



Fermi National Accelerator Laboratory

FERMILAB-Pub-98/260

**Compensation of Beam-Beam Effects
in the Tevatron with Electron Beams**

V. Shiltsev, V. Danilov, D. Finley and A. Sery

*Fermi National Accelerator Laboratory
P.O. Box 500, Batavia, Illinois 60510*

October 1998

Submitted to *Physical Review Special Topics - Accelerators and Beams*

Operated by Universities Research Association Inc. under Contract No. DE-AC02-76CH03000 with the United States Department of Energy

Disclaimer

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, expressed or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

Distribution

Approved for public release; further dissemination unlimited.

Copyright Notification

This manuscript has been authored by Universities Research Association, Inc. under contract No. DE-AC02-76CH03000 with the U.S. Department of Energy. The United States Government and the publisher, by accepting the article for publication, acknowledges that the United States Government retains a nonexclusive, paid-up, irrevocable, worldwide license to publish or reproduce the published form of this manuscript, or allow others to do so, for United States Government Purposes.

Compensation of Beam-Beam Effects in the Tevatron with Electron Beams

V. Shiltsev, V. Danilov[†], D. Finley, A. Sery[‡]
*Fermi National Accelerator Laboratory**, Batavia, IL 60510, USA

The beam-beam interaction in the Tevatron collider sets limits on bunch intensity and luminosity. These limits are caused by a tune spread in each bunch which is mostly due to head-on collisions, but there is also a bunch-to-bunch tune spread due to parasitic collisions in multibunch operation. We propose to compensate these effects with the use of a counter-traveling electron beam, and present general considerations and physics limitations of this technique.

41.75.Lx, 29.27.Bd, 41.85.Ew

Contents

I	Introduction	1
II	Beam-Beam Compensation Techniques	3
A	Linear electron lens	3
B	Compensation of nonlinear beam-beam effects	4
C	“Electron compressor” to eliminate crossing angle	6
III	Electron beam for beam-beam compensation	7
A	Lower limit on the electron energy . . .	8
B	Electron beam in a magnetic field . . .	8
C	Effect of ions	9
IV	Side Effects on High-Energy Beams	11
A	Electron Beam Distortions in Beam-Beam Compensation Set-Up	11
B	Coupling due to distorted electron beam.	12
C	Head-Tail Effect Due to Electron Beam	14
D	Effect on proton beam, long-term control	17
E	Electron current fluctuations	18
F	Transverse electron beam motion . . .	18
G	Solenoid Field Quality	19
V	Discussion and Conclusions	19
A	Historical overview	19
B	Conclusions	19
C	Experimental test	20

I. INTRODUCTION

Two major Tevatron upgrade projects are under realization and consideration now. One is based on the operation of the Main Injector and the Antiproton Recycler and is called Run II, and the second is called “TEV33”.

Table I ([1], [2]) gives the main parameters of these upgrades.

The beam-beam interaction between protons and antiprotons takes place at the two head-on interaction points (IPs, located at B0 and D0 sectors), as well as at numerous parasitic crossings where the beam orbits are separated by about a dozen of their rms sizes. Since the proton beam intensity is several times the antiproton intensity, the beam-beam effects are more severe for antiprotons (\bar{p}). It is to be noted that the design value of the total tune shift for antiprotons is about the maximum experimentally achieved value for proton colliders $\Delta\nu \approx 0.025$ [3]. The “footprint area” (spread of betatron frequencies) of the \bar{p} beam with such a tune shift is large enough to also cause an increase of particle losses due to higher order lattice resonances [4].

In order to achieve sufficient beam-beam separation away from the IPs, a crossing angle of about 200 microradians between proton and antiproton orbits at the main interaction points can be used. Besides the geometrical luminosity reduction, the crossing angle may lead to synchrotron coupling, additional resonances, beam blow-up and luminosity degradation [5], although the maximum tune shift becomes smaller with the angle.

Tevatron beam injection requires some gaps in the bunch train that results in the so-called “PACMAN effect” – bunch-to-bunch variation of the betatron tunes due to long-range beam-beam interactions.

TABLE I. The Tevatron Upgrades

Parameter		Run II	TEV33
Beam energy	E_b , GeV	1000	1000
Luminosity	\mathcal{L} , $s^{-1}cm^{-2}$	$2.1 \cdot 10^{32}$	$1.2 \cdot 10^{33}$
No. of bunches (p, \bar{p})	N_b	36,36	140,121
Min. bunch spacing	τ , ns	396	132
Protons/Bunch	$N_p/10^{11}$	2.7	2.7
Antiprotons/Bunch	$N_{\bar{p}}/10^{11}$	0.75	0.6
p -emittance rms	ε_{np} , $\pi\mu m$ -rad	3.3	3.3
\bar{p} -emittance rms	$\varepsilon_{n\bar{p}}$, $\pi\mu m$ -rad	2.5	2.5
Number of IPs	N_{IP}	2	2
Interaction focus	β^* , cm	37	37
Crossing half-angle	θ_{IP} , mrad	0	0.14
Bunch length	σ_s , cm	37	37→14
\bar{p} -tune shift	$\Delta\nu_{\bar{p}}$	~ 0.020	~ 0.015
p -tune shift	$\Delta\nu_p$	0.005	0.007
\bar{p} bunch to bunch tune spread	$\delta\nu_{\bar{p}}$	0.007	0.010

The effect depends on the orbit separation around the ring and is most visible for bunches close to the gaps. For example, the larger circles in Fig.1 from [2] shows the spread in vertical and horizontal tunes for small betatron amplitude particles in all \bar{p} bunches for TEV33 with 140 proton and 121 antiproton colliding bunches. (See parameters in the Table I.) In the same Figure, the smaller circles represent the tunes of non-zero amplitude particles in three of the \bar{p} bunches. One can see that the tune spread within each bunch and the bunch-to-bunch tune spread are both about 0.008.

During Run II with 36 bunches in each beam, the bunch-to-bunch spread is expected to be about $\delta\nu \approx 0.007$, while the single bunch tune spread will be about $\Delta\nu \approx 0.018$.

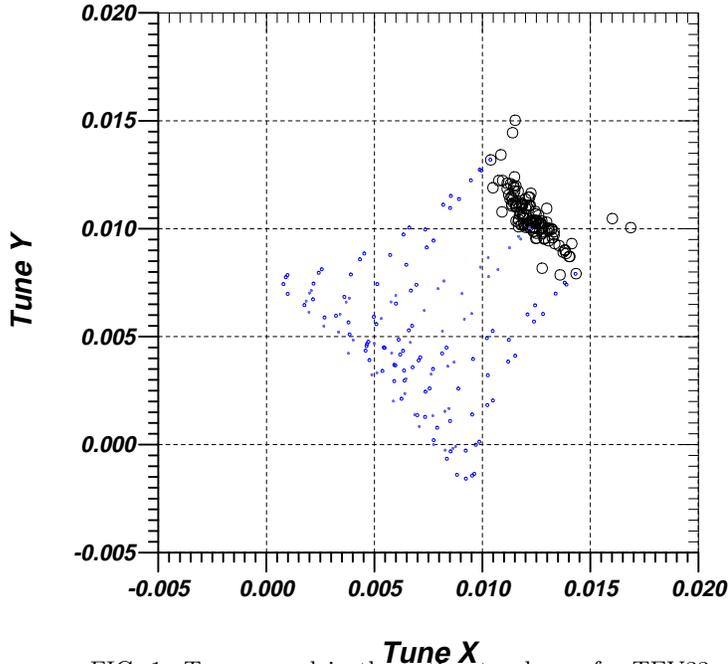


FIG. 1. Tune spread in the antiproton beam for TEV33. Large circles are for tunes of core particles in 121 antiproton bunches. Small circles are tunes of non-zero betatron amplitude particles in some bunches.

Another effect of the long-range interactions is the bunch-to-bunch variation of $x - y$ coupling due to the skew component of the beam-beam kick [2]. That is of concern because the Tevatron operates near the difference resonance $\nu_x = 20.585, \nu_y = 20.575$. These tune spreads and the estimated skew-kicks are expected to be a problem for the collider operation if uncorrected.

In this article we consider a technique for compensation of the beam-beam effects in the Tevatron with use of high current, low energy electron beam [6], [7]. The electron beam setup (schematically depicted in Fig.2) is to be installed away from the proton-antiproton interaction points at B0 and D0. It provides the electron beam which collides with the antiproton beam. The electron beam is to be born on an electron gun cathode, transported through the interaction section in a strong solenoidal magnetic field, and absorbed in the collector.

In principle, since the electron charge is opposite to the proton charge, the electromagnetic force on antiprotons due to the proton beam can be compensated by the electron beam. For the design we consider, the proton beam has to be separated from the electron and antiproton beams in the device.

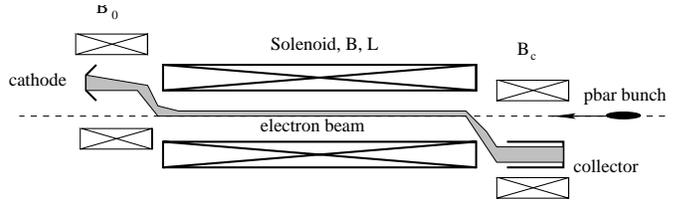


FIG. 2. Layout of beam-beam compensation device.

In Section II we consider two implementations of the proposal: 1) an “electron lens” with modulated current to provide different linear defocusing forces for different antiproton bunches in order to equalize their betatron frequencies; and 2) an “electron compressor”, that is a nonlinear DC electron lens which compensates (on average) the nonlinear focusing due to the proton beam. Section III is devoted to an analysis of the parameters and stability of the electron beam for the beam-beam compensation. Important side-effects due to the electron beam are studied in Section IV. In Section V we present a historical overview of the beam-beam compensation ideas, discuss applicability of the technique to other colliders and make conclusions on our work.

Meanings of mathematical symbols used in the paper are listed below:

$z = (x \text{ or } y)$	either horizontal or vertical coordinate
β^*	beta-function at IP
β_z	beta-function at the electron beam set-up location
$\beta_e = v_e/c$	electron velocity
$\gamma_e = \frac{1}{\sqrt{1-\beta_e^2}}$	
$\gamma(p, \bar{p})$	(p, \bar{p}) relativistic factor
σ_s	\bar{p} rms bunch length
$\sigma_z = \sqrt{\frac{\epsilon_{nz}\beta_z}{\gamma_{\bar{p}}}}$	\bar{p} rms beam size
a	radius of round electron beam
σ_e	rms transverse electron beam size
$\rho(p, \bar{p}, e)(x, y)$	(p, \bar{p}, e) charge distribution
$n_{(e,i)}$	(electron, ion) density
J	electron current
$j_e = en_e v_e$	electron current density
L	electron beam length
$\Delta\nu_z$	\bar{p} tune shift
$\Delta\nu_z^p$	p tune shift
$\Delta\nu = \nu_x - \nu_y $	difference of hor.-vert. \bar{p} tunes
ξ_z^e	\bar{p} tune shift due to electrons

II. BEAM-BEAM COMPENSATION TECHNIQUES

A. Linear electron lens

We start with the electron beam lens for compensation of the bunch-to-bunch tune spread in the Tevatron antiproton beam. Time-modulated current of an electron beam can produce defocusing forces necessary to compensate effects caused by parasitic beam-beam interactions with the proton beam. We estimate the main parameters of the electron beam and consider the resulting beam footprint.

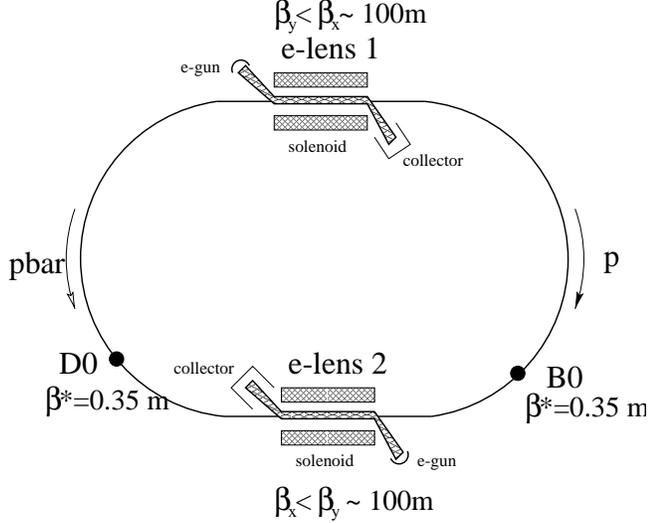


FIG. 3. Schematic Tevatron layout with two “electron lenses”.

For the tune shift of the antiproton bunch due to the electron beam, we calculate the tune shift of a particle with zero horizontal and vertical betatron amplitudes and zero energy offset. The beam-beam interaction can be described in terms of the two-dimensional potential $V(x, y)$, such that the beam-beam kicks given to a particle on each turn are equal to $\Delta z' = -\partial V / \partial z$, where z may stand for either x or y . The tune shifts for zero amplitude \bar{p} particles which collide head-on with the electron beam can be found from

$$\Delta\nu_z = -\frac{\beta_z}{4\pi} \frac{\partial^2 V}{\partial z^2}. \quad (1)$$

For a round, constant density electron beam with total current J , radius a , and interacting with antiprotons over length L , the potential is axisymmetric and equal to

$$V(r) = r^2 \frac{(1 + \beta_e) J L r_{\bar{p}}}{e \beta_e c a^2 \gamma_{\bar{p}}}, \quad r^2 = x^2 + y^2, \quad (2)$$

and the tune shifts are equal to

$$\xi_z^e = -\frac{\beta_z}{2\pi} \frac{(1 + \beta_e) J L r_{\bar{p}}}{e \beta_e c a^2 \gamma_{\bar{p}}}, \quad (3)$$

where $r_{\bar{p}} \approx 1.53 \cdot 10^{-18} \text{m}$ is the (anti)proton classical radius. For example, one needs an electron beam with about $J = 1.65 \text{ A}$ of current along a $L = 2 \text{ m}$ length, with $a = 1 \text{ mm}$ radius, and energy 10 kV ($\beta_e = 0.2$) in order to obtain $\xi^e \approx -0.01$ in the Tevatron collider with parameters $\gamma_p \approx 1066$, $\beta_z = 100 \text{ m}$. If the electron beam radius a is several times the \bar{p} rms beam size σ_z , then most antiprotons have nearly equal tune shifts. The variable in time electron current can be used for the compensation of the bunch-to-bunch tune spread.

