

Fermi National Accelerator Laboratory

FERMILAB-Conf-75/71-THY
September 1975

A Review of Models with More Than Four Quarks*

R. MICHAEL BARNETT
Fermi National Accelerator Laboratory,† Batavia, Illinois 60510
and
Lyman Laboratory of Physics
Harvard University, Cambridge, Massachusetts 02138

ABSTRACT

Several models with more than four quarks are reviewed and compared with the four-quark model. They generally have right- and left-handed currents and are of the $SU_2 \times U_1$ type. The lepton sectors are also discussed including the possibilities of heavy charged and neutral leptons. The weak phenomenology, triangle anomalies, degenerate quark masses and radial excitations are examined.

Invited Talk
6th Hawaii Topical Conference in Particle Physics
University of Hawaii, Honolulu
August 1975

*Work supported by the National Science Foundation under Grant No. MPS73-05038 A01.



CONTENTS

- I. Introduction.
- II. Four Models
- III. Effects of Degenerate Quark Masses
 - A. With Quarks of Different Charges
 - B. With Quarks of Same Charge
- IV. $\psi'(3.7)$ and Radial Excitations
- V. Weak Phenomenology
- VI. Charm Phenomenology
- VII. Massive Neutral Leptons
- VIII. Summary

I. INTRODUCTION

There has been considerable work in the last few months on models¹⁻¹¹ with more than four quarks, most of which involve right-handed currents in addition to the usual left-handed ones. Four-quark models with right-handed currents^{12,13} have significant problems^{6-8,14} explaining some data and do not have a cancellation of VVA triangle anomalies¹⁵⁻¹⁷ (discussed below).

The "standard" GIM model¹⁸⁻²⁰ with four quarks and four leptons predicts

$$R^{e^+e^-} \equiv \sigma(e^+e^- \rightarrow \text{hadrons})/\sigma(e^+e^- \rightarrow \mu^+\mu^-) = 3 \frac{1}{3}, \quad (1.1)$$

and requires that the dominant decay of charmed mesons be into a K meson plus other hadrons or leptons. No evidence of such decays currently exists.^{21,22} This model has the somewhat artificial cancellation of triangle anomalies due to the sum of lepton and quark charges together being zero.

One can also consider a model with four quarks, but with six leptons,²³ where one of the new leptons is taken as charged and having a mass of about 1.8 GeV. Such a heavy lepton is consistent with the SPEAR μe results reported by M. Perl.²⁴ Since the heavy lepton frequently decays to hadrons, it contributes almost 1 to $R^{e^+e^-}$. The semileptonic decays of this lepton are dominantly to neutrino plus u-d quark pairs (since it is presumed too light for decay to charm, i.e.,--through c-s quark pairs), and this has the effect of decreasing the number of K mesons expected, thereby confusing the charm search.

Although two problems may have been solved with the inclusion of the heavy lepton, the unequal numbers of quarks and leptons is not very appealing, and there is no cancellation of the triangle anomalies without adding more quarks. As will be discussed in Sec. VI, this model gives a rate for $\mu^+\mu^-$ production in neutrino interactions which is below that found experimentally, and has no mechanism for $\mu^-\mu^-$ production.

As further data from neutrino interactions becomes available, they may provide further need for additional quarks, and the models discussed here consider a range of possibilities for the weak phenomenology. None of the authors, to my knowledge, feel that the models they are proposing are likely to be completely true, but rather that they are exploring the effects of models with more than four quarks since there are basic features in these models which are likely to be shared, in part, by future theories.

The problem mentioned above of the VVA triangle anomalies¹⁵ concerns the failure of renormalization in certain gauge theories due to the triangle diagram, Fig. 1. In "quasi-renormalizable" gauge theories of the weak interactions, the VVA triangle diagram, which is associated with the axial-vector current, prevents renormalization unless its divergent contribution can be cancelled. One means to effect this cancellation¹⁶ is to have the charges

$$\Sigma(Q_{\text{quarks}} + Q_{\text{leptons}}) = 0, \quad (1.2)$$

as in the standard left-handed models^{18,25} (one must count each color of quarks).

A more "natural" method (since the anomaly is an axial-vector one) is to add to each left-handed current (V-A), a right-handed current (V + A) to form vector-like theories in which the axial-vector triangle anomaly is clearly cancelled.¹⁶

II. FOUR MODELS

All models discussed here are of the $SU_2^{\text{weak}} \times U_1$ type; however, this is not a necessary feature (although all models must satisfy the same criteria discussed here). It is always assumed here that all quarks come in three colors and have the usual fractional charges.

The Cabibbo angles (and other new angles) will be suppressed below so that

$$\bar{u} \gamma_\mu (1+\gamma_5) (d \cos \theta + s \sin \theta) + \bar{c} \gamma_\mu (1+\gamma_5) (s \cos \theta - d \sin \theta) \quad (2.1)$$

will be written as

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \quad \begin{pmatrix} c \\ s \end{pmatrix}_L \quad (2.2)$$

where the subscript L means left-handed ($1 + \gamma_5$) and R means right-handed ($1 - \gamma_5$). The consequences of these models will be discussed in the later sections.

In the first model (which I proposed in part in Refs. 1 and 2), the u and c quarks appear in right-handed doublets with new "down" type quarks. These heavier quarks are indicated with primes.

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \quad \begin{pmatrix} c \\ s \end{pmatrix}_L \quad \begin{pmatrix} u \\ d \end{pmatrix}_R \quad \begin{pmatrix} c \\ s \end{pmatrix}_R + \text{singlets} \quad (2.3)$$

Model 1

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L \quad \begin{pmatrix} \nu_e \\ E \end{pmatrix}_R \quad \begin{pmatrix} \nu_\mu \\ M \end{pmatrix}_R + \text{singlets} \quad (2.4)$$

E and M are new heavy leptons. The leptons shown (where the neutrinos need not have a non-zero mass) are only suggestive and other possibilities are allowed. Gürsey et al.³ considered a similar model (without right-handed currents) from the point of view of exceptional groups and octonions.

