

keeping the adaptation process external to the controlled system (Figure 1).

A. Control-loop architecture

As a solution, we employ an external feedback loop designed to externalize the adaptation logic out of the controlled system to allow for easier conception, maintenance and modification of the adaptive behaviors. In this approach, the system is monitored and compared to a system model that determines if or how the system should be adapted, in response to either internal behavioral changes or external stimuli. It differs from the ad hoc and static approaches that may often be used in practice, which are typically system-specific and restricted to a class of similar applications. Figure 1 illustrates our adaptive architecture.

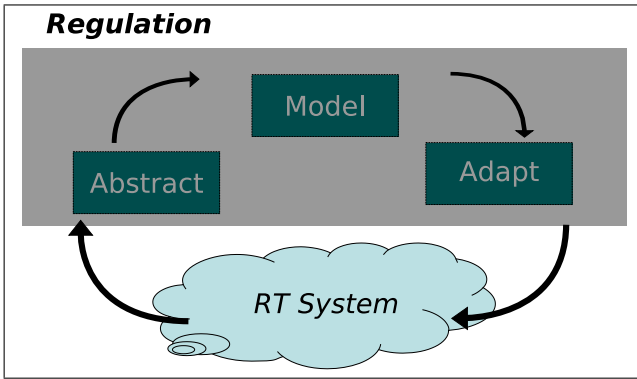


Fig. 1. Self-adaptation scheme

B. Decomposition

In our adaptation solution, each section of the framework is decomposed into independent components (event generators, inference engine, model evaluator and actuators) that encapsulate their design and implementation and work independently from the rest of the system. Called *modules*, these components communicate through standard interfaces that employ various technologies such as direct calls, JNI calls or interprocess communications (see section IV). Modules are designed, implemented and refined without regard to other parts of the framework and rely on standardized interactions, which makes them independent from the actual system and communications logic.

As shown in Figure 2, the approach employs a minimum of dimensions involved in adaptive behaviors: system observation, system modeling and system correction. These modules are separated, so that the adaptation loop can be substituted easily. In other words, different strategies or methodologies for observation, modeling and executing adaptation can be integrated based on the application needs. For instance, we use a knowledge-based system for observation, an event-driven Finite State Machine (FSM) for modeling the system adaptation and a multithreaded server to independently perform the system's automatic adaptation. Specific real-time applications can use different adaptation models (such as Specification and Description Language (SDL) models) for representing

the running system, while having identical monitoring and adaptation modules. Such separation and independence not only enhance software reusability, but also, more importantly, provide additional dimensions of flexibility in choosing adaptation schemes and building self-adaptive applications.

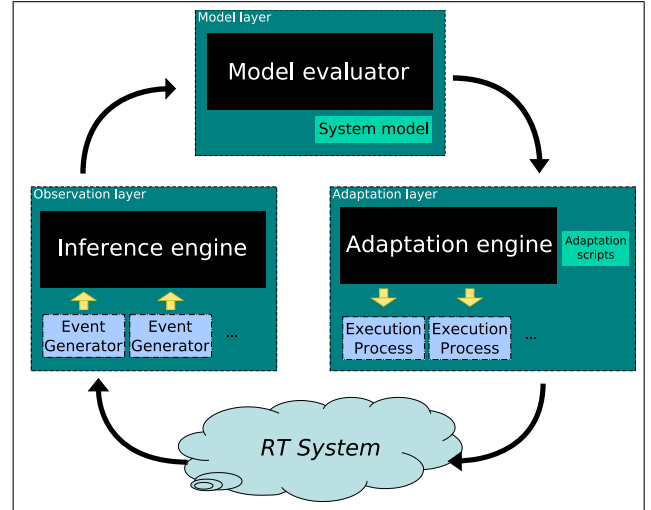


Fig. 2. Layers of self-adaptation

C. Observation layer

The observation layer consists of an interface to the controlled system, which allows for monitoring event traffic and introspecting component states, and an inference module, which *abstracts system-level events into model-domain events*, and hence abstracts the state of the controlled system. In our prototype implementation (see the next section), we use a knowledge-based system to analyze a stream of events and generate new information about the system. The purpose of the inference engine is to transform a stream of low-level monitoring events into higher-level state change events. For example, increasing message queues can be interpreted as network performance degradation and generate the network degradation event. The remainder of the adaptation loop works exclusively on the system model events, such as our example network performance degradation event, and is screened from the numerous low-level events coming from the controlled system. How this information is determined can be altered without disrupting the rest of the adaptation system.

