



**Fermi National Accelerator Laboratory**

FERMILAB-CONF-84/41-T  
April 1984

Perturbative Corrections to Universality  
and  
Renormalization Group Behaviour

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Talk presented at the Workshop on "Gauge Theory On A Lattice".  
Argonne, April 1984.

## ABSTRACT

The influence of weak coupling corrections on tests of the universality of lattice actions is discussed. The two loop corrections described in this paper were performed using the background field method. An estimate is also provided of the perturbative corrections to asymptotic scaling for the Wilson action.

Monte Carlo simulation of Lattice QCD is carried out at finite, large values of the bare coupling  $g$ , but the continuum limit of the theory corresponds to vanishing  $g$ . When extracting physical results from lattice calculations it is therefore important to correct for the non-zero value of the coupling constant. In this paper I report on the result of some perturbative calculations, mostly performed in collaboration with G. Martinelli<sup>1,2</sup>, which address this question.

On the lattice any physical quantity (of mass dimension  $d$ ) is proportional to  $\Lambda_L^d$ . Under a change of the lattice action  $\Lambda_L$  changes but, according to universality, physical quantities must remain the same. So in the continuum limit, the value of a mass  $m$  calculated using two different lattice actions is,

$$m = k\Lambda_L = k'\Lambda_L' \quad (1)$$

The measurement of the ratio  $k'/k$  provides an estimate of  $\Lambda_L/\Lambda_L'$ . The ratio of  $\Lambda$  parameters is calculable in weak coupling perturbation theory so that eq.(1) can be used to check universality in the continuum limit. In the limit as the lattice spacing  $a$  tends to zero the bare coupling of SU(N) gauge theory varies according to the renormalization group equation,

$$a \frac{dg(a)}{da} = -\beta(g) = b_0 g^3(a) + b_1 g^5(a) + b_2 g^7(a) + O(g^9(a)) \quad (2)$$

The scale parameter  $\Lambda_L$  is fixed by the solution to this equation,

$$\Lambda_L^2 a^2 = \left(1 + \frac{1}{b_0^3} (b_1^2 - b_2 b_0) g^2\right) \exp\left(-\frac{1}{b_0 g^2} - \frac{b_1}{b_0^2} \ln(b_0 g^2)\right) \quad (3)$$

The first two coefficients of the beta function  $\beta(g)$  are universal and given in pure SU(N) gauge theory by,

$$b_0 = \frac{11}{3} \frac{N}{16\pi^2} \quad b_1 = \frac{34}{3} \left( \frac{N}{16\pi^2} \right)^2 \quad (4)$$

The coefficient  $b_2$  is dependent on the regularization scheme and is known only for the dimensionally regularized continuum theory. Since it is unknown for the lattice regulated theory,  $\Lambda_L$  is determined "experimentally" from eq. (3) retaining only the exponential factor. We refer to this simplified form of eq. (3) as asymptotic scaling. The relationship between the  $b_2$  of different lattice actions, necessary for a check of universality, can be obtained from a two loop calculation. Consider two lattice actions whose coupling constants are related in the weak coupling region by,

$$\frac{1}{g'^2(a')} = \frac{1}{g^2(a)} [1 + g^2(a)(b_0 L + c_0) + g^4(a)(b_1 L + c_1) + \dots] \quad (5)$$

and the coefficients of the logarithm  $L = \ln(a^2/a'^2)$  are governed by the renormalization group equation. If the coupling constant  $g(a)$  satisfies eq (2) with coefficients  $b_0, b_1$  and  $b_2$  then  $g'(a)$  satisfies the same equation with the same coefficients  $b_0, b_1$ , but with  $b_2'$  given by,

$$b_2' = b_2 + (b_1 c_0 - b_0 c_1) \quad (6)$$

The theoretical ratio of the  $\Lambda$  parameters is fixed by a one loop calculation,

$$\frac{\Lambda_L}{\Lambda_{L'}} = \exp \left( \frac{c_0}{2b_0} \right) \quad (7)$$