Eq.(3) shows that both horizontal and vertical tune shifts ξ_x^e, ξ_y^e due to head-on collision with electrons have the same (negative) sign. In contrast, long-range beam-beam proton-antiproton interaction at parasitic crossings shift horizontal and vertical tunes in opposite directions $\Delta\nu_x \approx -\Delta\nu_y$. The resulting bunch-to-bunch tune spread along the line $\Delta\nu_x + \Delta\nu_y$ is several times the spread along $\Delta\nu_x - \Delta\nu_y$ as seen in Fig.1.

Obviously, two electron lenses - one at a location with the horizontal beta-function larger than vertical $\beta_x \gg \beta_y$, and another one at $\beta_x \ll \beta_y$, see Fig.3 - can compensate any bunch-to-bunch tune spread. The first one will produce a larger tune shift in the horizontal plane, and the second in the vertical plane.

For our numerical simulations we chose two locations in the Tevatron for two “electron lens” devices – one at the short straight location called F48, and the other at the upstream end of the C0 straight section. Parameters of these locations and the two corresponding electron beams are presented in Table II. We assume round constant density electron beams with radius about twice the maximum of the horizontal or vertical \bar{p} sizes at the corresponding location $\sigma_{x,y} \simeq 0.5 - 0.7 \text{ mm}$. Let us demonstrate the compensation technique for the bunch-to-bunch tune spread shown in Fig.1.

If we denote the currents in the two electron lenses as $J_1(t)$ and $J_2(t)$, then the core particles’ tune shifts due to electrons are equal to:

$$\xi_z^e(t) = \beta_{1,z} \cdot J_1(t) \cdot C_1 + \beta_{2,z} \cdot J_2(t) \cdot C_2. \quad (4)$$

The constants are $C_{1,2} \approx \frac{3.03 \cdot 10^{-5} \cdot L[\text{m}]}{a_{1,2}^2[\text{mm}]}$ for $\beta_e = 0.2$.

TABLE II. Bunch-to-bunch electron beam lenses

Parameter	Location 1	Location 2
Horiz. beta-function, $\beta_{1,2}^x$, m	101.7	59.0
Vert. beta-function, $\beta_{1,2}^y$, m	30.9	110.1
Round e-beam radius $a_{1,2}$, mm	1.2	1.2
Length of the e-beam, L , m	2	2

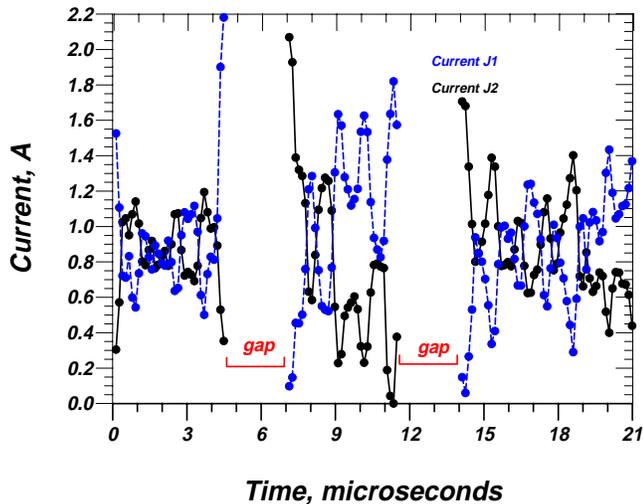


FIG. 4. Currents in the two electron lenses to compensate the bunch-to-bunch tune spread in the 140×121 bunches scenario - see text.

Full compensation of the tune spread requires the currents to be solutions of two linear equations $\xi_{x,y}^e(t) = -\Delta\nu_{x,y}(i)$, where i enumerates the bunch number and, therefore, $t = \tau \cdot i$. The currents $J_1(t)$ and $J_2(t)$ vs. time t for the TEV33 operation scenario with 140 p bunches and 121 \bar{p} bunches are shown in Fig.4. The patterns of these currents have to be repeated periodically with the Tevatron revolution period of about 21 μs . Positions of all antiproton bunches are marked by circles in Fig.4. Minimum bunch spacing is $\tau = 132\text{ns}$. Two gaps between bunch trains are seen, too; thus, the total number of bunches $N_b = 121$ is less than $159 = 21\mu\text{s}/132\text{ns}$ (if every seventh bucket is filled in the Tevatron). The maximum current is about 2.2 A. The currents J_1, J_2 have complicated waveforms needed to compensate the tune shifts seen in Fig.1. The result of implementing these lenses would be that all core particle tunes of all the bunches would become identical.

Fig.5 shows the initial 121 bunch tunes and the resulting bunch tunes assuming a 10% compensation error (see circles in the lower left corner). Such an error may be due to current mismatch, inadequate beam-beam model or imprecision of the single bunch tune diagnostics. Again, without errors, the result of compensation would look like a point in Fig.5.

Linear electron lenses with an electron beam radius wider than the rms \bar{p} size almost do not distort the footprint of each bunch [7], and, therefore, the compensation of the bunch-to-bunch tune spread only will give about a two-fold reduction of the tune area covered by the Tevatron \bar{p} beam.

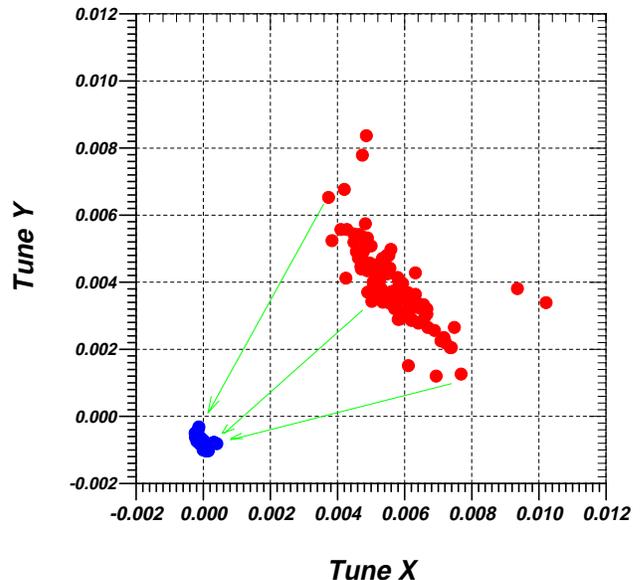


FIG. 5. Resulting \bar{p} bunch tune shifts (core particles only) with 10% error of the compensation.

B. Compensation of nonlinear beam-beam effects

The interaction with other than a wide constant-density electron beam will not only shift the antiproton beam tunes, but will also distort the \bar{p} footprint in a way which depends on the transverse electron charge distribution, $e - \bar{p}$ separation, crossing angle in the setup, etc. Let us consider the simplest example of the footprint due to “head-on” collisions of round Gaussian equal size proton and antiproton beams as shown in Fig.6. There, the large leaf shows betatron horizontal and vertical tunes (in units of the beam-beam parameter $\xi^p \equiv N_{IP} N_p r_p / 4\pi\epsilon_n$) for antiprotons with different betatron oscillation amplitudes. E.g., a zero amplitude particle has tunes $\Delta\nu_z(0, 0) = +\xi^p$, very large amplitude particle tunes are not shifted at all $\Delta\nu_z(\infty, \infty) = 0$, and tunes for horizontal and vertical amplitudes of $(1, 2, 3, 4) \times$ the rms beam size σ are presented and labeled.

The spread of the betatron frequencies (footprint) may enhance dynamic diffusion of particles due to high order resonances, increase radiation background in detectors, and limit beam lifetime and luminosity.

With the use of an electron beam one can in principle shrink the \bar{p} footprint to a point if a) the electron transverse charge distribution $\rho_e(r)$ is the same as in the proton beam $\rho_p(r)$; b) the \bar{p} beam distribution at the “electron compressor” is the same as at the IPs (but scaled in size and with zero dispersion); and c) the total electron beam charge $eN_e = JL/(\beta_e c)$ on the path of the \bar{p} beam (e.g. over the length L of the central solenoid in Fig.2) satisfies the equality condition of the beam-beam tune shifts. This equality condition for protons and electrons is:

$$\xi^e \equiv -\frac{N_e r_p (1 + \beta_e)}{4\pi\epsilon_n} = -\xi^p. \quad (5)$$

For simplicity, if we assume equal horizontal and vertical emittances and beta functions for antiprotons at the electron beam “compressor” device, then from Eq.(5) we get

$$N_e = N_{IP} N_p / (1 + \beta_e). \quad (6)$$

This gives for TEV33 $N_e \approx 4.5 \cdot 10^{11}$ for $\beta_e = 0.2$. For $L = 3$ m one needs $J = 1.44A$.

Evidently, it would be ideal to collide all three beams in one interaction point (IP). Then, under conditions a), b) and c) the electromagnetic force due to protons can be compensated by electrons and the antiprotons would experience no total kick at the IP. Unfortunately, in that case the non-relativistic electron beam will affect protons almost as effectively as antiprotons (see Section IV.D below) and it is not desirable. In addition, stability of the electron and antiproton beams (see Sections III and IV) prefers larger electron and antiproton beam sizes and lower current densities. Since the size is about the proton beam size, the electron beam is better installed at a large beta function location, e.g. at $\beta_z = 200$ where $\sigma_z \approx 0.8$ mm rms, and it definitely should not be set at the interaction point, where the sizes are the smallest (about 30 μ m rms at the IP $\beta^* = 37$ cm), and vary over distances of about the bunch length $\beta^* \sim \sigma_s$. Moreover, there is no space at the interaction region for the necessary additional equipment. Good candidates are some locations near the Tevatron IPs where the beta-functions can be as big as $\beta_p \simeq 1000$ m, but at present there is no available space in the superconducting magnet lattice for the electron beam set-up at the locations where the the horizontal and vertical β_p are the same, and the dispersion function is equal to zero. Zero dispersion is desirable to avoid the possibility of synchro-betatron effects. Another possibility is to set the device at some other location, most probably in one of the Tevatron straight sections. The ideal straight section would provide a) equal horizontal and vertical beta-functions, and b) zero (or minimum) dispersion over the region of interaction with the electron beam, c) betatron phase advances between the IP and the electron beam set-up to be multiple of 2π .

Rather effective footprint compression can be achieved even with non-Gaussian electron charge distributions. For example, Fig.6 demonstrates the beam footprint compressed by an electron beam with charge density profile proportional to $\rho_e(r) \propto \frac{0.83}{1+(r/\sigma)^8}$ - as shown by the line marked by crosses in Fig.7. For convenience of presentation we have separated the smaller footprint horizontally (in fact it would be around zero tune point $\nu_{(x,y)} = 0$) from the larger “leaf” due to “head-on” collisions with round Gaussian proton beam with charge distribution $\rho_p(r) = (eN_p/2\pi\sigma^2) \cdot \exp(-\frac{r^2}{2\sigma^2})$ - as shown by the solid line in Fig.7. One can see a significant reduction (6 times) of the tune spread with use of the electron beam.

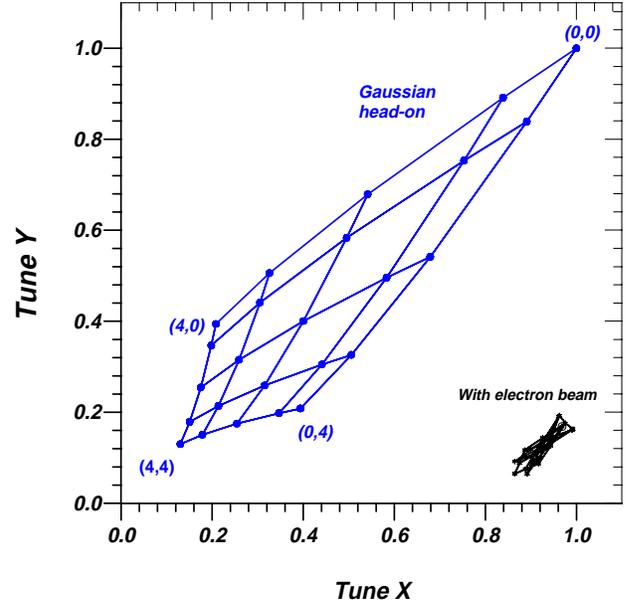


FIG. 6. “Electron compression” of the “head-on” \bar{p} footprint. Tunes are given in units of the head-on beam-beam parameter ξ^p . Numbers in parentheses show the horizontal and vertical betatron amplitudes in units of the rms antiproton beam size. The case with electron beam is displaced for clarity.

Of course, the picture of the footprint compression is idealized. The beam-beam footprint itself can be significantly distorted by “imperfections” such as a crossing angle at the interaction point, or numerous parasitic interactions in multibunch colliders at the locations where two beams do not actually collide but still interact via long-range electromagnetic forces. The collider focusing lattice itself is not linear and this should also be taken into account. An additional difficulty is that nonlinearities are numerous and distributed over the collider ring while only one or very few electron beam set-ups can be installed. Finally, it does matter what is the ratio of the electron beam length to the beta-function at its location. Indeed, the proton bunch length of about $\sigma_s \simeq 37$ cm is comparable to beta-function at the interaction point β^* . Therefore, the betatron phase advance for antiprotons at the main IP is large $\psi_z = \int ds/\beta_z \simeq \sigma_s/\beta^* \simeq 1$. In contrast, the electron beam length of about 2-3 m is much less than the beta function at the compensation set-up, and the corresponding betatron phase advance of antiprotons passing the electron beam is very small $\psi_z \simeq 0.01 - 0.02$. Thus, the electron beam kick looks like a delta-function when transformed to the main IP. Consequently, such a short impact from the electrons contains a lot of resonance harmonics, although the average actions due to proton and electron beams are the same. One can reduce the betatron tune spread with a non-linear lens, but this alone does not assure that the motion is more stable than that with no compensation, because the resonance strengths sometimes can be more important than the tune spread.

The electron beam set-up can use several “knobs” in order to obtain better compensation: the transverse distribution $\rho_e(r)$, the separation of the electron beam from the \bar{p} orbit, the angular separation between the beams, and the choice of the horizontal/vertical antiproton beta-functions in the electron beam region. There are also a few less flexible options like variation of the electron beam radius a along the set-up, and the installation of several electron beam devices. For any specific goal (e.g. “head-on” beam-beam interaction compensation) only a few of the “knobs” need to be implemented for the device to be useful.

