smaller than the time scale for parton evolution and hadronization. Hence, when top quarks decay, they transfer their kinematic characteristics to the W boson and b quark, and the measured energy-momentum four-vectors of the final-state particles can be used to reconstruct the mass of the top quark, except for the presence of initial or final-state radiation.

The four-vector of every jet emerging from quarks can be reconstructed, but neutrinos emitted in semileptonic decays of b quarks and jet energy resolution ef-

fects will lead to energy mismeasurements. The momentum of the neutrino from the $W \to l\nu_l$ decay is not detected, but the transverse component can be inferred from the negative of the vector sum of all transverse momenta of particles detected in the calorimeter and muon detectors. We estimate the longitudinal momentum of ν_l by constraining the mass of the charged lepton and neutrino system to the world average value of M_W .⁹³ We also use M_W to choose the two light jets from $W \to q\bar{q}'$ decay, and we use that information for an *in situ* calibration of jet energies. In dilepton events, the analysis is more complicated because there are two final-state neutrinos from the leptonic decays of both W bosons. Therefore, the longitudinal and transverse-momentum components of the neutrinos cannot be determined without the application of more sophisticated tools. These involve assuming a value for m_t to solve the event kinematics and assigning a weight to each m_t hypothesis to determine the most likely value of m_t consistent with the hypothesis that the event is a $t\bar{t}$ event.

A major issue in $t\bar{t}$ final-state reconstruction is the correct mapping of the reconstructed objects to the partons from the decays of the top quark and W boson. The problem arises because often the jet charge and flavor cannot be uniquely determined. This creates combinatorial ambiguities in the $t\bar{t}$ event reconstruction that vary from 90 possible jet-to-parton assignments for the all-jets final state to 2 in the dilepton channel. In the lepton+jets and dilepton final states, additional ambiguities may arise from multiple kinematical solutions for the longitudinal component of the neutrino momentum.

Two methods are used to measure the value of m_t . In the first method, the reconstructed mass distribution in data or a variable correlated with m_t , such as the decay length of the B hadron or the transverse momentum of a lepton, is compared to template distributions composed of contributions from background and simulation of $t\bar{t}$ events. One template is used to represent background and another for each putative value of m_t . The second method uses event probabilities based on the LO matrix element for the production of $t\bar{t}$. For each event, a probability is calculated as a function of m_t that this event is from $t\bar{t}$ production, as based on the corresponding production and decay matrix element. Detector resolution is taken into account in the calculation of these probabilities through transfer functions that correlate parton-level energies and their measured values. The value of m_t is then extracted from the joint probability calculated for all selected events, based on the probability for signal and background (also defined through its matrix element). This method produces the most accurate results, but the computations are time consuming.

5.3. Mass Measurement in lepton+jet events

CDF measured m_t in the lepton+jets channel using a template method and the full luminosity of 8.7 fb⁻¹.⁹⁴ In this analysis, for each selected $t\bar{t}$ candidate event, a χ^2 minimization is performed to reconstruct m_t . The fit is based on the hypothesis that

Fig. 17. Distributions of the three variables used to measure m_t for the b-tagged events in a CDF data sample corresponding to 8.7 fb⁻¹ of integrated luminosity. From left to right: Best fit reconstructed m_t , next-to-best fit reconstructed m_t , and dijet mass from hadronic W decays. The data are overlaid with predictions from the signal model with input $m_t = 173$ GeV and the full background model.

the event is $t\bar{t}$: it uses W-mass constraints on the hadronic and leptonic side and requires the two top-quark masses in the event to be equal. Only the four leading jets are assigned to the four quark daughters from the $t\bar{t}$ decay. The jet-parton assignment that yields the lowest χ^2 after minimization is used for the analysis and the corresponding m_t value is used for the template construction. To include additional information corresponding to the true top-quark mass, the second best reconstructed m_t with different jet-parton assignment from the best χ^2 fit is also used to construct a separate template. The jet energy scale (JES) is constrained by constructing a dijet mass m_{ij} template from the W boson decaying hadronically. No fit is used to obtain m_{jj} , although events failing the χ^2 cut in the m_t reconstruction are also not used to constrain JES. In events with two b-tags, there is only one m_{jj} construction among the leading four jets consistent with b-tagging (i.e., not tagged). In events with zero and one b-tag, there are 12 and 3 m_{jj} constructions, respectively, consistent with b-tagging. The single m_{jj} construction closest to the well-known W boson mass is taken as the single m_{jj} value per event. The three templates, two for m_t and one for m_{jj} , thus constructed from signal ($t\bar{t}$ MC) and background samples are used to define a likelihood for various values of MC input m_t and JES, which is then maximized on the data events. Figure 17 shows the three templates constructed with MC input $m_t = 173$ GeV for events with one or two b-tags, together with the total background template, overlaid with the data. The result of $m_t = 172.85 \pm 0.71$ (stat) ± 0.85 (syst) = 172.85 ± 1.11 GeV from the likelihood maximization is the single most-precise top-quark mass measurement from CDF.