In the second model (which P. Minkowski, F. Wilczek and I considered this summer), the d and s quarks are the ones which appear in right-handed doublets with new "up" type quarks:

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \quad \begin{pmatrix} c \\ s \end{pmatrix}_L \quad \begin{pmatrix} u \\ s \end{pmatrix}_R \quad \begin{pmatrix} c \\ d \end{pmatrix}_R + \text{singlets} \quad (2.3)$$

Model 2

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L \quad \begin{pmatrix} N_e \\ e \end{pmatrix}_R \quad \begin{pmatrix} N_\mu \\ \mu \end{pmatrix}_R + \text{singlets} \quad (2.4)$$

N_e and N_μ are heavy neutral leptons (see Sec. VII). Further heavy charged leptons may be found in doublets paralleling e and μ (just as there are several colors of quarks).

There are four other related models which can be obtained

by giving (s and c), (s and u), (d and c) or (d and u) right-handed couplings; however, these present no new features which are not present in the above models and, therefore, are not discussed here.

The third model (which Fritzsche and Minkowski, Pati and Salam,¹⁰ and I have considered) is obtained by combining models 1 and 2, so that all quarks have right-handed couplings, and there are no singlets.

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \quad \begin{pmatrix} c \\ s \end{pmatrix}_L \quad \begin{pmatrix} u' \\ d' \end{pmatrix}_L \quad \begin{pmatrix} c' \\ s' \end{pmatrix}_L \quad \begin{pmatrix} u \\ d' \end{pmatrix}_R \quad \begin{pmatrix} c \\ s' \end{pmatrix}_R \quad \begin{pmatrix} c' \\ s \end{pmatrix}_R \quad \begin{pmatrix} u' \\ d \end{pmatrix}_R \quad (2.5)$$

Model 3

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L \quad \begin{pmatrix} N_e \\ E \end{pmatrix}_L \quad \begin{pmatrix} N_\mu \\ M \end{pmatrix}_L \quad \begin{pmatrix} N_e \\ e \end{pmatrix}_R \quad \begin{pmatrix} N_\mu \\ \mu \end{pmatrix}_R \quad \begin{pmatrix} \nu_e \\ E \end{pmatrix}_R \quad \begin{pmatrix} \nu_\mu \\ M \end{pmatrix}_R \quad (2.6)$$

It can be argued that there are two unnecessary quarks in Model 3 although one motivation for keeping all eight quarks will be given in Sec. VIII. If the c' and s' quarks are dropped (requiring some rearrangement on the right-hand side), one obtains the fourth model (which has been proposed by Refs. 6-9):

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \quad \begin{pmatrix} c \\ s \end{pmatrix}_L \quad \begin{pmatrix} u' \\ d' \end{pmatrix}_L \quad \begin{pmatrix} u \\ d' \end{pmatrix}_R \quad \begin{pmatrix} c \\ s \end{pmatrix}_R \quad \begin{pmatrix} u' \\ d \end{pmatrix}_R \quad (2.7)$$

Model 4

$$\begin{pmatrix} \nu_e \\ E \end{pmatrix}_L \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L \quad \begin{pmatrix} \nu_E \\ E \end{pmatrix}_L \quad \begin{pmatrix} N_e \\ e \end{pmatrix}_R \quad \begin{pmatrix} N_\mu \\ \mu \end{pmatrix}_R \quad \begin{pmatrix} N_E \\ E \end{pmatrix}_R \quad (2.8)$$

The consequences of these models will be discussed in the following sections.

III. EFFECTS OF DEGENERATE QUARK MASSES

A. With Quarks of Different Charges

If

$$m(c) \approx m(d') \quad (3.1)$$

(where m = mass) a possible explanation (discussed in Ref. 2) for the narrow width²⁶ of Ψ_J is obtained. This and other consequences of this approximate mass degeneracy are analogous to the consequences of

$$m(u) \approx m(d). \quad (3.2)$$

Just as

$$\rho = (u\bar{u} - d\bar{d})/\sqrt{2} \quad \text{and} \quad \omega = (u\bar{u} + d\bar{d})/\sqrt{2} \quad (3.3)$$

we find

$$\Psi_J(3.1) \equiv \rho_2 = (cc - d'\bar{d}')/\sqrt{2} \quad \text{and} \quad \omega_2 = (cc + d'\bar{d}')/\sqrt{2} \quad (3.4)$$

The production rates in e^+e^- annihilation and the leptonic widths for $\rho:\omega$ and $\rho_2:\omega_2$ are 9:1 (from the coherent addition and squaring of charges).

The isovector ρ can decay to two pions. The isoscalar ω with negative G-parity should not decay to two pions; however, it has a width of 130 KeV for that decay mode. This occurs because ω mixes electromagnetically with ρ (electromagnetism does not conserve isospin), since they are very close in mass, $\Delta m = 13$ MeV.

The ρ_2 and ω_2 both have isospin zero since they are not

constructed of u and d quarks. But there is a new "charmed" isospin associated with the c and d' quarks, and ρ_2 has charmed isospin = 1. However, decay mechanisms such as gluons are charmed isoscalar, so that the decay through gluons is not allowed. Equivalently the square of the coherent sum of gluon couplings for $\rho_2 = (c\bar{c} - d'\bar{d}')/\sqrt{2}$ is zero. However, in analogy with $\rho - \omega = \rho_2$ can mix electromagnetically with ω_2 , an isoscalar, and decay. The ρ_2 width to hadrons is, therefore, finite but can be very small.

The ω_2 which should be a few MeV in mass from ρ_2 is then much wider than ρ_2 (as are all other resonances without this mechanism) and is produced 1/9 as much. As a result, it would be very difficult to observe in e^+e^- annihilation.

B. With Quarks of the Same Charge

Wilczek has suggested⁵ that if

$$m(c) \approx m(u') \quad (3.5)$$

one new resonance will be hidden. One would find

$$\psi_J(3.1) = (\bar{c}c + u'\bar{u}')/\sqrt{2} \quad \text{and} \quad \psi_W = (c\bar{c} - u'\bar{u}')/\sqrt{2} \quad (3.6)$$

Here ψ_W not only does not couple to gluons, but it does not couple to photons since c and u' have the same charge. As a result it is not produced in e^+e^- annihilation, and if produced in hadronic collisions, it does not decay to lepton pairs. In effect one resonance is hidden under the other.

If one wishes to invoke a u' quark of "low" mass for purposes

such as $\mu^+\mu^-$ production (as discussed in Sec. VI) without observing a new resonance, this is a useful mechanism. Although $R^{e^+e^-}$ would increase by $4/3 + 4/3$ with the c and u' quarks passing threshold, only one resonance would be observed. This is not, of course, a mechanism to make ψ_J narrow.