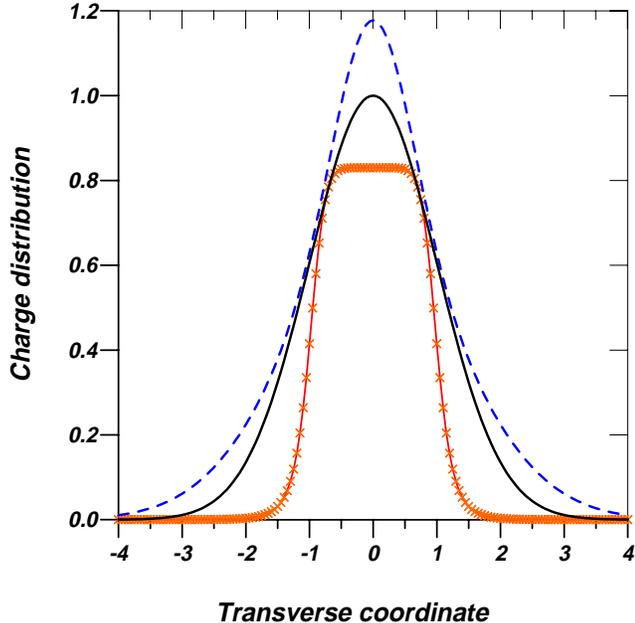


FIG. 7. Charge distributions. Solid line - Gaussian for antiprotons, \times -marked line - electron beam with $\rho_e(r) \propto \frac{0.83}{1+(r/\sigma)^8}$, dashed line is optimized electron beam distribution - see Eq.(8) and text.

In Ref. [8] we discuss the possibility of adding a single thin nonlinear lens to some arbitrary nonlinear lattice in such a way that the particle motion in the modified structure would become resonance-free, though nonlinear, and the beam of particles would have a zero footprint. A numerical method to construct such maps is as follows: let us choose the tune equal to a resonant one, e.g. the 20th order resonance. There is a simple way to determine whether the motion of a particle with each particular initial condition has that frequency or not: one calculates the squared differences of coordinates and momenta at the beginning and at the end of 20 successive map transformations. E.g. in the normalized variables $x = \sqrt{\varepsilon} \cos(\psi)$ and $x' = -\sqrt{\varepsilon} \sin(\psi)$ (ψ is the betatron phase), one takes the summation over some region of initial conditions and gets an optimization function \tilde{F} :

$$\tilde{F} = \sum_j \frac{(x_f - x_i)^2 + (x'_f - x'_i)^2}{x_i^2 + (x'_i)^2}, \quad (7)$$

where symbols i and f are for initial and final normalized coordinates and angles, respectively, and the index j denotes different phase space elements of initial conditions. The denominator of this expression is added in order to give trajectories with small and large amplitudes the same weight. When this function is equal to zero $\tilde{F} = 0$,

- 1) the frequencies of all particles are equal to the particularly chosen value (1/20 in our case);
- 2) the strength of the resonance is equal to zero.

For resonant islands we get the same average frequency for all phase space elements of the island, but the motion inside the island has its own frequency. It gives a nonzero difference of initial and final conditions after the number of turns is equal to the number of the resonance (20 turns in this case). When the function \tilde{F} is equal to zero, the motion inside the island is degenerate, so the resonance strength is equal to zero.

A numerical code has been developed that deals with minimization of the function \tilde{F} by variation of the transverse electron charge distribution. This is chosen as a sum of Gaussian distributions with different rms values:

$$\rho_e(r) = \sum_{n=1}^6 C_n \exp\left(-\frac{n \cdot r^2}{4\sigma^2}\right), \quad (8)$$

here C_n are variable coefficients for optimization and σ is the rms transverse proton beam size at the location of the electron beam. In simulations the electron beam produces a delta-function kick because of its short effective length, while the proton bunch length is presented as a number of short slices. The numerical code finds coefficients C_n depending on the proton bunch length and the beam-beam parameter ξ^p . The synchrotron amplitude of antiprotons is taken to be zero. In the process of optimization the value \tilde{F} usually decreases by a factor of 1000.

For example, for $\sigma_s = 2\beta^*$, equal horizontal and vertical beta-functions $\beta_x^* = \beta_y^* = 35\text{cm}$, tune shift (due to protons) $\xi^p = 0.05$, the optimization results in coefficients $C_1 = 0.576, C_2 = 0.048, C_3 = 0.08, C_4 = 0.042, C_5 = 0.04, C_6 = 0.4$ in the units of a Gaussian proton charge distribution corresponding to $C_2 = 1, C_1 = C_3 = C_4 = C(etc.) = 0$. The dashed line in Fig.7 displays the resulting “optimized” distribution, and the solid line is for the Gaussian distribution.

C. “Electron compressor” to eliminate crossing angle

In principle, the “electron compression” allows for the elimination of the crossing angle at the interaction point. At present, a crossing angle is believed to be the best way to reduce the beam-beam tune shift and tune spread due to near-IP interactions. (Parasitic collision spacing is about $20\text{ m} = \frac{1}{2}c \cdot 132\text{ ns}$). A full crossing angle at the IPs of about 0.2 mrad reduces the luminosity by about 50% [2].

Two or four near-IP “head-on” collision points will result in about three or five times larger tune shift and tune spread. Therefore, a proportional increase of the electron beam current J from about 1.5 A to 4.5-7.5 A would be required to compensate these additional crossings. The only limitation is that in order to keep the higher current beam stable, a stronger magnetic field is needed. As shown in Section IV, the necessary field is approximately proportional to the tune shift due to electrons, and thus, to the current.

By eliminating the need for a crossing angle, this high-current “electron compression” can recover a factor of two in the luminosity, or provide the same luminosity with half the antiprotons. Two or four additional interaction points at high beta-functions cost little in the rate of pbar consumption since the luminosity at these two additional “head-on” collision points is small $\mathcal{L} \propto 1/\beta$, $\beta \gg \beta^*$.

III. ELECTRON BEAM FOR BEAM-BEAM COMPENSATION

As mentioned above, our beam-beam compensation proposal is based on a low energy (dozen kV), high-current (few Amperes) electron beam colliding with antiprotons in a strong magnetic field of several Tesla - see Fig.2. The electron source is the oxide cathode of a diode electron gun immersed in a comparatively weak magnetic field. The electrons enter a strong solenoid magnetic field straight interaction region experiencing adiabatic beam size compression which allows an increase in the current density. At the set-up exit, the beam follows the magnetic field lines directed to the collector. In general, such a configuration is similar to “electron cooling” devices [9]. In this Section we consider the electron beam itself, and start with the choice of electron energy.

The electron energy $U_e = m\beta_e^2 c^2/2$, or, equivalently, the electron velocity β_e , is a trade off among several factors. Some of them, like space-charge potential, drift ion instability, current modulation time, etc. - prefer higher U_e and β_e ; others, e.g. power of the electron gun and the modulator power supply, adiabatic compression, total beam current - prefer smaller beam energy.

Let us start with the general requirements on the electron beam for beam-beam compensation. Firstly, its size must be about the same or few times the rms \bar{p} beam size $\sigma_z = \sqrt{\beta_z \varepsilon_{n\bar{p}} / \gamma_{\bar{p}}}$. For the small emittance of TEV33 $\varepsilon_{n\bar{p}} = 2.5 \cdot 10^{-6}$ m one gets $\sigma_z = 0.51$ mm at a beta function $\beta_z \simeq 110$ m (see Table II). If one modifies the existing lattice and provides a high-beta, zero-dispersion region in the Tevatron, one would have $\sigma_z = 0.77$ mm at $\beta_z = 250$ m. For simplicity, we will consider an electron beam with radius of $a = 1$ mm and constant transverse distribution. From Eqs.(3) and (6), the electron beam current J scales with electron velocity as:

$$J = J_0 \times \frac{\beta_e}{1 + \beta_e}, \quad (9)$$

where the constant $J_0 \approx 9.9$ A for the 2-m long “electron lens” discussed in Section II. The maximum current of a space-charge limited diode electron gun is given by the Child-Langmuir law (see, e.g. [10])

$$J = \mathcal{P} \cdot U_a^{3/2}, \quad (10)$$

where the perveance \mathcal{P} is a gun geometry dependent parameter, and U_a is the voltage difference between the cathode and the anode electrodes of the gun. Usually, perveance is presented in units of microperveance $\mathcal{P} = \mu\mathcal{P} \cdot 10^{-6} \text{ A/V}^{3/2}$. In our case $U_e = U_a$ and combining Eqs.(9) and (10) we get a minimum electron energy of

$$U_e \approx \frac{1.2J_0}{\mathcal{P}\sqrt{mc^2}} = \frac{16.3[kV]}{\mu\mathcal{P}}. \quad (11)$$

The electron lens requires modulation of the electron current with a characteristic time of $\tau \simeq 132$ ns. This can be done by varying the cathode-anode voltage U_a from zero to U_e . If the cathode anode capacitance is approximately $C_a = 20$ pF, then the reactive power in the modulator circuit is about $W_m = C_a U_e^2 / (2\tau) \approx 20[kW] / \mu\mathcal{P}^2$. Thus, a higher gun perveance is beneficial for beam current modulation. The corresponding beam current and power are

$$J \approx \frac{2.1[A]}{\sqrt{\mu\mathcal{P}}}; \quad W = J \cdot U_e = \frac{34[kW]}{\mu\mathcal{P}^{3/2}}. \quad (12)$$

The energy recirculation technique used in “electron cooling” devices [9] allows for a reduction in the power dissipated on the collector. However, the high voltage power supply still has to provide power proportional to the total current $W_c = J \cdot U_c$, where $U_c = 1...2$ kV is the cathode-collector potential difference. Therefore, since it is beneficial to reduce the beam current and power, a high gun perveance is needed.

For a diode gun with a flat cathode and a Pierce electrode, the microperveance is equal to [10] $\mu\mathcal{P} = 7.3(a/d)^2$, where a is the beam (cathode) radius and d is the cathode-anode distance. A rule of thumb is that a good current density homogeneity can be reached if the ratio of (a/d) is less than 1/2, i.e., $\mu\mathcal{P}$ is less than 2. Several times higher perveance (up to 10) with good beam quality can be achieved by the use of a convex cathode immersed in a magnetic field of the order of 1 kG [11] - an arrangement quite appropriate for our purposes. We rely on the possibility of making the electron gun for the electron lens with $\mu\mathcal{P}$ between 1 and 3, corresponding to U_e from 16kV to 5.5kV, while for numerical estimates elsewhere we use $U_e = 10$ kV and $\beta_e = 0.2$.

A. Lower limit on the electron energy

The lower limit on the electron energy is due to two effects. Firstly, the electrons must be fast enough to provide the necessary current modulation; and, secondly, the electron kinetic energy must overcome the electron space charge potential in a round vacuum chamber.

Let us consider the time structure of the defocusing kick (or the tune shift) produced by the electron lens. Fig.8 demonstrates the effect of a step-like current modulation with pulse duration of t_p (presented in the upper plot) on the antiproton bunches. Let us denote $t = 0$ the moment when the front of the electron pulse enters the interaction section. As the antiproton beam passes through the oncoming electron current pulse, the maximum deflection will be seen by test particles which at $t = 0$ are distanced by $(1 + \beta_e)L/\beta_e$ from the input end of the device. We define the corresponding time $\tau_g = (1 + \beta_e)L/c\beta_e$ as the "kick growth time". The maximum kick lasts over time interval of $t_f = t_p - \tau_g$ which is synchronized with the bunch arrival (see lower diagram in Fig.8). Behind that bunch, the kick amplitude vanishes over the growth time. The analytical expression for the tune shift is as follows:

$$\xi^e(t) \propto \int_{-t+2 \cdot \max(0, t-(1+\beta_e)L/c\beta_e)}^t J(t') dt'. \quad (13)$$

Let the required flat top of the kick acquired by \bar{p} s to be $t_f = 5$ ns, and the required "no-impact time" to be the same $t_n = 5$ ns; then, summarizing all times in Fig.8, the condition of $264 \text{ ns} > t_f + 2L(1 + \beta_e)/c\beta_e + t_n$ must be satisfied in order to have no impact on preceding and following bunches. This gives $\beta_e > 0.06$ for $L = 2$ m or corresponding to a kinetic energy $U_e > 0.9 \text{ kV}$. Since one needs to modulate the electron current in order to equalize the bunch-by-bunch tune shift, the electrons have to be fast enough to provide different defocusing kicks on different bunches. $\beta_e = 0.2$ satisfies the requirement.

One can make two remarks: firstly, if the current pulse duration is less than the growth time $t_p < \tau_g$, then the electron beam does not work in full strength; secondly, for a bunch spacing in the ring of τ , the electron current pulse duration must be less than $t_p < 2\tau - 2(1 + \beta_e)L/c\beta_e$ otherwise neighboring bunches will be affected. Thus, a rectangular pulse duration of $t_p = \tau_g$ corresponds to the device maximum strength. The length of the electron beam has to be less than $L < c\beta_e\tau/2(1 + \beta_e)$ because the current pulse shape can not be exactly rectangular, and some flat top of the kick is required.

An example for TEV33 yields $\tau = 132$ ns, $\beta_e = 0.2$, $L = 2$ m. This satisfies the condition of $\tau_g = 40$ ns $< \tau$ and the requirements on the pulse length is $t_p \leq 264 - 40 = 224$ ns. Since the pulse shape of the current modulation can not be exactly rectangular, the pulse full width at half amplitude has to be somewhat smaller (but still longer than τ_g), e.g. 120-180 ns.

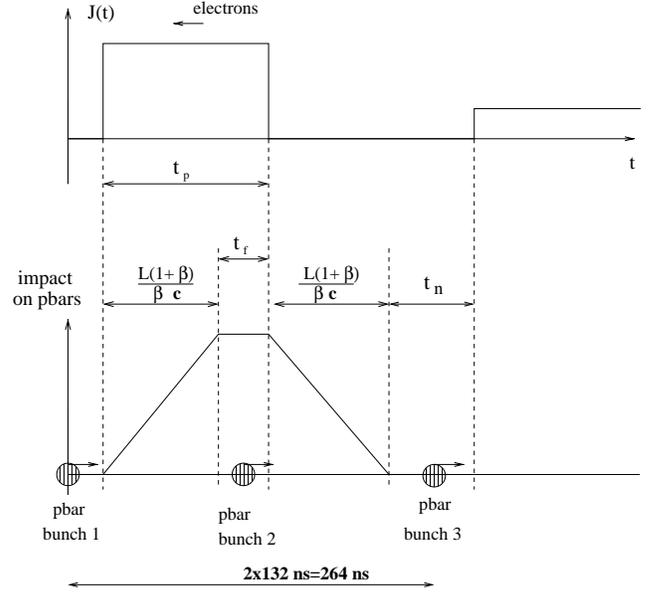


FIG. 8. Electron current modulation scheme.