A complementary measurement from CDF to the one described above used only $\not\hspace{-1.2mm}E_{T}$ originating from the undetected neutrino to identify the leptonically decaying W boson.⁹⁵ In this E_T +jets topology, events with charged leptons are vetoed in order to select a sample orthogonal to the lepton+jets sample used in the previous measurement. The technique is identical to the one with the lepton+jets topology, using the same three variables for the top-quark mass and the *in situ* JES calibration in a maximum likelihood fit, but the resolution is worse because the $t\bar{t}$ event reconstruc-

tion is missing the kinematic information carried by the lepton. As a result, this measurement is less accurate than the previous one. Using again the full luminosity of 8.7 fb⁻¹, the result is $m_t = 173.93 \pm 1.64$ (stat) ± 0.87 (syst) = 173.93 ± 1.85 GeV.

Fig. 18. Projections of the data likelihood onto the (a) m_t and (b) JES axes for lepton+jets events selected from a D0 data sample corresponding to 2.6 fb⁻¹ of integrated luminosity. The shaded areas indicate the 68% C.L. regions of the fit.

D0 measured m_t in the lepton+jets channel using a matrix element method.⁹⁶ For each $t\bar{t}$ candidate event, an event probability is calculated assuming that it is either a signal event or a background event with a matrix element of the dominant background process in the channel, which is $W+4$ jets production. The transfer functions, accounting for hadronization smearing and detector resolution effects, are approximated by gaussian functions with mean values equal to the measured kinematic quantities of the event and standard deviations equal to the estimated average resolutions. To reduce the dimensions of 4-momentum integrations of the matrix element and transfer functions over the phase space of the observed finalstate particles in the event probability calculation, the angles of all particles are assumed to be measured with infinite precision and overall 4-momentum conservation is enforced, thus reducing the integration dimensions from 24 to 10. The event probability is summed over the 24 possible jet permutations, each weighted by a weight constructed from the average tagging efficiency for heavy quarks, light quarks, and gluons, and over all possible solutions (up to eight) for the neutrino kinematics. The event probabilities of all events in the sample are used to define a likelihood for the sample. An in situ calibration is performed by applying the W-mass constraint on the light-flavored jets consistent with b-tagging and allowing both m_t and JES to vary simultaneously in the maximum likelihood fit to the data. Two data samples are used separately with the same method, one corresponding to the first 1 fb⁻¹ and the other corresponding to the next 2.6 fb⁻¹

of luminosity. The results from the fits of the two samples are combined to yield $m_t = 174.94 \pm 0.83$ (stat) ± 0.78 (JES) ± 0.96 (syst) = 174.94 ± 1.49 GeV. Figure 18 shows projections of the data likelihood fit onto the m_t and JES axes with 68% confideince level (C.L.) regions indicated by the shaded areas.

Very recently, D0 updated the measurement in the lepton+jets channel using the same matrix element technique, but with data corresponding to the full luminosity of 9.7 fb−¹ . ⁹⁷ Other changes include the use of an improved detector calibration, in particular the b-jet energy scale corrections, and a new integration method that reduced the integration speed by two orders of magnitude, significantly augmenting the number of MC events available for the analysis. These changes allowed to reduce substantially systematic uncertainties previously dominated either by limited MC statistics or by poor detector resolution. Figure 19 shows a comparison of the SM prediction to data for the preferred values of the top quark mass and the energy calibration for the reconstructed W boson and invariant mass of the $t\bar{t}$ system. The new result of $m_t = 174.98 \pm 0.58(\text{stat} + \text{JES}) \pm 0.49(\text{syst}) = 174.98 \pm 0.76 \text{ GeV}$ is the single most-precise top-quark mass measurement from the Tevatron, comparable in precision to the latest measurements from the LHC.