IV. $\psi'(3.7)$ and RADIAL EXCITATIONS

The models discussed above all assume that the narrow resonance at $\sqrt{s} = 3.7$ GeV is a radial excitation of the state at 3.1 GeV (the same is also true of the structure at 4.2 GeV). However, Harari has proposed⁴ a model in which the $\psi'(3.7)$ is a different particle:

$$\psi_J(3.1) = (c\bar{c} + d'\bar{d}' + u'\bar{u}')/\sqrt{3} \quad (4.1)$$

$$\psi'(3.7) = (c\bar{c} + d'\bar{d}' - 2u'\bar{u}')/\sqrt{6} \quad (4.2)$$

$$\psi''(4.2) = (c\bar{c} - d'\bar{d}')/\sqrt{2} \quad (4.3)$$

The $\psi''(4.2)$ does not have noncharmed hadronic decay modes, but is (as in all models) presumed to be above threshold for decay into charmed mesons (not through gluons) and is then quite wide. The $\psi'(3.7)$ also lacks hadronic decay modes since it is not an SU_3^{charm} singlet (i.e., the square of the sum of couplings is zero). The observation of hadronic decays (5 pions and 2 pions plus 2 kaons) reported by G. Abrams²⁷ is difficult to explain in this model. Since these modes like leptonic modes are proportional to $|\psi(0)|^2$ and since the leptonic modes²⁶ for $\psi'(3.7)$ are 2.2 KeV compared to 4.8 KeV for $\psi_J(3.1)$, the hadronic width is also expected to be smaller.

If, however, the $\psi'(3.7)$ is not a radial excitation, one may ask where are the radial excitations. Harari argued that they are at higher masses (and above threshold for decay to charm) and similarly for p-wave states. The $\rho'(1.6)$ (assuming it is a radial excitation) is not so far above the ρ in mass. It has been argued in nonrelativistic potential models²⁸ and in the MIT bag model²⁹ that ψ' should appear below 4 GeV, and that the p-wave states are expected to lie between ψ_J and ψ' . With the apparent discovery^{30,31} of p-wave states at 3.4 and/or 3.5 GeV, some doubt is cast on models which put radial excitations above 3.7 GeV although more definitive data is still needed.

V. WEAK PHENOMENOLOGY

The neutrino interactions provide a sensitive test^{6,7,32-34} of models of the weak interactions. I will concentrate here on inclusive interactions. The exclusive channels such as

$$\begin{aligned} \nu p &\rightarrow \nu n \pi^+ \\ \nu p &\rightarrow \nu p \\ \bar{\nu}_\mu e &\rightarrow \bar{\nu}_\mu e \end{aligned} \tag{5.1}$$

put further limitations on models and are discussed in Refs. 7 and 33.

The charged current interactions are:

$$\bar{\nu} d \rightarrow \mu^+ X \quad \text{and} \quad \nu d \rightarrow \mu^- X \tag{5.2}$$

where $X \equiv$ anything and $d \equiv$ neutron plus proton cross sections.

The variable y is defined as the fractional energy loss of the leptons, $(E-E')/E$. It is assumed that the neutron and proton contain only u and d quarks (sea quarks are ignored). If we then assume that the weak interactions of u and d quarks are given by

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \quad \begin{pmatrix} c \\ s \end{pmatrix}_L \quad (5.3)$$

it follows that the $\bar{\nu}$ interaction with u quarks via W^- exchange has a distribution

$$\left(\frac{dN}{dy}\right)_{\bar{\nu}} \propto (1-y)^2 \quad (5.4)$$

which when integrated over y gives a factor (for the cross section) of $1/3$ (there is, of course, no $\bar{\nu}$ interaction with d quarks since the W^- is exchanged). The ν interacts with d quarks giving a constant distribution

$$\left(\frac{dN}{dy}\right)_{\nu} \propto 1 \quad (5.5)$$

and an integrated factor of 1 .

If, in addition to the left-handed interaction, Eq. 5.3, we give the u quark a right-handed interaction with some quark, then the ν has a distribution

$$\left(\frac{dN}{dy}\right)_{\bar{\nu}} \propto \left[(1-y)^2 + 1 \right] \quad (5.6)$$

and an integrated factor of 4/3. Similarly if d quarks also have a right-handed interaction, the ν has a distribution

$$\left(\frac{dN}{dy}\right)_{\nu} \propto [1 + (1-y)^2] \quad (5.7)$$

and a factor of 4/3.

However, without further experimental or theoretical limitations (discussed later), we are free to give the new quarks, with which u and d have right-handed interactions, as large a mass as we wish, thereby maintaining the original distributions and integrated cross sections until higher energies. These results are summarized in Table I where

$$R_c \equiv \frac{\sigma(\bar{\nu}d \rightarrow \mu^+X)}{\sigma(\nu d \rightarrow \mu^-X)} \quad (5.8)$$

The CalTech-Fermilab collaboration^{34,35} finds no significant indications of deviations from the distributions of the Weinberg-Salam model or from $R_c = 1/3$ (they report $R_c = 0.33 \pm 0.08$). The Harvard-Pennsylvania-Wisconsin-Fermilab (HPWF) collaboration^{36,37} report $R_c = 0.34 \pm 0.03$ and a flat distribution for ν scattering. But at small x where

$$x \equiv \frac{2\nu}{2-k}, \quad \nu = k \cdot p_N, \quad k = p - p' \quad (5.9)$$

(and only at small x) they report a flat distribution for $\bar{\nu}$ scattering above $E_{\bar{\nu}} = 30$ GeV. If such an effect exists for $\bar{\nu}$ on u quarks without any equivalent effect for ν on d quarks, it

would be a violation of charge symmetry invariance. A violation is predicted for very high energies in model 1; however, R_C should begin to rise above 1/3 when this threshold is reached. While this discrepancy between these groups exists, no conclusion can be reached on the basis of this data.

For the neutral current interactions

$$\bar{\nu}d \rightarrow \bar{\nu}X \quad \text{and} \quad \nu d \rightarrow \nu X \quad (5.10)$$

the distributions and the cross sections integrated over y are dependent on the Weinberg angle. The asymptotic value of R_n for the models for $\sin^2 \theta_W$ from 0 to 1 are given in Table II where

$$R_n \equiv \frac{\sigma(\bar{\nu}d \rightarrow \bar{\nu}X)}{\sigma(\nu d \rightarrow \nu X)} \quad (5.11)$$

The values of R_n may change in these models as the thresholds for new quark production are reached. The Gargamelle and HPWF groups report³⁸ respectively $R_n = 0.5 \pm 0.2$ ($E_\nu \sim 2$ GeV) and $R_n = 1.0 \pm 0.2$ ($E_\nu \sim 30$ GeV). The CalTech-Fermilab group emphasizes that these neutral current results are dependent on the assumed weak couplings. From their raw data one obtains³⁴ $R_n \sim 0.65$ (by contrast, if one assumes pure V-A coupling one can obtain³⁴ $R_n \sim 0.75$).