The other limit on the minimum voltage (kinetic energy) is set by the electron beam space charge potential U_{sc} with respect to the grounded vacuum chamber walls:

$$U_{sc} = \frac{2eN_e}{L} \left(\ln\left(\frac{b}{a}\right) + \frac{1}{2} \right) \approx 500[V] \left(\ln\left(\frac{b}{a}\right) + \frac{1}{2} \right), \quad (14)$$

where $N_e = JL/(e\beta_e c) \approx J_0L/(1.2ec)$. This gives us $U_e > U_{sc} = 2.1$ kV for a chamber radius of $b = 40$ mm and $a = 1$ mm beam radius.

B. Electron beam in a magnetic field

The electron lens set-up needs to have a longitudinal magnetic field in order to keep the electrons stable, to make the beam more rigid, to transport it from the cathode through the interaction region to the collector, and to obtain a smaller beam size. The equation for paraxial electron oscillations under the impact of a solenoidal field B , the space-charge force due to the electron beam, and the force due to incoming antiprotons is:

$$\frac{d^2r}{ds^2} + r \left(\frac{1}{F_B^2} - \frac{1}{F_e^2} - \frac{1}{F_p^2} \right) = 0, \quad (15)$$

where s is the longitudinal coordinate along the electron orbit, and $r = |x + i \cdot y|$ is the oscillation amplitude. The effective focal length due to the magnetic field B is

$$F_B = \frac{2\gamma_e\beta_e m_e c^2}{eB} \approx 3.3[cm] \frac{\gamma_e\beta_e}{B[kG]}, \quad (16)$$

which for 10kV electrons yields $F_B = 0.66[cm]/B[kG]$. It has to be compared with the defocusing length due to electron space charge which is given by

$$F_e = \sqrt{\frac{mc^3 \gamma_e^3 \beta_e^3 a_e^2}{2eJ}} \approx 2.9[cm] \beta_e \gamma_e^{3/2} \sqrt{1 + \beta_e}, \quad (17)$$

where we use Eq.(9) for the required electron current. The minimum defocusing length due to the antiproton beam is

$$F_{\bar{p}} = \sqrt{\frac{\gamma_e \beta_e^2 \sqrt{2\pi} \sigma_s \sigma_{\bar{p}}^2 mc^2}{e^2 N_{\bar{p}} (1 + \beta_e)}} \approx 4.1[cm] \beta_e \sqrt{\frac{\gamma_e}{1 + \beta_e}}, \quad (18)$$

where we take $N_{\bar{p}} = 6 \cdot 10^{10}$, $\sigma_{\bar{p}} = 0.9$ mm (the \bar{p} beam size) and $\sigma_s = 14$ cm (the rms bunch length). For 10kV electrons $F_B = 0.66[cm]/B[kG]$, $F_e = 0.64$ cm and $F_{\bar{p}} = 0.74$ cm. The beam is stable if the focusing term in Eq.(15) is stronger than the two defocusing terms:

$$\frac{1}{F_B^2} \geq \frac{1}{F_e^2} + \frac{1}{F_{\bar{p}}^2}, \quad (19)$$

The required magnetic field for a 10 kV electron beam is 1.4 kG. Since the device uses the electron beam once over a passage, then, in principle, one could consider using no magnetic field at all if the electron beam energy is high enough to have only minor electron beam disruption over the length of the pbar bunch $F_{\bar{p}} \gg \sigma_s$. For $\sigma_s = 14$ cm this yields an electron kinetic energy of $U_e \gg 12$ MeV. Taking into account the high average current, one can see that the electron beam power exceeds dozens of MW. This makes the use of relativistic electron beams very impractical for the beam-beam compensation.

As we will discuss later in Section IV, a much stronger solenoid field of the order of 4-6 T is necessary to maintain stability of the antiproton beam and reduce $x-y$ coupling due to electron beam distortions. In such a strong field, magnetic focusing dominates the electron dynamics. Each electron performs very fast Larmor oscillations with frequency $\omega_L = eB/(\gamma_e mc)$ and spatial period of $\lambda_L = 2\pi v_e/\omega_L = \pi F_B \approx 2.1[cm]/B[kG] \simeq 0.5$ mm. Their orbits can be represented as tiny (micron scale) Larmor circles moving along the magnetic field line.

The effect of a space charge field \vec{E} is that each of these circles starts to rotate slowly (drift) around the beam axis while staying at the same radius, i.e. the round beam remains round. The drift velocity in crossed electric and magnetic fields \vec{E} and \vec{B} is equal to:

$$\vec{v}_d = c \frac{[\vec{E} \times \vec{B}]}{B^2}. \quad (20)$$

The space charge electric field inside a constant current density electron beam with $j_e = J/\pi a^2$ is proportional to radius $\vec{E} = 2j_e \vec{r}/\beta_e$. The angle θ_d of the drift rotation over the time interval t does not depend on radius $\theta_d = v_d t/r = 2jct/\beta_e B$. For example, the angle over the

beam passage of $L = 2$ m in a $B = 40$ kG field is about $\theta_d \approx 240^\circ$. The electric field due to a Gaussian \bar{p} beam is not linear, and the rotation angle θ_d is no longer independent of r , and electrons with larger r perform drift rotation on different (smaller) angles. However, the difference is negligible for our parameters - see detail studies in Ref. [12]. The magnetic forces due to electron and antiproton currents produce additional drifts similar to electric ones, but their contributions are β_e^2 and β_e times smaller, respectively, and, therefore, negligible.

The required current density for a 2 mm diameter beam is $j_e = \frac{J}{\pi a^2} \approx 315 \frac{\beta_e}{1 + \beta_e} A/cm^2$, or about 53 A/cm^2 for a 10kV electron beam. On the other hand, the oxide cathode lifetime goes down greatly if the current density exceeds 5-10 A/cm^2 (see Ref. [13] and references therein). To reduce the cathode current density limit, one can use adiabatic magnetic compression in which the beam is born on the cathode with a larger radius a_c in a weak field B_c and transported to the region of stronger magnetic field B , with conservation of the adiabatic invariant $B_c a_c^2 = B a^2$. For the electron lens with cathode current density of about 2.1 A/cm^2 and $a_c = 5$ mm, the maximum ‘‘shrinking’’ ratio $\mathcal{R} \equiv B/B_c \equiv a_c^2/a^2$ should be about 25, e.g. $B = 40$ kG, $B_c = 1.6$ kG. This looks quite feasible technically. In principle, electrostatic focusing in the electron gun with use of special electrodes can further increase the compaction factor and the current density in the solenoid.

Non-linear beam-beam compensation and the footprint compression require precise control of the transverse electron charge distribution $\rho_e(r)$. A natural possibility is to do that using near cathode electrodes in the diode electron gun. If one applies a potential to these electrodes which is different from the cathode potential, then the distorted electric field distribution on the cathode surface will decrease (or increase) the electron emission from different radial areas of the cathode.

C. Effect of ions

While passing through the vacuum chamber, the electron beam ionizes residual gas atoms and produces electrons and positively charged ions. Under certain conditions both these electrons and ions may concentrate in the electron beam. This could result in a) changing the total charge density within the beam, i.e. changing the effectiveness of the beam-beam compensation, and b) developing the so called two-beam drift instability. Measures should be applied to ensure proper removal of the residual particles.

Ionization of residual gas by electrons produce ions with the rate

$$\frac{dn_i}{dt} = \sigma_{\text{ioniz}} n_e v_e n_0, \quad (21)$$

where σ_{ioniz} is the ionization cross section, n_e is the electron beam density, and n_0 is the residual gas density. A

useful quantity is the “neutralization time”

$$\tau_n = \frac{1}{\sigma_{\text{ioniz}} v_e n_0}, \quad (22)$$

the time in which the electron beam is fully neutralized if all produced ions remain in the beam. For $\beta_e = 0.2$ (10 kV) the total cross section (including initial, second, etc. ionization) for air is approximately $\sigma_{\text{ioniz}} \approx 2 \cdot 10^{-17} \text{ cm}^2$ [14]. At room temperature the residual gas density is $n_0 \approx 3.2 \cdot 10^{16} P(\text{Torr}) \text{ cm}^{-3}$. So, the neutralization time is approximately

$$\tau_n \approx 2.5 \cdot 10^{-10} / P(\text{Torr}) \text{ s}. \quad (23)$$

E.g. $\tau_n \approx 0.25 \text{ s}$ if $P = 10^{-9} \text{ Torr}$.

Drift instability is the main limitation on the beam current in the presence of ions. The origin of the phenomenon is the exponential amplification along the beam of a small initial separation of the electron and ion beams at certain frequencies. This amplification results in an instability if the amplification coefficient is larger than the feedback coefficient from the beam end to the beam beginning. Theoretical analysis of the instability is given in [15,16] and agrees well with experimental investigations of the fully neutralized ($n_i = n_e$) electron beam [15]. The stability threshold current density is found to be about

$$j_e < \frac{v_e^2 B}{3.8 L c}. \quad (24)$$

Taking this expression one can estimate the acceptable ion density for the electron compressor

$$\frac{n_i}{n_e} < \frac{v_e^2 B}{4 L c j_e} \approx 0.8 \frac{B[\text{kG}] \beta_e^2 a^2[\text{mm}]}{L[\text{m}] J[\text{A}]}. \quad (25)$$

If $\beta_e = 0.2$, $B = 40 \text{ kG}$, $a = 1 \text{ mm}$, $J = 2 \text{ A}$, $L = 2 \text{ m}$ then $n_i/n_e < 0.13$. Therefore, though the ions should be cleaned out from the beam in order to avoid instability, the value is rather relaxed.

A more stringent requirement follows from the condition that the total charge distribution remain controllable within at least a few percent, i.e., $n_i/n_e < 0.01$.

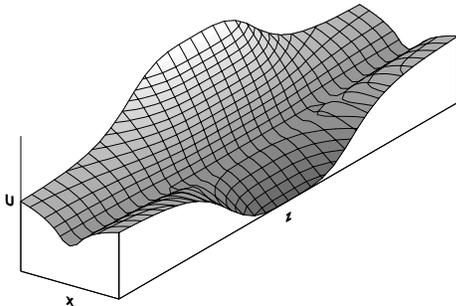


FIG. 9. Changing of the electron beam potential well by the cleaning electrode.

The potential well of the electron beam prevents the residual ions from getting out of the beam in the transverse direction. The potential at the axis is given by U_{sc} in Eq.(14). One can see that since the beam radius a is smaller in the central part of the electron lens set-up, then U_{sc} is bigger there than at the gun and collector, and this forms a longitudinal trap for ions. The ions can be removed from the beam if one ensures that either a) ions may escape to the collector or gun, i.e. the ratio b/a remains constant and the potentials at the collector or gun allows the ions to enter; or b) one uses special sufficiently long cleaning electrodes to produce a transverse electric field E_c larger than the field due to electron space charge $E_c > 2\pi a e n_e$ (see Fig.9).

Let us now suppose that the residual ions can exit at the beam edges either via cleaning electrodes or the gun and collector, and let us assume that the ratio b/a is constant along the beam. The ions are trapped and their density $n_i(s)$ increases until the influx of ions due to ionization is balanced by the loss due to their own longitudinal field $E = U_e/n_e dn_i(s)/ds$. The resulting approximate shape of the residual ion density looks like $n_i(s) \approx n_i^{max} (1 - (2s/L)^2)^{1/3}$, with the maximum

$$n_i^{max} = n_e \left(\frac{4\tau_c \omega_i a \sqrt{(1 + 2 \ln(b/a))/3}}{\pi L} \right)^{-2/3}, \quad (26)$$

where the ion plasma frequency is $\omega_i = \sqrt{2\pi n_i e^2 Z_i / M_i}$. Equations (22) and (26) give an estimation for the residual gas pressure if the limit on n_i/n_e is set. For example, if $\beta_e = 0.2$, $a = 1 \text{ mm}$, $b = 30 \text{ mm}$, $J = 2 \text{ A}$, $L = 2 \text{ m}$, and ions are single ionized nitrogen molecules, then the condition $n_i^{max}/n_e < 10^{-2}$ results in $\tau_n > 0.028 \text{ s}$, i.e. the vacuum should be better than $P < 9 \cdot 10^{-9} \text{ Torr}$.

One should also mention several particular problems associated with the ionization electrons. These electrons are highly magnetized, so they can move only longitudinally and drift around the beam. Since both the electron gun and collector have negative potential with respect to the main vacuum chamber, the electrons are trapped. However, they have at least two ways to leave the beam. First, they are heated by the main beam until their energy increases enough to leave the potential well. The heating rate of this “electron wind” is $d(W/U_e)/dt \approx 4L_c e^2 / (m v_e a^2 (1 + 2 \ln(b/a)))$, where $L_c \sim 7$ is the Coulomb logarithm. Second, the center of the electron trajectory jumps by approximately a Larmor radius each time the electron is reflected from the potential wells of the electron gun or collector (if the Larmor circle step is larger than the length over which the potential changes). This results in a diffusive (or systematic if the axial symmetry is not perfect) loss of these electrons.

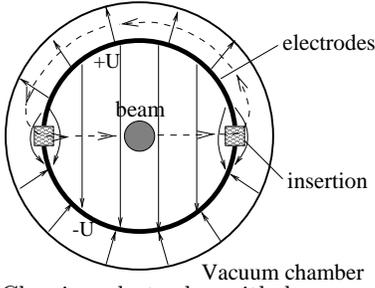


FIG. 10. Cleaning electrodes with low conductive insertions. Dashed lines show trajectories of trapped ionization electrons.

Another problem is that these ionization electrons may be trapped and stored in the vicinity of the cleaning electrodes and their charge may change significantly the distribution of potentials. It was found in [17] that this problem can be avoided if one uses insertions made from low conductive material such as a semiconductor glass (see Fig.10).

IV. SIDE EFFECTS ON HIGH-ENERGY BEAMS

A. Electron Beam Distortions in Beam-Beam Compensation Set-Up

As we have shown in the previous Section, collision with a round antiproton bunch in a strong magnetic field conserves axial symmetry and the radial size of the electron beam. Therefore, the electron beam space charge forces are the same for antiprotons at the head and at the tail of the antiproton bunch. This is no longer true if the electron or antiproton beam is not round. The electron beam axisymmetry can be assured by using a round cathode in the electron gun and by an appropriate choice of the magnetic field in the transport section of the set-up. The antiproton beam roundness could be achieved in a number of Tevatron locations where vertical and horizontal beta-functions are the same $\beta_x = \beta_y$. However, this condition can not be always fulfilled – see, e.g., Table II above for the proposed electron lens parameters.