Assuming the  $g^2$  and  $g'^2$  are approximately equal and given by  $\bar{g}^2$  in the scaling window of Monte Carlo data, the experimental ratio of  $\Lambda$  parameters can be corrected<sup>3</sup>,

$$\frac{\Lambda_L}{\Lambda_{L'}} = \left( 1 - \bar{g}^{-2} \delta_{L,L'} \right) \left( \frac{\Lambda_L}{\Lambda_{L'}} \right) \exp \quad (8)$$

The correction factor  $\delta$  is given by,

$$\delta_{L,L'} = \frac{1}{2b_0} (b_0 c_1 - b_1 c_0) \quad (9)$$

The constants  $c_0$  and  $c_1$  will be obtained from the effective action of the two lattice theories calculated using the background field method.

## THE BACKGROUND FIELD METHOD

In this section I outline the background field method<sup>4</sup> and give an example of its use in the continuum. The background field is introduced by writing the normal Yang-Mills Lagrangian as the sum of the quantum field  $Q$  and the background field  $B$ . The gauge fixing term which breaks the gauge invariance with respect to transformations of the quantum field is chosen in such a way that the invariance of the action under gauge transformation of the background field is preserved. The generating functional is given by,

$$Z[J, B] = \int [dQ] \frac{\delta G^C}{\delta \omega^D} \exp i \int d^4x (L(Q+B_0) - \frac{1}{2\alpha_0} (G^A)^2 + J_{\mu}^A Q_{\mu}^A) \quad (10)$$

where the gauge fixing function is,

$$G^A = (\partial^{\mu} \delta^{AC} - g_0 f^{ABC} B_{0B}^{\mu}) Q_C^{\mu} \quad (11)$$

It can be shown that the effective action of the background field is equal to the normal effective action of the theory calculated with an unusual gauge fixing term. Wave function renormalization of the quantum field is not necessary since the quantum field occurs only on internal lines. However renormalization of the gauge parameter is still necessary. The result for the two point function of the theory - the effective action - in the gauge specified by eq (11) is,

$$\Gamma^{\mu\nu}(p, g, \alpha, \mu) = - (g^{\mu\nu} p^2 - p^{\mu} p^{\nu}) (1 - g^2 d_0 - g^4 d_1) \quad (12)$$

where<sup>5</sup>,

$$\begin{aligned} d_0 &= \frac{N}{16\pi^2} \left\{ \frac{205}{36} + \frac{3}{2} \alpha + \frac{1}{4} \alpha^2 + \frac{11}{3} \rho \right\} \\ d_1 &= \left( \frac{N}{16\pi^2} \right)^2 \left\{ \frac{2687}{72} + \frac{34}{3} \rho - \frac{57}{8} \zeta(3) - \alpha \left( \frac{187}{48} + \frac{13}{4} \rho - \frac{5}{4} \zeta(3) \right) \right. \\ &\quad \left. - \alpha^2 \left( \frac{161}{144} + \frac{1}{3} \rho + \frac{1}{8} \zeta(3) \right) - \alpha^3 \left( \frac{3}{16} - \frac{1}{4} \rho \right) - \frac{\alpha^4}{16} \right\} . \quad (13) \end{aligned}$$

and  $\rho = \ln 4\pi - \gamma_E - \ln(-p^2/\mu^2)$ . Eq (13) is the renormalized two point function in the  $\overline{MS}$  scheme. The values of the renormalization constants in this scheme are<sup>4</sup>,

$$\alpha_0 = Z_Q \alpha, \quad Z_Q = 1 + \frac{g^2 N}{16\pi^2} \left( \frac{13}{6} - \frac{\alpha}{2} \right) \frac{1}{\epsilon} + O(g^4)$$

$$g_0 = g \mu^\epsilon Z_g, \quad B_0^\mu = \sqrt{Z_B} B^\mu, \quad Z_g \sqrt{Z_B} = 1$$

$$Z_B = 1 + g^2 \frac{b_0}{\epsilon} + g^4 \frac{b_1}{2\epsilon} + O(g^6) \quad (14)$$

and renormalization is performed as usual.

$$\Gamma_0^{\mu\nu}(p, g_0, \alpha_0, \epsilon) = Z_B^{-1} \Gamma^{\mu\nu}(p, g, \alpha, \mu) \quad (15)$$

The results given in eq (13) constitute the first step in the calculation of the relationship between continuum and lattice  $\Lambda$  parameters in two loops using the background field method. However the lattice part of this calculation has not yet been performed.