Fig. 19. Data/MC comparison for the D0 lepton+jets data corresponding to 9.7 fb⁻¹ of integrated luminosity. (a) Invariant mass of the jet pair matched to one of the W bosons. (b) Invariant mass of the $t\bar{t}$ system. In the ratio of data to SM prediction, the total systematic uncertainty is shown as a shaded band.

CDF developed methods to measure m_t without using information from the hadronic calorimeter, i.e. jet energies, which is always the major source of systematic uncertainty. In the first such analysis, using lepton+jets events selected from data corresponding to 1.9 fb⁻¹ of luminosity, two measurements were performed and combined together.⁹⁸ One used the mean transverse decay length from the primary to the secondary vertex of b-tagged jets, which is sensitive to the boost of the b-quarks from m_t and thus can be calibrated with MC against the

input top-quark mass to determine m_t from the data. This measurement yielded $m_t = 166.9^{+9.5}_{-8.5}$ (stat) ± 2.9 (syst) GeV. The other used in the same way the mean transverse momentum (p_T) of the leptons (electrons or muons) from the W boson decays, which is also sensitive to the boost of the b-quarks from m_t , and yielded $m_t = 173.5^{+8.8}_{-8.9}$ (stat) ± 3.8 (syst) GeV. The combination of the two measurements resulted in a top-quark mass of $170.7\pm6.3(\text{stat})\pm2.6(\text{syst}) = 170.7\pm6.8 \text{ GeV}$. In the second analysis, the shape of the full lepton p_T distribution was used in the context of the template method.⁹⁹ Figure 20 shows the maximum likelihood fit of the signal and background templates to the lepton p_T distribution of the data. The measurement used lepton+jets events selected from a sample corresponding to 2.7 fb⁻¹ of luminosity. A dedicated calibration of the lepton p_T was performed, using data from leptonic W and Z boson decays, in order to minimize uncertainties related with absolute calibration of the tracker (for muons) and the electromagnetic calorimeter (for electrons), which is the dominant source of systematic uncertainty in this case. The result of this measurement is $176.9 \pm 8.0(\text{stat}) \pm 2.7(\text{syst}) = 170.7 \pm 8.4 \text{ GeV}$.

Fig. 20. Fit of the lepton transverse momentum templates to the data in the lepton+jets channel. The inset shows the m_t -dependent negative log-likelihood function of the fit.

5.4. Mass Measurement in all-jet events

CDF measured m_t in the all-jets channel using 5.8 fb⁻¹ of luminosity.¹⁰⁰ Events with six to eight jets are selected by a neural network algorithm and by the requirement that at least one of the jets is tagged as a b-quark jet. As in the lepton+jets and E_T +jets channels, the kinematics of the selected $t\bar{t}$ candidate events are reconstructed by χ^2 minimization using W-mass constraints and assuming the two top-quark masses in the event to be equal. m_t and M_W are reconstructed per event and the corresponding templates are constructed from their distributions. Figure 21 shows the two templates constructed from signal and background corresponding to the measured m_t and JES. The measurement is performed with a maximum likelihood fit, which simultaneously determines m_t and the JES calibration. The result is $m_t = 172.5 \pm 1.4(\text{stat}) \pm 1.0(\text{JES}) \pm 1.1(\text{syst}) = 172.5 \pm 2.0 \text{ GeV}.$

Fig. 21. Distributions of m_t (left plot) and m_W (right plot) reconstructed from the all-jets events selected from a CDF data sample corresponding to 5.8 fb⁻¹ of integrated luminosity. The data (points) are compared to the signal and background expectations corresponding to the measured m_t and JES. The expected distributions are normalized to the best fit yields.

5.5. Mass Measurement in dilepton events

As discussed previously, the dilepton channel is the most difficult one to measure m_t with good precision because of the low statistics, the poor kinematic reconstruction of $t\bar{t}$ candidate events, and the lack of possibility to perform in situ JES calibration. CDF measured m_t from a sample of 328 events with a charged electron or muon and an isolated track, corresponding to luminosity of 2.9 fb⁻¹, selected as $t\bar{t}$ candidates.¹⁰¹ In this analysis, to account for the unconstrained event kinematics, the phase space of the azimuthal angles $(\phi_{\nu_1}, \phi_{\nu_2})$ of neutrinos is scanned and m_t is reconstructed for each $\phi_{\nu_1}, \phi_{\nu_2}$ pair by minimizing a χ^2 function in the $t\bar{t}$ dilepton

hypothesis. χ^2 -dependent weights are assigned to the solutions in order to build a preferred mass for each event. Preferred mass distributions (templates) are built from simulated $t\bar{t}$ and background events, and parametrized in order to provide continuous probability density functions. A maximum likelihood fit to the mass distribution in data as a weighted sum of signal and background probability density functions (p.d.f.) is performed, with the background normalization constrained to be Gaussian-distributed with mean equal to the background expectation and standard deviation equal to the uncertainty of the expectation. The fit is illustrated in Figure 22 and gives a top-quark mass of $165.5^{+3.4}_{-3.3}$ (stat) ± 3.1 (syst) = $165.5^{+4.6}_{-4.5}$ GeV.