The electron beam cross section becomes a rotated ellipse as the tail of a non-round antiproton bunch passes it, whereas the head of the bunch sees the original undisturbed round electron beam. Detailed numerical studies of the effect can be found in Ref. [12]. The electron beam distortions are of concern because: 1) the distortion of the space-charge forces which play a role in the beam-beam compensation; 2) in addition to the desired defocusing effect, electric fields of the elliptic electron beam produce $x - y$ coupling of vertical and horizontal betatron oscillations in the antiproton beam; 3) there appears a “head-tail” interaction in the antiproton bunch via higher order wake fields propagating in the electron beam.

The electron beam distortions can be found analytically. We start with the continuity equation for the elec-

tron charge density $\rho_e(x, y, s, t)$:

$$\frac{\partial \rho_e}{\partial t} + \text{div}(\rho_e \vec{v}) = 0, \quad (27)$$

where $\vec{v}(x, y, s, t)$ is the velocity of electrons. Since the longitudinal component of the velocity is constant $v_s = \beta_e c$ and all longitudinal scales (like the antiproton bunch length σ_s or electron beam length) are much longer than the transverse scale, one can neglect the term $\partial/\partial s(\rho v_s)$ in (27). We have separated the fast small amplitude Larmor motion and the slow large amplitude drift with velocity \vec{v}_d in Eq.(20). The latter is the major source of the electron beam distortion, and in the following analysis we consider $\vec{v} = \vec{v}_d$. If we assume the unperturbed electron charge distribution to be axially symmetric $\rho_e(t = 0) = \rho_0(r)$, and that the maximum density distortion is small $\rho_e = \rho_0 + \delta\rho$, $\delta\rho \ll \rho_0$, then in the lowest order one gets from (27):

$$\frac{\partial \delta\rho}{\partial t} + \vec{v}_d \cdot \vec{\nabla} \rho_0 + \rho_e \text{div} \vec{v}_d = 0. \quad (28)$$

The third term is equal to zero because $\text{div} \vec{v}_d = 0$. The gradient in the second term can be written as $\vec{\nabla} \rho_0 = 2\vec{r} d\rho_0(r^2)/d(r^2)$, and thus, we obtain:

$$\vec{v}_d \cdot \vec{\nabla} \rho_0 = \frac{2c}{B^2} \frac{d\rho_0(r^2)}{d(r^2)} [\vec{E} \times \vec{B}] \cdot \vec{r}. \quad (29)$$

The electric field of the round electron beam does not contribute to the product above since it is proportional to \vec{r} . The contribution due to electron beam space charge can be ignored as long as the electron charge density distortions are small with respect to $\rho_0(r)$. The major reason for the density change $\delta\rho$ is the antiproton beam space charge force. The electric field of the elliptic Gaussian relativistic antiproton beam with rms sizes σ_x and $\sigma_y = R \cdot \sigma_x$ is given by :

$$\vec{E} = -eN_{\bar{p}} \cdot \lambda(s) \cdot \vec{\nabla} U, \quad (30)$$

where the linear density of antiprotons is normalized as $\int \lambda(s) ds = 1$, and the two dimensional effective interaction potential $U(x, y)$ is [18]:

$$U(x, y) = \int_0^\infty dq \frac{1 - e^{-\frac{x^2}{2\sigma_x^2(1+qR)} - \frac{y^2}{2\sigma_y^2(1+q/R)}}}{\sqrt{(1+qR)(1+q/R)}}. \quad (31)$$

Therefore, after some mathematics we get:

$$\delta\rho(x, y, t = \frac{s}{(1 + \beta_e)c}) = \left(\int_{-\infty}^s \lambda(s') ds' \right) \times \frac{2eN_{\bar{p}}}{B} \frac{d\rho_0(r^2)}{d(r^2)} \cdot \frac{xyI(x, y)(\sigma_x^2 - \sigma_y^2)}{\sigma_x^2 \sigma_y^2}, \quad (32)$$

where now s is the coordinate inside the antiproton bunch¹ and

$$I(x, y) = \int_0^\infty dq \frac{e^{-\frac{x^2}{2\sigma_x^2(1+qR)} - \frac{y^2}{2\sigma_y^2(1+q/R)}}}{(1+qR)^{3/2}(1+q/R)^{3/2}}, \quad (33)$$

e.g., $I(0, 0) = \frac{2R}{(1+R)^2}$. The major features of the distortion are: a) it is absent in the case of a round antiproton beam when $\sigma_x = \sigma_y$; b) it performs two variations over azimuth $\delta\rho \propto xy \sim \sin(2\theta)$; 3) it diminishes as the solenoid field B increases, or as the antiproton intensity $N_{\bar{p}}$ decreases; 4) most of the distortion takes place at the radial edge of the electron beam, and, since $d\rho_0(r^2)/d(r^2) \simeq \rho_0^{max}/a^2$, a wider electron beam receives smaller density distortions during the interaction. Finally, the scaling of the maximum distortion strength is:

$$\frac{\delta\rho^{max}}{\rho_0^{max}} \simeq \frac{0.2eN_{\bar{p}}}{a^2B} \approx \frac{0.6[N_{\bar{p}}/6 \cdot 10^{10}]}{a^2[mm]B[kG]}, \quad (34)$$

where the value of 0.2 comes from geometrical factor $\propto xy \cdot I(x, y)$. For example, the distortion is about 1.5% for a 1 mm radius electron beam in a $B = 40$ kG solenoid field. Note, that as soon as the elliptic distortion appears it starts a drift rotation in the crossed fields of the electron space charge and the solenoid field. It is important that during the passage of the antiproton bunch (about $\pm 2\sigma_s/c = 2$ ns), the rotation is small. For example, for $B = 2$ T the angle is about $\theta_d \approx 4j\sigma_z a/\beta_e B \approx 0.1$ rad $\ll 1$. Thus, ignoring the factor $\vec{v}_d \cdot \vec{\nabla}\delta\rho$ in Eq.(28) is justified.

Fig.11 shows the electron beam distortion calculated analytically with Eq.(32). The top left plot in Fig.11 shows contour lines of constant density for the electron beam with a particular initial distribution of

$$\rho_0(r) = \frac{1}{1 + (r/a)^{2\mu}}, \quad \mu = 3, \quad a = \sigma_x = 0.61 \text{ mm}. \quad (35)$$

Here and below the x and y coordinates are given in units of σ_x .

Constant density lines for the Gaussian distribution in the antiproton beam with $\sigma_x = 0.61$ mm and $\sigma_y = 0.31$ mm are presented in the top right plot. The lower left corner of the Figure shows the change of the electron charge density $\delta\rho(x, y)$ after passage through an antiproton bunch with $N_{\bar{p}} = 6 \cdot 10^{10}$ in a magnetic field $B = 4$ kG. With such a small solenoid field the distortion is very large $\delta\rho^{max} \simeq 0.25$ and the resulting electron beam shape $\rho = \rho_0 + \delta\rho$ is clearly a rotated ellipse as shown in the lower right plot. In this case, the space charge fields are very different for the antiprotons in the head and in the tail of the bunch. The solenoid field in

the electron lens set-up will be about 10 times stronger $B \approx 40$ kG, and consequently, we expect 10 times smaller electron beam distortions.

As we noted in Section II, the electron beam has to be 2-3 times wider than the antiproton beam for adequate linearity in the electron lens set-up. According to Eq.(34), this also helps to reduce $\delta\rho$ by a factor 4-9 [12].

B. Coupling due to distorted electron beam.

Electric and magnetic fields of the elliptic electron beam lead to $x - y$ coupling of vertical and horizontal betatron oscillations in the antiproton beam. Since originally the electron beam is round, the head of the antiproton bunch experiences no coupling force. But, since the electron density distortion grows as $\int^s \lambda(s')ds'$ (see Eq.(32)), the coupling grows proportionally. Particles in the head and in the tail of the bunch change their positions while performing synchrotron oscillations, thus, an average coupling effect is about half of the maximum coupling spread. The average coupling can be corrected in the Tevatron, but there are no available tools to compensate the spread in coupling. Therefore, the spread has to be small enough in order not to affect the antiproton beam dynamics.

The tunes of a small amplitude particle can be written as

$$\nu_{\pm} = \frac{[(\nu_x + \Delta\nu_x) + (\nu_y + \Delta\nu_y)]}{2} \pm \sqrt{\frac{[(\nu_x + \Delta\nu_x) - (\nu_y + \Delta\nu_y)]^2}{4} + |\kappa + \Delta\kappa|^2}, \quad (36)$$

where ν_x and ν_y are the unperturbed horizontal and vertical tunes, κ is a complex number describing the coupling, and Δ 's represent the changes of these quantities that arise from the interaction with the electron beam. The tunes in the current Tevatron lattice are $\nu_x = 0.585$ and $\nu_y = 0.575$. For satisfactory operation of the Tevatron collider, the global coupling is corrected down to value of $|\kappa| \approx 0.001$ [19].

In terms of the two-dimensional potential $V(x, y)$, the horizontal tune shift is given by Eq.(1) - see Section II above - and the coupling shift can be calculated as

$$\Delta\kappa = \frac{\sqrt{\beta_x\beta_y}e^{i(\psi_x - \psi_y)}}{4\pi} \frac{\partial^2 V}{\partial x \partial y}. \quad (37)$$

In the case of an almost round electron beam with a small elliptic distortion one can write $V(x, y) = V_0(r) + V_{skew}(xy)$. The potential V_0 and corresponding tune shift for a round beam are given in Eqs.(2,3). Of course, a perfectly round electron beam gives no contribution to the coupling.

¹i.e. $s = -\infty$ is for the bunch head and $\int_{-\infty}^s \lambda(s')ds'$ is proportional to the antiproton charge which passed through the given part of the electron beam.

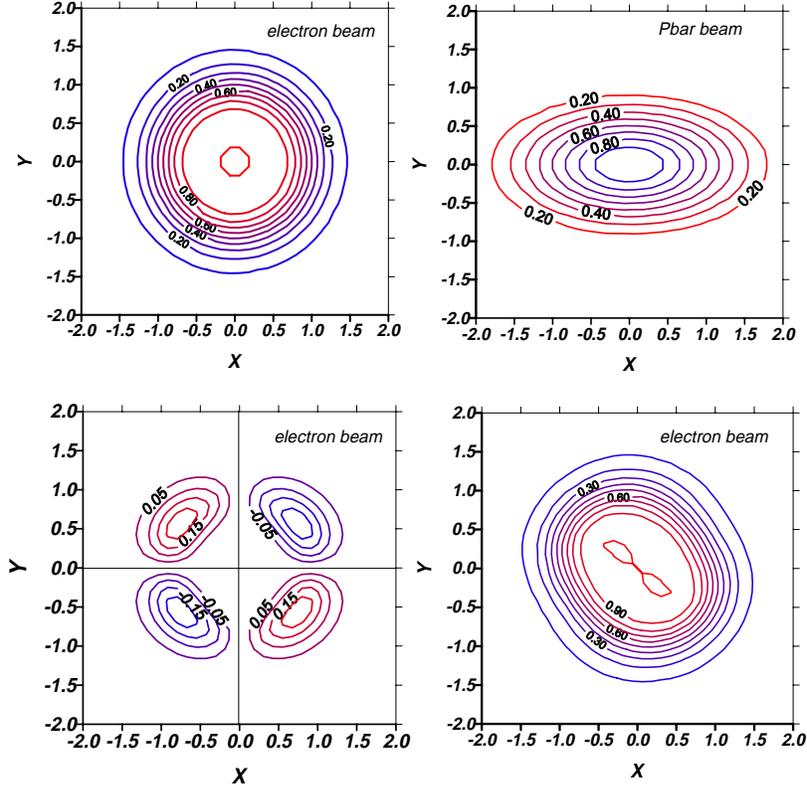


FIG. 11. Contour plots of original electron density (top left), antiproton density (top right), change of the electron density due to interaction with antiproton space charge (bottom left) and resulting electron density. x and y coordinates are given in units of σ_x .

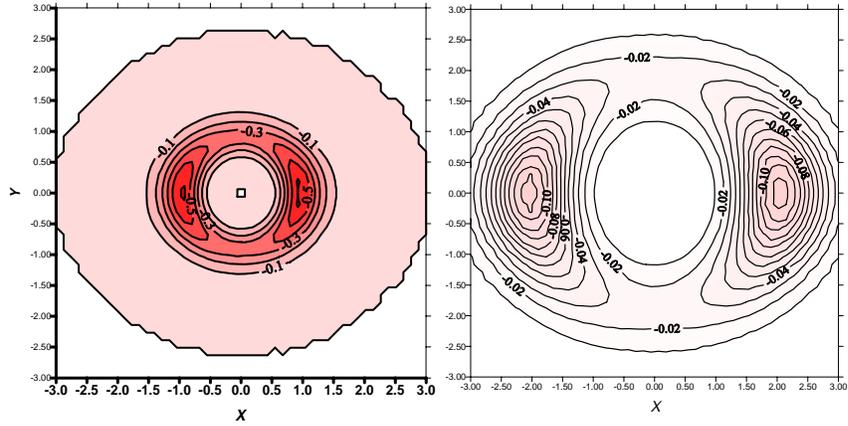


FIG. 12. Coupling functions $S(x, y)$ for antiproton betatron oscillations with thin (left) and wide electron beams (right). x and y coordinates are given in units of σ_x .

Now, let us write the electron density distortion in the form $\delta\rho(x, y) = xy \cdot C(x, y)$ which emphasizes the product xy and the rest is a slowly varying function of xy :

$$C(x, y) = \frac{2eN_{\bar{p}}}{B} \frac{d\rho_0(r^2)}{d(r^2)} \cdot \frac{I(x, y)(\sigma_x^2 - \sigma_y^2)}{\sigma_x^2 \sigma_y^2}. \quad (38)$$

The effective 2D skew potential can be found as a solution of following equation

$$\Delta V_{skew} = -4\pi\delta\rho \frac{r_{\bar{p}}}{\gamma_{\bar{p}}}, \quad (39)$$

which is approximately equal to:

$$V_{skew} \approx \frac{\pi r_{\bar{p}}}{6\gamma_{\bar{p}}} C(x, y) \cdot r^2 \cdot xy. \quad (40)$$

This yields a coupling magnitude of

$$|\kappa| \approx \frac{\sqrt{\beta_x \beta_y} r_{\bar{p}}}{8\gamma_{\bar{p}}} \langle C(x, y) \cdot r^2 \rangle. \quad (41)$$

The brackets $\langle \dots \rangle$ denote averaging over antiproton betatron oscillations. One can estimate the maximum coupling spread using Eqs.(3, 37-41) together with the approximate relation $\beta_x \simeq 3\beta_y$

$$|\kappa| \approx \frac{|\xi_e| e N_{\bar{p}}}{2\sqrt{3}\sigma_x^2 B} \cdot \langle S(x, y) \rangle \approx \frac{0.84 \frac{N_{\bar{p}}}{(6 \cdot 10^{10})}}{\sigma_x^2 [mm] B [kG]} \cdot \langle S(x, y) \rangle. \quad (42)$$

Fig.9 shows the numerical factor $S(x, y)$ for the two electron distributions satisfying Eq.(35) with $a = \sigma_x$ (left plot) and another with $a = 2.5\sigma_x$ (right plot). The maximum value of this factor $S^{max}(x, y)$ is 0.7 for the slender electron beam and 0.13 for the wider electron beam, and it occurs at amplitudes of about the electron beam size. The coupling vanishes for small and very large betatron amplitude particles. The effect is larger in the plane of the larger antiproton ellipse axis (horizontal in our case).