Another way to look at the neutrino data is to plot $R_{\bar{\nu}}$ vs. R_ν where

$$R_{\bar{\nu}} \equiv \frac{\sigma(\bar{\nu}d \rightarrow \bar{\nu}X)}{\sigma(\bar{\nu}d \rightarrow \mu^+X)} \quad (5.12)$$

$$R_{\nu} \equiv \frac{\sigma(\nu d \rightarrow \nu X)}{\sigma(\nu d \rightarrow \mu^{-} X)} \quad (5.13)$$

These ratios³⁸ of neutral to charged currents are shown in Fig. 2. The CalTech-Fermilab point is again raw data. In a later run with a different configuration,³⁴ they obtain a point which lies near the Gargamelle point. Final determinations of R_{ν} and $R_{\bar{\nu}}$ (for all groups) depend on more complete data for which fewer assumptions are needed.

Since there is some freedom to adjust the mass of the Z^0 boson, one can slide the curves in Fig. 2. for each model along the direction defined by the line for models 3 and 4. As a result it may be difficult to distinguish between models on the basis of this graph alone (although the line corresponding to models 3 and 4 obviously cannot be adjusted significantly if the data does not lie on the line shown). However, the Weinberg angle can be fixed here and must agree with other determinations.

VI. CHARM PHENOMENOLOGY

These models do not necessarily have a solution to the problem of the decay of charmed mesons to a K meson plus other particles. If another heavy quark is close in mass to the c quark, mesons containing that quark will not decay in general to a K meson.

However, all such models would benefit from the existence of a heavy lepton of mass ~ 1.8 GeV as discussed in Secs. I and VIII; this is the most plausible solution.

Another type of solution to this problem, the inclusion of a $(c \ d)_R$ term,^{12,13} raises problems with the phases in isospin amplitudes of $K \rightarrow 2\pi$ and $K \rightarrow 3\pi$ decays,¹⁴ and with the GIM mechanism.¹⁸ Since a $(u \ s)_R$ term is certainly not allowed, there is no cancellation of the new contribution due to $(c \ d)_R$ in the $d\bar{d} \rightarrow \lambda\lambda$ diagrams (two W exchange with L and R vertices) leading to possible problems in the K_L - K_S mass difference. There is still debate on this point.^{6,7,11} However, all models here may avoid this term by an appropriate choice of a Cabibbo-type angle to obtain the forms shown in Sec. II.

Another possibility is that the ψ_J is not constituted of c but of, say, u' quarks which might couple with \bar{d} quarks, although one must keep in mind the limits on the c mass set by Gaillard, et al.³⁹ The $c\bar{c}$ meson, if it is not ψ_J , might be quite wide if the narrowness of ψ_J is due to the mechanism described in Sec. III.A; it could then lie near ψ_J .

The problem can only be resolved by the observation of invariant mass peaks in some multiparticle channel which should be present at some level irrespective of the presence of heavy leptons or of the weak coupling. Some discussion of this observation appears in Sec. VIII

The recent discovery of dimuon events in neutrino interactions^{34,35,40-42} can be interpreted as evidence for charmed meson production (an alternative possibility, heavy lepton production, has also been considered.⁴³⁻⁴⁵) In the standard four-quark model this occurs as in Fig. 3. The W boson converts the d

quark into a c quark with a $\sin^2 \theta_{\text{Cabibbo}}$ suppression. The c quark is contained in a charmed meson which can decay through channels such as $K\mu^+\nu$. Single muons events, of course, occur by converting the \bar{d} into a u quark without any suppression. Using a Cabibbo suppression of 20 and a branching ratio of charm to modes with muons of 10%, the ratio of double to single muon events (ignoring threshold and efficiency effects which would lower the predicted ratio) should be less than 0.5%. Experimentally,^{34,35,37,40} the number is about or above 1%. Therefore, without an unrealistic branching ratio to muons, this explanation of dimuons is in trouble.

In some models one has the process shown in Fig. 4 where the $d-u'$ coupling is right-handed and has no Cabibbo suppression. It is, therefore, easily capable of explaining the single to double muon ratio. If such a threshold has been reached, then R_C (see Sec. V) should approach the value of 1/4.

A very serious and important problem is presented by the recent results of the HPWF collaboration on dimuon production by antineutrinos.⁴⁶ They report observing

$$\sigma(\bar{\nu} \rightarrow \mu\mu)/\sigma(\bar{\nu} \rightarrow \mu) = (2 \pm 1) \times 10^{-2} \quad (6.1)$$

$$\sigma(\bar{\nu} \rightarrow \mu\mu)/\sigma(\nu \rightarrow \mu\mu) = 0.8 \pm 0.6 \quad (6.2)$$

Despite the large error bars, the group argues⁴⁶ that the data "indicate unambiguously that dimuon events are indeed produced by $\bar{\nu}$ ".

In the standard four-quark model, the exchanged W^- can only

change a u quark into a d or s quark so no charm production is possible at all. In a model with a d' (or s') quark, the u quark can be changed to a d' quark; however, this would require $R_c \rightarrow 1$ and there is no indication of that in the data. If because of threshold effects, the dimuon events only occur at the highest energies (and even there are produced at a fraction of the asymptotic rate), then perhaps the value of R_c , calculated over the whole range of energies, does not yet reflect the presence of the $(u d')_R$ coupling. It should be noted from Sec. V that while $\sigma(\nu \rightarrow \mu^-) = 3\sigma(\bar{\nu} \rightarrow \mu^+)$ for left-handed couplings only, one obtains $\sigma(\bar{\nu} \rightarrow \mu^+ \mu^-) \approx 3\sigma(\nu \rightarrow \mu^- \mu^+)$ if u and d both have right-handed couplings which account for dimuon production.