Fig. 22. Fit of m_t to the 328 lepton+track events selected from a CDF data sample corresponding to 2.9 fb⁻¹ of integrated luminosity. Background and signal+background probability density functions, normalized according to the yields determined by the fit, are superimposed to the m_t distribution reconstructed from the data. The inset shows the m_t -dependent negative log-likelihood of the fit.

D0 measured m_t in the dilepton channel using 5.4 fb⁻¹ of luminosity and a matrix element method similar to the one used in the lepton+jets analysis described above.¹⁰² The background event probability is calculated from a matrix element corresponding to the dominant background process in this channel, which is $Z+2$

jets production. In this case, the event probability is summed over two possible jet assignments, up to two real solutions for the neutrino energies, and integrated over 7 to 9 kinematic variables for a number of 0 to 2 muons in the event, respectively, assuming that the electron energies are measured with infinite precision but the muon momenta are not, for kinematics consistent with $t\bar{t}$ decays. The measurement gives a result of 174.0 ± 1.8 (stat) ± 2.4 (syst) = 174.0 ± 3.0 GeV. The result based on a neutrino weighting procedure¹⁰³ that uses the assumed η for each neutrino through a range of values at each m_t is 173.7 ± 2.8 (stat) ± 1.5 (syst). When both results are combined,¹⁰⁴ the final measurement from the dilepton channel is $m_t =$ 173.9 ± 1.9 (stat) ± 1.6 (syst).

5.6. Combination of Results

To improve the precision of the world average m_t , the two Collaborations have established procedures to combine their measurements regularly. Figure 23 at the top summarizes the measurements included in the Tevatron combination.¹⁰⁵ Most recently, the Tevatron experiments, CDF and D0, and the LHC experiments, AT-LAS and CMS, combined their m_t measurements using procedures similar to the Tevatron average to produce a world combination.¹⁰⁶ Figure 23 at the bottom summarizes the measurements included in the world combination.

5.7. Tests of CPT Invariance

CPT invariance predicts that a particle and its antiparticle partner have the same mass. This was checked by both CDF and D0 Collaboration. CDF tested CPT invariance with a measurement of the mass difference $\Delta m_t = m_t - m_{\bar{t}}$ in the lepton+jets channel using the full luminosity of 8.7 fb⁻¹.¹⁰⁷ The measurement applies a technique identical to the CDF template measurement described previously, but now templates of two mass differences corresponding to the two best χ^2 solutions of the kinematic fit per event are constructed, in place of the two mass templates. The kinematic fit is modified to assume a fixed average top-quark mass $(m_t + m_{\overline{t}})/2 = 172.5$ GeV. No in situ JES calibration is applied in this case. The result of the likelihood fit to the data, $\Delta m_t = -1.95 \pm 1.11(\text{stat}) \pm 0.59(\text{syst}) =$ -1.95 ± 1.26 GeV, is consistent with zero within 1.5 standard deviations, and thus consistent with conservation of CPT symmetry. D0 also tested CPT invariance in the lepton+jets channel,¹⁰⁸ with a matrix element technique identical to that of the m_t measurement in this channel, except that the matrix element was explictly modified to account for different masses of the top and anti-top quarks. Again, two data sets were used, corresponding to 1 fb⁻¹ and 2.6 fb⁻¹ of luminosity, respectively, and the results were combined to yield $m_t = 0.8 \pm 1.8$ (stat) ± 0.5 (syst) = 0.8 ± 1.9 GeV. This is also consistent with zero, and thus with conservation of CPT symmetry.

Mass of the Top Quark

Fig. 23. Top: The top-quark mass measurements used in the Tevatron combination. Bottom: The top-quark mass measurements used in the world combination.