Consider a numerical example with the same parameters we used above: $\sigma_x = 0.61\text{mm}$, $N_{\bar{p}} = 6 \cdot 10^{10}$, $\xi_x^e \simeq 0.01$. The maximum numerical factor in Eq.(42) is $\langle S(x, y) \rangle^{max} \approx 0.5 \cdot S^{max}(x, y)$, i.e. 0.35 for $a = 1\sigma_x$ and 0.065 for $a = 2.5\sigma_x$. With a solenoid field of $B = 40\text{kG}$, one gets the maximum coupling spread $|\kappa| \simeq 2 \cdot 10^{-4}$ for a thin electron beam, and $3.5 \cdot 10^{-5}$ for wider electron beam. Both of these values are rather small with respect to the Tevatron global coupling correction goal of about 0.001. Note, that two 2 m long 40 kG solenoids for the electron lenses will contribute a static coupling of about $|\kappa| \simeq 0.001$.

C. Head-Tail Effect Due to Electron Beam

This section is devoted to the stability of the antiproton beam interacting with an electron beam in the electron lens setup for beam-beam compensation in the Tevatron collider. Electron space charge forces cause transverse “head-tail” coupling within the antiproton bunch

which may lead to a transverse mode coupling instability (TMCI). A detailed theory, analytical studies and numerical simulations of the effect can be found in Ref. [20]. Here we present estimates of the threshold longitudinal magnetic field necessary to avoid the instability, and the dependence of the threshold on electron and antiproton beam parameters.

Low energy electrons can create significant transverse impedance comparable with the intrinsic impedance of the Tevatron ring, and this can result in a collective instability of the antiproton bunch. The electron beam is to be born on an electron gun cathode, transported through the interaction region, and absorbed in the collector. Therefore, each portion of electrons passes through the antiproton beam only once, and only short distance transverse wake fields are of interest. The phenomenon is as follow: if the centroid of the antiproton bunch head collides off the electron beam center, then the electron-antiproton repulsion causes the electron motion. As the result, the electron beam has a displacement when it interacts with the tail of the bunch. Thus, the impact of the electron beam on the following antiprotons depends on the transverse coordinate of the preceding antiprotons. Such a “head-tail” interaction leads to the TMCI.

This effect is similar to the “strong head-tail” interaction via vacuum chamber impedance first observed a long time ago in electron storage rings [21]. The TMCI in the electron rings limits the maximum single bunch current. In our case, the source of the coupling is the electron space charge which is the basic mechanism for the beam-beam compensation and, thus, can not be avoided. The way to counteract the instability is to increase the electron beam rigidity, to make its motion during the collision smaller. Naturally it can be done using a strong longitudinal magnetic field in the interaction region. ²

a. Direct and Skew Wakes Conventionally, the analysis of relativistic beam stability relies on the wake function concept, see, e.g., [21]. Electromagnetic fields excited in an accelerator vacuum pipe vary over transverse distances of about the pipe aperture b , which is usually much larger than the beam radius a . That allows an expansion of the perturbation on dipole, quadrupole, and higher order terms over a small parameter (a/b) .

The situation is different for the case under study. The electron beam space charge fields excited by antiprotons have about the same transverse extent as the antiproton beam, and this complicates the analysis. However, the interaction can be described by the conventional approach for a specific case when both the antiproton bunch and the electron beam are homogeneous and bounded by the

²It is assumed that the Tevatron ring chromaticity can be made close to zero, so that the “weak head-tail” [21] instability is negligible.

same radius $a = a_{\bar{p}}$. In this case electromagnetic wake fields have a simple radial structure and can be easily calculated.

To find the dipole wake function, let us consider a thin antiproton slice with a charge q and offset Δx traveling through the electron beam. After interacting with the slice, electrons acquire a transverse velocity given by

$$v_{xe} = \frac{2eq\Delta x}{a^2(1 + \beta_e)\gamma_e mc}. \quad (43)$$

Such a kick causes transverse Larmor oscillations in the longitudinal magnetic field B , and after a time interval t , the electron transverse offsets are:

$$x_e = \frac{v_{xe}}{\omega_L} \sin(\omega_L t); \quad y_e = \frac{v_{xe}}{\omega_L} (1 - \cos(\omega_L t)), \quad (44)$$

where $\omega_L = eB/(\gamma_e mc)$ stands for the Larmor frequency. One can see that an originally horizontal displacement Δx results in both horizontal and vertical displacements. Taking into account the possibility of a vertical offset y , we conclude that antiprotons at a distance s behind the slice will experience momentum changes equal to

$$\begin{aligned} \Delta p_x(s) &= -\frac{eq}{c} \left(W_d(s)\Delta x - W_s(s)\Delta y \right) \\ \Delta p_y(s) &= -\frac{eq}{c} \left(W_s(s)\Delta x + W_d(s)\Delta y \right) \end{aligned} \quad (45)$$

where we introduce the direct wake function $W_d(s)$ and the skew $W_s(s)$ wake function:

$$W_d(s) = W \sin(ks), \quad W_s(s) = W (1 - \cos(ks)), \quad (46)$$

$W_{d,s}(s) = 0$, if $s \leq 0$, and

$$W = \frac{4\pi n_e L_e}{(1 + \beta_e)a^2(B/e)}, \quad k = \frac{\omega_L}{(1 + \beta_e)c}, \quad (47)$$

where $n_e = \frac{J_e}{e\pi a^2 v_e}$.

Depending on the parameters, one or another of the two wake functions (46) can give a dominant influence on the antiproton beam stability. The direct wake effects are suppressed if there are many Larmor oscillations periods over the antiproton bunch length σ_s , while the skew force impact decreases with increasing $x - y$ detuning.

In the case of the Tevatron operating near the coupling resonance $\Delta\nu = |\nu_x - \nu_y| \approx 0.01$, consideration of the coupling of only the two closest modes $\nu_x + m\nu_s$ and $\nu_y + n\nu_s$ (m, n are integer), gives the following expression for the threshold magnetic field [20]:

$$B_{thr} \approx 1.3 \frac{eN_{\bar{p}} \sqrt{\xi_x^e \xi_y^e}}{a^2 \sqrt{\Delta\nu \nu_s}}. \quad (48)$$

For $\xi_x^e = \xi_y^e = -0.01$, $N_{\bar{p}} = 6 \cdot 10^{10}$, $\nu_s = 0.001$, $\Delta\nu = 0.01$, $a = 1$ mm, the solenoid magnetic field has to be more than $B_{th} = 12$ kG.

b. Multi-Mode Analysis The two mode coupling model allows us to derive analytical formulae for the TMCI threshold by taking into account only a constant skew component of the wake force due to the electron beam and just two coupling modes. A more general numerical algorithm for calculating the mode coupling is developed in Ref. [22] and it avoids such simplifications and considers many modes and a general wake form, and, most importantly, it deals with non-averaged motion. In that analysis, the antiproton bunch is divided into several radial and azimuthal parts in synchrotron phase space, and consequently, a series of synchrotron modes can be seen. The wake force kick changes the backward particles' angles. The rest of the accelerator is presented by a linear transformation matrix (rotation in phase space). Eigenvalues (eigentunes) of the resulting transformation matrix can be calculated numerically. The complexity of the calculations is squared as the number of modes, so, for calculations with MATHCAD software one has to limit the number.

We divide the bunch into 1 radial (i.e. the same synchrotron oscillations amplitude for all particles) and 7 azimuthal parts for both vertical and horizontal degrees of freedom. Thus, it is possible to see the behavior of the first 1 radial and 7 azimuthal synchrotron modes in horizontal and vertical motion taking into account their coupling. Complete expressions for the linearized direct and skew transverse wake functions Eq.(46) are used.

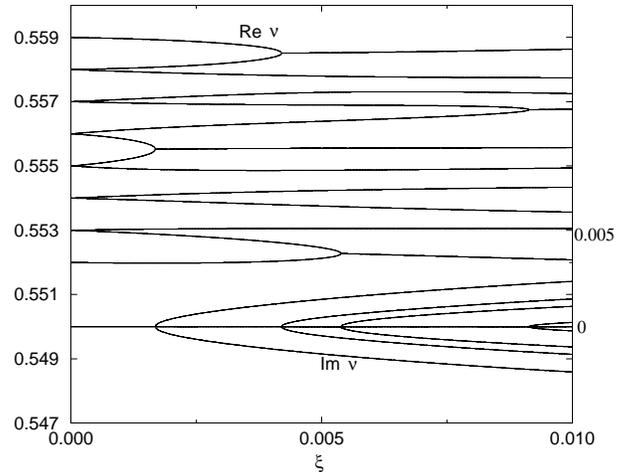


FIG. 13. Eigenfrequencies(tunes) of the antiproton bunch oscillation modes versus the antiproton betatron tune shift due to the electron beam ξ^e (horizontal axis). The vertical scale on the left is for the fractional part of the tunes $Re\nu$ (upper series of lines), and the right side scale is for the imaginary part of the tunes $Im\nu$ (lower series of lines).

Numerical parameters used in these calculations are $N_{\bar{p}} = 6 \cdot 10^{10}$, the rms size of the round Gaussian antiproton beam is $\sigma_{\bar{p}} = 1$ mm, and the longitudinal magnetic

field is equal to 10kG. Fig.13 shows the eigentunes versus the linear betatron tune shift ξ_e due to interaction with electron beam. The fractional part of the betatron tune for the horizontal motion is $\nu_x = 0.556$ and for the vertical $\nu_y = .555$, and the synchrotron tune is .001. Therefore, the betatron tune difference is exactly the synchrotron tune. If $\xi_e = 0$, then the eigenfrequencies of the azimuthal modes are equal to $\nu_{x,y} + k \cdot \nu_s$, where the integer k has 7 values in the range of $-3, \dots, 3$ and represents the number of modulation periods in synchrotron phase space. Some of the modes coalesce with increasing ξ_e , and the real parts of their tunes $\mathbf{Re}\nu$ (see upper series of curves in Fig.13) become equal, while the imaginary parts $\mathbf{Im}\nu$ bifurcate into one negative and one positive branch. The latter evidently means instability in the motion. In our case, the first merging of modes takes place at $\xi \approx .0017$; the next merging of higher modes occurs at $\xi \approx .0045$, etc.

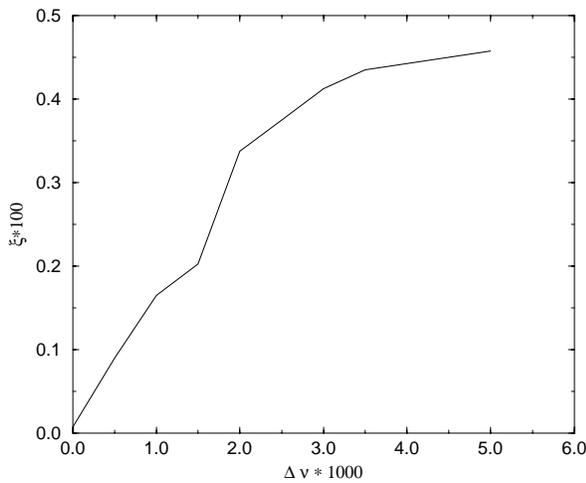


FIG. 14. Threshold antiproton tune shift ξ^e (vertical axis) due to the electron beam versus the difference of antiproton horizontal and vertical tunes $\Delta\nu = \nu_x - \nu_y$. $B = 10$ kG, $\nu_s = 0.001$, $N_{\bar{p}} = 6 \cdot 10^{10}$.

Fig.14 shows the tune shift threshold ξ^e for the first coupling modes versus the tune split in units of the synchrotron tune $\Delta\nu = (\nu_x - \nu_y)$ for a vertical tune equal to .555. The threshold grows linearly until $\Delta\nu \approx (2-2.5)\nu_s$ and then is approximately proportional to $\sqrt{\Delta\nu}$ - in good agreement with the two mode model. Note, that a completely adequate consideration of the fast oscillating parts of the wakes would require many more modes $\sim k\sigma_s \simeq 30 - 100$ to be taken into account.

c. TMCI simulations with electron beam Three dimensional simulations of the effects have been done with a numerical code [20]. The round Gaussian antiproton beam ($\sigma_x = \sigma_y = \sigma_{\bar{p}}$) is presented as a number of macroparticles (typically in the range from $M=128$ to maximum 2048). The particles have equal charges

$e\Delta N_{\bar{p}} = eN_{\bar{p}}/M$. Both direct and skew wakes are taken into account in this numerical simulation. The simulation reveals, that although the antiproton bunch motion is essentially two-dimensional (since the wake is 2D), the instability starts in that plane where the original lattice tune is closer to half integer $\nu = 1/2$, e.g. in the horizontal plane for the Tevatron ring.

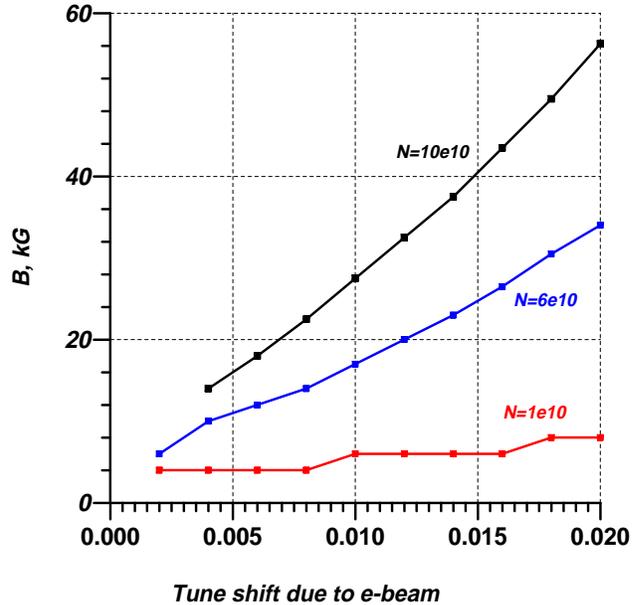


FIG. 15. Threshold solenoid field B_{thr} vs tune shift due to electrons $|\xi_e|$ at different bunch populations $N_{\bar{p}} = 1, 6, 10 \cdot 10^{10}$. Focusing lattice tunes $\nu_x = 0.585$, $\nu_y = 0.575$, synchrotron tune $\nu_s = 0.0012$, no betatron tune spread in the beam, and the rms size of antiproton beam $\sigma_{\bar{p}} = 0.7$ mm.