If these results are confirmed, then there may be flaw in the discussion given above of obtaining dimuons through charm production. Among alternative possibilities are charm production by a diffractive mechanism or off "sea" \bar{s} quarks or simply another source for dimuons; but there is experimental evidence against all of these. In any case this $\bar{\nu}$ experiment is of crucial importance to these models and more extensive results are needed.

The HPWF collaboration have also observed $\mu^- \mu^-$ events.⁴⁰ These can occur if charm-changing neutral currents⁶ are allowed which is possible in some models depending on details of Cabibbo mixing not shown here. If $D^0 - \bar{D}^0$ mixing results (where D^0 is a charmed meson), then the decay to μ^- rather than μ^+ is possible although the

$$\sigma(\nu \rightarrow \mu^- \mu^-) / \sigma(\nu \rightarrow \mu^- \mu^+) \quad (6.3)$$

ratio observed may be hard to obtain by this method.

VII. MASSIVE NEUTRAL LEPTONS

The remarks in this section, which are applicable to models 2, 3 and 4, are due to Fritzsche et al.⁸ They argue that in order to give a mass to the neutral gauge bosons in the $SU_2^{\text{weak}} \times U_1$ theory, one can violate lepton number which violation occurs with massive neutral leptons (which are then Majorana spinors). With the lepton doublets shown in models 2-4, one must give N_e and N_μ masses greater than the K meson mass or

$$K \rightarrow \mu N_\mu \quad (7.1)$$

(right-handed) would have been observed. Once one gives a mass to neutral leptons, one runs into problems with neutrinoless double β decay (an example of which is shown in Fig. 5, although the most stringent bounds come from nuclear double β decay).

The lepton-number-violating processes which are not observed can be avoided in two ways. One is by making the neutral lepton so light that its left-handed (or its right-handed) component is very small, thereby making the contribution of diagrams such as Fig. 5 small (as for the $\bar{\nu}_e$). The other way is by making N_e so heavy that the propagator is very small. Since N_e cannot be made light enough, it must be made very heavy, on the order of 100 GeV. This mass is of the same order as the gauge bosons and can cause couplings of fermions to Higgs fields to be very large (of the same order as electromagnetic couplings). This is a serious problem, and Fritzsche et al., suggest it may be necessary to have e_R and μ_R be singlets (as in model 1) although there

are other possible solutions.

VIII. SUMMARY

In this section the four models of Sec. II and the standard Weinberg-Salam-GIM model^{18,25} will be compared with no effort to rate them since it is unlikely that any of them are completely reasonable. The model of Harari⁴ can be included with model 4 with the exception that in Harari's model the $\psi'(3.7)$ is not a radial excitation.

In model 4 the right-handed couplings shown are the only ones allowed if one excludes $(c\ d)_R$. Model 3 has more flexibility in this respect.

The problem of charm decay to K mesons is not solved convincingly in any model without invoking the ameliorating effect of a heavy charged lepton. The heavy lepton contributes approximately 1 to $R^{e^+e^-}$ and has a very small fraction of K mesons in its decays. It is also likely to have a small charged multiplicity thereby allowing the charm decays to have a somewhat larger average multiplicity (given the experimental average multiplicity for all events at those energies). This has the effect of increasing the number of available decay channels and making the search for charmed mesons in invariant mass peaks more difficult. However, with adequate statistics these invariant mass peaks should appear, most likely in channels such as $K\pi\pi$.

No model discussed here has a clearly correct explanation (with new quarks) for $\mu^+\mu^-$ production by antineutrinos (if those results are confirmed); although models 1, 3 and 4 do have a potential explanation. The explanations of $\mu^+\mu^-$ production by neutrinos are directly correlated with the values of $R^{e^+e^-}$ (if a u' quark is invoked) and R_c , and with the antineutrino results. This area should be watched closely.

The plot of $R_{\bar{\nu}}$ vs. R_{ν} (Fig. 2) is a good test of the models, although the data is not yet reliable and the Z^0 and Weinberg angle must have other independent determinations for this graph to achieve greater usefulness. However, models 3 and 4 can be eliminated if the final data do not lie on their line, whereas the other models have greater flexibility. Models 3 and 4 agree with the point of the HPWF collaboration, and the naive versions of the Weinberg-Salam model and model 1 agree with the Gargamelle and CalTech-Fermilab points .

Many of the results discussed here are summarized in Table III. In that Table there are ranges of R_n accounting for all Weinberg angles (although the allowed range may be limited by other data). Included in $R^{e^+e^-}$ (total) are heavy charged leptons although there may be additional such leptons without changing the form of the models. The values of $R^{e^+e^-}$ are, of course, asymptotic values; it is expected that $R^{e^+e^-}$ will overshoot that value, as it apparently has for $\sqrt{s} < 3.6$ GeV.

The Weinberg-Salam-GIM model referred to in Table III includes the u, d, s and c quarks, but also has six leptons rather

than the original four. Without the heavy leptons there would be a cancellation of triangle anomalies (quarks cancel with leptons).

ACKNOWLEDGMENTS

The author would like to acknowledge the hospitality and the stimulating atmosphere of the Aspen Center for Physics and the Stanford Linear Accelerator Center, where this talk was prepared, of the University of Hawaii's Topical Conference where the talk was given, and of the Fermi National Accelerator Laboratory where the written version was drafted. This paper benefitted from many valuable discussions at the above institutions with S. Adler, C. Albright, T. Appelquist, B.C. Barish, N.P. Chang, S. Glashow, H. Harari, R. Jaffe, R. Kingsley, P. Minkowski, H. Quinn, R. Shrock, L. Sulak, F. Wilczek and A. Zee.

REFERENCES

- ¹M. Barnett, Phys. Rev. Lett. 34, 41 (1975).
- ²M. Barnett, Phys. Rev. D11, 3246 (1975).
- ³F. Gürsey, P. Ramond and P. Sikivie, Yale preprint COO-3075-113, May 1975.
- ⁴H. Harari, Phys. Lett. 57B, 265 (1975) and preprint SLAC-PUB-1589, May 1975.
- ⁵F. Wilczek, Princeton preprint (March 1975).
- ⁶R.L. Kingsley, S.B. Treiman, F.A. Wilczek and A. Zee, preprint FERMILAB-Pub-75/44-THY, June 1975.
- ⁷A. De Rújula, H. Georgi and S.L. Glashow, Harvard preprint, July 1975.
- ⁸H. Fritzsch, M. Gell-Mann and P. Minkowski, CalTech preprint CALT 68-503, August 1975.
- ⁹S. Pakvasa, W.A. Simmons and S.F. Tuan, Hawaii preprint UH-511-196-75, June 1975.
- ¹⁰J.C. Pati and A. Salam, Trieste preprints IC/75/73, July 1975 and IC/75/106, August 1975.
- ¹¹Since the Hawaii Conference I have received the following, which are not discussed in the text: G. Branco, T. Hagiwara and R.N. Mohapatra, preprint CCNY-HEP-75/8, August 1975; C.H. Albright and R.J. Oakes, preprints FERMILAB-Pub-75/44-THY June 1975 and FERMILAB-Pub-75/53-THY, July 1975; Y. Achiman, K. Koller and T.F. Walsh, DESY preprint 75/27, August 1975. It is possible that there are other papers of which I am unaware. Models with hadronic states of color are not covered by this paper.