D. Model layer

Following the information produced by the inference module, the model module *maps the system's activity onto a model of the system* to choose how or whether the application should adapt or reconfigure. The model engine adds an intermediate level between observation (such as a network degradation) and applied reaction (such as a higher compression rate). It evaluates the specified model to trigger the adaptation strategies.

In the current version, the adaptation model of the system is abstracted through an event-driven FSM that defines the

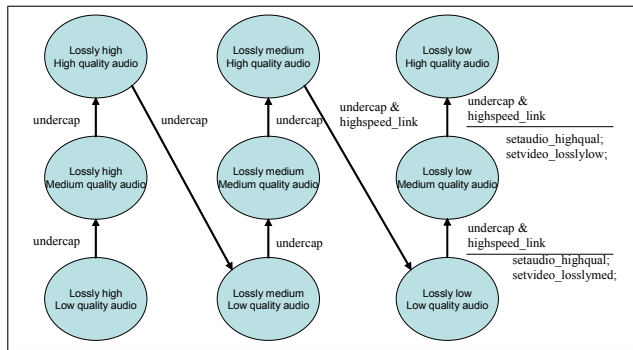


Fig. 3. Partial example of a model controlling a multimedia application. Adaptive behaviors and evolution of the software can be altered by changing the graph (undercap and highspeedlink are inferences from low-level events).

desired adaptive behavior of the system. This allows for sophisticated adaptive behaviors that are easily alterable and comprehensible. The states used in the FSM may differ from the functional model of the system, as various states of the system may not be considered by the adaptation. In our current design, the FSM produces *service requests* when it transitions between states in response to the events generated by the inference module (see figure 2).

E. Correction layer

The correction layer applies the service requests determined by the model module. The execution of a service request is hence decoupled from its selection. The correction module is designed to execute service requests while maintaining the system's consistency (such as flushing a subsystem before reordering the components in a dataflow subsystem [10]). Inspired by [4], [5], service requests are currently implemented as scripts operating on the target system. These scripts implement the activation procedures used to perform functional or structural adaptation.

The activation layer is designed to hide the specificity of the adaptation scripts (e.g. steps for changing compression algorithms) from the model of the system. This significantly simplifies the adaptive model of the system, as the details of these requests (e.g. switch to a higher compression rate) can be redefined later on without any changes to the adaptive model.

IV. IMPLEMENTATION

Currently, a prototype implementation of the proposed framework in Java is available. Relying on a design by interfaces, the current framework is centered around the concept of *events*, which are discrete tuples of information transiting between modules, and abstract *channels* that transfer events between modules. The framework includes an inference module based on Jess, a sophisticated knowledge engine developed at Sandia Lab [11], an event-driven FSM module to represent the system as a state machine, and a multithreaded adaptation module, to service and assign worker threads to execute adaptation scripts. In addition to the presented features, the framework also implements necessary utility classes (specialized channels, display GUI, etc.).

V. CONCLUSION

In this article, we presented a framework and methodology for adding an external control and adaptation capabilities to existing systems. The solution emphasizes separation of concerns and modularity of the adaptation process. Similarly to other efforts, its purpose is to design general mechanisms to develop systems that would adapt to their operating conditions and operate autonomously, and therefore fulfill the need for self-adaptive software expressed by the industry.

Our solution relies on previous work in external, control-based and model-based self-adaptation, but is distinguished by its decomposition of the adaptation process. The framework is decomposed into the observation, model and correction layers that are virtually independent from each other. Hence, it supports modularization and allows for the adaptation process to be customized for specific needs or application domains. The observation, model and correction modules can be substituted to fulfill a particular need. The framework relies on the first layer, the observation module, to infer information about the controlled system and allow adaptation decisions to be based on high level information rather than many low-level events. Then, the model module maps these observations onto an actual model of the system, which in turn permits situational adaptation. Finally, the correction module independently executes appropriate adaptation tasks and abstracts away the concerns necessary to realize the adaptation.

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