6. Top Quark Properties

The study of top-quark properties other than its mass, such as the couplings, decay width, charge, and polarization, is important for understanding the nature of this fundamental particle and verifying the standard model (SM) prediction for its identity. This study has been one of the major topics of the Tevatron Run II physics program, using data samples up to two orders of magnitude larger than were available when the top quark was first observed.

6.1. W Boson Helicity in Top Quark Decays

A property characterizing the dynamics of the top-quark decay is the helicity state of the on-shell W boson produced in this decay. The W boson can have three possible helicity states, and the fractions of the helicity states in W^+ bosons produced in these states are denoted as f_0 (longitudinal), $f_-\$ (left-handed), and f_{+} (right-handed). In the SM, the top quark decays through the $V - A$ weak charged-current interaction, which strongly suppresses right-handed W^+ bosons or left-handed W^- bosons. The SM expectation for the helicity fractions depends on the masses of the top quark m_t and of the W boson M_W . For the values of $m_t = 173.3 \pm 1.1 \text{ GeV}^{109}$ and $M_W = 80.399 \pm 0.023 \text{ GeV}^{110}$ the expected SM values are $f_0 = 0.688 \pm 0.004$, $f_ - = 0.310 \pm 0.004$, and $f_ + = 0.0017 \pm 0.0001$.¹¹¹ A measurement that deviates significantly from these expectations would provide strong evidence of physics beyond the SM, indicating either a departure from the expected $V - A$ structure of the tWb vertex or the presence of a non-SM contribution to the $t\bar{t}$ candidate sample. Both the CDF and D0 Collaborations have measured the f_0 and f_+ helicity fractions using matrix element techniques, and they have combined their results to maximize the precision.¹¹² The results of the combination are $f_0 = 0.722 \pm 0.062$ (stat) ± 0.052 (syst) = 0.722 ± 0.081 and $f_{+} = -0.033 \pm 0.034$ (stat) ± 0.031 (syst) = -0.033 ± 0.046 , consistent with the SM expectations. Figure 24 shows the 1- and 2-standard deviation χ^2 contours of the combination, together with the individual input measurements and the SM prediction. D0 measured the helicity fractions in jointly analyzed dilepton and lepton+jets events using 5.4 fb^{-1} of luminosity, whereas CDF measured them in lepton+jets events using 2.7 fb⁻¹ and dilepton events using 5.1 fb⁻¹. After the combination, CDF updated the helicity fractions measurement using the full luminosity of 8.7 fb^{-1} ,¹¹³ with the results of $f_0 = 0.726 \pm 0.066(stat) \pm 0.067(syst) = 0.726 \pm 0.094$ and $f_{+} = -0.045 \pm 0.044$ (stat) ± 0.058 (syst) = -0.045 ± 0.073 . The updated CDF result is consistent with the Tevatron average result.

6.2. Top Quark Width

Another decay property of the top quark is its decay width. The large mass of the top quark endows it with a large decay width and, hence, the shortest lifetime of all known fermions.¹¹⁴ In leading order, the top-quark decay width Γ_t depends on

Fig. 24. W-helicity fractions in top-quark decays measured by CDF and D0, and compared with the SM prediction. The contours show the experimentally observed region from the combination of these measurements.

the top-quark mass m_t , the Fermi coupling constant G_F , and the magnitude of the top-to-bottom quark coupling $|V_{tb}|$ in the CKM quark-mixing matrix.¹¹⁵ The NLO calculation with QCD and electroweak corrections predicts $\Gamma_t = 1.33$ GeV at $m_t = 172.5 \text{ GeV}$.¹¹⁶ This is consistent with the NNLO result of $\Gamma_t = 1.32 \text{ GeV}$,¹¹⁷ indicating that higher-order corrections are unimportant. A deviation from the SM prediction could indicate the presence of non-SM decay channels, such as decays through a charged Higgs boson, 118 the supersymmetric top-quark partner, 119 or a flavor-changing neutral current.¹²⁰ A direct measurement of Γ_t provides general constraints on such hypotheses. CDF measured Γ_t in lepton+jets events¹²¹ using the full luminosity of 8.7 fb⁻¹ and the template method applied in the m_t measurement described previously. The change in this case is that m_t is fixed to 172.5 GeV and instead teamplates of Γ_t are constructed. The fit of the data yields $1.10 < \Gamma_t < 4.05$ GeV at the 68% C.L., corresponding to a lifetime of $1.6 \times 10^{-25} < \tau < 6.0 \times 10^{-25}$ s. For a typical quark hadronization time scale of 3.3×10^{-24} s,¹²² this result supports the assertion that top-quark decay occurs before hadronization.