- ¹²R.N. Mohapatra, Phys. Rev. D6, 2023 (1972); also see Branco, et al., Ref. 11.
- ¹³A. De Rújula, H. Georgi and S.L. Glashow, Phys. Rev. Lett. 35, 69 (1975).
- ¹⁴E. Golowich and B.R. Holstein, University of Massachusetts, Amherst preprint, July 1975.
- ¹⁵S.L. Adler, in Lectures on Elementary Particles and Quantum Field Theory, edited by S. Deser, M. Grisaru and H. Pendleton (MIT Press, Cambridge, Mass. 1970); S.B. Treiman, R. Jackiw and D.J. Gross, Lectures on Current Algebra and Its Applications (Princeton Univ. Press, Princeton, New Jersey, 1972), p. 97.
- ¹⁶D.J. Gross and R. Jackiw, Phys. Rev. D6, 477 (1972).
- ¹⁷M. Machacek and Y. Tomozawa, Michigan preprint UM HE 75-23, July 1975.
- ¹⁸S.L. Glashow, J. Iliopoulos and L. Maiani, Phys. Rev. D2, 1285 (1970).
- ¹⁹J.D. Bjorken and S.L. Glashow, Phys. Lett. 11, 255 (1964); D. Amati et al., ibid, 11, 190 (1964); Z. Maki and Y. Ohnuki, Prog. Theor. Phys. 32, 144 (1964); Y. Hara, Phys. Rev. 134, B701 (1964); P. Tarjanne and V.L. Teplitz, Phys. Rev. Lett. 11, 447 (1963).
- ²⁰C.E. Carlson and P.G.O. Freund, Phys. Lett. 39B, 349 (1972); M. Gaillard, B.W. Lee and J. Rosner, Rev. Mod. Phys. 47, 277 (1975); T. Appelquist and D. Politzer, Phys. Rev. Lett. 34, 43 (1975). A. De Rújula and S.L. Glashow, Phys. Rev. Lett. 34, 46 (1975); T. Appelquist, et al., Phys. Rev. Lett. 34, 365 (1975);

- ⁴²B.C. Barish, Proceedings of La Physique du Neutrino à Haute Énergie, 18-20 March 1975, École Polytechnique, Paris, p. 131.
- ⁴³L.N. Chang, E. Derman and J.N. Ng, Phys. Rev. Lett. 35, 6 (1975).
- ⁴⁴C.H. Albright, Phys. Rev. D (to be published Sept. 1, 1975).
- ⁴⁵A. Pais and S.B. Treiman, Brookhaven preprint July 1975.
- ⁴⁶A. Benvenuti et al., HPWF preprint, August 1975.

TABLE I

Model	Asymptotic $(dN/dy)_{\bar{\nu} + \mu^+}$	Asymptotic $(dN/dy)_{\nu + \mu^-}$	Asymptotic R_C
Weinberg-Salam	$(1-y)^2$	1	$1/3/1 = 1/3$
1	$1 + (1-y)^2$	1	$4/3/1 = 4/3$
2	$(1-y)^2$	$1 + (1-y)^2$	$1/3/4/3 = 1/4$
3 and 4	$1 + (1-y)^2$	$1 + (1-y)^2$	$4/3/4/3 = 1$

TABLE II

Model	Asymptotic R_n
Weinberg-Salam	0.3 - 2.4
1	0.6 - 1.5
2	0.7 - 2.3
3 and 4	1.0

TABLE III

	Models				
	W-S	1	2	3	4
$R^{e^+e^-}$ (quarks)	$3 \frac{1}{3}$	4	6	$6 \frac{2}{3}$	5
$R^{e^+e^-}$ (total)	$4 \frac{1}{3}$	6	6	$8 \frac{2}{3}$	6
Number of Charged Heavy Leptons	1	2	0 or more	2	1
Is ψ' (3.7) a Radial Excitation?	yes	yes	yes	yes	yes
Is c-d' Mass Degeneracy Possible?	no	yes	no	yes	yes
Is c-u' Mass Degeneracy Possible?	no	no	yes	yes	yes
R_c (asymptotic)	$1/3$	$4/3$	$1/4$	1	1
R_n (asymptotic)	0.3-2.4	0.6-1.5	0.7-2.3	1.0	1.0
Has New Quark Mechanism for $\nu \rightarrow \mu^+\mu^-$?	no	no	yes	yes	yes
Has New Quark Mechanism for $\bar{\nu} \rightarrow \mu^-\mu^+$?	no	yes	no	yes	yes
Anomalies Cancel?	no	yes	yes	yes	yes
Massive Neutral Lepton Necessary?	no	no	yes	yes	yes
Any singlets?	yes	yes	yes	no	no

FIGURE CAPTIONS

- Fig. 1 Diagram for the VVA triangle anomaly. The solid lines are fermions.
- Fig. 2 The ratio of neutral to charged currents for antineutrinos plotted against that ratio for neutrinos. The tick marks on the curves indicate tenths of $\sin^2 \theta$ where θ is the Weinberg angle. The curves are numbered 1-4 referring to the models of Sec. II and W-S refers to the standard Weinberg-Salam model. Data is from Refs. 34 and 38.
- Fig. 3 Production by neutrinos of a charmed quark c which in a charmed meson can decay to a μ^+ plus other particles.
- Fig. 4 Production by neutrinos of a heavy u' quark which in a meson can decay to a μ^+ plus other particles.
- Fig. 5 An example of neutrinoless double β decay (violating lepton number conservation). N_e is a heavy neutral lepton.