Fig.15 shows results of the numerical simulations giving the threshold strength of the solenoidal magnetic field B_{thr} vs. the electron beam intensity parameter ξ^e for antiproton bunch populations equal to $N_{\bar{p}} = (1, 6, 10) \cdot 10^{10}$ - lower, middle and upper curves, respectively. We define the threshold as the value of B which results in more than a 10-fold increase of the initial centroid betatron amplitude over the first 10,000 turns. One can see, that the field is approximately proportional to both ξ^e and $N_{\bar{p}}$ in accordance with the theoretical prediction Eq.(48). We found that the dependence of the threshold on the synchrotron tune agrees well with theory, also, i.e. $B_{thr} \propto \sqrt{\nu_s}$.

In order to evaluate the importance of the oscillation part of the wakes in Eq.(46), we performed similar simulations without the constant part of the skew wake, i.e. with $W_d(s) = W \sin(ks)$ and $W_s(s) = -W \cos(ks)$. We found that a solenoid field about 5 times smaller is required for stability. This confirms the decisive role of the the constant part of the skew wake that is a basic assumption of the two-mode coupling model.

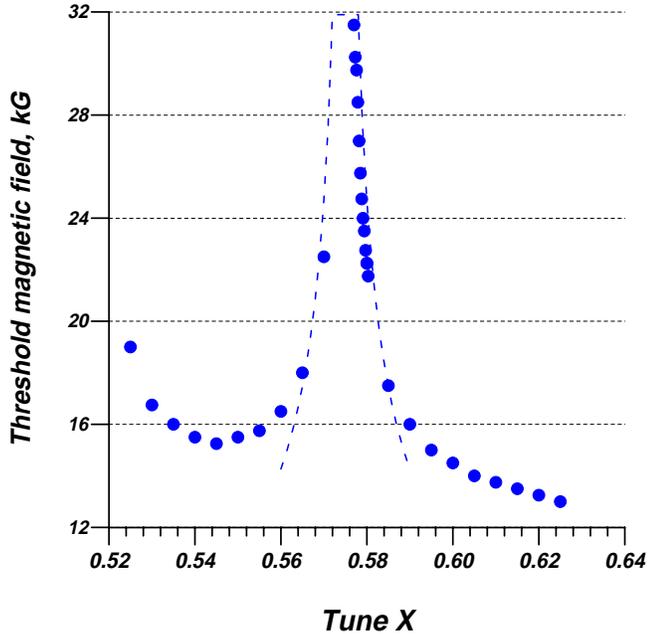


FIG. 16. Threshold magnetic field vs horizontal tune ν_x . Dashed line corresponds to $B_{thr} \propto 1/\sqrt{|\nu_x - \nu_y|}$; $\nu_y = 0.575$, $\nu_s = 0.001$, $\xi^e = -0.01$, $N_{\bar{p}} = 6 \cdot 10^{10}$, $\sigma_{\bar{p}} = 0.7$ mm.

The TMCI threshold sensitively depends on the operation point ν_x, ν_y . Fig.16 presents the results of scanning the horizontal tune ν_x from 0.52 to 0.63 while the vertical tune is held constant at $\nu_y = 0.575$. In close vicinity to the coupling resonance $\Delta\nu = |\nu_x - \nu_y| \leq 15\nu_s$, the threshold magnetic field depends on ν_s approximately as $\propto 1/|\Delta\nu|^\kappa$, where $2/5 < \kappa < 1/2$. Away from the resonance, the best fit power is $\kappa \approx 1/5$. The tune dependence on the tune split is different from Eq.(48) if $|\Delta\nu|$ is more than $15\nu_s \approx 0.015$. The threshold also goes up near the half-integer resonance $\nu_x \rightarrow 0.5$.

In order to compare simulations with the two mode model, one can fit B_{thr} in a form similar to Eq.(48):

$$B_{thr} \approx \frac{0.95eN_{\bar{p}}\xi^e}{\sigma_{\bar{p}}^2\sqrt{|\nu_x - \nu_y|\nu_s}} = \frac{17.5[kG]\frac{N_{\bar{p}}}{6 \cdot 10^{10}}|\frac{\xi^e}{0.01}|}{(\frac{\sigma_{\bar{p}}[mm]}{0.7})^2\sqrt{\frac{\nu_s}{0.001}|\frac{\Delta\nu}{0.01}}}, \quad (49)$$

- see also the dashed line in Fig.16. There are differences in numerical factors between Eq.(49) and Eq.(48) because of a) the kick due to a Gaussian beam differs from a round beam kick Eq.(43) and $\sigma_{\bar{p}}$ is used instead of a ; b) the oscillating parts of the wake forces are taken into account in the simulations in contrast to the two mode model; and c) more than two modes play a role in the computer tracking because of the large number of macroparticles. Nevertheless, there is excellent quantitative agreement with the results of the multi-mode analysis presented in Fig.14.

Neither the two-mode theory nor the multi-mode analysis deal with the tune spread in the antiproton bunch, which will tend to suppress the instability due to Landau damping [23]. The TMCI can be additionally suppressed

if the electron beam has a radius larger than the antiproton beam radius, $a \geq \sigma_{\bar{p}}$. It was found out in Ref. [20] that the skew wake function scales with the electron beam radius as $W_s \propto n_e/a^2$, i.e., using a two times wider electron beam will lead to 4 times smaller required magnetic field for the same ξ^e . The oscillating direct wake function $W_d(s)$ does not depend on the electron beam radius when the electron density is fixed. Coupling of higher than dipole single bunch modes is the subject for further studies.

D. Effect on proton beam, long-term control

Since the Tevatron collider operates with many more protons per bunch than antiprotons per bunch, the antiproton beam-beam tune shift is larger than the proton tune shift. Therefore, the compensation will be necessary only for antiprotons. The direction of the electrons' propagation is opposite to the antiprotons velocity (i.e. they collide³). The proton beam moves in the opposite direction in the same vacuum chamber and also may effectively interact with the electron current. If the proton and antiproton beam orbits are not separated, then an additional positive tune shift for protons is

$$\xi_p^e \approx \frac{N_e r_p (1 - \beta_e)}{4\pi\epsilon_n} = \xi^e \frac{1 - \beta_e}{1 + \beta_e}. \quad (50)$$

If $\beta_e \ll 1$, ξ_p^e does not differ too much from $\xi^e \simeq 0.01$ which is supposed to be too large to tolerate. One needs to avoid this impact on the proton beam due to electron charge. Separation of the proton and electron beams can help a lot: e.g. a separation of $d \approx 10\sigma_p \simeq 7$ mm causes quite a minor proton beam tune shift of about

$$\xi_p^e(d) \approx \frac{2\xi^e}{(d/\sigma_p)^2} \frac{1 - \beta_e}{1 + \beta_e} \sim 1.3 \cdot 10^{-4}, \quad (51)$$

with vertical and horizontal having opposite signs.

A very important issue is longer term control of the electron beam. The amount of required tune spread compensation varies in time, e.g. at injection proton and antiproton beams are everywhere separated and that yields one pattern of the footprint; after acceleration beams collide at two IPs, the separator strength goes down and they provide different separations at parasitic crossings, etc, and consequently, the tune footprint is changed; finally, after a few hours the intensity and emittances of the beams are significantly different. The electron lens has to be adjusted in order to compress the tune area effectively. One way to reach the goal is to rely on

³Due to the very small inelastic $e - \bar{p}$ cross section the antiproton beam lifetime does not depend significantly on electron current.

a beam-beam model which predicts the footprint from measured data on bunch intensities, emittances and separation. Another choice is to continuously measure the beams' tune spectra and make necessary corrections in electron beam current, size and distribution (i.e. implement a kind of long-term feedback). Reliability of the set up during collider operation may probably require multiple cathodes or guns.

E. Electron current fluctuations

Fluctuations of the electron current from turn to turn cause time variable quadrupole kicks which lead to a transverse emittance growth of the antiproton bunches. The current in the electron lens set-up has to be modulated rather fast although periodically, and thus, the issue of how stable the current is at a one-turn scale may be of importance.

The emittance growth rate due to fluctuations of a gradient δG of a lens with length L is given by [24]:

$$\frac{d\varepsilon_z}{dt} = f_0^2 \frac{\varepsilon_z}{16} \left(\frac{eL\beta_z}{Pc} \right)^2 \sum_{n=-\infty}^{\infty} S_{\delta G}(f_0|2\nu_z - n|), \quad (52)$$

where f_0 is the revolution frequency, β_0 is beta function at the lens location, P is the antiproton momentum, ν_z is the machine tune, and $S_{\delta G}(f)$ is the power spectral density (PSD) of the gradient fluctuations. The PSD we use is defined for positive frequencies f . One can see that only some particular frequencies contribute to the emittance growth, and the lowest of them $(2\Delta\nu_z - 1)f_0$ is about 7.2 kHz for the Tevatron. If one assumes that the current ripple is "white noise" with a constant PSD $S_{\delta G}$, then, the rms value of the ripple δG relates to the PSD as

$$\delta G^2 = (1/2)f_0 S_{\delta G},$$

and therefore, taking into account that there are two electron beams (with presumably uncorrelated current fluctuations) on the antiproton orbit, one gets:

$$\frac{d\varepsilon_z}{dt} = 2\pi^2 f_0 \varepsilon_z ((\xi_{1z}^e)^2 + (\xi_{2z}^e)^2) \left(\frac{\delta J}{J} \right)^2, \quad (53)$$

where $F_{1,2}$ are focal lengths of the two electron beams, $\delta J/J$ is the rms value of relative current fluctuation, $\xi_{1,2,z}$ are the values of tune shift produced by electron lenses 1 and 2 respectively (proportional to the current) and we used the relation $\xi^e = (1/4\pi)\beta_z/F$.

From Eq.(53) one immediately gets the emittance evolution equation:

$$\varepsilon_z(t) = \varepsilon_{0z} \exp(t/\tau_z^e), \quad (54)$$

where the characteristic growth time is equal to

$$\tau_z^e = \frac{1}{4\pi^2 f_0 ((\xi_{1z}^e)^2 + (\xi_{2z}^e)^2) \left(\frac{\delta J}{J} \right)^2}. \quad (55)$$

The growth time is different for different bunches, e.g. τ_z^e is smaller for the bunches which experience larger currents J and, therefore, tune shifts ξ^e . These bunches (named PACMAN bunches) are usually located near the gaps (see Fig.4). Let us take for example one of those bunches with $\xi_{1x}^e = 0.01$ and $\xi_{2x}^e = 0.002$, then a requirement of $\tau_x^e > 10$ hrs results in $\frac{\delta J}{J} < 0.53 \cdot 10^{-3}$. If one assumes a constant distribution function for the ripple⁴, then the value above corresponds to peak-to-peak current fluctuations of

$$\frac{\Delta J}{J} \approx 1.8 \cdot 10^{-3}. \quad (56)$$

For non-PACMAN bunches (inside the batch, away from the gaps) the requirement is somewhat less stringent $\frac{\Delta J}{J} < 3.2 \cdot 10^{-3}$. This current stability will require a stable voltage U_e of the (modulated) power supply which controls the electron gun current⁵ of $\left(\frac{\delta U_e}{U_e} \right) = \frac{2}{3} \left(\frac{\delta J}{J} \right) < 10^{-3}$.

F. Transverse electron beam motion

Transverse motion of the electron beam may also cause direct antiproton emittance growth. Indeed, if the electron beam displacement is equal to δX , then the dipole kick experienced by antiprotons is $\delta\theta = \delta X/F$, where F is the focal length of the defocusing electron lens. Coherent antiproton betatron oscillations occur and after some decoherence time they result in antiproton emittance growth. The normalized emittance grows *linearly* in time and its growth rate is equal to [25]:

$$\frac{d\varepsilon_x}{dt} = \frac{\gamma_{\bar{p}} f_0^2}{4} \sum_{sources} \frac{\beta_x}{F^2} \sum_{n=-\infty}^{\infty} S_{\delta X}(f_0|\nu_x - n|). \quad (57)$$

Note, that the frequencies of interest $f_0|\nu_x - n|$ start from the betatron frequency of the Tevatron $(1 - \Delta\nu_x)f_0 \approx 20$ kHz.

Using the same transformations as above, one gets for two electron lenses:

$$\frac{d\varepsilon_x}{dt} = 8\gamma_{\bar{p}}\pi^2 f_0 \delta X^2 \left(\frac{(\xi_{1x}^e)^2}{\beta_{1x}} + \frac{(\xi_{2x}^e)^2}{\beta_{2x}} \right), \quad (58)$$

where δX now stands for the rms electron beam vibration amplitude.

Let us constrain the emittance growth rate to be less than $\varepsilon_n/10$ hours, $\varepsilon_n = 2.5\pi$ mm-mrad. Then, for the PACMAN bunches we get the following requirement on the rms electron beam turn-to-turn position stability

⁴for such a distribution the rms value is $1/\sqrt{12}$ of the peak-to-peak value

⁵taking into account Child's law (10)

$$\delta X \leq 0.14 \mu\text{m}. \quad (59)$$

For the bunches in the middle of the bunch train, the requirement is about $0.21 \mu\text{m}$.

The tolerable amplitudes are several orders of magnitude larger than vibrations of the Tevatron quadrupoles at high frequencies. Accordingly to [26], the rms amplitude of the Tevatron quadrupole magnets at frequencies above 100 Hz is about 2nm, and the amplitude rapidly goes down with increase of frequency. However, other sources of electron beam jitter have to be checked.

If the electron beam and the antiproton beam are not properly aligned with respect to each other and they collide off-center with displacement equal to ΔX , then electron current ripple at betatron frequencies causes dipole kicks on antiprotons and can also lead to transverse emittance growth. The tolerance can be easily estimated from Eq.(59) as:

$$\frac{\Delta J}{J} \Delta X \approx \delta X. \quad (60)$$

Note, that the tolerance depends on the straightness of the electron beam in the interaction region, which is determined by the solenoid field quality. Using $\Delta X = 0.25\sigma_{\bar{p}} = 0.15 \text{ mm}$, one calculates the rms current ripple tolerance for the PACMAN bunches as $\frac{\Delta J}{J} < 1.1 \cdot 10^{-3}$, or about 0.37% peak-to-peak, and about $1.6 \cdot 10^{-3}$ the rms value and 0.52 % peak-to-peak for non-PACMAN bunches. These requirements are somewhat loose in comparison with the quadrupole kick effect.