#### PERTURBATIVE CORRECTIONS AND TESTS OF UNIVERSALITY

I now report the results of the two loop lattice calculation which relates the  $\Lambda$  parameters of different lattice actions. The implementation of the background field method on the lattice has been described in the literature.<sup>6</sup> I work with a field strength  $\phi$  which is defined from the lattice quantum field strength  $F_{\mu\nu}$  and the background field strength  $f_{\mu\nu}$  as follows,

$$\exp i \phi = \exp i g F_{\mu\nu} \exp i a^2 f_{\mu\nu} \quad (16)$$

The general one plaquette lattice action can be expressed in terms of  $\phi$ . Retaining all gauge invariant terms which can contribute in two loop order we have,

$$S(\phi) = S_2 + S_I \quad S_2 = \frac{1}{g^2} \sum_x \sum_{\mu, \nu} \text{Tr} \frac{\phi^2}{2} \quad (17)$$

and

$$S_I = \frac{1}{g^2} \sum_x \sum_{\mu, \nu} s_4 (\text{Tr} \phi^4) + s_6 (\text{Tr} \phi^6) + t_4 (\text{Tr} \phi^2)^2 + t_6 (\text{Tr} \phi^2)^3 \\ + u_6 (\text{Tr} \phi^2 \text{Tr} \phi^4) + v_6 (\text{Tr} \phi^3)^2 \quad (18)$$

The term  $S_2$  is common to all lattice actions; its normalization is fixed because it contains the only term which survives in the naive continuum limit,

$$S_2 \rightarrow \frac{1}{2} \sum_x \sum_{\mu, \nu} \text{Tr} F_{\mu\nu} F_{\mu\nu} \quad (19)$$

The coefficients  $s_4, s_6$ , etc. determine the particular form of the lattice action. As an example I quote the Wilson action, which before summation over plaquettes is,

$$S_W(P) = \beta_F \left( 1 - \frac{1}{2N} \text{Tr}(U(P) + U^\dagger(P)) \right) \quad (20)$$

Another action which has been used extensively contains in addition to the Wilson action an admixture of the adjoint representation,

$$S_{FA}(P) = \beta_F \left( 1 - \frac{1}{2N} \text{Tr}(U(P) + U^\dagger(P)) \right) + \beta_A \left( 1 - \frac{1}{N^2} |\text{Tr} U(P)|^2 \right) \quad (21)$$

The full details of the two loop calculation are given in ref. (1). The final result is the relationship between the coupling constant  $\bar{g}$  of a arbitrary action, specified by the constants  $s_4, s_6, t_4$ , etc. and the coupling constant  $g_M$  of Manton action in which all  $s_4, s_6, t_4$ , etc. are equal to zero.

$$\begin{aligned} \frac{1}{g_M^2} = & \frac{1}{g^2} + s_4 \frac{2N^2-3}{N} + t_4(N^2+1) + g^2 a_R (s_4(2N^2-3) + t_4 N(N^2+1)) \\ & + g^2 \left( s_6 \frac{15(N^4-3N^2+3)}{8N^2} + v_6 \frac{9(N^2-4)}{8N} + u_6 \frac{3(2N^2-3)(N^2+3)}{8N} \right. \\ & \left. + t_6 \frac{3}{8} (N^2+1)(N^2+3) \right) \\ & - g^2 \left( s_4 \frac{9N^4-30N^2+36}{2N^2} + 2s_4 t_4 \frac{(2N^2-3)(N^2+2)}{N} + t_4^2 (N^2+1)(N^2+2) \right) \end{aligned} \quad (22)$$