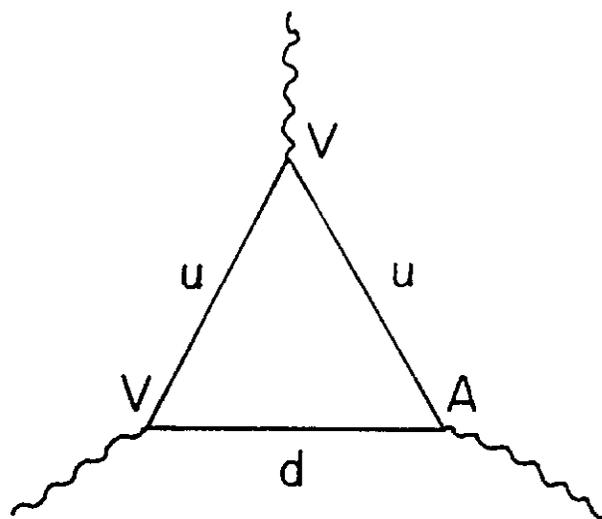


Fig.1

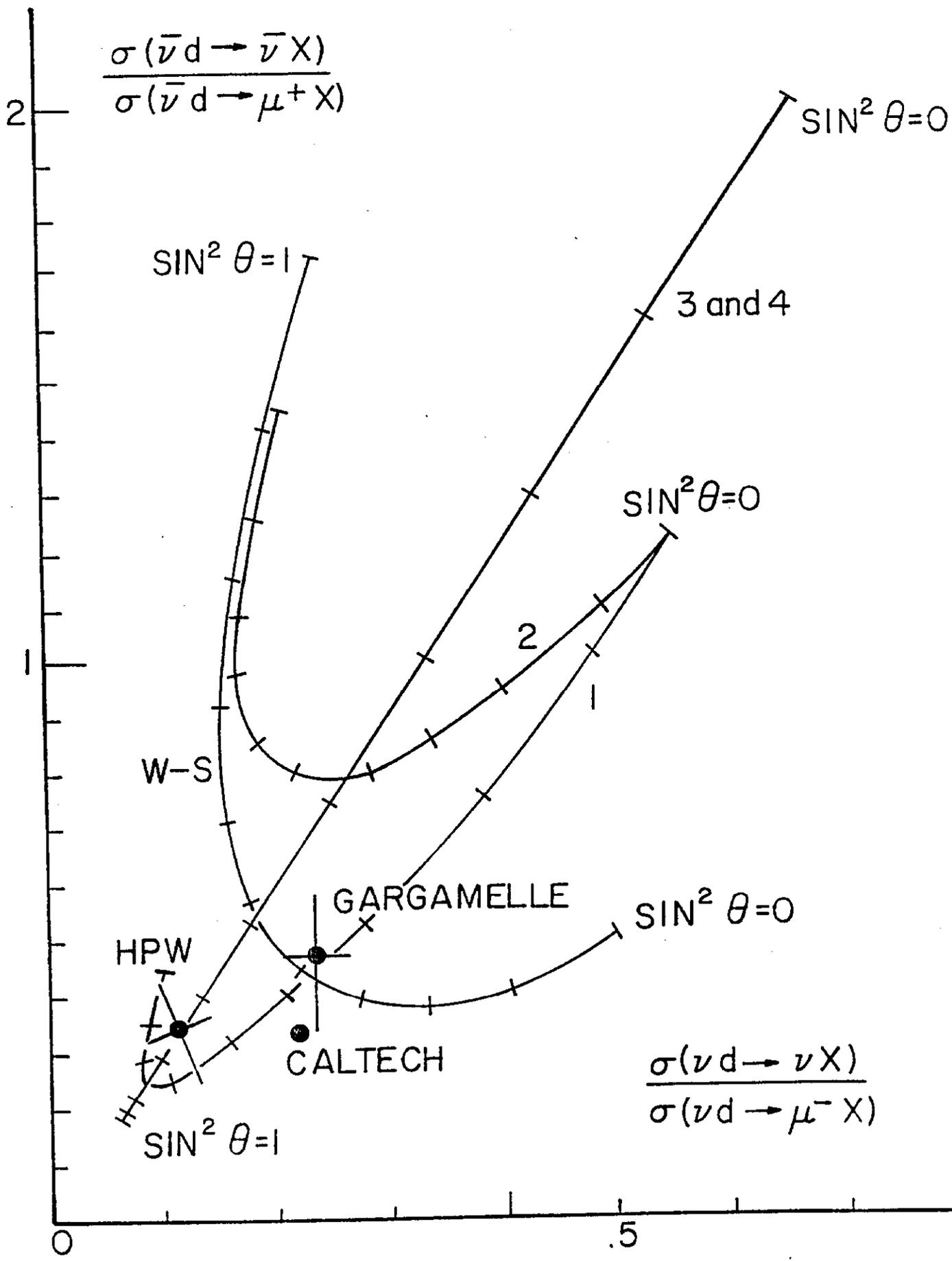


Fig. 2

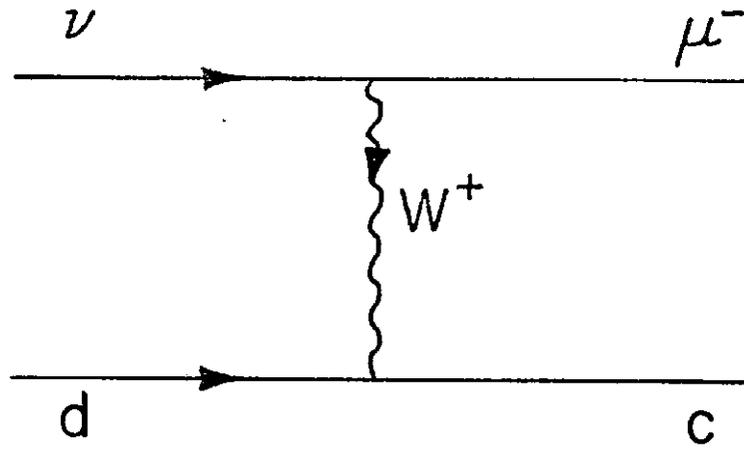


Fig. 3

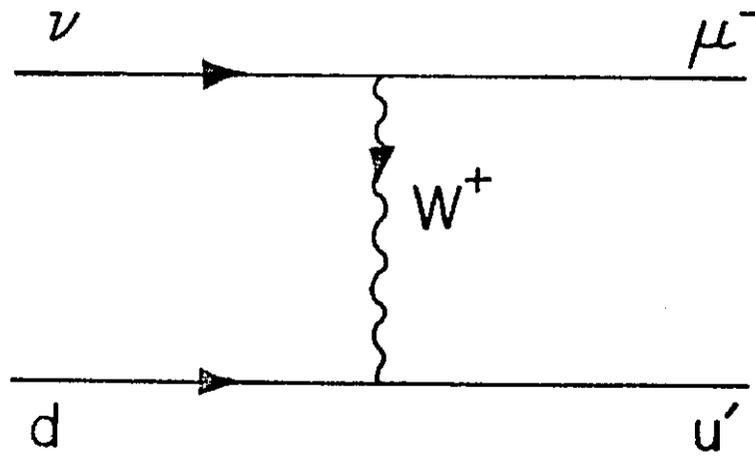


Fig. 4

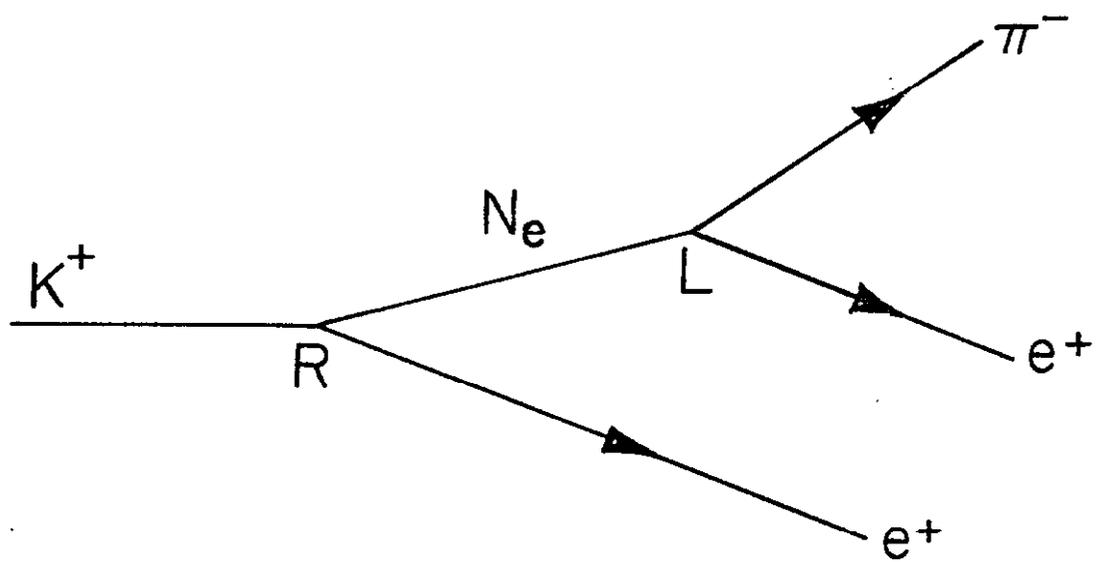


Fig. 5

1975, Stanford University.

- ³²A. De Rújula, et al., Rev. Mod. Phys. 46, 391 (1974).
- ³³S.L. Adler, lectures at 6th Hawaii Topical Conference in Particle Physics, August 1975, University of Hawaii.
- ³⁴B.C. Barish, lectures at 6th Hawaii Topical Conference in Particle Physics, August 1975, University of Hawaii.
- ³⁵B.C. Barish, invited talk at International Symposium on Lepton and Photon Interactions of High Energies, August 21-27, 1975, Stanford University; and in Proceedings of the XVII International Conference on High Energy Physics, London (1974).
- ³⁶A. Benvenuti, et al., Phys. Rev. Lett. 32, 125 (1974).
- ³⁷B. Aubert et al., Phys. Rev. Lett. 33, 984 (1974); C. Rubbia, invited talk at International Symposium on Lepton and Photon Interactions at High Energies, August 21-27, 1975, Stanford University.
- ³⁸D.C. Cundy in Proceedings of the XVII International Conference on High Energy Physics, London (1974); A.K. Mann, Proceedings of La Physique du Neutrino à Haute Énergie, 18-20 March 1975, École Polytechnique, Paris, p. 273.
- ³⁹M. Gaillard, B.W. Lee and J. Rosner, Rev. Mod. Phys. 47, 277 (1975).
- ⁴⁰A. Benvenuti et al., Phys. Rev. Lett. 34, 419 (1975); and two Harvard-Pennsylvania-Wisconsin-Fermilab preprints, July 1975, submitted to Phys. Rev. Lett.