G. Solenoid Field Quality

A strong solenoid magnetic field B of the order of several Tesla in the straight section of the electron lens assures that the electrons perform very small but fast Larmor oscillations around the magnetic field lines. Therefore, deviations of \vec{B} from a straight line will cause off-center collisions of the antiproton and electron beams. In the case of the non-linear electron lens this may cause unwanted non-linear components of the forces. To avoid the effect, one needs to have the field lines not deviate from the straight antiproton orbit more than some part of the transverse antiproton beam size $\sigma_{\bar{p}} \approx 0.8 \text{ mm}$. If one requires a solenoid field straightness equivalent to $\Delta X = 0.2 \text{ mm}$, then a transverse field component has to be less than

$$\frac{\Delta B_{\perp}}{B} \sim \frac{\Delta X}{L} \sim \frac{0.2\text{mm}}{2\text{m}} = 10^{-4}.$$

This is comparable with the field quality in numerous electron cooling devices.

V. DISCUSSION AND CONCLUSIONS

A. Historical overview

The idea of the compensation of the beam-beam interaction has been discussed previously for other collider facilities, mostly e^+e^- (one of the earliest publication is Ref. [27]). The theory of compensated electron-positron collisions in storage rings [28] predicts that collective instabilities in the circulating beams limit the performance and do not allow significant benefits with respect to the usual uncompensated case. Experiments with compensated e^+e^- beams were carried out at the DCI collider at Orsay in the 1970s [29]. There were two intersecting rings with four equally populated beams (positrons and electrons in each ring) which collided at the same point. This arrangement yielded a space charge and a current compensation factor of about 5-10. It allowed an increase of the maximum beam-beam parameter ξ from 0.018 to 0.024. Nevertheless, there was no significant increase in luminosity, and it was concluded that the value of ξ rather than the residual compensated value of $\xi_r = \xi/(5 - 10)$ sets the limit. Stability regions, smaller in size than those observed in the two-beam configuration, were found to decrease rapidly with current, probably because of collective modes. A decade later, experimental studies at VEPP-4 $e^+ - e^-$ collider demonstrated that partial compensation of the cubic nonlinearity in the beam-beam interaction with use of octupole lenses may result in a reduction of particle loss rate and a gain in the machine efficiency [30]. Similar effects are predicted in a recent theoretical analysis [31].

In linear e^+e^- colliders, the beams collide once, and therefore, there is no long-term memory in an opposing beam as in storage rings. Thus, collective phenomena are weaker. Charge separation in neutralized beams occurs only if the space charge parameter is very large $\xi \gg 1$ [32], [33].

It was pointed out in Ref. [34] that compensation of beam-beam effects with an electron beam leads to elongation of the transverse decoherence time due to the smaller tune spread. This also leads to less stringent requirements on the feedback system for emittance preservation in large colliders like the LHC.

B. Conclusions

We have described a technique to compensate the beam-beam induced tune shift and tune spread of antiprotons in the proton-antiproton Tevatron collider with the use of low-energy high current electron beam. Implementations of the technique can include 1) the ‘‘electron lens’’ with modulated current which provides different linear defocusing forces for different antiproton bunches and, therefore, equalizes their betatron frequencies; 2) the ‘‘electron compressor’’, a nonlinear but DC electron

lens to compensate (on average) the nonlinear focusing of antiprotons due to the proton beam and, thus, to shrink the beam-beam footprint; and 3) a several times more powerful “electron compressor” to eliminate the crossing angle, and, therefore, to double the luminosity, or to allow more proton-antiproton interaction points, or to get the same luminosity with smaller beam intensities.

The electron beam setup looks much like an electron cooler, except electrons collide with antiprotons. The proton beam has to be separated from the other two. 2-m long, 2-mm diameter, 10 kV electron beams with 1-2 Amperes of current are to be installed in places with large beta-functions ($\sim 100\text{m}$), away from the main interaction points (IPs - B0 and D0 at the Tevatron). A strong longitudinal magnetic field plays a significant role in maintaining stability of both electron and antiproton beams as well as in keeping the electron beam current distribution distortions and, therefore, distortions of electron space charge forces, within acceptable limits.

Reduction of the bunch-to-bunch tune variation can be done with two round electron beams with specially programmed time-variable electron currents. One of the electron lens setups has to be installed at a location where the vertical beta-function is larger than the horizontal one $\beta_y > \beta_x$ and, therefore, will affect mostly the vertical antiproton tune; another requires the opposite relation $\beta_y < \beta_x$ for mostly horizontal tune changes. For better linearity of the electron lens, the size of the electron beam should be two-three times the rms size of the antiproton beam. The electron current needed is periodic with the Tevatron revolution frequency. The current waveform (amplitude and modulation) depends on the particular colliding bunches pattern, bunch intensities, crossing angle and orbit separation. We considered the time structure of the defocusing force due to electron current and estimated, that the 132 ns bunch spacing in TEV33 will require 100-120 ns current modulation time in the 2 m long 10kV electron lenses.

Electron current fluctuations from turn to turn (more precisely, at frequencies about double the betatron frequency) should be less than $\Delta J_e/J_e < (2-3) \times 10^{-3}$ peak-to-peak. Otherwise variable defocusing kicks may lead to significant transverse antiproton emittance growth. The transverse emittance growth caused by the dipole kick due to a displaced electron beam is a less stringent requirement on the current ripple, depending on how well the electron and the antiproton beams are centered at the interaction region. Direct emittance growth with ideally centered beams due to electron beam vibrations is predicted to be negligible.

Non-linear beam-beam compensation and the footprint compression require precise control of the electron beam shape. In principle, that can be done with use of near cathode electrodes in the diode electron gun.

We have considered distortions of the electron beam in the beam-beam compensation set-up. It is found that a rather low longitudinal field of about 1kG can avoid the beam blow up due to defocusing electron and antipro-

ton space charge forces. A much higher solenoid field of about 20-40 kG is necessary to have electron charge distribution distortions within a few percent with respect to the original axisymmetric distribution. The need comes from a requirement to contribute much less $x-y$ coupling than other sources in the Tevatron collider ring, and to not introduce significant spread of the coupling in the antiproton bunch. Analytical considerations have shown that the distortion is smaller if the electron beam size is several times the antiproton beam size.

We have considered “head-tail” coupling in the Tevatron antiproton beam due to the electron beam impedance. The coupling can cause a single antiproton bunch instability if the magnetic field is less than a threshold value of the order of 20 kG. Consequently, to assure stability, a 40-60 kG longitudinal magnetic field has to be in the interaction region of the electron lens. A two-mode model agrees with multi-mode analysis and numerical simulations results.

The general conclusion is that beam-beam compensation with an electron beam looks very promising and it provides additional powerful “knobs” to control beam dynamics in the Tevatron collider. We find no severe requirements on the electron beam for the suggested device, and believe that realization of the idea will give benefits for the Tevatron.

C. Experimental test

An experimental installation that should demonstrate the feasibility of the electron lens is now under construction at Fermilab. This set-up will serve as a prototype of the device that can later be inserted into the Tevatron ring.

The goals of the set-up are to obtain 10 kV 2-meter long electron beam with total current up to 2A propagating in a precise solenoid magnet, to test the current modulation in a few MHz bandwidth, and to study the beam dynamics. The parameters of the experimental installation are about the same as for the full scale device, except a somewhat lower magnetic field and current density. The set-up will allow study of the measures to suppress the two beam drift instability, and testing of all the physical and technical solutions needed to build the electron lens for beam-beam compensation in the Tevatron.

The experimental installation consists of a diode electron gun and collector immersed in a magnetic field of 1-2.5 kG produced by 0.5 m long normal conducting solenoids, and a 2 meter long beam transport section inside a 4 kG solenoid magnet. The beam radius at the cathode is 5mm and it can be compressed to about 2.5mm in the longer solenoid. The electron gun has special control electrodes to change the beam profile. The main solenoid of the installation is made precise enough so that the achievable angular field homogeneity is better than $5 \cdot 10^{-5}$. The magnetic field straightness will

be measured optically, using a mirror with an magnetic arrow attached, and then improved if necessary by corrector coils.

Acknowledgments

We acknowledge helpful discussions on the subject of the beam-beam compensation with Vasily Parkhomchuk, Nikolai Dikansky, Tolya Sharapa, John Marriner, Peter Bagley, Leo Michelotti, John Johnstone, and Slava Derbenev. We are thankful to Sergei Nagaitsev, Igor Meshkov and Sasha Shemyakin for many useful comments on electron beam stability, and to Weiren Chou and Dave Ritson for raising the question of electron beam position stability. We are indebted to Alexander Zinchenko and Alexei Burov for their collaboration in studies of the electron beam distortions and the TMCI due to the electron beam. We acknowledge discussions on various aspects of the compensation with attendants of the Mini-Workshop on Beam-Beam Compensation (February, 1998, Fermilab). Our special thanks to Peter Bagley for provision of the multibunch tune simulations data .

[†] presently at Oak Ridge National Laboratory, Oak Ridge, TN 37831-8218, USA.

[‡] on leave from Budker INP, Novosibirsk, 630090 Russia.

* Operated by Universities Research Association, Inc., under contract DE-AC02-76CH00300 with the U.S. Department of Energy.

- [1] J.P.Marriner, FERMILAB-Conf-96/391 (1996); S.D.Holmes, *et. al*, FNAL-TM-1920 (1995).
- [2] P.Bagley, F.Bieniosek, P.Colestock, *et. al*, FERMILAB-Conf-96/392 (1996).
- [3] G.Dugan, in *1989 IEEE Particle Accelerator Conference, Chicago* (IEEE Publishing, 1989), p.426.
- [4] D.Finley, *Proc. III Advanced ICFA Beam Dynamics Workshop, Novosibirsk, 1989*, Budker INP (1989), p.34.
- [5] V.Shiltsev, FERMILAB-FN-653 (1997).
- [6] V.Shiltsev, D.Finley, FERMILAB-TM-2008 (1997).
- [7] V.Shiltsev, FERMILAB-TM-2031 (1997).
- [8] V.Danilov, V.Shiltsev, FNAL-FN-671 (1998).
- [9] G.I.Budker, N.S.Dikansky, V.I.Kudelainen, *et. al*, *Part. Accelerators*, **7** (1976), 197.
- [10] J.R.Pierce, *Theory and Design of Electron Beams*, Van Nostrand, New-York (1954).
- [11] A.Sharapa, A.Grudiev, D.Myakishev, A.Shemyakin, *Nucl. Instr. Meth. A*, **406** (1998), 169.
- [12] V.Shiltsev and A.Zinchenko, *Phys. Rev. ST Accel. Beams*, **1**, 064001 (1998).
- [13] G.Kuznetsov, *Nucl. Instr. Meth. A*, **340** (1994), 204.
- [14] see, e.g., I.N.Meshkov, *Transportation of Intense Beams of Charged Particles*, Budker INP, Novosibirsk (1989, in Russian).
- [15] A.V.Burov, V.Kudelainen, V.Lebedev, V.Parkhomchuk, A.Sery, V.Shiltsev, Preprint INP 89-116, Novosibirsk (1989, in Russian); Preprint CERN/PS 93-03 (AR), CERN (1993).
- [16] A.V.Burov, Preprint INP 88-124, Novosibirsk (1988).
- [17] V.I.Kudelainen, V.Parkhomchuk, D.Pestrikov, *Zh.Tekh.Fiz. (Sov.Phys.-Tech.Phys.)*, **53**, **n.5** (1983), 870.
- [18] J.E.Augustin, SLAC Note PEP-63 (1973); B.W.Montague, CERN Report CERN/ISR-GS/75-36 (1975).
- [19] P.Bagley and J.Annala, private communication.
- [20] A.Burov, V.Danilov, and V.Shiltsev, FNAL-Pub-98/195 (1998), submitted to *Phys. Rev. E*.
- [21] A.Chao, *Physics of Collective Beam Instabilities in High Energy Accelerators*, John Wiley & Sons, Inc. (1993).
- [22] V.V.Danilov and E.A.Perevedentsev, *NIM A*, **391** (1997), 77.
- [23] D.V.Pestrikov, *NIM A*, **373** (1996), 179.
- [24] G.V.Stupakov, SSCL-575 (1992).
- [25] V.Lebedev, V.Parkhomchuk, V.Shiltsev, G.Stupakov, *Particle Accelerators*, **44** (1994), 147.
- [26] B.Baklakov, T.Bolshakov, A.Chupira,*et.al*, *Phys. Rev. ST Accel. Beams* **1**, 031001 (1998).
- [27] J.E.Augustin, R.Belbeovh, P.Brunet, *et. al*, in *Proc. 7th Int. Conf. High Energy Accel., Yerevan*, vol.2 (1970), 113.
- [28] Ya.Derbenev, SLAC-Trans-0115 (1973); and INP Preprint 70-72, Novosibirsk (1970).
- [29] J. Le Duff, M.P.Level, P.C.Martin, E.M.Sommer, H.Zyngier, *11th Int. Conf. High Energy Accel., CERN, Geneva*, Birkhauser Verlag, Basel-Boston-Stuttgart (1980), 707; see also *IEEE Transact. Nucl. Sci.* **26**, **No.3** (1979), 3559.
- [30] A.B. Temnykh, *Proc. III Advanced ICFA Beam Dynamics Workshop, Novosibirsk, 1989*, Budker INP (1989), 5.
- [31] Y.K.Batigin, T.Katayama, RIKEN-AF-AC-3, Japan (1997).
- [32] N.A.Solyak, Preprint INP 88-44, Novosibirsk (1988); see also in *Proc. 13th Int. Conf. High Energy Accel., Novosibirsk*, vol.1, Budker INP (1986), 151.
- [33] D.Whittum, R.Siemann, in *Proc. 1997 IEEE Part. Accel. Conf., Vancouver, Canada*, 602 (1997).
- [34] E.Tsyganov, A.Taratin, A.Zinchenko, *Fiz.Elem.Chastits At.Yad. (Sov. J.Part. Nucl.)*, **27**, **No.3** (1996), 675; see also SSCL-Preprint 519 (1993); CERN-SL/Note 95-116/AP (1995); JINR-E9-96-4, Dubna (1996).