D0 extracted indirectly the decay width from the $|V_{tb}|$ CKM matrix element,

which was derived from a single top-quark cross section measurement using data corresponding to 5.4 fb⁻¹ of luminosity.⁷⁴ In this analysis, the total width Γ_t is extracted from the partial decay width $\Gamma(t \to Wb)$ and the branching fraction $B(t \to Wb)$. $\Gamma(t \to Wb)$ is obtained from the t-channel single top-quark production cross section and $B(t \to Wb)$ is measured in $t\bar{t}$ events. For a top-quark mass of 172.5 GeV, the result is $\Gamma_t = 2.00_{-0.43}^{+0.47}$ GeV, which translates to a top-quark lifetime of $\tau = (3.29^{+0.90}_{-0.63}) \times 10^{-25}$ s, in good agreement with the results from the CDF direct measurement and the SM prediction.

Fig. 25. Number of b-tagged jets in the D0 data, compared with the signal and background expectations for various values of $R = B(t \rightarrow Wb)/B(t \rightarrow Wq)$. Left: Data and expectations in lepton+3 jets events. **Right:** Data and expectations in lepton+ >4 jets events.

6.3. Top Quark Couplings

The $|V_{th}|$ CKM matrix element is directly constrained by measurements of the single top-quark production cross section, as discussed in section 4.3. However, it can be indirectly constrained by measuring the ratio $R = B(t \rightarrow Wb)/B(t \rightarrow Wq)$ of the branching fraction for top-to-bottom quark decays to the branching fraction for topto-any "down" quark decays, including b, if a hypothesis on the dimension of the CKM mixing matrix (i.e., the number of quark flavors) is made and the unitarity property of the matrix is used. The SM hypothesis involves six flavors, three "up" (u, c, t) and three "down" (d, s, b) , corresponding to a 3×3 CKM matrix. Then $R = |V_{tb}|^2 / \sum_{q=d,s,b} |V_{tq}|^2$ ²⁴ Using the existing constraints on $|V_{ts}|$ and $|V_{td}|$, the SM predictions are $|V_{tb}| = 0.99915^{+0.00002}_{-0.00005}$ and $R = 0.99830^{+0.00004}_{-0.00009}$. A measured deviation from these predictions would be an indication of new physics: an extra generation of quarks, non-SM top-quark production, or non-SM background to topquark production. Both CDF and D0 Collaborations have measured R by measuring

the number of b-tagged jets in the final state of $t\bar{t}$ candidate events. CDF analyzed events both in the lepton+jets channel¹²⁴ and in the dilepton channel¹²⁵ from a sample corresponding to the full luminosity of 8.7 fb⁻¹. In the lepton+jets channel, a ratio $R = 0.94 \pm 0.09$ or $R > 0.785$ at 95% C.L. was extracted and a $|V_{tb}| =$ 0.97 ± 0.05 or $|V_{tb}| > 0.89$ at 95% C.L was deduced. In the dilepton channel, a ratio $R = 0.87 \pm 0.07$ or $R > 0.73$ at 95% C.L. was extracted and a $|V_{tb}| = 0.93 \pm 0.04$ or $|V_{tb}| > 0.85$ at 95% C.L was deduced. D0 analyzed together lepton+jets and dilepton events from a sample corresponding to 5.4 fb⁻¹ of luminosity,¹²⁶ extracted a ratio $R = 0.90 \pm 0.04$ or $0.82 < R < 0.98$ at 95% C.L., and deduced a $|V_{th}| = 0.95 \pm 0.02$ or $0.90 < |V_{tb}| < 0.99$ at 95% C.L. Figure 25 shows the number of b-tagged jets expected for various R values, compared with the D0 lepton+jets data. The results from both experiments are consistent with the SM expectation, although the D0 result agrees with the expectation only at the 1.6% level.

D0 has also explored departures from the $V - A$ form of the electroweak interaction of the top quark predicted by the SM, by combining the information from single top-quark production and W helicity fractions measured with data corresponding to 5.4 fb⁻¹ of luminosity.¹²⁷ In this search, 95% C.L. upper limits on the magnitudes of anomalous Wtb R-handed vector and L,R-tensor coupling form factors are placed at a new physics scale $\Lambda = 1$ TeV.