The result is completely analytic except for the quantity  $a_R$  calculated in ref. (7) and approximately given by,

$$a_R = - .0011 \pm .0002 \quad (23)$$

From eq. (22) we can compute the correction factors to the ratios of lattice  $\Lambda$  parameters using eq. (8). The results are given in Table II. The results of the full two loop calculation given in Table II are very similar to the results obtained in ref. (3) where only tadpole diagrams were calculated. The significance of the corrections can be estimated using the approximate values of the coupling constant in the scaling window ( $g^2=2$  for SU(2),  $g^2=1$  for SU(3)). A comparison with the available data on SU(2) is shown in Table III. The conclusion for the particular actions shown in Table III is that the corrections are modest in size, and tend to bring data into better agreement with the theory. The remaining discrepancy should be attributed to order  $a^2$  corrections which may be large at presently investigated values of the coupling constant. However in view of the large errors it is possible to argue that there is no further discrepancy.

By way of contrast the correction  $\delta_{W,FA}$  which relates the Wilson and mixed fundamental adjoint actions is quite large. This is due to the large coefficient of  $r^2$  as shown in Table II. Despite the size of the correction it is still not sufficient to bring data into agreement with theory for  $\beta_A > 0$  where the perturbative approximation should work best. The experimental results on the mixed action can be understood using another approach. In the limit of large  $N$  the mixed action can be written in terms of a equivalent Wilson action with a coupling constant  $g_W$  defined using the iterative relation,

$$B_W = B_F + B_A \omega\left(\frac{1}{NB_W}\right) + O\left(\frac{1}{N}\right); \quad (24)$$

where

$$\omega(g^2) = \left\langle \frac{\text{Tr}U(P)}{N} \right\rangle; \quad B_W = \frac{1}{Ng_W^2}, \quad B_F = \frac{\beta_F}{2N^2}, \quad B_A = \frac{\beta_A}{N^2} \quad (25)$$

Eq. (24) may be improved using perturbative results and becomes;

$$B_W = B_F + B_A \omega\left(\frac{1}{NB_W}\right) - \frac{B_A}{(N^2-1)} \left(1 - \omega^2\left(\frac{1}{NB_W}\right)\right) - \frac{B_A}{B_W^2} \frac{1}{48N^2} \left(1 - \frac{3}{N^2}\right) - \frac{B_A^2}{B_W^3} \frac{(N^2+1)}{64N^2} \quad (26)$$

The expectation value of the plaquette variable has a perturbative expansion

$$\omega\left(\frac{1}{NB_W}\right) = 1 - \frac{N^2-1}{8N^2} \frac{1}{B_W} - \frac{N^2-1}{8N^2} \left(a_R + \frac{2N^2-3}{48N^2}\right) \frac{1}{B_W^2} \quad (27)$$

so that the perturbative corrections in eq. (26) are seen explicitly to be of order  $1/N^2$ . Eq.(26), taken from ref. (1), corrects the expression of Jurkiewicz, Korthals Altes and Dash,<sup>11</sup> who overlooked the renormalization of the gauge parameter. The difference between the expression of ref. (11) and eq.(26) is numerically small for the values of the coupling constants  $B_A, B_F$  of interest. The numerical results of ref. (11) therefore remain valid.

#### CORRECTIONS TO RENORMALIZATION GROUP BEHAVIOR.

A separate question which is unanswered by the calculation of ref. (1) is whether or not the quantity  $b_2$  is large for all lattice actions. In this case even though the corrections to the ratio of  $\Lambda_L$  parameters is small, the use of eq. (3) to extract the experimental  $\Lambda$  without the inclusion of  $O(g^2)$  terms would be unjustified. The continuum value of  $b_2$  is known from the work of Tarasov et. al<sup>12</sup> and is given in SU(N) gauge theory by,

$$b_2^{\text{cont}} = \frac{2857}{54} \left( \frac{N}{16\pi^2} \right)^3 \quad (28)$$