- ⁴¹C. Rubbia, Proceedings of La Physique du Neutrino à Haute Énergie, 18-20 March 1975, École Polytechnique, Paris, p. 91.

E. Eichten et al., Phys. Rev. Lett. 34, 369 (1975); C.G. Callan, et al., Phys. Rev. Lett. 34, 52 (1975); S. Borchardt, et al., Phys. Rev. Lett. 34, 38 (1975); S. Okubo, et al., ibid. 34, 236 (1975); and many others.

²¹R. Schwitters, invited talk at 1975 International Symposium on Lepton and Photon Interactions at High Energies, August 21-27, 1975, Stanford University.

²²A.M. Boyarski, et al., Phys. Rev. Lett. 35, 195 (1975).

²³Discussed by H. Harari at 1975 International Symposium on Lepton and Photon Interactions at High Energies, August 21-27, 1975, Stanford University.

²⁴M.L. Perl, Lectures on Electron-Positron Annihilation--Part II, at the Institute of Particle Physics Summer School, McGill University, June 16-21, 1975.

²⁵S. Weinberg, Phys. Rev. Lett. 19, 1264 (1967); A. Salam, in Elementary Particle Physics: Relativistic Groups and Analyticity (Nobel Symposium No. 8), edited by N. Svartholm (Almqvist and Wiksell, Stockholm, 1968).

²⁶A.M. Boyarski, et al., Phys. Rev. Lett. 34, 1357 (1975).

²⁷G. Abrams, invited talk at 1975 International Symposium on Lepton and Photon Interactions at High Energies, August 21-27, 1975, Stanford University.

²⁸For example, J.S. Kang and H.J. Schnitzer, Brandeis preprint, July 1975.

²⁹R. Jaffe, private communication.

³⁰W. Braunschweig, Phys. Lett. 57B, 407 (1975).

³¹G. Feldman, invited talk at 1975 International Symposium on Lepton and Photon Interactions at High Energies, August 21-27,