Fig. 26. Left: The distribution of $\cos \theta_1 \cos \theta_2$ measured by D0 in dilepton events and compared with SM predictions for the total background and for the signal with and without spin correlations. Right: The discriminant R distribution fitted to the D0 dilepton data and compared with SM predictions for the total background and for the signal with and without spin correlations.

6.4. Spin Correlation in $t\bar{t}$ Events

On the production side, while in the SM top and anti-top quarks are produced unpolarized in hadron collisions, the orientations of their spins are correlated.¹²⁸ Since the top quarks decay before hadronization, the correlation between the direction of the spin of the top and the anti-top quark cannot be affected by fragmentation.¹²² This contrasts with longer-lived quarks, for which the spins become

decorrelated by strong interactions before they decay.¹²⁹ The orientation of the spin of the top quark is therefore reflected in the angular distributions of its decay products. The strength of spin correlation depends on the production mechanism and differs, for example, for $q\bar{q} \to t\bar{t}$ and $gg \to t\bar{t}$.¹³⁰ The correlation strength is defined $d^2\sigma/d\cos\theta_1d\cos\theta_2 = \sigma(1 - C\cos\theta_1\cos\theta_2)/4$, where σ denotes the cross section and θ_1 and θ_2 are the angles between the spin quantization axis and the direction of flight of the decay leptons (for leptonically decaying W bosons) or jets (for hadronically decaying W bosons) in the parent t and \bar{t} rest frames. The value $C = +1$ (-1) corresponds to fully correlated (anticorrelated) spins and $C = 0$ corresponds to uncorrelated spins, while the SM prediction at NLO using the beam momentum vector as the spin quantization axis is $C = 0.777^{+0.027}_{-0.042}$.¹³¹ The charged leptons from the $t \to W^+b \to l^+\nu_l b$ and $\bar{t} \to W^-\bar{b} \to l^-\bar{\nu}_l \bar{b}$ decays are the probes with the highest sensitivity to the direction of the t and \bar{t} quark spin, respectively. Therefore, the dilepton final state is more suitable for measurements of the spin correlation strength.131, 132

Both CDF and D0 have measured the spin correlation strength. CDF measured a strength of $C = 0.60 \pm 0.50$ (stat) ± 0.16 (syst) = 0.60 ± 0.52 using 1001 lepton+jets candidate events selected from a data sample corresponding to 4.3 fb^{-1} of luminosity.¹³³ The measurement was done by fitting a 2-dimensional distribution constructed from the $\cos \theta_1 \cos \theta_2$ bilinear, where in one dimension the angles correspond to the lepton and the d-quark jet (identified as the closest to the b-quark jet in the W boson rest frame) from the leptonic and hadronic W decay, respectively, and in the other dimension they correspond to the lepton and the b-quark associated with the hadronically decaying W . D0 initially measured a strength of $C = 0.10 \pm 0.45$ (stat + syst) by fitting the $\cos \theta_1 \cos \theta_2$ distribution of the leptons in a data sample of dilepton candidate events corresponding to 5.4 fb^{-1} of luminosity.¹³⁴ Next, D0 measured the fraction of strength relative to the SM prediction $f = 0.74_{-0.41}^{+0.40}$ (stat + syst) using the same data sample of dilepton candidate events,¹³⁵ but this time employing a matrix element technique based on the discriminant $R = P_c/(P_c + P_u)$, where P_c and P_u are the per-event probability densities for the hypotheses of correlated spins as predicted by the SM and of uncorrelated spins, respectively.¹³⁶ Figure 26 shows the distributions of $\cos \theta_1 \cos \theta_2$ used in the first measurement and of R used in the second one. The second $D0$ measurement excluded the hypothesis of uncorrelated top-quark spins at the 97.7% level. Later, D0 measured $f = 1.15_{-0.43}^{+0.42}$ (stat + syst) from a sample of lepton+jets events corresponding to 5.3 fb^{-1} of luminosity using the same matrix element technique as the measurement in the dilepton channel.¹³⁷ By combining with the result measured in the dilepton channel, the fraction $f = 0.85 \pm 0.29$ (stat + syst) is determined, which translates to a probability of 0.16% for the true fraction to be zero (no correlations). Therefore, the combined result provided the first evidence of SM spin correlation at 3.1 standard deviations.