The easiest way to calculate  $b_2^L$  is to perform the two loop calculation which relates the lattice coupling constant to the continuum coupling constant. The first step in this program using the background field method is given in eq. (13). A crude estimate of the size of  $b_2^L$  was obtained in ref. (2) by evaluating only the tadpole diagrams. The result for the Wilson action is as follows,

$$\Lambda_W^a = (1 + \delta_W g^2 + O(g^4)) \exp \left( - \frac{1}{2b_0 g^2} - \frac{b_1}{2b_0^2} \ln(b_0 g^2) \right) \quad (29)$$

where the correction term  $\delta_W$  is made up of three parts,

$$\delta_W = \delta_{\text{cont}} + \delta_{\text{cont},M} + \delta_{M,W} \quad (30)$$

$\delta_{\text{cont}}$  and  $\delta_{M,W}$  are known exactly from refs. (12,1) respectively.  $\delta_{\text{cont},M}$  is estimated using the tadpole approximation. Note that even if the estimate of  $\delta_{\text{cont},M}$  were too small by an order of magnitude the change in  $\delta_W$  would be less than 5% for SU(3). The conclusion to be drawn from this estimate is that if large departures from renormalization group behaviour are observed in Monte Carlo measurements of physical quantities they will not be removed by the inclusion of the first perturbative corrections. At fixed  $\beta$  the perturbative corrections may be as much as 10%. In Monte Carlo experiments performed in a finite range of  $\beta$ , such a correction would lead to an observable deviation from renormalization group behaviour which is much smaller.

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TABLE I

The coefficients in eq.(18) which determine the form of one plaquette actions.  $r$  is defined as  $r = -2\beta_A / (\beta_F + 2\beta_A)$ .

	$s_4$	$s_6$	$t_4$	$t_6$	$u_6$	$v_6$
WILSON	$-\frac{1}{24}$	$\frac{1}{720}$	0	0	0	0
FUNDAMENTAL + ADJOINT	$-\frac{1}{24}$	$\frac{1}{720}$	$\frac{r}{8N}$	0	$-\frac{r}{48N}$	$\frac{r}{72N}$
MANTON	0	0	0	0	0	0
HEAT KERNEL	$-\frac{Ng^2}{5760}$	0	$-\frac{3g^2}{5760}$	0	0	0

TABLE II

The correction parameter  $\delta_{L,L'}$ , for various of actions in SU(2) and SU(3).

$\delta_{L,L'}$	SU(2)	SU(3)
$\delta_{M,W}$	$4.48 \cdot 10^{-2}$	0.132
$\delta_{M,FA}$	$4.48 \cdot 10^{-2} + 3.37 \cdot 10^{-2}r + 1.26r^2$	$0.132 - 1.26r + 1.37r^2$
$\delta_{M,HK}$	$2.26 \cdot 10^{-3}$	$3.39 \cdot 10^{-3}$

TABLE III.

Comparison of the theoretical ration of  $\Lambda$  parameters with SU(2) data with and without our correction included. The string tension data is taken from refs. (8,9). The data on the deconfinement temperature  $T_c$  comes from ref. (10).

	Theory	String tension data	String tension data (Corrected)	$T_c$ data	$T_c$ data (Corrected)
$\frac{\Lambda_M}{\Lambda_W}$	3.07	$5.1 \pm 1.0$	4.7	4.08	3.71
$\frac{\Lambda_M}{\Lambda_{HK}}$	2.45	$3.0 \pm 0.3$	3.0	2.60	2.59

TABLE IV

Contributions to  $\delta_W$

N	$\delta_{\text{cont}}$	$\delta_{\text{cont},M}$	$\delta_{M,W}$	$\delta_W$
2	$-8.4 \times 10^{-3}$	$-.3 \times 10^{-3}$	$44.8 \times 10^{-3}$	$37. \times 10^{-3}$
3	$-12.6 \times 10^{-3}$	$-.4 \times 10^{-3}$	$132. \times 10^{-3}$	$120. \times 10^{-3}$