6.5. Lorentz Invariance

D0 also investigated the possibility of violation of Lorentz invariance in the framework of the standard-model extension $(SME)^{138}$ in the top-quark sector, 139 by examining the $t\bar{t}$ production cross section in lepton+jets events selected from data corresponding to 5.3 fb⁻¹ of luminosity.¹⁴⁰ The violation is quantified using the SME prediction of a dependence of the cross section on sidereal time as the orientation of the detector changes with the rotation of the Earth. Within this framework, D0 measured coefficients used to parametrize violation of Lorentz invariance in the top-quark sector and found them consistent with zero, within uncertainties.

6.6. Top Quark Charge

Finally, an interesting investigation performed by both CDF and D0 concerns the top-quark electric charge. Determining that the top quark decays into a W^+ boson and a bottom quark while the anti-top quark decays into a W^- boson and an anti-bottom quark would ensure indirectly that the electric charge of the (anti-)top quark is indeed $(-)2/3e$, as expected by the SM. If events were found to contain decays into a W^- and bottom-quark final state, the charge of the decaying particle would be $-4/3e$, incompatible with the SM top quark.¹⁴¹ In this hypothesis, the quark of mass around the 173 GeV is assumed to be part of a fourth generation of quarks and leptons, while the SM top quark is heavier than 230 GeV. Even though by the time of the CDF and D0 measurements this model was strongly disfavored by the measurements of single top quark production, the charge correlations between b-quark jets and W bosons in $t\bar{t}$ events had not yet been definitively established by that time. Therefore, it was worth of testing directly the hypothesis of an exotic quark decay. Both experiments tested this hypothesis in events with a lepton+jets final state, D0 using 2.7 fb⁻¹ of luminosity¹⁴² and CDF using 5.6 fb⁻¹ of luminosity.¹⁴³ Both experiments excluded the exotic quark hypothesis, D0 at the 95% level and CDF at the 99% level. The latest result from D0 uses 5.3 fb⁻¹ of fully reconstructed lepton+jets events¹⁴⁴ and excluded the hypothesis that the top quark has a charge of $Q = +4/3e$ at a significance greater than 5 standard deviations. The analysis also places an upper limit of 0.46 on the fraction of such quarks that can be present in an admixture with the standard model top quarks $(Q = +2/3e)$ at a 95% confidence level.

Figure 27 shows the top charge in data compared with predictions of the SM and the exotic top-quark model. The SM prediction is clearly preferred by the data.

7. Summary and Conclusions

The top-quark discovery in Run I was the major success of the Tevatron. After nearly 30 years from the foundation of the SM, this discovery completed the proof of the SM predictions for the fundamental fields of matter. In Run II, the Tevatron

Fig. 27. Left: Distribution of the product of the W boson charge times the b-jet charge for lepton+jets events selected from CDF data corresponding to 5.6 fb⁻¹ of integrated luminosity. Shaded histograms show stacked SM signal and total background predictions. The dashed line shows the exotic model (XM) expectation assuming SM backgrounds and a top-quark charge of −4/3. SM-like candidates are on the negative side of the plot while XM-like candidates are on the positive side. The outermost bins correspond to b-jet charge exactly ± 1 . Right: Combined distribution in the charge for $t\bar{t}$ candidates in D0 data compared with expectations from the SM and the BSM. The background contribution (BG) is represented by the green-shaded histogram.

experiments, CDF and D0, studied extensively the properties and interactions of the top quark with the other fields of the SM by using two orders of magnitude larger data samples than those available in Run I. The quest for the top quark in Run I turned into a precision study of top-quark physics in Run II. The top-quark pair production cross section has been measured at a precision of the order of 5%. Single top-quark production has been observed in both s and t channels. The top-quark mass has been measured with a precision of order 0.4%. Its properties have been measured and found consistent with SM predictions, in most cases at the level of 5σ observation and in some cases at the level of 3σ evidence. The top-quark physics extracted from the Tevatron data agrees within 2σ of experimental uncertainty, or better in most cases, with SM predictions of NLO and in some cases of NNLO accuracy in the strong and weak coupling constants. Many searches in the top-quark sector have been performed at the Tevatron, testing fundamental symmetries of the SM and setting sensitive exclusion limits on many new physics scenarios. Many new tecnhiques for precision measurements in the top-quark sector have been developed and established at the Tevatron, from multivariate methods for single top-quark measurements where the signal-to-background ratio is very low to sophisticated event reconstruction algorithms and jet energy scale calibration for top-quark mass measurements. The precision of top-quark measurements reached at the Tevatron has been driving the advance of theoretical top-quark physics calculations over the recent years. Top-quark physics, which was established at the Tevatron, is the flagship of its legacy.

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